

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, April 5, 1994.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, April 5, 1994 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Mr. Sturgill.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Governor Edward T. Breathitt, (Chairperson), Mrs. Kay Shropshire Bell, Mr. Paul W. Chellgren, Mr. Robert N. Clay, Mr. Lance Dowdy, Mr. James F. Hardymon, Professor Loys L. Mather, Dr. Elissa Plattner, Dr. Deborah Powell, Mr. C. Frank Shoop, Mrs. Marian Moore Sims, Professor John Sistarenik, Jr., Mr. William B. Sturgill, Mr. Daniel C. Ulmer, Jr., Mrs. Lois C. Weinberg, Mr. Martin Welenken, and Judge Henry R. Wilhoit, Jr. Absent from the meeting were Mr. Henry Jackson, Dr. Robert P. Meriwether and Mr. Billy B. Wilcoxson. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Peter P. Bosomworth, Ben W. Carr, Jr. and Robert E. Hemenway; Vice Presidents Joseph T. Burch, Edward A. Carter, Donald B. Clapp, Delwood Collins and Eugene Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs, and Mr. Paul Van Booven, Associate General Counsel.

Members of the general public and the news media were also in attendance. A quorum being present, Governor Breathitt declared the meeting officially open for the conduct of business at 1:03 p.m.

C. Approval of Minutes

Governor Breathitt said that the Minutes of the March 1, 1994 meeting had been distributed and asked for additions or corrections. Mr. Shoop moved that the Minutes be approved as distributed. Mr. Chellgren seconded the motion, and it carried.

D. President's Report to the Trustees (PR 1)

President Wethington called attention to the following items in his report:

1. Two UK debaters won collegiate debate's most prestigious award at the National Debate Tournament held in March 1994. UK was one of six colleges/universities to have three teams qualify for the national tournament. The Director of Debate, J. W. Patterson, and the following members of the UK debate team were introduced: Kyle Norman, Cyrus Kiani, Jay Finch, Trevor Wells, Jason Renzelman, Jason Patil and Paul Skiermont. Paul Skiermont was named the nation's Top Speaker in the tournament.

2. UK has been named one of the top 100 colleges in the country for African-American students.
3. The UK College of Medicine has been awarded a \$5 million grant from the National Institutes of Health to study the secondary effects of head trauma.
4. UK's College of Engineering and the Center for Robotics and Manufacturing Systems is administering a special initiative that could help Kentucky manufacturers lead the way in efficiency and high quality. UK professors will teach "lean" manufacturing principles and practices to interested Kentucky manufacturers. Toyota will support UK's Lean Manufacturing Program by providing personnel training, technical support and funding.
5. Forty UK employees participated in the UKadvance Leadership Development Institute, an intensive two-week program designed to offer personal and professional growth opportunities for UK employees. Board of Trustees member Deborah Powell, spoke at the Institute's "graduation ceremony."
6. Nationally known author James Still received the Medallion for Intellectual Excellence on March 28 from the University of Kentucky Library Associates.
7. UK is offering a new five-year degree program combining business and engineering. This program will allow students to obtain the Bachelor of Science in Engineering and the Master of Business Administration degrees.
8. Forty-five percent of the College of Medicine students who participated in this year's National Residency Program have chosen to pursue postgraduate residency training in primary care specialties. The national medical school average is 30 percent. Thirty-six percent of the class will enter residency programs at UK.
9. James L. Applegate, chairman of UK's Department of Communication, has been named a Fellow by the American Council on Education for the 1994-95 academic year.

President Wethington asked the members to peruse the other good items in the report at their leisure.

E. Status Report on the Budget

President Wethington reported that the state's Operating Budget had been approved by the House and Senate but was still subject to consideration for veto by the Governor. He expressed disappointment that there were no new building projects in the budget. He said that there is

still strong support for the capital projects, especially for the Commonwealth Library project, and the library facility will remain the top priority of the University. He asked everyone to keep working to benefit higher education and the University of Kentucky.

F. Medical Center Presentation

President Wethington noted that a recommendation for a new Chancellor for the Chandler Medical Center was on the agenda and said it was appropriate that Chancellor Peter Bosomworth make a presentation on the current status and the future directions of the Chandler Medical Center prior to turning over the reins to his successor.

Chancellor Bosomworth said it was a pleasure to address the Board, and he looked forward to a very smooth transition in terms of leadership in the Medical Center. Through a series of slides, he provided a brief history of the Medical Center. He discussed the Medical Center's mission, vision and values, trends and accomplishments and the impact of health care reform which will confront the Medical Center. In conclusion, he pointed out that much has been accomplished at the Medical Center; however, there is still much left to be done. He then entertained questions from the members of the Board.

President Wethington expressed appreciation to Chancellor Bosomworth for bringing the Board up-to-date on the Chandler Medical Center.

G. Resolution Honoring Dr. John Wesley Hatch

Judge Wilhoit read the following resolution honoring Dr. John Wesley Hatch and recommended its adoption:

WHEREAS, in the spring of 1948, John Wesley Hatch, then not yet twenty, had completed his sophomore year at the "Kentucky State College for Negroes," now known as Kentucky State University. His dream was to become a lawyer and practice in Kentucky. Unfortunately the "Day Law" forbade any educational institution in the Commonwealth of Kentucky to teach black and white students on the same campus under penalty of heavy fines, and

WHEREAS, John Wesley Hatch nonetheless applied for admission to the College of Law of the University of Kentucky. The Board of Trustees, after consultation with President Herman Donovan and Dean Elvis Stahr, decided to circumvent the "Day Law" by admitting John Wesley Hatch to the University of Kentucky College of Law while providing for his legal education by the faculty of the College of Law on the campus of Kentucky State, and

WHEREAS, in the Fall Semester of 1948 John Wesley Hatch was taught by five members of the University's regular law faculty who shuttled daily between a class of ninety first-year students in Lexington and a solo class of one in Frankfort. In the Spring Semester of that year an alternate solution was devised, and a faculty of six practicing lawyers were employed to teach John Wesley Hatch, still solo, in Frankfort, and

WHEREAS, the National Association for the Advancement of Colored People soon brought an action for a declaratory judgment to require the University to admit Lyman Johnson, a Louisville school teacher, to its Graduate School to pursue a program for a Ph.D. in history. This case was heard in federal court before Judge H. Church Ford in Lexington on June 25, 1949. Judge Ford promptly decided that the "Day Law" violated the guarantees of equal protection of law under the United States Constitution and ordered the University to admit all black students who were otherwise qualified to graduate and professional courses at the University of Kentucky, and

WHEREAS, John Wesley Hatch thereupon entered his third semester of law studies at Lafferty Hall on the University's campus in Lexington with his classmates of the Class of 1952. He was made welcome by faculty and students alike.

THEREFORE, today the Board salutes Dr. John Wesley Hatch for his important role in the termination of racial segregation in higher education in Kentucky. It was his dream, his determination, and his courage as a young student which emboldened the Board of Trustees to arrange his admission in 1948, as the first black student in the eighty-three years since the University's founding in 1865, and

WHEREAS, John Wesley Hatch's unique experience as a solo student throughout his first year of law school, his shift from a faculty of touring professors in his first semester to a faculty of Frankfort practitioners in his second semester, the loss of the give and take of his law school classmates, the law school library, and adequate study facilities in Lafferty Hall inevitably negatively impacted his education. The Board applauds John Wesley Hatch for his admirable patience, fortitude, and good will in what must have been a very trying year, and

WHEREAS, John Wesley Hatch left the University in 1950 to enter the United States Army during the Korean War. After his discharge, he proceeded to finish his A.B. degree at Knoxville College, his M.A. from Atlanta University and his Ph.D. degree from the University of North Carolina at Chapel Hill. He has been a Professor of Health Behavior and Health Education in the School of Public Health at the University of North Carolina at Chapel Hill for the past twenty years, currently holding the William R. Kenan Chair in Health Behavior. He has co-authored eight books and eighteen articles, and made over a hundred presentations in his chosen field. He has been involved in governmental hearings and consultations throughout the United States and around the world during the past twenty years.

NOW THEREFORE BE IT RESOLVED, that the Board expresses its great admiration for Dr. Hatch's extraordinary contributions in his chosen field of Public Health. He will always be remembered as the young man whose initiative and courage first desegregated this University by spending three semesters in 1948-50 as a student at the University of Kentucky College of Law.

Dr. Plattner seconded the motion, and it passed unanimously.

Governor Breathitt said that he was a student in the College of Law during this period and provided some background information. He noted that the Fayette County Bar Association has recognized Dr. Hatch by asking him to speak on Law Day. Governor Breathitt stated it was very appropriate for the University to honor Dr. Hatch with this resolution.

H. Personnel Actions (PR 2)

President Wethington said that PR 2 reflects personnel actions since the last Board meeting. He recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. On motion made by Mr. Chellgren, seconded by Mrs. Bell and passed, approval was given to the personnel actions in PR 2. (See PR 2 at the end of the Minutes.)

I. Honorary Degree Recipients (PR 3A)

President Wethington expressed pleasure in recommending that approval be granted to awarding honorary degrees of Doctor of Engineering to Ralph G. Anderson, Doctor of Letters to Bobbie Ann Mason, and Doctor of Science to Phillip Allen Sharp. He noted that the Committee on Honorary Degrees recommended to the Graduate Faculty and the University Senate that honorary degrees be awarded to these individuals, and these bodies expressed their approval of the recommendation. The motion, made by Mr. Sturgill and seconded by Mr. Shoop, carried unanimously. (See PR 3A at the end of the Minutes.)

J. University Research Professorships (PR 3B)

President Wethington said that PR 3B is a recommendation that the Board approve the naming of Professors Kathleen M. Blee, Joseph W. Brill, and Salvatore J. Turco as University Research Professors for 1994-95. He provided background information, noting that the professorships were established in 1976 and the first Research Professors were named in 1977. He said that the purpose of the professorships is to encourage, recognize and enhance the scholarly research activity at the University. Mr. Chellgren moved approval and his motion, seconded by Dr. Powell, passed. (See PR 3B at the end of the Minutes.)

President Wethington congratulated Professors Blee, Brill and Turco, who were in attendance, and asked them to stand and be recognized, following which they received a round of applause.

K. Appointment of Chancellor for the Albert B. Chandler Medical Center (PR 3C)

President Wethington said that PR 3C is the recommendation that Dr. James Wilson Holsinger, Jr. be appointed Chancellor for the Albert B. Chandler Medical Center effective July 1, 1994. He informed the Board that a nationwide search process began when Dr. Bosomworth announced his intention to step down in June, 1994. He said that the process involved an advisory committee and a professional search firm that assisted with

the search. He reported that seven candidates were interviewed, and three of the candidates were chosen to visit the campus for more extensive evaluation.

He briefly reviewed Dr. Holsinger's credentials. He noted that Dr. Holsinger is a skilled clinician and a proven administrator and has demonstrated his ability to understand and conduct research. President Wethington stated that, in his opinion, Dr. Holsinger is the person to lead the Chandler Medical Center toward the year 2000 and beyond. He expressed pleasure in recommending that Dr. James Wilson Holsinger, Jr. be appointed Chancellor for the Albert B. Chandler Medical Center. Dr. Powell moved approval. The motion, seconded by Mr. Shoop, passed. (See PR 3C at the end of the Minutes.)

Governor Breathitt thanked President Wethington for agreeing to serve as the chair of the search. He said that President Wethington proceeded in a very thorough manner and had made it possible for many Trustees to meet Dr. Holsinger and his wife.

President Wethington congratulated Dr. Holsinger, who was in the audience, and asked him to stand and be recognized, following which he received a round of applause.

L. Acceptance of Interim Financial Report for the University of Kentucky for the Eight Months Ended February 28, 1994 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Finance Committee met at 11 a.m. (Lexington time) and reviewed the seven items on its agenda. He said that FCR 1 is the routine monthly interim financial report for the eight months ended February 28, 1994. He reviewed the realized income versus expenditures and reported that the University has a strong balance sheet. He recommended approval of the report. Mr. Sturgill seconded the motion, and it passed. (See FCR 1 at the end of the Minutes.)

M. Capital Construction Report (FCR 2)

Mr. Hardymon stated that FCR 2 is a quarterly Capital Construction Report presented to the Board for acceptance. He reviewed the number of awards and final payments of the engineering and construction contracts. Upon the recommendation of the Finance Committee, he moved that the report be accepted. The motion, which was seconded by Mr. Chellgren, carried. (See FCR 2 at the end of the Minutes.)

N. Approval of Lease (FCR 3)

Mr. Hardymon reported that FCR 3 involves an addendum to a lease between University of Kentucky (Kentucky Clinic) and the Kentucky Medical Services Foundation for property located at 729 South Limestone, effective April 5, 1994 through June 30, 1994, for additional office/clinical space. He noted that the original lease was approved at an annual rental cost of \$50,000.00 and this addendum brings the total annual rental to \$67,577.56. He recommended approval of FCR 3. Mrs. Weinberg seconded the motion, and it passed. (See FCR 3 at the end of the Minutes.)

O. C. M. "Bill" Gatton Gift (FCR 4)

Mr. Hardymon stated that FCR 4 recommends that the Board accept a gift of \$102,888 from C. M. "Bill" Gatton to the University of Kentucky Athletics Association to be used for capital improvements. He noted that Mr. Gatton is a native Kentuckian, a 1954 UK graduate, and a UK Fellow. He expressed appreciation for the gift and recommended approval by the Board. Mr. Sturgill seconded the motion, and it passed. (See FCR 4 at the end of the Minutes.)

P. Acceptance of Gift from Kentucky Medical Services Foundation of Kentucky Clinic Building Expansion (FCR 5)

Mr. Hardymon said that FCR 5 is a gift from the Kentucky Medical Services Foundation (KMSF) which will consist of a clinic and office facility to be constructed on the University of Kentucky campus at 740 South Limestone Street. He reported that this is a sizable construction project: a five-story, 60,000 square foot facility to be attached to and programmatically integrated with the existing outpatient clinic facility. He recommended approval of FCR 5. The motion, seconded by Mr. Shoop, carried. (See FCR 5 at the end of the Minutes.)

President Wethington said that two representatives of KMSF were in the audience and asked Chancellor Bosomworth to introduce them. Chancellor Bosomworth recognized Dr. Albert Selke, Chairman of the KMSF Board, and Mr. William Merritt, Director of KMSF. He mentioned other significant gifts from KMSF to the University and thanked Dr. Selke and Mr. Merritt for these gifts and their leadership along with the other Board members of KMSF.

Q. Practice Plans - Colleges of Allied Health Professions and Nursing (FCR 6)

Mr. Hardymon said that FCR 6 is a recommendation for practice plans for the Colleges of Allied Health Professions and Nursing. He reported that the Board authorized general guidelines for practice plans in May 1990. He recommended approval of FCR 6. Mrs. Weinberg seconded the motion, and it passed. (See FCR 6 at the end of the Minutes.)

R. A Resolution of the Board of Trustees of University of Kentucky Authorizing the Issuance of Said Board's Housing and Dining System Revenue Bonds, Series O, to be Dated the First Day of the Month in Which Sold; Authorizing Proper Proceedings Relative to the Public Sale of the Bonds and the Disposition of the Proceeds Thereof; Authorizing Execution of a Seventh Supplemental Trust Indenture Between the Board and Farmers Bank & Capital Trust Company, Frankfort, Kentucky, as Trustee, in Compliance with the Provisions of the Trust Indenture Dated June 1, 1965 (FCR 7)

Mr. Hardymon stated that FCR 7 is a new bond issue for \$2,205,000 twenty-year bonds, and the bids would be due May 3. At that time, the Finance Committee will review the bids and decide whether to present them to the Board for acceptance. He noted that these funds are for the

purpose of financing the renovation of Cooperstown and Shawneetown apartments. He said that revenue to repay the bonds would be pledged from the Housing and Dining System, and the System finances are in good shape to handle the pledge. He recommended approval of FCR 7. Mr. Shoop seconded the motion, and it carried. (See FCR 7 at the end of the Minutes.)

S. Report on Refinancing of Bond Issues

Mr. Hardymon reported that nine refunding bond issues had been brought to the Board from January 1, 1993 through March 31, 1994. He said that the savings generated from these nine bond issues on a nominal basis was \$11.7 million, the present value savings is \$8.6 million, and the average annual savings is \$806,674. He said that, in his opinion, this was a project well worth the effort put forth by the administration, the Finance Committee and the University's financial advisors.

Governor Breathitt thanked Mr. Hardymon for his report and for effectively guiding the University through the refinancing process.

T. Meeting adjourned

There being no further business to come before the Board, the meeting adjourned at 2:13 p.m.

Respectfully submitted,

Lois C. Weinberg
Secretary
Board of Trustees

(PR's 2, 3A, 3B and 3C; and FCR's 1, 2, 3, 4, 5, 6, and 7 which follow are official parts of the Minutes of the meeting.)

Office of the President
April 5, 1994

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 5, 1994

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CENTRAL ADMINISTRATION

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENT

Graduate Center for Toxicology

Vore, Mary, Professor (with tenure), Pharmacology,
College of Medicine, appointed Director, Graduate
Center for Toxicology, 3/1/94 through 12/31/97.

B. RETIREMENT

Employee Benefits

McGovern, Joseph H., Disabled Staff, Employee Benefits,
after 26.5 consecutive years of service, under
AR II-1.6-1 Section III.B, effective 4/30/94

C. EARLY RETIREMENT

Vice President for University Relations

Mobley, John M., Sergeant, Police Department, after
19.75 consecutive years of service under AR II-1.6-1
Section III.A.2, effective 4/20/94.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENT

Survey Research Center

Wood, Teresa A., Director, Survey Research Center,
effective 2/1/94.

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Prestonsburg Community College

Napier, Barbara, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Social Sciences and Related Technologies, 7/1/94 through 6/30/97.

B. PROMOTIONS

Ashland Community College

Barnett, Lysbeth A., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.

Bradford, Gary, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Coots, Kevin, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Dillon, Amy P., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Gross, Emma Lou, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Harmon, Benjamin, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Hilterbrand, Angela, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Mahan, Daniel, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Mericle, Margaret, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Nicholls, Barbara, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.

Weaver, Samuel, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Elizabethtown Community College

Bruce, Jimmie D., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Guarneros-Mata, Rene, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Haque, Khondaker, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Howard, Linda G., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.
Keith, Angela, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.
King, Jo Ann, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Lamphere, Robert, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Hazard Community College

Ewen, Rosemary, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Laherty, Richard, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Lykins, Virgil, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Henderson Community College

Brauer, David, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.
Evans, Marsha, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
McMurray, Mary Anne, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Payne, Elizabeth, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.
Tutt, Larry, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Uzzle, Ruby, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Hopkinsville Community College

Cavanah, Sandra, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Dean, Lesa Kim, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Jefferson Community College

Atkins, James, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Briley, Rebecca, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Coleman, Nellie, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Davis, Randall, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Deines, Judith, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Diedrich, Phyllis, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.

Dockstader, David, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Finney, Ann M., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Franz, Shirley, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Hager, Kathy, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Hamilton, William, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Head, Juanita F., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.

Holman, Gaye, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Johnson, Mark D., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Land, Carol, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

LeMaster, Vicki, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Malone, Mary E., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.

Morrison, Linda, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

O'Daniel, Carolyn, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.

Sprinkle, Amy, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Spurr, Sally, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Varner, Joan, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Young, Eliza, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.

Lexington Community College

Barber, Cynthia, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Brady, B. Beth, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

East, Paul D., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Freed, Michele, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.

Gibbs, James, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Gunto, Jacqueline, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Hollingsworth, Randolph, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Ott, John S., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Richardson, Kathleen, from Librarian III in the Community College System, to Librarian II in the Community College System (with tenure), 7/1/94.
Roberts, Francis, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.
Spencer, Janella, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Wachtel, David, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Wilson, Vicki, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Madisonville Community College

Johnson, Felecia, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Oglesby, Sarah, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.
VanderPloeg, Scott, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Wright, Deborah, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Maysville Community College

Abney, Darrell, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.
Bone, Martha, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Hall, Cindy F., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Hunter, Nancy D., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Webb, Patricia, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.

Owensboro Community College

Allison, Mary E., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Ford, Constance, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Glenn, Cornelia, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Glenn, Robert, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Johnson, James, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Johnston, Gail, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Ledford, Julia, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Maltby, Marc, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Walton, Stephen, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Paducah Community College

Bigham, Larry, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Reynolds, Delilah, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Thompson, Ann, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.

Prestonsburg Community College

Adams, Alice B., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.
Dai, Rui-Xiu, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Elliott, Myra, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Saad, Toufic, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Thomas, Shirley, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Somerset Community College

Angevine, Roger, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Epperson, Jody, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Fries, Wanda, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
McGriff, John, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Mihankhah, Mihanpoor, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Morrow, Connie, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.
Peterson, Betty, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Railey, George, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Southeast Community College

Gibson, Gary, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Gilliam, Terry, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.
Guyn, H. Kathy, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/94.
Mukhopadhyay, Ajay, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Schertz, Ann, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.
Wilder, Karen, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/94.

Zhang, Sha Li, from Librarian III in the Community College System, to Librarian II in the Community College System (with tenure), 7/1/94.

C. RETIREMENTS

Hopkinsville Community College

Walker, Donald C., Associate Professor in the Community College System (with tenure), (under AR II-1.6-1 Section III.B), following 16.5 consecutive years of service, effective 6/30/94.

Lexington Community College

Payne, John, Assistant Professor in the Community College System, (under AR II-1.6-1 Section III.B), following 5 consecutive years of service, effective 6/30/94.

Payne, Margaret, Assistant Professor in the Community College System, (under AR II-1.6-1 Section III.B), following 5 consecutive years of service, effective 6/30/94.

D. EARLY RETIREMENTS

Jefferson Community College

Settles, Betty J., Associate Professor in the Community College System (with tenure), (under AR II-1.6-1 Section III.A.2), following 21 consecutive years of service, effective 6/30/94.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Hazard Community College

Halcomb, Astor, Instructor in the Community College System, 2/1/94 through 6/30/94.

Paducah Community College

Fyhr, Julia, Instructor in the Community College System (voluntary), 2/1/94 through 1/31/97.

Grayson, Tonja, Instructor in the Community College System (voluntary), 2/1/94 through 1/31/97.

Harris, Tamma, Instructor in the Community College System (voluntary), 2/1/94 through 1/31/97.

Hostmeyer, Dalla, Instructor in the Community College System (voluntary), 2/1/94 through 1/31/97.

Leaver, Leslie, Instructor in the Community College System (voluntary), 2/1/94 through 1/31/97.

McIntosh, Jeff, Instructor in the Community College System
(voluntary), 2/1/94 through 1/31/97.
Salisbury, Kyle, Instructor in the Community College System
(voluntary), 2/1/94 through 1/31/97.
Stevens, Jeffrey, Instructor in the Community College System
(voluntary), 2/1/94 through 1/31/97.
Taylor, Joni, Instructor in the Community College System
(voluntary), 2/1/94 through 1/31/97.

Somerset Community College

Blankenship, Teresa, Instructor in the Community College
System (voluntary), 3/23/94 through 3/23/96.
Hargis, Angela, Instructor in the Community College System
(voluntary), 3/23/94 through 3/23/96.

Southeast Community College

Sturgill, Terry, Instructor in the Community College System,
1/1/94 through 6/30/94.

B. REAPPOINTMENTS

Paducah Community College

Mauzy, Bruce, Instructor in the Community College System
(voluntary), 2/1/94 through 1/31/97.

C. LEAVES OF ABSENCE

Lexington Community College

Allen, Margaret, Associate Professor in the Community
College System (with tenure), sabbatical leave at
one-half salary for the period 7/1/94 through 6/30/95.
Boyatt, Iva, Professor in the Community College System (with
tenure), sabbatical leave at full salary for the
period 7/1/94 through 12/31/94.
McLaughlin, Judy, Professor in the Community College System
(with tenure), sabbatical leave at half salary for the
period 1/1/95 through 12/31/95.
Robison, Janet, Associate Professor in the Community College
System (with tenure), leave of absence without pay for
the 1994-95 academic year.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Arts and Sciences

Koontz, Craig L., Professor (without tenure), Aerospace Studies, 8/1/94 through 7/31/97.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Koontz, Craig L., Professor (without tenure), Aerospace Studies, appointed Chair, Aerospace Studies, 8/1/94 through 6/30/97.

C. REAPPOINTMENTS

College of Business and Economics

Gillette, J. Robert, Associate Professor* (without tenure), Economics, 7/1/94 through 6/30/95.

D. RETIREMENTS

College of Arts and Sciences

Shawcross, John T., Professor (with tenure), English, after 15 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/94.

Vice Chancellor for Student Affairs

Finlayson, Jonnie, J., Staff Assistant, Vice Chancellor's Office, after 25.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 4/15/94.

E. EARLY RETIREMENTS

College of Engineering

Boggs, Kenneth, Principal Lab Technician, Materials Science and Engineering, after 28.75 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/94.

* Special Title Series

Vice Chancellor for Administration

Powell, Hershel, Truck Driver, Trucking Department,
Physical Plant Division, after 31.33 consecutive
years of service, under Section III.A.2 of AR
II-1.6-1, effective 4/8/94.

F. POST-RETIREMENT APPOINTMENTS

Vice Chancellor for Administration

Preston, Samuel, 1st Class Carpenter (part-time),
Carpentry Shop, Physical Plant Division, 3/7/94
through 6/30/94.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Education

Kingery, Paul M., Assistant Professor, Kinesiology and
Health Promotion, 8/16/94 through 5/15/97.

College of Social Work

Otis, Melanie D., Assistant Professor (part-time),
Social Work, 8/16/94 through 5/15/95.

B. ADMINISTRATIVE APPOINTMENTS

College of Education

Whipple, Kathleen J., Disability Program Administrator,
Special Education, effective 3/2/94.

C. REAPPOINTMENTS

College of Business and Economics

Ellis, Brien, Assistant Professor, Management, 7/1/94
through 6/30/95.

Furze, Sally E., Assistant Professor, Accountancy,
7/1/94 through 6/30/95.

Hulse, David S., Assistant Professor, Accountancy,
7/1/94 through 6/30/95.

Keep, William W., Assistant Professor, Management,
7/1/94 through 6/30/95.

Sundaramurthy, Chamundeswari, Assistant Professor,
Management, 7/1/94 through 6/30/95.

Tepper, Kelly L., Assistant Professor, Management,
7/1/94 through 6/30/95.

D. LEAVES OF ABSENCE

College of Agriculture

Green, J.D., Associate Extension Professor (with tenure), Agronomy, Sabbatical leave with full salary, 6/1/94 through 8/31/94.

College of Business and Economics

Harvey, Curtis E., Professor (with tenure), Economics, Leave without pay, Fall Semester 1994 and Spring Semester 1995.

Jensen, Richard A., Professor (with tenure), Economics, Sabbatical leave with full salary, Fall Semester 1994.

College of Social Work

Dhooper, Surjit S., Associate Professor (with tenure), Social Work, Sabbatical leave with full salary, Fall Semester 1994.

Paquin, Gary W., Assistant Professor (without tenure), Social Work, Leave without pay, Fall Semester 1994 and Spring Semester 1995.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Campbell, Jeffrey P., Assistant Professor (without tenure), Surgery, 5/1/94 through 6/30/94.
Thielmeier, Kenneth A., Clinical Instructor (without tenure), Anesthesiology, 4/6/94 through 6/30/94.
Witzke, Donald B., Associate Research Professor (without tenure), Pathology and Laboratory Medicine, 3/1/94 through 6/30/94.

B. ADMINISTRATIVE REAPPOINTMENTS

College of Medicine

Garritty, Thomas F., Professor (with tenure), Behavioral Science, named Chair, Behavioral Science, 7/1/94 through 6/30/2000.
Markesbery, William R., Professor (with tenure), Pathology and Laboratory Medicine, named Director, Multidisciplinary Center for Gerontology, Sanders-Brown Center on Aging, and Commonwealth Chair in Aging, 7/1/94 through 6/30/2000.

C. REAPPOINTMENTS

College of Dentistry

Kaplan, Alan L., Associate Adjunct Professor (without tenure), Oral Health Science, 7/1/94 through 6/30/95.

College of Medicine

Angelucci, Ralph J., Professor (voluntary), Surgery, 7/1/94 through 6/30/97.
Belin, Robert P., Professor (voluntary), Surgery, 7/1/94 through 6/30/97.
Bennett, Shelley E., Associate Professor (voluntary), Surgery, 7/1/94 through 6/30/97.
Brewer, Walter R., Associate Professor (voluntary), Surgery, 7/1/94 through 6/30/97.
Chenault, Harvey, Professor (voluntary), Surgery, 7/1/94 through 6/30/97.

Cole, Norman M., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Cook, William B., Professor (voluntary), Surgery,
7/1/94 through 6/30/97.

Daugherty, Michael, Professor (voluntary), Surgery,
7/1/94 through 6/30/97.

Dobner, Joseph J., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Earle, Gary F., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Edger, Donald, Associate Professor (voluntary),
Obstetrics and Gynecology, 7/1/94 through 6/30/97.

Faulconer, Harold T., Associate Professor (voluntary),
Surgery, joint appointment as Associate Professor
(voluntary), Family Practice, 7/1/94 through
6/30/97.

Fischer, Thomas J., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Floyd, Richard D., Professor (voluntary), Surgery,
7/1/94 through 6/30/97.

Gee, William F., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Gilliam, Randolph M., Professor (voluntary), Surgery,
7/1/94 through 6/30/97.

Goldstein, Steven, Associate Professor (voluntary),
Diagnostic Radiology, 7/1/94 through 6/30/97.

Goodman, Robert P., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Guiler, David S., Professor (voluntary), Obstetrics and
Gynecology, 7/1/94 through 6/30/97.

Hastings, Hill I., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Holbrook, Marc L., Associate Professor (voluntary),
Emergency Medicine, 7/1/94 through 6/30/97.

Hopkins, Sidney F., Associate Professor (voluntary),
Surgery, joint appointment as Associate Professor
(voluntary), Family Practice, 7/1/94 through
6/30/97.

Idler, Richard S., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Jenkins, Van R., Professor (voluntary), Obstetrics and
Gynecology, 7/1/94 through 6/30/97.

Kasdan, Morton L., Professor (voluntary), Preventive
Medicine and Environmental Health, 7/1/94 through
6/30/97.

Kavanaugh, Kevin T., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Kleinmann, William B., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Knoop, J. Douglas, Associate Professor (voluntary),
Surgery, joint appointment as Assistant Professor
(voluntary), Family Practice, 7/1/94 through
6/30/97.

Leach, Rice C., Professor (voluntary), Preventive
Medicine and Environmental Health, 7/1/94 through
6/30/97.

Lewis, John R., Professor (voluntary), Surgery, 7/1/94
through 6/30/97.

Madauss, William C., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

McKernan, J. B., Professor (voluntary), Surgery, 7/1/94
through 6/30/97.

Mendiondo, Oscar, Associate Professor (voluntary),
Radiation Medicine, 7/1/94 through 6/30/97.

Meriwether, Robert P., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Nicholson, Charles H., Professor (voluntary), Surgery,
7/1/94 through 6/30/97.

Nunnelley, Preston P., Associate Professor (voluntary),
Obstetrics and Gynecology, joint appointment as
Assistant Professor (voluntary), Family Practice,
7/1/94 through 6/30/97.

Ray, Edward H., Professor (voluntary), Surgery, 7/1/94
through 6/30/97.

Riker, William L., Professor (voluntary), Surgery,
7/1/94 through 6/30/97.

Sajadi, Kooros, Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Spires, Stephen M., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Steichen, James R., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Stoeckinger, John M., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Todd, Edward P., Professor (voluntary), Surgery, 7/1/94
through 6/30/97.

Victor, David W., Associate Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Walker, Robert B., Professor (voluntary), Preventive
Medicine and Environmental Health, 7/1/94 through
6/30/97.

D. PROMOTIONS

College of Medicine

Hessel, Eugene A., II, Assistant Professor*,
Anesthesiology, joint appointment as Assistant
Professor*, Surgery, to Associate Professor* (with
tenure), Anesthesiology, joint appointment as
Associate Professor* (without tenure), Surgery,
effective 7/1/94.

McDonnell, Francis J., Assistant Professor*,
Anesthesiology, to Associate Professor* (with
tenure), Anesthesiology, effective 7/1/94.
McKeown, J. Wade, Clinical Assistant Professor,
Internal Medicine, to Clinical Associate Professor
(without tenure), Internal Medicine, 7/1/94 through
6/30/95.
Nash, Phyllis J. P., Associate Professor* (with
tenure), Behavioral Science, joint appointment as
Associate Professor* (without tenure), Surgery, to
Professor* (with tenure), Behavioral Science, joint
appointment as Professor* (without tenure),
Surgery, effective 7/1/94.
Phillips, Barbara A., Associate Professor (with
tenure), Internal Medicine, joint appointment as
Associate Professor (without tenure), Preventive
Medicine and Environmental Health, to Professor
(with tenure), Internal Medicine, joint appointment
as Professor (without tenure), Preventive Medicine
and Environmental Health, effective 7/1/94.
Rich, Eugene C., Associate Professor (with tenure),
Internal Medicine, to Professor (with tenure),
Internal Medicine, effective 7/1/94.
Scherl, Eileen R., Clinical Associate Professor
(without tenure), Internal Medicine, to Clinical
Professor (without tenure), Internal Medicine,
7/1/94 through 6/30/95.
Shih, Wei-Jen, Associate Professor (with tenure),
Diagnostic Radiology, joint appointment as
Associate Professor (without tenure), Radiation
Medicine, to Professor (with tenure), Diagnostic
Radiology, joint appointment as Associate
Professor (without tenure), Radiation Medicine,
effective 7/1/94.
Smith, Charles D., Assistant Professor, Neurology, to
Associate Professor (with tenure), Neurology,
effective 7/1/94.

College of Pharmacy

Dvoskin, Linda P., Assistant Professor, to Associate
Professor (with tenure), effective 5/1/94.

E. CHANGES

College of Medicine

Griffen, Ward O., from Professor (voluntary), Surgery,
to Professor (part-time) (without tenure), Surgery,
7/1/94 through 6/30/95.

Littleton, John M., from Professor (part-time) (without tenure), Pharmacology, to Professor (voluntary), Pharmacology, 4/6/94 through 6/30/94.

Robertson, William C., Jr., from Professor (voluntary) (without tenure), Neurology, joint appointments as Professor (voluntary) (without tenure), Family Practice and Pediatrics, 2/1/94 through 6/30/94, to Professor (voluntary) (without tenure), Neurology, joint appointment as Professor (voluntary) (without tenure), Pediatrics, and joint appointment as Associate Professor, Family Practice, 2/1/94 through 6/30/94

Simmons, Guy H., from Professor (with tenure), Diagnostic Radiology, to Professor (part-time) (without tenure), Diagnostic Radiology, 8/1/93 through 6/30/94.

F. LEAVES OF ABSENCE

College of Medicine

Welsh, Richard J., Professor* (with tenure), Psychiatry, temporary disability leave with pay, 3/4/94 through 6/3/94.

G. RETIREMENTS

Chancellor's Office

Myers, Gene, Security Worker III, Safety, Security and Parking, after 7.5 consecutive years of service, under AR II-1.6-1 Section III.B, effective 2/4/94

College of Medicine

Engelberg, Joseph, Professor (with tenure), Physiology and Biophysics, after 31.5 consecutive years of service, under AR II-1.6-1 Section III.B, effective 6/30/94.

College of Nursing

Bertram, Gloe L., Administrative Assistant I, after 20.0 consecutive years of service, under AR II-1.6-1 Section III.B, effective 3/31/94.

University Hospital

Bohannon, Mildred L., Hospital Payroll Manager, Hospital Payroll, after 28.0 consecutive years of service, under AR II-1.6.1 Section III.B, effective 4/29/94.

H. EARLY RETIREMENTS

College of Medicine

Henderson, Delmas R., Department Administrator,
Diagnostic Radiology, after 22.5 consecutive years
of service, under AR II-1.6-1 Section III.A.2,
effective 6/30/94.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Austin, Jane A., Instructor (voluntary), Clinical
Sciences, 4/6/94 through 6/30/94.
Cornett, Kimberly A., Instructor (voluntary), Clinical
Sciences, 4/6/94 through 6/30/94.
McCary, Barbara, Instructor (voluntary), Clinical
Sciences, 4/6/94 through 6/30/94.
Miller, Katherine M., Instructor (voluntary), Clinical
Sciences, 4/6/94 through 6/30/94.

College of Dentistry

Takacs, Patricia E., Instructor (voluntary), Oral
Health Science, 5/31/94 through 6/30/94.

College of Medicine

Carmona, Juan J., Assistant Professor (voluntary),
Psychiatry, 4/6/94 through 6/30/94.
Dickerson, Jeffrey W., Assistant Professor (voluntary),
Internal Medicine, 4/6/94 through 6/30/94.
Fallis, Robert J., Assistant Professor (voluntary),
Neurology, 4/6/94 through 6/30/94.
Gharad, Salabadin, Assistant Professor (voluntary),
Internal Medicine, 4/6/94 through 6/30/94.
Hughes, Charles R., Assistant Professor (voluntary),
Internal Medicine, 4/6/94 through 6/30/94.
Knox, Craig A., Assistant Professor (voluntary),
Neurology, 4/6/94 through 6/30/94.
Lim, Allen S., Jr., Assistant Professor (voluntary),
Internal Medicine, 4/6/94 through 6/30/94.
Phillips, Lenora H., Assistant Professor (voluntary),
Psychiatry, 4/6/94 through 6/30/94.
Sibal, Jose B., Assistant Professor (voluntary),
Internal Medicine, 4/6/94 through 6/30/94.
Weigel, Joseph G., Assistant Professor (voluntary),
Internal Medicine, 4/6/94 through 6/30/94.

Whorley, Larry W., Assistant Professor (voluntary),
Psychiatry, 4/6/94 through 6/30/94.
Zhang, Zhiming, Assistant Research Professor, Anatomy
and Neurobiology, 5/1/94 through 6/30/94.

College of Pharmacy

Allen, William P., Instructor (voluntary), 4/5/94
through 6/30/94.
Busroe, Donell N., Instructor (voluntary), 4/5/94
through 6/30/94.
Haltom, Joan, Instructor (voluntary), 4/5/94 through
6/30/94.
Malone, John A., Instructor (voluntary), 4/5/94 through
6/30/94.
Mattingly, William P., Instructor (voluntary), 4/5/94
through 6/30/94.
Matuschka, Paul R., Instructor (voluntary), 4/5/94
through 6/30/94.

3. REAPPOINTMENTS

College of Dentistry

Beeman, Cynthia S., Assistant Professor, Oral Health
Practice, 7/1/94 through 6/30/95.
Cailleteau, Johnny G., Assistant Professor*, Oral
Health Practice, 7/1/94 through 11/30/94.
Dominici, John C., Assistant Professor*, Oral Health
Practice, 7/1/94 through 6/30/95.
Kinderknecht, Keith E., Assistant Professor*, Oral
Health Practice, 7/1/94 through 6/30/95.
Kluemper, G. Thomas, Assistant Professor*, Oral Health
Practice, 7/1/94 through 6/30/95.
Kupp, Leo L., Assistant Professor, Oral Health
Practice, 7/1/94 through 6/30/95.
Theiss, Barbara L., Clinical Assistant Professor, Oral
Health Science, 7/1/94 through 6/30/95.
Thompson, James R., Clinical Assistant Professor, Oral
Health Practice, 7/1/94 through 6/30/95.
West, Karen L., Assistant Professor*, Oral Health
Practice, 7/1/94 through 6/30/95.
Williamson, Russell T., Assistant Professor*, Oral
Health Practice, 7/1/94 through 6/30/95.

College of Medicine

Adkins, J. Thomas, Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.
Ahmad, Fazal H., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Ahnquist, Gary R., Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Alexander, James G., Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Allen, David T., Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/94 through 6/30/97.
Anderson, Jerry, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/94 through 6/30/97.
Archer, Raleigh R., Instructor (voluntary), Surgery, 7/1/94 through 6/30/97.
Barnes, William E., Assistant Professor (voluntary), Surgery, 7/1/94 through 6/30/97.
Bernard, Barrett, Assistant Professor (voluntary), Emergency Medicine, 7/1/94 through 6/30/97.
Bond, Allen, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/94 through 6/30/97.
Bond, Robert F., Assistant Professor (voluntary), Emergency Medicine, 7/1/94 through 6/30/97.
Bradley, Helle G., Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Brown, C. Dale, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/94 through 6/30/97.
Burchett, Troy, Assistant Professor (voluntary), Surgery, 7/1/94 through 6/30/97.
Burruss, Susan, Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Campbell, Jeffrey P., Assistant Professor, Surgery, 7/1/94 through 6/30/95.
Chaney, Sally A., Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Clark, Dorothy H., Assistant Professor (voluntary), Surgery, 7/1/94 through 6/30/97.
Coleman, Royce D., Assistant Professor (voluntary), Emergency Medicine, 7/1/94 through 6/30/97.
Combs, Charles R., Assistant Professor (voluntary), Surgery, 7/1/94 through 6/30/97.
Cook, Charles, Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Cooper, William, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/94 through 6/30/97.
Copeland, Guy N., Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Cowherd, Colby, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/94 through 6/30/97.
Creighton, James J., Assistant Professor (voluntary), Surgery, 7/1/94 through 6/30/97.
Dalton, Lisle, Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Daniel, William T., II, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/94 through 6/30/97.

Demos, Jon S., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Desai, Suvas G., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Dochterman, Darryl, Assistant Professor (voluntary),
Diagnostic Radiology, 7/1/94 through 6/30/97.

Doepke, Robert H., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.

Donohue, Thomas A., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Dowden, William L., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Duncan, Thomas J., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.

Eastham, Mary L., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.

Edds, Gerald G., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Eggers, David, Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Evans, James L., Assistant Professor (voluntary),
Emergency Medicine, 7/1/94 through 6/30/97.

Finger, Reginald F., Assistant Professor (voluntary),
Preventive Medicine and Environmental Health,
7/1/94 through 6/30/97.

Floyd, Richard D., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Ford, Jerald M., Assistant Professor (voluntary),
Obstetrics and Gynecology, 7/1/94 through 6/30/97.

Fossett, Thomas R., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Friesen, Jerold N., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Furcolow, John, Assistant Professor (voluntary),
Preventive Medicine and Environmental Health, joint
appointment as Assistant Professor (voluntary),
Internal Medicine, 7/1/94 through 6/30/97.

Gardner, Kenneth A., Assistant Professor (voluntary),
Emergency Medicine, 7/1/94 through 6/30/97.

Gavin, Michael P., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Glover, Michael, Instructor (voluntary), Obstetrics and
Gynecology, 7/1/94 through 6/30/97.

Greenlee, Thomas H., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Guiler, J. M., Instructor (voluntary), Obstetrics and
Gynecology, 7/1/94 through 6/30/97.

Guindi, Samir A., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Gumbert, George M., Instructor (voluntary), Surgery,
7/1/94 through 6/30/97.

Hamon, Andrew R., Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Harrison, Alvin R., Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Havens, Dennis L., Assistant Professor (voluntary), Surgery, 7/1/94 through 6/30/97.
Hicks, Lewis P., Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
High, Curtis L., Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Horn, Everett J., Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Jackson, Billy J., Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Jackson, Stephen, Assistant Professor (voluntary), Surgery, 7/1/94 through 6/30/97.
James, Tamara, Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Jones, Randall, Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Kelafant, Geoffrey, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/94 through 6/30/97.
Kelly, Prue, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/94 through 6/30/97.
King, James, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/94 through 6/30/97.
Kolkin, Jon, Assistant Professor (voluntary), Surgery, joint appointment as Assistant Professor (voluntary), Rehabilitation Medicine, 7/1/94 through 6/30/97.
Kremer, Eugene, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/94 through 6/30/97.
Lawson, W. Travis, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, joint appointment as Assistant Professor (voluntary), Internal Medicine, 7/1/94 through 6/30/97.
Lee, Yung P., Instructor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Lipovan, Mircea, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/94 through 6/30/97.
Looff, John, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Luftman, Martin J., Assistant Professor (voluntary), Surgery, 7/1/94 through 6/30/97.
McGee, Edgar M., Instructor (voluntary), Surgery, 7/1/94 through 6/30/97.
McIntyre, Deborah L., Assistant Professor (voluntary), Surgery, 7/1/94 through 6/30/97.
Mih, Alexander D., Assistant Professor (voluntary), Surgery, 7/1/94 through 6/30/97.

Miller, Jon W., Assistant Professor (voluntary),
Emergency Medicine, 7/1/94 through 6/30/97.
Mitchell, William H., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.
Mooney, Charles S., Instructor (voluntary), Surgery,
7/1/94 through 6/30/97.
Moore, Andrew M., II., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.
Moore, Glenn I., Instructor (voluntary), Obstetrics and
Gynecology, joint appointment as Instructor
(voluntary), Family Practice, 7/1/94 through
6/30/97.
Moore, J. M., Assistant Professor (voluntary), Surgery,
7/1/94 through 6/30/97.
Mosley, Wayne, Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.
Myers, Robert, Assistant Professor (voluntary),
Diagnostic Radiology, 7/1/94 through 6/30/97.
Nayak, I. N., Assistant Professor (voluntary), Surgery,
7/1/94 through 6/30/97.
Newton, William D., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.
Nighbert, Edwin J., Instructor (voluntary), Surgery,
7/1/94 through 6/30/97.
Oexmann, James B., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.
ONeill, James E., Assistant Professor (voluntary),
Obstetrics and Gynecology, 7/1/94 through 6/30/97.
Ormond, David E., Assistant Professor (voluntary),
Emergency Medicine, 7/1/94 through 6/30/97.
Owen, Randal W., Instructor (voluntary), Obstetrics and
Gynecology, 7/1/94 through 6/30/97.
Owens, Dennis L., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.
Papp, Charles L., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.
Parell, William M., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.
Parr, Eugene Q., Instructor (voluntary), Surgery,
7/1/94 through 6/30/97.
Parr, Jeffery W., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.
Parulekar, Sharad S., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.
Passidomo, Michael A., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.
Patel, Ashok, Assistant Professor (voluntary),
Diagnostic Radiology, 7/1/94 through 6/30/97.
Phillips, Mary, Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.
Polisetty, Rayudu, Assistant Professor (voluntary),
Diagnostic Radiology, 7/1/94 through 6/30/97.

Poundstone, John W., Assistant Professor (voluntary),
Preventive Medicine and Environmental Health,
7/1/94 through 6/30/97.

Proudfoot, Richard W., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Purcell, Carolyn F., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.

Ratliff, Byran N., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.

Ravvin, Leon J., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Reams, Harold L., Assistant Professor (voluntary),
Surgery, joint appointment as Instructor
(voluntary), Family Practice, 7/1/94 through
6/30/97.

Roark, John H., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Roberts, Steve L., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.

Robertson, Henry D., Jr., Assistant Professor
(voluntary), Emergency Medicine, 7/1/94 through
6/30/97.

Rogers, Anthony G., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Rogers, Edwin L., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Ross, Charles B., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Ross, James K., Assistant Professor (voluntary),
Preventive Medicine and Environmental Health,
7/1/94 through 6/30/97.

Russell, James E., Instructor (voluntary), Surgery,
7/1/94 through 6/30/97.

Saha, Sibu P., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Schultz, Arthur F., Assistant Professor (voluntary),
Diagnostic Radiology, 7/1/94 through 6/30/97.

Serey, Patrick J., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Shelly, Elizabeth B., Instructor (voluntary),
Obstetrics and Gynecology, 7/1/94 through 6/30/97.

Shively, Eugene H., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Snyder, Larry, Assistant Professor (voluntary),
Emergency Medicine, 7/1/94 through 6/30/97.

Sprague, Arnold, Instructor (voluntary), Obstetrics and
Gynecology, 7/1/94 through 6/30/97.

Spurlin, Geraldine W., Instructor (voluntary),
Obstetrics and Gynecology, 7/1/94 through 6/30/97.

Spurlock, Carl W., Assistant Professor (voluntary),
Emergency Medicine, 7/1/94 through 6/30/97.

Stewart, John D., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Strickland, James W., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Swartz, William T., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Tackett, Reva D., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.

Tate, Peter S., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Thompson, Robert B., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.

Trent, Robert C., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.

Tutt, Henry P., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Updegrove, Randall, Assistant Professor (voluntary),
Preventive Medicine and Environmental Health,
7/1/94 through 6/30/97.

Van Der Harten, Arthur, Assistant Professor
(voluntary), Preventive Medicine and Environmental
Health, 7/1/94 through 6/30/97.

Vanmeter, Francis M., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Vigh, Alexander L., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.

Voss, Jon H., Instructor (voluntary), Obstetrics and
Gynecology, 7/1/94 through 6/30/97.

Wainscott, Barry L., Assistant Professor (voluntary),
Preventive Medicine and Environmental Health,
7/1/94 through 6/30/97.

Walton, William W., Instructor (voluntary), Surgery,
7/1/94 through 6/30/97.

Ward, Peter A., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Wells, Henry G., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

West, David M., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Wheeler, William B., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.

Wheeler, William G., Instructor (voluntary), Surgery,
joint appointment as Instructor, Family Practice,
7/1/94 through 6/30/97.

White, David, Assistant Professor (voluntary),
Diagnostic Radiology, 7/1/94 through 6/30/97.

Wolens, Daniel, Assistant Professor (voluntary),
Preventive Medicine and Environmental Health,
7/1/94 through 6/30/97.

Woods, Robert D., Assistant Professor (voluntary),
Surgery, 7/1/94 through 6/30/97.

Wrede, David A., Assistant Professor (voluntary),
Emergency Medicine, 7/1/94 through 6/30/97.
Yurchisin, Mark J., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/94 through 6/30/97.

C. CHANGES

College of Dentistry

Hill, Margaret, ~~from~~ Assistant Professor (part-time),
Oral Health Practice, ~~to~~ Assistant Professor
(voluntary), Oral Health Practice, 1/1/94 through
6/30/94.

College of Medicine

Whiteheart, Sidney, ~~from~~ Assistant Professor,
Biochemistry, 1/1/94 through 6/30/94, ~~to~~ Assistant
Professor, Biochemistry, 3/1/94 through 6/30/94.

College of Nursing

Daniels, Judith A., ~~from~~ Instructor (part-time), ~~to~~
Clinical Instructor, 4/6/94 through 6/30/94.

D. TERMINAL REAPPOINTMENTS

College of Dentistry

Cailleteau, Johnny G., Assistant Professor*, Oral
Health Practice, 12/1/94 through 11/30/95.

E. LEAVES OF ABSENCE

College of Medicine

Colclough, George W., Associate Professor* (with
tenure), Anesthesiology, sabbatical leave at full
salary, 4/1/94 through 9/30/94.

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY

RESOLUTION HONORING DR. JOHN WESLEY HATCH

WHEREAS, in the spring of 1948, John Wesley Hatch, then not yet twenty, had completed his sophomore year at the "Kentucky State College for Negroes," now known as Kentucky State University. His dream was to become a lawyer and practice in Kentucky. Unfortunately the "Day Law" forbade any educational institution in the Commonwealth of Kentucky to teach black and white students on the same campus under penalty of heavy fines, and

WHEREAS, John Wesley Hatch nonetheless applied for admission to the College of Law of the University of Kentucky. The Board of Trustees, after consultation with President Herman Donovan and Dean Elvis Stahr, decided to circumvent the "Day Law" by admitting John Wesley Hatch to the University of Kentucky College of Law while providing for his legal education by the faculty of the College of Law on the campus of Kentucky State, and

WHEREAS, in the Fall Semester of 1948 John Wesley Hatch was taught by five members of the University's regular law faculty who shuttled daily between a class of ninety first-year students in Lexington and a solo class of one in Frankfort. In the Spring Semester of that year an alternate solution was devised, and a faculty of six practicing lawyers were employed to teach John Wesley Hatch, still solo, in Frankfort, and

WHEREAS, the National Association for the Advancement of Colored People soon brought an action for a declaratory judgment to require the University to admit Lyman Johnson, a Louisville school teacher, to its Graduate School to pursue a program for a Ph.D. in history. This case was heard in federal court before Judge H. Church Ford in Lexington on June 25, 1949. Judge Ford promptly decided that the "Day Law" violated the guarantees of equal protection of law under the United States Constitution and ordered the University to admit all black students who were otherwise qualified to graduate and professional courses at the University of Kentucky, and

WHEREAS, John Wesley Hatch thereupon entered his third semester of law studies at Lafferty Hall on the University's campus in Lexington with his classmates of the Class of 1952. He was made welcome by faculty and students alike.

THEREFORE, today the Board salutes Dr. John Wesley Hatch for his important role in the termination of racial segregation in higher education in Kentucky. It was his dream, his determination, and his courage as a young student which emboldened the Board of Trustees to arrange his admission in 1948, as the first black student in the eighty-three years since the University's founding in 1865, and

WHEREAS, John Wesley Hatch's unique experience as a solo student throughout his first year of law school, his shift from a faculty of touring professors in his first semester to a faculty of Frankfort practitioners in his second semester, the loss of the give and take of his law school classmates, the law school library, and adequate study facilities in Lafferty Hall inevitably negatively impacted his education. The Board applauds John Wesley Hatch for his admirable patience, fortitude, and good will in what must have been a very trying year, and

WHEREAS, John Wesley Hatch left the University in 1950 to enter the United States Army during the Korean War. After his discharge, he proceeded to finish his A.B. degree at Knoxville College, his M.A. from Atlanta University and his Ph.D. degree from the University of North Carolina at Chapel Hill. He has been a Professor of Health Behavior and Health Education in the School of Public Health at the University of North Carolina at Chapel Hill for the past twenty years, currently holding the William R. Kenan Chair in Health Behavior. He has co-authored eight books and eighteen articles, and made over a hundred presentations in his chosen field. He has been involved in governmental hearings and consultations throughout the United States and around the world during the past twenty years.

NOW THEREFORE BE IT RESOLVED, that the Board expresses its great admiration for Dr. Hatch's extraordinary contributions in his chosen field of Public Health. He will always be remembered as the young man whose initiative and courage first desegregated this University by spending three semesters in 1948-50 as a student at the University of Kentucky College of Law.

This the 5th day of April, 1994



Edward T. Breathitt, Chairman
Board of Trustees

Office of the President
April 5, 1994

PR 3A

Members, Board of Trustees:

HONORARY DEGREE RECIPIENTS

Recommendation: that approval be granted to awarding the honorary degree of Doctor of Engineering to Ralph G. Anderson, the Doctor of Letters to Bobbie Ann Mason and the Doctor of Science to Phillip Allen Sharp.

Background: The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that honorary degrees be awarded to Ralph G. Anderson, Bobbie Ann Mason and Phillip Allen Sharp. These three bodies have expressed their approval of the recommendation.

Biographical sketches of the three recipients are attached.

Action: Approved ☒ Disapproved ☐ Other ☐

Date: April 5, 1994

1382

Ralph G. Anderson

Eight years after his graduation in 1950 with a Bachelor of Science degree in mechanical engineering, Ralph G. Anderson had started the Belcan Corporation, a Cincinnati-based company which today employs 4000 people and generates some \$250 million in fees annually and is one of the top ten industrial engineering firms in the U.S.

Ralph Anderson's story belongs to the Horatio Alger classic model. He grew up on a seven-acre farm in Mercer County, Kentucky, where his father was a tenant farmer. Even as a young boy he dreamed of becoming an engineer. After leaving the farm, he worked in Cincinnati as a machinist before joining the Air Force in 1943, serving as a B29 flight engineer. Subsequently, he attended the University of Cincinnati before transferring to UK in 1948, where he worked his way through school as a student instructor in the engineering machine shop. After graduation he worked at General Motors and General Electric as a test engineer, and at Kett Corporation in analytical analysis and design, before founding the Belcan Corporation in 1958.

Belcan provides a variety of technical support services for industry including testing, development and execution; architectural and facilities engineering; process engineering and design; and equipment development, among others, in partnering relationships with diverse industries such as pharmaceuticals, paper making, and aeronautics for such companies as Dupont, General Electric, Proctor & Gamble, General Motors, and Eli Lilly through 22 Belcan offices nationwide.

Anderson's contributions are widely recognized, as well, outside the corporate world. He has helped restore historic areas of Harrodsburg, has contributed liberally to the city of Cincinnati through the Cincinnati Bicentennial Commission and the Newport River Walk Project, and has been a faithful alumnus of the University of Kentucky and College of Engineering. He has established engineering scholarships to support student study at UK, supported the architectural and engineering team for the Center for Robotics and Manufacturing Systems, as well as the development of a Master Plan program for the College of Engineering--the results of which are seen today in the addition of the Civil Engineering Building and the Advanced Science and Technology Commercialization Center projects. His most recent support of the University came with the announcement of a gift of \$2 million to help construct a new mechanical engineering building which will complete the UK engineering complex. In 1993, the College of Engineering honored Ralph Anderson by inducting him into its Hall of Distinction.

For his successful leadership in engineering, for his creative use of innovative management processes, and for his humanity in contributing to community, to Kentucky, and to his Alma Mater, Ralph G. Anderson is recommended as the recipient of the Honorary Doctor of Engineering degree.

Bobbie Ann Mason

At the University of Kentucky where she earned a Bachelor's degree in English in 1962, Bobbie Ann Mason produced weekly columns on a variety of topics between 1959-60 for the Kentucky Kernel before assuming her duties as Assistant Managing Editor and as Arts Editor during 1960-62. In one of her columns on mathematics, she pondered, "So what if 10 years from now I can't conjugate a complex variable? Curve systems and stones may break my bones, but child guidance and library science will never hurt me."

Little more than 10 years later, she had completed an M.A. from the State University of New York at Binghamton and doctoral studies at the University of Connecticut where she received the Ph.D. with a dissertation on Vladimir Nabokov which was her first published book in 1974: **Nabokov's Garden: A Guide to Ada**. This was followed by **The Girl Sleuth: A Feminist Guide to the Bobbsey Twins, Nancy Drew, and Their Sisters** (1975).

In the late 1970's Bobbie Ann Mason began writing short stories, which drew the attention of the editors of the New Yorker who encouraged her continuing efforts in the field of creative fiction. Finally, in 1980, the magazine accepted her twentieth submission of "Offerings," a short story about rural Kentucky, family relationships, and change. "Offerings" was later included with fifteen other pieces in **Shiloh and Other Stories** which she published in 1982. Her subsequent literary achievements include her first novel, **In Country** (1985), a second volume of short stories (**Love Life**, 1989), and two additional novels, **Spence + Lila** (1988), and **Feather Crowns** (1993), which drew rave reviews including comments from a New York Times Book Review critic that the novel "possesses both gravity and grace; it has the power to move us with its simple, heartfelt depiction of ordinary, inarticulate people and their efforts to cope with the unexpected." Since 1980, her stories have appeared in such magazines as the New Yorker, the Atlantic Monthly, Redbook, Washington Post Magazine, New Boston Review, and others.

Rural Kentucky and the changes in it have provided Bobbie Ann Mason with many of her subjects, characters, and themes. The daughter of Wilburn & Christie Lee Mason, who owned a dairy farm outside Mayfield, Kentucky, Bobbie Ann Mason spent her childhood and youth in this rural setting. And it comes as no surprise that her stories and novels stir memories of her upbringing and the historical past of the region. Feather Crowns, for example, is based on an historical event concerning a Mayfield woman who gave birth to quintuplets in the 1890's.

For her high achievements as a creative writer of national repute, for her evocative recreations of rural Kentucky, and in recognition of her ever-rising stature as an alumna of the University of Kentucky, Bobbie Ann Mason is recommended as the recipient of an Honorary Doctor of Letters degree.

Phillip Allen Sharp

Newspaper headlines on October 12 in Kentucky and around the world heralded the announcement that an ex-Kentucky farm boy was the recipient of the 1993 Nobel Prize in medicine for research that revolutionized the understanding of how genetic material is structured. Following in the distinguished tradition of Lexingtonian Thomas Hunt Morgan (1933) and UK graduate, William Lipscomb (1976), Phillip Allen Sharp became the third person with Kentucky ties to be so honored.

The son of Joseph and Katherin Sharp of Pendleton County, Sharp excelled in trigonometry, biology, math and physics at Pendleton County High School; others have noted that he was also a pretty fair guard on his high school basketball team. He pursued his baccalaureate degree at Union College in Barbourville where he graduated with majors in chemistry and mathematics in 1966. He earned the Ph.D. in Chemistry from the University of Illinois at Champaign-Urbana three years later, followed by two years as an NIH Postdoctoral Fellow at California Institute of Technology (1969-71) and a position as senior research investigator at the Cold Spring Harbor (N.Y.) Laboratory (1972-74), before entering the academic ranks as Associate Professor at Massachusetts Institute of Technology in 1974 where he rose to the rank of Full Professor of biology in 1979. He was subsequently named the Director of the MIT Center for Cancer Research in 1985 and serves today as head of the Department of Biology. In 1990 when he was offered the Presidency of MIT, he declined it because it would have taken him away from his first love, research.

Long active as a scientist in the field of biogenetics, Sharp has been instrumental in developing several organizations related to the field and has served on the editorial boards of numerous scholarly journals. He has received awards from the American Cancer Society, Eli Lilly, the National Academy of Sciences, the Howard Ricketts award, the Alfred P. Sloan Jr. Prize, the Gairdner Foundation International award, the Albert Lasker Basic Medical Research Award, and the John D. MacArthur chair, among others, before his crowning achievement as co-recipient of the Nobel Prize. His research with Richard J. Roberts from the New England Biolabs in Beverly, Mass., resulted in their independent discovery in 1977 that genes are not composed of continuous segments of genetically meaningful information as most scientists had long assumed. Their discovery has been of fundamental importance for basic research in biology as well as for medically oriented research concerning the development of cancer and other diseases.

For his distinguished scientific career, for his discoveries which have advanced human knowledge and understanding, and for the honor which he brings to himself and his native Kentucky as the 1993 Nobel Laureate in Physiology or Medicine, Dr. Phillip A. Sharp is recommended as a recipient of the Honorary Doctor of Science degree.

Office of the President
April 5, 1994

PR3B

Members, Board of Trustees:

UNIVERSITY RESEARCH PROFESSORSHIPS

Recommendation: that the Board of Trustees approve the naming of Professors Kathleen M. Blee, Department of Sociology; Joseph W. Brill, Department of Physics and Astronomy; and Salvatore J. Turco, Department of Biochemistry, as University Research Professors for 1994-95.

Background: In April 1976, the University Senate recommended to the President the establishment of one-year Research Professorships and that priority be given to the goal of establishing permanent Research Professorships as funds became available. At its meeting in May 1976, the University of Kentucky Research Foundation voted to support one-year Research Professorships to be activated in the Fall of 1977. The first Research Professors were named by the Board of Trustees at its meeting on March 8, 1977.

The purpose of the University Research Professorships is to enhance and encourage scholarly research productivity, provide an opportunity for concentrated research effort for selected faculty members, recognize outstanding research achievement by members of its faculty, emphasize its research function, and to publicize its research accomplishments in order that the research function of the University be appropriately recognized and understood.

Action: Approved ☒ Disapproved ☐ Other ☐

Date: April 5, 1994

Office of the President
April 5, 1994

PR3C

Members, Board of Trustees:

APPOINTMENT OF CHANCELLOR FOR THE ALBERT B. CHANDLER MEDICAL CENTER

Recommendation: that Dr. James Wilson Holsinger, Jr. be appointed Chancellor for the Albert B. Chandler Medical Center effective July 1, 1994.

Background: Following the announcement that Dr. Peter P. Bosomworth will step down from the position of Chancellor for the Albert B. Chandler Medical Center on June 26, 1994, a nationwide search was initiated to obtain nominations and applications for the chancellor's position. Lamalie Amrop International, a consulting firm also provided significant assistance with the search. An advisory committee comprised of student, administrative, and faculty representation was appointed to advise the President on the search.

One hundred and sixty-two applications were solicited and seven candidates were interviewed by the advisory committee and the President. Three candidates were invited to meet with Medical Center administrators, deans, associate deans, chairs, heads, and directors and the Medical Center faculty, students, and staff. The results of the extensive search and evaluation led to the recommendation that Dr. Holsinger be appointed Chancellor for the Albert B. Chandler Medical Center.

Dr. Holsinger is currently Director, Veterans Affairs Medical Center, Lexington, Kentucky. He received his baccalaureate, M.D., and Ph.D. degrees from Duke University and has an M.S. with a major in hospital financial management from the University of South Carolina. Prior to his appointment as Director, Veterans Affairs Medical Center, he served as Under Secretary for Health, Veterans Health Administration, Department of Veterans Affairs, Washington, D.C. and prior to that was Chief Medical Director for the Veterans Health Administration. Among his recent honors, Dr. Holsinger has received the American College of Health Care Executives Gold Medal, the Department of Veterans Affairs Exceptional Service Award, and the United States Public Health Service Surgeon General's Medallion.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 5, 1994

Office of the President
April 5, 1994

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE EIGHT MONTHS ENDED
FEBRUARY 28, 1994

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the eight months ended February 28, 1994.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of February 28, 1994, the University had realized income of \$688,435,000 representing 77% of the 1993-94 estimate of \$899,422,000. Expenditures and commitments total \$591,591,000 or 66% of the approved budget of \$899,422,000.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 5, 1994

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FEBRUARY 28, 1994
with comparative totals for February 28, 1993
(in thousands)

	1993-94					1992-93	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 109,726	\$ 13,837	\$ 1,703	\$ 494	\$ 135,537	\$ 261,297	\$ 272,867
Notes, loans, and A/R (less bad debt allowances of \$8,861)	79,728	14,386	19,177	813	1,662	115,766	100,708
Investments	9,109	51,163		107,425	58,175	225,872	208,065
Property, plant, and equipment					1,052,713	1,052,713	1,020,139
Inventories and other	12,611	86				12,697	13,742
Total Assets	<u>\$ 211,174</u>	<u>\$ 79,472</u>	<u>\$ 20,880</u>	<u>\$ 108,732</u>	<u>\$ 1,248,087</u>	<u>\$ 1,668,345</u>	<u>\$ 1,615,521</u>
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 20,166	\$ 2,468	\$ 225		\$ 467	\$ 23,326	19,684
Employee withholdings and deposits		10,279				10,279	9,123
Other liabilities	3,491	228			5,609	9,328	13,327
Liability for self insurance	23,301					23,301	21,321
Deferred income	6,124	11,174				17,298	15,560
Bonds payable					326,929	326,929	319,459
Capitalized lease obligation					33,866	33,866	39,150
Net deferred revenues and appropriated fund balances	101,610	3				101,613	87,171
Total Liabilities	<u>154,692</u>	<u>24,152</u>	<u>225</u>		<u>366,871</u>	<u>545,940</u>	<u>524,804</u>
Interfund Balances	<u>(22,690)</u>	<u>27,244</u>			<u>(4,554)</u>		
Fund Balances							
Current unrestricted							
Working capital	66,939					66,939	67,414
Future operating purposes	6,562					6,562	9,415
Other	5,671					5,671	2,434
Current restricted		28,076				28,076	26,824
Loan			20,655			20,655	19,891
True endowments				\$ 64,247		64,247	59,070
Term endowments				5,108		5,108	3,690
Quasi endowments				39,377		39,377	30,847
Plant							
Retirement of indebtedness					37,340	37,340	36,455
Renewal and replacement					64,122	64,122	45,675
Allocated for designated projects					27,570	27,570	28,937
Net investment in plant					756,738	756,738	760,065
Total Fund Balances	<u>79,172</u>	<u>28,076</u>	<u>20,655</u>	<u>108,732</u>	<u>885,770</u>	<u>1,122,405</u>	<u>1,090,717</u>
Total Liabilities and Fund Balances	<u>\$ 211,174</u>	<u>\$ 79,472</u>	<u>\$ 20,880</u>	<u>\$ 108,732</u>	<u>\$ 1,248,087</u>	<u>\$ 1,668,345</u>	<u>\$ 1,615,521</u>

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE EIGHT MONTHS ENDED FEBRUARY 28, 1994
with comparative totals for February 28, 1993
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1993-94				1992-93	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 313,694	\$ 313,694	\$ 235,053	75	\$ 229,594	74
(2) Student fees	109,511	110,238	104,313	95	91,245	96
(3) Federal appropriations	15,040	15,040	10,524	70	9,786	65
(4) County appropriations	5,347	5,613	3,351	60	3,247	61
(5) Endowment income	801	801	362	45	377	47
(6) Investment income	6,039	6,039	5,164	86	5,662	68
Gifts and grants						
(7) Affiliated corporations	7,961	9,407	4,977	53	4,121	49
(8) Other	3,867	4,372	2,188	50	1,957	46
(9) Sales and services	24,868	24,909	15,285	61	15,290	64
(10) Fund balance	7,763	11,946	11,946	100	7,220	100
(11) Total General Fund	494,891	502,059	393,183	78	368,499	77
(12) Auxiliary Enterprises	37,056	37,317	27,261	73	26,245	72
(13) Restricted Funds	68,263	69,301	48,533	70	46,220	74
(14) Affiliated Corporations	104,412	106,174	77,078	73	65,346	73
Hospital						
(15) Revenues	184,571	184,571	142,400	77	138,989	73
(16) Fund balance						
Total Revenues and (17) Appropriated Fund Balances	<u>\$ 889,193</u>	<u>\$ 899,422</u>	<u>\$ 688,435</u>	77	<u>\$ 645,299</u>	75

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE EIGHT MONTHS ENDED FEBRUARY 28, 1994
with comparative totals for February 28, 1993
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1993-94				1992-93	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 225,352	\$ 225,425	\$ 136,837	61	\$ 135,063	63
(2) Research	103,723	104,528	70,179	67	63,127	69
(3) Public service	76,414	77,242	49,600	64	45,550	59
(4) Academic support	55,835	59,205	34,984	59	33,270	61
(5) Student services	21,021	21,508	14,251	66	13,249	66
(6) Institutional support	38,317	41,443	23,216	56	22,364	61
(7) Student financial aid	52,179	52,618	47,995	91	43,982	95
(8) Operation and maintenance	40,400	41,240	25,575	62	22,706	60
(9) Mandatory transfers (debt service)	36,112	36,112	35,682	99	36,185	99
(10) Hospital	184,308	184,308	112,539	61	109,648	58
(11) Auxiliary enterprises	55,532	55,793	40,733	73	38,224	71
(12) Total Expenditure by Program	<u>\$ 889,193</u>	<u>\$ 899,422</u>	<u>\$ 591,591</u>	66	<u>\$ 563,368</u>	66

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE EIGHT MONTHS ENDED FEBRUARY 28, 1994
with comparative totals for February 28, 1993
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1993-94				1992-93	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 511,415	\$ 510,968	\$ 333,582	65	\$ 320,705	66
(14) Operating expenses	302,653	314,825	199,180	63	187,567	62
(15) Mandatory transfers (debt service)	41,200	41,200	39,352	96	39,239	95
(16) Capital outlay	33,925	32,429	19,477	60	15,857	54
(17) Total Expenditure by Category	<u>\$ 889,193</u>	<u>\$ 899,422</u>	<u>\$ 591,591</u>	66	<u>\$ 563,368</u>	66

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE EIGHT MONTHS ENDED FEBRUARY 28, 1994
with comparative totals for February 28, 1993
(In thousands)

	(A)	(B)	(C)
	<u>1993-94</u>		<u>1992-93</u>
(1) Realized Revenues		\$ 676,489	\$ 638,079
Appropriated Fund Balances			
(2) Current unrestricted fund	\$ 11,946		7,220
(3) University Hospital			
(4) Total Appropriated Fund Balances		<u>11,946</u>	<u>7,220</u>
(5) Total Revenues and Appropriated Fund Balances		688,435	645,299
(6) Expenditures/Encumbrances	591,591		563,368
(7) Less: Reserve for Encumbrances	<u>(4,769)</u>		<u>(5,240)</u>
(8) Total Expenditures		<u>586,822</u>	<u>558,128</u>
(9) Net Deferred Revenues and Appropriated Fund Balances		<u>\$ 101,613</u>	<u>\$ 87,171</u>

Office of the President
April 5, 1994

FCR 2

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending March 31, 1994, be accepted.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the three months ending March 31, 1994, the University has:

1. Awarded seven (7) architect and engineer contracts.
2. Approved eight (8) final payments for architect and engineer contracts.
3. Awarded twenty-seven (27) construction contracts.
4. Approved fifty-six (56) change orders to construction contracts.
5. Approved ten (10) final payments for construction contracts.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: April 5, 1994

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
CHRISMAN-MILLER-WOODFORD LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	N/A	\$ 38,293	06/11/93
Amendments #1	Contract Extension 07/01/93 - 06/30/94			
FULLER, MOSSBARGER SCOTT & MAY LEXINGTON	PER DIEM CONSULTANT GEOTECHNICAL SERVICES	N/A	\$ 71,434	06/11/93
Amendments #1	Contract Extension 07/01/93 - 06/30/94			
WHITE-WALKER & McREYNOLDS LEXINGTON	PER DIEM CONSULTANT STRUCTURAL ENGINEERING SERVICES	N/A	\$ 47,414	06/11/93
Amendments #1	Contract Extension 07/01/93 - 06/30/94			
McCOY & McCOY, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL SERVICES	N/A	\$ 66,298	06/18/93
Amendments #1	Contract Extension 07/01/93 - 06/30/94			
PARROTT, ELY & HURT LEXINGTON	PER DIEM CONSULTANT SPECIAL CONSULTING SERVICES COLDSTREAM FARM DEVELOPMENT	N/A	\$ 127,856	10/14/92
PARROTT, ELY & HURT LEXINGTON	PER DIEM CONSULTANT PROJECTS REPORT COLDSTREAM FARM DEVELOPMENT	N/A	\$ 32,347	10/14/92
SCOTT R. SMITH ENVIRONMENTAL MANAGEMENT CONSULTANTS, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL SERVICES	N/A	\$175,000	11/18/93
SHERMAN, CARTER, BARNHART LEXINGTON	FIELD HOUSE Project No. 147.0	\$ 8,500,000	\$ 349,090	07/31/90
Previous Amendments #1-7			+ 95,898	
Consultant's Fee To Date:			\$ 444,988	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
STAGGS & FISHER ENGINEERS LEXINGTON	HOSPITAL-WASTE INCINERATOR REPLACEMENT Project No. 337.0	\$ 1,453,600	\$ 64,650	06/31/89
	Previous Amendments #1-2		+ 82,889	
	Consultant's Fee To Date:		\$ 147,539	
ELLERBE BECKET, INC. MINNEAPOLIS, MN	SANDERS BROWN CENTER ON AGING PLANNING Project No. 405.0	\$ 600,000	\$ 542,490	07/31/92
MILWAIN + ASSOCIATES LEXINGTON	PADUCAH COMMUNITY COLLEGE ALLIED HEALTH LANDSCAPING Project No. 620.1	\$ 32,000*	\$ 9,830	05/27/93
	*Project Scope Amount includes funds for Campus Master Plan			
ROSS-FELDMAN ARCHITECTURE LEXINGTON	SOMERSET C.C. ECONOMIC DEVELOPMENT/ CULTURAL CENTER Project No. 631.0	\$ 9,800,000	\$ 476,862	02/28/90
	Previous Amendments #1-4		+ 382,559	
	Consultant's Fee To Date:		\$ 859,421	
FULLER, MOSSBARGER SCOTT & MAY LEXINGTON	ASTECC FACILITY & CIVIL ENGINEERING BLDG. Project Nos. 640.1/640.2 640.3/640.4 & 746.0	\$ 29,210,000	\$ 19,928	04/30/92
	Previous Amendments #1-3		+ 80,184	
	Consultant's Fee To Date:		\$ 100,112	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTECC PHASE I Project No. 640.1	\$ 4,438,000	\$ 240,000	12/21/90
	Previous Amendments #1-2		+ 21,401	
	Consultant's Fee To Date:		\$ 261,401	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE II Project No. 640.2	\$ 4,438,000	\$ 243,872	06/28/91
	Previous Amendments #1-3		+ 21,401	
	Consultant's Fee To Date:		\$ 265,273	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE III Project No. 640.3	\$ 4,499,000	\$ 183,673	06/29/92
	Previous Amendment #1		+ 24,265	
	Consultant's Fee To Date:		\$ 207,938	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE IV Project No. 640.4	\$ 4,185,000	\$ 229,847	01/27/93
STAGGS & FISHER ENGINEERS LEXINGTON	MEDICAL CENTER UPGRADE FUME HOODS Project No. 644.0	\$ 1,200,000*	\$ 49,000	06/21/89
	Previous Amendment #1		+ 490,345*	
	Consultant's Fee To Date:		\$ 539,345	
	*Amendment #1	Includes consulting fees for \$6 million Project 745.8 for total scope for design purposes of \$7.2 million.		
JERRY A. TAYLOR & ASSOC. LEXINGTON	AGRICULTURAL NORTH & SOUTH FUME HOODS Project No. 644.2/745.2/745.3	\$ 4,283,510	\$ 55,210	09/29/89
	Previous Amendments #1-2		+ 264,795	
	Consultant's Fee To Date:		\$ 320,005	
MASON & HANGER LEXINGTON	COOLING PLANT #2 EXPANSION Project No. 666.0	\$ 13,750,000	\$ 428,446	12/22/89
	Previous Amendments #1-5		+ 410,981	
	Consultant's Fee To Date:		\$ 839,427	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
MASON & HANGER LEXINGTON	MEDICAL CENTER CHILLED WATER MASTER PLAN Project No. 666.5	\$ 58,177	\$ 51,000	05/27/93
COLLIGNON & NUNLEY OWENSBORO	HENDERSON C.C. ACADEMIC/TECHNICAL BUILDING Project No. 722.0	\$ 4,000,000	\$ 178,000	12/21/90
	Previous Amendments #1-3		+ 31,414.80	
	Consultant's Fee To Date:		\$ 209,414.80	
COLLIGNON & NUNLEY OWENSBORO	HENDERSON C.C. AUDITORIUM/FINE ARTS BUILDING Project No. 723.0	\$ 6,260,000	\$ 307,000	12/21/90
	Previous Amendments #1-2		+ 36,000	
	Consultant's Fee To Date:		\$ 343,000	
OMNI ARCHITECTS LEXINGTON	OWENSBORO C.C. CAMPUS COMPLETION Project No. 725.0	\$ 4,500,000	\$ 197,344	09/28/90
	Previous Amendments #1-2		+ 42,594	
	Consultant's Fee To Date:		\$ 239,938	
JOHNSON, DEPP & QUISENBERRY OWENSBORO	OWENSBORO C.C. ROADWAY COMPLETION Project No. 725.2	\$ 99,640	\$ 8,400	11/30/92
	CONSULTANT'S FINAL PAYMENT:		\$ 8,400	01/27/94
CLOTFELTER & ASSOCIATES LEXINGTON	MAYSVILLE COMM. COLLEGE STUDENT CENTER Project No. 727.0	\$ 4,000,000	\$ 185,500	01/31/91
	Previous Amendment #1		+ 35,065	
	Consultant's Fee To Date:		\$ 220,565	
WITT & ASSOCIATES, INC. LEXINGTON	SOUTHEAST C.C. FINE ARTS - PARKING LOT Project No. 728.1	\$ 75,200	\$ 5,000	06/11/93
	CONSULTANT'S FINAL PAYMENT:		\$ 5,000	01/04/94

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
ROSS-FELDMAN ARCHITECTURE LEXINGTON	LEXINGTON COMM COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 729.0	\$ 4,800,000	\$ 200,000	12/21/90
	Previous Amendments #1-4		+ 34,628	
	Consultant's Fee To Date:		\$ 234,628	
JOHNSON/ROMANOWITZ LEXINGTON	BELL COUNTY CAMPUS SOUTHEAST C.C./KENTUCKY TECH Project No. 730.0	\$ 9,891,400	\$ 448,503	03/31/92
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. PLAZA AND PARKING LOT Project No. 731.1	\$ 263,255	\$ 21,500	08/06/92
	Previous Amendment #1		+ 1,800	
	CONSULTANT'S FINAL PAYMENT:		\$ 23,300	2/16/94
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	MEDICAL CENTER FIRE SPRINKLERS Project No. 741.0	\$ 1,000,000	\$ 67,980	09/28/90
JERRY A. TAYLOR & ASSOC. LEXINGTON	CHEMISTRY/PHYSICS FUME HOOD MODERNIZATION Project No. 745.1	\$ 3,180,150	\$ 39,000	04/30/91
	Previous Amendments #1-2		+ 197,100	
	Consultant's Fee To Date:		\$ 236,100	
JERRY A. TAYLOR & ASSOC. LEXINGTON	RESEARCH #1 FUME HOOD MODIFICATION Project No. 745.4	\$ 375,340	\$ 11,945	09/29/89
	Previous Amendment #1		+ 100,900	
	Consultant's Fee To Date:		\$ 112,845	
HOWARD K. BELL CONSULTING ENGINEERS, INC. LEXINGTON	MEDICAL SCIENCE/DENTAL WINGS ROOF ASBESTOS ABATEMENT Project No. 745.81	\$ 106,826	\$ 9,657	09/30/92
	CONSULTANT'S FINAL PAYMENT:		\$ 9,657	02/15/94

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BICKEL-GIBSON ARCHITECTS LEXINGTON	CIVIL ENGINEERING BLDG. Project No. 746.0	\$ 11,650,000	\$ 20,000	11/30/90
	Previous Amendments #1-4		+ 692,956	
	Consultant's Fee To Date:		\$ 712,956	
CHRISMAN-MILLER-WOODFORD LEXINGTON	MEDICAL RESEARCH EXPANSION SPACE Project No. 747.0	\$ 19,500,000	\$ 1,163,862	01/31/91
	Previous Amendments #1-2		+ 186,894	
	Consultant's Fee To Date:		\$ 1,350,756	
E O ASSOCIATES ARCHITECTS LEXINGTON	ANIMAL DIAGNOSTIC FACILITY Project No. 748.0	\$ 3,815,000	\$ 198,000	12/21/90
	Previous Amendments #1-2		+ 74,882	
	Consultant's Fee To Date:		\$ 272,882	
WATKINS & ASSOCIATES LEXINGTON	RESIDENCE HALLS RENOVATION Project No. 773.0	\$ 2,866,000	\$ 14,736	02/28/91
	Previous Amendment #1		+ 193,264	
	Consultant's Fee To Date:		\$ 208,000	
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	HOSPITAL NURSING UNIT MODIFICATION Project No. 777.0	\$ 500,000	\$ 34,762	07/31/91
WILBUR SMITH ASSOCIATES LEXINGTON	ELIZABETHTOWN C.C. ACCESS DRIVE/HANDICAP PARKING Project No. 779.0	\$ 99,000	\$ 9,500	06/29/92
PRESTON ASSOCIATES LOUISVILLE	JEFFERSON C.C. (DOWNTOWN) HANDICAPPED DOOR OPERATORS Project No. 789.0	\$ 57,000	\$ 5,665	04/13/93

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
DCT DESIGN GROUP LTD. LEXINGTON	AMBULATORY SURGERY UPGRADE Project No. 798.0	\$ 1,300,000	\$ 97,000	04/30/92
	Previous Amendment #1		+ 13,300	
	CONSULTANT'S FINAL PAYMENT		\$ 110,300	1/7/94
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	HOLMES HALL FIRE ALARM Project No. 889.0	\$ 180,000	\$ 13,613	04/30/92
PARROTT, ELY & HURT LEXINGTON	COLDSTREAM FARM CIVIL ENGINEERING PHASE I Project No. 894.0	\$ 1,225,300	\$ 235,764	11/18/92
PARROTT, ELY & HURT LEXINGTON	COLDSTREAM RESEARCH CAMPUS SITE DEVELOPMENT UNIT TWO Project No. 894.0	\$ 1,225,300	\$ 309,200	12/18/92
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	HOSPITAL NURSING MODIFICATION III Project No. 896.0	\$ 341,000	\$ 15,000	06/29/92
QUEST ENGINEERS, INC. LEXINGTON	HOSPITAL ELECTRICAL SYSTEM FEASIBILITY STUDY Project No. 1413.0	\$ 20,000	\$ 16,090	08/31/92
QUEST ENGINEERS, INC. LEXINGTON	COMMONWEALTH STADIUM BLUE LOT Project No. 1414.0	\$ 438,000	\$ 28,350	07/21/92
	Previous Amendment #1		+ \$8,768	
	Consultant's Fee To Date:		\$ 37,118	
QUEST ENGINEERS, INC. LEXINGTON	MADISONVILLE C.C. AUDITORIUM PARKING LOT Project No. 1419.0	\$ 520,000	\$ 40,766	10/14/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
K. NORMAN BERRY ASSOC. LOUISVILLE	JEFFERSON C.C. FINE ARTS CENTER RENOVATION PHASE I Project No. 1420.0	\$ 129,500	\$ 14,800	11/11/92
	Previous Amendment #1		- \$2,380	
	Consultant's Fee To Date:		\$ 12,420	
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON C.C. (DOWNTOWN) BOILER REPLACEMENT - BROADWAY BLDG. Project No. 1429.0	\$ 50,000	\$ 4,500	01/20/93
PEARSON + BENDER + JOLLY LEXINGTON	HENDERSON C.C. LRC STAIRWELL RENOVATION Project No. 1430.0	\$ 100,000	\$ 7,750	01/22/93
	CONSULTANT'S FINAL PAYMENT:		\$ 7,750	01/14/94
KALLMANN MCKINNELL & WOOD BOSTON, MA	CENTRAL AND LIFE SCIENCES LIBRARY Project No. 1432.0	\$ 58,000,000	\$ 4,365,027	08/21/92
	Previous Amendment #1		- 0 -	
	Consultant's Fee To Date:		\$ 4,365,027	
J. PATRICK KERR ARCHITECT PADUCAH	RENOVATIONS OF WALLER AND ROSENTHAL HALLS Project No. 1433.0	\$ 435,000	\$ 31,120	05/27/93
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. LRC ROOF REPLACEMENT Project No. 1434.0	\$ 150,000	\$ 11,135	03/17/93
	CONSULTANT'S FINAL PAYMENT:		\$ 11,135	01/24/94
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. HARTFORD TOWER ROOF REPLACEMENT Project No. 1435.0	\$ 75,000	\$ 6,645	03/17/93

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON C.C. (DOWNTOWN) 1ST FLOOR RENOV. - BROADWAY BLDG. Project No. 1436.0	\$ 260,000	\$ 17,500	01/20/93
	Previous Amendment #1		+ 2,647	
	Consultant's Fee To Date:		\$ 20,147	
GODSEY ASBESTOS CONSULTANTS LOUISVILLE	MEDICAL CENTER - RM. H27 ASBESTOS REMOVAL Project No. 1439.0	\$ 221,000	\$ 14,125	01/20/93
GODSEY ASBESTOS CONSULTANTS LOUISVILLE	MEDICAL CENTER - RM. H14 ASBESTOS REMOVAL Project No. 1440.0	\$ 69,000	\$ 7,063	01/20/93
CMW, INC. LEXINGTON	A.B. CHANDLER MEDICAL CENTER ENDOSCOPY SUITE RENOVATION Project No. 1449.0	\$750,000	\$60,000	11/18/93
	Amendment #1		+ 24,825	
	Reason for Amendment - To add an exterior air handling unit and penthouse and for a complete feasibility study to determine whether the Endoscopy Suite and Angiography Suite can be served by a single air handling unit.			
	Consultant's Fee To Date:		\$ 84,825	
JAMES W. POTTS ARCHITECTS LEXINGTON	404 LINDEN WALK ROOF REPLACEMENT Project No. 1454.0	\$ 46,667	\$ 4,300	07/08/93
	Previous Amendment #1		+ 1,500	
	CONSULTANT'S FINAL PAYMENT:		\$ 5,800	01/07/94
MASON & HANGER ENGINEERS LEXINGTON	HAZARDOUS WASTE STORAGE FACILITY Project No. 1456.0	\$ 1,600,000	\$ 78,000	01/22/93
	Previous Amendment #1		+ 8,593	
	Consultant's Fee To Date:		\$ 86,593	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
PEARSON + BENDER + JOLLY LEXINGTON	ASHLAND COMM. COLLEGE ACADEMIC CLASSROOM BLDG Project No. 1459.0	\$4,810,000	\$ 234,910	10/01/93
CMW, INC. LEXINGTON	HAZARD COMMUNITY COLLEGES STUDENT, PERFORMING ARTS & REGIONAL CONFERENCE CTR Project No. 1460.0	\$ 13,500,000	\$860,000*	06/29/93
Amendment #1			+ 60,400	
Reason for Amendment - To provide Phase 2, Design Development services for the second phase of the Student, Performing Arts and Regional Conference Center, which contains the Business and Industry Technical Assistance Center and the Regional Conference Center.				
Consultant's Fee To Date:			\$ 920,400	
*Only Phase 1 and Phase 2 are encumbered at this time for total of \$301,000 pending authorization of full project.				
COLLIGNON AND NUNLEY, ARCHITECTS, P.S.C. OWENSBORO	HOPKINSVILLE COMM. COLLEGE REGIONAL TECHNICAL TRAINING CENTER Project No. 1461.0	\$6,750,000	\$ 465,000	10/08/93
FARRIS, HATCHER, TREMPER ASSOC., INC. PADUCAH	PADUCAH COMM. COLLEGE STUDENT CENTER/FINE ARTS BOILER REPLACEMENT Project No. 1462.0	\$ 100,000	\$ 7,704	05/27/93
RICHARDSON ASSOCIATES ARCHITECTS WHITESBURG	SOUTHEAST COMM. COLLEGE NEWMAN HALL RENOVATION Project No. 1463.0	\$ 400,000	\$ 30,000	11/12/93
PEARSON + BENDER + JOLLY LEXINGTON	MILLER HALL RENOVATION Project No. 1465.0	\$ 2,620,000	\$ 144,650	04/30/93
WOOLPERT ASHLAND	HAZARD COMMUNITY COLLEGE PHYSICAL DEVELOPMENT PLAN Project No. 1466.0	\$ 28,500	\$ 28,500	08/06/93
MCIIWAIN + ASSOCIATES, ASLA LEXINGTON	HOPKINSVILLE COMM. COLLEGE PHYSICAL DEVELOPMENT PLAN Project No. 1467.0	\$ 26,600	\$ 26,600	08/25/93

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
TATE HILL JACOBS: ARCHITECTS, INC. LEXINGTON	ADMINISTRATION BUILDING ACCESSIBILITY RENOVATION Project No. 1468.0	\$ 342,000	\$ 28,000	05/28/93
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON COMM. COLLEGE HARTFORD BLDG. & LIBRARY SPRINKLERS Project No. 1469.0	\$ 365,000	\$ 26,880	05/27/93
HOWARD K. BELL CONSULTING ENGINEERS LEXINGTON	HOPKINSVILLE COMM. COLLEGE FIRE SPRINKLERS FOR THE ACADEMIC, LEARNING RESOURCE CTR. & ADMIN. BLDGS. Project No. 1470.0	\$ 230,000	\$ 16,581	06/18/93
WOOLPERT ASHLAND	ASHLAND COMMUNITY COLLEGE PHYSICAL DEVELOPMENT PLAN Project No. 1473.0	\$ 28,500	\$ 28,500	08/20/93
OMNI ARCHITECTS LEXINGTON	SCOVELL HALL RENOVATION Project No. 1474.0	\$ 1,000,000	\$ 92,780	10/21/93
DAVID C. BANKS, ARCHITECTS + ASSOCIATES FRANKFORT	SCOVELL HALL ASBESTOS ABATEMENT Project No. 1474.1	\$ 353,780	\$ 42,750	07/08/93
Previous Amendment #1			+ 1,932	
Consultant's Fee To Date:			\$ 44,682	
ENVIRONMENTAL PLANNING AND DESIGN PITTSBURGH, PA	ARBORETUM PLANNING AND GRADING Project No. 1475.0	\$ 10,000	\$ 9,500	06/18/93
Consultant's Fee To Date:			\$ 9,500	
WOOLPERT ASHLAND	PRESTONSBURG COMM. COLLEGE PHYSICAL DEVELOPMENT PLAN Project No. 1476.0	\$ 28,500	\$ 28,500	11/12/93
SCRUGGS AND HAMMOND, INC. LEXINGTON	OWENSBORO COMM. COLLEGE PHYSICAL DEVELOPMENT PLAN Project No. 1477.0	\$ 25,000	\$ 25,000	09/27/93

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
QUEST ENGINEERS, INC. LEXINGTON	COMMONWEALTH STADIUM YELLOW LOT Project No. 1479.0	\$ 362,000	\$ 27,000	10/01/93
KAISER-TAULBEE ASSOCIATES, INC. LEXINGTON	HAZARD COMMUNITY COLLEGE PHASE I CHILLER REPLACEMENT Project No. 1482.0	\$ 120,000	\$ 9,300	08/09/93
McILWAIN AND ASSOCIATES LEXINGTON	HENDERSON COMM. COLLEGE ADDITIONAL PARKING LOT Project No. 1483.0	\$195,000	\$ 15,150	10/21/93
KAISER-TAULBEE ASSOCIATES, INC. LEXINGTON	PRESTONSBURG COMM COLLEGE PIKE BLDG-CHILLER REPLACEMENT Project No. 1484.0	\$ 120,000	\$ 9,300	10/05/93
HOWARD K. BELL CONSULTING ENGINEERS LEXINGTON	HOPKINSVILLE COMM. COLLEGE RENOVATION OF THE CHEMISTRY LAB & STORAGE ROOM Project No. 1486.0	\$ 110,000	\$ 10,275	08/06/93
TATE-HILL-JACOBS: ARCHITECTS, INC. LEXINGTON	DEVELOPMENT OFFICE ADDITION Project No. 1488.0	\$ 465,000	\$ 28,125	09/24/93
JAMES W. POTTS ARCHITECTS LEXINGTON	DENTAL CLINIC LABS AND OFFICE RENOVATION Project No. 1489.0	\$150,000	\$ 7,890	10/21/93
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	MAYSVILLE COMM. COLLEGE ACADEMIC/ADMINISTRATION BUILDING RENOVATION Project No. 1491.0	\$400,000	\$ 30,200	11/12/93
JARED S. WATSON, AND ASSOCIATES LEXINGTON	DONOVAN HALL RENOVATION UNIVERSITY OF KENTUCKY Project No. 1492.0	\$190,000	\$ 9,170	12/20/93
DAVID C. BANKS, ARCHITECTS AND ASSOCIATES FRANKFORT	ELIZABETHTOWN COMM. COLLEGE SCIENCE AND STUDENT CENTER ASBESTOS ABATEMENT Project No. 1493.0	\$195,000	\$ 25,300	2/02/94

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
CMW, INC. LEXINGTON	VACATED LABOR AND DELIVERY UNIVERSITY OF KENTUCKY Project No. 1496.0	\$700,000	\$ 85,890	3/18/94
J. PATRICK KERR, ARCHITECT PADUCAH	PADUCAH COMMUNITY COLLEGE GYM ROOF REPLACEMENT Project No. 1498.0	\$195,000	\$ 13,020	2/14/94
PEARSON + BENDER + JOLLY LEXINGTON	ASHLAND COMMUNITY COLLEGE WIND PROTECTION ENCLOSURE Project No. 1499.0	\$195,000	\$ 8,000.00	3/18/94
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	KIRWAN/BLANDING ELEVATOR RENOVATION Project No. 1501.0	\$170,000	\$ 11,500	2/25/94
PEARSON + BENDER + JOLLY ARCHITECTS LEXINGTON	PRESTONSBURG COMM. COLLEGE MARTIN STUDENT CENTER ROOF REPLACEMENT Project No. 7-62001	\$ 95,000	\$ 8,190	08/25/93
MARCUM ENGINEERING PADUCAH	PADUCAH COMMUNITY COLLEGE CARSON HALL CHILLED WATER PIPING INSTALLATION Project No. 7-62003	\$ 20,000	\$ 2,400	02/17/94
LYLE ASSOCIATES LEXINGTON	MAYSVILLE COMMUNITY COLLEGE BRICK SEALER APPLICATION Project No. 7-62004	\$ 50,000	\$ 5,200	02/22/94

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
C.E. PENNINGTON CO. LEXINGTON	FIELD HOUSE Project No. 147.0	\$ 6,772,000- 7,240,000	10/29/91	4	\$ 6,772,000.00
	Previous Change Orders #1-19				+ 44,394.13
	Contractor's Revised Contract Amount To Date:				\$ 6,816,394.13
SPECTRUM CONTRACTING SERVICES, INC. LEXINGTON	FIELD HOUSE GYMNASICS FIT-UP Project No. 147.3	\$ 184,613- 219,565	02/03/93	9	\$ 184,613.00
	Previous Change Orders #1-2				+ 4,173.00
	Contractor's Revised Contract Amount To Date:				\$ 188,786.00
DAVIS & PLOMIN MECHANICAL INC. LEXINGTON	FIELD HOUSE WATER LINE EXTENSION Project No. 147.5	\$ 13,783- 19,690	08/24/93	4	\$ 13,783.00
	Previous Change Order #1				+ 1,750.00
	Contractor's Revised Contract Amount To Date:				\$ 15,533.00
ALLIED BUILDERS, INC. INDEPENDENCE	GAINES CTR FOR HUMANITIES Project No. 218.2	\$ 438,000- 533,769	10/29/91	8	\$ 438,000.00
	Previous Change Orders #1-9				+ 3,705.39
	Contractor's Revised Contract Amount To Date:				\$ 441,705.39
MATTHEWS/BUCKNER LEXINGTON	HOSPITAL EXPANSION PH. II MECHANICAL WORK-TC #9 Project No. 304.1	\$ 5,248,000- 5,482,000	06/28/88	2	\$ 5,248,000.00
	Previous Change Orders #1-17				+ 471,238.88
	Contractor's Revised Contract Amount To Date:				\$ 5,719,238.88
SPARKS-TERRELL LUMBER CO. LEXINGTON	HOSPITAL - WASTE INCINERATOR REPL Project No. 337.0	\$ 1,151,515- 1,410,000	05/07/92	4	\$ 1,151,515.00
	Previous Change Orders #1-7				+ 18,702.35
	Contractor's Revised Contract Amount To Date:				\$ 1,170,217.35

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
DALMATIAN FIRE, INC. MASON, OH	HOSPITAL FIRE SUPPRESSION FLOORS 5 & 6 Project No. 542.4	\$ 213,950- 269,458	06/05/91	6	\$ 213,950.00
	Previous Change Order #1-2				+ 3,179.00
	Contractor's Revised Contract Amount To Date:				\$ 217,129.00
JAMES SANDERS NURSERY, INC. PADUCAH	PADUCAH COMM COLLEGE ALLIED HEALTH CENTER LANDSCAPING Project No. 620.1	\$15,995- 22,979	11/11/93	3	\$ 15,995.00
VOCON, INC. HUNTINGTON, WV	ASHLAND COMM. COLLEGE ACADEMIC/LRC Project No. 630.0	\$ 3,396,319- 3,529,000	04/17/90	3	\$ 3,396,319.00
	Previous Change Orders #1-8				+ 60,061.83
	Contractor's Revised Contract Amount To Date:				\$ 3,456,380.83
BROCK EXCAVATING, INC. CORBIN	SOMERSET COMMUNITY COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 2A EXCAVATION & STORM DRAINAGE Project No. 631.0	\$649,500- 854,999	3/14/94	7	\$ 649,500.00
KOKER DRILLING COMPANY DAYTON, OHIO	SOMERSET COMMUNITY COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 2B DRILLED PIERS Project No. 631.0	\$428,960- 771,100	3/14/94	5	\$ 428,960.00
D.W. WILBURN, INC. LEXINGTON	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 3A GENERAL CONTRACTING Project No. 631.0	\$1,790,000- 2,189,500	3/14/94	4	\$ 1,790,000.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CONCRETE TECHNOLOGY, INC. SPRINGBORO, OHIO	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 3B ARCHITECTURAL PRE-CAST CONCRETE Project No. 631.0	\$572,320- 658,800	3/14/94	3	\$ 572,320.00
D & G MASONRY BURNSIDE, KY	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 4A - MASONRY Project No. 631.0	\$727,823.55- 835,000	3/14/94	4	\$ 727,823.55
MODERN WELDING COMPANY OF KENTUCKY, INC. ELIZABETHTOWN	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 5A - STEEL Project No. 631.0	\$1,114,856- 1,488,000	3/14/94	5	\$ 1,114,856.00
EAGLE ROOFING & SHEET METAL, INC. LEXINGTON	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 7A - ROOFING Project No. 631.0	\$327,450- 469,000	3/14/94	7	\$ 327,450.00
CENTRAL KENTUCKY GLASS COMPANY. CAMPBELLSVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 8A ALUMINUM ENTRANCES, STOREFRONTS, & CURTAIN WALLS Project No. 631.0	\$278,996- 298,800	3/14/94	3	\$ 278,996.00
LEEDCO INTERIOR SYSTEMS, INC. LOUISVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 9A - DRYWALL Project No. 631.0	\$422,222- 456,459	3/14/94	4	\$ 422,222.00
MARTINA BROTHERS COMPANY, INC. LEXINGTON	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 9B CERAMIC, QUARRY & MARBLE TILE Project No. 631.0	\$55,830- 76,900	3/14/94	4	\$ 55,830.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
BENNETT'S CARPETS, INC. RUSSELL SPRINGS, KY	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 9C ACOUSTICAL CEILINGS, RESILIENT FLOORING, CARPETING & WOOD FLOORING Project No. 631.0	\$188,590- 232,378	3/14/94	3	\$ 188,590.00
SIMPSON & COMPANY, INC. NICHOLASVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 9D PAINTING & WALLCOVERING Project No. 631.0	\$143,174- 218,000	3/14/94	3	\$ 143,174.00
PAUL BICKEL COMPANY, INC. d/b/a U.S. SPECIALTIES LOUISVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 10A FOLDING PANEL PARTITIONS Project No. 631.0	\$182,525- 185,844	3/14/94	2	\$ 182,525.00
UNITED STAGE EQUIPMENT, INC. HARTSELLE, ALABAMA	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 11A STAGE RIGGING Project No. 631.0	\$89,990- 135,200	3/14/94	7	\$ 89,990.00
I. WEISS & SONS, INC. LONG ISLAND CITY, NY	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 11B STAGE DRAPERY Project No. 631.0	\$19,650- 43,161	3/14/94	8	\$19,650.00
CENTRAL SCHOOL SUPPLY COMPANY LOUISVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 12A AUDITORIUM & THEATER SEATING Project No. 631.0	\$111,100- 62,552	3/14/94	2	\$111,100.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
ABELL ELEVATOR INTERNATIONAL, INC. LOUISVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 14A CONVEYING SYSTEMS Project No. 631.0	\$62,552- 78,363	3/14/94	5	\$62,552.00
GREEN MECHANICAL CONSTRUCTION, INC. LEXINGTON	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 15A PLUMBING & HVAC Project No. 631.0	\$1,378,420- 1,769,700	3/14/94	7	\$1,378,420.00
LANDMARK SPRINKLER, INC. LEXINGTON	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 15B FIRE PROTECTION Project No. 631.0	\$136,300- 190,700	3/14/94	2	\$136,300.00
ENTERPRISE ELECTRIC, INC. CAMPBELLSVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 16A ELECTRICAL Project No. 631.0	\$1,399,000- 1,694,000	3/14/94	7	\$1,399,000.00
MARKSBURY CORNETT ENG. LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #1 GENERAL WORK Project Nos. 640.1/640.2/640.3	\$ 1,800,000- 2,293,300	04/14/93	4	\$ 1,800,000.00
P&R ROOFING AND SHEET METAL LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #2 MOISTURE PROTECTION Project Nos. 640.1/640.2/640.3	\$ 155,825	05/12/93	1	\$ 155,825.00
HUSKISSON MASONRY, INC. LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #3 MASONRY & LIMESTONE Project Nos. 640.1/640.2/640.3	\$ 485,500- 680,000	04/05/93	5	\$ 485,480.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
L. STANDAFER COMPANY LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #5 LATH, PLASTER & DRYWALL Project Nos. 640.1/640.2/640.3	\$ 66,235- 75,120	04/05/93	3	\$ 66,235.00
MARTINA BROTHERS CO., INC. LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #6 WALL & FLOOR TILE Project Nos. 640.1/640.2/640.3	\$ 29,800- 35,000	04/05/93	2	\$ 29,800.00
L. STANDAFER COMPANY LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #7 ACOUSTICAL CEILINGS Project Nos. 640.1/640.2/640.3	\$ 39,315- 53,596	04/05/93	5	\$ 39,315.00
CATRON INTERIOR SYSTEMS LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #8 RESILIENT FLOORING, CARPET & ACCESSORIES Project Nos. 640.1/640.2/640.3	\$ 55,914- 72,757	04/14/93	6	\$ 55,914.00
THOMPSON PAINTING AND DECORATING, INC. LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #9 SPECIAL COATINGS & PAINTING & ACCESSORIES Project Nos. 640.1/640.2/640.3	\$ 89,516- 189,600	04/14/93	4	\$ 89,516.00
SMITH & SCHAEFER, INC. CINCINNATI, OH	ASTeCC PHASES I, II, III WORK CATEGORY #10 LABORATORY CASEWORK Project Nos. 640.1/640.2/640.3	\$ 373,439- 490,492	04/14/93	5	\$ 373,439.00
BARBER-COLMAN COMPANY LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #12 FUME HOOD/FUME HOOD CONTROLS/ BUILDING CONTROLS Project Nos. 640.1/640.2/640.3	\$ 631,867- 634,995	04/08/93	2	\$ 631,867.00
DALMATIAN FIRE, INC. MASON, OH	ASTeCC PHASES I, II, III WORK CATEGORY #13 FIRE PROTECTION Project Nos. 640.1/640.2/640.3	\$ 97,656- 110,500	04/14/93	2	\$ 97,656.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
EICHBERGER ENTERPRISES, INC. LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #14 MECHANICAL WORK Project Nos. 640.1/640.2/640.3	\$ 1,588,700- 1,832,000	04/08/93	3	\$ 1,588,700.00
ARROW ELECTRIC CO., INC. LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #15 ELECTRICAL WORK Project Nos. 640.1/640.2/640.3	\$ 677,384- 819,778	04/08/93	6	\$ 677,384.00
Previous Change Order #1-2					+ 9,265.00
Contractor's Revised Contract Amount To Date:					\$ 686,649.00
*RAM ENGINEERING & CONST. LOUISVILLE	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #1 GENERAL EXCAVATION WORK Project Nos. 640.1/640.2/746.2	\$ 847,425- 944,500	10/29/91	3	\$ 847,425.00
Previous Change Orders #1-13					+ 131,748.00
Contractor's Revised Contract Amount To Date:					\$ 979,173.00
*This contract is for three (3) interrelated and concurrent projects and represents the low bid.					
FINNEY COMPANY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #2 MECHANICAL WORK Project Nos. 640.1/640.2/746.2	\$ 377,000- 454,649	10/29/91	3	\$ 377,000.00
Previous Change Orders #1-8					+ 17,508.00
CHANGE ORDER #9	Add a sump pump and discharge line in the steam pit at B & E vault.		1/12/94		+ 1,661.00
Reason for Change:	Existing vault had a sump pit but no pump. Flooding has been a problem in heavy rains. Pump is required to avoid damage to new condensate pump and insulation.				
CHANGE ORDER #10	Delete the installation of all storm piping and manhole north of Anderson.		2/01/94		- 3,767.00
Reason for Change:	Haul road is not required to enter the basement level, therefore, rerouting of storm piping is not required.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
FINNEY COMPANY, INC. (Continued)	Project Nos. 640.1/640.2/746.2				
CHANGE ORDER #11	Provide roof, plaza, and planter drains for installation in the slabs of Bid Pack #3 work. This requires drain bodies, roof drain extensions, plaza drains without extensions.		2/14/94		+ 15,340.00
Reason for Change:	Drains were required as part of concrete placement. Bid Pack #3 category for mechanical was awarded which included these items, necessary for completion of concrete slabs, which were awarded as part of BP#3.				
Contractor's Revised Contract Amount To Date:					\$ 407,742.00
AMTECK OF KENTUCKY LEXINGTON	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #3 ELECTRICAL WORK Project Nos. 640.1/640.2/746.2	\$ 137,800- 179,000	10/29/91	4	\$ 137,800.00
	Previous Change Orders #1-12				+ 17,499.00
Contractor's Revised Contract Amount To Date:					\$ 155,299.00
*CONGLETON & HACKER CO. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #1 GENERAL WORK Project Nos. 640.1e/640.2e	\$ 1,556,970- 2,600,000	03/23/92	4	\$ 1,556,970.00
	Previous Change Orders #1-12				+ 104,507.00
Contractor's Revised Contract Amount To Date:					\$ 1,661,477.00
*This contract is for three (3) interrelated and concurrent projects and represents the low.					
D.W. WILBURN, INC. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #2 MASONRY WORK Project Nos. 640.1e/640.2e	\$ 634,500- 932,499	03/24/92	7	\$ 634,500.00
GRAYHAWK CORPORATION LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #3A WATERPROOFING Project Nos. 640.1e/640.2e	\$ 15,016- 32,000	07/20/92	3	\$ 15,016.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
P & R ROOFING AND SHEET METAL, INC. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #3B ROOFING AND SHEET METAL Project Nos. 640.1e/640.2e	\$ 54,535- 77,480	07/20/92	2	\$ 54,535.00
W.R. COLE ASSOCIATES, INC. LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #4A WINDOWS Project Nos. 640.1e/640.2e	\$ 108,932- 129,700	09/30/92	3	\$ 108,932.00
Previous Change Order #1-3					- 2,868.00
Contractor's Revised Contract Amount To Date:					\$ 106,064.00
W.R. COLE ASSOCIATES, INC. LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #4B SKYLIGHT & CURTAIN WALLS Project Nos. 640.1e/640.2e	\$ 73,433- 89,300	09/30/92	2	\$ 73,433.00
ABELL ELEVATOR LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #5 CONVEYING SYSTEMS Project Nos. 640.1e/640.2e	\$ 108,932- 155,500	03/25/92	4	\$ 108,932.00
AMTECK OF KENTUCKY LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #7 ELECTRICAL WORK Project Nos. 640.1e/640.2e	\$ 48,583- 71,640	03/24/92	3	\$ 48,583.00
Previous Change Orders #1-3					+ 11,216.00
Contractor's Revised Contract Amount To Date:					\$ 59,801.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
SKILTON PAVING & CONSTRUCTION, INC. LEXINGTON	ASTeCC FACILITY PHASE IV BID PACK #1 DEMOLITION AND EXCAVATION Project No. 640.4	\$ 327,500- 367,400	05/14/93	5	\$ 327,500.00
CHANGE ORDER #1	- Delete the painting of rooms 218, 219, 220, 220A, 220B, & 220C in the second floor of the Quad. - Add cutting and capping of additional chill water supply and return pipe in room 116 of the Quad.		1/06/94		- 973.00
Reason for Change:	- The contract documents for the room finish schedule called for the above listed rooms to be painted. Since there was no other work required by the contract in these spaces, construction manager recommended that the painting be deleted from the contract. This recommendation was based on the existing occupants having just recently been relocated into these spaces, and they would be relocated again in December 1993/January 1994 when they move into the Civil Engineering Building. The painting, during the fall semester, was seen as an additional unproductive disruption for the short term occupants of the space. - The two lines shown to be capped did not pick up an additional line in the room which circulated through the demolition area into the portion of the building to remain.				
CHANGE ORDER #2	- Connect additional smoke detectors in the attic of the east wing of the quad as well as relocating five extra power circuits, and a temperature control panel out of the demolition area to Room 117 of the quad. - Relocate the 400 and disconnect serving equipment in Room 116 to MDP in Room 117 to the spare disconnect in the MDP.		2/01/94		+ 3,005.82
Reason for Change:	- Existing conditions. Detectors were attached to system on demolition side of buildings. Relocation of panel required added circuits to be moved and the panel in the chiller room to be removed is still needed for control. - The current disconnect is on a portion of the bus duct to be removed. This power is required for continued operation of the lab equipment in Room 116 of the Quad.				
Contractor's Revised Contract Amount To Date:					\$ 329,532.82

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
D. W. WILBURN, INC. LEXINGTON	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #1 GENERAL WORK Project No. 640.4	\$1,232,900 1,325,000	09/08/93	3	\$1,232,900
BOYLE MASONRY, INC. STANFORD, KY	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #2 MASONRY & LIMESTONE Project No. 640.4	\$253,949 420,000	9/08/93	4	\$253,949
JAMES E. SMITH MECHANICAL LOUISVILLE, KY	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #3 MECHANICAL WORK Project No. 640.4	\$429,068 518,500	9/03/93	4	\$429,068
DALMATIAN FIRE, INC. MASON, OH	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #4 FIRE PROTECTION Project No. 640.4	\$19,938	9/13/93	1	\$19,938
BARBER-COLMAN COMPANY LOUISVILLE, KY	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #5 CONTROLS/FUME HOODS Project No. 640.4	\$145,888 147,500	9/08/93	2	\$145,888
ARROW ELECTRIC CO., INC. LOUISVILLE, KY	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #6 ELECTRICAL WORK Project No. 640.4	\$264,000 296,000	9/08/93	4	\$264,000
RELIABLE MECHANICAL, INC. LOUISVILLE	EXPANDED CHILLED WATER PLANT #2 Project No. 666.2	\$ 7,675,000- 8,548,000	12/23/92	7	\$ 7,675,000.00
Previous Change Orders #1-13					+ 83,945.57
CHANGE ORDER #14	Change the cooling tower sprinkler system supply piping from 4" cpvc to 6" poly-wrapped schedule 40 galvanized steel.		1/03/94		+ 4,024.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
RELIABLE MECHANICAL, INC. (Continued)	Project No. 666.2				
Reason for Change:	Subsequent water flow and pressure data received from Kentucky American Water Co., indicates that the required sprinkler water flow for the cooling towers would not be available using 4" piping. Hydraulic calculations using the updated available water flow and pressure indicate that 6" piping is necessary to meet the sprinkler water flow demand. The manufacturer of cpvc piping does not recommend using 6" pipe in a dry pipe cooling tower sprinkler system. Polywrapped galvanized steel is an acceptable alternate piping material.				
CHANGE ORDER #15	1. Provide all labor and materials to clean the 'future' 24 chilled water pipe lines between the chilled water plant and the vault east of limestone. Cleaning shall be by high pressure water. 2. Provide superstructure modifications to install single point metering at the secondary feed from Kentucky Utilities transformer. Provide necessary fittings and brushings to facilitate the installation of the utility company's metering equipment. Provide necessary conduit and wiring for the interconnection of the AC/DC controls of the two vacuum breakers.				+ 26,280.30
Reason for Change:	1. The existing piping has been unused for approximately 20 years. It has collected a significant amount of dirt and scale. Introductions of this foreign material into the chilled water piping would cause long term maintenance problems. Some of which would damage pump seals and valve seal, and fouling of the chiller barrels. The condition of the piping was unknown until the pipe was opened to make the connection to the new chilled water piping. 2. Modification of the design metering configuration will result in reduced utility billings to the University and will provide improved reliability for all loop feed backup circuits to the Medical Center and to the Lexington Campus. This change is a modification of the alteration to the substation superstructure required under the Cooling 2 Expansion contract. Modification of this contract will avoid duplication of costs and will prevent a later lengthy outage of the entire substation.				
CHANGE ORDER #16	Provide on w8x13 lintel beam with 5/16 plate at rest room alcove opening.				+ 404.25

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
RELIABLE MECHANICAL, INC. (Continued)	Project No. 666.2				
Reason for Change:	Contract drawings do not indicate lintel beam. The required to support masonry above opening.				
Contractor's Revised Contract Amount To Date:					\$ 7,789,654.12
FINNEY COMPANY, INC. LEXINGTON	CHILLED WATER PIPING EXPANSION UNIVERSITY OF KENTUCKY Project No. 666.41	\$ 1,376,000- 1,570,000	12/23/93	5	\$ 1,376,000.00
CHANGE ORDER #1	Relocate existing 12" chws and chwr piping as shown on the attached sketch.		2/28/94		+ 14,791.00
Reason for Change:	The piping is not where indicated by the recorded drawings. The piping must be relocated to meet the new 24" piping and to avoid interference with the existing steam piping.				
Contractor's Revised Contract Amount To Date:					\$ 1,390,791.00
WOODFORD BUILDERS, INC. LEXINGTON	SPINDLETOP FACILITY RENOVATION Project No. 671.1	\$ 642,400- 728,800	11/09/92	11	\$ 642,400.00
Previous Change Orders #1-7					+18,254.17
CHANGE ORDER #8	The piping in the mechanical room was painted to fully color code each piping run.		1/12/94		+ 1,497.94
Reason for Change:	Full painted pipe was preferred as to just "banding" for identification.				
Contractor's Revised Contract Amount To Date:					\$ 662,152.11
INTERNATIONAL WASTE INDUSTRIES VIENNA, VA	SPINDLETOP INCINERATOR Project No. 671.2	\$ 64,750- 79,690	05/26/93	3	\$ 64,750.00
Previous Change Order #1-2					+ 223.00
Contractor's Revised Contract Amount To Date:					\$ 64,973.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
TRIPLE D COMMUNICATIONS NICHOLASVILLE	LANDSCAPING AT SPORTS TICKET OFFICE Project No. 702.1	\$ 23,220- 33,474	10/21/92	3	\$ 23,220.00
Previous Change Order #1					+ 1,712.00
Contractor's Revised Contract Amount To Date:					\$ 24,932.00
PEYRONNIN CONSTRUCTION CO. EVANSVILLE, IN	HENDERSON COMM. COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 722.0	\$ 3,266,000- 3,542,000	12/04/91	6	\$ 3,266,000.00
Previous Change Orders #1-17					+ 28,275.46
Contractor's Revised Contract Amount To Date:					\$ 3,294,275.46
J.L. POOLE CONST., INC. HENDERSON	HENDERSON COMM. COLLEGE AUDITORIUM/FINE ARTS BLDG. Project No. 723.0	\$ 4,965,000- 5,460,340	12/17/91	4	\$ 4,965,000.00
Previous Change Orders #1-12					+ 90,576.53
CHANGE ORDER #13	To furnish a glycerine charged anti-freeze sprinkler system with exposed piping to protect the loading dock.		1/12/94		+ 2,719.75
Reason for Change:	This change was required by the Kentucky Building Code stating any dock where combustibles are stored or delivered is to be sprinkled.				
CHANGE ORDER #14	Add six (6) Type DS light fixtures under the balcony.		2/1/94		+ 1,235.10
Reason for Change:	This additional lighting under the balcony was required to light the area of doors exiting in the upper Auditorium.				
CHANGE ORDER #15	Furnish and install twelve aisle lights in areas recessed in wall and centered on each row in Balcony, Room 400.		2/14/94		+ 1,784.00
Reason for Change:	To provide additional (needed) lighting for three steps and each row of seating riser on each side of Balcony.				
Contractor's Revised Contract Amount To Date:					\$ 5,061,315.38

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
LICHTENBERGER CONSTRUCTION, INC. EVANSVILLE, IN	HENDERSON COMM. COLLEGE AUDITORIUM FIT-UP FOLLOW-SPOT BOOTH BID PACKAGE Project No. 723.2A	\$ 41,000- 55,000	12/7/93	2	\$ 41,000.00
STATE ELECTRIC COMPANY, INC. HOPKINSVILLE	HENDERSON COMM. COLLEGE AUDITORIUM FIT-UP DIMMING SYSTEM/SOUND SYSTEM Project No. 723.2B	\$ 265,000- 354,423	01/25/94	2	\$ 265,000.00
BECK STUDIOS, INC. MILFORD, OH	HENDERSON COMM. COLLEGE AUDITORIUM FIT-UP RIGGING & DRAPERY PACKAGE Project No. 723.2C	\$ 107,973- 165,498	12/10/93	8	\$ 107,973.00
BUFCO CORPORATION/DBA BUFCO ELECTRIC EVANSVILLE, IN	HENDERSON COMM. COLLEGE COMMUNICATION DUCT BANK AND OUTSIDE PLANT TELEPHONE CABLE INSTALLATION Project No. 723.3	\$ 92,586- 139,860	08/25/92	6	\$ 92,586.00
HARTZ CONSTRUCTION CO. OWENSBORO	OWENSBORO COMM. COLLEGE CAMPUS COMPLETION Project No. 725.0	\$ 3,218,000- 3,479,749	12/05/91	5	\$ 3,218,000.00
Previous Change Orders #1-24					+ 49,703.80
Contractor's Revised Contract Amount To Date:					\$ 3,267,703.80
HAZEX CONSTRUCTION, INC. HENDERSON	OWENSBORO COMM. COLLEGE ROADWAY COMPLETION Project No. 725.2	\$ 97,800- 122,400	06/08/93	2	\$ 97,800.00
CONTRACTOR'S FINAL PAYMENT:			01/14/94		\$ 97,800.00
DENHAM-BLYTHE CO., INC. LEXINGTON	MAYSVILLE COMM. COLLEGE STUDENT CENTER Project No. 727.0	\$ 3,371,900- 4,093,300	01/08/92	4	\$ 3,371,900.00
Previous Change Orders #1-9					+ 85,139.00
Contractor's Revised Contract Amount To Date:					\$ 3,457,039.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
GREEN CONSTRUCTION CO., INC. MIDDLESBORO	SOUTHEAST COMM. COLLEGE APPALACHIAN CENTER/ FINE ARTS BUILDING Project No. 728.0	\$ 3,927,900- 4,226,449	12/18/91	7	\$ 3,927,900.00
Previous Change Orders #1-31					+ 161,054.67
CHANGE ORDER #32	Mechanical subcontractor is to provide the necessary labor and materials for insulation of Ductwork Silencer (<u>serving theatre</u>) which includes the following: relocation of return fan No. 2, re-routing of associated ductwork, wiring, added insulation.		1/10/94		+ 3,910.25
Reason for Change:	The return air system serving the Laboratory Theatre has unacceptable levels of noise associated with its design and operation. This consequence was unforeseen during the design of HVAC system.				
Contractor's Revised Contract Amount To Date:					\$ 4,092,864.92
GREEN CONSTRUCTION CO., INC. MIDDLESBORO	SOUTHEAST COMM. COLLEGE PARKING LOT EXPANSION Project No. 728.1	\$ 57,950 65,000	10/28/93	2	\$ 57,950.00
CHANGE ORDER #1	Contractor is to provide labor and materials for the replacement of the electrical junction box, serving the existing parking lot lights, and extend new wiring to power source, which includes the following: new J-box, wiring, new breaker and timer.		2/1/94		+ 952.84
Reason for Change:	Existing electrical junction box for tie-ins to new work was damaged by others during roadway paving. It was found that the existing wiring in place was not adequate in size to carry the additional current load required for new parking lot lights.				
Contractor's Revised Contract Amount To Date:					\$ 58,902.84
CONGLETON HACKER CO. LEXINGTON	LEXINGTON COMM. COLLEGE ACADEMIC TECHNICAL BLDG. Project No. 729.0	\$ 3,357,869- 3,770,000	12/09/91	7	\$ 3,357,869.00
Previous Change Orders #1-33					+ 117,257.54
Contractor's Revised Contract Amount To Date:					\$ 3,475,126.54

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
SPECTRUM CONTRACTING SERVICES, INC. LEXINGTON	LEXINGTON COMMUNITY COLLEGE LANDSCAPING & SITE DEV. Project No. 729.2	\$ 69,800- 133,627	08/17/93	3	\$ 69,800.00
	Previous Change Order #1-2				+ 1,081.00
	Contractor's Revised Contract Amount To Date:				\$ 70,881.00
D.W. WILBURN, INC. LEXINGTON	BELL COUNTY CAMPUS SOUTHEAST COMM. COLLEGE/ KY TECH Project No. 730.0	\$ 7,918,000- 8,328,000	09/08/93	4	\$ 7,918,000.00
CHANGE ORDER #1	Provide an emergency powered exit sign, Fixture Type LF-9A, above door opening 290 at Heavy Shop 236, and connect the fixture to emergency circuit 2E-25 presently serving the area.		1/03/94		+ 395.00
Reason for Change:	The exit sign is required by Code to designate the required second exit from the Heavy Shop, but electrical drawings did not indicate an exit at Opening 290.				
CHANGE ORDER #2	1. Extend Contract Completion time by three (3) calendar days so that time for Substantial Completion is 549 calendar days, or not later than March 11, 1995, and Final Completion shall be 30 calendar days after Substantial Completion, or not later than April 10, 1995. 2. Provide crushed stone on new Cirencester Avenue from the YMCA on Peterborough Avenue to the job site entrance just west of the Wilburn office trailer.		1/05/94		+ 4,513.00
Reason for Change:	1. Wet and muddy conditions on new Cirencester Avenue, the designated access way to the project site, closed or limited access to the site, thereby stopping or restricting construction operations. 2. Cirencester Avenue was to have been surfaced with dense graded aggregate (DGA) base by others prior to start of this project. The stone base has not been placed and Cirencester is badly rutted and ponds water in places. The temporary crushed stone is necessary to make the new road passible.				
CHANGE ORDER #3	Remove from Owner's property and dispose of 4,514.81 cubic yards of unsuitable fill material that was brought to the site by others.		2/01/94		+ 33,184.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
D.W. WILBURN, INC. (Continued)	Project No. 730.0				
Reason for Change:	This unsuitable fill material was brought to the site by parties unknown, and dumped on the site in the area of the building pad for Building No. 2. The fill material was placed on the site at some time between bidding and contract award, and revision to the contract by Addenda was not possible.				
Contractor's Revised Contract Amount To Date:					\$ 7,956,092.00
RIVERSIDE PAVING & CONTRACTING, INC. LOUISVILLE	JEFFERSON COMM COLLEGE SW PLAZA AND PARKING LOT Project No. 731.1	\$ 138,990- 190,581	11/09/92	5	\$ 138,990.00
Previous Change Orders #1-2					+ 6,995.25
Contractor's Revised Contract Amount To Date:					\$ 145,985.25
CHARLES C. GRAY CONSTRUCTION CO., INC. LOUISVILLE	JEFFERSON COMM. COLLEGE SW PEDESTRIAN PLAZA Project No. 731.2	\$ 85,900- 87,760	05/14/93	2	\$ 85,900.00
Previous Change Order #1					+ 0.00
CONTRACTOR'S FINAL PAYMENT:			02/17/94		\$ 85,900.00
MILNER ELECTRIC LEXINGTON	AGRICULTURE SCIENCE NO.2 EMERGENCY GENERATOR Project No. 744.1	\$ 51,346- 77,982	12/09/92	5	\$ 51,346.00
Previous Change Order #1					+ 5,874.96
Contractor's Revised Contract Amount To Date:					\$ 57,220.96
RELIABLE MECHANICAL, INC. LOUISVILLE	CHEMISTRY/PHYSICS BLDG. FUME HOOD UPGRADE Project No. 745.1	\$ 2,806,789- 4,019,000	10/10/92	2	\$ 2,806,789.00
Previous Change Order #1-3					+ 20,951.83
CHANGE ORDER #4	1. Provide light fixtures and outdoor switches 3/4/94 duplex outdoor receptacles for each of three weather proof piping enclosures. 2. Remove three non-ACM lined fume hoods for replacement with new hoods. 3. Supply 6'x3' canopy hood for Room 71-A.				+ 4,985.01

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
RELIABLE MECHANICAL, INC. (Continued)	Project No. 745.1				
Reason for Change:	- Contractor supplied air handlers which did not include provisions for protecting piping from outdoor air. The Consultant agreed to allow the contractor to field erect weather-tight piping enclosures to remedy the situation. UK-PPD has requested the electrical items to make service more convenient. - The contract provides for University to remove all existing hoods, which were thought to contain asbestos. These hoods were determined not to be asbestos bearing, and were clear of any other contamination, eliminating the need for the services of an abatement contractor. - The new hoods for this project are to be furnished by the University and installed by this contractor. No commercial source could be found for this canopy hood, which must be shop fabricated.				
Contractor's Revised Contract Amount To Date:					\$ 2,832,725.84
A & A MECHANICAL, INC. LOUISVILLE	FUME HOOD SYSTEM UPGRADE AG. SCIENCE NORTH BLDG. #1 Project No. 745.2	\$ 2,642,276- 3,218,850	02/23/93	.6	\$ 2,642,276.00
Previous Change Order #1-3					+ 6,610.76
Contractor's Revised Contract Amount To Date:					\$ 2,648,886.76
E.C. MATTHEWS CO., INC. LEXINGTON	FUME HOOD SYSTEM UPGRADE II AGRICULTURE SCIENCE NO.2 Project No. 745.33	\$104,500- 148,775	08/03/92	4	\$ 104,500.00
Previous Change Orders #1-3					+ 5,191.03
Contractor's Revised Contract Amount To Date:					\$ 109,691.03
LEHMANN ENGINEERING AND CONTRACTING LOUISVILLE	CHANDLER MEDICAL CENTER FUME HOOD UPGRADE Project No. 745.8	\$5,580,000- 6,200,000	05/05/93	3	\$5,580,000.00
CHANGE ORDER #1	Install nineteen (19) additional vapor-proof lights and switches in new access sections of renovated air handling units.		2/08/94		+ 6,530.99
Reason for Change:	Existing lights did not coordinate with new sections.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
LEHMANN ENGINEERING (Continued)	Project No. 745.8				
CHANGE ORDER #2	Additional piping to extend condensate from Dental wing heat exchanger and Medical Science heat exchanger to LPR.		2/10/94		+ 8,450.39
Reason for Change:	Existing low pressure return not where shown.				
Contractor's Revised Contract Amount To Date:					\$ 5,534,981.38
THERMAL BALANCE, INC. LEXINGTON	CHANDLER MEDICAL CENTER FUME HOOD UPGRADE TESTING AND BALANCING Project No. 745.82	\$ 78,860- 83,800	05/05/93	2	\$78,860.00
LAGCO, INC. LEXINGTON	CIVIL ENGINEERING DEMO & MODIFICATION WORK CATEGORY #2 MECHANICAL WORK Project No. 746.1	\$ 70,331- 89,342	07/29/91	2	\$ 70,331.00
Previous Change Orders #1-3					+ 15,665.00
Contractor's Revised Contract Amount To Date:					\$ 85,996.00
WOODFORD BUILDERS LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #1 GENERAL WORK Project No. 746.3	\$ 1,764,000- 1,910,000	02/25/92	5	\$ 1,764,000.00
Previous Change Orders #1-13					+ 33,768.00
CHANGE ORDER #14	1. Revise the amount of rock and earth removal required by the contract document. This results in additional concrete where footings were deepened or lowered. 2. Extend the contract time by eighty-one (81) calendar days to March 12, 1993 for delays caused by caissons and late start on chill water piping demo at the south lab area.		2/14/94		+ 45,706.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
WOODFORD BUILDERS (Continued)	Project No. 746.3				
Reason for Change:	1. Inspection of rock by independent testing lab resulted in the need to lower several footings. This resulted in an additional 62 yards of rock removal. South lab footing elevations required 30 yards of rock removal not indicated by the rock information on the contract documents. To offset this change order, a portion was removed. The estimated 800 yards in the special conditions Bid Pack #3 did not cover these unknown conditions. 2. Inability to begin work on the south lab structure due to the requirement to maintain the chill water lines to Robotics in use until October 19, 1992 as well as the delay caused by the need to add caissons at the south lab.				
Contractor's Revised Contract Amount To Date:					\$ 1,843,474.00
BOYLE MASONRY, INC. STANFORD	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #2 MASONRY WORK Project No. 746.3	\$ 635,400- 1,098,000	02/28/92	6	\$ 635,400.00
Previous Change Orders #1-3					+ 4,697.00
Contractor's Revised Contract Amount To Date:					\$ 640,097.00
EAGLE ROOFING & SHEET METAL LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #3 ROOFING & SHEET METAL Project No. 746.3	\$ 159,700- 271,109	02/28/92	5	\$ 159,700.00
Previous Change Order #1-2					+ 2,422.00
Contractor's Revised Contract Amount To Date:					\$ 162,122.00
W.R. COLE AND ASSOCIATES LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #4 SKYLIGHTS & WINDOWS Project No. 746.3	\$ 253,255- 284,500	02/26/92	3	\$ 253,255.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
D & C ELEVATOR LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #5 CONVEYING SYSTEMS Project No. 746.3	\$ 182,294- 249,000	02/28/92	3	\$ 182,294.00
Previous Change Order #1					+ 435.00
Contractor's Revised Contract Amount To Date:					\$ 182,729.00
LAGCO, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #6 MECHANICAL WORK Project No. 746.3	\$ 108,607- 125,430	02/26/92	3	\$ 108,607.00
Previous Change Orders #1-7					+ 29.00
CHANGE ORDER #8	1. Cost for tightening existing 24" flanges in the chill water pit at B & E. 2. Reconnect the steam and condensate coils to reheat #2 in the basement of Anderson to the existing AHU in the construction area.		1/12/94		+ 620.00
Reason for Change:	1. This work was required to be done to allow proper testing of the new chill water lines installed to the south lab. 2. The original unit was disconnected per documents along with unit #1. Unit #2 needs to stay in operation until the new AHU was on line.				
CHANGE ORDER #9	Add stainless steel extensions to the plaza drains..		3/01/94		+ 885.00
Reason for Change:	Specified extensions were not required to have the extra length. Standard length is 4" and each sleeve required 15".				
Contractor's Revised Contract Amount To Date:					\$ 110,141.00
AMTECK OF KENTUCKY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #7 ELECTRICAL WORK Project No. 746.3	\$ 76,779- 157,400	02/28/92	5	\$ 76,779.00
Previous Change Orders #1-2					+ 3,742.00
Contractor's Revised Contract Amount To Date:					\$ 80,521.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
MARKSBURY-CORNETT ENG. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #1 GENERAL WORK Project No. 746.4	\$ 480,000- 578,890	06/19/92	3	\$ 480,000.00
	Previous Change Order #1				- 120.00
	CHANGE ORDER #2	Change 42" grab bars to 36" in the toilet rooms above the flush valve in the handicap stalls.	1/12/94		+ 415.00
	Reason for Change:	This is a code requirement per KBC.			
	Contractor's Revised Contract Amount To Date:				\$ 480,295.00
BOYLE MASONRY, INC. STANFORD	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #2 MASONRY WORK Project No. 746.4	\$ 294,425	06/18/92	1	\$ 294,425.00
	Previous Change Order #1				+ 2,100.00
	Contractor's Revised Contract Amount To Date:				\$ 296,525.00
W. R. COLE & ASSOC. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #3 ELECTRICAL WORK Project No. 746.4	\$ 63,717	06/23/92	1	\$ 63,717.00
	Previous Change Orders #1-2				+ 90.00
	CHANGE ORDER #3	Add aluminum frame and 1/4" clear float glass for a 3'X3' opening in Room C-128A.	2/01/94		+ 269.00
	Reason for Change:	Proper function of this room requires glass opening for monitoring of the library.			
	CHANGE ORDER #4	Add aluminum framing and tempered glass at the elevator door fronts above the arched concrete opening. This is six (6) openings total.	2/08/94		+ 2,694.00
	Reason for Change:	Elevator code requires a fascia panel between the door head and the sill of the door above. Code enforcer has allowed the use of tempered glass in lieu of steel to maintain the original architectural intent.			
	Contractor's Revised Contract Amount To Date:				\$ 66,770.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
L STANDAFER CO. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #4 LATH, PLASTER AND DRYWALL Project No. 746.4	\$ 86,100- 134,718	06/23/92	5	\$ 86,100.00
CHANGE ORDER #1	Revise the fresh air intake on the building to be mounted on the roof in lieu of the west elevation of the building. This requires additional duct, insulation, concrete cutting, additional brick and block work, added roof flashing, and <u>studs</u> , <u>roofing</u> , and <u>siding</u> for the new roof plenum.		2/01/94		+ 4,650.00
Reason for Change:	The original location of the intake was above the existing fumehood exhaust fan discharge from Anderson Hall. Code requires building fresh air intakes to be away from these types of sources.				
CHANGE ORDER #2	1. Delete various drywall soffits and ceilings from the building. This includes the ceiling Room S010 at the stairs, the steeped soffit at each end of the atrium on the lower level and the portion of the soffit in C077 where the cold rooms were eliminated by Bid Pack #5. 2. Add labor and material to install parabolic lenses at the atrium soffits on each level.		2/14/94		+ 1,585.00
Reason for Change:	1. Due to structural conflicts, and the elimination of laboratory cold rooms, the necessity of architectural soffits/ceilings was not required or feasible in areas mentioned above. 2. Parabolic lenses were not specified in any specification section and were not included in any of the work categories.				
Contractor's Revised Contract Amount To Date:					\$ 92,335.00
MARTINA BROTHERS LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #5 CERAMIC TILE Project No. 746.4	\$ 11,970	06/19/92	1	\$ 11,970.00
COMMERCIAL DRYWALL NICHOLASVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #6 ACOUSTICAL CEILINGS Project No. 746.4	\$ 45,258- 58,500	06/23/92	6	\$ 45,258.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
*L. STANDAFER CO. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #7 RESILIENT FLOORING AND CARPETING Project No. 746.4	\$ 33,300- 57,400	06/23/92	4	\$ 50,995.00
*The contract was awarded to the second low bidder. The low bidder presented convincing evidence that a mistake was made on the bid submitted. This action was taken under provisions of KRS 45A.080 and Administrative Regulations 200 KAR 5:306.					
THOMPSON PAINTING & DECORATING, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #8 PAINTING AND SPECIAL COATINGS Project No. 746.4	\$ 99,000- 156,213	06/24/92	4	\$ 99,000.00
ECKERT FIRE PROTECTION CINCINNATI, OH	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #9 FIRE PROTECTION WORK Project No. 746.4	\$ 89,339- 146,600	06/23/92	5	\$ 89,339.00
Previous Change Order #1					+ 4,339.00
Contractor's Revised Contract Amount To Date:					\$ 93,678.00
AMTECK OF KENTUCKY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #12 ELECTRICAL WORK Project No. 746.4	\$ 594,250- 663,000	06/23/92	5	\$ 594,250.00
Previous Change Order #1-3					- 162.00
Contractor's Revised Contract Amount To Date:					\$ 594,088.00
LEXINGTON BUILDING SUPPLY LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #13 HOLLOW METAL WORK Project No. 746.4	\$ 23,206- 26,700	06/19/92	3	\$ 23,206.00
Previous Change Order #1					+ 765.00
Contractor's Revised Contract Amount To Date:					\$ 23,971.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
LEXINGTON BUILDING SUPPLY LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #14 FINISH HARDWARE Project No. 746.4	\$ 42,221- 53,200	06/19/92	3	\$ 42,221.00
Previous Change Orders #1-2					- 0 -
Contractor's Revised Contract Amount To Date:					\$ 42,221.00
ENGLISH PAVING CO., INC. VERSAILLES	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #1 SITE UTILITIES Project No. 746.5	\$ 92,300- 169,400	09/30/92	3	\$ 92,300.00
WOODFORD BUILDERS, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #2 SITE IMPROVEMENTS Project No. 746.5	\$ 214,700- 314,100	09/30/92	3	\$ 214,700.00
CHANGE ORDER #1	Add additional sidewalks at the north entrance near the new pavers. This will require removal of existing as well as reinstallation.		2/14/94		+ 4,335.00
Reason for Change:	The existing walk was badly cracked, damaged and had been patched.				
Contractor's Revised Contract Amount To Date:					\$ 219,035.00
DALTON MAINTENANCE, INC. MEDWAY, OH	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #3 MOISTURE PROTECTION Project No. 746.5	\$ 77,107- 97,590	10/13/92	2	\$ 77,107.00
SMITH & SCHAEFER, INC. CINCINNATI, OH	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #4 CASEWORK/FURNISHINGS/BLINDS Project No. 746.5	\$ 644,040- 778,700	11/05/92	2	\$ 644,040.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
ENERGY CONSERVATION TECHNIQUES, INC. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #5 FUMEHOODS/FUMEHOOD CONTROLS/BUILDING CONTROLS Project No. 746.5	\$ 342,000- 438,910	11/11/92	4	\$ 342,000.00
CHANGE ORDER #1	Add a supply air valve and necessary controls to Room C276 for ducted air to this room.		3/01/94		+ 1,142.00
Reason for Change:	Program requires temperature control to each room. This room was served from adjacent room and did not have it's own control.				
Contractor's Revised Contract Amount To Date:					\$ 343,142.00
LANDMARK SPRINKLER, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #6 FIRE PROTECTION Project No. 746.5	\$ 49,980- 64,281	09/30/92	3	\$ 49,980.00
CHARLES E. JARRELL CONTRACTING CO., INC. EARTH CITY, MO	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #7 MECHANICAL/PLUMBING Project No. 746.5	\$ 1,507,000- 1,888,000	10/13/92	6	\$ 1,507,000.00
Previous Change Orders #1-8					+ 56,699.15
Contractor's Revised Contract Amount To Date:					\$ 1,563,699.15
AMTECK OF KY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #8 ELECTRICAL Project No. 746.5	\$ 115,000- 252,656	09/30/92	5	\$ 115,000.00
Previous Change Order #1-2					+ 4,890.00
CHANGE ORDER #3	1. Deduction for six reels of cable supplied by UK Communications Services. 2. Add a 400 amp, 250 volt, 3-phase, fused disconnect at transformers T18 along with (3) 225 amp fuses and required feeder between transformer and disconnect.		2/11/94		+ 906.90

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
AMTECK OF KY, INC. (Continued)	Project No. 746.5				
Reason for Change:	1. UK Communications supplied cable to contractor to prevent delays due to non-delivery of cables by the contractor's supplier. 2. Code requires a means of disconnect for remote located transformers.				
Contractor's Revised Contract Amount To Date:					\$ 120,796.90
FRANK MESSER & SONS CINCINNATI, OH	MEDICAL RESEARCH EXPANSION SPACE Project No. 747.0	\$ 13,932,000- 15,170,000	02/10/93	7	\$ 13,932,000.00
Previous Change Orders #1-9					+ 35,009.00
CHANGE ORDER #10	1. Reconnect and provide shut-off valve box for the V.A. Hospital's waterline that was relocated. 2. Reroute primary circuit between transformers serving Medical Center Annex No. 5 and College of Medicine Office (Surge Bldg.) to avoid conflict with new steam line. 3. Add one new electrical panel on the 3rd and 4th floors.			1/04/94	+ 38,329.00
Reason for Change:	1. This line was not shown on the survey provided by the University. 2. Unforeseen existing field condition-when installing the new steam line, conflicts with an existing electrical duct bank, water line and communications conduit were discovered. 3. Change is due to an error in the base floor plan information supplied to Staggs and Fisher by CMW for the 3rd and 4th floors.				
CHANGE ORDER #11	Extend completion date by two (2) calendar days.		1/04/94		-0-
Reason for Change:	Two day extension is due to inclement weather during the month of August, 1993.				
CHANGE ORDER #12	Extend completion date by two (2) calendar days. Revised completion date is October 29, 1994.		1/10/94		-0-
Reason for Change:	Two day extension is due to inclement weather during the month of November, 1993.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
FRANK MESSER & SONS (Continued)	Project No. 747.0				
CHANGE ORDER #13	Extend completion date by five (5) calendar days. Revised completion date is November 3, 1994.		2/01/94		-0-
Reason for Change:	Five day extension is due to inclement weather during the month of December, 1993.				
CHANGE ORDER #14	In accordance with the contractor's proposal of December 13, 1993, delete truck restraints and control panels at the loading docks at Combs and MRES.		2/25/94		- 3,700.00
Reason for Change:	Both drives slope toward the dock negating the necessity of the restraints.				
CHANGE ORDER #15	1. Extend completion date by seven calendar days. The revised completion date is therefore November 10, 1994. 2. In accordance with the contractor's proposal of September 16, 1993, provide additional excavation, formwork and concrete required for the footing bearing at the acid pit.		2/25/94		+ 9,131.20
Reason for Change:	1. Seven day extension is due to inclement weather during the month of January, 1994. 2. This change is necessary due to pre-existing site conditions, i.e., the presence of plumbing and drainage lines found in the area.				
Contractor's Revised Contract Amount To Date:					\$ 14,010,769.20
THERMAL BALANCE, INC. LEXINGTON	MEDICAL RESEARCH EXPANSION SPACE-TESTING, ADJUSTING & BALANCING Project No. 747.1	\$ 64,690- -0-	12/20/93	1	\$ 64,690.00
FRANK MESSER & SONS CINCINNATI, OH	ANIMAL DIAGNOSTIC FACILITY Project No. 748.0	\$2,921,200- 2,948,200	10/19/92	4	\$ 2,921,200.00
Previous Change Orders #1-12					+ 45,189.00
*CHANGE ORDER #13	1. Delete the intercommunication system specified in Section 16760. Includes all equipment, wiring, and dedicated intercom outlets. 2. Provide new speakers as indicated in revision drawings. Provide new plenum rated wire and route in new wireway where possible.		12/03/93		- 47.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD NO. OF DATE BIDDERS	CONTRACT PRICE
FRANK MESSER & SONS (Continued)	Project No. 748.0			
Reason for Change:	1. The new intercommunication system is a duplication of services that is currently provided in the telephone system. An upgrade of the existing system is more economical than providing a new system. 2. Because the new intercommunications system per Section 16760 is being deleted, the existing public address system needs to be maintained and expanded.			
*CHANGE ORDER #14	Delete drywall ceiling at rendering and receiving docks. Paint exposed structure and walls. Extend brick full height at east wall on rendering dock.	12/03/93		+ 297.00
Reason for Change:	To provide easy access to new equipment and reduce maintenance cost.			
*CHANGE ORDER #15	Add new aluminum thresholds at doors 154.1 and 154.2 as per revision drawing. Remove existing wood blocking and fill void solid with concrete at door 154.2 sill, per Proposal Request No. 10.	12/03/93		+ 656.00
Reason for Change:	To cover and seal the concrete cold joint between the new door slab and the existing cooler floor/slab.			
*CHANGE ORDER #16	Correct existing alignment problems on the existing monorail track. Retrofit four existing hoist with 5" collector shoes which will not affect warranty on conductor bar.	12/03/93		+ 2,477.00
Reason for Change:	Steel alignments at the existing switches are off considerably which is causing the conductors to lose contact with the conductor bar. The existing 3" shoes were not making good contact with the conductor bar when passing through the diverters.			
CHANGE ORDER #17	1. Install three #10, one #10 ground in 1" PVC conduit from panel "W" to the existing switch for motors two and three in MUA No. 1. Use existing 30A/3P breaker in panel "W". 2. Reroute existing HWR through chase of Room 137A and reconnect on first floor. 3. Change the cost of Item #2 on Amendment to Contract #13 from \$5,913 to \$5,613. This does not change the totals.	1/03/94		+ 3,572.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
FRANK MESSER & SONS (Continued)	Project No. 748.0				
Reason for Change:	208V power was needed to run exhauster motors on MUA No. 1. - Existing HWR from lower level to first floor is located in an existing wall which is scheduled for demolition. This would leave the pipe exposed in the middle of Room No. 148. - Typographical error only on Item #2, Amendment to Contract. This change will not change the New Contract Amount on other change order totals.				
CHANGE ORDER #18	- Relocate three existing pipes and demo two pipes (gas and compressed air). - Clean base, walls, cabinets and doors that were not cleaned by PPD. Strip floors and place two coats of wax on the lab addition. Strip floors and place two coats of wax on the renovation area. Contract completion time extended by eleven (11) calendar days. Revised completion date is February 8, 1994.				
Reason for Change:	- The pipes were discovered to be located in a future corridor. Therefore, they must be relocated to a new wall location. - UK PPD does not have time to redo floors and clean lab addition which was found to be unacceptable. Also, PPD will not have time to do renovation area. Waxing was not part of the original contract.				
CHANGE ORDER #19	- Extension of time due to asbestos tile discovered. - Relocate solenoid valves below parapet wall on perchloric acid stack. Contract completion time extended by fifteen (15) calendar days. Revised completion date is February 24, 1994.				
Reason for Change:	- Work was delayed while waiting on asbestos removal crew to be set up and abate asbestos. Tile had been tested in 1975 and found asbestos free. Once demolition began, the tile was tested again and found to contain asbestos. - To improve exterior aesthetics: Drawings showed standard UK detail. Valves would not have been noticeable on 3' diameter or larger stacks. Perchloric acid stack is 15" diameter and valves are intrusive.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
FRANK MESSER & SONS (Continued)	Project No. 748.0				
CHANGE ORDER #20	Raise the refrigerated pass-thru unit at the east wall of Necropsy 153, so that a continuous tile base can be installed under the unit at Necropsy side. Raise the pass-thru by building a 4" high CMU curb/base. Provide vinyl base at the Necropsy Prep Room 148 side of unit. Modify the stainless steel closure panel at top of unit as required to close off space. Flip the Necropsy side doors as necessary to reverse the swing. Per proposal Request No. 13.		2/01/94		+ 431.00
Reason for Change:	The unit needs to be raised so that a water tight continuous tile base can be installed at Necropsy 153, otherwise water may seep into the adjacent Necropsy Prep Room.				
CHANGE ORDER #21	1. Modify fume hood slack support framing detail 1/S1.2 at fans EF-11 thru EF-14 as shown in revision Drawing PR19.1. 2. Extend 8" duct to main supply on south side of corridor 101F. Reroute 22" main to allow 14" branch line to be installed. Reroute 16" branch line over 22" main. 3. Remove CO2 system at the existing storage room and two sprinkler heads added. The sprinkler system will have a supervised valve. Also, the voids in the chemical storage room will be fire sealed.		2/01/94		+ 12,524.00
Reason for Change:	1. The 4" tube steel columns are necessary to raise the stack support collar over top of the exhaust fans (the fans are being installed as far back into the exiting screen wall enclosure as possible to minimize equipment exposure on the roof top). The W8x31 lintel beam is being added because no existing lintel beam is installed over the existing windows. 2. As-builts showed two main duct lines opposite of actual field conditions, modifications were done to keep systems properly balanced. 3. During demolition the CO2 system was found to be inoperative and dangerously out dated. It was decided that removal of the system was the safest and most economical course of action. The sprinkler system is being added to replace the CO2 system. The voids in this room were discovered during demolition and caused from movement of the building over the last twenty years. Voids must be fire sealed to continue storage of chemicals in this room.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
FRANK MESSER & SONS (Continued)	Project No. 748.0				
CHANGE ORDER #22	1. Install a condensate pump with tank in cooler 147B and run insulated one inch copper pipe to floor drain in autoclave. 2. Install acid waste and vents in Lab 145. 3. Install 208V, 3PH and 110V single phase power to cooler.		2/10/94		+ 7,473.00
Reason for Change:	1. To allow condensate from cooler 147B to drain. 2. Acid pipe and vents had to be installed different to allow proper ventilation for acid waste. 3. Power to cooler 147B requires 208V, 3 phase and 110V single phase power in lieu of 208V, single phase power.				
CHANGE ORDER #23	1. Relocate single face exit light at door 001A.1 to the south end of corridor 0001A. Add a new exit sign at door 101F.2. Add a new exit sign at the north end of corridor 101C. 2. Perform the following electrical upgrades to the existing electrical items: a.) Make up and label two disconnects and breakers at Room 22; b.) Support conduit and tighten connectors on two conduits at panel delta K, in Room 22; c.) Support 10 feet section of flex conduit for time on north wall of Room 23; d.) Re-install cover on timer when work is complete in Room 23; e.) Replace broken welder receptacle and support its conduit on the south wall of Room 21; f.) Support and reconnect conduit on receptacles and raceway at work bench on south wall of Room 21; g.) Secure conduit, provide and install two junction box covers at unit heater by old switch gear Room 21; h.) Provide GFI at four roof equipment locations; j.) Separate ground and neutral in panels A and B; k.) Properly support all remaining conduit in the existing space including areas where the ceilings are to remain. The revised completion date is March 31, 1994.		2/25/94		+ 4,530.00
Reason for Change:	1. Exit signs are being added to meet the requirements of State Building Inspector, so final occupancy will be issued. 2. All of these electrical upgrades to the existing electrical system are required by the State Electrical Inspector, before final occupancy will be issued.				

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
FRANK MESSER & SONS (Continued)	Project No. 748.0				
CHANGE ORDER #24	1. Repair existing locking devices at each of five existing monorail switches. Furnish and install new guide rollers on each of five existing monorail transfer switches. Work shall be such that system tolerances as specified in Section 14620 shall be maintained. The time provided for completion of this contract is increased by five calendar days. The revised completion date is therefore April 5, 1994. 2. Replace existing back draft dampers in EF10, 15, 16, and 17. 3. Provide a 3'0 x 7'0 hollow metal door for opening No. 134.1.		3/4/94		+ 9,745.00
Reason for Change:	1. Existing locking devices no longer function allowing the switches to "bounce" out of alignment. New guide rollers are necessary to limit the "side to side" movement of the switch as it slides along its track. Both items affect alignment of the monorail beam and the switch beam which in turn affects the alignment of the electrical conductor bars. 2. When existing fans were being relocated, we discovered the existing back draft dampers were damaged beyond repair and needed replaced with new dampers. 3. Existing door was scheduled to be reused, but user removed door last year and it cannot be found.				
Contractor's Revised Contract Amount To Date:					\$ 3,015,092.00
*Not on last Quarterly Report.					
Z & J CONSTRUCTION, INC. LEXINGTON	MEMORIAL COL EXPANSION Project No. 752.0	\$ 159,465- 188,000	04/19/91	12	\$ 159,465.00
Previous Change Orders #1-2					+ 8,752.15
Contractor's Revised Contract Amount To Date:					\$ 168,217.15
GROT, INC. LEXINGTON	RESIDENCE HALLS RENOVATIONS ELECTRICAL UPGRADE Project No. 773.5	\$398,000- 478,657	04/14/93	2	\$ 398,000.00
Previous Change Order #1					+ 34,690.19
CHANGE ORDER #2	Provide new switching for hallway lighting and relocate emergency power feed.		1/03/94		+ 5,182.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
GROT, INC. (Continued)	Project No. 773.5				
Reason for Change:	Corridor lighting was on emergency power, burning all night. Rooms have glass transoms above doors, allowing hall light into rooms. Lights required by code were left illuminated and all others were switched so they could be turned off. Relocation of emergency power feed ran through normal power panel, which is a code violation. Relocation of emergency power feed ran through normal power panel, which is a code violation.				
CONTRACTOR'S FINAL PAYMENT:			01/27/94		\$ 437,872.19
WOODFORD BUILDERS, INC. LEXINGTON	ANIMAL CARE SHELL A. B. CHANDLER MEDICAL CENTER Project No. 747.2	\$326,950- 464,300	04/04/94	4	\$ 326,950.00
EAGLE ROOFING AND SHEET METAL, INC. LEXINGTON	RESIDENCE HALLS RENOVATIONS ROOF REMOVAL & REPLACEMENT BLAZER HALL Project No. 773.7	\$157,950- 222,143	04/14/93	4	\$ 157,950.00
Previous Change Order #1-2					+ 7,828.27
CONTRACTOR'S FINAL PAYMENT:			01/04/94		\$ 165,778.27
CENTRAL KENTUCKY GLASS CO. LEXINGTON	RESIDENCE HALLS RENOVATIONS WINDOW REPLACEMENT Project No. 773.8	\$524,995 573,214	04/14/93	2	\$ 524,995.00
Previous Change Order #1					+ 4,291.00
Contractor's Revised Contract Amount To Date:					\$529,286.00
LAGCO, INC. LEXINGTON	HOSPITAL NURSING UNIT HVAC MODIFICATION I Project No. 777.0	\$450,196- 618,700	03/16/92	5	\$ 450,196.00
Previous Change Orders #1-4					+ 4,530.99
Contractor's Revised Contract Amount To Date:					\$ 454,726.99

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
STANLEY SCHULTZE & COMPANY, INC. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE HANDICAP DOOR OPERATORS Project No. 789.0	\$38,600- 43,170	10/06/93	3	\$ 38,600.00
A-B ROOFING CO., INC. LEXINGTON	E.S. GOODE BARN ROOF REPLACEMENT Project No. 791.0	\$ 124,924- 191,680	09/03/91	6	\$ 124,924.00
Previous Change Order #1					- 313.08
Contractor's Revised Contract Amount To Date:					\$ 124,610.92
MARKSBURY CORNETT ENG. LOUISVILLE	AMBULATORY SURGERY UPGRADE Project No. 798.0	\$ 884,100- 1,030,052	11/02/92	5	\$ 884,100.00
Previous Change Orders #1-14					+ 61,877.04
CHANGE ORDER #15	Change Item No. 1 on Amendment No. 14 cost from \$469 to \$460.		1/11/94		-0-
Reason for Change:	This is a typographical error. It does not affect the totals of this or any other change order or new contract amount.				
CONTRACTOR'S FINAL PAYMENT:			01/27/94		\$ 945,977.04
WHITEHOUSE ELECTRIC, INC. GEORGETOWN	HOLMES HALL FIRE ALARM Project No. 889.0	\$ 127,519- 159,000	05/14/93	3	\$ 127,519.00
Previous Change Order #1-2					+ 3,200.00
Contractor's Revised Contract Amount To Date:					\$ 130,719.00
THE ALLEN COMPANY, INC. WINCHESTER	COLDSTREAM FARM SITE REGRADE STREETS STORM & SANITARY SEWER SYSTEM Project No. 894.0	\$ 306,999- 364,615	08/09/93	2	\$ 306,999.00
Previous Change Order #1-2					+ 2,115.00
Contractor's Revised Contract Amount To Date:					\$ 309,114.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
ASHLAND-CATTLETTSBURG PAVING, INC. LEXINGTON	COMMONWEALTH STADIUM BLUE LOT Project No. 1414.1	\$ 213,150- 231,945	07/16/93	3	\$ 213,150.00
Previous Change Order #1					- 2,894.00
CONTRACTOR'S FINAL PAYMENT:			02/28/94		\$ 210,256.00
JIM SMITH CONTRACTING CO. GRAND RIVERS	PADUCAH COMM. COLLEGE PARKING LOT Project No. 1415.0	\$ 133,034- 160,348	10/20/92	4	\$ 133,034.88
Previous Change Orders #1-2					+ 5,956.00
Contractor's Revised Contract Amount To Date:					\$ 138,990.88
J & J MECHANICAL LOUISVILLE	JEFFERSON COMM. COLLEGE DT FINE ARTS CTR, PHASE I RENOV. Project No. 1420.0	\$ 79,375- 88,500	07/30/93	3	\$ 79,375.00
CHANGE ORDER #1	1. Existing floor in Room 101 shall have existing underlayment removed, the existing wood (pine) strip flooring shall be sanded, filled and stained, then apply two (2) coats of polyurethane. 2. The vinyl resilient tile floor originally scheduled is deleted.		1/06/94		+ 3,309.70
Contract completion time is extended by 14 (fourteen) calendar days. Revised completion date is January 23, 1994.					
Reason for Change:	1 & 2. Upon removal of the existing tile floor, the portions of the previously concealed original pine floor were reviewed by the owner who then requested deleting the V.R.T. floor and refinish the existing floor since the ultimate objective when the room is totally completed is to have a wood finished floor.				
Contractor's Revised Contract Amount To Date:					\$ 82,684.70
NORWOOD CONST. CO., INC. LEXINGTON	MEDICAL CENTER CLINICAL PARKING LOT/FORESTRY BUILDING DEMOLITION Project No. 1425.0	\$ 139,994- 197,470	07/20/92	2	\$ 139,994.00
Previous Change Order #1					- 9,277.00
Contractor's Revised Contract Amount To Date:					\$ 130,717.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CRI, INC. LOUISVILLE	PRESTONSBURG COMM COLLEGE JOHNSON BUILDING CHILLER REPLACEMENT Project No. 1428.0	\$ 63,950- 90,629	11/16/92	6	\$ 63,950.00
Previous Change Orders #1-2					+ 4,150.00
Contractor's Revised Contract Amount To Date:					\$ 68,100.00
FEESE MECHANICAL CONTR. LOUISVILLE	JEFFERSON C.C. (DOWNTOWN) BROADWAY BUILDING BOILER REPLACEMENT Project No. 1429.0	\$ 32,280- 49,994	06/30/93	5	\$ 32,280.00
CHANGE ORDER #1	Add to the requirements of the contract that the floor drain in the immediate construction area be cleaned of the debris that is obstructing drainage. Attempt to relieve the stoppage starting with the simplest and least expensive method and follow a progressive procedure until the task is accomplished.		2/01/94		+ 1,187.06
Reason for Change:	The Contractor was directed to unstop the drain even though he insist they were sure that none of their construction debris had entered the floor drain. When the plumbers normal rodding machine could not break through the obstruction in the trap, the floor had to be cut and the trap broken out of the line. In observing the material that had sealed the trap, it appeared that the material was not concrete as had been suggested. The material was interspersed with white chips that one might expect to see in boiler refractory. Since the material retrieved from the drain line appeared to be something other than materials used by the Contractor in completing his contract, the Contractor should be reimbursed for the work expended to clean out the floor drain line.				
Contractor's Revised Contract Amount To Date:					\$ 33,467.06
A.V.P., INC. HENDERSON	HENDERSON COMM. COLLEGE LRC STAIRWELL RENOVATION Project No. 1430.0	\$ 48,800- 59,625	06/08/93	3	\$ 48,800.00
CONTRACTOR'S FINAL PAYMENT:			01/24/94		\$ 48,800.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
A & K CONSTRUCTION COMPANY, INC. PADUCAH	PADUCAH COMM. COLLEGE WALLER & ROSENTHAL HALLS RENOVATION Project No. 1433.0	\$ 352,754- 353,175	11/04/93	2	\$352,754.00
CHANGE ORDER #1	Delete all work associated with the removal of existing skylight curbs and base flashings. The skylight contractor, after inspection, feels the existing curbs and flashings are in good condition.		2/08/94		- 3,600.00
Reason for Change:	Skylight contractor (Minter Roofing) recommends leaving the existing curbs and base flashings in place because they appear to be in good condition.				
CHANGE ORDER #2	Install additional door closers at door numbers R011 and W002.		2/08/94		+ 224.00
Reason for Change:	Closers are required for compliance with Building Code.				
Contractor's Revised Contract Amount To Date:					\$ 349,378.00
AMERICAN ROOFING LOUISVILLE	JEFFERSON COMM. COLLEGE LRC BLDG. ROOF REPLACEMENT Project No. 1434.0	\$ 130,444- 157,000	07/07/93	4	\$ 130,444.00
Previous Change Order #1-2					+ 10,742.00
Contractor's Revised Contract Amount To Date:					\$ 141,186.00
BROWN & KERR, INC. SHEPHERDSVILLE	HARTFORD BUILDING ROOF REPLACEMENT Project No. 1435.0	\$ 70,100- 108,600	07/19/93	4	\$ 70,100.00
CAMBRIDGE CONSTRUCTION LOUISVILLE	JEFFERSON C. C. DOWNTOWN BROADWAY BUILDING FIRST FLOOR RENOVATION Project No. 1436.0	\$ 202,844- 328,500	02/21/94	9	\$ 202,844.00
B & B BUILDERS WINCHESTER	DONOVAN HALL RESTROOM RENOVATIONS Project No. 1448.0	\$ 56,810- 73,800	04/28/93	8	\$ 56,810.00
Previous Change Order #1					+ 371.00
Contractor's Revised Contract Amount To Date:					\$ 57,181.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
E.C. MATTHEWS CO., INC. LEXINGTON	404 LINDEN WALK ROOF REPLACEMENT Project No. 1454.0	\$ 34,780- 47,984	10/28/93	8	\$ 34,780.00
	Previous Change Order #1				+ 934.00
CONTRACTOR'S FINAL PAYMENT:			01/07/94		\$ 35,714.00
*NEW VISIONS CONST. CO., INC. LEXINGTON	GREG PAGE RETENTION POND Project No. 1457.0	\$ 74,056- 139,800	03/08/93	9	\$ 74,056.00
	Previous Change Orders #1-2				+ 2,908.00
Contractor's Revised Contract Amount To Date:					\$ 76,964.00
*Bond Holder completed the project because of non-performance of contractor.					
BUFORD HEATING & AIR CONDITIONING, INC. PADUCAH	PADUCAH COMM. COLLEGE STUDENT CENTER/FINE ARTS BLDG BOILER REPLACEMENT Project No. 1462.0	\$ 73,463- N/A	08/25/93	1	\$ 73,463.00
CHANGE ORDER #1	Furnish and install four (4) automatic air vents in existing building hot water piping system.		1/03/94		+ 4,413.00
Reason for Change:	Existing inverted air traps in less accessible areas of building will not permit purging of air from system.				
CONTRACTOR'S FINAL PAYMENT:			2/16/94		\$ 77,876.00
WINGES CO., INC. NICHOLASVILLE	SHIVLEY OUTDOOR TRACK AND FIELD RENOVATION Project No. 1464.0	\$ 111,741- 138,870	06/30/93	4	\$ 111,741.00
	Previous Change Order #1				+ 1,450.00
Contractor's Revised Contract Amount To Date:					\$ 113,191.00
D.W. WILBURN, INC. LEXINGTON	MILLER HALL RENOVATION UNIVERSITY OF KENTUCKY Project No. 1465.0	\$2,001,000- 2,528,000	10/13/93	7	\$ 2,001,000.00
CHANGE ORDER #1	1. Add four new lintels in corridors and straps on truss kingpost. 2. Remove the grout and rubble at 1st floor. 3. Remove and replace floor joists at 1st and 3rd stair area.		2/28/94		+ 18,763.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
D.W. WILBURN, INC. (Continued)	Project No. 1465.0				
	4. Stabilize existing chimney, furr wall, and add joist. 5. Provide four new windows at plaza side basement. 6. Delete blinds in mechanical room and add fiber board blackout. 7. Add keyed window locks and change to custom color finish. 8. Change lamp source for lobby fixtures. 9. Change to 4x4 wireway in lieu of 6x6 in basement. 10. Extended plaster rings for communication boxes. 11. Delete toilet accessories. PPD to provide. 12. Remove and replace termite damaged wall in basement.				
Reason for Change:	1. thru 5. Found Condition. 6. Eliminate view of equipment. 7. Keyed locks and finish changes. 8. Long-term maintenance. 9. Limited above-ceiling space. 10. Facilitate wiring accessibility. 11. University request. 12. Found condition.				
Contractor's Revised Contract Amount To Date:					\$ 2,019,763.00
SHERROD CONSTRUCTION. COMPANY WINCHESTER	ADMINISTRATION BUILDING ACCESSIBILITY RENOVATION Project No. 1468.0	\$262,203- 265,300	2/17/94	4	\$ 262,203
BLUEGRASS SPRINKLER COMPANY, INC. LOUISVILLE	JEFFERSON COMM. COLLEGE HARTFORD BUILDING & LIBRARY SPRINKLERS Project No. 1469.0	\$250,453- 323,558	12/23/93	4	\$ 250,453
SPRINKLER SYSTEMS, INC. EVANSVILLE, INDIANA	HOPKINSVILLE COMM. COLLEGE FIRE SPRINKLERS FOR ACADEMIC & ADMINISTRATION BUILDING Project No. 1470.0	\$208,266- 393,650	1/12/94	4	\$ 208,266

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
WINGES COMPANY, INC. NICHOLASVILLE	CLINICAL PARKING LOT REPLACEMENT Project No. 1480.0	\$ 84,890- 117,890	08/02/93	4	\$ 84,890.00
	Previous Change Order #1				+ 1,450.00
CONTRACTOR'S FINAL PAYMENT:			01/24/94		\$ 93,509.00
E.C. MATTHEWS CO., INC. LEXINGTON	AMBULANCE CANOPY/EXHAUST UNIVERSITY HOSPITAL UNIVERSITY OF KENTUCKY Project No. 1481.0	\$47,400- 68,800	1/31/94	2	\$ 47,400.00
GRIFFITH PLUMBING AND HEATING JACKSON, KY	HAZARD COMMUNITY COLLEGE PHASE I CHILLER REPLACEMENT Project No. 1482.0	\$103,000- 158,680	12/20/93	7	\$ 103,000.00
FISHER & BARGER, INC. MAYSVILLE	PRESTONSBURG COMM. COLLEGE PIKE BUILDING CHILLER REPLACEMENT Project No. 1484.0	\$97,400- 122,800	12/22/93	4	\$ 97,400.00
THE ALLEN COMPANY, INC. WINCHESTER	SPORTSCENTER DRIVE PHASE II Project No. 1485.0	\$ 92,400- 132,038	06/16/93	2	\$ 92,400.00
	Previous Change Order #1				- 1,250.00
CONTRACTOR'S FINAL PAYMENT:			1/31/94		\$ 91,150.00
EUBANK & STEELE CONSTRUCTION COMPANY LEXINGTON	SPORTS CENTER DRIVE SIDEWALK EXTENSION Project No. 1485.2	\$16,625- 32,778	10/28/93	6	\$ 16,625.00
CHANGE ORDER #1	Remove handicap ramp. Construct two (2) handicap ramps with brick inserts to match existing ramps at LCC and Field House.		2/01/94		+ 1,550.00
Reason for Change:	Detail was left out of the bid documents. Tactile warning is required by ADA.				
Contractor's Revised Contract Amount To Date:					\$ 18,175.00
M.B. MCGREGOR COMPANY LEXINGTON	HOPKINSVILLE COMM. COLLEGE CHEMISTRY LAB & STORE ROOM RENOVATION Project No. 1486.0	\$76,197- 82,000	2/15/94	3	\$ 76,197.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
EUBANK & STEELE CONSTRUCTION COMPANY LEXINGTON	DENTAL CLINIC LABS & OFFICE RENOVATION Project No. 1489.0	\$114,250- \$145,380	12/7/93	7	\$ 114,250.00
CHANGE ORDER #1	1. Change existing electrical devices to white hospital grade. 2. Relocate existing exposed solenoid and vacuum line switch and install new access panel.		2/01/94		+ 1,045.00
Reason for Change:	1. Requested by Dean Nash for constant appearance. Existing switches were very old and brown color and old switches and plates were defective. 2. Existing vacuum line conflicted with new casework and needed to be relocated.				
Contractor's Revised Contract Amount To Date:					\$ 115,295.00

Office of the President
April 5, 1994

FCR 3

Members, Board of Trustees:

APPROVAL OF LEASE

Recommendation: that the Vice President for Administration be authorized to negotiate and execute the following:

An addendum to a lease between University of Kentucky (Kentucky Clinic) and the Kentucky Medical Services Foundation, 729 South Limestone, Lexington, Kentucky, for property located at 2400 Greatstone Point, Lexington, Kentucky, effective April 5, 1994 through June 30, 1994, for additional office/clinical space, at an annual rate of \$17,577.56.

Background: The original lease for 6,250 square feet was approved by the Board of Trustees on May 4, 1993 to be effective August 1, 1993 at an annual rental cost of \$50,000.00. This addendum adds 2,511 square feet of office/clinical space at an annual rate of \$17,577.56 which brings the total annual rental to \$67,577.56. FCR 5, dated October 5, 1985 authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 5, 1994

Office of the President
April 5, 1994

FCR 4

Members, Board of Trustees:

C. M. "BILL" GATTON GIFT

Recommendation: that the Board of Trustees accept a gift of \$102,888 from C. M. "Bill" Gatton, Bristol, Tennessee, to the University of Kentucky Athletics Association to be used for capital improvements.

Background: Mr. Gatton is a native Kentuckian and a 1954 graduate of the University of Kentucky in the College of Business and Economics. Mr. Gatton is a UK Fellow. He owns car dealerships in Tennessee and Texas and has business interests in Owensboro and Hopkinsville.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 5, 1994

Office of the President
April 5, 1994

FCR 5

Members, Board of Trustees:

ACCEPTANCE OF GIFT FROM
KENTUCKY MEDICAL SERVICES FOUNDATION
OF KENTUCKY CLINIC BUILDING EXPANSION

Recommendation: that the Board of Trustees accept the generous gift of the University of Kentucky Medical Services Foundation (KMSF) which will consist of a newly constructed clinic and office facility on the University of Kentucky campus and located at 740 South Limestone Street.

Background: The Kentucky Medical Services Foundation will construct this facility to meet the University's need for additional clinic and office space. KMSF is offering this facility, paid for in full, as a gift to the University of Kentucky. By way of a written agreement, the University of Kentucky will allow KMSF to construct this facility on University property provided the building be deeded to the University upon completion. KMSF will construct this five-story, 60,000 square foot facility with University approval of the space programming and building design. This new building will be attached to and programmatically integrated with the existing outpatient clinic facility. The facility will be constructed to meet University standards as well as state and local building regulations. The building will be ready for occupancy on or about January 1, 1996 and will be operated and maintained by the University.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 5, 1994

Office of the President
April 5, 1994

FCR 6

Members, Board of Trustees:

PRACTICE PLANS
COLLEGES OF ALLIED HEALTH PROFESSIONS AND NURSING

Recommendation: that the Board of Trustees approve the attached College of Allied Health Professions Faculty Practice Plan and College of Nursing Faculty Practice Plan which have been developed pursuant to a May 1, 1990 action of the Board of Trustees.

Background: The Board of Trustees acted on May 1, 1990 to adopt principles for the "Establishment of Health Service Faculty Practice Plan for the College of Allied Health Professions, Nursing and Pharmacy." The attached plans for the Colleges of Allied Health Professions and Nursing have been developed in accordance with these principles. A plan for the College of Pharmacy is still under development. The attached plans are patterned after the plan for the College of Dentistry which was initially adopted in 1962.

The plans establish policies and procedures relating to the management of professionally generated income and to compensation for services rendered by faculty participating in the plans. The plans also set forth "Underlying Principles" which guide the operation of each plan. The funds collected pursuant to the provisions of the plans will be deposited in the Fund for Advancement of Education and Research in the University of Kentucky Chandler Medical Center, an affiliated corporation of the University of Kentucky. Modifications or amendments to the plans require the approval of the Board of Trustees.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 5, 1994

**UNIVERSITY OF KENTUCKY
ADMINISTRATIVE REGULATIONS**

IDENTIFICATION

AR II-7.0-10

PAGE

1

DATE EFFECTIVE

4/5/94

SUPERSEDES REGULATION DATED

**COLLEGE OF ALLIED HEALTH PROFESSIONS
FACULTY PRACTICE PLAN**

(Approved by the Board of Trustees)

ARTICLE I. NAME OF THE PLAN

The Plan shall be known as the College of Allied Health Professions Faculty Practice Plan of the University of Kentucky Chandler Medical Center.

ARTICLE II. PURPOSE OF THE PLAN

The purpose of the Plan is to facilitate proper functioning of the teaching, research, and service programs of the U.K. Chandler Medical Center, consistent with the integrity and responsibilities of the University. Income generating activities of the faculty members of the College of Allied Health Professions that relate to their professional expertise and/or credentials are facilitated by their employment at the University of Kentucky. The Plan is created to insure accountability of these activities. The operation of the Plan will be evaluated periodically with the purpose and underlying principles hereinafter set forth as guides.

ARTICLE III. SCOPE OF THE PLAN

The Plan establishes policies and general procedures relating to compensation for services rendered by all faculty with full-time, part-time, joint, regular title, clinical title, and special title series faculty appointments in the College of Allied Health Professions during the faculty member's period of assignment. This Plan is applicable to compensation derived from salary and from the college service accounts, all of which are established by the University Board of Trustees. The Plan is applicable to all services of such faculty members incident to the care of patients and to all other activities which are a part of the U.K. Chandler Medical Center program. Income from publications, honoraria for lectures, grants and additional other activities which are not a part of the U.K. Chandler Medical Center program are excluded from the Plan, but remain subject to policies and regulations of the College of Allied Health Professions and the Board of Trustees.

Provisions of the plan are applicable to all professionally generated income, and that income is defined as:

- A. all compensation, income and payments (direct or in kind and whether characterized as fees, retainers, or otherwise) for professional services rendered or to be rendered, including, but not limited to, those relating to the diagnosis, treatment or evaluation of patients/clients and consultation;
- B. all payments whether direct or in kind (excluding actual out-of-pocket costs) for providing advice, consultation, serving on boards or committees, overseeing, supervision or other participation with any person or entity involved with (a) health or medical care, (b) evaluation or care of patients, (c) drugs, (d) therapy of any kind, (e) health or medical care of any other type;

C. witness fees and payments relating to depositions, testimony or other evaluations in the capacity of a witness; and

D. all other income which relates to and would not exist but for the license, certification, professional education, experience, or training which is the basis for faculty appointment in the College.

The Dean of the College of Allied Health Professions has the authority to interpret the definition of income described above and exclude certain income-generating activities which are not within the scope of the U.K. Chandler Medical Center program.

ARTICLE IV. DEFINITIONS

A. "THE FUND" as used herein means the Fund for Advancement of Education and Research in the University of Kentucky Chandler Medical Center, a non-profit corporation organized in 1959 under the laws of Kentucky to promote, advance, and support the educational, research, and other purposes of the University of Kentucky Chandler Medical Center.

B. The "FACULTY ADVISORY COMMITTEE OF THE COLLEGE" as herein used means the Committee of the College of Allied Health Professions selected by the faculty participating in the College Service Plan as provided in Article IX of this Plan.

C. The "DEPARTMENT" as herein used means all officially approved departments in the College of Allied Health Professions.

D. The "COLLEGE OF ALLIED HEALTH PROFESSIONS FACULTY PRACTICE ACCOUNT" as herein used means that separate depository account to be established as provided in Article VII of this Plan.

E. The "BOARD OF TRUSTEES" as herein used means the Board of Trustees of the University which by law is the governing board of the institution.

ARTICLE V. UNDERLYING PRINCIPLES

A. Health care and services will be provided in the University of Kentucky Chandler Medical Center, in the surrounding community and in the Commonwealth because it is essential to the teaching and research programs and to the proper use of the facilities and skills in the Center to reinforce health care resources in local communities throughout the Commonwealth.

B. The kinds and volume of health care and service provided by the U.K. Chandler Medical Center will be determined by the needs of the teaching, research, and service programs of the Center. Income derived from the provision of such care is incidental to the purposes of these programs and in this sense is properly considered a "by-product" of their operation.

C. U.K. Chandler Medical Center and associated patient care facilities will be used for activities which are part of the Center's teaching, research, and service programs. All patients admitted to the U.K. Chandler Medical Center will receive care as part of the teaching and research program.

D. An optimal teaching environment will imbue students with understanding, sympathy, and respect for the patient as a person and will cultivate attitudes which are consistent with the highest ideals of the health profession. To develop in students the ability to relate to patients differing widely in personality and environmental factors requires that patients for teaching not be drawn from a single segment of society but rather that they represent a cross-section of the population. Moreover, optimal use of the Center's facilities in serving the needs of research activities requires absence of arbitrary restriction by social classification of patients. Such restrictions also would impair the value and usefulness to the people and health professionals of the Commonwealth of the health care resources available in the Center to reinforce resources available in local communities. In drawing teaching patients from all segments of the population, it will be the intent of the U.K. Chandler Medical Center to follow to the fullest extent possible the principle of strengthening the relationships between patients and their health professionals.

E. The professional interests of full-time members of the faculty of the College of Allied Health Professions should be concentrated in academic health. Arrangements creating financial incentives for full-time faculty members which would tend inappropriately to divert or dilute their concentration on teaching, research, and program responsibilities are not consistent with the mission of the U.K. Chandler Medical Center.

F. Each full-time member of the faculty can rightfully expect:

1. The facilities, material, and support needed for teaching and research;
2. Opportunity to maintain and develop professional expertise; and
3. Compensation for work on a basis which recognizes the faculty member's responsibilities, competence, and productive effort and which is reasonably in line with compensation which the faculty member could obtain elsewhere in the academic health professions.

Success in attracting and holding an outstanding faculty will depend on meeting these expectations.

G. Assurance that the level of compensation for full-time members of the faculty is competitive with other good teaching centers and adequate to attract and maintain a strong, competent faculty is basically the responsibility of the University. Responsibility should rest with the University not only for ensuring that initial and subsequent levels of remuneration are adequate, but also for ensuring that such levels are not excessive. Through budgetary and related actions, the University should, to the extent possible and permissible, assure the faculty member that the faculty member's total remuneration from all sources will be in accord with a predetermined level which is competitive with other academic health professionals in a similar discipline and which is fixed consistent with adequacy and is periodically reviewed.

H. Payments received for health professionals' services rendered by members of the faculty of the College of Allied Health Professions should be

used only for remuneration of such faculty, in such manner and in such amounts as determined by the Board of Trustees, and for such other purposes in the U.K. Chandler Medical Center as may be recommended by the Faculty Advisory Committee of the College of Allied Health to the Board of Directors of THE FUND.

I. With respect to each member of the full-time faculty participating in the Service Plan, the amount of total compensation will be established by the UK Board of Trustees in the operating budgets of the U.K. Chandler Medical Center. The manner and extent to which compensation from the College of Allied Health Professions Faculty Practice Account is combined with other compensation will be reviewed and approved annually by the Chancellor for the U.K. Chandler Medical Center in consultation with the Dean with input from the department chairs of the College. The objective is one of providing stability of total compensation without impinging on the freedom of faculty members to apportion their time and efforts among teaching, research, and patient care activities as determined by their interests and program responsibilities.

ARTICLE VI. SETTING OF CHARGES FOR HEALTH PROFESSIONALS' SERVICES

Standard schedules of charges for health professionals' services, as developed from time to time by the various departments of the College of Allied Health Professions, subject to review by the Faculty Advisory Committee and approval by the Dean, will be the general basis for assessing such charges to patients. However, the amount of charges to any individual patient may be adjusted as determined by the health professional rendering the services if variation from standard charges is deemed by the health professional to be warranted by the circumstances.

ARTICLE VII. BILLING AND COLLECTION OF CHARGES FOR HEALTH PROFESSIONALS' SERVICES

Charges for health services rendered by the members of the faculty of the College of Allied Health Professions will be billed at the time services are provided or subsequently, with such charges and billings being appropriately coordinated with charges and billings for other services to patients. Billings and collections of all amounts will be handled by a billing system approved by the University. Amounts collected for combined charges for health services and undesignated receipts from or on behalf of individual patients who receive health services will be applied on an appropriate basis of proration to payment for health professionals' services and to payment for other services. Major problems relating to collection from patients of charges for health professionals' services will be handled in a manner that is subject to the concurrence of the health professionals rendering the services to the patients. Any payments for service, other than compensation as established by the Board of Trustees, which are received by full-time faculty members for professional services within the scope of the Plan shall be transmitted on a current basis to the U.K. Chandler Medical Center for inclusion with other funds collected for health professionals' services.

All funds collected or received by the College of Allied Health Professions for health professionals' services rendered by members of the College of Allied Health Professions shall be received by the College of Allied Health

Professions for THE FUND. Such Funds shall be segregated upon receipt and shall be paid over by the College of Allied Health Professions to THE FUND by depositing the same in accounts designated for the College of Allied Health Professions. Such separate accounts established for each department generating service funds shall be designated and known as the College of Allied Health Professions Faculty Practice Account of THE FUND. Unexpended balances from year to year will remain in the accounts designated for the College of Allied Health Professions.

ARTICLE VIII. USE OF FUNDS DEPOSITED IN THE COLLEGE OF ALLIED HEALTH PROFESSIONS FACULTY PRACTICE ACCOUNT

As a standing policy, THE FUND will use and expend all monies and funds deposited in the College of Allied Health Professions Faculty Practice Account to the extent available for the following purposes:

A. Such purposes which are proposed by the Dean to be included in the annual budget as outlined in Article XII, Section E of this plan.

B. Compensation to members of the faculty of the College of Allied Health Professions in such amounts as may be necessary to provide the difference between other University compensation and the total compensation as established by the Board of Trustees in the operating budget of the U.K. Chandler Medical Center. The operating budget will show the amounts to be paid from the College of Allied Health Professions Faculty Practice Account, which amounts are dependent upon the availability of funds.

B. Such other purposes in the College of Allied Health Professions as from time to time may be recommended to the Board of Directors of THE FUND by the Faculty Advisory Committee of the college provided, however, that such funds may not at any time be used for payment to members of the faculty of the College of Allied Health Professions of income in excess of the total compensation level established for the individual members thereof by the Board of Trustees in the operating budget of the U.K. Chandler Medical Center.

ARTICLE IX. THE FACULTY ADVISORY COMMITTEE OF THE COLLEGE OF ALLIED HEALTH PROFESSIONS

The Faculty Advisory Committee of the College of Allied Health Professions shall consist of five members elected by the faculty participating in the Plan of the College. The Dean shall serve ex officio as Chair of the Committee. The Committee shall meet periodically and review the operation of this Plan, including matters relating to the applicability of the Plan to sources of income, standard schedules of charges for health professionals' services as developed by the departments, and any other aspects of the operation of the Plan. This Committee shall make such recommendations as it may deem appropriate to the Dean of the College with respect to the modification of the policies and procedures provided by this Plan or utilized in its operation.

ARTICLE X. LIMITATIONS ON HEALTH PRACTICE BY FACULTY MEMBERS

As a condition of employment, faculty members in the College of Allied Health Professions who provide professionally related services as described in

Article III of this Plan shall participate in the Plan and will not maintain offices or engage in the practice of health professions outside of the approved programs of the U.K. Chandler Medical Center, except in infrequent and special circumstances as in emergencies and in other situations where provision of service is required by professional ethics. Faculty members who are employed by the College of Allied Health Professions prior to the approval of the Plan by the Board of Trustees will have the option of not participating in the Plan; however if they choose to join sometime after approval of the Plan, they must continue to participate until their employment is terminated.

ARTICLE XI. EFFECTIVE DATE

The College of Allied Health Professions Faculty Practice Plan will become effective upon approval by the Board of Trustees of the University of Kentucky. Subsequent modifications or amendments of the Plan will be effective upon approval of the Board of Trustees or as of such date as may be specified by the Board of Trustees.

ARTICLE XII. PROCEDURES

A. Faculty members requesting exclusion of an income-generating activity from the Plan will make a request in writing through the respective chair to the Dean. The Dean will make a decision based upon an interpretation of the definition of income to be included in the Plan. This decision will be communicated in writing by the Dean through the chair to the individual faculty member. Faculty members wishing to appeal the decision must do so in writing to the Chancellor of the U.K. Chandler Medical Center within one month from the date of receipt of the letter from the Dean. The decision of the Chancellor will be final.

B. The Dean of the College of Allied Health Professions will provide quarterly a written summary report to the faculty with a copy to the Chancellor. This report will include by activity all funds budgeted, received, and expended to date for a given fiscal year. Also included will be a listing of all income-generating activities which have been excluded from the Plan based upon decisions made by the Dean or Chancellor as described above.

C. A fund administrator will be appointed by the Dean of the College of Allied Health Professions. The fund administrator will be responsible for:

1. Preparing the annual and supplemental budgets of the Plan for the Dean with input as needed from the department chairs.
2. The daily operation of all funds included in the Plan and the preparation of written monthly reports to the Dean on the financial status of the Plan, to include projections of income and expenses for the year.

D. The proposed budget will be submitted by the Dean to the department chairs and the Faculty Advisory Committee for review and comment.

E. The Annual Budget of the Plan will include:

1. Estimated administrative expenses to manage the Plan.
2. Provision of operating expense support for faculty in securing and managing contracts of the Plan.
3. Funding for a grant to the University to include:
 - a) base salaries of clinical title series faculty members;
 - b) salary support for faculty on state lines for that portion of their DOE allowed for income-generating professional services; and
 - c) base salary support for staff involved in assisting faculty in generation of professional income.
4. Funding for a contingency fund. The contingency reserve will be established to meet potential shortfalls and must contain a minimum of one month operating expenses for the Plan.
5. Funding for an account(s) to enhance college programs.

F. Faculty will document delivery of services to an approved billing agent who will in turn bill the patients/clients.

PROVISIONS FOR FACULTY SALARY SUPPLEMENTAL
COMPENSATION UNDER THE COLLEGE OF ALLIED HEALTH PROFESSIONS
FACULTY PRACTICE PLAN

I. Objectives

The specific objectives of the proposed salary supplementation plan include the following:

A. To increase faculty income over and beyond that available through State support to the College of Allied Health Professions in an effort to remain competitive with other institutions;

B. To increase faculty incentive to participate in income-producing activities which will be beneficial to the College of Allied Health Professions, the Medical Center, the University of Kentucky, and the State;

C. To maintain primary emphasis on the teaching and research program of the College of Allied Health Professions; and

D. To use professional practice by faculty as a vehicle for clinical training.

II. Basis

The proposal is based on a system allowing the Dean and department chairs to

reward faculty members for unusual productivity and effort. The rewards will be derived from income generated by faculty activity and will primarily but not absolutely be related to the fiscal productivity of each individual. The system should allow maintenance of departmental balance without overcompensation for one segment of activity to the exclusion of other equally important commitments.

III. Applicable Provisions

A. Supplemental compensation (salary, fringe benefits, etc.) will be determined on a semi-annual basis and established through approval by the Board of Trustees of supplementary operating budgets of the College of Allied Health Professions covering six-month periods. The appropriation of funds for expenditures projected in such a budget will be equal in amount to additional income to the University provided by a grant by the Board of Directors of THE FUND to the University specifically for the purpose of financing the supplementary operating budget. Such a grant will be in addition to that made by THE FUND in support of salaries provided in the basic operating budget of the College of Allied Health Professions.

B. FUND grants for the purpose of supplemental compensation will constitute non-recurring funds, and approval of the supplementary operating budget based thereon will not constitute an increase in the budget base of the College of Allied Health Professions. Payments to individuals in accordance with an approved supplementary operating budget will be made by the University for the semi-annual period covered by such a budget and will not constitute an increase to the regular salary bases of the individuals involved.

C. The amount of the grant to the University by THE FUND for the purpose of supplemental compensation will be determined as of December 31 and June 30 by the Board of Directors and will be the amount by which payments received in the College of Allied Health Professions Faculty Practice Account since the prior semi-annual date are in excess of the sum of (1) pro-rata requirements for coverage of THE FUND grant in support of salaries provided in the basic operating budget; and (2) requirements for coverage of other expenditures which have been budgeted or are reserved in the College of Allied Health Professions Faculty Practice Account pursuant to recommendations by the Faculty Advisory Committee of the College.

D. The supplementary operating budget for the College of Allied Health Professions will be prepared and submitted, in accordance with University budgetary procedures, immediately following the determination of the amount of THE FUND grant to the University for supplemental compensation.

E. In preparation of the supplementary operating budget, the Dean of the College of Allied Health Professions will allocate not less than 70 percent of the total amount of THE FUND grant for supplemental compensation among the departments providing the services on the basis of available data to measure the performance of the respective departments in producing income including, but not limited to, professional fee income. Such allocations will be the basis for departmental budget submittal in which the distribution of supplemental compensation among faculty members in each of the respective departments is to be made by the department chairs, based on the department

chairs' determination and judgment of relative performance and contributions to the program/division of the department. The amount of supplemental compensation for department chairs and program directors will be determined by the Dean.

F. The budget for the remaining 30 percent of the amount of the grant shall be prepared by the Dean of the College of Allied Health Professions with the funds available being devoted to providing supplemental compensation for faculty members in departments where appropriate recognition of performance and contribution to the patient care program is not possible within formula allocations to departments.

G. The allocations among departments of the amount representing 70 percent of THE FUND grant for supplemental compensation will be determined as follows:

The amount determined for each department, expressed as a percentage of the amount determined for all departments in the aggregate for the college, will be the basis for dividing among departments the funds available for distribution.

H. Data on the factors to be utilized in determining departmental allocations will be submitted by the Dean of the College of Allied Health Professions for review by the Faculty Advisory Committee of the College prior to preparation of the supplementary operating budget. Upon recommendation of the Committee, adjustments in the factors used may be made by the Dean if deemed necessary to avoid inequities due to limitations of available data. Computations of the amount of allocations for individual departments will be provided to the chairs of the departments, respectively.

I. Following adoption of the provisions herein, recommendations may be made from time to time by the Faculty Advisory Committee through the Dean to the Chancellor for modifications deemed to be appropriate and desirable.

UNIVERSITY OF KENTUCKY ADMINISTRATIVE REGULATIONS	IDENTIFICATION		PAGE
	DATE EFFECTIVE	AR II-7.0-11	1
	4/5/94	SUPERSEDES REGULATION DATED	

**COLLEGE OF NURSING
FACULTY PRACTICE PLAN
(Approved by the Board of Trustees)**

ARTICLE I. NAME OF THE PLAN

The Plan shall be known as the College of Nursing Faculty Practice Plan of the University of Kentucky Chandler Medical Center.

ARTICLE II. PURPOSE OF THE PLAN

The purpose of the Plan is to facilitate proper functioning of the teaching, research, and service programs of the U.K. Chandler Medical Center, consistent with the integrity and responsibilities of the University. Income generating activities of the faculty members of the College of Nursing that relate to their professional expertise and/or credentials are facilitated by their employment at the University of Kentucky. The Plan is created to insure accountability of these activities. The operation of the Plan will be evaluated periodically with the purpose and underlying principles hereinafter set forth as guides.

ARTICLE III. SCOPE OF THE PLAN

The Plan establishes policies and general procedures relating to compensation for services rendered by all faculty with full-time, part-time, joint, regular title, clinical title, and special title series faculty appointments in the College of Nursing during the faculty member's period of assignment and which are a part of the faculty member's negotiated distribution of effort for the University. This Plan is applicable to compensation derived from salary and from the college service accounts, all of which are established by the University Board of Trustees. The Plan is applicable to all services of such faculty members incident to the care of patients and to all other activities which are a part of the U.K. Chandler Medical Center program. Income from publications, honoraria for lectures, grants and additional other activities which are not a part of the U.K. Chandler Medical Center program are excluded from the Plan, but remains subject to policies and regulations of the College of Nursing and the Board of Trustees.

Provisions of the plan are applicable to all professionally generated income, and that income is defined as:

- A. all compensation, income and payments (direct or in kind and whether characterized as fees, retainers, or otherwise) for professional services rendered or to be rendered, including, but not limited to, those relating to the diagnosis, treatment or evaluation of patients/clients and consultation;
- B. all payments whether direct or in kind (excluding actual out-of-pocket costs) for providing advice, consultation, serving on boards or committees, overseeing, supervision or other participation with any person or entity involved with (a) health or medical care, (b) evaluation or care of patients, (c) drugs, (d) therapy of any kind, (e) health or medical care of any other type;

C. witness fees and payments relating to depositions, testimony or other evaluations in the capacity of a witness; and

D. all other income which relates to and would not exist but for the license, certification, professional education, experience, or training which is the basis for faculty appointment in the College.

The Dean of the College of Nursing has the authority to interpret the definition of income described above and exclude certain income-generating activities which are not within the scope of the U.K. Chandler Medical Center program.

ARTICLE IV. DEFINITIONS

A. "THE FUND" as used herein means the Fund for Advancement of Education and Research in the University of Kentucky Chandler Medical Center, a non-profit corporation organized in 1959 under the laws of Kentucky to promote, advance, and support the educational, research, and other purposes of the University of Kentucky Chandler Medical Center.

B. The "FACULTY ADVISORY COMMITTEE OF THE COLLEGE" as herein used means the Committee of the College of Nursing selected by the faculty participating in the College Service Plan as provided in Article IX of this Plan.

C. The "DIVISION" as herein used means all currently approved divisions in the College of Nursing.

D. The "COLLEGE OF NURSING FACULTY PRACTICE ACCOUNT" as herein used means that separate depository account to be established as provided in Article VII of this Plan.

E. The "BOARD OF TRUSTEES" as herein used means the Board of Trustees of the University which by law is the governing board of the institution.

ARTICLE V. UNDERLYING PRINCIPLES

A. Health care and services will be provided in the U.K. Chandler Medical Center, in the surrounding community and in the Commonwealth because it is essential to the teaching and research programs and to the proper use of the facilities and skills in the Center to reinforce health care resources in local communities throughout the Commonwealth.

B. The kinds and volume of health care and service provided by the U.K. Chandler Medical Center will be determined by the needs of the teaching, research, and service programs of the Center. Income derived from the provision of such care is incidental to the purposes of these programs and in this sense is properly considered a "by-product" of their operation.

C. U.K. Chandler Medical Center and associated patient care facilities will be used for activities which are part of the Center's teaching, research, and service programs. All patients admitted to the U.K. Chandler Medical Center will receive care as part of the teaching and research program.

D. An optimal teaching environment will imbue students with understanding, sympathy, and respect for the patient as a person and will cultivate attitudes which are consistent with the highest ideals of the health profession. To develop in students the ability to relate to patients differing widely in personality and environmental factors requires that patients for teaching not be drawn from a single segment of society but rather that they represent a cross-section of the population. Moreover, optimal use of the Center's facilities in serving the needs of research activities requires absence of arbitrary restriction by social classification of patients. Such restrictions also would impair the value and usefulness to the people and health professionals of the Commonwealth of the health care resources available in the Center to reinforce resources available in local communities. In drawing teaching patients from all segments of the population, it will be the intent of the U.K. Chandler Medical Center to follow to the fullest extent possible the principle of strengthening the relationships between patients and their health professionals.

E. The professional interests of full-time members of the faculty of the College of Nursing should be concentrated in academic health. Arrangements creating financial incentives for full-time faculty members which would tend inappropriately to divert or dilute their concentration on teaching, research, and program responsibilities are not consistent with the mission of the U.K. Chandler Medical Center.

F. Each full-time member of the faculty can rightfully expect:

1. The facilities, material, and support needed for teaching and research;
2. Opportunity to maintain and develop professional expertise; and
3. Compensation for work on a basis which recognizes the faculty member's responsibilities, competence, and productive effort and which is reasonably in line with compensation which the faculty member could obtain elsewhere in the academic health professions.

Success in attracting and holding an outstanding faculty will depend on meeting these expectations.

G. Assurance that the level of compensation for full-time members of the faculty is competitive with other good teaching centers and adequate to attract and maintain a strong, competent faculty is basically the responsibility of the University. Responsibility should rest with the University not only for ensuring that initial and subsequent levels of remuneration are adequate, but also for ensuring that such levels are not excessive. Through budgetary and related actions, the University should, to the extent possible and permissible, assure the faculty member that the faculty member's total remuneration from all sources will be in accord with a predetermined level which is competitive with other academic health professionals in a similar discipline and which is fixed consistent with adequacy and is periodically reviewed.

H. Payments received for health professionals' services rendered by members of the faculty of the College of Nursing should be used only for

remuneration of such faculty, in such manner and in such amounts as determined by the Board of Trustees, and for such other purposes in the U.K. Chandler Medical Center as may be recommended by the Faculty Advisory Committee of the College of Nursing to the Board of Directors of THE FUND.

I. With respect to each member of the full-time faculty participating in the Service Plan, the amount of total compensation will be established by the UK Board of Trustees in the operating budgets of the U.K. Chandler Medical Center. The manner and extent to which compensation from the College of Nursing Faculty Practice Account is combined with other compensation will be reviewed and approved annually by the Chancellor for the U.K. Chandler Medical Center in consultation with the Dean with input from the division directors of the College. The objective is one of providing stability of total compensation without impinging on the freedom of faculty members to apportion their time and efforts among teaching, research, and patient care activities as determined by their interests and program responsibilities.

ARTICLE VI. SETTING OF CHARGES FOR HEALTH PROFESSIONALS' SERVICES

Standard schedules of charges for health professionals' services, as developed from time to time by the various divisions of the College of Nursing, subject to review by the Faculty Advisory Committee and approval by the Dean, will be the general basis for assessing such charges to patients. However, the amount of charges to any individual patient may be adjusted as determined by the health professional rendering the services if variation from standard charges is deemed by the health professional to be warranted by the circumstances.

ARTICLE VII. BILLING AND COLLECTION OF CHARGES FOR HEALTH PROFESSIONALS' SERVICES

Charges for health services rendered by the members of the faculty of the College of Nursing will be billed at the time services are provided or subsequently, with such charges and billings being appropriately coordinated with charges and billings for other services to patients. Billings and collections of all amounts will be handled by a billing system approved by the University. Amounts collected for combined charges for health services and undesignated receipts from or on behalf of individual patients who receive health services will be applied on an appropriate basis of proration to payment for health professionals' services and to payment for other services. Major problems relating to collection from patients of charges for health professionals' services will be handled in a manner that is subject to the concurrence of the health professionals rendering the services to the patients. Any payments for service, other than compensation as established by the Board of Trustees, which are received by full-time faculty members for professional services within the scope of the Plan shall be transmitted on a current basis to the U.K. Chandler Medical Center for inclusion with other funds collected for health professionals' services.

All funds collected or received by the College of Nursing for health professionals' services rendered by members of the College of Nursing shall be received by the College of Nursing for THE FUND. Such Funds shall be segregated upon receipt and shall be paid over by the College of Nursing to THE FUND by depositing the same in accounts designated for the College of

Nursing. Such separate accounts established for each division generating service funds shall be designated and known as the College of Nursing Faculty Practice Account of THE FUND. Unexpended balances from year to year will remain in the accounts designated for the College of Nursing.

ARTICLE VIII. USE OF FUNDS DEPOSITED IN THE COLLEGE OF NURSING FACULTY PRACTICE ACCOUNT

As a standing policy, THE FUND will use and expend all monies and funds deposited in the College of Nursing Faculty Practice Account to the extent available for the following purposes:

A. Such purposes which are proposed by the Dean to be included in the annual budget as outlined in Article XII, Section E of this plan.

B. Compensation to members of the faculty of the College of Nursing in such amounts as may be necessary to provide the difference between other University compensation and the total compensation as established by the Board of Trustees in the operating budget of the U.K. Chandler Medical Center. The operating budget will show the amounts to be paid from the College of Nursing Faculty Practice Account, which amounts are dependent upon the availability of funds.

C. Such other purposes in the College of Nursing as from time to time may be recommended to the Board of Directors of THE FUND by the Faculty Advisory Committee of the College provided, however, that such funds may not at any time be used for payment to members of the faculty of the College of Nursing of income in excess of the total compensation level established for the individual members thereof by the Board of Trustees in the operating budget of the U.K. Chandler Medical Center.

ARTICLE IX. THE FACULTY ADVISORY COMMITTEE OF THE COLLEGE OF NURSING

The Faculty Advisory Committee of the College of Nursing shall consist of five members elected by the faculty participating in the Plan of the College. The Dean shall serve ex officio (with vote) as Chair of the Committee. Each member of the committee shall be a participant of the Plan. The term will be for three years and will run from July 1 through June 30. During the first election, two faculty members will be elected for a three-year term, two faculty members will be elected for a two-year term, and one faculty member will be elected for a one-year term. Thereafter, all terms will be for a period of three years. In the event a committee member is unable to complete the term, the faculty will elect a replacement for the remainder of the term. The Committee shall meet periodically and review the operation of this Plan, including matters relating to the applicability of the Plan to sources of income, standard schedules of charges for health professionals' services as developed by the departments, and any other aspects of the operation of the Plan. This Committee shall make such recommendations as it may deem appropriate to the Dean of the College with respect to the modification of the policies and procedures provided by this Plan or utilized in its operation.

ARTICLE I. LIMITATIONS ON HEALTH PRACTICE BY FACULTY MEMBERS

As a condition of employment, faculty members in the College of Nursing who provide professionally related services as described in Article III of this Plan shall participate in the Plan and will not maintain offices or engage in the practice of health professions outside of the approved programs of the U.K. Chandler Medical Center, except in infrequent and special circumstances as in emergencies and in other situations where provision of service is required by professional ethics. Faculty members who are employed by the College of Nursing prior to the approval of the Plan by the Board of Trustees will have the option of not participating in the Plan; however if they choose to join sometime after approval of the Plan, they must continue to participate until their employment is terminated.

ARTICLE II. EFFECTIVE DATE

The College of Nursing Faculty Practice Plan will become effective upon approval by the Board of Trustees of the University of Kentucky. Subsequent modifications or amendments of the Plan will be effective upon approval of the Board of Trustees or as of such date as may be specified by the Board of Trustees.

ARTICLE XII. PROCEDURES

A. Faculty members requesting exclusion of an income-generating activity from the Plan will make a request in writing through the respective division director to the Dean. The Dean will make a decision based upon an interpretation of the definition of income to be included in the Plan. This decision will be communicated in writing by the Dean through the division director to the individual faculty member. Faculty members wishing to appeal the decision must do so in writing to the Chancellor of the U.K. Chandler Medical Center within one month from the date of receipt of the letter from the Dean. The decision of the Chancellor will be final.

B. The Dean of the College of Nursing will provide quarterly a written summary report to the faculty with a copy to the Chancellor. This report will include by activity all funds budgeted, received, and expended to date for a given fiscal year. Also included will be a listing of all income-generating activities which have been excluded from the Plan based upon decisions made by the Dean or Chancellor as described above.

C. A fund administrator will be appointed by the Dean of the College of Nursing. The fund administrator will be responsible for:

1. Preparing the annual and supplemental budgets of the Plan for the Dean with input as needed from the division directors.
2. The daily operation of all funds included in the Plan and the preparation of written monthly reports to the Dean on the financial status of the Plan, to include projections of income and expenses for the year.

D. The proposed budget will be submitted by the Dean to the division directors and the Faculty Advisory Committee for review and comment.

E. The Annual Budget of the Plan will include:

1. Estimated administrative expenses to manage the Plan.
2. Provision of operating expense support for faculty in securing and managing contracts of the Plan.
3. Funding for a grant to the University to include:
 - a) base salaries of clinical title series faculty members;
 - b) salary support for faculty on state lines for that portion of their DOE allowed for income-generating professional services; and
 - c) base salary support for staff involved in assisting faculty in generation of professional income.
4. Funding for a contingency fund. The contingency reserve will be established to meet potential shortfalls and must contain a minimum of two months operating expenses for the Plan.
5. Funding for an account(s) to enhance college programs.

F. Faculty will document delivery of services to an approved billing agent who will in turn bill the patients/clients.

PROVISIONS FOR FACULTY SALARY SUPPLEMENTAL
COMPENSATION UNDER THE COLLEGE OF NURSING
FACULTY PRACTICE PLAN

I. Objectives

The specific objectives of the proposed salary supplementation plan include the following:

- A. To increase faculty income over and beyond that available through State support to the College of Nursing in an effort to remain competitive with other institutions;
- B. To increase faculty incentive to participate in income-producing activities which will be beneficial to the College of Nursing, the Medical Center, the University of Kentucky, and the State;
- C. To maintain primary emphasis on the teaching and research program of the College of Nursing; and
- D. To use professional practice by faculty as a vehicle for clinical training.

II. Basis

The proposal is based on a system allowing the Dean and division directors to reward faculty members for unusual productivity and effort. The rewards will be derived from income generated by faculty activity and will primarily but not absolutely be related to the fiscal productivity of each individual. The system should allow maintenance of program balance without overcompensation for one segment of activity to the exclusion of other equally important commitments.

III. Applicable Provisions

A. Supplemental compensation (salary, fringe benefits, etc.) will be determined on a semi-annual basis and established through approval by the Board of Trustees of supplementary operating budgets of the College of Nursing covering six-month periods. The appropriation of funds for expenditures projected in such a budget will be equal in amount to additional income to the University provided by a grant by the Board of Directors of THE FUND to the University specifically for the purpose of financing the supplementary operating budget. Such a grant will be in addition to that made by THE FUND in support of salaries provided in the basic operating budget of the College of Nursing.

B. FUND grants for the purpose of supplemental compensation will constitute non-recurring funds, and approval of the supplementary operating budget based thereon will not constitute an increase in the budget base of the College of Nursing. Payments to individuals in accordance with an approved supplementary operating budget will be made by the University for the semi-annual period covered by such a budget and will not constitute an increase to the regular salary bases of the individuals involved.

C. The amount of the grant to the University by THE FUND for the purpose of supplemental compensation will be determined as of December 31 and June 30 by the Board of Directors and will be the amount by which payments received in the College of Nursing Faculty Practice Account since the prior semi-annual date are in excess of the sum of (1) pro-rata requirements for coverage of THE FUND grant in support of salaries provided in the basic operating budget; and (2) requirements for coverage of other expenditures which have been budgeted or are reserved in the College of Nursing Faculty Practice Account pursuant to recommendations by the Faculty Advisory Committee of the College.

D. The supplementary operating budget for the College of Nursing will be prepared and submitted, in accordance with University budgetary procedures, immediately following the determination of the amount of THE FUND grant to the University for supplemental compensation.

E. In preparation of the supplementary operating budget, the Dean of the College of Nursing will allocate not less than 70 percent of the total amount of THE FUND grant for supplemental compensation among the divisions providing the services on the basis of available data to measure the performance of the respective divisions in producing income including, but not limited to, professional fee income. Such allocations will be the basis for division budget submittal in which the distribution of supplemental compensation among

faculty members in each of the respective divisions is to be made by the division directors, based on the division directors' determination and judgment of relative performance and contributions of the program activities to the division. The amount of supplemental compensation for division directors will be determined by the Dean.

F. The budget for the remaining 30 percent of the amount of the grant shall be prepared by the Dean of the College of Nursing with the funds available being devoted to providing supplemental compensation for faculty members in divisions where appropriate recognition of performance and contribution to the patient care program is not possible within formula allocations to divisions.

G. The allocations among divisions of the amount representing 70 percent of THE FUND grant for supplemental compensation will be determined as follows:

The amount determined for each division, expressed as a percentage of the amount determined for all divisions in the aggregate for the college, will be the basis for dividing among divisions the funds available for distribution.

H. Data on the factors to be utilized in determining division allocations will be submitted by the Dean of the College of Nursing for review by the Faculty Advisory Committee of the College prior to preparation of the supplementary operating budget. Upon recommendation of the Committee, adjustments in the factors used may be made by the Dean if deemed necessary to avoid inequities due to limitations of available data. Computations of the amount of allocations for individual divisions will be provided to the directors of the divisions, respectively.

I. Following adoption of the provisions herein, recommendations may be made from time to time by the Faculty Advisory Committee through the Dean to the Chancellor for modifications deemed to be appropriate and desirable.

Office of the President
April 5, 1994

FCR 7

Members, Board of Trustees:

A RESOLUTION OF THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY AUTHORIZING THE ISSUANCE OF SAID BOARD'S HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES O, TO BE DATED THE FIRST DAY OF THE MONTH IN WHICH SOLD; AUTHORIZING PROPER PROCEEDINGS RELATIVE TO THE PUBLIC SALE OF THE BONDS AND THE DISPOSITION OF THE PROCEEDS THEREOF; AUTHORIZING EXECUTION OF A SEVENTH SUPPLEMENTAL TRUST INDENTURE BETWEEN THE BOARD AND FARMERS BANK & CAPITAL TRUST COMPANY, FRANKFORT, KENTUCKY, AS TRUSTEE, IN COMPLIANCE WITH THE PROVISIONS OF THE TRUST INDENTURE DATED JUNE 1, 1965.

Recommendation: that the Board of Trustees approve the Resolution authorizing the issuance of University of Kentucky Housing and Dining System Revenue Bonds, Series O, to be dated the first day of the month in which they are sold.

The Resolution authorizes the execution of the Seventh Supplemental Trust Indenture which authorizes the issuance of the University of Kentucky Housing and Dining System Revenue Bonds, Series O, for the purpose of financing the renovation of Cooperstown Apartments and Shawneetown Apartments, which are a part of the University of Kentucky's Housing and Dining System.

The Resolution also approves the sale of the Series O Bonds upon the advice of the Financial Advisor, J.J.B. Hilliard, W.L. Lyons, Inc., on May 3, 1994, or on such other date as may be determined by the President of the University upon the advice of said Financial Advisor and the acceptance of the bid for the sale of the bonds by either the Board or the Executive Committee of the Board.

Background: The University presently has outstanding Housing and Dining System Revenue Bonds, Series B, C, D, E, F, G, I, J, K, L, M and N (the Series M Bonds having been authorized and sold but not yet issued and the Series N Bonds having been issued to advance refund the Series J Bonds scheduled to mature on and after June 1, 1995, and the Series L Bonds scheduled to mature on and after June 1, 1997), all secured by a pledge of the Revenues derived from the operation of the University's Housing and Dining System and by a statutory mortgage lien against all of the buildings of the System. The Resolution authorizes the execution of a Seventh Supplemental Trust Indenture with Farmers Bank & Capital Trust Company, Frankfort, Kentucky, as Trustee, Bond Registrar, Transfer Agent and Payee Bank, which provides for the execution of an appropriate parity certification establishing that the "coverage" of projected income of the System over debt service will meet the 1.30 test necessary to establish that the Series O Bonds will rank on a parity with the outstanding Series B, C, D, E, F, G, I, J, K, L, M and N Bonds, and the execution of the Certification of the Director of Physical Plant.

Action: Approved ☒ Disapproved ☐ Other ☐

Dated: April 5, 1994

April 5, 1994

SERIES O PROJECT

Construction Costs	\$1,952,000
Bond Discount	44,100
Cost of Issuance	26,300
Debt Service Reserve	<u>182,600</u>
Total	\$2,205,000

A RESOLUTION OF THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY AUTHORIZING THE ISSUANCE OF SAID BOARD'S HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES O, TO BE DATED THE FIRST DAY OF THE MONTH IN WHICH SOLD; AUTHORIZING PROPER PROCEEDINGS RELATIVE TO THE PUBLIC SALE OF THE BONDS AND THE DISPOSITION OF THE PROCEEDS THEREOF; AUTHORIZING EXECUTION OF A SEVENTH SUPPLEMENTAL TRUST INDENTURE BETWEEN THE BOARD AND FARMERS BANK & CAPITAL TRUST COMPANY, FRANKFORT, KENTUCKY, AS TRUSTEE, IN COMPLIANCE WITH THE PROVISIONS OF THE TRUST INDENTURE DATED JUNE 1, 1965.

WHEREAS, the Board has determined that certain renovations to Cooperstown Apartments and Shawneetown Apartments are necessary, which Apartments are a part of the University's Housing and Dining System established in the Trust Indenture between the Board with the Farmers Bank & Capital Trust Company, dated June 1, 1965;

WHEREAS, it is appropriate that the Board finance said renovations through the issuance of University of Kentucky Housing and Dining System Revenue Bonds, Series O, dated May 1, 1994, in accordance with the right reserved in said Trust Indenture; and

WHEREAS, it is necessary for the Board to enter into a Seventh Supplemental Trust Indenture in the form attached hereto to proceed with the issuance of the Series O Bonds.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY, AS FOLLOWS:

Section 1. This Board hereby authorizes the issuance of its "University of Kentucky Housing and Dining System Revenue Bonds, Series O" (the "Series O Bonds"), in the principal amount of \$2,205,000 (plus or minus up to \$220,000), according to statutory authority as set forth in KRS 162.340 to 162.380, inclusive, and in accordance with the terms, provisions, conditions and restrictions set forth in that certain Trust Indenture dated June 1, 1965, wherein the present Housing and Dining System of the University was created and established, and a certain Seventh Supplemental Trust Indenture to be dated as of the date of the Series O Bonds; said Series O Bonds to rank on a basis of parity and equality as to security and source of payment with the Board's previously issued and outstanding "Housing and Dining System Revenue Bonds," Series B, C, D, E, F, G, I, J, K, L, M and N (the Series M Bonds having been authorized and sold, but not yet issued, and the Series N Bonds having been issued to advance refund the Series J Bonds scheduled to mature on and after June 1, 1995, and the Series L Bonds scheduled to mature on and after June 1, 1997).

Section 2. In order to assure the purchasers of the Series O Bonds that interest thereon will be excludable from gross

income for federal income tax purposes and exempt from Kentucky income taxation, the Board agrees that (1) the Board will take all actions necessary to comply with the provisions of the Internal Revenue Code of 1986 (the "Code"), (2) the Board will take no actions which will violate any of the provisions of the Code, or that would cause the Series O Bonds to become "private activity bonds" within the meaning of the Code, (3) none of the proceeds of the Series O Bonds will be used for any purpose which would cause the interest on the Series O Bonds to become subject to federal income taxation, and that the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series O Bonds.

The Board has been advised by Bond Counsel, Rubin Hays & Foley, Louisville, Kentucky, and therefore believes, that the Series O Bonds are not "private activity bonds" within the meaning of the Code, and that interest on the Series O Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

Prior to or at the time of delivery of the Series O Bonds, the Chairman of the Board and/or the chief financial officer of the University shall execute the appropriate certifications with reference to the matters referred to above, setting out all known and contemplated facts concerning such anticipated expenditures and investments, including the execution of necessary and/or desirable certifications of the type contemplated by applicable Treasury Regulations in order to assure that interest on the Bonds will be exempt from all federal income taxes and that the Series O Bonds will not be treated as arbitrage bonds.

Section 3. In order to implement the issuance of said Series O Bonds, and to make provision for all details relating to said Series O Bonds, including the date thereof, the disposition thereof, respective conditions and limitations applicable to the public offering thereof, maturities, redemption provisions and security and source of payment thereof, and in order to comply with the requirements of the aforementioned Trust Indenture dated June 1, 1965, in connection therewith, this Board shall execute the Seventh Supplemental Trust Indenture with Farmers Bank & Capital Trust Company, Frankfort, Kentucky, as Trustee. A proposed form of such Seventh Supplemental Trust Indenture having been prepared in advance by Bond Counsel, Rubin Hays & Foley, Louisville, Kentucky, with the approval of the Treasurer of the University, and the Financial Advisor, J.J.B. Hilliard, W.L. Lyons, Inc., and such proposed form having been submitted to and examined by this Board, such form is hereby approved and shall be executed substantially in the submitted form, with permissible modifications and corrections which, in the opinion of Bond Counsel and the Treasurer of the University, do not change the import thereof in any material respect. The form of the Seventh Supplemental Trust Indenture is hereby approved, is incorporated in full as a part of this Resolution, and is in substantially the form attached hereto.

Section 4. Provisions as to Sale of Series O Bonds. The sale of the Series O Bonds has been tentatively scheduled for May 3, 1994; however, the President of the University is hereby authorized to direct that said Series O Bonds be sold at a later time if conditions in the marketplace are not favorable for the sale of the Series O Bonds on May 3, 1994.

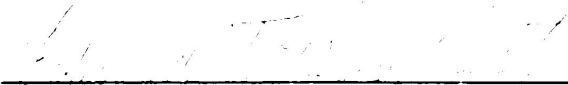
The forms of Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds, Bid Form and Official Statement, shall be in such form as approved by Bond Counsel, by the Board's Financial Advisors and by the Treasurer of the University.

The Board authorizes the publication of the Notice of Bond Sale in accordance with Chapter 424 of the Kentucky Revised Statutes and the Financial Advisors are authorized to disseminate copies of the Official Statement.

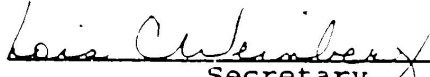
Sealed bids for the purchase of the Series O Bonds shall be opened and considered by the Finance Committee on the date of the sale, whereupon the Board or the Executive Committee of the Board thereunto duly authorized shall, by resolution, accept the lowest and best bid as recommended by J.J.B. Hilliard, W.L. Lyons, Inc., the Financial Advisor to the Board.

Section 5. Certificate of Director of Physical Plant. The Board hereby acknowledges and approves the Certification of Director of Physical Plant in the form attached hereto.

(SEAL)


Chairman

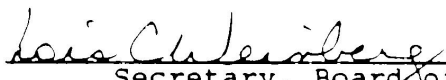
ATTEST:


Secretary

CERTIFICATE OF SECRETARY

I, Lois Weinberg, Secretary of the Board of Trustees of University of Kentucky, Lexington, Kentucky, hereby certify (1) that the foregoing Resolution was adopted by the Board of Trustees at its meeting held on April 5, 1994, and has been duly recorded in the official minutes and records of this Board of Trustees.

Witness my signature this April 5, 1994.


Secretary, Board of Trustees

RE: \$2,205,000 University of Kentucky Housing and Dining
System Revenue Bonds, Series O, dated May 1, 1994

CERTIFICATE WITH REFERENCE TO THE ISSUANCE OF
HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES
O, CONFORMING TO THE REQUIREMENTS OF SECTION
1101(c) OF ARTICLE XI OF THE TRUST INDENTURE
DATED JUNE 1, 1965, BETWEEN THE BOARD OF
TRUSTEES OF UNIVERSITY OF KENTUCKY AND FARMERS
BANK & CAPITAL TRUST COMPANY, FRANKFORT,
KENTUCKY, AS TRUSTEE, SHOWING COMPLIANCE WITH
CONDITIONS FOR ISSUANCE OF PARITY BONDS

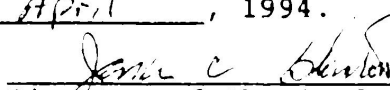
The undersigned, Jack C. Blanton, Director of Physical
Plant of University of Kentucky, Lexington, Kentucky, hereby makes
the following certification for the purpose of showing compliance
by said University with the requirements of Section 1101(c) OF
ARTICLE XI of the Trust Indenture dated June 1, 1965, made by the
Board of Trustees of University of Kentucky with Farmers Bank &
Capital Trust Company, Frankfort, Kentucky, as Trustee (the "Trust
Indenture" or the "Indenture"), with reference to the issuance of
\$2,205,000 (plus or minus up to \$220,000) of said Board's "Housing
and Dining System Revenue Bonds, Series O" in order to qualify the
Series O Bonds to rank on a basis of parity and equality as to
security and source of payment with the previously issued and
outstanding Housing and Dining System Revenue Bonds, Series B, C,
D, E, F, G, I, J, K, L, M and N, inclusive:

In my capacity as the employee of the Board and the
University at this time principally charged with responsibility for
the maintenance and repair of the housing and dining buildings and
appurtenant facilities comprising the presently existing housing
and dining facilities of the System, I certify that a physical
inspection of said housing and dining buildings and appurtenant
facilities of the System has been made, and that the same are in a
good and current state of tenantability and repair there being no
exceptions or reservations in this connection.

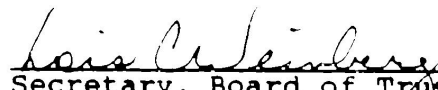
IN TESTIMONY WHEREOF, witness my signature as Director of
Physical Plant, this 5th of April, 1994.

APPROVED:


President
University of Kentucky


Director of Physical Plant

Certified to have been submitted to and approved by the
Board of Trustees at a meeting held on April 5, 1994.


Secretary, Board of Trustees
University of Kentucky

NOTICE OF BOND SALE

The Board of Trustees of University of Kentucky, Lexington, Kentucky, will until 9:30 A.M., E.D.T., on May 3, 1994, at the office of the Treasurer of the University in Lexington, Kentucky, receive bids on \$2,205,000 (plus or minus up to \$220,000) of University of Kentucky Housing and Dining System Revenue Bonds, Series O, dated May 1, 1994, maturing on June 1, 1995 through 2014. Minimum bid is \$2,160,900 (98%). Legal Opinion by Rubin Hays & Foley, Louisville, Kentucky. Good faith deposit is \$44,100. The Bonds will be issued on a tax-exempt basis subject to certain qualifications set out in detail in the Official Terms and Conditions of Sale of Bonds and in the Official Statement. Bid Forms, Official Terms and Conditions of Sale of Bonds, and Official Statements, in a form deemed to be "near final" by the Board may be obtained from the Financial Advisor, J.J.B. Hilliard, W.L. Lyons, Inc., Louisville, Kentucky, (502) 588-8400. (Signed) Lois Weinberg, Secretary.

OFFICIAL TERMS AND CONDITIONS
OF SALE OF BONDS

1. DATE AND HOUR OF SALE

The Board of Trustees of University of Kentucky, Lexington, Kentucky, will, until 9:30 A.M., E.D.T., May 3, 1994, of the office of the Controller and Treasurer, 369 Peterson Service Building, Lexington, Kentucky 40506-0005, receive competitive, sealed bids for the purchase of \$2,205,000 (plus or minus up to \$220,000) of University of Kentucky Housing and Dining System Revenue Bonds, Series O, dated May 1, 1994.

2. DESCRIPTION AND MATURITIES OF BONDS

Said Series O Bonds bear interest from May 1, 1994, payable semiannually, on June 1 and December 1, commencing December 1, 1994, will be in the denomination of \$5,000 or any multiple thereof within the same maturity, are numbered R-1 and upward, and will mature on June 1 in each of the respective years, as follows:

<u>Maturity</u> <u>June 1</u>	<u>Principal</u> [*] <u>Amount</u>	<u>Maturity</u> <u>June 1</u>	<u>Principal</u> [*] <u>Amount</u>
1995	\$ 60,000	2005	\$105,000
1996	70,000	2006	115,000
1997	75,000	2007	120,000
1998	75,000	2008	125,000
1999	80,000	2009	135,000
2000	85,000	2010	140,000
2001	85,000	2011	150,000
2002	90,000	2012	160,000
2003	95,000	2013	165,000
2004	100,000	2014	175,000

*The principal maturities are subject to change as provided in the Seventh Supplemental Trust Indenture pursuant to which the Bonds will be issued.

Said Series O Bonds are payable as to principal at Farmers Bank & Capital Trust Company, Frankfort, Kentucky, the Trustee.

With respect to the Series O Bonds, the Board has reserved certain options or privileges of redemption, as follows:

(a) Series O Bonds shall be subject to redemption from the proceeds of insurance, in the event of damage or destruction of properties constituting parts of the Housing and Dining System, as provided in ARTICLE XIV of the Trust Indenture;

(b) The Board reserves the right to call for redemption, subject to the redemption provisions of the respective Bond Series,

any and all outstanding Bonds which may be called and redeemed at par or face value, prior to calling for redemption any Bonds that are callable at a premium;

(c) Subject to all of the foregoing, and the provisions for notice as hereinafter set forth, the Series O Bonds shall be subject to redemption at the option of the Board, as follows:

Series O Bonds maturing on and prior to June 1, 2004, shall not be subject to redemption except from the proceeds of insurance as provided in the Trust Indenture.

Series O Bonds maturing on and after June 1, 2005, shall be subject to redemption prior to their stated maturities either:

(i) in part, in inverse order of maturity (less than all of a single maturity to be selected by lot) from Revenues accumulated in the 1965 Sinking Fund and properly available for such purpose, on any interest payment date on and after June 1, 2004; or

(ii) in whole (alone or with any other Series of Bonds outstanding under the Indenture as the Board may designate, in its sole discretion without regard to priority of issue, alphabetical designation or otherwise) from any moneys which may be made available from any source for such purpose, on any interest payment date not earlier than June 1, 2004,

at a redemption price expressed as a percentage of the principal amount of the Series O Bonds to be redeemed, together with interest to the designated redemption date at the respective applicable interest rates, in accordance with the following schedule:

<u>IF REDEEMED</u> (Both Dates Inclusive)	<u>REDEMPTION PRICE</u>
June 1, 2004 through June 1, 2007	102%
December 1, 2008 through June 1, 2009	101%
December 1, 2010, and thereafter	100%

In the event that a Series O Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Series O Bond may be redeemed, but only in a principal amount equal to \$5,000 or an integral multiple thereof. Upon surrender of any Series O Bond for redemption in part, the Trustee shall authenticate and deliver an exchange Series O Bond or Series O Bonds in an aggregate principal amount equal to the unredeemed portion of the Series O Bond so surrendered.

The Series O Bonds are to be issued in fully registered form (both principal and interest). The Trustee will mail a check

representing interest payments semiannually to each Bondowner of record as of the 15th day of the month preceding each interest due date by regular United States mail postmarked not later than the due date. Principal will be paid upon submission of matured Series O Bonds to the Trustee. Upon the submission of a properly executed assignment, the Trustee will transfer ownership of a Series O Bond within three (3) business days of receipt without expense to the Bondowner.

3. AUTHORITY AND PURPOSE

These Series O Bonds are being issued pursuant to the authority of Sections 162.340 through 162.380, inclusive, and 58.010 through 58.140, inclusive, of the Kentucky Revised Statutes, to provide funds for the renovation of Cooperstown and Shawneetown Apartments, which project is a part of the Housing and Dining System of the University as such system was established pursuant to the terms of a Trust Indenture between the Board of Trustees of University of Kentucky and the Farmers Bank & Capital Trust Company, Frankfort, Kentucky, Trustee, dated June 1, 1965 (the "Trust Indenture"), as amended and supplemented by Supplemental Trust Indenture dated June 1, 1965, a Second Supplemental Trust Indenture dated as of June 1, 1965, a Third Supplemental Trust Indenture dated as of December 1, 1978, a Fourth Supplemental Trust Indenture dated as of June 1, 1986, a Fifth Supplemental Trust Indenture dated as of January 1, 1993 and a Sixth Supplemental Trust Indenture dated as of February 1, 1994, which Trust Indenture and Supplemental Trust Indentures authorized the issuance of University of Kentucky Housing and Dining System, Series B, C, D, E, F, G, I, J, K, L, M and N, and additional parity bonds. The Series O Bonds are being issued on a parity as to security and source of payment with the Series B, C, D, E, F, G, I, J, K, L, M and N Bonds (the Series M Bonds have been authorized and sold but not delivered and the Series N Bonds having been issued to advance refund the Series J Bonds scheduled to mature on and after June 1, 1995, and the Series L Bonds scheduled to mature on and after June 1, 1997), heretofore issued pursuant to the terms of the Trust Indenture and a Seventh Supplemental Trust Indenture.

4. SECURITY

Said outstanding Series B, Series C, Series D, Series E, Series F, Series G, Series I, Series J, Series K, Series L, Series M and Series N Bonds, and any additional parity bonds hereafter issued and outstanding under the terms of the Trust Indenture, are and will be payable from and constitute a paramount charge upon the defined Revenues derived by the University from the operation of its Housing and Dining System. The Housing and Dining System may be described generally as all the student residence halls and certain related dining facilities.

As further security for the Bonds there is created and granted by Sections 162.350 and 162.200 of the Kentucky Revised

Statutes a statutory mortgage lien upon the Housing and Dining System of the University.

5. LEGAL OPINION

In the opinion of Rubin Hays & Foley, Municipal Bond Counsel, Louisville, Kentucky, the principal of the Series O Bonds is not subject to Kentucky ad valorem taxation and the interest on the Series O Bonds is excludable from gross income for federal income tax purposes, is not an item of tax preference for purposes of the federal alternative minimum tax on individuals and corporations, and is not subject to Kentucky income taxation, subject to certain exceptions set out below. The legal opinion of Rubin Hays & Foley is subject to the condition that the Board comply with all requirements of the Internal Revenue Code of 1986 (the "Code") that must be satisfied subsequent to issuance of the Series O Bonds in order that interest thereon be, or continue to be, excludable from gross income for federal income tax purposes, including the requirement as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series O Bonds. The purchaser will be furnished said opinion, printed bond forms, and the usual closing documents, which will include a certificate that there is no litigation pending or threatened at the time of delivery of the issue affecting the validity of the Series O Bonds.

In order to assure the purchasers of the Series O Bonds that interest thereon will continue to be excludable from gross income for federal income tax purposes and exempt from Kentucky income taxation (subject to certain exceptions set out below), the Board has covenanted in the Seventh Supplemental Trust Indenture that (1) the Board will take all actions necessary to comply with the provisions of the Code, (2) the Board will take no actions which will violate any of the provisions of the Code, or that would cause the Series O Bonds to become "private activity bonds" within the meaning of the Code, (3) none of the proceeds of the Series O Bonds will be used for any purpose which would cause the interest on the Series O Bonds to become subject to federal income taxation, and that the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series O Bonds.

The Series O Bonds are not "private activity Bonds" within the meaning of the Code, and the Board of Trustees has been advised by Bond Counsel, and therefore believes, that interest on the Series O Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

The tax-exempt status of the Series O Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes),

interest on the Series O Bonds is taken into account in determining adjusted current earnings for taxable years beginning after December 31, 1989.

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series O Bonds, or, in the case of a financial institution, that portion of a holder's interest expense allocated to interest on the Series O Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series O Bonds.

4. For taxable years beginning before January 1, 1996, interest on the Series O Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series O Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series O Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series O Bonds.

The Board of Trustees has reserved the right to amend the Seventh Supplemental Trust Indenture pursuant to which the Series O Bonds are issued without obtaining the consent of the owners of the Series O Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series O Bonds shall be exempt from federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series O Bonds) to eliminate or reduce any restrictions concerning the project financed by the Series O Bonds, the investment of the proceeds of the Series O Bonds, or the application of such proceeds or of the revenues of the project financed by the Series O Bonds. The purchasers of the Series O Bonds will be deemed to have relied fully upon these covenants and undertakings on the part of the Board of Trustees as part of the consideration for the purchase of the Series O Bonds. To the extent that the Board of Trustees obtains an opinion of nationally recognized bond counsel to the

effect that non-compliance with any of the covenants contained in the Seventh Supplemental Trust Indenture would not subject interest on the Series O Bonds to federal income taxation or Kentucky income taxation, the Board of Trustees is not required to comply with such covenants and requirements.

If, prior to the delivery of the Series O Bonds, any event shall occur which alters the tax-exempt status of the Series O Bonds, the purchaser shall have the privilege of voiding the purchase contract by giving immediate written notice to the Board of Trustees, whereupon the amount of the good faith deposit of the purchaser will be returned to the purchaser, and all respective obligations of the parties will be terminated.

Bond Counsel has reviewed the Official Statement with regard to all matters pertaining to the legality and tax exemption of the Series O Bonds, including statements concerning the authority, purpose and security of the Series O Bonds; but Bond Counsel has not reviewed any of the financial statements or calculations, such as debt service requirements, budget estimates, enrollment, capital outlay, estimated revenues, expenditures or other financial information in the Official Statement, and expresses no opinion thereon and assumes no responsibility in connection therewith.

6. TERMS OF SALE

The Series O Bonds are offered for sale upon the following terms and conditions:

- A. A minimum price is required for the entire issue of not less than \$2,160,900 (98% of par), plus accrued interest from the date of the Series O Bonds (May 1, 1994) to the date of delivery.
- B. The successful bidder will be required to deposit with Farmers Bank & Capital Trust Company, Frankfort, Kentucky, for the account of University of Kentucky immediately available funds in the amount of \$44,100, representing the good faith deposit, by the close of business on May 4, 1994. The amount of the good faith deposit, without interest, will be deducted from the purchase price at the time of delivery of the Series O Bonds.
- C. The determination of the best bid will be made on the basis of all bids submitted for exactly \$2,205,000 of Series O Bonds as offered for sale under the terms and conditions herein specified. Upon determination of the lowest net interest cost according to the schedule of principal amounts listed in the Official Bid Form, the Board shall immediately proceed to adjust such principal amounts of the Series O Bonds to determine the maturities of

its final bond issue. The successful bidder will be required to accept the final bond issue as so computed, whether the principal amount has been increased or decreased by up to \$220,000, and to pay the percentage purchase price based upon the aggregate amount of the final bond issue.

- D. Bidders must state an interest rate or rates in multiples of 1/8 or 1/20 of 1%, or both.
- E. There is no limit on the number of different rates which may be specified by any bidder.
- F. Interest rates must be on an ascending scale, in that the interest rate for Series O Bonds of any maturity may not be less than the interest rate stipulated for any preceding maturity.
- G. All Series O Bonds of the same maturity shall bear the same and a single interest rate from the date thereof to maturity.
- H. The right to reject bids for any reason deemed advisable by the Board of Trustees, and the right to waive any possible informalities, irregularities or defect in any bid which, in the judgment of the Board of Trustees, shall be minor or immaterial, is expressly reserved.
- I. Bids must be made on forms which, together with an Official Statement, may be obtained at the office of the Financial Advisor, J.J.B. Hilliard, W.L. Lyons, Inc., P.O. Box 32760, Louisville, Kentucky 40232-2760. Bids must be enclosed in sealed envelopes marked "Bid for University of Kentucky Housing and Dining System Revenue Bonds, Series O" and bids must be received by the Treasurer of the University prior to the date and hour stated above.
- J. It shall be the responsibility of the purchasers of the Series O Bonds to furnish or cause to be furnished to the Trustee at least five (5) days prior to the date of delivery of the Series O Bonds, a list of the names, addresses and social security numbers or employer identification numbers of each of the parties to whom the Series O Bonds are to be registered, and the principal amounts and maturities thereof. In the event of the failure to so deliver such list, the Series O Bonds delivered to the purchasers shall be registered in the name or names of such purchasers or their designated representatives appearing as the first name on the successful bid form, or otherwise appropriately designated, and shall be issued in denominations corresponding to

the principal amount of each respective maturity, or in the denomination of \$5,000, as shall be determined by the Trustee.

- K. Delivery will be made in Louisville, Kentucky, on or about June 1, 1994. The purchasers may elect to require delivery through a depository trust corporation, provided the purchasers agree to pay any additional expense in connection therewith, such expense to include shipping expense, insurance in transit and the fee of the depository trust corporation. In connection with the issuance of the Series O Bonds, the Board of Trustees will pay for the printing of the Series O Bonds, which will contain the opinion of Bond Counsel.
- L. Upon wrongful refusal of the successful bidder to take delivery of and pay for the Series O Bonds when tendered for delivery, the amount of the good faith check shall be forfeited by such bidder, and such amount shall be deemed liquidated damages for such default; provided, however, if said Series O Bonds are not ready for delivery and payment within forty-five (45) days from the date of sale, said bidder shall be relieved of any liability to accept the Series O Bonds hereunder.
- M. The purchasers of the Series O Bonds will pay the CUSIP Service Bureau charge for the assignment of CUSIP numbers, which numbers will be printed on the Series O Bonds at no expense or cost to the purchasers. Neither the failure to print a CUSIP number on any Series O Bond, nor any error with respect thereto, shall constitute cause for a failure or refusal by the purchasers thereof to accept delivery of and payment for the Series O Bonds in accordance with the terms of the purchase agreement.
- N. The successful bidder shall promptly advise the Financial Advisor to the Board of Trustees of (i) the reoffering price for each maturity of the Series O Bonds, and (ii) the principal amount sold to the public of each principal maturity of the Series O Bonds on the reoffering date.
- O. If the successful bidder desires to obtain insurance guaranteeing the payment of the principal and/or interest on the Series O Bonds, the University agrees that it will cooperate with the successful bidder in obtaining such insurance, but all of the expenses and charges in connection therewith shall be borne by such bidder (with the exception of the costs of ratings by Standard & Poor's Corporation

and Moody's Investors Service), and the University shall not be liable to any extent therefor.

The Board of Trustees shall provide to the successful purchaser a final Official Statement in accordance with SEC Rule 15c2-12. Arrangements have been made with the printer of the preliminary official statement, upon submission of completion text, to print a reasonable quantity of final Official Statements in sufficient time to meet the delivery requirements of the successful bidder under SEC or Municipal Securities Rulemaking Board Delivery Requirements, which Official Statements will be provided at the expense of the Board.

J.J.B. Hilliard, W.L. Lyons, Inc., Louisville, Kentucky, has been employed as Financial Advisor to the Board of Trustees and the University in connection with the issuance of the Series O Bonds. The Financial Advisor's fee for services rendered with respect to the sale of the Series O Bonds is contingent upon the issuance and delivery of the Series O Bonds. The Financial Advisor may submit a bid for the purchase of the Series O Bonds at the time of the advertised public sale of the Series O Bonds, either independently or as a member of a syndicate organized to submit a bid for the purchase of the Series O Bonds.

Concurrently with the delivery of the Series O Bonds, the Treasurer of the Board of Trustees will certify that, to the best of his knowledge, the official statement did not, as of its date, and does not, as of the date of delivery of the Series O Bonds, contain any untrue statement of a material fact or omit to state a material fact which should be included therein for the purpose for which the official statement is to be used, or which is necessary in order to make the statements contained therein, in the light of the circumstances under which they were made, not misleading in any material respect.

(Signed) Board of Trustees of University of Kentucky by
Lois Weinberg, Secretary.

BID FORM

Subject to the terms and conditions set forth in the Official Terms and Conditions of Sale of Bonds, providing for the sale of \$2,205,000 (plus or minus up to \$220,000) of University of Kentucky Housing and Dining System Revenue Bonds, Series O, dated May 1, 1994, and in accordance with the notice of sale of the Bonds as advertised, to all of which the undersigned agrees, the undersigned hereby submits the following offer to purchase said Bonds.

We hereby bid for said \$2,205,000 principal amount of Bonds, the sum of \$_____ (not less than \$2,160,900) (98%), plus accrued interest from May 1, 1994, to the date of delivery of the Bonds, such Bonds to bear interest payable semiannually on June 1 and December 1, commencing December 1, 1994, at the following annual rate(s):

<u>Maturity</u> <u>June 1</u>	<u>Principal</u> <u>Amount</u>	<u>Interest</u> <u>Rate</u>	<u>Maturity</u> <u>June 1</u>	<u>Principal</u> <u>Amount</u>	<u>Interest</u> <u>Rate</u>
1995	\$ 60,000	_____ %	2005	\$105,000	_____ %
1996	70,000	_____ %	2006	115,000	_____ %
1997	75,000	_____ %	2007	120,000	_____ %
1998	75,000	_____ %	2008	125,000	_____ %
1999	80,000	_____ %	2009	135,000	_____ %
2000	85,000	_____ %	2010	140,000	_____ %
2001	85,000	_____ %	2011	150,000	_____ %
2002	90,000	_____ %	2012	160,000	_____ %
2003	95,000	_____ %	2013	165,000	_____ %
2004	100,000	_____ %	2014	175,000	_____ %

We understand that this bid may be accepted for as much as \$2,425,000 of Bonds and for as little as \$1,985,000 of Bonds, at the same price per \$1,000 of Bonds as the price bid per \$1,000 of Bonds by the undersigned with the variation in such amount being adjusted as determined by the Board of Trustees at the time of acceptance of the best bid.

We understand that the Board of Trustees will furnish the final, approving legal opinion of Rubin Hays & Foley, Municipal Bond Attorneys, of Louisville, Kentucky. We agree that if we are the successful bidder, immediately available funds in the amount of \$44,100, payable to the University of Kentucky will be deposited in Farmers Bank & Capital Trust Company, Frankfort, Kentucky, by federal wire transfer, ABA 0839-0061-9, prior to the end of the business day on May 4, 1994, in accordance with the Notice of Bond Sale and the Official Terms, with the understanding that the amount thereof, without interest, will be deducted from the purchase price of the Bonds when tendered to us for delivery. If we are the successful bidder, we agree to accept and make payment for the Bonds in accordance with the terms of sale.

Respectfully submitted,

Total interest cost from May 1, 1994,	
to final maturity	\$ _____
Less premium bid or plus discount, if any	\$ _____
Net interest cost	\$ _____
Average interest rate or cost	_____ %

The above computations of net interest cost and of average interest rate or cost are submitted for information only and are not part of this Bid.

ACCEPTANCE OF BID WITH ADJUSTMENT OF AMOUNT AND TOTAL BID PRICE

Accepted by the Board of Trustees of University of Kentucky on this May 3, 1994, as to \$ _____ of Bonds at an adjusted price of \$ _____, plus accrued interest, with the change in amount of Bonds being reflected by the following changes in the maturities thereof.

<u>Maturity</u> <u>June 1</u>	<u>Principal</u> <u>Amount</u>	<u>Interest</u> <u>Rate</u>	<u>Maturity</u> <u>June 1</u>	<u>Principal</u> <u>Amount</u>	<u>Interest</u> <u>Rate</u>
1995	\$ _____	_____ %	2005	\$ _____	_____ %
1996	_____	_____ %	2006	_____	_____ %
1997	_____	_____ %	2007	_____	_____ %
1998	_____	_____ %	2008	_____	_____ %
1999	_____	_____ %	2009	_____	_____ %
2000	_____	_____ %	2010	_____	_____ %
2001	_____	_____ %	2011	_____	_____ %
2002	_____	_____ %	2012	_____	_____ %
2003	_____	_____ %	2013	_____	_____ %
2004	_____	_____ %	2014	_____	_____ %

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY

By _____

SEVENTH SUPPLEMENTAL TRUST INDENTURE

MADE BY

BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY
Lexington, Kentucky

TO

FARMERS BANK & CAPITAL TRUST COMPANY
Frankfort, Kentucky
as Trustee

DATED AS OF MAY 1, 1994

SECURING

UNIVERSITY OF KENTUCKY HOUSING
AND DINING SYSTEM REVENUE BONDS

SERIES O BONDS \$2,205,000 (plus or minus up to \$220,000)

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SEVENTH SUPPLEMENTAL TRUST INDENTURE

SECURING

UNIVERSITY OF KENTUCKY HOUSING
AND DINING SYSTEM REVENUE BONDS

ISSUED BY BOARD OF TRUSTEES OF
UNIVERSITY OF KENTUCKY,
LEXINGTON, KENTUCKY,

CONSISTING OF

SERIES O BONDS \$2,205,000 (plus or minus up to \$220,000)

Dated as of the first day of the month in which sold

AND

UNIVERSITY OF KENTUCKY HOUSING
AND DINING SYSTEM REVENUE BONDS

CONSISTING OF

SERIES B BONDS	\$	30,000
SERIES C BONDS		90,000
SERIES D BONDS		190,000
SERIES E BONDS		280,000
SERIES F BONDS		410,000
SERIES G BONDS		745,000
SERIES I BONDS		14,050,000

Dated June 1, 1965

SERIES J BONDS	1,440,000
SERIES K BONDS	3,505,000

Dated December 1, 1978

SERIES L BONDS	2,885,000
----------------	-----------

Dated June 1, 1986

SERIES M BONDS	2,866,000
----------------	-----------

(authorized and sold but not yet Issued)

and

SERIES N BONDS	4,135,000
----------------	-----------

THIS SEVENTH SUPPLEMENTAL TRUST INDENTURE, dated as of May 1, 1994, made by and between BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY, a public body corporate, and an educational Institution and agency of the Commonwealth of Kentucky, at Lexington, Kentucky (hereinafter called the "Board"), party of the first part, and FARMERS BANK & CAPITAL TRUST COMPANY, a state banking corporation organized and existing according to the laws of the Commonwealth of

Kentucky, having full powers to act as a corporate Trustee, and having its principal office and place of business in the City of Frankfort, County of Franklin, Commonwealth of Kentucky, as Trustee (hereinafter called the "Trustee"), party of the second part;

W I T N E S S E T H ;

That by reason of the circumstances, in order to accomplish the purposes, and for the mutual considerations hereinafter set forth, the Board and the Trustee hereby agree as follows:

ARTICLE I - RECITALS

Section 101. Authorization of Series B, C, D, E, F, G, I, J, K, L, M and N Bonds. The Board has heretofore authorized, issued and has outstanding its "University of Kentucky Housing and Dining System Revenue Bonds," Series B, Series C, Series D, Series E, Series F, Series G and Series I, dated as of June 1, 1965, Series J and Series K, dated as of December 1, 1978, Series L, dated as of June 1, 1986, Series M Bonds (authorized but not yet issued), and Series N Bonds, dated as of February 1, 1994, in the following respective amounts:

SERIES B BONDS	\$ 30,000
SERIES C BONDS	90,000
SERIES D BONDS	190,000
SERIES E BONDS	280,000
SERIES F BONDS	410,000
SERIES G BONDS	745,000
SERIES I BONDS	14,050,000
SERIES J BONDS	1,440,000
SERIES K BONDS	3,505,000
SERIES L BONDS	2,885,000
SERIES M BONDS	2,866,000
SERIES N BONDS	4,135,000

Section 102. Security and Source of Payment of Bonds. In order to provide for the security and source of payment of said outstanding Bonds of Series B, Series C, Series D, Series E, Series F, Series G, Series I, Series J, Series K, Series L, Series M and Series N, together with additional Series of said Bonds permissively to be issued in the future subject to certain prescribed terms and conditions, the Board entered into a certain Trust Indenture and Supplemental Trust Indenture with the Trustee dated as of June 1, 1965 (hereinafter the "Trust Indenture"). Provisions were therein made, inter alia, for future execution by the Board and the Trustee of Supplemental Trust Indentures to evidence the authorization of, and to provide additional security for, additional Series of such "Housing and Dining System Revenue Bonds," and to evidence compliance with the conditions and restrictions prescribed in the Trust Indenture in that connection.

Section 103. Compliance with Trust Indenture. All provisions of the Trust Indenture relating to the authorization and

issuance of the aforesaid outstanding Bonds of Series B, Series C, Series D, Series E, Series F, Series G, Series I, Series J, Series K, Series L, Series M and Series N; the public sale of certain of said Series, the exchange of certain of said Series for outstanding previously issued Bonds held by an Agency of the United States Government; payment and/or redemption of previously issued Bonds held by private investors; the purchase of the Bonds of Series B, C, D, E, F, I and K by the State Property and Buildings Commission of the Commonwealth of Kentucky; the purchase of the Series M Bonds by the United States Department of Education; and otherwise relating to custody and application of Bond proceeds have been fully performed, or are currently being carried forward, in strict compliance with the applicable requirements of said Trust Indenture.

ARTICLE II - PURPOSES

Section 201. Authorization of Series O Project. The Board has heretofore been authorized to undertake the renovation of Cooperstown Apartments and Shawneetown Apartments for housing and dining purposes (the "Series O Project").

Section 202. Purpose. It is the purpose of the Board and the Trustee to provide, in and by the terms, provisions, conditions and limitations of this Seventh Supplemental Trust Indenture, for the issuance by the Board of \$2,205,000 (plus or minus up to \$220,000) of "University of Kentucky Housing and Dining System Revenue Bonds, Series O," for the purpose of financing the aforementioned Series O Project, in the particular manner and upon the terms and conditions set forth in the aforesaid Trust Indenture.

ARTICLE III - DEFINITIONS

This Seventh Supplemental Trust Indenture is executed in accordance with ARTICLE XII of the Trust Indenture dated June 1, 1965, between the parties. Wherever the following words, designations, phrases and clauses are used, the same, unless the context shall clearly indicate another or different meaning or intent, shall be construed, are used, and are intended to have the meanings, and to be inclusive, as follows:

"Bonds" (without further identification) refers to the "University of Kentucky Housing and Dining System Revenue Bonds" authorized or permitted to be issued by the Board pursuant to the terms, provisions, conditions and limitations set forth herein and in the Trust Indenture dated as of June 1, 1965, the Supplemental Trust Indenture dated as of June 1, 1965, the Second Supplemental Trust Indenture dated as of June 1, 1965, the Third Supplemental Trust Indenture dated as of December 1, 1978, the Fourth Supplemental Trust Indenture dated as of June 1, 1986, the Fifth Supplemental Trust Indenture dated as of January 1, 1993, and the Sixth Supplemental Trust Indenture dated as of February 1, 1994, between the parties hereto.

"Code" refers to the Internal Revenue Code of 1986, as amended, and the Treasury Regulations pertaining thereto.

"Record Date" shall mean, with respect to any interest payment date, the close of business on May 15 and November 15, as the case may be, next preceding such interest payment date, whether or not such May 15 or November 15 is a business day.

"Series O Bonds" refers to the "University of Kentucky Housing and Dining System Revenue Bonds, Series O, dated as of the first day of the month in which issued or sold.

"Series O Construction Fund" refers to the Construction Fund or Account for which provision is made in this Seventh Supplemental Indenture for the Series O Project intended to be financed, in part, through the issuance of the Series O Bonds.

"Series O Project" refers to the renovation of the Cooperstown Apartments and Shawneetown Apartments for housing and dining purposes.

"Seventh Supplemental Indenture," "Seventh Supplemental Trust Indenture," or "Supplemental Indenture" refers to this instrument.

"University of Kentucky Housing and Dining System," "Housing and Dining System," and "System" refers to the housing and dining buildings, appurtenant facilities and related auxiliary enterprises located in Lexington, Fayette County, Kentucky, comprising portions of the University, identified as Blazer Hall, Bowman Hall, Boyd Hall, Bradley Hall, Breckinridge Hall, Donovan Hall, Haggin Hall, Holmes Hall, Jewel Hall, Keeneland Hall, Kinkead Hall, Kirwan-Blanding Complex, Patterson Hall, Gregg Page Stadium View Apartments, Shawneetown, Cooperstown, Commonwealth Village, Linden Lane-Rose Walk Apartments, Student Center Cafe, K-Lair Grill, and as the same may be added to in the future by including therein any other housing and dining facilities or related auxiliary enterprises and in accordance with the provisions of the Trust Indenture and this Supplemental Indenture.

ARTICLE IV - AUTHORIZATION OF SERIES O BONDS

Section 401. Authorization and Designation of Series O Bonds. Pursuant to the provisions of the Trust Indenture there is hereby authorized to be issued by the Board, in its corporate capacity, a Series of Bonds in the aggregate principal amount of \$2,205,000 (plus or minus up to \$220,000). Such Series O Bonds shall be designated as "University of Kentucky Housing and Dining System Revenue Bonds, Series O." Said Series O Bonds are hereby declared to have been authorized hereunder and under the Trust Indenture in conformity with the provisions of ARTICLE XI thereof. The Board hereby represents that the conditions prescribed in Section 1101 of the Trust Indenture will have been complied with prior to the issuance of the Series O Bonds, and that the Series O

Bonds are issued on a basis of parity with the Series B, Series C, Series D, Series E, Series F, Series G, Series I, Series J, Series K, Series L, Series M and Series N Bonds, and such subsequent parity bonds as may hereafter be authorized subject to the prescribed limitations.

Section 402. Description of Series O Project. Said Series O Bonds are being issued for the purpose of paying the costs (to the extent not otherwise provided) of erecting and completing educational buildings for housing purposes, with necessary appurtenances, upon the property of the University in Fayette County, Kentucky, consisting of the renovation of Cooperstown Apartments and Shawneetown Apartments, being the Series O Project, which constitutes a part of the Housing and Dining System of said University.

Section 403. Series O Bonds Issued As Fully Registered Bonds; Interest Payment Dates and Maturities. The Series O Bonds shall be issued as fully registered bonds as to both principal and interest in denominations of \$5,000 or any multiple thereof within a single maturity, shall be dated the first day of the month in which sold, shall mature serially on June 1 in each of the years 1995 through 2014, in such principal amounts and shall bear interest semiannually on December 1 and June 1 of each year, payable commencing December 1, 1994, at such rate or rates of interest fixed as a result of an advertised sale and competitive bidding for said Series O Bonds, as hereinafter provided.

Each Series O Bond shall bear interest from the interest payment date to which interest has been paid next preceding the date on which such Series O Bond is authenticated by the Trustee, unless such Series O Bond is authenticated on an interest payment date to which interest has been paid, in which event it shall bear interest from such date, or if such Series O Bond is authenticated prior to the first interest payment date, such Series O Bond shall bear interest from the date of original issue, and shall be numbered consecutively from R-1 and upward.

Section 404. Registered Owners; Payment of Principal and Interest; Authentication of Series O Bonds; Mutilated, Lost, Stolen or Destroyed Bonds. So long as any Series O Bonds remain outstanding, the Trustee shall keep at its principal office a Bond Register showing and recording a register of the owners of the Series O Bonds and shall provide for the registration and transfer of Series O Bonds in accordance with the terms of this Supplemental Indenture, subject to such reasonable regulations as the Trustee may prescribe.

The person in whose name any Series O Bond is registered on the Bond Register maintained by the Trustee, on the Record Date next preceding the following interest payment date shall be entitled to receive the interest payable on such interest payment date (unless such Series O Bond shall have been called for redemption on a redemption date which is prior to such interest payment date)

notwithstanding the cancellation of such Series O Bond upon any registration of transfer or exchange thereof subsequent to such Record Date and prior to such interest payment date.

The principal of (redemption price, if redeemed prior to maturity) and interest on the Series O Bonds shall be payable in lawful money of the United States of America as same respectively become due. The principal of each Series O Bond is payable upon surrender of same at the principal corporate trust office of the Trustee. Interest on the Series O Bonds shall be paid by check mailed by the Trustee on or before each interest payment date to the owners of the Series O Bonds shown on the Bond Register as of the end of business on the Record Date, at the respective addresses appearing on the Bond Register.

The Series O Bonds shall be executed on behalf of the Board, as permitted by Section 61.390 of the Kentucky Revised Statutes, with the duly authorized reproduced facsimile signature of the Chairman, and the reproduced facsimile of its corporate seal shall be imprinted thereon and attested by the reproduced facsimile signature of the Secretary; and said officials, by the execution of appropriate certifications, shall adopt as and for their own proper signatures, their respective facsimile signatures on said Series O Bonds; provided the Authentication Certificate of Trustee must be executed by the manual signature of the Trustee on each Series O Bond before such Series O Bond shall be valid.

At least five (5) business days prior to the date for payment of the purchase price for the Series O Bonds, the purchasers of the Series O Bonds shall furnish to the Trustee the name, address, social security number or taxpayer identification number, of each party to whom the Series O Bonds shall have been resold and in whose name the Series O Bonds are to be registered, and the principal amounts and maturities thereof. The Trustee shall then issue and deliver to each respective purchaser, on the closing date, fully registered Series O Bonds for each registered owner so designated in substantially the form as that set out in Section 902 hereof.

The Trustee shall have the right to order the preparation of whatever number of Series O Bond certificates as, in the sole discretion of the Trustee, shall be deemed necessary in order to enable the Trustee to maintain an adequate reserve supply of such Series O Bond certificates to effect properly the continuing transfers and exchanges of ownership of Series O Bond certificates as same are sold, exchanged and/or otherwise surrendered in the future. No further action regarding the authorization or execution of additional Series O Bond certificates shall be required by the Board, and all expenses incident thereto shall be borne by the Board.

All Series O Bonds shall be exchangeable and transferable upon presentation and surrender thereof at the office of the Trustee, duly endorsed for transfer or accompanied by an assignment

duly executed by the owner or his authorized representative, for a Series O Bond or Series O Bonds of the same maturity and interest rate and in any authorized denomination of \$5,000 and/or a multiple thereof within a single maturity, in an aggregate principal amount or amounts equal to the unpaid principal amount of the Series O Bond or Series O Bonds presented for exchange and transfer. The Trustee shall be and is hereby authorized to (authenticate and) deliver exchange Series O Bonds in accordance with the provisions of this Section. Each exchange Series O Bond delivered in accordance with this Section shall constitute an original contractual obligation of the Board and shall be entitled to the benefits and security of the Trust Indenture and this Supplemental Indenture to the same extent as the Series O Bond or Series O Bonds in lieu of which such exchange Series O Bond is delivered.

No Series O Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit of the Trust Indenture or this Supplemental Indenture unless and until such Series O Bond has been duly authenticated by the Trustee by the execution of the Authentication Certificate of Trustee appearing on such Series O Bond. Such Certificate appearing on any Series O Bond shall be deemed to have been duly executed by the Trustee if manually signed by an authorized officer of the Trustee. It shall not be required that the same officer of the Trustee sign such Certificate on all of the Series O Bonds.

If any Series O Bond shall be mutilated, lost, stolen or destroyed, the Trustee may execute, authenticate and deliver a new Series O Bond of like maturity and tenor in lieu of and in substitution for the Series O Bond mutilated, lost, stolen or destroyed; provided that, in the case of any mutilated Series O Bond, such mutilated Series O Bond shall first be surrendered to the Trustee, and in the case of any lost, stolen or destroyed Series O Bond, there shall be first furnished to the Trustee satisfactory evidence of the ownership of such Series O Bond and of such loss, theft or destruction, together with indemnity satisfactory to the Trustee. If any such Series O Bond shall have matured, the Trustee may pay the same instead of issuing a new Series O Bond. The Trustee may charge the owner of such Series O Bond its reasonable fees and expenses in this connection.

ARTICLE V - SERIES O BONDS TO BE OFFERED AT PUBLIC SALE

The Series O Bonds shall be sold at public sale immediately after public advertising as required by KRS Chapter 424. Bids shall be received in the office of the Treasurer of the University until a day and hour designated by the President of the University. The Board or the Executive Committee of the Board, upon the advice of J.J.B. Hilliard, W.L. Lyons, the Financial Advisor to the Board, is authorized and directed to determine and accept, by resolution on behalf of the Board, the successful bid for the Series O Bonds, and the principal maturities and interest rates of said Series O Bonds shall be automatically fixed at the principal maturities and interest rates set out in said successful

bid accepted in said resolution, without the necessity of any further action by the Board fixing said principal maturities and interest rates. The proceeds of the sale of said Series O Bonds shall be used only for the purposes herein described.

ARTICLE VI - CUSTODY AND APPLICATION OF BOND PROCEEDS

Section 601. Creation of Series O Construction Fund. A special fund is hereby created and designated the "University of Kentucky Housing and Dining System Construction Fund, Series O" (the "Series O Construction Fund"), to the credit of which there shall be deposited, as received, the proceeds of the Series O Bonds, with the exception of the accrued interest to be deposited in the 1965 Bond and Interest Sinking Fund, and the expenses of issuance of the Series O Bonds, to be deposited in the "Costs of Issuance Account" hereinafter created. The moneys for the Series O Construction Fund shall be paid to the State Treasurer of the Commonwealth of Kentucky, with its separate designation, and shall be held in trust by the State Treasurer (with any supplemental funds provided from other sources) and applied on orders of the Board to the payment of the costs of the Series O Project, in accordance with and subject to the provisions of this Article, and, pending such application, shall be subject to a lien and charge in favor of the owners of the Series O Bonds, as issued and outstanding under the Trust Indenture and this Seventh Supplemental Indenture, and shall be held for the further security of such owners until paid out as herein provided.

Section 602. Creation of the "Costs of Issuance Account." A special account is hereby created and designated the "Kentucky State University Housing and Dining System Costs of Issuance Account" (the "Series O Costs of Issuance Account"), which is a temporary account to be set up by the Trustee, to the credit of which there shall be deposited, as received, the proceeds of the Series O Bonds to be used to pay the costs of issuance of the Series O Bonds on and after the date of issuance of the Series O Bonds. After all costs of issuance of the Series O Bonds shall have been paid, any funds remaining in the Series O Costs of Issuance Account shall be transferred and deposited to the Series O Construction Account and used for the purposes thereof.

Section 603. Application of Proceeds of Series O Construction Fund. For the purposes of this Article, the costs of the Series O Project intended to be financed through the issuance of the Series O Bonds shall include, without intending thereby to limit or restrict or extend any proper definition of such costs under any applicable laws or under the Trust Indenture and this Supplemental Indenture, disbursements for, or reimbursement to the Commonwealth and the University for advances made for, the following:

(a) obligations incurred for labor and to contractors, builders and materialmen in connection with the construction of said Series O Project;

(b) fees and expenses of engineers and architects for surveys and estimates and other preliminary investigations, preparation of plans, drawings and specifications and supervising construction, as well as for the performance of all other duties of engineers and architects in relation to the planning and construction of the Series O Project or in connection with the issuance of the Series O Bonds;

(c) to the extent of any surplus remaining after payment of all of the costs of the Series O Project, toward the cost of movable furnishings and fixtures for said Series O Project; and

(d) interest accruing on the Series O Bonds during construction of the Series O Project, not otherwise provided.

Section 6.04. Application of Excess Proceeds after Completion of Series O Project. When the Series O Project shall have been completed and audited, any balance remaining in the Series O Construction Fund and not necessary, in the opinion of the Board, to be reserved for the payment of any remaining part of the costs of the Series O Project, may, upon order of the Board, be applied, in whole or in part, to (i) deposit with the Trustee for credit to the 1965 Debt Service Reserve, or (ii) the cost of constructing, reconstructing or completing educational buildings for housing or dining purposes upon the campus of the University in Fayette County, Kentucky, including necessary appurtenant facilities, furnishings and equipment, if the same will, when completed, constitute parts of the Housing and Dining System, or (iii) retention in the Series O Construction Fund for the purpose of reducing the amount of a subsequent series of the Board's Housing and Dining System Revenue Bonds issued under the Trust Indenture and in accordance with the conditions and restrictions therein prescribed.

Section 605. Investment of Moneys in Series O Construction Fund. If it be determined at any time by the Board that the moneys on deposit in the Series O Construction Fund exceed the estimated disbursements on account of said Series O Project for the ensuing two (2) months, such excess may be invested by the State Treasurer upon orders of the Board in (i) direct obligations of the United States of America or obligations which are fully guaranteed by the United States of America, or (ii) negotiable or non-negotiable certificates of deposit issued by any bank, trust company or national banking association (including the Trustee) which is insured by the Federal Deposit Insurance Corporation; provided that if any certificate of deposit shall exceed the amount of such insurance, the amount of any certificate of deposit in excess of that covered by such insurance must be secured by a first and prior pledge of obligations described in clause (i) above having market values of not less than 100% of the excess, or (iii) as provided in KRS 42.500(8). Such investments shall be selected in such manner as to mature according to estimates of Architects charged with supervision of construction, not later than the time or times when it is anticipated that cash will be required for authorized

purposes and all such investments, as well as all income therefrom, shall be carried to the credit of said Series O Construction Fund.

Section 606. Debt Service Reserve. There shall be deposit to the credit of the Debt Service Reserve portion of the 1965 Sinking Fund, from the proceeds of the sale of the Series O Bonds, an amount equal to the maximum annual debt service requirements of principal and interest on the Series O Bonds, not to exceed an amount equal to 10% of the principal amount of the Series O Bonds.

ARTICLE VII - REDEMPTION PROVISION

With respect to the Series O Bonds, the Board reserves certain options or privileges of redemption, as follows:

(a) Series O Bonds shall be subject to redemption from the proceeds of insurance, in the event of damage or destruction of properties constituting parts of the Housing and Dining System, as provided in ARTICLE XIV of the Trust Indenture;

(b) The Board reserves the right to call for redemption, subject to the redemption provisions of the respective Bond Series, any and all outstanding Bonds which may be called and redeemed at par or face value, prior to calling for redemption any Bonds that are callable at a premium;

(c) Subject to all of the foregoing, and the provisions for notice as hereinafter set forth, the Series O Bonds shall be subject to redemption at the option of the Board, as follows:

Series O Bonds maturing on and prior to June 1, 2004, shall not be subject to redemption except from the proceeds of insurance as provided in the Trust Indenture.

Series O Bonds maturing on and after June 1, 2005, shall be subject to redemption prior to their stated maturities either:

(i) in part, in inverse order of maturity (less than all of a single maturity to be selected by lot) from Revenues accumulated in the 1965 Sinking Fund and properly available for such purpose, on any interest payment date on and after June 1, 2004; or

(ii) in whole (alone or with any other Series of Bonds outstanding under the Indenture as the Board may designate, in its sole discretion without regard to priority of issue, alphabetical designation or otherwise) from any moneys which may be made available from any source for such purpose, on any interest payment date not earlier than June 1, 2004,

at a redemption price expressed as a percentage of the principal amount of the Series O Bonds to be redeemed,

together with interest to the designated redemption date at the respective applicable interest rates, in accordance with the following schedule:

<u>IF REDEEMED</u> (Both Dates Inclusive)	<u>REDEMPTION PRICE</u>
June 1, 2004 through June 1, 2007	102%
December 1, 2008 through June 1, 2009	101%
December 1, 2010, and thereafter	100%

In the event that a Series O Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Series O Bond may be redeemed, but only in a principal amount equal to \$5,000 or an integral multiple thereof. Upon surrender of any Series O Bond for redemption in part, the Trustee shall authenticate and deliver an exchange Series O Bond or Series O Bonds in an aggregate principal amount equal to the unredeemed portion of the Series O Bond so surrendered.

ARTICLE VIII - THE HOUSING AND DINING SYSTEM; REVENUES;
SEGREGATION; SPECIAL FUNDS

Section 801. Confirmation of Existence and Continuance of System. The Board hereby re-establishes and confirms the existence and continuance of its "Housing and Dining System," comprising and including all of the housing and dining buildings, appurtenant facilities and auxiliary enterprises identified in the Trust Indenture, specifically including (but not by way of limiting the generality of the foregoing), the facilities constituting the Series O Project.

Section 802. Reaffirmation of Provisions of Section 904 of Trust Indenture. The Board reaffirms the provisions of Section 904 of ARTICLE IX of the Trust Indenture, and without limiting the generality of the foregoing, covenants and agrees:

(a) upon the sale of the Series O Bonds, to deposit to the 1965 Sinking Fund the accrued interest, if any, received from the purchaser at the time of the delivery of the Series O Bonds;

(b) commencing with the May 1 or November 1 next following the issuance of the Series O Bonds to increase the semiannual transfers heretofore provided by the Trust Indenture to be made from the 1965 Revenue Fund and deposited to the credit of the 1965 Sinking Fund for the purpose of paying interest to such amount (subject to credit for the amount deposited under [a] above) as will be sufficient to provide for the payment of interest accruing on all then outstanding bonds maturing on the next ensuing interest payment date;

(c) commencing November 1, 1994, and on each May 1 and November 1 thereafter, to increase the transfers heretofore provided by the Trust Indenture to be made from the 1965 Revenue Fund to the 1965 Sinking Fund for payment of maturing principal to an

amount equal to one-half of the principal of all then outstanding Series O Bonds which will mature on the next ensuing June 1; and

(d) commencing with the May 1 or November 1 next following the issuance of the Series O Bonds, the Board shall transfer, semiannually, from the 1965 Revenue Fund for deposit to the credit of the 1965 Sinking Fund, an amount in addition to the semiannual payments required to be made under subsections (a) and (b) of Section 904(5) of ARTICLE IX of the Trust Indenture, on an annual basis, equal to twenty-five percent (25%) of the average annual debt service requirements of principal and interest on the Series O Bonds; the same to be continued so long as the funds and/or investments in the 1965 Debt Service Reserve shall be less than an amount sufficient to pay the maximum amount which will become due in any Fiscal Year thereafter for the principal of and interest on all then outstanding Bonds.

Section 803. Reaffirmation of Section 907 of Trust Indenture. The Board reaffirms the provisions of Section 907 of ARTICLE IX of the Trust Indenture. Without limiting the generality of the foregoing, the Board further covenants and agrees to the adjustment of annual payments to be made into the 1965 Repair and Maintenance Reserve, beginning with the Fiscal Year during which the Series O Bonds are issued, to the extent that at the close of each Fiscal Year it will transfer from the 1965 Revenue Fund and deposit in the 1965 Repair and Maintenance Reserve the sum of \$_____, or so much thereof as may be available for transfer, until the funds and/or investments therein aggregate \$_____, and thereafter such sums in the maximum amount which may be available for transfer at the close of each Fiscal Year, to maintain the balance of \$_____.

ARTICLE IX - FORMS; FULLY REGISTERED BONDS; CERTIFICATION
OF SECRETARY, FINANCE AND ADMINISTRATION CABINET;
TRUSTEE'S AUTHENTICATION CERTIFICATE;
REGISTRATION; ASSIGNMENT; ETC.

Section 901. Variations In Bond Form Permitted By Trust Indenture. The Series O Bonds shall be issued in fully registered form substantially as set forth in ARTICLE X of the Trust Indenture with appropriate insertions, omissions and variations as in the Trust Indenture provided or permitted.

Section 902. Bond Form. The Series O Bonds, the Certification of the Secretary, Finance and Administration Cabinet to appear thereon, the form of the Authentication Certificate of Trustee, and provisions for registration as to principal, shall be substantially as follows:

UNITED STATES OF AMERICA
COMMONWEALTH OF KENTUCKY
UNIVERSITY OF KENTUCKY
HOUSING AND DINING SYSTEM REVENUE BOND
SERIES O

NO. _____ DOLLARS

DATE OF ORIGINAL ISSUE: May 1, 1994

INTEREST RATE: MATURITY DATE: CUSIP:

REGISTERED OWNER:

PRINCIPAL AMOUNT:

KNOW ALL MEN BY THESE PRESENTS: That the Board of Trustees of University of Kentucky, at Lexington, Kentucky, a public body corporate and educational institution and agency of the Commonwealth of Kentucky (hereinafter called the "Board"), for value received, hereby promises to pay to the Registered Owner named above, or registered assigns or legal representatives, as herein provided, solely from the special fund hereinafter identified, upon presentation and surrender of this Series O Bond, the Principal Amount specified above, on the Maturity Date specified above, and to pay interest on said sum at the per annum Interest Rate specified above, from the interest payment date to which interest has been paid next preceding the date on which this Series O Bond is authenticated, unless this Series O Bond is authenticated on an interest payment date to which interest has been paid, in which event this Series O Bond shall bear interest from such date, or if this Series O Bond is authenticated prior to the first interest payment date, this Series O Bond shall bear interest from the Date of Original Issue set out above, semiannually on June 1 and December 1 of each year, commencing December 1, 1994, until payment of the Principal Amount, except as the provisions hereinafter set forth with regard to redemption prior to maturity may be and become applicable hereto. The principal amount of this Series O Bond (or redemption price, if redeemed prior to maturity) is payable upon surrender of this Series O Bond, at maturity or at earlier redemption prior to maturity, in lawful money of the United States of America at the principal office of Farmers Bank & Capital Trust Company, Frankfort, Kentucky (the "Trustee"). Interest due on this Series O Bond shall be paid by check or draft mailed by regular United States mail, postmarked no later than the due date thereof, by the Trustee to the Registered Owner and at the address shown as of the 15th day of the month preceding such interest payment date on the Bond Register kept by the Trustee, which is also the Bond Registrar.

This Series O Bond and the issue of which it is a part is issued on the basis of parity and equality as to security and source of payment with the Series B, Series C, Series D, Series E, Series F, Series G, Series I, Series J, Series K, Series L, Series

M and Series N Bonds (the Series M Bonds having been authorized and sold but not yet issued, and the Series N Bonds having been issued to advance refund the Series J Bonds scheduled to mature on and after June 1, 1995, and the Series L Bonds scheduled to mature on and after June 1, 1997) heretofore issued and outstanding under and pursuant to a Trust Indenture dated as of June 1, 1965, as amended and supplemented by Supplemental Trust Indenture dated June 1, 1965, a Second Supplemental Trust Indenture dated as of June 1, 1965, a Third Supplemental Trust Indenture dated as of December 1, 1978, a Fourth Supplemental Trust Indenture dated as of June 1, 1986, a Fifth Supplemental Trust Indenture dated as of January 1, 1993, and a Sixth Supplemental Trust Indenture dated as of February 1, 1994, between the Board and the Trustee.

This Series O Bond is part of an authorized issue of TWO MILLION TWO HUNDRED FIVE THOUSAND DOLLARS (\$2,205,000) principal amount of bonds (hereinafter collectively referred to as the "Series O Bonds") authorized to be issued by the Board for financing the costs, not otherwise provided, of the renovation of the Cooperstown Apartments and the Shawneetown Apartments, constituting a part of the Housing and Dining System of the University of Kentucky, consisting of (a) initially, all the student housing facilities and related auxiliary enterprises existing on the campus of said University in Lexington, Fayette County, Kentucky, as of June 1, 1965, (b) the housing and dining buildings and appurtenant facilities financed through application of the proceeds of the Series A through M Bonds, (c) any other housing and dining facilities or related auxiliary enterprises, including such as may be added to the said Housing and Dining System at future dates in accordance with the conditions and restrictions provided in connection with the permissive issuance of parity bonds, and (d) any such facilities as may be added to the Housing and Dining System as additional security for the Bonds (hereinafter sometimes referred to as the "Housing and Dining System," or the "System"), under and in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including among others, Sections 162.350 and 162.380, inclusive, of the Kentucky Revised Statutes now in full force and effect.

The Series O Bonds are issued under and pursuant to the Trust Indenture, dated as of June 1, 1965, and a Seventh Supplemental Trust Indenture, dated May 1, 1994 (said indenture, together with all indentures supplemental thereto as therein permitted, being hereinafter called the "Indenture"), executed by and between said Board and the Trustee, an executed counterpart of which is on file at the office of said Trustee in the City of Frankfort, Kentucky. Reference is hereby made to the Indenture for a more specific identification of the Housing and Dining System and for the provisions, among others, with respect to the custody and application of the proceeds of the Series O Bonds; the collection and disposition of the defined Revenues; the fund charged with and pledged to the payment of the interest on and the principal of said Series O Bonds; the nature and extent of the security; the reserved right of the Board to issue in the future certain additional Series

of Bonds which will rank on a basis of parity as to security and source of payment with the Bonds theretofore authorized, subject to conditions and restrictions which are specifically set forth in the Indenture; the rights, duties and obligations of said Board and of the Trustee; and the rights and limitation of rights of the owners of the Bonds; and, by the acceptance of this Series O Bond, the owner hereof assents to all of the provisions of said Indenture.

The owner of this Series O Bond shall have no right to enforce the provisions of the Indenture or to institute action to enforce the covenants therein, or to take any action with respect to any default under the Indenture, or to institute, appear in or defend any suit or other proceedings with respect thereto, except as provided in the Indenture. The Indenture provides for fixing, charging and collecting rentals and other charges for the use of said Housing and Dining System, which rents and charges will be sufficient to pay the principal of and interest on said Bonds as the same become due, and to provide reserves for such purposes and also to pay the cost of maintenance, operations and repair of the said Housing and Dining System, to the extent not otherwise provided. The Indenture provides for the creation of a special fund designated "University of Kentucky Housing and Dining System Revenue Bond and Interest Sinking Fund Account" (hereinafter called the "Bond and Interest Sinking Fund"), and for the deposit to the credit of said Bond and Interest Sinking Fund of a fixed amount of the defined Revenues of said Housing and Dining System sufficient to pay the principal of and interest on the Bonds as the same become due, and to provide a reserve for such purpose, which Bond and Interest Sinking Fund is pledged to and charged with the payment of said principal and interest.

The Series O Bonds are issuable as fully registered Series O Bonds in the denomination of \$5,000 and any authorized multiple thereof within a single maturity.

This Series O Bond is transferable by the Registered Owner hereof in person or by his attorney duly authorized in writing at the main office of the Trustee, but only in the manner and subject to the limitations provided in the Indenture, and upon surrender and cancellation of this Series O Bond, duly endorsed for transfer or accompanied by an assignment duly executed by the Registered Owner or his authorized representative. Upon such transfer being made, a new registered Series O Bond or Series O Bonds of the same series and the same maturity of authorized denomination, for the same aggregate principal amount, will be issued to the transferee in exchange for this Series O Bond.

The Board and the Trustee may deem and treat the Registered Owner hereof as the absolute owner hereof for the purpose of receiving payment of principal hereof (redemption price, if redeemed prior to maturity) and interest due hereon and for all other purposes, and neither the Board nor the Trustee shall be affected by any notice to the contrary.

With respect to the Series O Bonds, the Board has reserved certain options or privileges of redemption, as follows:

(a) Series O Bonds shall be subject to redemption from the proceeds of insurance, in the event of damage or destruction of properties constituting parts of the Housing and Dining System, as provided in ARTICLE XIV of the Trust Indenture;

(b) The Board reserves the right to call for redemption, subject to the redemption provisions of the respective Bond Series, any and all outstanding Bonds which may be called and redeemed at par or face value, prior to calling for redemption any Bonds that are callable at a premium;

(c) Subject to all of the foregoing, and the provisions for notice as hereinafter set forth, the Series O Bonds shall be subject to redemption at the option of the Board, as follows:

Series O Bonds maturing on and prior to June 1, 2004, shall not be subject to redemption except from the proceeds of insurance as provided in the Trust Indenture.

Series O Bonds maturing on and after June 1, 2005, shall be subject to redemption prior to their stated maturities either:

(i) in part, in inverse order of maturity (less than all of a single maturity to be selected by lot) from Revenues accumulated in the 1965 Sinking Fund and properly available for such purpose, on any interest payment date on and after June 1, 2004; or

(ii) in whole (alone or with any other Series of Bonds outstanding under the Indenture as the Board may designate, in its sole discretion without regard to priority of issue, alphabetical designation or otherwise) from any moneys which may be made available from any source for such purpose, on any interest payment date not earlier than June 1, 2004,

at a redemption price expressed as a percentage of the principal amount of the Series O Bonds to be redeemed, together with interest to the designated redemption date at the respective applicable interest rates, in accordance with the following schedule:

<u>IF REDEEMED</u> (Both Dates Inclusive)	<u>REDEMPTION PRICE</u>
June 1, 2004 through June 1, 2007	102%
December 1, 2008 through June 1, 2009	101%
December 1, 2010, and thereafter	100%

In the event that a Series O Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Series O Bond may be redeemed, but only in a principal amount equal to \$5,000 or an integral multiple thereof. Upon surrender of any Series O Bond for redemption in part, the Trustee shall authenticate and deliver an exchange Series O Bond or Series O Bonds in an aggregate principal amount equal to the unredeemed portion of the Series O Bond so surrendered.

If the Board, at its option, undertakes to prepay outstanding Bonds in advance of scheduled maturity, it is agreed and understood that (1) except for the prepayment of Series O Bonds through application of surplus moneys in the Construction Fund (and as similar conditions may be prescribed, in the discretion of the Board, in connection with the issuance of any future Series of Bonds), calls of Bonds from surplus Revenues accumulated in the Bond and Interest Sinking Fund and available for such purpose shall be on a pro rata basis reflecting the relationship between the Bonds of each Series at such time outstanding, and (2) calls of Bonds of each Series shall be in accordance with the prepayment provisions of each Series; provided, however, as aforesaid, that the Board shall have the right to call, in accordance with the prepayment provisions of the respective Series, any or all outstanding Bonds which are subject to prepayment at par prior to calling any Bonds which are callable at a premium.

The Trustee shall give notice of any optional redemption by sending such notice by United States mail, first class, postage prepaid, not less than thirty (30) and not more than sixty (60) days prior to the date fixed for redemption, to the Registered Owner of this Series O Bond at the address shown on the Bond Register as of the date of mailing of such notice.

A statutory mortgage lien, which is hereby recognized as valid and binding on the buildings and appurtenant facilities of said Housing and Dining System, those portions of the sites physically occupied thereby, and all necessary appurtenances, including adequate provisions for ingress, egress and the rendering of necessary services, is created and granted to and in favor of the Registered Owner of this Series O Bond and the issue of which it is a part, and said Housing and Dining System, including such housing and dining buildings, appurtenant facilities and related auxiliary enterprises, as may hereafter be added to and made a part of said System according to the provisions of the Indenture, shall remain subject to such statutory mortgage lien until the payment in full of the principal of and interest on this Series O Bond and the issue of which it is a part.

In case any event of default, as defined in the Indenture, shall occur, the principal of this Series O Bond may be declared or may become due and payable in the manner and with the effect provided in the Indenture.

No recourse shall be had for the payment of the principal of or interest on this Series O Bond against any officer, director, trustee or member of the Board, as such, all such liability (if any) being hereby expressly waived and released by every registered owner or transferee hereof by the acceptance hereof, and as a part of the consideration hereof, as provided in the Indenture.

This Series O Bond shall not be entitled to any benefit under the Indenture or be valid or become obligatory unless it shall have been authenticated by the Trustee, or its successor in trust, by completing the Authentication Certificate of Trustee appearing hereon.

This Series O Bond is exempt from taxation (except inheritance taxes) in Kentucky.

It is hereby certified, recited and declared that all acts, conditions and things required to exist, happen and be performed precedent to and in the issuance of this Series O Bond, do exist, have happened and have been performed in due time, form and manner as required by law; and that the amount of this Series O Bond, together with all other obligations of said Board and of said University of Kentucky, does not exceed any limit prescribed by the Constitution or the Statutes of the Commonwealth of Kentucky; that said Housing and Dining System will be continuously operated, and that a sufficient portion of the defined Revenues therefrom has been pledged to and will be set aside into said Bond and Interest Sinking Fund, as the first charge upon such Revenues, for the payment of the principal of and interest on this Series O Bond and the issue of which it is a part and any other Bonds which have heretofore and may hereafter be issued and outstanding, which by their terms are payable from said identified special fund, at or prior to maturity.

It is further certified, recited and declared that as permitted by the Constitution, applicable statutory laws and controlling decisions of the Highest Court of the Commonwealth, the said Board of Trustees of University of Kentucky has irrevocably covenanted and agreed, and hereby irrevocably covenants and agrees with the owner of this Series O Bond, with the sanction, approval and authorization of the State Property and Buildings Commission of Kentucky, and the Finance and Administration Cabinet of the Commonwealth, as evidenced by proper authorizations and proceedings duly filed with the Trustee, and as further evidenced by the authorized reproduced facsimile signature of the Secretary of the Finance and Administration Cabinet hereon appearing, that if and to the extent that the defined Revenues of said Housing and Dining System shall at any time be or become insufficient (after first meeting all requirements of the special fund hereinabove identified), to pay all costs of operating and maintaining said System, and of keeping the same in a good and tenantable state of repair, said Board of Trustees and the Commonwealth of Kentucky will supply from other sources any deficiencies in such respects as a binding and continuing contractual commitment of the Commonwealth, so long as any

Bonds are outstanding and unpaid under the terms and provisions of the Indenture.

IN WITNESS WHEREOF, said Board of Trustees of University of Kentucky has caused this Series O Bond to be executed on its behalf with the duly authorized reproduced facsimile signature of its Chairman, and has caused the reproduced facsimile of its Corporate Seal to be imprinted hereon and attested by the reproduced facsimile signature of its Secretary, and dated as of May 1, 1994; provided, however, that this Series O Bond shall not be valid or become obligatory for any purpose, or be entitled to any security or benefit under the Indenture pursuant to which it was authorized until the Authentication Certificate of Trustee printed hereon shall have been executed by the manual signature of a duly authorized representative of the Trustee.

BOARD OF TRUSTEES OF
UNIVERSITY OF KENTUCKY

Attest: By (Facsimile Signature)
Chairman

(Facsimile Signature)
Secretary
(Facsimile Seal)

It is certified that issuance of this Series O Bond, in form as above set forth, has been duly approved and authorized by the State Property and Buildings Commission of Kentucky, and by the undersigned.

(Facsimile Signature)
Secretary, Finance and Administration
Cabinet

THE AUTHENTICATION DATE OF THIS BOND IS: _____.

AUTHENTICATION CERTIFICATE OF TRUSTEE

This is to certify that this Series O Bond is one of the Series O Bonds referred to in the within Series O Bond and in the within-mentioned Indenture. Printed on the reverse hereof is the complete text of the opinion of Bond Counsel, Rubin Hays & Foley, 200 South Fifth Street, Louisville Kentucky, a signed original of

which is on file with the undersigned, delivered and dated on the date of initial delivery of and payment for the Series O Bonds.

FARMERS BANK & CAPITAL TRUST COMPANY,
Frankfort, Kentucky, Trustee

By _____
Authorized Officer

The following abbreviations, when used in the inscription on the face of the within Bond, shall be construed as though they were written out in full according to applicable laws or regulations:

TEN COM	-- as tenants in common	UNIF TRANS MIN ACT -	_____	Custodian	_____
TEN ENT	-- as tenants by the entireties		(Cust)		(Minor)
JT TEN	-- as joint tenants with right of survivorship and not as tenants in common				

under Uniform Transfers to Minors Act

_____ (State)

Additional abbreviations may also be used though not in list above.

ASSIGNMENT

For value received, the undersigned hereby sells, assigns and transfers unto _____ the within Series O Bond and hereby irrevocably constitutes and appoints _____ attorney to transfer the within Series O Bond on the books kept for registration and transfer of said Series O Bond, with full power of substitution in the premises.

Dated: _____

Registered Owner (Signature must correspond with name of Registered Owner as it appears on the front of this Bond in every particular, without alteration, enlargement or any change whatsoever.)

Social Security Number or other identifying number: _____

Signature Guaranteed By: _____

Notice: Signatures must be guaranteed by a member firm of the New York Stock Exchange or a commercial bank or trust company.

ARTICLE X - CONCERNING THE TRUSTEE

The Trustee hereby accepts the trusts imposed upon it by this Supplemental Indenture, subject to the express terms and conditions of the Trust Indenture.

ARTICLE XI - AMENDMENTS AND MODIFICATIONS

The provisions of this Indenture shall constitute a contract between the Board, the Trustee and the owners of the Series O Bonds as may be outstanding from time to time under this Supplemental Indenture; provided that modifications, alterations and amendments of this Indenture and of the rights and obligations of this Board and of the Trustee and of the owners of said Series O Bonds as may be outstanding may be made as specifically provided in the Trust Indenture.

ARTICLE XII - RELEASE AND DISCHARGE OF SUPPLEMENTAL INDENTURE; PARTIAL RELEASES

Release and discharge of this Supplemental Indenture in whole or in part may be effected upon the terms and conditions and in the manner specified in ARTICLE XVII of the Trust Indenture.

ARTICLE XIII - COMPLIANCE WITH INTERNAL REVENUE CODE OF 1986

In order to assure the purchasers of the Series O Bonds that interest thereon will continue to be excludable from gross income for federal income tax purposes and exempt from Kentucky income taxation (subject to certain exceptions set out below), the Board covenants in this Seventh Supplemental Trust Indenture that (1) the Board will take all actions necessary to comply with the provisions of the Code, (2) the Board will take no actions which will violate any of the provisions of the Code, or that would cause the Series O Bonds to become "private activity bonds" within the meaning of the Code, (3) none of the proceeds of the Series O Bonds will be used for any purpose which would cause the interest on the Series O Bonds to become subject to federal income taxation, and that the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series O Bonds.

The Board has been advised by Bond Counsel, Rubin Hays & Foley, Louisville, Kentucky, and therefore believes, that the Series O Bonds are not "private activity bonds" within the meaning of the Code, and that interest on the Series O Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

The Board covenants that in the event it is determined by the Board, upon advice of nationally recognized bond counsel, that the 1965 Sinking Fund, including the reserve portion thereof, or any other fund or account established pursuant to the Trust

Indenture or the Seventh Supplemental Trust Indenture, is subject to the rebate requirements of Section 148(f)(4)(C) of the 1986 Code, and does, in fact, generate earnings from "non-purpose investments" in excess of the amount which said investments would have earned at a rate equal to the "yield" on the Series O Bonds, plus any income attributable to such excess, the Board shall remit any such excess earnings to the United States of America on or before five (5) years from the date of issuance of the Series O Bonds, and once every five years thereafter until the final retirement of the Series O Bond; the last installment, to the extent required, to be made no later than sixty (60) days following the date on which funds sufficient for the complete retirement of the Bonds are deposited with the Trustee or any escrow agent.

The tax-exempt status of the Series O Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series O Bonds is taken into account in determining adjusted current earnings for taxable years beginning after December 31, 1989.

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series O Bonds, or, in the case of a financial institution, that portion of such financial institution's interest expense allocable to interest on the Series O Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series O Bonds.

4. For taxable years beginning before January 1, 1996, interest on the Series O Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series O Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series O Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to

take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series O Bonds.

The Board of Trustees reserves the right to amend this Seventh Supplemental Trust Indenture without obtaining the consent of the owners of the Series O Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series O Bonds shall be exempt from federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series O Bonds) to eliminate or reduce any restrictions concerning the investment of the proceeds of the Series O Bonds, or the application of such proceeds. The purchasers of the Series O Bonds will be deemed to have relied fully upon these covenants and undertakings on the part of the Board of Trustees as part of the consideration for the purchase of the Series O Bonds. To the extent that the Board of Trustees obtains an opinion of nationally recognized bond counsel to the effect that non-compliance with any of the covenants contained in this Seventh Supplemental Trust Indenture would not subject interest on the Series O Bonds to federal income taxation or Kentucky income taxation, the Board of Trustees is not required to comply with such covenants and requirements.

ARTICLE XIV - COMPLIANCE WITH FEDERAL ARBITRAGE REGULATIONS

The Board covenants that the proceeds of the Series O Bonds shall not be invested in investments which will produce a net adjusted yield in excess of the net interest cost (effective yield) of the Series O Bonds authorized herein, if such investment would cause such Series O Bonds to be treated as "arbitrage bonds" within the meaning of Section 148 of the Code; provided, however, that funds may be invested to whatever extent and whenever the Code permits same to be invested without causing the Series O Bonds to be treated as "arbitrage bonds."

On the basis of known facts and circumstances in existence on the date of this Seventh Supplemental Trust Indenture, the Board certifies that it is not expected that the proceeds of the Series O Bonds will be used in a manner which would cause such Series O Bonds to be arbitrage bonds. The Board covenants to the purchasers of the Series O Bonds and/or owners of the Series O Bonds that (a) the Board will make no use of the proceeds of the Series O Bonds, if such use had been reasonably expected on the date of issuance of the Series O Bonds, would have caused such Series O Bonds to be arbitrage bonds, and (b) that the Board will comply with (1) all of the requirements of Section 148 of the Code; and (2) all of the requirements of the Treasury Regulations applicable thereto, to whatever extent is necessary to assure that the Series O Bonds shall not be treated as arbitrage bonds.

On the basis of known facts and reasonable expectations on the date of this Seventh Supplemental Trust Indenture, the Board certifies as follows:

(a) That a contract for architectural services and/or construction contracts have been entered into for the construction of the Series O Project, and the fees to be paid to such Architect and/or the amount of such contracts will exceed 5% of the net sale proceeds of the Series O Bonds;

(b) That work on the building has commenced or will commence within six (6) months from the date of issuance of the Series O Bonds;

(c) That construction on the Series O Project will proceed thereafter to completion with due diligence on the part of the Board, and that at least 95% of the spendable proceeds of the Series O Bonds will be expended on the cost of the Series O Project within less than three (3) years from the date of issuance of the Series O Bonds.

(d) That the original proceeds of the Series O Bonds will not exceed by more than 5% (or any percent) the amount necessary to complete the construction of the Series O Project, and therefore, there has been no overissuance of the Series O Bonds;

(e) That it is anticipated and covenanted that amounts on deposit in the 1965 Sinking Fund will be used within thirteen (13) months from the date of deposit for the payment of principal of and/or interest on the outstanding Series B, Series C, Series D, Series E, Series F, Series G, Series I, Series J, Series K, Series L, Series M, Series N and Series O Bonds, and that, except for an amount equal to not more than the greater of (1) one-twelfth (1/12) of debt service requirements on such bonds for the then ensuing year, or (2) one year's earnings on the 1965 Sinking Fund, such 1965 Sinking Fund will be depleted annually through such application for current debt service requirements of the Bonds;

(f) That the amounts to be accumulated in the Debt Service Reserve portion of the 1965 Sinking Fund out of the revenues of the System in the amount of the maximum annual debt service on the Series O Bonds as required by the Trust Indenture, will not exceed an amount equal to 10% of the original proceeds of the Series O Bonds;

(g) That the amount to be accumulated in the 1965 Repair and Maintenance Reserve will not be used for the payment of debt service on any of the Series O Bonds, except to prevent a default;

(h) That the Board has not been advised of any listing or contemplated listing by the Internal Revenue Service determining that the foregoing type of certification with respect to the Board's obligations may not be relied on;

and, accordingly, it is anticipated that there will be no limitation on the permissible yield on investments made from the proceeds of the Series O Bonds.

Prior to or at the time of delivery of the Series O Bonds, the Chairman of the Board and/or the chief financial officer of the University shall execute the appropriate certifications with reference to the matters referred to above, setting out all known and contemplated facts concerning such anticipated construction, expenditures and investments, including the execution of necessary and/or desirable certifications of the type contemplated by applicable Treasury Regulations in order to assure that interest on the Bonds will be exempt from all federal income taxes and that the Series O Bonds will not be treated as arbitrage bonds.

ARTICLE XV - MISCELLANEOUS PROVISIONS

Section 1501. Nonpresentment of Series O Bonds for Payment. In the event that any Series O Bond issued hereunder shall not be presented for payment when the principal thereof becomes due, either at maturity or otherwise, or at the date fixed for the redemption or prepayment of some or all of the principal thereof, as hereinbefore provided (the Board having deposited with the Trustee funds sufficient to pay such Series O Bonds, together with all interest due thereon to the date of the maturity of such Series O Bonds or to the date fixed for redemption thereof) then and in every such case, interest on said Series O Bond and all liability of the Board to the owner of said Series O Bond for the payment of the principal thereof and interest thereon, shall forthwith cease, determine and be completely discharged, and thereupon it shall be the duty of the Trustee to hold the funds so deposited in trust for the benefit of the owner of such Series O Bond, who shall thereafter be restricted exclusively to said funds for any claim of whatsoever nature on the part of such owner under this Supplemental Indenture or in said Series O Bond.

Section 1502. Covenants and Provisions Binding Upon Successors and Assigns. Whenever in this Supplemental Indenture any of the parties hereto is referred to, such reference shall be deemed to include the successors and assigns of such party, and all the covenants, promises and agreements in this Supplemental Indenture contained by or on behalf of the Board, or by or on behalf of the Trustee, shall bind and inure to the benefit of the respective successors and assigns of such parties, whether so expressed or not.

Section 1503. Covenants Contained Hereto Inure Only To the Parties Hereto, Their Successors and Assigns and the Owners Of the Bonds. Nothing in this Supplemental Indenture, expressed or implied, is intended or shall be construed to confer upon or give to any person or corporation, other than the parties hereto and the holders and owners of the Bonds and of coupons, if any, any right, remedy or claim under or by reason of this Supplemental Indenture, or any covenant, condition or stipulation hereof, and all the covenants, stipulations, promises, agreements and conditions in this Supplemental Indenture contained, by or on behalf of the Board, shall be for the sole and exclusive benefit of the parties

hereto, their successors and assigns, and of the holders and owners of the Bonds and of coupons appurtenant thereto, if any.

Section 1504. Execution of Instruments. Any request, declaration or other instrument required or permitted by this Supplemental Indenture to be made or given by any owner of the Series O Bonds may be in any number of concurrent instruments of similar tenor, and may be signed or executed by such owners in person or by attorney appointed in writing. The execution of any such request, declaration or other instrument, or of a writing appointing any such attorney, shall be sufficient for any purpose of this Supplemental Indenture and shall be conclusive in favor of the Trustee as against the person signing such request, and all future owners of the Series O Bonds owned by such person with regard to due action taken by the Trustee under such request, declaration or other instrument.

Section 1505. Execution In Counterparts. This Supplemental Indenture may be simultaneously executed in any number of counterparts, and all such counterparts executed and delivered, each as an original, shall constitute but one and the same instrument. The date of actual execution of this Supplemental Indenture shall be the date of execution by the Trustee; but nevertheless the effective date hereof shall be the year and day first hereinabove written.

IN TESTIMONY WHEREOF, the Board of Trustees of University of Kentucky has caused its corporate name to be hereunto subscribed by its Chairman and its corporate seal to be hereunto affixed, and said signature and seal to be attested by its Secretary or Acting Secretary, and said Farmers Bank & Capital Trust Company, Frankfort, Kentucky, to evidence its acceptance of the trusts hereby created and vested in it, has caused its corporate name to be hereunto subscribed by its President or by one of its Vice Presidents, or by its Trust Officer, and its corporate seal to be hereto affixed and said signature and seal to be attested by its

Secretary, Cashier or Trust Officer, or a duly authorized Assistant, all as of the day and year first above written.

BOARD OF TRUSTEES OF
UNIVERSITY OF KENTUCKY

By Edward T. Breathitt
Chairman

(SEAL)

Attest:

Isa C. Weinberg
Secretary

FARMERS BANK & CAPITAL TRUST COMPANY,
Frankfort, Kentucky

By _____
Authorized Officer

(SEAL)

Attest:

Secretary