

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, June 23, 1987.

The Board of Trustees of the University of Kentucky met at 1 p.m. (Eastern Daylight Time) on Tuesday, June 23, 1987 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened and Roll Called

Mr. Robert T. McCowan, Chairman, called the meeting to order at 1:00 p.m. (Eastern Daylight Time), and the invocation was pronounced by Mrs. Edythe Jones Hayes.

The following members of the Board of Trustees answered the call of the roll: Mr. Robert T. McCowan (Chairman), Mr. Ted B. Bates, Professor Raymond F. Betts, Governor Albert B. Chandler, Mr. Albert G. Clay, Professor David R. Driscoll, Jr., Mr. Tracy Farmer, Mr. Lawrence E. Forgy, Jr., Ms. Donna G. Greenwell, Mrs. Edythe Jones Hayes, Mr. Henry E. Hershey, Senator Walter D. Huddleston, Dr. Nicholas J. Pisacano, Mr. Frank Ramsey, Mr. James L. Rose, Judge Julia K. Tackett, Mr. Bobby Watson, Mr. Billy B. Wilcoxson, and Professor Constance P. Wilson. Absent from the meeting were Mr. Ronald G. Geary, Mr. George W. Griffin, Jr., Mr. Brereton C. Jones, Mr. R. Larry Jones, Mr. T. A. Lassetter, and Mr. Jerome A. Stricker. The University administration was represented by President Otis A. Singletary; Mr. Edward A. Carter, Acting Vice President for Administration; Chancellors Peter P. Bosomworth, Art Gallaher and Charles T. Wethington; Dr. Raymond R. Hornback, Vice President for University Relations; Mr. Henry Clay Owen, Controller and Treasurer; and Dr. Paul G. Sears, Special Assistant for Academic Affairs.

Members of the news media were also in attendance. A quorum being present, the Chairman declared the meeting officially open for the conduct of business at 1:03 p.m.

B. Minutes Approved

On motion made by Mr. Rose, seconded by Mr. Watson and passed, the Minutes of the May 5, 1987 meeting of the Board of Trustees were approved as written.

C. Mr. Ronald G. Geary, Ms. Donna G. Greenwell and Professor Constance P. Wilson Recognized

Mr. McCowan noted that the terms of three Board members will expire June 30, 1987: Mr. Ronald G. Geary, who was unable to attend today's meeting, Ms. Donna G. Greenwell and Professor Constance P. Wilson. He noted that Professor Wilson has served three consecutive three-year terms as a faculty Trustee and expressed appreciation for her important contributions to the Board. He then expressed appreciation to Ms. Greenwell for her contributions, and stated that she has distinguished herself not only as a student but also as a member of the Board and noted she was a key member of the Presidential Search Committee.

Ms. Greenwell indicated that she had invited her successor, Ms. Cyndi Weaver, President of the Student Government Association, to the meeting; however, Ms. Weaver was unable to attend.

Mr. McCowan also stated that this would be the last meeting for Ms. Evelyn Foster, who is retiring on June 30 after twenty-three and one-half years in the President's Office.

D. President's Report to the Trustees (PR 1)

President Singletary reminded the Trustees that the next Board meeting will be on August 18. He then said he was delighted to make another announcement of great importance: the University now has errors and omissions liability insurance coverage for all employees; however, it is at a substantial increase in cost.

President Singletary reviewed briefly some of the items in his report to the Trustees and called special attention to: (1) the dedication of the Maxwell H. Gluck Equine Research Center on June 5, (2) the ground breaking ceremony for the campus of the newest community college at Owensboro on June 22, (3) the ground breaking ceremony for the new academic building at Lexington Community College, named for Richard P. Moloney, Sr., which was held on June 18, (4) the 1987 Governor's Scholars Program on campus which will run through July 22, and (5) the new scholarship program named for Lyman V. Ginger, former dean of the College of Education.

E. Personnel Actions (PR 2)

In recommending that the appointments and staff changes in PR 2 be approved, President Singletary said he was pleased to announce the appointment of "a very promising, young professor of Mathematics" who will also have some administrative duties, President-Elect David P. Roselle. On motion made by Mr. Clay, seconded by Mr. Ramsey and carried, the appointments and staff changes recommended in PR 2 were approved. (See PR 2 at the end of the Minutes.)

F. Supplemental Personnel Recommendations of the President (PR 3A)

President Singletary moved the approval of PR 3A and indicated his pleasure in recommending the appointment of Professor John Thomas Bryans in the Department of Veterinary Science as the newest Alumni Professor, effective July 1, 1987. The motion, made by Professor Wilson and seconded by Mrs. Hayes, carried unanimously. Professor Bryans, who was in attendance was asked to stand and be recognized, following which he was given a round of applause. (See PR 3A at the end of the Minutes.)

G. Operating Budget for 1987-88 (PR 3B)

In opening his presentation, President Singletary referred to the 1987-88 Operating Budget as a continuation budget. He stated that with the adoption of last year's operating budget, the University was looking forward to a very positive biennium. He pointed out, however, that the relatively optimistic position has deteriorated markedly. He then reminded the Trustees that in December a nonrecurring cut of \$5.5 million in state appropriation was announced: \$4.5 million of program support

and \$1.0 million in debt service. He added that in the development of this year's budget, the University was confronted with the uncomfortable position of not knowing what the State revenue picture would be for 1987-88 nor if the University would be faced with another cut. Therefore, he continued, the University based the budget decision on the full appropriation of \$233 million but felt there was no choice but to reserve at least the program portion of the 1986-87 cut--\$4.5 million--for what the University felt was the very real possibility of a recurring cut in that amount. Also, he said, the University felt it had to restore its operating contingency to at least a \$2.8 million level. He continued that these turned out to be propitious decisions because on May 28, after the budget went to print, the University was advised that the two percent nonrecurring cut to higher education in 1986-87 would become recurring in 1987-88. At the University of Kentucky, that recurring cut is \$4.9 million rather than the \$4.5 million reserved because the cut is on the 1987-88 appropriation and is based on the formula use policy. Further, the universities were directed to hold in reserve until at least October an additional one percent of their appropriation which is \$2.7 million for the University of Kentucky.

President Singletary explained that in order to be prepared for that potential reduction, the decisions in developing the budget were very difficult and provided very unsatisfactory results. He noted that to deal with the 1987-88 cut the University was able to provide only a 4 percent average salary increase, no increases for operating expenses and no funds for program improvement except in the Community College System which continues to have substantial enrollment increases. He pointed out that during the 1986-87 fiscal year the average faculty salary on the Lexington Campus fell \$3,156 behind the University benchmark salaries compared to \$2,718 the previous year and predicted that the benchmark gap will undoubtedly widen in 1987-88. He reported that the salaries at the community colleges are about \$1,000 behind their benchmarks and that staff salaries have continued to slip further behind market levels.

President Singletary then pointed out that some of the most promising aspects of the budget are in special State appropriations: salary catch-up in the Community College System; additional support for the Owensboro program; \$3.2 million for the Center for Robotics; debt service for the Owensboro Campus, the buildings at Lexington, Elizabethtown, Hazard, Prestonsburg, and Madisonville community colleges, an agricultural engineering building, the new robotics center building, the regulatory services facility; and for the academic equipment bond issue. In addition, the Community College System was able to fund some program enhancement--essentially faculty and staff catch-up positions.

President Singletary concluded his remarks by saying he hoped the Board would approve the 1987-88 Operating Budget and asked Mr. Carter to review the budgetary process.

Using a series of charts, Acting Vice President Carter provided detailed information on the budgetary process and a general overview of how the 1987-88 budget (\$551.8 million) relates to the planning objectives and goals adopted by the Board of Trustees for the 1986-87 biennium. He also reviewed the major sources of income and expenditures by categories, indicating the State's appropriation to the budget is \$228.6 million (41.8 percent); University Hospital funds contribute 19.2 percent (\$106.2 million); student fees 9.2 percent; and auxiliary

enterprise operations another 4.8 percent. The balance of the budget comes from federal appropriations, endowment income, investments, gifts and grants, sales and services, fund balances, restricted funds, and affiliated corporations.

There being no further comments, Mr. McCowan called for a motion. Mr. Clay moved that the budget be adopted and that the Chairman of the Board be authorized to negotiate and execute contracts with the administrative officers providing for the terms and conditions of their employment and benefits. The motion was seconded by Mr. Forgy and unanimously carried. (See PR 3B at the end of the Minutes.)

H. Appointment of Director, Elizabethtown Community College (PR 4A)

President Singletary recommended that Dr. Charles E. Stebbins be named Director of Elizabethtown Community College, effective July 1, 1987. Chancellor Wethington briefly reviewed Dr. Stebbins credentials and background. He indicated that the appointment had the strong support of the community as well as the community college staff and that he was pleased to endorse the recommendation. Mr. Wilcoxson so moved. The motion was seconded by Senator Huddleston and carried unanimously. (See PR 4A at the end of the Minutes.)

I. Appointment of Director, Ashland Community College (PR 4B)

President Singletary then recommended that Dr. Anthony L. Newberry be named Director of Ashland Community College, effective July 1, 1987. Following Chancellor Wethington's review of Dr. Newberry's credentials, he pointed out that Dr. Newberry has demonstrated his leadership ability in the Community College System by excellent performance in the various positions he has held at Jefferson and Southeast Community Colleges since 1976. On motion made by Mr. Farmer, seconded by Mr. Ramsey and passed, approval was given to the appointment of Dr. Anthony L. Newberry as Director of Ashland Community College. (See PR 4B at the end of the Minutes.)

J. Appointment of Dean of College of Communications (PR 5A)

Stating that PR 5A was another administrative appointment, President Singletary recommended that Dr. Douglas A. Boyd be named Dean of the College of Communications, effective July 1, 1987. Chancellor Gallaher reviewed Dr. Boyd's credentials and background, noting that Dr. Boyd was an extremely strong candidate and the first choice of the committee. Chancellor Gallaher stated that he was pleased to endorse President Singletary's recommendation that Dr. Boyd be named Dean of the College of Communications. The motion, which was made by Professor Wilson and seconded by Dr. Pisacano, carried. (See PR 5A at the end of the Minutes.)

K. Naming of University Room (PR 5B)

President Singletary indicated his pleasure in recommending that the Board approve the name of ROSEMARY POND LIBRARY for Room 301 of the Kirwan-Blanding Commons (Commons Library). Dr. Pisacano so moved. The motion was seconded by Professor Betts and unanimously carried. Professor Betts also expressed his pleasure of the appropriateness of

naming the room for Dean Pond in recognition of her tremendous service and dedication to the University. Dean Pond was asked to stand and be recognized following which she was given a round of applause. (See PR 5B at the end of the Minutes.)

L. Establishment of a New Department (PR 5C)

With President Singletary so recommending, Mr. Clay moved that a new Department of Decision Sciences and Information Systems be established in the College of Business and Economics. The motion was seconded by Judge Tackett and carried. (See PR 5C at the end of the Minutes.)

M. Bachelor of Science Degree Program in Mathematical Sciences College of Arts and Sciences (PR 5D)

President Singletary recommended that the Board of Trustees authorize for submission to the Council on Higher Education a new degree program, Bachelor of Science in Mathematical Sciences, for the College of Arts and Sciences. The motion, which was made by Professor Betts and seconded by Professor Wilson, carried. (See PR 5D at the end of the Minutes.)

N. Appointment to University of Kentucky Equine Research Foundation (PR 5E)

On motion made by Mr. Clay, seconded by Mr. Rose and carried, approval was given to the appointment of Mr. Ted B. Bates as a Trustee member on the Board of Directors of the University of Kentucky Equine Research Foundation for a term ending December 14, 1988. (See PR 5E at the end of the Minutes.)

O. Appointments to University of Kentucky/Business Partnership Foundation (PR 5F)

Mr. Watson moved, and Mr. Bates seconded, that approval be given to the appointment of Carl F. Pollard, W. L. Rouse, Jr., and Donald Webb to membership on the Board of Directors of the University of Kentucky/Business Partnership Foundation for terms beginning with the spring 1987 meeting and ending with the fall 1990 meeting. The motion carried unanimously. (See PR 5F at the end of the Minutes.)

P. Appointment of Board of Directors University of Kentucky Research Foundation (PR 5G)

President Singletary recommended that approval be given to (1) the reappointment of Dr. James F. Lafferty (faculty member), Mr. T. A. Lassetter (Trustee member), and Mr. Norman Ogden (public member) to the Board of Directors of the University of Kentucky Research Foundation for three-year terms ending in 1990, and (2) the appointment of Mr. Jerome A. Stricker (Trustee member) for an unexpired term ending in 1988. The motion, made by Mr. Ramsey and seconded by Judge Tackett, carried. (See PR 5G at the end of the Minutes.)

Q. Appointments/Reappointments to University of Kentucky Mining Engineering Foundation (PR 5H)

On recommendation of President Singletary, Mr. Farmer moved that approval be given to the appointments and reappointments to the University of Kentucky Mining Engineering Foundation as recommended in PR 5H. The motion was seconded by Senator Huddleston and carried. (See PR 5H at the end of the Minutes.)

R. Revision of the Bylaws and Articles of Incorporation of the University of Kentucky Mining Engineering Foundation (PR 5I)

On motion made by Mr. Clay, seconded by Mr. Rose and carried, approval was given to the revision of the Bylaws and Articles of Incorporation of the University of Kentucky Mining Engineering Foundation as recommended in PR 5I. (See PR 5I at the end of the Minutes.)

S. Approval of Agreement between University of Kentucky and University of Kentucky Student Agencies, Inc. (PR 5J)

President Singletary stated this was an annual contract which has been in effect since 1982 and said he was pleased to recommend approval of the agreement with Student Agencies. On motion made by Mrs. Hayes, seconded by Ms. Greenwell and carried, the Board approved the agreement between the University of Kentucky Board of Trustees and the University of Kentucky Student Agencies, Inc. for the period of July 1, 1987 through June 30, 1988. (See PR 5J at the end of the Minutes.)

T. Dean, College of Dentistry (PR 6A)

President Singletary expressed his pleasure in recommending that Dr. Emmett R. Costich be designated as the fourth Dean of the College of Dentistry for the period of July 1, 1986 through June 30, 1987. He explained that while this action is symbolic, it will recognize the important role Dr. Costich provided in his leadership and service rendered the College and the University during a difficult time. Dr. Bosomworth indicated the College of Dentistry is moving forward again, after a very unstable period, and strongly endorsed President Singletary's recommendation. The motion, made by Dr. Pisacano and seconded by Professor Wilson, carried. Dr. Costich was then recognized and given a round of applause. (See PR 6A at the end of the Minutes.)

U. Appointments to the University of Kentucky Center on Aging Foundation (PR 6B)

Mr. Bates moved that the appointments to the University of Kentucky Center on Aging Foundation as recommended in PR 6B be approved. The motion was seconded by Mr. Farmer and carried. (See PR 6B at the end of the Minutes.)

V. Naming of University Building (PR 6C)

On motion made by Mr. Forgey, seconded by Judge Tackett and carried, the Board approved the name MEDICAL CENTER ANNEX #6 for the presently named Old Pancake House. (See PR 6C at the end of the Minutes.)

W. New Academic Degree (PR 6D)

Mr. Ramsey moved, and Dr. Pisacano seconded, that the Board of Trustees authorize the award of the degree of Master of Science in Health Physics to those students who satisfactorily complete the requirements for the degree as approved by the University Senate. The motion carried without dissent. (See PR 6D at the end of the Minutes.)

X. Patent Assignment Lexington Campus and Medical Center (PR 6E)

Without discussion, on motion made by Judge Tackett, seconded by Mr. Hershey and passed, the patent assignment recommended in PR 6E was approved. (See PR 6E at the end of the Minutes.)

Y. Proposal for Graduate Degree Program in Public Health (PR 6F)

On motion made by Mr. Forgy, seconded by Mr. Watson and carried, the Board authorized for submission to the Council on Higher Education, a new Graduate Program in Public Health leading to the Master of Science of Public Health Degree. (See PR 6F at the end of the Minutes.)

Z. Proposal for Graduate Degree Program in Biomedical Engineering (PR 6G)

Mr. Clay moved that the Board of Trustees authorize for submission to the Council on Higher Education a new Graduate Degree Program in Biomedical Engineering leading to the the Master of Science and Ph.D. Degrees. The motion was seconded by Mr. Rose and carried. (See PR 6G at the end of the Minutes.)

AA. Agreement between University of Kentucky and Kentucky Medical Services Foundation (PR 6H)

On motion made by Mr. Ramsey, seconded by Professor Wilson and carried, the Board approved the agreement between University of Kentucky and Kentucky Medical Services Foundation. (See PR 6H at the end of the Minutes.)

BB. Interim Financial Report (FCR 1)

Mr. Forgy, Chairman of the Finance Committee, reported that the Finance Committee had met on the morning of June 23 and reviewed a large number of agenda items, the first of which was the routine financial report. He then moved that the Board accept the report for the ten months ended April 30, 1987. The motion was seconded by Mr. Watson, and carried. (See FCR 1 at the end of the Minutes.)

CC. Disposal of Personal Property (FCR 2)

Mr. Forgy next moved, and Professor Wilson seconded, that the Board approve the disposal of personal property as presented in FCR 2. The motion carried without dissent. (See FCR 2 at the end of the Minutes.)

DD. Report of Leases (FCR 3)

Mr. Forgy moved, and Mr. Wilcoxson seconded, that the report of leases in FCR 3 be accepted. The motion was voted on, and it was so ordered. (See FCR 3 at the end of the Minutes.)

EE. Approval of Lease (FCR 4)

On recommendation of the Finance Committee, Mr. Forgy moved that the Vice Chancellor for Administration, Lexington Campus, be authorized to renew the lease between Owensboro Community College and Owensboro Independent Board of Education as presented in FCR 4. Mr. Forgy's motion was seconded by Mr. Clay and passed without dissent. (See FCR 4 at the end of the Minutes.)

FF. Approval of Lease (FCR 5)

Mr. Forgy then moved that the Vice Chancellor for Administration, Lexington Campus, be authorized to renew the lease between the Owensboro Community College and Midtown Office Complex as presented in FCR 5. Mr. Forgy's motion was seconded by Mr. Ramsey and carried. (See FCR 5 at the end of the Minutes.)

GG. Approval of Lease (FCR 6)

On motion by Mr. Forgy, seconded by Professor Wilson and carried, the Vice Chancellor for Administration, Lexington Campus, was authorized to renew the lease between the University of Kentucky Medical Center Finance Division and The Webb Companies as recommended in FCR 6. (See FCR 6 at the end of the Minutes.)

HH. Use of Fraternity Reserve (FCR 7)

Mr. Forgy moved that the Vice Chancellor for Administration be authorized and directed by the Board of Trustees to request the Trustee of University of Kentucky Student Housing Bonds of 1964 to make available \$7,000 for repairs to the Sigma Nu Fraternity house. The motion was seconded by Professor Driscoll and carried. (See FCR 7 at the end of the Minutes.)

II. Acceptance of Audit for the University of Kentucky Federal Credit Union (FCR 8)

On motion made by Mr. Forgy, seconded by Mr. Clay and carried, the Board accepted the audited financial statement of the University of Kentucky Federal Credit Union for the twelve-month period ended December 31, 1986. (See FCR 8 at the end of the Minutes.)

JJ. Establishment of Quasi-Endowment for the Alumni Chair in Anatomy Fund (FCR 9)

On recommendation of the Finance Committee, Mr. Forgy moved that the Board authorize the creation of a Quasi-Endowment Fund to be entitled the "Alumni Chair in Anatomy Fund." Mr. Forgy's motion was seconded by Mr. Hershey and carried. (See FCR 9 at the end of the Minutes.)

KK. Establishment of Quasi-Endowment for the Clarence W. Mathews Scholarship Fund for Horticultural Students (FCR 10)

Mr. Forgy moved that the Board authorize the creation of a quasi-endowment fund to be entitled the "Clarence W. Mathews Scholarship Fund for Horticultural Students." The motion was seconded by Mr. Farmer, and passed without dissent. (See FCR 10 at the end of the Minutes.)

LL. Establishment of Quasi-Endowment for the Prichard Lecture Fund (FCR 11)

Mr. Forgy moved and Mrs. Hayes seconded that the Board authorize the creation of a quasi-endowment fund to be entitled the "Prichard Lecture Fund." The motion carried. (See FCR 11 at the end of the Minutes.)

MM. Authorization to Change Plan Year for University of Kentucky Section 125 Plan for Employees from Calendar Year Basis to Fiscal Year Basis (FCR 12)

With the concurrence of the Finance Committee, Mr. Forgy moved that the Board authorize the Plan Year for the University of Kentucky Section 125 Plan for employees to be changed from a calendar year basis to a fiscal year basis, effective July 1, 1987. The motion was seconded by Mrs. Hayes and carried. (See FCR 12 at the end of the Minutes.)

NN. Retirement Plan Vehicles in Addition to TIAA/CREF (FCR 13)

Acting on recommendation of the Finance Committee, Mr. Forgy moved that the Board approve in principle the following recommendations of an Ad Hoc Retirement Review Committee:

1. Continue TIAA/CREF as a carrier of the UK Retirement Program.
2. Additional retirement plan vehicles should be offered as alternatives to TIAA/CREF.
3. All new retirement contributions from the employer and employee, if the employee so desires, would be available for investment in the alternative vehicles.
4. Up to 50% of accumulated funds in non-TIAA/CREF vehicles could be cashed out at the time of retirement, at the employee's discretion.
5. The balance (50% or more) of accumulated funds in non-TIAA/CREF vehicles would be paid out either in a lifetime annuity or in a distribution plan that permits a maximum annual withdrawal calculated on life expectancy tables at the time of retirement.
6. If the preceding five recommendations are approved, another smaller group should be charged by the President with the responsibility of developing appropriate specifications for vendor proposals on alternate investment vehicles.

The motion was seconded by Mr. Watson and carried unanimously.
(See FCR 13 at the end of the Minutes.)

OO. Proposed Amendments in the University of Kentucky Retirement Plan (See FCR 14)

On motion made by Mr. Forgy, seconded by Professor Wilson and carried, the proposed amendments in the University of Kentucky Retirement Plan (AR II-1.6-1) were approved. (See FCR 14 at the end of the Minutes.)

PP. Strategic Direction Relating to Coldstream Farm (FCR 15)

With the concurrence of the Finance Committee, Mr. Forgy moved that the Board of Trustees adopt the strategic direction in dealing with Coldstream Farm outlined in FCR 15. Mr. Forgy's motion was seconded by Mr. Clay. Then, following discussion, Professor Betts moved an amendment to the motion by adding the words "in the event the Board of Trustees determines sale or other disposition of the farm is advisable." The amendment was seconded by Professor Wilson.

The vote on the amendment was unanimously in the affirmative. The Board then unanimously adopted the motion as amended. (See FCR 15, as amended, at the end of the Minutes.)

QQ. Report of Investments (ICR 1)

Mr. Hershey, Chairman of the Investment Committee, reported the Committee had reviewed the report of investments and moved that the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the three months ended March 31, 1987, be accepted. The motion was seconded by Mr. Ramsey and carried. (See ICR 1 at the end of the Minutes.)

RR. Resolution on President Singletary Presented by Mr. McCowan

Mr. McCowan stated that today is a significant time in the history of the University as it will be the last Board meeting for Otis A. Singletary in his role as President of the University of Kentucky. He then read the following resolution:

The Board of Trustees of the University of Kentucky today pays tribute to:

OTIS ARNOLD SINGLETARY

As the eighth president of the University of Kentucky, your eighteen years of service have earned for you the admiration and respect of students, faculty, trustees, and friends alike.

We have known you as a man of integrity and high ideals who impelled those around you to do their full share by unfailingly doing more than your share.

Through times clouded by war, social unrest and economic turmoil, you extended the boundaries of the University. You imbued us with a tolerance of change, directed us into new and promising pathways, and gave us a vision of the ideal while keeping our attention firmly upon the real.

During your tenure, record numbers of students attended the University and received degrees. An unprecedented number of buildings were constructed and the University reached truly astounding new heights in private fund raising.

The first endowed chairs became a reality and academic standards have been significantly tightened during the Singletary years. The University has taken its rightful place among the nation's top research institutions and you have provided the leadership for the establishment of several research centers destined for national and international renown.

Your support of the arts has been most appropriately recognized by the university community with the dedication of the Otis A. Singletary Center for the Arts.

We shall never forget your gracious, charming, and lovely Gloria who presided over Maxwell Place with such decorum. And we shall long remember the positive impact she has had on the Lexington arts community.

In many ways, we are saddened that our paths must now diverge. But we are grateful that eighteen years ago you came our way and we now bid you a warmly affectionate farewell. Well done, good friend. And Godspeed.

On motion made by Mr. Clay, seconded by Mr. Rose and carried, the resolution was adopted following which President Singletary was given a standing ovation.

SS. Portrait of President Otis A. Singletary Accepted

Mr. McCowan asked Mrs. Gloria Singletary to join him in the unveiling of President Singletary's portrait. The portrait will hang in the Old Board Room in the Administration Building with the portraits of the former presidents. He reported that the committee, composed of Edythe Hayes, Brereton Jones, Tracy Farmer, and Ray Betts, had commissioned the portrait to be painted by Mr. Norman Dickinson and recommended that the portrait be accepted. On motion made by Mrs. Hayes, seconded by Mr. Forgy and carried, the oil portrait of President Singletary was accepted.

TT. Meeting Adjourned

There being no further business to come before the Board, the Chairman adjourned the meeting at 2:30 p.m. (Eastern Daylight Time).

Respectfully submitted,

Edythe Jones Hayes
Secretary
Board of Trustees

(PR's 2, 3A, 3B, 4A, 4B, 5A, 5B, 5C, 5D, 5E, 5F, 5G, 5H, 5I, 5J, 6A, 6B, 6C, 6D, 6E, 6F, 6G, and 6H and FCR's 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, and 15 and ICR 1 which follow are official parts of the Minutes of the Meeting.)

Office of the President
June 23, 1987

PR 2

Members, Board of Trustees:

Personnel Actions

Recommendation: (1) that approval be given to the attached appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 23, 1987

0197

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Transfer from Henderson Community College to
Jefferson Community College

Riley, Wm. Allan, Associate Professor in the Community
College System (with tenure), 7/1/87.

B. ADMINISTRATIVE APPOINTMENTS

Hazard Community College

Lynes, Billie, Associate Professor in the Community
College System (with tenure), Chairman, Division
of Math, Business and Related Technologies, 7/1/87
through 6/30/90.

Henderson Community College

Brauer, David F., Assistant Professor in the Community
College System, Chairman, Division of Natural
Sciences and Related Technologies, 7/1/87 through
6/30/90.

Hughes, Kathy L., Associate Professor in the Community
College System (with tenure), Chairman, Division
of Social & Behavioral Sciences and Related
Technologies, 7/1/87 through 6/30/90.

Strawn, Anthony A., Associate Professor in the
Community College System (with tenure), Chairman,
Division of Arts, Humanities and Related
Technologies, 7/1/87 through 6/30/90.

Hopkinsville Community College

McReynolds, Mary Lou, Associate Professor in the
Community College System (with tenure), Chairman,
Division of Science, Math and Related Technologies
7/1/87 through 6/30/90.

Jefferson Community College

DiNoto, Vincent A., Assistant Professor in the
Community College System, Chairman, Division of
Natural Sciences and Related Technologies/South-
west Campus, 7/1/87 through 6/30/90.

Ecker, David P., Assistant Professor in the Community College System, Chairman, Division of Humanities, Communications and Related Technologies/Southwest Campus, 7/1/87 through 6/30/88.

Riedling, Gerald L., Professor in the Community College System (with tenure), Chairman, Division of Humanities, Communications and Related Technologies, 7/1/87 through 6/30/90.

Zalampas, Mehale A., Professor in the Community College System (with tenure), Chairman, Division of Social and Behavioral Sciences and Related Technologies/Southwest Campus, 7/1/87 through 6/30/90.

C. JOINT APPOINTMENTS

Southeast Community College

Guyn, H. Katherine, Associate Professor in the Community College System (with tenure), joint appointment as Associate Professor at Hazard Community College, 7/1/87.

Saylor, Ellen W., Associate Professor in the Community College System (with tenure), joint appointment as Associate Professor at Hazard Community College 7/1/87.

D. PROMOTIONS

Ashland Community College

Adams, Marcella Jo, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/87.

Barnett, Lysbeth, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Howes, Charles D., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/87.

Kitchen, Janie R. from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/87.

Nicholls, Barbara, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Nunley, Gary S., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Tucker, Ernest M., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/87.

Elizabethtown Community College

Earles, Sandra Marie, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Hill, Donna Lee, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/87.

Howard, Linda G., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Keith, Angela R., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Winslow, Celia, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Hazard Community College

Colegrove, Forrest, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Cox, Deborah, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Haddix, Eileen, from Librarian II in the Community College System (with tenure), to Librarian I in the Community College System (with tenure), 7/1/87.

Henderson Community College

Allen, Jane P., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Brauer, David F., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Payne, Elizabeth T., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Tweddell, Debra C., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Jefferson Community College

France, Annalisa, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Head, Juanita, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Himmelheber, Byron R., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Hynes, Sandra, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Malone, Mary E., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Noe, Phyllis, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Lexington Community College

Chiswell, C. Lawrence, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/87.

Crowley, Katharine H., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Doty, Sarajane, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Freed, Michele, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Leonard, Cynthia, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Rutledge, Judith A., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/87.

Scorsone, Anal, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Madisonville Community College

Oglesby, Sarah A., from Assistant Professor in the Community College System to Associate Professor in the Community College System (with tenure), 7/1/87

Rhoads, Judith L., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/87.

Owensboro Community College

Thomas, James G., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Wallace, Albert, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Paducah Community College

Adams, Neil, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/87.

Bradshaw, Kenneth, from Librarian III in the Community College System, to Librarian II in the Community College System (with tenure), 7/1/87.
Maley, Donald, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/87.
Thompson, Ann, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Prestonsburg Community College

Fuller, H. Kenneth, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/87.
Parsons, Marie, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Somerset Community College

Blevins, Jo, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.
Owens, Catherine, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.
Tate, Roger, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/87.
Wolfe, James D., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/87.

Southeast Community College

Finkbiner, Charles H., from Assistant Professor in the Community College System to Associate Professor in the Community College System (with tenure), 7/1/87.
Gilliam, Terry, from Assistant Professor in the Community College System to Associate Professor in the Community College System (with tenure), 7/1/87.

Guyn, H. Katherine, from Assistant Professor in the Community College System to Associate Professor in the Community College System (with tenure), 7/1/87.

Saylor, Ellen W., from Assistant Professor in the Community College System to Associate Professor in the Community College System (with tenure), 7/1/87.

E. RETIREMENTS

Henderson Community College

Davis, Claudia B., Office Assistant I, after 18 consecutive years of service, effective 7/17/87.

Jefferson Community College

Hoben, Margaret H., Office Assistant II, after 15 consecutive years of service, effective 6/30/87.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Jefferson Community College

Anderson, Rosemary G., Instructor in the Community College System, 8/1/87 through 6/30/88.

Goodpaster, James J., Instructor in the Community College System, 8/1/87 through 6/30/88.

Lexington Community College

Erwin, Karen, Instructor in the Community College System, 8/1/87 through 6/30/88.

Prestonsburg Community College

Bennin, Hope E., Instructor in the Community College System, 8/1/87 through 6/30/88.

Herrin, Versa Gay, Assistant Professor in the Community College System, 8/1/87 through 6/30/88.

Lee, Mark L., Instructor in the Community College System, 8/1/87 through 6/30/88.

Music, Brenda C., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Southeast Community College

Dreyer, Jennifer D., Instructor in the Community College System, 4/13/87 through 6/30/87.

B. JOINT APPOINTMENTS

Hazard Community College

Collins, Gwendolyn, Assistant Professor in the Community College System, joint appointment as Assistant Professor at Southeast Community College 7/1/87 through 6/30/88.

Combs, Donna, Assistant Professor in the Community College System, joint appointment as Assistant Professor at Southeast Community College, 7/1/87 through 6/30/88.

Cook, Alicia Gail, Assistant Professor in the Community College System, joint appointment as Assistant Professor at Southeast Community College, 7/1/87 through 6/30/88.

Southeast Community College

Martin, JoAnn B., Instructor in the Community College System, joint appointment as Instructor at Hazard Community College, 7/1/87 through 6/30/88.

C. REAPPOINTMENTS

Ashland Community College

Carroll, Jennifer, Instructor in the Community College System, 7/1/87 through 6/30/88.

Davis, Virgil, Instructor in the Community College System, 7/1/87 through 6/30/88.

Elliott, Terry, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Greene, Carol M., Librarian III in the Community College System, 7/1/87 through 6/30/88.

Gussler, Sandra K., Instructor in the Community College System, 7/1/87 through 6/30/88.

Jones, Kevin, Librarian IV in the Community College System, 7/1/87 through 6/30/88.

Kendrick, Helen T., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Kumar, R. Chandra, Instructor in the Community College System, 7/1/87 through 6/30/88.

Lester, Barbara P., Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
McCullough, Willie, Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Owen, Brenda, Instructor in the Community College
System, 7/1/87 through 6/30/88.
Rafiee, Farnoosh, Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Shields, Theodore, Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Stewart, Kay B., Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.

Elizabethtown Community College

Eaton, Travis, Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Hart, Edward D., Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Hunt, Allen N., Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Jordan, Christopher, Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Kakolesha, Nyanguila, Instructor in the Community
College System, 7/1/87 through 6/30/88.
Murray, Josephine, Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Sisler, Peter H., Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Spataro, Charles P., Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Stewart, Theresia, Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Tychonievich, Robert, Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Ward, Freida J., Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.

Hazard Community College

Baker, Barbara, Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Clark, Joseph E., Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Collins, Gwendolyn, Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Combs, Donna, Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Fraley, Douglas, Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.

Hayden, Joseph L., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Hurt, Valina Kay, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Monson, Arvid M., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Petrey-Blandau, Sandra, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Sauer, Robert L., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Stamper, Harriett, Instructor in the Community College System, 7/1/87 through 6/30/88.

Henderson Community College

Bishop, Fern G., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Brady, Daniel E., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Hale, Stanley J., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Kelley, Robert E., Librarian IV in the Community College System, 7/1/87 through 6/30/88.
Pagan, Freida B., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Smith, Brent E., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Wilder, Mary Gail, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Hopkinsville Community College

Bingham, Wanda, Instructor in the Community College System, 7/1/87 through 6/30/88.
Hunter, James T., Instructor in the Community College System, 7/1/87 through 6/30/88.
McClure, Michael W., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Jefferson Community College

Alderdice, Phyllis C., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Ashley, Barbara, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Bennett, Sharon K., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Besser, Mary P., Instructor in the Community College System, 7/1/87 through 6/30/88.

Bingham, Marti P., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Bonnell, Loretta G., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Brown, Robert P., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Brown, Sarah E., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Butsch, Pamela M., Instructor in the Community College System, 7/1/87 through 6/30/88.
Case, Patricia H., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Chapman, Robert E., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Cooper, David L., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
DiNoto, Vincent A., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Early, Glen, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Ecker, David P., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Eddleman, Caroline, Instructor in the Community College System, 7/1/87 through 6/30/88.
Elliott, Margo C., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Furr, LeRoy A., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Gibson, John, Instructor in the Community College System, 7/1/87 through 6/30/88.
Hancock, Joyce A., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Hazelrigg, William R., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Holland, David L., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Houchin, Martha, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Hudson, Gloria D., Instructor in the Community College System, 7/1/87 through 6/30/88.
Jackson, Cheryl, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Kern, J. Michael, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
King, Sandra L., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Olsen, Bobby G., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Peterson, Stephanie, Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Prather, Mark C., Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Recktenwald, Rita G., Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Schmitt, Anthony W., Instructor in the Community
College System, 7/1/87 through 6/30/88.
Scroggins, Bonnie J., Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Sherrard, James A., Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Spurling, Katherine, Instructor in the Community
College System, 7/1/87 through 6/30/88.
Steiden, William A., Instructor in the Community
College System, 7/1/87 through 6/30/88.
Sturman, Dolly, Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Taylor, Barbara J., Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Varner, Katy L., Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Warren, Frances O., Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Wilburn, Mark S., Instructor in the Community College
System, 7/1/87 through 6/30/88.
Zimmerman, Joseph, Instructor in the Community College
System, 7/1/87 through 6/30/88.

Lexington Community College

Adkins, Michael A., Instructor in the Community College
System, 7/1/87 through 6/30/88.
Allen, Margaret B., Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Bahreini, Mohammad H., Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Cagey, James P., Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Chlopan, Helen, Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Crowley, Lillie F., Assistant Professor in the
Community College System, 7/1/87 through 6/30/88.
Fields, Morris P., Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Gornto, Robin E., Assistant Professor in the Community
College System, 7/1/87 through 6/30/88.
Hicks, Janet H., Instructor in the Community College
System, 7/1/87 through 6/30/88.

Holt, Daniel L., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Humble, Jeanne, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Hunt, Carol, Instructor in the Community College System, 7/1/87 through 6/30/88.
Kavanaugh, Susan C., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Kelly, Debra H., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Kincer, Ronald D., Instructor in the Community College System, 7/1/87 through 6/30/88.
Knox, Patricia, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
LaFontaine, Lucy, Instructor in the Community College System, 7/1/87 through 6/30/88.
Marshall, Dennis, Instructor in the Community College System, 7/1/87 through 6/30/88.
Mohanty, Sarat, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Murphy, W. Kevin, Instructor in the Community College System, 7/1/87 through 6/30/88.
Odong-Wodolam, Vincent, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Potts, Michael C., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Robison, Janet R., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Sechrist, Scott R., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Singleton, Debbie, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Smith, Gladys F., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Subramanian, Seetha, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Weill, Lawrence V., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Young, Gene C., Instructor in the Community College System, 7/1/87 through 6/30/88.

Madisonville Community College

Alward, Emily J., Librarian IV in the Community College System, 7/1/87 through 6/30/88.
Anderson, Cynthia H., Instructor in the Community College System, 7/1/87 through 6/30/88.
Bellarmine, Thomas G., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Burkart, Charles H., Librarian III in the Community College System, 7/1/87 through 6/30/88.
Clayton, Donald O., Instructor in the Community College System, 7/1/87 through 6/30/88.
Cundiff, Brenda M., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Dean, J. Michial, Instructor in the Community College System, 7/1/87 through 6/30/88.
Grant, Glenn H., Instructor in the Community College System, 7/1/87 through 6/30/88.
Hailey, William J., Instructor in the Community College System, 7/1/87 through 6/30/88.
Kerley, A. James, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Love, Ronna L., Instructor in the Community College System, 7/1/87 through 6/30/88.
Newman, Karen P., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Porter, Kathleen B., Instructor in the Community College System, 7/1/87 through 6/30/88.
Puryear, Barbara, Instructor in the Community College System, 7/1/87 through 6/30/88.
Shubiak, Bonnie J., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Simms, Helen L., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Smith, LeeClair E., Instructor in the Community College System, 7/1/87 through 6/30/88.
Thomas, Linda J., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Tomaro, Malinda S., Instructor in the Community College System, 7/1/87 through 6/30/88.
West, Marlana K., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Maysville Community College

Abney, Darrell H., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Appelman, Mollie S., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Booth, Beverly M., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Duffy, Michael R., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Houser, Jean P., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Kilgore, Tara C., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Smith, Sharon, Instructor in the Community College System, 7/1/87 through 6/30/88.
Wylie, Jeff B., Instructor in the Community College System, 7/1/87 through 6/30/88.

Owensboro Community College

Barrett, Lana S., Instructor in the Community College System, 7/1/87 through 6/30/88.
Carden, Stephen D., Instructor in the Community College System, 7/1/87 through 6/30/88.
Davis, Frances A., Librarian IV in the Community College System, 7/1/87 through 6/30/88.
Dick, Timothy T., Instructor in the Community College System, 7/1/87 through 6/30/88.
Flynn, Philip K., Instructor in the Community College System, 7/1/87 through 6/30/88.
Hiten, Mary Jean, Instructor in the Community College System, 7/1/87 through 6/30/88.
Lake, Sara B., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Laughlin, Jody, Instructor in the Community College System, 7/1/87 through 6/30/88.
Marroquin, Fernando, Instructor in the Community College System, 7/1/87 through 6/30/88.
Mayes, Joan D., Instructor in the Community College System, 7/1/87 through 6/30/88.
McDonough, Greta J., Instructor in the Community College System, 7/1/87 through 6/30/88.
Runyon, Carl. R., Instructor in the Community College System, 7/1/87 through 6/30/88.
West, William B., Instructor in the Community College System, 7/1/87 through 6/30/88.
Yazvac, Joseph, Instructor in the Community College System, 7/1/87 through 6/30/88.

Paducah Community College

Blagg, Jacqueline, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Freeman, Susan E., Instructor in the Community College System, 7/1/87 through 6/30/88.
Harris, Peggy, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Heflin, Connie, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Houston, Jonia Lisa, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Kepner, Mary K., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Lengsfeld, Diane M., Instructor in the Community College System, 7/1/87 through 6/30/88.
Mehdi, Tony, Instructor in the Community College System, 7/1/87 through 6/30/88.
Payne, Tena B., Instructor in the Community College System, 7/1/87 through 6/30/88.
Ridgeway, Gail C., Instructor in the Community College System, 7/1/87 through 6/30/88.

Prestonsburg Community College

Adams, Alice B., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Aminilari, Mansoor, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Chandler, Robert E., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Graves, William H., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Hernandez, Teresa, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Holler, Nancy L., Instructor in the Community College System, 7/1/87 through 6/30/88.
Madden, Darrell E., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Matijasic, Thomas D., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Milon, Theresa A., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Mulcahy, Rita M., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Perry, Melissa L., Instructor in the Community College System, 3/10/87 through 5/31/87.
Rozovich, Jennifer J., Instructor in the Community College System, 7/1/87 through 6/30/88.
Saffari, Hassan, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Sturgill, Monnette N., Instructor in the Community College System, 7/1/87 through 6/30/88.

Somerset Community College

Armstrong, Anthony, Instructor in the Community College System, 7/1/87 through 6/30/88.

Cleberg, Steven, Instructor in the Community College System, 7/1/87 through 6/30/88.
Crabtree, Gloria L., Instructor in the Community College System, 7/1/87 through 6/30/88.
Cronan, Cecil Ernest, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
King, Betty, Instructor in the Community College System, 7/1/87 through 6/30/88.
King, Kenneth, Instructor in the Community College System, 7/1/87 through 6/30/88.
Powell, Nancy, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Saeed, Najam, Instructor in the Community College System, 7/1/87 through 6/30/88.
Tiwari, Braj, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Wahlman, Randy, C., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Southeast Community College

Harding, George E., Instructor in the Community College System, 7/1/87 through 6/30/88.
Hogue, J. Scott, Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Hurd, Denise R., Instructor in the Community College System, 7/1/87 through 6/30/88.
Martin, JoAnn B., Instructor in the Community College System, 7/1/87 through 6/30/88.
Meade, Eugene, Instructor in the Community College System, 7/1/87 through 6/30/88.
Robinson, Carl C., Instructor in the Community College System, 7/1/87 through 6/30/88.
Sain, Katherine P., Instructor in the Community College System, 7/1/87 through 6/30/88.
Sampson, Marlon R., Instructor in the Community College System, 7/1/87 through 6/30/88.
Smith, Max, Instructor in the Community College System, 7/1/87 through 6/30/88.
Tolliver, Delmar S., Instructor in the Community College System, 7/1/87 through 6/30/88.
Waddell, Janet, Instructor in the Community College System, 7/1/87 through 6/30/88.

D. PROMOTIONS WITHOUT TENURE

Ashland Community College

May, Rebekah D., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Shytle, Louise C., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Elizabethtown Community College

Brangers, Peggy, from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Finney, Gail Ann, from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Kakolesha, Nyanguila, from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Robinson, Charlene G., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Routt, Randell G., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Hazard Community College

Cook, Alicia G., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Good, Janet M., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Osborne, Anne M., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Potter, Tammy L., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Henderson Community College

Donahue, Victoria P., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Duffy, Sharon K., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Thurman, Michael E., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Walters, Michael E., from Librarian IV in the Community College System to Librarian III in the Community College System, 7/1/87 through 6/30/88.

Hopkinsville Community College

VonLanken, George D., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Jefferson Community College

Casper, Martin A., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Griswold, Rebecca A., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Hardwick, Vicki G., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Schlene, Vickie J., from Librarian IV in the Community College System to Librarian III in the Community College System, 7/1/87 through 6/30/88.
Shively, Sue A., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Lexington Community College

DeMers, Freda S., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Embry, Nolen U., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Madisonville Community College

Jewell, Gregory W., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Stone, Forest E., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Maysville Community College

Doster, Steven J., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Thomas, Stephen R., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Owensboro Community College

Moman, Richard V., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Paducah Community College

Cartwright, Christopher, from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Downs, Lisa, from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Taylor, Susan D., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Prestonsburg Community College

Slone, Kenneth R., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Stewart, Kathy C., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Tabariasl, Hamid, from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Somerset Community College

Cornett, Richard, from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Southeast Community College

Bishop, Yvonne G., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.
Wilson, Odell, from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

D. TERMINAL REAPPOINTMENTS

Elizabethtown Community College

Dawson, Joe D., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Jefferson Community College

Ingram, John R., Instructor in the Community College System, 7/1/87 through 6/30/88.

Lexington Community College

Oliver, Randall L., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

Owensboro Community College

Moman, Richard V., Assistant Professor in the Community College System, 7/1/87 through 6/30/88.

E. LEAVES OF ABSENCE

Hopkinsville Community College

Frising, Marjanna, Librarian II in the Community College System (with tenure), extension of sick leave with pay, 6/1/87 through 8/31/87.

Prestonsburg Community College

Horn, Vicky C., Associate Professor in the Community College System (with tenure), Leave of Absence without pay, 7/1/87 through 6/30/88.
Rice, Teresa H., Assistant Professor in the Community College System, Leave of Absence without pay, 7/1/87 through 6/30/88.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Arts and Sciences

Bialecki, Bernard, Assistant Professor, Mathematics, 7/1/87 through 6/30/89.
Dathorne, Oscar Ronald, Professor (with tenure), English, effective 8/16/87.
Lemons, Gerald Wayne, Professor (without tenure), and Lt. Colonel, Military Science, 6/1/87 through 6/1/91.
Roselle, David P., Professor (with tenure), Mathematics, effective 7/1/87.
Sullins, Robert T., Assistant Professor, Chemistry, 8/16/87 through 6/30/89.

College of Communications

Boyd, Douglas A., Professor (with tenure), Communication, and Professor (without tenure), Telecommunications, effective 7/1/87.
Moore, Roy L., Associate Professor (with tenure), Journalism, effective 7/1/87.

College of Engineering

Hejase, Hassan Abdel-Nasser, Assistant Professor, Electrical Engineering, 6/1/87 through 6/30/89.
Todd, Lee T., Jr., Adjunct Associate Professor, Electrical Engineering, 4/15/87 through 6/30/90.

Graduate School

Baker, Jeffrey D., Associate Professor (with tenure)*, Patterson School of Diplomacy, effective 8/16/87.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Fiedler, Theodore, Associate Professor (with tenure), German, and Chairman, German, reappointed Chairman, German, 7/1/87 through 6/30/91.
Kiernan, Kevin S., Professor (with tenure), English, and Acting Chairman, English, appointed Chairman, English, 7/1/87 through 6/30/91.

*Special Title Series

College of Business and Economics

Donnelly, James H., Professor (with tenure), Marketing, appointed Chairman, Marketing, 7/1/87 through 6/30/90.

College of Education

Van Meter, Eddy J., Professor (with tenure), Administration and Supervision, appointed Chairman, Administration and Supervision, 7/1/87 through 6/30/91.

College of Home Economics

Rothgeb, Terry, Associate Professor (with tenure), Human Environment: Design and Textiles, and Chairman, Human Environment: Design and Textiles, reappointed Chairman, Human Environment: Design and Textiles, 7/1/87 through 6/30/93.

C. REAPPOINTMENTS

College of Agriculture

Murphy, William E., Associate Extension Professor (without tenure), Agricultural Engineering, 7/1/87 through 6/30/88.

College of Communications

Dick, David B., Associate Professor (without tenure), Journalism, 7/1/87 through 6/30/88.

D. PROMOTIONS

College of Agriculture

Burris, Walter Roy, from Associate Extension Professor (with tenure), Animal Sciences, to Extension Professor (with tenure), Animal Sciences, effective 7/1/87.

Cervelli, Janice Ann, from Assistant Extension Professor (without tenure), Horticulture and Landscape Architecture, to Associate Extension Professor (with tenure), Horticulture and Landscape Architecture, effective 7/1/87.

Hicks, Clair L., from Associate Professor (with tenure), Animal Sciences, to Professor (with tenure), Animal Sciences, effective 7/1/87.

Hill, Deborah B., from Assistant Extension Professor (without tenure), Forestry, to Associate Extension Professor (with tenure), Forestry, effective 7/1/87.

Nichols, Carla G., from Assistant Extension Professor (without tenure), Animal Sciences, to Associate Extension Professor (with tenure), Animal Sciences, effective 7/1/87.

Olson, Kenneth E., from Associate Extension Professor (with tenure), Animal Sciences, to Extension Professor (with tenure), Animal Sciences, effective 7/1/87.

Parker, Gary R., from Associate Extension Professor (with tenure), Animal Sciences, to Extension Professor (with tenure), Animal Sciences, effective 7/1/87.

Pfeiffer, Todd W., from Assistant Professor (without tenure), Agronomy, to Associate Professor (with tenure), Agronomy, effective 7/1/87.

Smith, Patty Rai, from Assistant Extension Professor (without tenure), Home Economics Extension, to Associate Extension Professor (with tenure), Home Economics Extension, effective 7/1/87.

Stahly, Tim S., from Associate Professor (with tenure), Animal Sciences, to Professor (with tenure), Animal Sciences, effective 7/1/87.

Swanson, Louis E., Jr., from Assistant Professor (without tenure), Sociology, and Assistant Professor (without tenure), Sociology, College of Arts and Sciences, to Associate Professor (with tenure), Sociology, and Associate Professor (without tenure), Sociology, College of Arts and Sciences, effective 7/1/87.

Van Sanford, David A., from Assistant Professor (without tenure), Agronomy, to Associate Professor (with tenure), Agronomy, effective 7/1/87.

Witt, Mary L., from Associate Extension Professor (with tenure), Horticulture and Landscape Architecture, to Extension Professor (with tenure), Horticulture and Landscape Architecture, effective 7/1/87.

College of Arts and Sciences

Blee, Kathleen M., from Assistant Professor (without tenure), Sociology, to Associate Professor (with tenure), Sociology, effective 7/1/87.

Ettensohn, Frank B., from Associate Professor (with tenure), Geological Sciences, to Professor (with tenure), Geological Sciences, effective 7/1/87.

Hougland, James G., from Associate Professor (with tenure), Sociology, to Professor (with tenure), Sociology, effective 7/1/87.

Kovash, Michael A., from Assistant Professor (without tenure), Physics and Astronomy, to Associate Professor (with tenure), Physics and Astronomy, effective 7/1/87.

Pattengill, Merle D., from Associate Professor (with tenure), Chemistry, to Professor (with tenure), Chemistry, effective 7/1/87.

Yanarella, Ernest J., from Associate Professor (with tenure), Political Science, to Professor (with tenure), Political Science, effective 7/1/87.

College of Business and Economics

Grimes, Andrew J., from Associate Professor (with tenure), Management, to Professor (with tenure), Management, effective 7/1/87.

College of Communications

Sypher, Beverly D., from Assistant Professor (without tenure), Communication, to Associate Professor (with tenure), Communication, effective 7/1/87.

College of Education

Guskey, Thomas R., from Associate Professor (with tenure), Educational Policy Studies and Evaluation, to Professor (with tenure), Educational Policy Studies and Evaluation, effective 7/1/87.

College of Engineering

Harik, Issam E., from Assistant Professor (without tenure), Civil Engineering, to Associate Professor (with tenure), Civil Engineering, effective 7/1/87.

Lineberry, G. T., from Assistant Professor (without tenure), Mining Engineering, to Associate Professor (with tenure), Mining Engineering, effective 7/1/87.

Lu, Wei-yang, from Assistant Professor (without tenure), Engineering Mechanics, to Associate Professor (with tenure), Engineering Mechanics, effective 7/1/87.

E. RETIREMENTS

College of Agriculture

Perry, Helen, EFN Assistant, Cooperative Extension Service, after 18.5 consecutive years of service, under Section II.B of AR II-1.6-1, effective 6/1/87.

College of Arts and Sciences

Wiseman, Ralph F., Professor (with tenure), Biological Sciences, after 31 consecutive years of service, under Section II.B of AR II-1.6-1, effective 6/30/87.

*Special Title Series

College of Communications

Murphy, Robert D., Acting Dean, and Professor (with tenure),
Communication, after 22 consecutive years of service, under
Section II.B of AR II-1.6-1, effective 6/30/87.

College of Education

Gardner, Jess L., Associate Professor (with tenure)*, Health,
Physical Education, and Recreation, after 31 consecutive years
of service, under Section II.B of AR II-1.6-1, effective
6/30/87.

Vice Chancellor for Academic Affairs

Stofer, Richard T., Admissions Officer III, Undergraduate
Admissions, after 18.5 consecutive years of service, under
Section II.B of AR II-1.6-1, effective 8/31/87.

Vice Chancellor for Administration

Blakeman, Robert W., Director, Auxiliary Services, after 31
consecutive years of service, under Section II.B of AR
II-1.6-1, effective 6/30/87.
Jackson, Samuel J., Custodial Worker I, Services, Physical Plant
Division, after 16 consecutive years of service, under Section
II.B of AR II-1.6-1, effective 8/3/87.

F. EARLY RETIREMENTS

College of Agriculture

Clark, Dorothy, County Extension Clerk, Cooperative Extension
Service, after 18.5 consecutive years of service, under Section
II.A.1(b) of AR II-1.6-1, effective 8/1/87.

College of Arts and Sciences

Randall, Robert W., Associate Professor (with tenure), History,
after 16 consecutive years of service, under Section II.A.1(a)
of AR II-1.6-1, effective 6/30/87.

College of Home Economics

Packett, Leonard V., Professor (with tenure), Nutrition and Food
Science, after 21.5 consecutive years of service, under Section
II.A.1(a) of AR II-1.6-1, effective 6/30/87.

*Special Title Series

Vice Chancellor for Administration

Cullison, Charles, Store Supervisor, Stores, after 20.5 consecutive years of service, under Section II.A.1(b) of AR II-1.6-1, effective 7/31/87.
Holman, Rose L., Food Services Worker I, Auxiliary Services, Donovan Cafeteria, after 20 consecutive years of service, under Section II.A.1(a) of AR II-1.6-1, effective 6/4/87.
Trudell, Nora Mae, Telephone Operator, Business Services, Communications and Information Systems, after 17 consecutive years of service, under Section II.A.1(b) of AR II-1.6-1, effective 7/31/87.
Vanhook, Donald V., Painter, Physical Plant Division, Paint Shop, after 17 consecutive years of service, under Section II.A.1(b) of AR II-1.6-1, effective 7/10/87.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Arts and Sciences

Appling, Jeffrey R., Assistant Professor, Chemistry, 8/16/87 through 6/30/89.
Eldred, Janet M., Assistant Professor, English, 8/16/87 through 6/30/88.
Ferrara, Roberta Ann, Assistant Professor, Psychology, 8/16/87 through 6/30/88.
Hay, Melba Porter, Adjunct Assistant Professor, History, 7/1/87 through 6/30/89.
Mina, John Lewis, Visiting Assistant Professor, Russian and Eastern Studies, 8/16/87 through 5/31/88.
Ross, Robert T., Assistant Professor, Psychology, 8/16/87 through 6/30/88.

College of Business and Economics

Walker, Katharina E., Instructor, Management, 1/1/88 through 6/30/88.

College of Communications

Shear, Elizabeth B., Instructor, Journalism, 8/16/87 through 6/30/88.

Graduate School

Black, Jeffrey A., Assistant Research Professor, Toxicology, 5/16/87 through 5/15/90.

College of Library and Information Science

Hannah, Stan, Assistant Professor, 8/15/87 through 6/30/88.
Twaddle, Dan R., Visiting Assistant Professor, 8/15/87 through
6/30/88.

College of Social Work

Acra, Paul Douglas, Assistant Professor (part-time)*, 8/16/87
through 5/15/88.
Collins, Lucky Jones, Assistant Professor (part-time)*, 8/16/87
through 5/15/88.
Middendorf, Karen L., Adjunct Assistant Professor, 8/16/87 through
5/15/88.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Johnson, David C., Professor (with tenure), Mathematics, appointed
Acting Associate Dean for Basic Studies, 7/1/87 through
12/31/87.

College of Communications

Dick, David B., Associate Professor (without tenure)*, Journalism,
appointed Acting Director, Journalism, 7/1/87 through 6/30/88.

College of Engineering

Gesund, Hans, Professor (with tenure), Civil Engineering, appointed
Acting Chairman, Civil Engineering, 7/1/87 through 6/30/88.

College of Fine Arts

Carpenter, Dennis W., Associate Professor (with tenure), Art,
appointed Acting Chairman, Art, 7/1/87 through 12/31/87.

C. REAPPOINTMENTS

College of Agriculture

Aaron, Debra K., Assistant Professor, Animal Sciences, 7/1/87
through 6/30/88.
Archbold, Douglas D., Assistant Professor, Horticulture and
Landscape Architecture, 7/1/87 through 6/30/88.
Burmeister, Larry L., Assistant Professor, Sociology, 7/1/87
through 6/30/88.
Fitzgerald, Barry P., Assistant Professor, Veterinary Science,
7/1/87 through 6/30/88.

*Special Title Series

Gates, Richard S., Assistant Professor, Agricultural Engineering, 7/1/87 through 6/30/88.
Geneve, Robert L., Assistant Professor, Horticulture and Landscape Architecture, 7/1/87 through 6/30/88.
Hansen, Gary L., Assistant Extension Professor, Sociology, 7/1/87 through 6/30/88.
Haynes, Kenneth F., Assistant Professor, Entomology, 7/1/87 through 6/30/88.
Hershman, Donald E., Assistant Extension Professor, Plant Pathology, 5/1/87 through 4/30/88.
Houtz, Robert L., Assistant Professor, Horticulture and Landscape Architecture, 7/1/87 through 6/30/88.
Ilvento, Thomas W., Assistant Extension Professor, Sociology, 7/1/87 through 6/30/88.
Jackson, James A., Assistant Professor, Animal Sciences, 7/1/87 through 6/30/88.
Jones, Davy, Assistant Professor, Entomology, 7/1/87 through 6/30/88.
Jones, Grace M., Assistant Research Professor, Entomology, 7/1/87 through 6/30/88.
Jones, Richard T., Assistant Extension Professor, Horticulture and Landscape Architecture, 7/1/87 through 6/30/88.
Kalisz, Paul J., Assistant Professor, Forestry, 7/1/87 through 6/30/88.
Kimmerer, Thomas W., Assistant Professor, Forestry, 7/1/87 through 6/30/88.
Means, Warrie J., Assistant Extension Professor, Animal Sciences, 7/1/87 through 6/30/88.
Nall, Martha A., Assistant Extension Professor, Home Economics Extension, 7/1/87 through 6/30/88.
Pescatore, Anthony J., Assistant Extension Professor, Animal Sciences, 7/1/87 through 6/30/88.
Petrites-Murphy, Marie B., Assistant Professor, Veterinary Science, 7/1/87 through 6/30/88.
Powell, David G., Assistant Extension Professor, Veterinary Science, 7/1/87 through 6/30/88.
Ringe, James M., Assistant Professor, Forestry, 7/1/87 through 6/30/88.
Schardl, Christopher L., Assistant Professor, Plant Pathology, 4/1/87 through 6/30/88.
Schillo, Keith K., Assistant Professor, Animal Sciences, 7/1/87 through 6/30/88.
Shearer, Scott A., Assistant Professor, Agricultural Engineering, 7/1/87 through 6/30/88.
Silvia, William J., Assistant Professor, Animal Sciences, 7/1/87 through 6/30/88.
Stegelin, Forrest E., Assistant Extension Professor, Agricultural Economics, 7/1/87 through 6/30/88.
Tew, Bernard V., Assistant Extension Professor, Agricultural Economics, 7/1/87 through 6/30/88.

Trimble, Richard L., Assistant Extension Professor, Agricultural Economics, 7/1/87 through 6/30/88.
Turner, Larry W., Assistant Extension Professor, Agricultural Engineering, 7/1/87 through 6/30/88.
Wagner, David B., Assistant Professor, Forestry, 7/1/87 through 6/30/88.
Weston, Leslie A., Assistant Professor, Horticulture and Landscape Architecture, 7/1/87 through 6/30/88.
Williamson, Lionel, Assistant Extension Professor, Agricultural Economics, 7/1/87 through 6/30/88.
Wilson, Marijo E., Assistant Professor, Entomology, 7/1/87 through 6/30/88.
Wood, Craig H., Assistant Extension Professor, Animal Sciences, 7/1/87 through 6/30/88.

College of Arts and Sciences

Baer, Ruth A., Assistant Professor, Psychology, 7/1/87 through 6/30/88.
Bardo, Michael T., Assistant Professor, Psychology, 7/1/87 through 6/30/88.
Berry, David T. R., Assistant Professor, Psychology, 7/1/87 through 6/30/88.
Cogswell, Thomas E., Assistant Professor, History, 7/1/87 through 6/30/89.
Dunston, Aingred G., Assistant Professor, History, 7/1/87 through 6/30/88.
Greene, Tom, Assistant Professor, Statistics, 7/1/87 through 6/30/89.
Harmin, David A., Assistant Professor, Physics and Astronomy, 7/1/87 through 6/30/89.
Hirschhorn, Ricky R., Assistant Professor, Biological Sciences, 7/1/87 through 6/30/89.
Hofling, Charles A., Assistant Professor, Anthropology, 7/1/87 through 6/30/89.
Holcomb, Harmon R., III, Assistant Professor, Philosophy, 7/1/87 through 6/30/89.
McHone, J. Gregory, Assistant Professor, Geological Sciences, 7/1/87 through 6/30/88.
McMillan, Della, Assistant Professor, Anthropology, 7/1/87 through 6/30/89.
Mooney, Patrick H., Assistant Professor, Sociology, 7/1/87 through 6/30/89.
O'Hara, Kieran, Assistant Professor, Geological Sciences, 7/1/87 through 6/30/89.
O'Sullivan, Chris, Assistant Professor, Psychology, 7/1/87 through 6/30/88.
Richard, John Patrick, Assistant Professor, Chemistry, 7/1/87 through 6/30/89.

Rimmer, Susan M., Assistant Professor, Geological Sciences, 7/1/87 through 6/30/89.
Rodriguez, Rene, Assistant Professor, Geological Sciences, 7/1/87 through 6/30/88.
Rosenman, Ellen B., Assistant Professor, English, 7/1/87 through 6/30/88.
Sargent, Robert C., Assistant Professor, Biological Sciences, 7/1/87 through 6/30/89.
Scancarelli, Janine, Instructor, English, 7/1/87 through 6/30/88.
Schatzki, Theodore R., Assistant Professor, Philosophy, 7/1/87 through 6/30/89.
Yount, Kristen R., Assistant Professor, Sociology, 7/1/87 through 6/30/89.

College of Business and Economics

Bokemeier, L. Charles, Assistant Professor, Accounting, 7/1/87 through 6/30/88.
Kane, Michael J., Assistant Professor, Management, 7/1/87 through 6/30/88.
Wells, Jane B., Instructor (part-time), Accounting, 8/16/87 through 5/15/88.

College of Communications

Griswold, William F., Instructor, Journalism, 7/1/87 through 6/30/88.
Levitt, Steven R., Instructor, Telecommunications, 7/1/87 through 6/30/88.
Scarfo, Laurantell, Assistant Professor*, Journalism, 7/1/87 through 6/30/88.
Shatzer, Milton J., Assistant Professor, Telecommunications, 7/1/87 through 6/30/88.
Weaver, James B., Instructor, Telecommunications, 7/1/87 through 6/30/88.

College of Education

Leeper, Linda H., Assistant Professor, Special Education, 7/1/87 through 6/30/89.
Smith, Pamela, Assistant Professor, Special Education, 7/1/87 through 6/30/88.

College of Social Work

Kilner, John F., Adjunct Assistant Professor, 8/16/87 through 5/15/88.

D. CHANGES

College of Engineering

Serrano, Sergio, from Assistant Professor (temporary), Civil Engineering, to Assistant Professor (regular title series), Civil Engineering, 7/1/87 through 6/30/88.

College of Fine Arts

Kagan-Moore, Patrick, from Assistant Professor (temporary), Theatre, to Assistant Professor (regular title series), Theatre, 7/1/87 through 6/30/88.

College of Social Work

Barone, Anthony J., from Lecturer (part-time), to Assistant Professor (part-time)*, 8/16/87 through 5/15/88.
Clark, James Joseph, from Lecturer (part-time), to Assistant Professor (part-time)*, 8/16/87 through 5/15/88.
Daly, Hugh F., Jr., from Lecturer (part-time), to Assistant Professor (part-time)*, 8/16/87 through 5/15/88.
Ervin, Anne B., from Lecturer (part-time), to Assistant Professor (part-time)*, 8/16/87 through 5/15/88.
Isenhour, Lenora H., from Lecturer (part-time), to Assistant Professor (part-time)*, 8/16/87 through 5/15/88.
Isaacs, Paul F., from Lecturer (part-time), to Assistant Professor (part-time)*, 8/16/87 through 5/15/88.
Rompf, Beth L., from Lecturer (part-time), to Assistant Professor (part-time)*, 8/16/87 through 5/15/88.
Talsky, Gerald J., from Lecturer (part-time), to Assistant Professor (part-time)*, 8/16/87 through 5/15/88.
Weir, Ivan R., from Lecturer (part-time), to Assistant Professor (part-time)*, 8/16/87 through 5/15/88.
Weir, Myra, from Lecturer (part-time), to Assistant Professor (part-time)*, 8/16/87 through 5/15/88.
White, Ronald F., from Lecturer (part-time), to Assistant Professor (part-time)*, 8/16/87 through 5/15/88.

E. TERMINAL REAPPOINTMENTS

College of Arts and Sciences

Robinson, James H., Assistant Professor, English, 7/1/87 through 6/30/88.

*Special Title Series

F. LEAVES OF ABSENCE

College of Agriculture

Bush, Lowell P., Professor (with tenure), Agronomy, Sabbatical leave with full salary, 5/18/87 through 11/13/87.
Hancock, John, County Extension Agent, Cooperative Extension Service, Leave without pay, 2/2/87 through 9/30/87.
Hendrick, Timothy M., County Extension Agent, Cooperative Extension Service, Study leave with full salary, 8/26/87 through 2/25/88, and Leave without pay, 2/26/88 through 8/31/89.
Hopkins, Deborah Ann, County Extension Agent, Cooperative Extension Service, Leave without pay, 9/1/87 through 8/31/88.
Muller, Robert N., Associate Professor (with tenure), Forestry, Sabbatical leave with full salary, 9/1/87 through 2/29/88.

College of Architecture

Hoppner, Peter J., Associate Professor (with tenure), Leave without pay, 7/1/87 through 12/31/87.

College of Arts and Sciences

Frank, Daniel H., Associate Professor (with tenure), Philosophy, Scholarly fellowship leave with partial pay, 7/1/87 through 6/30/88.

College of Business and Economics

Berger, Mark C., Associate Professor (with tenure), Economics, 7/1/87 through 6/30/88.
Haywood, Charles F., Professor (with tenure), Finance, Sabbatical leave with half salary, 7/1/87 through 6/30/88.
Webb, Michael A., Associate Professor (with tenure), Economics, Sabbatical leave with full salary, 1/1/88 through 6/30/88.

College of Communications

Sypher, Beverly D., Associate Professor (with tenure), Communication, Sabbatical leave with full salary, 7/1/87 through 12/31/87.
Sypher, Howard E., Associate Professor (with tenure), Communication, Sabbatical leave with full salary, 7/1/87 through 12/31/87.

College of Engineering

Unrug, Konstanty F., Professor (with tenure), Mining Engineering, Sabbatical leave with full salary, 7/1/87 through 12/31/87.

*Special Title Series

College of Fine Arts

Hamann, Marilyn D., Associate Professor (with tenure), Art,
Sabbatical leave with full salary, 7/1/87 through 12/31/87.
Peters, Jane S., Associate Professor (with tenure), Art, Sabbatical
leave with full salary, 1/1/88 through 6/30/88.

College of Home Economics

Chen, Linda, Professor (with tenure), Nutrition and Food Science,
Sabbatical leave with full salary, 7/1/87 through 12/31/87.
Schmelzer, Claire D., Assistant Professor*, Nutrition and Food
Science, Leave without pay, 7/1/87 through 6/30/88.
Weeks, M. O'Neal, Professor (with tenure), Family Studies,
Sabbatical leave with half salary, 7/1/87 through 6/30/88.
Wesley, Myrna, Associate Professor (with tenure)*, Nutrition and
Food Science, Sick leave with pay, 4/6/87 through 5/15/87.

College of Law

Germain, Kenneth B., Professor (with tenure), Leave without pay,
7/1/87 through 6/30/88.

College of Social Work

Ballantine, John R., Associate Professor (with tenure)*, Sabbatical
leave with half salary, 7/1/87 through 6/30/88.
Sullivan, Nathan R., Associate Professor (with tenure)*, To rescind
Sabbatical leave with half salary, 7/1/87 through 6/30/88.

*Special Title Series

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Dentistry

Nash, David A., Professor (with tenure), Pediatric Dentistry, effective 7/1/87.

College of Medicine

Strodel, William E., Professor (with tenure), Surgery, effective 4/1/87.

Ver-Bareng, Juliet, Visiting Professor, Physiology & Biophysics, 7/1/87 through 6/30/88.

College of Pharmacy

Shannon, Michael C., Associate Professor* (with tenure), effective 5/1/87.

B. ADMINISTRATIVE APPOINTMENTS

College of Medicine

Garritty, Thomas F., Professor (with tenure), Behavioral Science, named Acting Chairperson, Behavioral Science, 7/1/87 through 6/30/88.

Kraman, Stephen, Associate Professor (with tenure), Medicine, named Associate Dean, Veterans Administration Medical Center, effective 4/1/87.

Kraus, Robert F., Professor (with tenure), Psychiatry, reappointed Chairperson, Psychiatry, 6/1/87 through 5/31/93.

Rosenbaum, Harold D., Professor (with tenure), Diagnostic Radiology, reappointed Chairperson, Diagnostic Radiology, 7/1/87 through 6/30/92.

Witt, William O., Associate Professor* (with tenure) Anesthesiology, named Chairperson, Anesthesiology, 6/1/87 through 5/31/93.

College of Pharmacy

DeLuca, Patrick, Professor (with tenure) and Associate Dean, named Acting Director, Center for Pharmaceutical Science & Technology, 6/1/87 through 6/30/88.

Support Units

Tudor, Robert, Plant Division, named Director of Medical Center Physical Plant Division, effective 2/1/87.

C. JOINT APPOINTMENTS

College of Allied Health Professions

Gallacchio, Vincent, Associate Professor (without tenure), Medicine and Microbiology & Immunology, College of Medicine, 7/1/87 through 6/30/88.

D. REAPPOINTMENTS

College of Dentistry

Daugherty, Joe W., Associate Professor (part-time), Oral & Maxillofacial Surgery, 7/1/87 through 6/30/88.

College of Medicine

Feola, Jose, Associate Research Professor, Radiation Medicine, 7/1/87 through 6/30/88.

Saykaly, Ronald J. Jr., Associate Clinical Professor (part-time), Medicine, 7/1/87 through 6/30/88.

E. TENURE

College of Medicine

Ryo, U. Yun, from Professor (without tenure), Radiation Medicine, to Professor (with tenure), Radiation Medicine, effective 10/1/87.

F. PROMOTIONS

College of Allied Health Professions

Bruckner, Geza, from Assistant Professor (without tenure), Clinical Nutrition, to Associate Professor (with tenure), Clinical Nutrition, effective 7/1/87.

College of Dentistry

Spohn, Eric E., from Associate Professor* (with tenure), Community Dentistry, joint as Associate Professor* (without tenure), Allied Health Education & Research, College of Allied Health Professions, to Professor* (with tenure), Community Dentistry, joint as Associate Professor* (without tenure), Allied Health Education & Research, College of Allied Health Professions, effective 7/1/87.

*Special Title Series

College of Medicine

Jackson, Brian A., from Assistant Professor (without tenure), Physiology & Biophysics, to Associate Professor (with tenure), Physiology & Biophysics, effective 7/1/87.

College of Pharmacy

Gillespie, Mark N., from Assistant Professor (without tenure), to Associate Professor (with tenure), effective 7/1/87.

G. CHANGES

College of Dentistry

Lutz, Bernard, from Associate Professor (part-time), Restorative Dentistry, joint as Associate Professor (part-time), Endodontics, to Associate Professor (part-time), Endodontics, 7/1/87 through 6/30/88.

College of Medicine

Pulito, Andrew R., from Associate Clinical Professor (part-time), Surgery, to Associate Professor* (with tenure), Surgery, effective 7/1/87.

H. CORRECTION IN MINUTES

College of Medicine

Pavlik, Edward, Associate Research Professor (without tenure), Obstetrics & Gynecology, 7/1/87 through 6/30/88. Note: To correct rank from Assistant Research Professor to Associate Research Professor (without tenure), as reported in May 5, 1987 Minutes.

College of Pharmacy

Hussain, Anwar, Professor (with tenure), Sabbatical leave at half-salary, 8/1/86 through 5/3/87. Note: To correct sabbatical leave dates from 8/1/86 through 5/31/87 to 8/1/86 through 5/3/87 as reported in June 25, 1986 Minutes.

I. EARLY RETIREMENTS

College of Dentistry

Branaman, Suella, DAU Dental Assistant, Sr., Dental Clinics, after 18.0 consecutive years of service, "Under AR II-1.6-1 Section II.A.1(b)", effective 8/14/87.

*Special Title Series

College of Medicine

Straus, Robert, Professor (with tenure), Behavioral Science, after 31.0 consecutive years of service, "Under AR II-1.6-1 Section II.A.2(b)", effective 7/1/87.

Support Units

Kentz, Bill G., Maintenance Mechanic, 1st Class, Physical Plant Division, after 23.0 consecutive years of service, "Under AR II-1.6-1 Section II.A.2(b)", effective 8/7/87.

University Hospital

Cochran, Charles C., Medical Supply Aide, Pharmacy Central Supply, after 18.0 consecutive years of service, "Under AR II-1.6-1 Section II.A.1(b)", effective 6/29/87.

J. POST-RETIREMENT APPOINTMENTS

College of Medicine

Sandifer, Myron G., Professor (part-time), Psychiatry, 5/1/87 through 6/30/88.

Straus, Robert, Professor (part-time), Behavioral Science, 7/1/87 through 6/30/88.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Ridings, Herbert D., Assistant Professor*, Physician Assistant Studies, 7/1/87 through 6/30/88.

College of Dentistry

Anderson, Donald T., Clinical Instructor (voluntary), Community Dentistry, 6/1/87 through 6/30/88.

Arnold, Joy, Clinical Instructor (voluntary), Community Dentistry, 5/1/87 through 6/30/88.

Ballard, Billy C., Clinical Instructor (voluntary), Community Dentistry, 5/1/87 through 6/30/88.

Burch, Bernard E., Clinical Instructor (voluntary), Community Dentistry, 5/1/87 through 6/30/88.

Caskey, Paula, Clinical Instructor (voluntary), Community Dentistry, 5/15/87 through 6/30/88.

Casteel, Lewis, Clinical Instructor (voluntary), Community Dentistry, 5/15/87 through 6/30/88.

Caudill, William A., Clinical Instructor (voluntary), Community Dentistry, 5/15/87 through 6/30/88.

*Special Title Series

Clemmons, Kevin, Clinical Instructor (voluntary), Community Dentistry, 5/1/87 through 6/30/88.
Cowan, Richard E., Clinical Instructor (voluntary), Community Dentistry, 5/1/87 through 6/30/88.
Embry, John, Clinical Instructor (voluntary), Community Dentistry, 6/1/87 through 6/30/88.
Greer, James, Clinical Instructor (voluntary), Community Dentistry, 6/1/87 through 6/30/88.
Greer, Russell P., Clinical Instructor (voluntary), Community Dentistry, 6/1/87 through 6/30/88.
Hicks, Madeline, Clinical Instructor (voluntary), Community Dentistry, 4/1/87 through 6/30/88.
Jackson, Eli O., Clinical Instructor (voluntary), Community Dentistry, 5/1/87 through 6/30/88.
Kovarik, Robert E., Assistant Professor (part-time), Restorative Dentistry, 3/1/87 through 6/30/88.
Meador, Steven M., Clinical Instructor (voluntary), Community Dentistry, 5/1/87 through 6/30/88.
Moll, M. Charles, Clinical Instructor (voluntary), Community Dentistry, 5/1/87 through 6/30/88.
Moore, Andrew, Clinical Instructor (voluntary), Community Dentistry, 5/15/87 through 6/30/88.
Moore, Stuart, Clinical Instructor (voluntary), Community Dentistry, 5/15/87 through 6/30/88.
Oster, Allen, Clinical Instructor (voluntary), Community Dentistry, 6/1/87 through 6/30/88.
Pennington, Robin Lynn, Clinical Instructor (voluntary), Community Dentistry, 5/1/87 through 6/30/88.
Perkins, T. Scott, Clinical Instructor (voluntary), Community Dentistry, 5/1/87 through 6/30/88.
Roberts, Howard W., Clinical Instructor (voluntary), Community Dentistry, 5/1/87 through 6/30/88.
Watkins, Daniel R., Clinical Instructor (voluntary), Community Dentistry, 5/1/87 through 6/30/88.
Yozwiak, Raymond A., Clinical Instructor (voluntary), Community Dentistry, 5/1/87 through 6/30/88.

College of Medicine

Chambliss, Robert B., Assistant Clinical Professor (voluntary), Family Practice, 5/1/87 through 6/30/88.
Harrison, Alvin R., Clinical Instructor (voluntary), Obstetrics & Gynecology, 5/1/87 through 6/30/88.
Holladay, Frank P., Clinical Instructor (part-time), Surgery, 5/1/87 through 6/30/88.
Hueston, William J., Assistant Clinical Professor (voluntary), Family Practice, 5/1/87 through 6/30/88.
Kearl, Gary W., Assistant Professor*, Family Practice, 7/1/87 through 6/30/88.
Levine, Gary I., Assistant Professor*, Family Practice, 7/1/87 through 6/30/88.
Moore, J. Michael, Assistant Clinical Professor (voluntary), Surgery, 5/1/87 through 6/30/88.

*Special Title Series

Moyen, Richard A., Assistant Clinical Professor (voluntary),
Family Practice, 5/1/87 through 6/30/88.
Owens, Dennis L., Clinical Instructor (voluntary),
Obstetrics & Gynecology, 5/1/87 through 6/30/88.
Peters, Michael F., Assistant Clinical Professor
(voluntary), Anesthesiology, 4/1/87 through 6/30/88.
Reband, Pamela B., Assistant Clinical Professor (voluntary),
Anesthesiology, 4/1/87 through 6/30/88.
Saha, Siby P., Assistant Clinical Professor (voluntary),
Surgery, 5/1/87 through 6/30/88.
Shelling, Judith G., Assistant Professor, Biochemistry,
6/1/87 through 6/30/88.

College of Nursing

Atkins, Captain Marsha, Clinical Instructor (voluntary),
5/1/87 through 6/30/88.
Eitel, Martin O., Clinical Instructor (voluntary), 5/1/87
through 6/30/88.

B. JOINT APPOINTMENTS

College of Dentistry

Feck, Anthony S., Assistant Professor (part-time),
Community Dentistry, 6/1/87 through 6/30/88.

College of Medicine

Nichols, Michael R., Assistant Professor (Adjunct Series),
Behavioral Science, 7/1/87 through 6/30/88.

C. REAPPOINTMENTS

College of Allied Health Professions

Lyons, Lynne, Instructor (Adjunct Series), Medical Tech-
nology, 7/1/87 through 6/30/88.
Overman, Sue, Clinical Instructor (Adjunct Series), Medical
Technology, 7/1/87 through 6/30/88.
Rapp, Doris A., Assistant Professor (part-time), Physician
Assistant Studies, 7/1/87 through 6/30/88.

College of Dentistry

Daniel, James E., Clinical Instructor (voluntary), Community
Dentistry, 7/1/87 through 6/30/88.
Forbess, Jackie, Assistant Professor (part-time), Pediatric
Dentistry, joint as Assistant Professor (part-time),
Community Dentistry, 7/1/87 through 6/30/88.
Gray, John I., Assistant Professor (part-time), Oral &
Maxillofacial Surgery, 7/1/87 through 6/30/88.
John, Winfield C. III, Clinical Instructor (voluntary),
Community Dentistry, 7/1/87 through 6/30/88.

*Special Title Series

Morgan, William, Assistant Professor (part-time),
Periodontics, 7/1/87 through 6/30/88.
Robinson, Michael L., Instructor (part-time), Oral &
Maxillofacial Surgery, 7/1/87 through 6/30/88.
Rodriguez, Carla M., Assistant Clinical Professor
(voluntary), Restorative Dentistry, 7/1/87 through
6/30/88.
Scharer, Janet L., Assistant Research Professor, Community
Dentistry, 7/1/87 through 6/30/88.
Smith, Kenneth J., Assistant Professor (part-time), Oral &
Maxillofacial Surgery, joint as Assistant Professor
(part-time), Community Dentistry, 7/1/87 through
6/30/88.
Vose, Charles, Assistant Professor (part-time), Restorative
Dentistry, 7/1/87 through 6/30/88.

College of Medicine

Chang, Jennie Chyan Chuu, Assistant Research Professor,
Microbiology & Immunology, 7/1/87 through 6/30/88.
Cross, Richard J., Assistant Research Professor,
Microbiology & Immunology, 7/1/87 through 6/30/88.
Quandt, Sara A., Assistant Professor, Behavioral Science,
7/1/87 through 6/30/88.
Schmitt, Frederick A., Assistant Research Professor,
Neurology, 7/1/87 through 6/30/88.
Sparks, David L., Assistant Research Professor, Neurology,
7/1/87 through 6/30/88.

College of Nursing

Brown, Sandra G., Instructor (part-time) (temporary), 7/1/87
through 6/30/88.
Salzer, Linda M., Assistant Professor (part-time), 7/1/87
through 6/30/88.
Hall, Lynne A., Assistant Professor, Behavioral Science,
7/1/87 through 6/30/88.

College of Pharmacy

Bouvette, Ralph, Assistant Professor, 7/1/87 through
6/30/88.

D. CHANGES

College of Dentistry

Elvidge, Kevin L., from Assistant Clinical Professor
(voluntary), Prosthodontics, to Assistant Professor
(part-time), Prosthodontics, 7/1/87 through 6/30/88.
Takacs, Patricia, from Assistant Professor (part-time),
Periodontics, to Clinical Instructor (voluntary),
Community Dentistry, 5/15/87 through 6/30/88.

College of Nursing

Berry, Ruth, from Assistant Professor (part-time), to Assistant Professor*, 3/1/87 through 6/30/88.
Cooper, Mary B., from Instructor (temporary), to Instructor (part-time) (temporary), 7/1/87 through 6/30/88.
Lavery, Barbara S., from Assistant Clinical Professor (voluntary), to Assistant Professor (part-time), 5/1/87 through 6/30/87.

Support Units

Theirl, Edwina B., from Librarian IV (temporary), Medical Center Library, to Librarian IV, Medical Center Library, 7/1/87 through 6/30/88.

E. LEAVES OF ABSENCE

College of Allied Health Professions

Berry, David E., Professor* (with tenure), Community Health, Sabbatical leave at full-salary, 7/1/87 through 12/31/87.
Lee, Joel M., Associate Professor* (with tenure), Community Health, Scholarly Fellowship Leave without pay, 5/27/87 through 8/14/87.

College of Nursing

Bellack, Janis P., Associate Professor* (with tenure), Leave without pay, 7/1/87 through 6/30/88.
Sheahan, Sharon, Associate Professor* (with tenure), Sabbatical leave at full-salary, 1/1/88 through 6/30/88.
Zegeer, Louise, Professor* (with tenure), Sabbatical leave at full-salary, 8/1/87 through 1/31/88.

College of Pharmacy

Blouin, Robert A., Associate Professor (with tenure), Sabbatical leave at full-salary to be taken either 8/1/87 through 1/31/88 or 9/1/87 through 2/28/88.

Office of the President
June 23, 1987

PR 3A

Members, Board of Trustees:

Supplemental Personnel Recommendations of the President

Recommendation: (1) that approval be given to the attached appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

0197

CENTRAL ADMINISTRATION

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Controller and Treasurer

Marshall, Robert R., Assistant Controller, named Associate Controller, effective 6/1/87.

B. CHANGE

Controller and Treasurer

Austin, Dale R., from Accounting Manager IV, General Accounting Department, to Accounting Manager IV, Financial Systems Department, effective 6/1/87.

LEXINGTON CAMPUS

I. BOARD ACTION

A. CHANGE OF APPOINTMENT

College of Agriculture

Bryans, John Thomas, Professor (with tenure), Veterinary Science, named Alumni Professor, effective 7/1/87.

Office of the President
June 23, 1987

PR3B

Members, Board of Trustees:

OPERATING BUDGET FOR 1987-88

Recommendation: that the 1987-88 Operating Budget as submitted, including the authorization set forth in the letter of transmittal, be approved and ordered recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures and accepted as a basis of maximum expenditures for the year 1987-88.

Background: The 1987-88 Operating Budget of the University of Kentucky is presented for approval by the Board of Trustees. Copies of the budget document have been made available to the members of the Board.

NOTE: The Operating Budget for 1987-88 is not included in the Minutes of the meeting but is included in the official files.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

PR 4A

Members, Board of Trustees:

APPOINTMENT OF DIRECTOR, ELIZABETHTOWN COMMUNITY COLLEGE

Recommendation: that Dr. Charles E. Stebbins be named Director of Elizabethtown Community College, effective July 1, 1987.

Background: A Search Committee was appointed by the Chancellor of the Community College System in January 1987 to seek qualified candidates for the position of Director, Elizabethtown Community College. The Committee, composed of faculty representatives, a student representative and an Advisory Board representative screened applications and made its recommendation to the Chancellor. The candidates were then interviewed by the Chancellor and Dr. Stebbins was chosen to be recommended to the President and Board of Trustees.

Dr. Stebbins has a bachelor's degree from State University of New York at Buffalo, a master's degree from the University of Florida, and a doctorate from the University of Florida. He has administrative experience in community colleges in New York and Virginia and served for five years as Associate Executive Director for the Southern Association of Colleges and Schools. Since February 1986, he has been employed at Elizabethtown Community College where he has served as Associate Director for Academic Affairs.

Dr. Stebbins has had successful experience as a college faculty member and administrator. He has demonstrated his leadership ability in the University of Kentucky Community College System by excellent performance in the position of Associate Director at Elizabethtown Community College.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

PR 4B

Members, Board of Trustees:

APPOINTMENT OF DIRECTOR, ASHLAND COMMUNITY COLLEGE

Recommendation: that Dr. Anthony L. Newberry be named Director of Ashland Community College, effective July 1, 1987.

Background: A Search Committee was appointed by the Chancellor of the Community College System in January 1987 to seek qualified candidates for the position of Director, Ashland Community College. The Committee, composed of faculty representatives, Advisory Board representatives, and a student representative screened applications and recommended three candidates to the Chancellor. The candidates were interviewed by the Chancellor and Dr. Anthony Newberry was chosen to be recommended to the President and Board of Trustees.

Dr. Newberry has a bachelor's degree from Emory and Henry College, a master's degree from Ohio University, and a doctorate from Ohio University. He has been employed at Southeast Community College since January 1986 where he has served as Associate Director for Academic Affairs. Dr. Newberry was originally employed by the Community College System in 1976. He served as faculty member, Acting Division Chairman, and coordinated the History and Political Science Program at Jefferson Community College before transferring to Southeast in 1986.

Dr. Newberry has had successful teaching and administrative experience at Jefferson and Southeast Community Colleges. He has demonstrated his leadership ability in the University of Kentucky Community College System by excellent performance in the various positions he has held in the System since 1976.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

PR 5A

Members, Board of Trustees:

APPOINTMENT OF DEAN OF COLLEGE OF COMMUNICATIONS

Recommendation: that Douglas A. Boyd be named Dean of the College of Communications, effective July 1, 1987.

Background: Following the retirement of Dr. Herbert Dremmon, a search committee was appointed in accordance with the University's Governing Regulations, to identify and recommend qualified candidates for the position of Dean of the College of Communications. The results of this careful search and evaluation led to a recommendation from the search committee that Dr. Douglas A. Boyd be appointed as Dean of the College of Communications.

Dr. Boyd did his undergraduate work at the University of Texas at Austin receiving the B.F.A. degree. He holds the M.A. degree from the University of Maryland in the areas of radio-television-film, and business administration, and the Ph.D. degree in communication and journalism from the University of Minnesota. Since 1986 he has been a Professor of Communication and Theatre Arts at the University of Maryland, and prior to that was on the faculty of the University of Delaware.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date June 23, 1987

Office of the President
June 23, 1987

PR5B

Members, Board of Trustees:

NAMING OF UNIVERSITY ROOM

Recommendation: that the Board of Trustees approve the name the ROSEMARY POND LIBRARY for Room 301 of the Kirwan-Blanding Commons (Commons Library).

Background: Rosemary Pond, Associate Dean of Students for Residence Halls Life, will retire June 30, 1987 after twenty-one years of service to the University. Dean Pond came to the University of Kentucky in 1966 and was instrumental in the opening of the Kirwan-Blanding student residential complex. Over the years, she has worked to secure resources to develop and maintain the mini-library now available for use by the residents in this area. Her association with our residence hall system is well-known throughout the state and it is appropriate that her valuable service to the University be recognized in this way.

In accordance with University Policy, the Committee for Naming University Buildings has made its recommendation to the Chancellor of the Lexington Campus who recommends to the President and Board of Trustees that Room 301 of the Kirwan-Blanding Commons be named the ROSEMARY POND LIBRARY.

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Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

PR5C

Members, Board of Trustees:

ESTABLISHMENT OF A NEW DEPARTMENT

Recommendation: that a new Department of Decision Sciences and Information Systems be established in the College of Business and Economics.

Background: In September 1986, the College of Business and Economics proposed to split off the areas of management information systems, decision sciences, and production from the traditional areas of management in the Department of Management and form a new department. The name originally proposed for the new department met with some objections from other educational units on campus, but amending the name to the Department of Decision Sciences and Information Systems resulted in the objections being withdrawn.

The Dean of the College of Business and Economics, the Chancellor for the Lexington Campus, the University Senate Committee on Organization and Structure, and the University Senate Council have recommended approval of the establishment of this new department.

1885A

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

PR5D

Members, Board of Trustees:

BACHELOR OF SCIENCE DEGREE PROGRAM IN MATHEMATICAL SCIENCES
COLLEGE OF ARTS AND SCIENCES

Recommendation: that the Board of Trustees authorize for submission to the Council on Higher Education, a new degree program, Bachelor of Science in Mathematical Sciences, for the College of Arts and Sciences.

Background: The Department of Mathematics, College of Arts and Sciences, proposes to the University of Kentucky that a new Bachelor of Science Degree be offered. This degree program would be offered in cooperation with the Departments of Computer Science and Statistics.

There is a growing group of students at the University with a serious interest in mathematics, computer science, and statistics. They do not wish to confine themselves to a mathematics or a computer science degree, and they do not have the option of majoring in statistics, which has no undergraduate degree program. The Mathematical Sciences degree program was designed by the Departments of Mathematics, Computer Science, and Statistics to provide these students with a structured course of study of the elements of all of the mathematical sciences.

The proposed program, which has been approved by the University's Academic Councils, is consistent with the University's statewide education mission in that it is a unique program not available elsewhere in the Commonwealth. The current faculty in the Departments of Mathematics, Computer Science, and Statistics will be able to handle the demands posed by this degree program and adequate space is available. No additional funds will be needed.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

PR5E

Members, Board of Trustees:

APPOINTMENT TO UNIVERSITY OF KENTUCKY EQUINE RESEARCH FOUNDATION

Recommendation: that approval be given to the appointment of Mr. Ted B. Bates as a Trustee member on the Board of Directors of the University of Kentucky Equine Research Foundation for a term ending December 14, 1988.

Background: It is the recommendation that Mr. Ted B. Bates be appointed as a Trustee member to complete the unexpired term of Mr. Brereton C. Jones. This appointment requires approval by the Board of Trustees in accordance with the Articles of Incorporation of the University of Kentucky Equine Research Foundation, Inc. approved by the University of Kentucky Board of Trustees at the October 16, 1984 meeting.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

PR5F

Members, Board of Trustees:

APPOINTMENTS TO UNIVERSITY OF KENTUCKY/BUSINESS
PARTNERSHIP FOUNDATION

Recommendation: that approval be given to the appointment of Carl F. Pollard, W. L. Rouse, Jr., and Donald Webb to membership on the Board of Directors of the University of Kentucky/Business Partnership Foundation for terms beginning with the spring 1987 meeting and ending with the fall 1990 meeting.

Background: Mr. Pollard, Mr. Rouse, and Mr. Webb were unanimously elected to the Board of Directors of the University of Kentucky/Business Partnership Foundation. These appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky/Business Partnership Foundation approved by the Board of Trustees at its meeting on August 25, 1981.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

PR5G

Members, Board of Trustees:

APPOINTMENT OF BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that approval be given to (1) the reappointment of Dr. James F. Lafferty (faculty member), Mr. T. A. Lassetter (Trustee member), and Mr. Norman Ogden (public member) to the Board of Directors of the University of Kentucky Research Foundation for three-year terms ending in 1990, and (2) the appointment of Mr. Jerome A. Stricker (Trustee member) for an unexpired term ending in 1988.

Background: In accordance with the Bylaws, members of the Board of Directors of the University of Kentucky Research Foundation are appointed by the Board of Trustees to serve three-year terms. The Nominating Committee has recommended the reappointment of Dr. James F. Lafferty (faculty member), Mr. T. A. Lassetter (Trustee Member), and Mr. Norman Ogden (public member), and the appointment of Mr. Jerome A. Stricker (Trustee member) for the unexpired term of Mr. Thomas Bell.

Action: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

(REVISED)

Office of the President
June 23, 1987

PR 5H

Members, Board of Trustees:

APPOINTMENTS/REAPPOINTMENTS TO UNIVERSITY OF KENTUCKY
MINING ENGINEERING FOUNDATION

Recommendation: that approval be given to the appointments/reappointments of the following as members of the University of Kentucky Mining Engineering Foundation for four-year terms beginning September 1987:

Appointments

Public-At-Large Members

P. Ron Siler
R. E. Samples

Faculty Members

G. T. Lineberry
Frederick C. Trutt

Reappointments

Public-At-Large Members

Stonie Barker, Jr.
Raymond A. Bradbury
Catesby W. Clay
Robert E. Garbesi
Harry LaViers
D. J. Patton
William R. Stamler
Elmer Whitaker

Background: The Chancellor of the Lexington Campus recommended these appointments to the President, who in turn recommends them to the Board of Trustees. These recommended appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky Mining Engineering Foundation approved by the Board of Trustees at its meeting on December 14, 1982.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

PR 5I

Members, Board of Trustees:

REVISION OF THE BYLAWS AND ARTICLES OF INCORPORATION OF THE
UNIVERSITY OF KENTUCKY MINING ENGINEERING FOUNDATION

Recommendation: that the attached revisions of the Bylaws and Articles of Incorporation of the University of Kentucky Mining Engineering Foundation be approved.

Background: The revised Bylaws and Articles of Incorporation of the Mining Engineering Foundation were approved by the Foundation Board of Directors on June 17, 1987. Specific changes are in Article II, Sections 1 and 7 of the Bylaws; and Article VIII of the Articles of Incorporation.

These changes require approval by the Board of Trustees in accordance with the Articles of Incorporation of the University of Kentucky Mining Engineering Foundation which were approved by the Board of Trustees at its meeting on December 14, 1982.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

BY-LAWS
OF
UNIVERSITY OF KENTUCKY
MINING ENGINEERING FOUNDATION, INC.

ARTICLE II
BOARD OF DIRECTORS

Section 1 - Membership: The management of the affairs of the Foundation shall be vested in a Board of Directors whose membership shall consist of thirty-four (34) members. The members shall be appointed by the President of the University of Kentucky, upon recommendation of the Chancellor of the Lexington Campus, after consultation with the Chairman of the Mining Engineering Foundation Board, and with the approval of the Board of Trustees of the University of Kentucky, in accordance with Article VIII of the Articles of Incorporation of the Foundation, as follows:

- (1) Twenty-four (24) members shall be representatives of the mining industry and related industries.
- (2) Six (6) members shall be ex-officio from the University of Kentucky, to-wit, the President, the Chancellor of the Lexington Campus, the Vice Chancellor for Administration of the Lexington Campus, the Vice President for University Relations, the Chairman of the Department of Mining Engineering, and the Dean of the College of Engineering.
- (3) At least two (2) members shall be from the Board of Trustees of the University of Kentucky.
- (4) At least two (2) members shall be from the faculty of the University of Kentucky.

Section 2 - Terms of Office: Each member of the Board shall be appointed for one (1) term of four (4) years. The members may succeed themselves for staggered terms of one (1) to four (4) years, as determined by the President of the University of Kentucky, in

consultation with the Chancellor of the Lexington Campus, but members may not serve for more than two (2) successive terms.

Section 3 - Compensation: Members of the Board shall receive no compensation for their services, but they may be reimbursed for reasonable expenses incurred on behalf of the Foundation.

Section 4 - Vacancies: In the event of a vacancy on the Board, a successor shall be appointed to fill the unexpired term in the same manner in which a new director is appointed.

6/1/87

BY-LAWS
OF
UNIVERSITY OF KENTUCKY
MINING ENGINEERING FOUNDATION, INC.

ARTICLE VII
COMMITTEES

Section 1 - Appointments: The Chairman of the Board of Directors, with the approval of the Board, shall appoint, charge, and fix the terms of committee chairmen and members and, when appropriate, abolish all standing, ad hoc, and special committees necessary or desirable for the management of the affairs of the Foundation.

Section 2 - Membership: Membership on committees shall include members of the Board of Directors and may extend beyond the membership of the Board. The Chairman of the Board and the Executive Director shall serve as ex-officio members of all committees.

Section 3 - Meetings: Regular meetings shall be held at a time fixed by a majority vote of committee members. The time and place of regular meetings shall be communicated to all members. Special meetings may be called by the committee chairman, by written request of two (2) committee members, by the Executive Director, or by the Board of Directors.

Section 4 - Quorum: A simple majority of the committee membership shall constitute a quorum for the transaction of business.

Section 5 - Reporting: Minutes of the committee meetings shall be submitted to the Board of Directors, through the Chairman of the Board. At the request of the Chairman, an annual report of committee activities shall be submitted to the Board.

6/1/87

Section 6 - Executive Committee: The officers of the Board of Directors and the ex-officio members of the Board shall constitute an Executive Committee which shall conduct the business of the Board when necessary between meetings, and as directed by the Board.

Section 7 - Nominating Committee: A Nominating Committee consisting of five (5) members of the Board shall be appointed to serve for one (1) year. It shall be the responsibility of the Nominating Committee to submit the names of prospective Board members to the Chairman of the Mining Engineering Department of the College of Engineering for recommendation to, and appointment by, the President of the University with approval by the University's Board of Trustees. Names of prospective Board members submitted shall be selected from the Foundation's membership list.

Section 8 - Fund Raising Committee: A Fund Raising Committee shall be appointed consisting of as many members as the Chairman shall determine sufficient, to serve for one (1) year.

6/1/87

ARTICLES OF INCORPORATION
OF
UNIVERSITY OF KENTUCKY
MINING ENGINEERING FOUNDATION, INC.

ARTICLE VIII

The affairs of the corporation shall be regulated, managed, controlled and conducted by a Board of Directors to be appointed by the President of the University of Kentucky, upon recommendation of the Chancellor of the Lexington Campus (after consultation with the Chairman of the Mining Engineering Foundation Board), and with the approval of the Board of Trustees of the University of Kentucky. The Board shall consist of thirty-four (34) members appointed from the following categories:

- (1) Twenty-four (24) shall be representatives of the mining industry, and shall be as broadly representative of the industry as possible; after initial appointments, all nominations for membership on the Board in this category shall be made in writing to the Chancellor of the Lexington Campus.
- (2) Six (6) shall be ex-officio members from the University of Kentucky, to-wit, the President, the Chancellor of the Lexington Campus, the Vice Chancellor for Administration of the Lexington Campus, the Vice President for University Relations, the Chairman of the Department of Mining Engineering, and the Dean of the College of Engineering.
- (3) Two (2) shall be members of the Board of Trustees of the University of Kentucky.
- (4) Two (2) shall be members of the faculty of the University of Kentucky.

Each member of the Board, except for the ex-officio members, shall be appointed for one (1) term of four (4) years. The members may succeed themselves for staggered terms of one (1) to four (4) years, as

determined by the President, but the members except for those serving ex-officio may not serve for more than two (2) consecutive terms.

Office of the President
June 23, 1987

PR5J

Members, Board of Trustees:

APPROVAL OF AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY
AND UNIVERSITY OF KENTUCKY STUDENT AGENCIES, INC.

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and University of Kentucky Student Agencies, Inc. for the period of July 1, 1987, through June 30, 1988. This contract was negotiated pursuant to the Board of Trustees action of May 4, 1982, establishing a "Student Service Program."

Background: The Board action on May 4, 1982, provided that:

"In furtherance of the purpose stated above, the President is authorized to negotiate and execute a contract, subject to approval of the Board of Trustees with an organizational entity qualified to carry out a program consonant with the Board's objectives."

The Board of Trustees has previously approved contracts for the years 1983-84, 1984-85, 1985-86, and 1986-87. The attached agreement conforms to the provisions of the May 4, 1982, Board policy and is in substance the same contract as that approved for the 1986-87 year.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 23, 1987

STUDENT SERVICES AGREEMENT

between

UNIVERSITY OF KENTUCKY BOARD OF TRUSTEES

and

UNIVERSITY OF KENTUCKY STUDENT AGENCIES, INC.

June 23, 19 87

TABLE OF CONTENTS

<u>SECTION</u>	<u>PAGE</u>
1. <u>Definitions</u>	1
2. <u>Nature of Agreement</u>	2
3. <u>The Program</u>	2
3.1 Scope	2
3.2 Administration	2
3.3 Revenue	3
4. <u>Covenants of UKSA</u>	3
4.1 Non-Profit Status	3
4.2 Personnel	3
4.3 Wages	3
4.4 Prior Approval of Campus Services and Solicitation	3
4.5 Equal Opportunity	3
4.6 Availability of Records	3
4.7 Annual Audit	4
4.8 Fund Raising Efforts	4
4.9 Organization Documents	4
4.10 Merger	4
4.11 University Contracts	4
5. <u>Right to Bid on University Contracts</u>	4
6. <u>Indemnification</u>	4
7. <u>Dissolution of UKSA</u>	5
8. <u>Miscellaneous</u>	5
8.1 Entire Agreement	5
8.2 Notices	6
8.3 Headings	6
8.4 Waiver	6
8.5 Severability	7
8.6 Governing Law	7
8.7 Term	7

STUDENT SERVICES AGREEMENT

This is a Student Services Agreement (the "Agreement") between the University of Kentucky Board of Trustees (the "University") and University of Kentucky Student Agencies, Inc. ("UKSA") dated as of June 23, 1987.

Recitals

A. The University has observed the recent reduction in funds available from governmental sources for financial aid to students. Due to the need for alternative sources of financial support to enable students to finance their education, the University has adopted a policy statement that establishes a student services program which will provide both practical job experience and extra income for students at the University.

B. UKSA, a non-profit corporation which qualifies as a tax-exempt educational organization under 501 (c) (3) of the Internal Revenue Code of 1954, as amended, desires to operate a student services program that will fulfill its educational objectives.

C. The University recognizes UKSA as a "qualifying entity" as described in the policy statement adopted by the University establishing the student employment program.

D. The University and UKSA desire to enter into this Agreement, whereby UKSA will operate a student services program, in order to advance the goals set forth above.

SECTION 1

Definitions

As used in this Agreement, the following terms shall have the following meanings:

- (a) "Campus" shall mean the University campus in Lexington,

Kentucky including any dormitories, classrooms or other instructional facilities, student center, offices and any other areas over which the University has authority.

(b) "Coordinator" shall mean the full time staff member to be hired by UKSA who will act as the chief operating officer of UKSA.

(c) "Program" shall mean the student services program that was established by the University pursuant to a policy statement dated May 4, 1982.

(d) "University Contracts" shall mean any contracts for goods or services between the University and any other entity other than UKSA.

SECTION 2

Nature of Agreement

The University hereby gives UKSA full authority and the exclusive right to operate the Program and UKSA agrees to operate the Program subject to the terms and conditions set forth in this Agreement.

SECTION 3

The Program

3.1 Scope. UKSA shall develop student employment opportunities for the Program that will aid students in financing their education. These jobs shall be designed to provide goods and services to the University community and to provide education related work experience for students.

3.2 Administration. UKSA shall assume all responsibility for the Program including the payment of wages to students and the payment of all expenses incurred in the operation of the Program. UKSA shall keep all books, records, and other documents which are necessary for the proper administration of the Program, and shall make necessary filings with federal,

state, and local agencies.

3.3 Revenue. Any revenue from the operation of the Program which is in excess of wages and other operating expenses shall be used to increase the rate of wages paid to students and to explore and develop other employment opportunities. UKSA may invest available funds in short term securities from time to time at its discretion.

SECTION 4

Covenants of UKSA

4.1 Non-Profit Status. UKSA shall be organized and operated as a non-profit corporation pursuant to Chapter 273 of the Kentucky Revised Statutes at all times during the term of this Agreement.

4.2 Personnel. All officers, members, and employees of UKSA shall be University students except for the Coordinator who may or may not be a University student.

4.3 Wages. Wages paid by UKSA to its employees will be equal to or greater than Kentucky's minimum wage as set by Chapter 337 of the Kentucky Revised Statutes.

4.4 Prior Approval of Campus Services and Solicitation. UKSA shall secure prior written approval by the University Dean of Students for all services to be provided on Campus pursuant to the Program and for any solicitation on Campus.

4.5 Equal Opportunity. UKSA shall not discriminate against any student, or anyone with whom it does business, on the basis of race, color, religion, sex, national origin, age, or handicap.

4.6 Availability of Records. UKSA shall make available all of its books, records, and accounts for inspection and audit by representatives of

the University within forty-eight hours of receiving written notice by the University.

4.7 Annual Audit. UKSA shall have an annual audit to be conducted by a firm of Certified Public Accountants approved in writing by the University. An audit report and any compliance letters shall be submitted to the University.

4.8 Fund Raising Efforts. UKSA shall coordinate all of its fund raising efforts through the Vice President for University Relations.

4.9 Organizational Documents. UKSA shall not amend or otherwise alter its Articles of Incorporation or adopt any bylaw or other operating practice that would alter the character of UKSA without the prior written consent of the University.

4.10 Merger. UKSA shall not merge with any other corporation or convey any substantial portion of its assets to any other person, corporation, partnership, or other entity.

4.11 University Contracts. UKSA shall not provide any goods or services or take any action that would conflict or interfere with any University Contracts.

SECTION 5

Right to Bid on University Contracts

The University shall allow UKSA to submit bids on contracts for the furnishing of goods and services to the University.

SECTION 6

Indemnification

UKSA shall indemnify and hold the University harmless for the full amount of any loss, claim, damage, liability, or expense resulting to the

University either directly or indirectly from (a) any breach of the covenants by UKSA set forth in this Agreement, and (b) any injury to any employee during the course of his or her employment with UKSA.

SECTION 7

Dissolution of UKSA

In the event of the dissolution of UKSA pursuant to Chapter 273 of the Kentucky Revised Statutes, the assets of UKSA shall be applied and distributed as follows:

(a) First, liabilities and obligations of UKSA shall be paid and discharged, or adequate provisions shall be made therefor,

(b) Second, assets held by UKSA upon a condition which occurs by reason of the dissolution, shall be returned, transferred, or conveyed in accordance with such condition,

(c) Third, the remaining assets of UKSA shall be transferred or conveyed to the University, or its successors, to be used for the financial aid of its students if the University, or its successor, qualifies as an instrumentality of the Commonwealth of Kentucky and an organization described in Section 170(c) of the Internal Revenue Code of 1954, as amended. If the University, or its successor, does not so qualify, the remaining assets shall be distributed to some other organization or organizations that are organized exclusively for educational purposes under Section 501(c)(3) of the Internal Revenue Code of 1954, as amended.

SECTION 8

Miscellaneous

8.1 Entire Agreement. This Agreement incorporates the policy statement by the University of May 4, 1982 that established a student services

program. The Agreement constitutes the entire agreement of the parties with respect to the subject matter hereof, and supersedes all prior understandings with respect to the subject matter hereof. No change, modification, addition or termination of this Agreement shall be enforceable unless in writing and signed by the party against whom enforcement is sought.

8.2 Notices. All notices and other communications required by Section 8.1, shall be in writing and shall be deemed to have been duly given if mailed by registered mail, return receipt requested, postage pre-paid, to the addresses set forth below or to such other address as to which a party has given the other parties written notice:

(a) If to the University:

Dean of Students
513 Patterson Office Tower
Lexington, Kentucky 40506

(b) If to UKSA

Micha Anderson
Student Center Building, Room 107
Limestone Street
Lexington, Kentucky 40506

with copy to:

Mr. C. Edward Glasscock
Brown, Todd & Heyburn
1600 Citizens Plaza
Louisville, Kentucky 40202

8.3 Headings. The headings used in this Agreement have been included solely for ease of reference and shall not be considered in the interpretation or construction of this Agreement.

8.4 Waiver. No waiver of any of the provisions hereof shall be effective unless in writing and signed by the party to be charged with such waiver. No waiver shall be deemed a continuing waiver in respect of any breach or default, whether of a similar or different nature, unless expressly stated in writing.

8.5 Severability. If any provision of this Agreement or its application shall be invalid, illegal or unenforceable in any respect, the validity, legality and enforceability of all other applications of that provision and of all other provisions and applications thereof shall not in any way be effected or impaired.

8.6 Governing Law. The validity, construction and interpretation of this Agreement shall be governed in accordance with the law of the Commonwealth of Kentucky.

8.7 Term. The term of this Agreement shall be from July 1, 1987 to June 30, 1988. This Agreement may be renewed on an annual basis upon the mutual agreement in writing of UKSA and the University.

IN WITNESS WHEREOF, the parties have executed four originals of this Agreement as of the date first set forth above, but actually on the dates set forth below.

UNIVERSITY OF KENTUCKY BOARD
OF TRUSTEES

By _____

President, University of
Kentucky

Date: _____

UNIVERSITY OF KENTUCKY STUDENT
AGENCIES, Inc.

By _____

President, UK Student Agencies,
Inc..

Date: _____

Office of the President
June 23, 1987

PR6A

Members, Board of Trustees:

DEAN, COLLEGE OF DENTISTRY

Recommendation: that Emmett R. Costich, D.D.S., Ph.D., be designated as the fourth Dean of the College of Dentistry, for the period of July 1, 1986 through June 30, 1987.

Background: This designation by the Board of Trustees, while symbolic, would recognize the very proactive and positive leadership and service Dr. Costich has rendered the College and the University during this period of time. The uncertainties of the prior four years had diverted significant proportions of faculty time to non-academic matters and resulted in very low faculty morale. Dr. Costich assumed leadership responsibilities as Acting Dean, but chose to function as a regularly appointed Dean. The faculty responded in a very positive fashion to his leadership. Additionally, he made major progress in resolving concerns about the cooperative agreement and developed a very constructive relationship with the new Dean of the College of Dentistry, at the University of Louisville.

The College of Dentistry is moving forward again after a very unstable period. This designation is strongly endorsed by the faculty of the College of Dentistry, as well as other deans in the Medical Center.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

449C3

Office of the President
June 23, 1987

PR6B

Members, Board of Trustees:

APPOINTMENTS TO
THE UNIVERSITY OF KENTUCKY CENTER ON AGING FOUNDATION

Recommendation: that Mr. P. J. Baugh, Mr. William R. Black, Mr. Bill Evans and Mrs. Harry LaViers be appointed Public-at-Large members of the University of Kentucky Center on Aging Foundation, for three-year terms beginning June 1, 1987 and ending May 31, 1990.

Background: These partial appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky Center on Aging Foundation, to be considered for approval by the Board of Trustees on June 23, 1987.

Action: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

PR6C

Members, Board of Trustees:

NAMING OF UNIVERSITY BUILDING

Recommendation: that the Board of Trustees approve the name "Medical Center Annex #6" for the presently named "Old Pancake House."

Background: The designation "Old Pancake House", while descriptive, is not adequate for use as an address. The College of Medicine has several programs housed in this building at the present time, and "Medical Center Annex #6" is currently more appropriate than the current designation.

Action: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

PR6D

Members, Board of Trustees:

NEW ACADEMIC DEGREE

Recommendation: that the Board of Trustees authorize the award of the degree of Master of Science in Health Physics to those students who satisfactorily complete the requirements for that degree as approved by the University Senate.

Background: Recently the University Senate approved the merger of two Plan B Master of Science programs, Radiological Medical Physics and Radiological Health Science, into a single master's degree program in Radiation Science. This merger of degree programs also has been approved by the Council on Higher Education staff. Two Master of Science degrees will be offered under the Radiation Science program, each depending upon an approved sequence of specialized coursework plus other requirements. The degrees are the Master of Science in Radiological Medical Physics and the Master of Science in Health Physics. The Master of Science in Radiological Medical Physics is an existing degree and the requirements for it remain essentially unchanged. The Master of Science in Health Physics is a new degree which, in effect, replaces the Master of Science in Radiological Health degree.

1887A

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

PR6E

Members, Board of Trustees:

PATENT ASSIGNMENT
LEXINGTON CAMPUS AND MEDICAL CENTER

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following Letters Patent of the United States and the invention represented thereby: Thomas Tobin and Hsin H. Tai, Serial No. 008,665, to be filed on December 30, 1987.

Background: Thomas Tobin and Hsin H. Tai have invented certain improvements in RADIOIMMUNOASSAY SCREENING FOR FENTANYL AND ANALOGS. They have assigned their right to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

PR6F

Members, Board of Trustees:

PROPOSAL FOR GRADUATE DEGREE PROGRAM IN PUBLIC HEALTH

Recommendation: that the Board of Trustees authorize for submission to the Council on Higher Education, a new Graduate Program in Public Health leading to the Master of Science of Public Health Degree.

Background: The educational mission of this program is relevant to the mission of the Department of Preventive Medicine and Environmental Health, in the College of Medicine, to train resident physicians and others in preventive medicine, with a strong focus on occupational medicine, an area of great importance to industry as we move into the 21st Century. Generally, there is a paucity of training programs for physicians to become specialists in preventive medicine. There is no other training program within the Commonwealth of Kentucky to train physicians as specialists in this field. The development of such a program at the University of Kentucky, which would include the new degree program necessary for completion of such training, would offer additional opportunities on a state, regional, and even national basis to meet the training requirements for such specialists.

Federal grants have been secured for training of these specialists, specifically in the area of occupational medicine. Excellent training sites have been secured, or will be secured, at national corporations with activities in Kentucky, such as IBM, duPont and Toyota. State agencies such as the Labor Cabinet, National Resources Cabinet, Human Resources Cabinet, and others also have need for these specialists.

The proposed program is designed for those individuals already holding an MD degree, or students in medical school and training for the MD degree, those holding a professional dental degree, and for other students appropriately prepared with a health related background, including graduates in nursing, allied health, and other health profession disciplines.

It is the intention of this program to prepare students to become board-certified specialists in Preventive Medicine.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

449C2

Office of the President
June 23, 1987

PR6G

Members, Board of Trustees:

PROPOSAL FOR GRADUATE DEGREE PROGRAM IN BIOMEDICAL ENGINEERING

Recommendation: that the Board of Trustees authorize for submission to the Council on Higher Education, a new Graduate Degree Program in Biomedical Engineering, leading to the Master of Science and Ph.D. Degrees.

Background: The objective of this program is to prepare professionals competent to apply engineering principles and techniques to problems in biology, medicine and rehabilitation.

At present there is no degree-granting program in biomedical engineering offered in the state of Kentucky. Hospitals, industries and governmental agencies are forced to recruit biomedical engineering services from other states. Kentucky residents wishing to pursue a career in biomedical engineering must seek education and training outside their home state.

As the only graduate program in biomedical engineering in Kentucky, the program will be in conformity with the mission for the University of Kentucky as the principal graduate-degree-granting institution in the State's system of higher education. A program to supply engineering expertise in health-related industries and health delivery systems is consistent with the University's commitment to serve the present and future needs of the communities, state and nation in which it is located.

This collaborative effort between the Medical Center and the College of Engineering has developed facilities, staff and faculty uniquely qualified to provide an outstanding degree-granting program in biomedical engineering.

The Biomedical Engineering Program will be administered by the BIOMEDICAL ENGINEERING CENTER as a center for graduate studies under the Graduate School.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

0391C

Office of the President
June 23, 1987

PR6H

Members, Board of Trustees:

AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY AND
KENTUCKY MEDICAL SERVICES FOUNDATION

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978.

Background: The Board action on June 20, 1978 provided that:

"The President is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan."

Kentucky Medical Services Foundation, Inc. meets the "criteria" specified under Section III A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20 Board resolution and specifically satisfied the "conditions" set forth in Section III B.

The terms of the attached document are essentially the same as those in the agreement approved by the Board on June 25, 1986. The document has been updated to reflect dates in the new contract period, revise the dollar amounts due the University under Section 5, C and Section 18A. The dollar amounts have been revised to reflect the increased costs for 1987-88. Section 19 on Professional Liability Insurance has been revised to reflect the fact that the University of Kentucky Medical Center has a self-insurance program in place and no longer carries excess umbrella insurance. Kentucky Medical Services Foundation will bear 48.45% of the total cost or \$958,182. The amount for expenses attendant to the production of clinical income has been increased from \$2,237,352 to \$2,487,838.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

448C

THIS AGREEMENT made and entered into this 23rd day of June, 1987 by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as the Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. A substantial number of University's faculty in its College of Medicine have heretofore executed agreements with Foundation, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members who produce income are permitted, through the medium of the Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, in addition to its previously adopted Physicians Service Plan, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978, as amended on June 19, 1984; said action is hereby incorporated by reference as is set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978, as amended on June 19, 1984.

B. "Clinical Income" means (1) all payments for professional services rendered to or with respect to patients whether denominated as diagnosis, treatment, evaluation, or consultation and whether said payments be

characterized as fees, retainers, or otherwise, and (2) all payments whether direct or in kind, (excluding actual travel costs) for oversight or administration of, or consultation with, facilities, programs, or other operations providing care, services or evaluation relating to patients, and (3) witness fees or other payments relating to depositions or other evaluations in the capacity of an expert witness. Provided, however, that nothing contained herein shall be deemed to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice Agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center and the Foundation.

Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, as amended on June 19, 1984, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan

and, in accordance with such assignment, agrees to bill the amount of duly established charges for professional services to parties determined to be responsible for payment of such charges.

Section 5. REIMBURSEMENT OF UNIVERSITY

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. To provide for said reimbursement, Foundation agrees that it will, on or before the first working day of each month in which reimbursable expenses are due as provided hereinafter, deposit the funds necessary to make such payments in a separate account maintained by it and that funds held in the said account will be invested only in accord with the provisions of Section 18 hereof.

C. Foundation agrees to pay to University, from said account, as reimbursement for the remainder of the expenses attendant to the production of clinical income, University's actual annual costs, as determined by joint evaluation of Foundation and University, a sum estimated at Two Million Four Hundred Eighty-Seven Thousand Eight Hundred Thirty-Eight (\$2,487,838.00) Dollars, composed of \$2,487,838 as reimbursement of personnel expenses and other current expenses, in direct support of the operation of the AMS Clinic.

Payments hereunder shall be in the following manner:

1. The University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. KMSF will reimburse the University of Kentucky by the 22nd day of that month. KMSF will be

required to pay any costs over the \$2,487,838 and likewise accrue any savings that may occur.

D. In addition to the above, Foundation agrees that it will reimburse University for all "plan income", as that term is defined in paragraph II, C., 2 of the plan, paid to faculty by University.

Section 6. REIMBURSEMENT OF FOUNDATION

A. The parties recognized that University receives certain services from Foundation, for which it is due reimbursement as specified hereinbelow.

B. University agrees to pay to Foundation on behalf of members of the Department of Diagnostic Radiology for the provision of x-ray interpretation services to the University Student Health Service during the period July 1, 1987 - June 30, 1988 a sum not to exceed Fifteen Thousand (\$15,000.00) Dollars.

C. University agrees to pay to Foundation on behalf of members of the Department of Surgery, for the harvesting of transplantable kidneys from appropriate cadavers, for University Hospital, the sum of One Thousand Two Hundred (\$1,500.00) dollars for each kidney harvest. In addition the University also agrees to pay for appropriate professional fees as defined by Medicare, Part A reimbursement regulations for live donor kidney acquisitions and cadaver kidney acquisitions.

In the event Medicare reduces Hospital reimbursement for professional fees paid as outlined above, the Foundation agrees to refund said amounts.

D. University agrees to pay to Foundation on behalf of members of the Department of Neurology, for provision of EEG interpretation services to the University Student Health Service, the usual and customary fee for each such service rendered.

E. University agrees to pay to Foundation on behalf of the clinical faculty members of the College of Medicine, for provision of executive physical examinations to certain University employees identified by the University President, a fee for each examination.

F. University will make payment to Foundation monthly, on the last calendar day of each month beginning July 31, 1987, for services as described in paragraphs B, C, D, and E hereinabove.

Section 7. COST SETTLEMENTS

A. The parties recognize that the provision of medical services in the current competitive environment may at times require a joint effort on the part of the University and University Hospital and the Foundation in the formation of new delivery systems. These efforts may at times require the sharing of cost between the parties. Formulas for the sharing will be developed and mutually agreed to by the parties, which may result in payment by the University to the Foundation, or by the Foundation to the University. Examples of such joint efforts include but are not limited to:

1. Discounts to University of Kentucky employees and their dependents as part of the University of Kentucky Health Plan.

2. The development of the UK-HMO.

B. The parties also agree that data exchanges between the computer systems, regardless of mode, of the University and the Foundation will be payable at cost by the receiving party, or offset by an exchange of data deemed by both parties to be payment-in-kind.

Section 8. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, Foundation agrees that it will pay to The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (hereinafter Fund), an amount equal to three (3%) percent of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund within twenty-five (25) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. hereinabove, an additional amount equal to six (6%) percent of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes in his sole discretion.

Section 9. PARTICULAR COVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on June 19, 1978, have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the

written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants that it will not engage in the practice of medicine, nor will it solicit, administer, receive, perform or accept any gift, grant, devise, bequest, contract or other arrangement with or from any governmental unit, entity, or subdivision or any person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not merge with any other corporation or convey any substantial portion of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obligations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefore; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its successor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality

of the State of Kentucky or as an exempt organization under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended.

Section 10. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the practice agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department in which a majority of the faculty members have executed practice agreements, that new members of its faculty execute said practice agreement in form identical to said agreements heretofore executed or as the form of same may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not holding membership in the plan, nor will it permit persons not members to serve on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not a member of the plan except

for (1) termination benefits to former members as provided by action of Foundation's Board of Directors, (2) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities, (3) students and House Staff of University, (4) payment to nurses incident to their recruitment to the University Medical Center, (5) education and technical training costs of persons involved in patient care activities or (6) education and technical training costs of persons indirectly involved in patient care activities if approved on a case by case basis by the Dean of the College of Medicine or the Chancellor for the Medical Center.

D. In order to provide University of Kentucky Health Plan patients with a unified bill, Foundation is authorized to bill and collect the following items: clinic Pharmacy charges, patient deductible and non-covered items, nutrition/dietetic fees and Oral Surgery fees. The collection and billing shall be in accord with that certain contract entered into between the University and the Foundation.

E. Foundation shall assume responsibility for the costs of drugs and supplies to be used in the patient care programs in the clinics and is hereby authorized to bill, collect, and deposit in foundation accounts revenue from these non ancillary items.

F. Foundation agrees that it will strictly enforce the terms of its practice agreements, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

Section 11. PERSONNEL

The parties recognize that the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also

recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary to permit said Foundation to bill and collect professional fee income and to administer its funds in accord with the terms of the geographic full-time plan.

The Foundation is authorized to house computer equipment as may be required to communicate with its central computer and house sufficient employees in the University Medical Plaza building for the purpose of providing billing and financial information to patients of University physicians who are members of KMSF.

B. Other personnel

During the term of this contract Foundation agrees that it will not employ persons other than the employees authorized in Section 11. A. to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements

between the parties whereby University will employ personnel for such service and/or research duties with reimbursement of salary, fringe benefits and other direct costs by Foundation. Where such personnel are employed for service in

a specific department or unit, employment will not occur unless (1) the department or unit where service is to be performed has consented in writing to said appointment, (2) the Dean of the College of Medicine has approved the employment and the job description related thereto and (3) funds for the salary, fringe benefits, and other costs related to said employee are either on hand or reasonably anticipated in the departmental or unit budget.

C. Equal opportunity-affirmative action

Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age or handicap. Such action shall include, but not be limited to the following: employment, upgrading demotion or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University including the individual members thereof, officers, agents and employees of the University by reason of any action at law or equity or by reason of any administrative proceeding initiated by any person arising out of any such employment action or practice of Foundation, including the payment of attorney fees and other costs of such action or proceeding.

Section 12. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, require that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide space for the Ophthalmology Clinic, the Chambers Building, appropriate assigned space in the University Medical Plaza and the Emergency Room (exclusive of faculty offices and classroom space contained therein) and other space officially designated for the conduct of the patient care operation.

With respect to any other facilities which University may from time to time provide to Foundation, Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same.

Section 13. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of University programs, are and shall remain the property of University and that Foundation has no right or property interest therein. Provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty,

staff, and students pursuant to existing University procedures. Provided, further, that University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by University as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payors as the basis for payment for services.

Section 14. INSPECTION AND AUDIT

A. Foundation agrees that, in accord with paragraph II, B. 1, of the action of University's Board of Trustees dated June 20, 1978, as amended on June 19, 1984, its books, records and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

B. The parties agree that the University's Internal Auditors will conduct an audit of Foundation's operations and accounts for the period ending June 30, 1987 and such other audits, including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, and the terms of the departmental agreements referred to in Section 20 hereof, as may be specified by the University of Kentucky Controller and Treasurer, and that Foundation's agents and employees will cooperate to the fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants, mutually agreeable to the parties, will be employed by the Foundation to perform an independent audit of the Foundation's books, records and accounts for the year ended June 30, 1988.

C. The Parties agree that Foundation will be furnished information by University sufficient for Foundation to verify the nature and detail of expenditure of funds paid to University under the provisions of Section 5.c.1. hereinabove.

Foundation agrees that it will furnish to University the following reports.

Annually

Audited Financial Statements to include:

- Opinion of Independent Certified Public Accountants
- Balance Sheet
- Statement of Changes in Fund Balances
- Statement of Current Revenues and Expenditures
- Supplementary Schedules

Quarterly

Financial Statements to include:

- Balance Sheet
- Statement of Operations
- Gross Billings
- Collections
- Financial Allowances
- Bad Debts

Aging Trial Balance of Accounts Receivable by:

- Patient liability
- Third party payor

Reports of Delinquent Account Activity to include:

- Referrals
- Collections
- Close and returns

Section 15. DEFAULT

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

Section 16. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941 or other University funds.

Section 17. APPLICATION OF INCOME

University agrees that it will not, during the operation of this contract, cause charges for services rendered after July 1, 1978 by members of the faculty who have executed Practice Agreements and Assignments to be deposited in the accounts of the Fund for Advancement of Education and Research in the University of Kentucky pursuant to the provisions of University of Kentucky Administrative Regulation AR II-4.0-1; deposits of such charges attributable to faculty who have not executed said Practice Agreements and Assignments shall continue pursuant to said regulation.

Section 18. MANAGEMENT AND INVESTMENT POLICIES

A. The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of professional income

and the provision of a sum equal to nine (9%) percent of Foundation's gross income for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred in billing and collecting said income and providing for the central administration of Foundation. The mutual goal will be to raise the College of Medicine Academic Enrichment Fund tax to ten (10%) percent, but this is dependent upon the outcome of negotiations for the medical malpractice insurance program.

B. Accordingly, Foundation agrees that it will deposit the funds necessary to meet its obligations for reimbursement of the University and the provision of the aforementioned academic enrichment fund in a separate account as received and that the funds in said account shall be invested only in the following: (1) direct obligations of the United States Treasury; (2) other appropriate securities issued by federal agencies (including the Federal National Mortgage Association, the Export Import Bank, Federal Intermediate Credit Bank, Federal Home Loan Banks, Federal Land Banks); and (3) certificates of deposit collateralized by direct obligations of the federal government, federal agency obligations which qualify for direct investment under this recommendation, general obligations of the Commonwealth of Kentucky or obligations of the University of Kentucky.

Foundation shall be entitled to the investment income derived from said account, provided however investment income derived from balances attributed to the academic enrichment fund identified in subparagraph A. and Section 8, paragraph B. hereinabove shall be credited to said account.

Section 19. PROVISION OF PROFESSIONAL LIABILITY INSURANCE

A. Under the restructured professional liability insurance program of total self insurance, Foundation agrees that it will pay the University, as reimbursement, for its portion (48.45%) of the costs of the following: (i) payments required pursuant to KRS 164.941 in one (1) lump sum payment by July 15, 1987, and (ii) costs of claims administration in twelve (12) equal installments the first said installment being due and payable on July 31, 1987 and monthly thereafter until June 30, 1988.

B. In addition, Foundation has agreed that between July 1, 1987 and June 30, 1988, it will cause to be deposited in a separate account within the Foundation the sum of 48.45% of the additional contributions for self insurance, said account together with interest accruing thereto to be held solely for the purpose of payment of claims or judgments arising for liabilities defined in KRS 164.941 (3). No monies in said account shall be expended for any other purpose than as provided in this Section without the written agreement of University, nor shall such monies be encumbered, pledged or otherwise hypothecated. The monies in said account shall be invested only as provided in Section 18 hereof. Payment to the separate account may be in equal installments, provided that the full 48.45% shall be deposited by June 30, 1988.

C. In sum, Foundation agrees to pay in both assessments and contributions a total of \$958,182 which is 48.45% of the total program costs of \$1,977,671.

Section 20. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation agrees that it will, after making provision for: (1) the costs of its administration and costs relating to billing and collection, (2) reimbursement of University expenses pursuant to Section 5 hereof and (3) the College of Medicine Academic Enrichment Fund pursuant to Section 8 hereof, maintain its accounts in such a manner that income attributable to the professional clinical activities of each of the following departments or divisions shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

Anesthesiology
Diagnostic Radiology
Emergency Medicine
Family Practice
Medicine
Neurology
Ob/Gyn
Ophthalmology
Pathology
Pediatrics
Preventive Medicine & Environmental Health
Psychiatry
Radiation Medicine
Rehabilitation Medicine
Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time, as provided in said agreements, with the approval of the Dean of the College of Medicine.

Section 21. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Dean, College of Medicine
MN-150
University Medical Center
800 Rose Street
Lexington, Kentucky 40536

In the case of Foundation to:

Kentucky Medical Services Foundation, Inc.
729 S. Limestone
Lexington, Kentucky 40508

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

Section 22. RECORDS ACCESS

Pursuant to Public Law 96-499, Section 952, the Foundation agrees to make available upon written request from the Secretary of Health and Human Resources, the Comptroller General, or the Department of Human Services of the State of Kentucky or any of their duly authorized representatives, access to this contract and all other service agreements written or oral entered into or renewed since December 5, 1980, and access to books, documents, and records necessary to verify costs of services provided by such arrangements exceeding \$10,000 in a 12-month period. Access will be permitted until four years have expired after completion of services furnished, and in accordance with the applicable federal regulations.

If the Foundation carries out any of the duties under this contract through a sub-contract with a related organization, with a value or cost of \$10,000 or more over a 12-month period, such sub-contract shall contain a clause to the effect that until the expiration of four years after the furnishing of such services pursuant to the sub-contract, the related organization shall make available, upon written request from the Secretary or Comptroller General, or any of their duly authorized representatives, the sub-contract, books, documents and records of such organization that are necessary to verify the nature and extent of such costs.

Section 23. TERM

The term of this agreement shall begin on July 1, 1987 and end on June 30, 1988.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES OF
THE UNIVERSITY OF KENTUCKY

BY: _____

President

University of Kentucky

ATTEST: _____

KENTUCKY MEDICAL SERVICES
FOUNDATION, INC.

BY: _____

ATTEST: _____

Office of the President
June 23, 1987

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE TEN MONTHS ENDED
APRIL 30, 1987

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the ten months ended April 30, 1987.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Humanities Research Foundation, University of Kentucky Equine Research Foundation, Inc., and Health Care Collection Service, Inc.

As of April 30, 1987, the University had realized income of \$479,607,000, representing 95% of the 1986-87 estimate of \$507,356,000. Expenditures and commitments total \$396,758,000 or 78% of the approved budget of \$507,356,000.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
APRIL 30, 1987
(in thousands)

Statement 1

	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals April 1987
ASSETS						
Cash and cash equivalents	\$ 86,600	\$ 11,783	\$ 1,896	\$ 440	\$146,669	\$ 247,388
Notes, loans, and A/R (less bad debt allowances of \$4,008)	33,323	6	14,157		287	47,773
Investments	6,651	43,304		42,470	46,294	138,719
Property, plant, and equipment					556,420	556,420
Inventories and other	8,007	111				8,118
Total Assets	<u>\$134,581</u>	<u>\$ 55,204</u>	<u>\$16,053</u>	<u>\$42,910</u>	<u>\$749,670</u>	<u>\$ 998,418</u>
LIABILITIES AND FUND BALANCES						
Liabilities						
Accounts payable	\$ 16,004	\$ 380				\$ 16,384
Employee withholdings and deposits		10,596				10,596
Other liabilities	544	214			\$ 1,407	2,165
Estimated settlements for cost reimbursement	2,682					2,682
Deferred income	5,042					5,042
Bonds payable					230,107	230,107
Capitalized lease obligation					37,817	37,817
Net deferred revenues and appropriated fund balances (Schedule 4)	90,135	(1,838)				88,297
Total Liabilities	<u>114,407</u>	<u>9,352</u>	<u>0</u>	<u>0</u>	<u>269,331</u>	<u>393,090</u>
Interfund Balances	<u>(17,272)</u>	<u>22,016</u>			<u>(4,744)</u>	<u>0</u>
Fund Balances						
Current						
Unrestricted						
Working capital	31,552					31,552
Other	5,894					5,894
Restricted		23,836				23,836
Loan			\$16,053			16,053
Endowment						
True				22,973		22,973
Term				519		519
Quasi				19,418		19,418
Plant						
Retirement of Indebtedness					43,324	43,324
Renewal and Replacement					4,310	4,310
Allocated for designated projects					31,808	31,808
Net investment in plant					405,641	405,641
Total Fund Balances	<u>37,446</u>	<u>23,836</u>	<u>16,053</u>	<u>42,910</u>	<u>485,083</u>	<u>605,328</u>
Total Liabilities and Fund Balances	<u>\$134,581</u>	<u>\$ 55,204</u>	<u>\$16,053</u>	<u>\$42,910</u>	<u>\$749,670</u>	<u>\$ 998,418</u>

CONSOLIDATED STATEMENT OF CURRENT FUNDS
REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE TEN MONTHS ENDED APRIL 30, 1987
with comparative totals for April 30, 1986
(in thousands)

Schedule 1

	1986-1987				1985-1986	
	ORIGINAL BUDGET	REVISED BUDGET	REALIZED TO DATE	PERCENT REALIZED	REALIZED TO DATE	PERCENT REALIZED
UNIVERSITY OF KENTUCKY UNRESTRICTED CURRENT REVENUES AND APPROPRIATED FUND BALANCES						
STATE APPROPRIATION	\$ 205,084	\$ 199,571	\$ 199,566	100	\$ 187,357	100
STUDENT FEES	46,405	48,266	46,990	97	43,018	96
FEDERAL APPROPRIATIONS	12,541	12,828	11,691	91	11,693	83
COUNTY APPROPRIATIONS	3,364	3,554	2,176	61	1,947	61
ENDOWMENT INCOME	689	689	386	56	432	63
INVESTMENT INCOME	9,491	9,491	8,078	85	7,515	81
GIFTS AND GRANTS						
Affiliated corporations	6,212	6,212	565	9	1,122	22
Other	4,214	5,272	706	13	847	30
SALES AND SERVICES	11,080	11,506	9,614	84	8,638	90
HOSPITAL						
Revenues	72,940	77,938	73,373	94	68,967	95
Fund Balances	6,820	17,271	17,271	100	16,581	100
Total Hospital and clinics	79,760	95,209	90,644	95	85,548	96
FUND BALANCES	8,281	11,988	11,988	100	15,110	100
TOTAL GENERAL FUND AND HOSPITAL	387,121	404,586	382,404	95	363,227	95
AUXILIARY SERVICES	24,817	25,272	21,371	85	20,339	85
RESTRICTED FUNDS	34,915	35,908	25,427	71	29,498	73
AFFILIATED ORGANIZATIONS	41,528	41,590	50,405	121	44,123	114
TOTAL REVENUES AND APPROPRIATED FUND BALANCES	\$ 488,381	\$ 507,356	\$ 479,607	95	\$ 457,187	94

CONSOLIDATED STATEMENT OF CURRENT FUND
EXPENDITURES AND TRANSFERS SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE TEN MONTHS ENDED APRIL 30, 1987
(in thousands)

Schedule 2

	1986-1987			
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDITURES/ ENCUMBRANCES TO DATE	PERCENT EXPENDED/ ENCUMBERED
INSTRUCTION	\$ 137,381	\$ 138,360	\$ 107,431	78
RESEARCH	56,465	57,770	45,012	78
PUBLIC SERVICE	45,000	45,901	37,982	83
ACADEMIC SUPPORT	32,949	33,903	28,205	83
STUDENT SERVICES	11,142	11,150	10,357	93
STAFF BENEFITS	7,689	7,689	5,412	70
INSTITUTIONAL SUPPORT	18,384	18,617	16,473	88
STUDENT FINANCIAL AID	20,088	20,175	19,094	95
OPERATION AND MAINTENANCE	29,808	29,421	26,736	91
MANDATORY TRANSFERS (DEBT SERVICE)	13,867	12,848	11,659	91
HOSPITAL	80,873	96,322	58,485	61
AUXILIARY ENTERPRISES	34,735	35,200	29,912	85
	<u>\$ 488,381</u>	<u>\$ 507,356</u>	<u>\$ 396,758</u>	<u>78</u>

EXPENDITURES AND TRANSFERS SUMMARY
BY CATEGORY OF EXPENDITURES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE TEN MONTHS ENDED APRIL 30, 1987
(in thousands)

	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED	% EXPENDED ENCUMBERED
PERSONNEL COSTS	\$ 290,706	\$ 291,589	\$ 239,273	82
OPERATING EXPENSES	161,342	167,782	123,734	74
MANDATORY TRANSFERS (DEBT SERVICE)	13,867	12,848	11,659	91
CAPITAL OUTLAY	22,466	35,137	22,092	63
	<u>\$ 488,381</u>	<u>\$ 507,356</u>	<u>\$ 396,758</u>	<u>78</u>

CONSOLIDATED STATEMENT OF CURRENT FUNDS
EXPENDITURES AND TRANSFERS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE TEN MONTHS ENDED APRIL 30, 1987
with comparative totals for April 30, 1986
(in thousands)

Schedule 3

EXPENDITURES AND TRANSFERS	1986-1987				1985-1986	
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED ENCUMBERED TO DATE	PERCENT EXPENDED/ ENCUMBERED	EXPENDED ENCUMBERED TO DATE	PERCENT EXPENDED/ ENCUMBERED
UNRESTRICTED CURRENT FUNDS						
INSTRUCTION	\$ 128,443	\$ 129,011	\$ 100,169	78	93,823	79
RESEARCH	26,347	27,284	18,500	68	18,420	80
PUBLIC SERVICE	37,734	38,604	29,939	78	27,871	83
ACADEMIC SUPPORT	30,167	31,043	26,577	86	21,658	76
STUDENT SERVICES	10,999	11,006	10,182	93	7,767	80
STAFF BENEFITS	7,689	7,689	5,412	70	4,944	49
INSTITUTIONAL SUPPORT	16,830	17,000	15,688	92	17,078	82
STUDENT FINANCIAL AID	4,524	4,527	5,765	127	4,528	91
OPERATION AND MAINTENANCE	29,708	29,312	25,578	87	22,711	79
MANDATORY TRANSFERS DEBT SERVICE	13,867	12,848	9,784	76	9,028	73
HOSPITAL	80,813	96,262	58,484	61	54,895	61
TOTAL CURRENT UNRESTRICTED FUNDS	387,121	404,586	306,078	76	282,723	74
RESTRICTED CURRENT FUNDS						
INSTRUCTION	2,288	2,698	2,899	107	2,375	95
RESEARCH	13,872	14,240	7,412	52	9,137	55
PUBLIC SERVICE	1,194	1,225	1,335	109	3,001	87
ACADEMIC SUPPORT	1,246	1,273	575	45	330	30
STUDENT SERVICES	133	134	66	49	47	49
INSTITUTIONAL SUPPORT	594	657	400	61	735	76
STUDENT FINANCIAL AID	15,428	15,512	13,098	84	13,483	86
OPERATIONS AND MAINTENANCE	100	109	23	21	14	30
DEBT SERVICE			1,875		724	
HOSPITAL	60	60	1	2		
TOTAL RESTRICTED CURRENT FUND	34,915	35,908	27,684	77	29,846	74
AUXILIARY ENTERPRISES	24,817	25,272	20,392	81	19,087	80
AFFILIATED ORGANIZATIONS	41,528	41,590	42,604	102	41,338	106
TOTAL EXPENDITURES	\$ 488,381	\$ 507,356	\$ 396,758	78	\$ 372,994	77

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES
AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE TEN MONTHS ENDED APRIL 30, 1987
(in thousands)

Schedule 4

REVENUES, REALIZED		\$ 450,348
APPROPRIATED FUND BALANCES		
Current unrestricted fund	\$ 11,988	
University Hospital	17,271	

TOTAL APPROPRIATED FUND BALANCES		29,259

TOTAL REVENUES AND APPROPRIATED		
FUND BALANCES (SCHEDULE 1)		479,607

EXPENDITURES/ENCUMBRANCES (SCHEDULE 2)	396,758	
LESS: RESERVE FOR ENCUMBRANCES	(5,448)	

TOTAL EXPENDITURES		391,310

NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES (STATEMENT 1)		\$ 88,297
		=====

Office of the President
June 23, 1987

FCLR 2

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve a sales plan for the disposition of livestock, recyclable materials, and surplus equipment for the fiscal year 1987-88, as described in Exhibits I, II and III, respectively;

that the Board of Trustees approve the transfer of surplus and/or obsolete equipment to Morehead State University, University of West Virginia and the David School, as described in Exhibits IV, V and VI, respectively.

Background: KRS 164.575 requires that the governing board sell or dispose of by other means all items of personal property that are not needed by the University of Kentucky. In each such disposition of surplus personal property, the Board of Trustees is provided a description of the property, reason for disposition, and the method of disposal.

Personal property will not be disposed of until the approval of the Board of Trustees is obtained, except for sales of livestock, recyclable materials and surplus equipment which will be in accordance with an annual plan submitted for prior approval by the Board of Trustees.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

UNIVERSITY OF KENTUCKY

Surplus Property Disposal Request

Anticipated Livestock Disposal Request
1987-88

Estimated Quantities to be Sold

Hogs	2000
Horses	50
Cattle	1000
Sheep	600

Sale Methods

Auction
Sealed Bid
Packing Company
Private Treaty

Reasons for Selling

Research Program Completed
Low Milk Production
Breeding Problems
To Obtain Carcass Data and Analytical Samples
Age

Exhibit II

University of Kentucky

Surplus Property Disposal Request

Anticipated Recyclable Materials Disposal Request
1987-88

Sale Method

Sealed Bid

Estimated Quantities to be Sold

Scrap Paper	200,000 lbs.
Scrap Metal	220,000 lbs.
Scrap Silver and Film	12,000 lbs.

Exhibit III

University of Kentucky

Surplus Property Disposal Request

Anticipated Public Sale of Surplus,
Damaged and Obsolete Equipment Request
1987-88

Estimated Dates of Sales and Proceeds

DATE	PROCEEDS
July, 1987	\$ 3,500.00
September, 1987	1,000.00
October, 1987	3,000.00
December, 1987	3,000.00
February, 1988	3,000.00
March, 1988	1,500.00
April, 1988	3,000.00
June, 1988	3,500.00

Sale Methods

Public Auction or Sealed Bid

Reason for Disposition

Equipment in a deteriorated condition (junk), damaged or obsolete, that is determined to be surplus to the needs of the University. All such equipment has only a small amount of residual value and will be disposed of in a competitive sales method (i.e., public auction or sealed bid).

Exhibit IV

University of Kentucky

Transfer of cage washer to Morehead State University

TYPE OF DISPOSAL: Transfer at no cost.

REASON: Cage washer is surplus to the needs of the University. Washer will have to be dismantled prior to moving at estimated cost of \$100.00 plus cost of moving to surplus. The cost to dismantle and move to surplus would exceed the estimated proceeds. Morehead State University has agreed to remove washer at no cost to the University of Kentucky.

ITEM: Cage Washer
Model 5500
Serial number 6617
Date acquired 1973

Exhibit V

UNIVERSITY OF KENTUCKY

Transfer of Research Equipment to the University of West Virginia

TYPE OF DISPOSAL: Transfer at no cost.

REASON: Items purchased from prior NIH (National Institute of Health) funds which directly support Dr. Theodore A. Kotchen's on-going research projects.

ITEMS:

<u>DESCRIPTION</u>	<u>DATE ACQ</u>	<u>PROPERTY #</u>
Refrigerator/Freezer Hotpoint	3/78	B027137
Freezer	9/75	B023518
Hot Plate-Stirrer	11/72	B018803
Dubnoff Metabolic Shaking Incubator	7/75	B021454
PH Meter	11/82	B032502
Refrigerated Centrifuge		
Tissue Grinder	11/73	B018887
Freeze Drier		
Calculator	2/78	B027083
Digital Scale	2/79	B028265
Syringe Pump	1/76	B023678
Flame Photometer	6/86	B034873
Chloridometer	4/75	B023629
Peristaltic Pump	11/80	B030660
Balance	10/68	B008979
Table Centrifuge	9/72	B018802
Chloridometer	10/68	B008978
Cabinet	2/76	B024954
Metabolic Cages and Rack	10/76	B025247
3 Racks of Metabolic Cages	10/76	B025247

Exhibit VI

University of Kentucky

Transfer of surplus air conditioning and heating units to the
David School in David, Kentucky

TYPE OF DISPOSAL: Transfer at no cost.

REASON: These units are surplus to the needs of the University of Kentucky and are located in temporary buildings which are scheduled for demolition in the near future. The David School is a non-profit and non-denominational institution fully accredited by the State Department of Education and funded by state, federal and private sources serving Eastern Kentucky.

ITEMS: Ten (10) SC Nelson/Aire Herman Nelson self-contained unit heat and air conditioner, model YRA1200-14, 12,000 BTU. Date acquired-1967.

Office of the President
June 23, 1987

FCR 3

Members, Board of Trustees:

Report of Leases

Recommendation: that the President report the following lease agreements in accordance with Board policy:

The execution of a lease between the University of Kentucky Cooperative Extension Service and Robert B. Kelley, 4503 Lowe Road, Louisville, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate \$15,500 annually, be accepted and made a matter of record;

The execution of a lease between the University of Kentucky Cooperative Extension Service and Mathis, Riggs, Prather and Stratton, P. O. Box 1059, Shelbyville, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate \$1,440.00 annually, be accepted and made a matter of record;

The renewal of a lease between the University of Kentucky Cooperative Extension Service and A. J. Napier, President, Community Development, 859 Main Street, Frankfort, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate \$3,786.72 annually, be accepted and made a matter of record;

The renewal of a lease between the University of Kentucky Cooperative Extension Service and Christian County Farm Bureau, 509 W. Ninth Street, Hopkinsville, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate \$6,200.50 annually, be accepted and made a matter of record;

The renewal of a lease between the University of Kentucky Cooperative Extension Service and Victoria Square Apartments, 506 Central Avenue, Newport, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate \$2,400 annually, be accepted and made a matter of record;

The renewal of a lease between the University of Kentucky Center for Business Development and Mike Angeline, Trust, %Realty One, 319 S. Mulberry Street, Elizabethtown, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate \$4,200.00 annually, be accepted and made a matter of record;

The renewal of a lease between the University of Kentucky Cooperative Extension Service and Lincoln Trail Development Foundation, Inc., 702 College Street Road, Elizabethtown, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate of \$3,408.75 annually, be accepted and made a matter of record;

The renewal of a lease between McDowell Cancer Network and Geraldine Adams, P. O. Box 306, Hyden, Kentucky effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate of \$4,200.00 annually, be accepted and made a matter of record;

The renewal of a lease between the McDowell Cancer Network and American Development Co., 58 Public Square, Somerset, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate \$2,220.00 annually, be accepted and made a matter of record;

The renewal of a lease between the McDowell Cancer Network and Paul Blair Rentals, 120 Normal Avenue, Suite 101, Morehead, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate \$3,240.00 annually, be accepted and made a matter of record;

The renewal of a lease between the University of Kentucky Geological Survey and Donald L. Harrison, 221 Hancock Street, Henderson, Kentucky, effective July 1, 1987 through June 30, 1988, rental rate \$5,100.00 annually, be accepted and made a matter of record;

The renewal of a lease between the Department of Behavioral Science and Bourbon Medical Center, 2017 South Main Street, Paris, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate \$2,599.92 annually, be accepted and made a matter of record;

The renewal of a lease between the University of Kentucky Extension Service and L & M Wholesale Supplier, Inc., 4150 South Highway 27, Somerset, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate \$4,500.00 annually, be accepted and made a matter of record;

The renewal of a lease between the University of Kentucky Cooperative Extension Service and Roger E. LaPointe, Bowling Green Printing, Fairview Plaza, Bowling Green, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate \$6,600.00 annually, be accepted and made a matter of record;

The renewal of a lease between the Department of Sociology and Louisville Urban League, Lyles Mall, 2600 West Broadway, Louisville, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space, rental rate \$18,000.00 annually, be accepted and made a matter of record;

The renewal of a lease between University Extension and Board of Education of Fayette County, 701 East Main Street, Lexington, Kentucky, effective July 1, 1987 through June 30, 1988, for conducting a Japanese Saturday School, for land usage for two mobile units - \$2,400.00 annually and to reimburse the Board for utilities, custodial and clerical services, be accepted and made a matter of record;

The renewal of a lease between Owensboro Community College and Joe Iracane, 1705 Frederica Street, Owensboro, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of 35 parking spaces, rental rate \$11,813.00 annually, be accepted and made a matter of record;

The renewal of a lease between Owensboro Community College and Joe Iracane, 1705 Frederica Street, Owensboro, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space and 15 parking spaces, rental rate \$17,000.00 annually, be accepted and made a matter of record;

The renewal of a lease between Owensboro Community College and York, Neel and Company, CPA's, 1735 Frederica Street, Owensboro, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of 40 parking spaces, rental rate \$13,500.00 annually, be accepted and made a matter of record;

The renewal of a lease between Hazard Community College and Moore Real Estate/Hazard Properties, Inc., 609 Main Street, Hazard, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office space and parking spaces, rental rate \$14,400.00 annually, be accepted and made a matter of record;

The execution of a lease between Southeast Community College and First State Bank of Middlesboro, P. O. Box 400, Middlesboro, Kentucky, effective July 1, 1987 through June 30, 1988, for the use of office and classroom space and five parking spaces, rental rate \$24,000.00 annually, be accepted and made a matter of record;

The renewal of a lease between Owensboro Community College and Owensboro-Daviess County Public Library, 450 Griffith Avenue, Owensboro, Kentucky, effective July 1, 1987 through June 30, 1988 for the use of library space, rental rate \$19,163.00 annually, be accepted and made a matter of record.

Background: FCR 5, dated October 15, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

FCR 4

Members, Board of Trustees:

Approval of Lease

Recommendation: that the Vice Chancellor for Administration, Lexington Campus, be authorized to renew the lease between Owensboro Community College and Owensboro Independent Board of Education as represented by Carrol Hanberry, 1335 West Eleventh Street, Owensboro, Kentucky, for office and classroom space and parking spaces, effective July 1, 1987 through June 30, 1988 at a rental rate of \$39,217.00 annually.

Background: This lease was entered into on July 1, 1986 and continued through June 30, 1987. The Owensboro Community College wishes to continue with the lease from July 1, 1987 through June 30, 1988 at the above rental rate. This lease has been reduced from \$42,955.85 last fiscal year. The Community College will require these facilities until such time as construction on the University-owned facilities is completed.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

FCR 5

Members, Board of Trustees:

Approval of Lease

Recommendation: that the Vice Chancellor for Administration Lexington, Campus, be authorized to renew the lease between the Owensboro Community College and Midtown Office Complex as represented by Malcolm Bryant and Bill Barron, 920 Frederica Street, Owensboro, Kentucky, for 9,000 square feet of office and classroom space and 150 parking spaces at Midtown Office Complex, 920 Frederica Street, Owensboro, Kentucky, effective July 1, 1987 through June 30, 1988 at a rental rate of \$76,000.00 annually.

Background: This lease was entered into on July 1, 1986 and continued through June 30, 1987. The rental rate increased from \$66,000.00 to \$76,000.00 to defray the cost for hard-surfacing the parking lot which was required by the City Planning Commission. Owensboro Community College wishes to continue with the lease from July 1, 1987 through June 30, 1988 at the new rental rate of \$76,000.00. The Community College will require these facilities until such time as construction on the University-owned facilities is completed.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 23, 1987

Office of the President
June 23, 1987

FCR 6

Members, Board of Trustees:

Approval of Lease

Recommendation: that the Vice Chancellor for Administration, Lexington Campus, be authorized to renew the lease between the University of Kentucky Medical Center Finance Division and The Webb Companies, Suite 3000, Lexington Financial Center, Lexington, Kentucky, for 10,335 square feet of office space at 2667 Regency Road, Lexington, Kentucky, effective July 1, 1987 through June 30, 1988 at a rental rate of \$105,933.76 annually.

Background: This lease was entered into on July 1, 1986 and continued through June 30, 1987. The Medical Center wishes to continue with the lease from July 1, 1987 through June 30, 1988 at the same rental rate.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 23, 1987

Office of the President
June 23, 1987

FCR 7

Members, Board of Trustees:

USE OF FRATERNITY RESERVE

Recommendation: that the Vice Chancellor for Administration be authorized and directed by the Board of Trustees to request the Trustee of University of Kentucky Student Housing Bonds of 1964 to make available the sum of \$7,000.00 for the purpose of making repairs such as; new carpeting, replacing the ceiling, putting in new light fixtures, a fence in back of the house, wallpaper, painting inside and outside and other miscellaneous repairs, to the Sigma Nu Fraternity house located at 422 Rose Lane. Total cost of repairs will be approximately \$16,500.

Background: This Bond issue provides for the establishment of a "Maintenance Reserve Account" which may be drawn and used by the Board for the purpose of repairs. As of March 31, 1987 this account had a balance of \$62,396.96. Since that date the Phi Kappa Tau Fraternity House located at 687 Woodland Ave. has withdrawn \$15,367.00 from this account leaving a balance of \$47,029.96 as of May 31, 1987.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

FCR 8

Members, Board of Trustees:

ACCEPTANCE OF AUDIT FOR THE
UNIVERSITY OF KENTUCKY FEDERAL CREDIT UNION

Recommendation: that the Board of Trustees accept the audited financial statement of the University of Kentucky Federal Credit Union for the twelve month period ended December 31, 1986.

Background: The financial report of the University of Kentucky Federal Credit Union has been audited by the firm of Coopers & Lybrand, Certified Public Accountants, and is presented to the Board for its acceptance. The Federal Credit Union maintains its books and records on a calendar year-end basis.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

**UNIVERSITY OF KENTUCKY
FEDERAL CREDIT UNION**

**REPORT ON EXAMINATIONS OF FINANCIAL STATEMENTS
for the years ended December 31, 1986 and 1985**

**Coopers
& Lybrand**

Certified Public Accountants

C O N T E N T S

	<u>Pages</u>
Accountants' Report	1
Statement of Financial Condition	2
Statement of Income	3
Statement of Retained Earnings	4
Statement of Changes in Financial Position	5
Notes to Financial Statements	6-9

To the Supervisory Committee of the
University of Kentucky Federal
Credit Union

We have examined the statements of financial condition of the University of Kentucky Federal Credit Union as of December 31, 1986 and 1985 and the related statements of income, retained earnings, and changes in financial position for the years then ended. Our examinations were made in accordance with generally accepted auditing standards and, accordingly, included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances.

In our opinion, the financial statements referred to above present fairly the financial condition of the University of Kentucky Federal Credit Union as of December 31, 1986 and 1985, and the results of its operations and changes in its financial position for the years then ended, in conformity with generally accepted accounting principles applied on a consistent basis.

Coopers & Lybrand

Lexington, Kentucky
March 27, 1987

UNIVERSITY OF KENTUCKY FEDERAL CREDIT UNION

STATEMENT OF FINANCIAL CONDITION

December 31, 1986 and 1985

ASSETS	<u>1986</u>	<u>1985</u>
Loans to members, net of allowance for loan losses of \$49,475 and \$44,900 in 1986 and 1985, respectively (Notes 1 and 2)	\$10,344,837	\$ 9,106,435
Cash	270,400	454,017
Investments, at cost which approx- imates market (Note 1)	8,775,169	4,900,068
Accrued interest receivable	55,361	46,946
Property and equipment (Notes 1 and 3)	486,611	517,556
Prepaid expenses	6,288	9,933
Other assets	275,577	97,120
	<u>\$20,214,243</u>	<u>\$15,132,075</u>
LIABILITIES AND EQUITY		
Savings accounts (Note 4)	\$18,310,407	\$13,265,283
Accounts payable and accrued liabilities	112,532	62,045
Total liabilities	<u>18,422,939</u>	<u>13,327,328</u>
Retained earnings, substantially restricted:		
Appropriated (Note 1):		
Statutory reserve	625,624	549,080
Contingency reserve	850,000	850,000
Total appropriated	1,475,624	1,399,080
Unappropriated	315,680	405,667
Total retained earnings	<u>1,791,304</u>	<u>1,804,747</u>
	<u>\$20,214,243</u>	<u>\$15,132,075</u>

The accompanying notes are an integral
part of the financial statements.

UNIVERSITY OF KENTUCKY FEDERAL CREDIT UNION

STATEMENT OF INCOME

for the years ended December 31, 1986 and 1985

	<u>1986</u>	<u>1985</u>
Interest income:		
Interest and fees on loans	\$1,206,953	\$1,172,368
Interest on investments	<u>380,332</u>	<u>218,478</u>
	1,587,285	1,390,846
Interest expense:		
Dividends on savings accounts	<u>840,456</u>	<u>624,620</u>
Net interest income	746,829	766,226
Provision for loan losses (Note 2)	<u>43,708</u>	<u>19,703</u>
Net interest income after provision for loan losses	<u>703,121</u>	<u>746,523</u>
Other operating income:		
Fees and charges on savings accounts	207,023	166,044
Other	<u>44,113</u>	<u>34,102</u>
	<u>251,136</u>	<u>200,146</u>
Operating expense:		
Compensation and benefits	347,243	310,582
Occupancy (Note 3)	112,248	96,958
Computer and bank service fees for maintenance of savings accounts	300,195	262,312
Other	<u>208,014</u>	<u>147,941</u>
	<u>967,700</u>	<u>817,793</u>
Net income (loss)	<u>\$ (13,443)</u>	<u>\$ 128,876</u>

The accompanying notes are an integral part of the financial statements.

UNIVERSITY OF KENTUCKY FEDERAL CREDIT UNION
STATEMENT OF RETAINED EARNINGS
for the years ended December 31, 1986 and 1985

	<u>Appropriated</u>		<u>Unappropriated</u>	<u>Total</u>
	<u>Statutory Reserve</u>	<u>Contingency Reserve</u>		
Balances, January 1, 1985	\$506,727	\$850,000	\$319,144	\$1,675,871
Transfers, net	42,353		(42,353)	
Net income	<u> </u>	<u> </u>	<u>128,876</u>	<u>128,876</u>
Balances, December 31, 1985	549,080	850,000	405,667	1,804,747
Transfers, net	76,544		(76,544)	
Net loss	<u> </u>	<u> </u>	<u>(13,443)</u>	<u>(13,443)</u>
Balances, December 31, 1986	<u>\$625,624</u>	<u>\$850,000</u>	<u>\$315,680</u>	<u>\$1,791,304</u>

The accompanying notes are an integral
part of the financial statements.

UNIVERSITY OF KENTUCKY FEDERAL CREDIT UNION
STATEMENT OF CHANGES IN FINANCIAL POSITION
for the years ended December 31, 1986 and 1985

<u>Funds Provided</u>	<u>1986</u>	<u>1985</u>
Operations:		
Net income (loss)	\$ (13,443)	\$ 128,876
Items not requiring the use of funds:		
Dividends credited to savings accounts	840,456	624,620
Provision for loan losses	43,708	19,703
Depreciation	<u>48,729</u>	<u>45,166</u>
Funds provided by operations	919,450	818,365
Decrease in cash	183,617	
Decrease in prepaid expenses	3,645	
Increase in savings accounts, net of dividends credited	4,204,668	3,461,031
Increase in accounts payable and accrued liabilities	<u>50,487</u>	<u>19,312</u>
Total funds provided	<u>\$5,361,867</u>	<u>\$4,298,708</u>
<u>Funds Used</u>		
Increase in loans to members, net	\$1,282,110	\$ 715,694
Increase in investments	3,875,101	3,231,708
Increase in cash		199,322
Increase in accrued interest receivable	8,415	9,060
Purchase of property and equipment, net	17,784	44,180
Increase in prepaid expenses		1,624
Increase in other assets	<u>178,457</u>	<u>97,120</u>
Total funds used	<u>\$5,361,867</u>	<u>\$4,298,708</u>

The accompanying notes are an integral part of the financial statements.

NOTES TO FINANCIAL STATEMENTS

1. Significant Accounting Policies:

a. Loans to Members and Allowance for Loan Losses

Loans are stated at the amount of unpaid principal, reduced by an allowance for loan losses. Interest on loans is recognized over the term of the loan and is calculated using the simple-interest method on principal amounts outstanding. The allowance for loan losses is established through a provision for loan losses charged to expenses. Loans are charged against the allowance for loan losses when management believes that the collectibility of the principal is unlikely. The allowance is an amount that management believes will be adequate to absorb possible losses on existing loans that may become uncollectible, based on evaluations of the collectibility of loans and prior loan loss experience. The evaluations take into consideration such factors as changes in the nature and volume of the loan portfolio, overall portfolio quality, review of specific problem loans, and current economic conditions that may affect the borrowers' ability to pay. Accrual of interest is discontinued on a loan when management believes, after considering economics, business conditions, and collection efforts, that the borrowers' financial condition is such that collection of interest is doubtful.

b. Investments

Investment securities are at cost adjusted for amortization of premiums and accretion of discounts, which are recognized as adjustments to interest income on investments. Investments include a savings account, certificates of deposit of banks, savings and loans, and a corporate central credit union, obligations of U.S. government agencies, and common funds for which cost approximates market. Gains or losses on disposition are based on the net proceeds and the adjusted carrying amount of the securities sold, using the specific identification method.

c. Property and Equipment

Property and equipment are stated at cost less accumulated depreciation. Depreciation is computed on the straight-line method over the estimated useful lives of the assets. The cost of assets sold and the related accumulated depreciation are eliminated from the accounts and any gain or loss is included in income.

(Continued)

NOTES TO FINANCIAL STATEMENTS, Continued

1. Significant Accounting Policies, continued:

d. Appropriated Retained Earnings

Statutory Reserve - This reserve is maintained as required by statutory reserve requirements for federal credit unions. Reserve levels are determined based upon risk assets (mainly loans to members) and gross income of the credit union. Based upon the ratio of the statutory reserve balance before transfers to total risk assets, the credit union is required to transfer a specified percentage of gross income to the reserve. No transfers are required where the reserve is 6% or greater of total risk assets. This reserve is not available for the payment of dividends.

Contingency Reserve - The contingency reserve represents an appropriation of retained earnings by the Board of Directors and constitutes a general reserve for any unforeseen contingencies.

e. Membership

Membership in the Credit Union is limited to persons and their immediate families who are employees of the University of Kentucky and affiliated organizations as approved by the Board of Directors of the Credit Union.

2. Loans to Members:

Loans to members consist primarily of fully secured automobile and second mortgage loans, as well as unsecured personal lines of credit.

Loans to directors, members of directors' committees and officers of the Credit Union were approximately \$151,400 at December 31, 1986.

A summary of the changes in the allowance for loan losses is as follows:

	<u>1986</u>	<u>1985</u>
Balance, beginning of year	\$ 44,900	\$ 35,000
Provision charged to operations	43,708	19,703
Loans charged off	(40,347)	(11,976)
Recoveries	<u>1,214</u>	<u>2,173</u>
Balance, end of year	<u>\$ 49,475</u>	<u>\$ 44,900</u>

(Continued)

NOTES TO FINANCIAL STATEMENTS, Continued

2. Loans to Members, continued:

Interest accrual is discontinued on loans greater than six months past due. Non-accrual loans amounted to approximately \$103,000 and \$126,000 at December 31, 1986 and 1985, respectively. If interest on those loans had been accrued, such income would have approximated \$14,000 and \$16,000 for 1986 and 1985, respectively.

3. Property and Equipment:

Property and equipment is summarized as follows:

	<u>1986</u>	<u>1985</u>
Land	\$126,330	\$126,330
Building	301,499	300,321
Building improvements	45,488	37,066
Equipment	<u>155,435</u>	<u>147,251</u>
	628,752	610,968
Accumulated depreciation	<u>142,141</u>	<u>93,412</u>
	<u>\$486,611</u>	<u>\$517,556</u>

Occupancy expense includes \$48,729 and \$45,166 of depreciation expense for 1986 and 1985, respectively.

4. Savings Accounts:

A summary of savings accounts is as follows:

	<u>1986</u>	<u>1985</u>
Share drafts	\$ 3,976,453	\$ 3,334,898
Regular savings	13,066,717	9,368,974
IRA accounts	<u>1,267,237</u>	<u>561,411</u>
	<u>\$18,310,407</u>	<u>\$13,265,283</u>

Dividend rates in 1986 were as follows:

Share drafts	5% through July 31, 1986; 4% thereafter
Regular savings	6 to 6 1/2%
IRA accounts	10% through June 30, 1986; 9% for July 1986; 8% for August through October 1986; 7% for November and December 1986

(Continued)

NOTES TO FINANCIAL STATEMENTS, Continued

5. Taxation:

The Credit Union is exempt from Federal income tax under the provision of the Internal Revenue Code, Section 501(c)(14).

6. Pension Plan:

The credit union participates in a noncontributory defined benefit pension plan covering substantially all of its employees. Total pension expense was approximately \$14,300 and \$12,300 for 1986 and 1985, respectively. Annual contributions made to the plan equal amounts accrued for pension expense. Past service costs have been fully funded. A comparison of accumulated plan benefits and plan net assets for the plan as of December 1, 1986 and 1985 is presented below:

Actuarial present value of accumulated plan benefits:	<u>1986</u>	<u>1985</u>
Vested	\$35,715	\$25,443
Nonvested	<u>3,462</u>	<u>868</u>
	<u>\$39,177</u>	<u>\$26,311</u>
 Net assets available for benefits	 <u>\$61,646</u>	 <u>\$44,417</u>

The weighted average assumed rate of return used in determining the actuarial present value of accumulated plan benefits was 7 percent for both 1986 and 1985.

Office of the President
June 23, 1987

FCR 9

Members, Board of Trustees:

ESTABLISHMENT OF QUASI-ENDOWMENT FOR
THE ALUMNI CHAIR IN ANATOMY FUND

Recommendation: that the Board of Trustees authorize the creation of a Quasi-Endowment Fund to be entitled the "Alumni Chair in Anatomy Fund."

Background: By this action the Board of Trustees will establish the fund and permit allocation of funds necessary to implement this authorization. Funds for this endowment will come from unrestricted gifts, grants, interest earnings on private funds, and any special appropriations for such purpose. The Alumni Chair in Anatomy Quasi-Endowment will consist initially of \$130,000 from the Shackleford Trust. The fund will be incremented until funds for this purpose from private gifts are sufficient to meet the Board of Trustees requirement in establishing the Alumni Chair in Anatomy. Earnings from the endowment will then be used to support this endowed chair. In addition, funds raised from private sources specifically for this purpose have been and will be permanently endowed and will be used for this same purpose.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

452C

Office of the President
June 23, 1987

FCR 10

Members, Board of Trustees:

ESTABLISHMENT OF QUASI-ENDOWMENT FOR THE
CLARENCE W. MATHEWS SCHOLARSHIP FUND FOR HORTICULTURAL STUDENTS

Recommendation: that the Board of Trustees authorize the creation of a quasi-endowment fund to be entitled the "Clarence W. Mathews Scholarship Fund for Horticultural Students."

Background: By this action the Board of Trustees will establish the fund and permit the allocation of funds necessary to implement this authorization. Funds for this endowment will come from a bequest from Miss Ruth Mathews, a 1918 University of Kentucky graduate. The bequest was made in the name of Miss Mathews' father, Clarence W. Mathews, the first horticulturist hired by the experiment station. The scholarships will be awarded annually to junior or senior horticulture students. Currently, the bequest totals \$11,768.63. The University will receive another interest check upon final disposition of the estate.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

FCR 11

Members, Board of Trustees:

ESTABLISHMENT OF QUASI-ENDOWMENT FOR THE
PRICHARD LECTURE FUND

Recommendation: that the Board of Trustees authorize the creation of a quasi-endowment fund to be entitled the "Prichard Lecture Fund."

Background: By this action the Board of Trustees will establish the fund and permit the allocation of funds necessary to implement this authorization. Funds for this endowment will come from unrestricted gifts, grants, interest earnings on private funds and any special appropriations for such purpose. The Prichard Lecture Fund will consist initially of \$25,000. The earnings from this endowment will be used to fund honorariums and any expenses associated with bringing distinguished speakers to the University for the annual Library Associates meeting.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

FCR 12

Members, Board of Trustees:

AUTHORIZATION TO CHANGE PLAN YEAR FOR UNIVERSITY OF KENTUCKY SECTION 125
PLAN FOR EMPLOYEES FROM CALENDAR YEAR BASIS TO FISCAL YEAR BASIS

Recommendation: That the Board of Trustees authorize the Plan Year for the University of Kentucky Section 125 Plan for Employees to be changed from a calendar year basis to a fiscal year basis, effective July 1, 1987.

Background: At its meeting on October 16, 1984, the Executive Committee of the Board of Trustees established a University of Kentucky Section 125 Plan for Employees pursuant to the regulations of Internal Revenue Service Code Section 125. This plan, which was designed by Coopers & Lybrand, enables employees to pay their health insurance premiums on a pre-tax basis. A complete description and definition of the entire Plan is included as FCR 5 in the Minutes of the Meeting of the Executive Committee of the Board of Trustees of the University of Kentucky, October 16, 1984. A copy of the Plan also is on file in the Employee Benefits and Risk Management Director's Office. Article 1.07 of the Plan defined Plan Year to mean calendar year. This recommendation will change the definition of Plan Year to mean fiscal year. This change is necessary in view of the fact that the University is now contracting with the various health carriers on a fiscal year basis.

1905A

Action: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

FCR 13

Members, Board of Trustees:

RETIREMENT PLAN VEHICLES IN ADDITION TO TIAA/CREF

Recommendation: that the Board of Trustees approve in principle the following recommendations of an Ad Hoc Retirement Review Committee:

1. Continue TIAA/CREF as a carrier of the UK Retirement Program.
2. Additional retirement plan vehicles should be offered as alternatives to TIAA/CREF.
3. All new retirement contributions from the employer and employee, if the employee so desires, would be available for investment in the alternative vehicles.
4. Up to 50% of accumulated funds in non-TIAA/CREF vehicles could be cashed out at the time of retirement, at the employee's discretion.
5. The balance (50% or more) of accumulated funds in non-TIAA/CREF vehicles would be paid out either in a lifetime annuity or in a distribution plan that permits a maximum annual withdrawal calculated on life expectancy tables at the time of retirement.
6. If the preceding five recommendations are approved, another smaller group should be charged by the President with the responsibility of developing appropriate specifications for vendor proposals on alternate investment vehicles.

Background: The University, since it adopted a formal retirement plan effective July 1, 1964, has required employee and employer contributions to be invested in TIAA/CREF. In recent years a significant number of large and small, private and public institutions of higher education have questioned several of the operating policies of TIAA/CREF. Many institutions have modified their retirement plans in order to give employees a variety of retirement plan vehicles. For example, the University of Louisville amended its plan in 1986 and now offers two vehicles in addition to TIAA/CREF. To a large extent, criticisms of TIAA/CREF have focused on the following areas: lack of investment options, lack of investment flexibility, lack of flexibility in retirement, inadequate timely reporting, and inadequate information concerning investment performance.

The Ad Hoc Retirement Review Committee, which was appointed in October 1986 by President Singletary upon recommendation of the Employee Benefits Committee, has recommended that the University amend its plan to offer other retirement plan vehicles as alternatives to TIAA/CREF. The Committee recognized that many employees are satisfied with TIAA/CREF and made it clear that employees should have the TIAA/CREF vehicle available should that be their desire. The Committee believes, however, that a small number of additional retirement plan vehicles should be available to employees and that employees should be able to invest employee and employer contributions in more than one plan should they so desire.

The Ad Hoc Retirement Review Committee, in citing reasons for offering alternatives, indicated that alternative retirement plan vehicles should possess the following characteristics:

a. More flexibility at retirement.

The Committee recommended up to a 50% cash-out feature in non-TIAA/CREF vehicles at retirement, with the balance (50% or more) in these vehicles to be paid out either in a lifetime annuity or in a distribution plan that permits a maximum annual withdrawal calculated on life expectancy tables at the time of retirement.

b. More investment flexibility.

The current lack of flexibility deprives participants, who desire to do so, from pursuing an investment strategy that encompasses market timing. The Committee recommended that alternative plans permit two-way transfers in order that employees who desire to do so may pursue strategies that encompass market timing.

c. More investment options.

The Committee recommended that alternative plans offer a wide variety of investment opportunities such as money market funds, income funds, growth and income funds, aggressive growth funds, specialty growth funds, etc., so that employees may tailor their retirement investments to meet their own individual needs.

The committee to be appointed will be given a charge by the President to solicit proposals from a variety of vendors and to examine alternative vehicles with consideration of the characteristics listed above plus the following:

1. A highly reputable company with 403b plans already established at other major universities.
2. The service capability of the company.
3. Prior investment performance of the company that would encompass the last ten-year period.
4. Company representatives to be available from time to time to assist with implementation and service.
5. Toll free numbers for employees to obtain account information.

6. Information kits that could be distributed to all employees that would explain the various plan options.
7. A plan for which the reporting requirements would be compatible with current University systems.
8. Timely reporting to employees to include investment performance.

The implementation of this alternative retirement program will require approval by the Board of Trustees when the appropriate staff work on the proposed revision of the University of Kentucky Retirement Plan (AR II-1.0-1) has been completed.

1899A

Action: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

Office of the President
June 23, 1987

FCR 14

Members, Board of Trustees:

PROPOSED AMENDMENTS IN THE UNIVERSITY OF KENTUCKY RETIREMENT PLAN

Recommendation: that the following proposed amendments in the University of Kentucky Retirement Plan (AR II-1.6-1) be approved:

[NOTE: Proposed deletions are bracketed;
proposed additions are underlined.]

Amendment #1 - Change Section I.A.1 to read:

A. Group I Personnel and TIAA/CREF Plan

1. Group I Personnel

Eligibility of personnel for classification in Group I is conditioned upon: (a) regular full-time employment; (b) employment in a faculty position or a position otherwise specifically approved for Group I by the President, and (c) occupation of a position not covered by the United States Civil Service Retirement Plan or the Federal Employees Retirement System.

Background: This amendment is necessary because some University positions now are covered by the Federal Employees Retirement System (FERS).

Amendment #2 - Add the following paragraph at the end of Sections I.A.3, I.B.3, I.C.3, and I.D.3:

If by applying the above-stated percentages there would be a violation of federal or state laws, as a result of the employer and/or employee contributions, then these percentages shall not be applied to the extent of violating applicable laws. In such cases, the amount of the employer contribution that cannot be forwarded to TIAA/CREF shall be paid to the employee as a temporary salary increase for the balance of the calendar year.

Background: The Tax Reform Act placed an absolute dollar limit on the amount of money that could be invested in a 403b vehicle in a calendar year. Effective January 1, 1987, this limit is \$30,000. In establishing this absolute annual maximum the law did not differentiate between pre- and post-tax dollar contributions. The limit encompasses all sources, both employee and employer. A very limited number of University employees will be denied full contributions on their basic annual salary. This recommendation will permit the employer percentage to be paid in the form of a temporary salary increase for the balance of the calendar year.

Amendment #3 - Change Section I.A.4 to read:

4. Group I TIAA/CREF Plan - Termination of Contributions

Contributions on behalf of Group I personnel in the TIAA/CREF Plan through December 31, 1987, shall terminate at the end of the fiscal year in which the employee attains age 65 or upon the earliest occurrence of any one or more of the following:

- a. Cessation of regular full-time employment
- b. Retirement

Beginning January 1, 1988, contributions on behalf of Group I personnel in the TIAA/CREF Plan shall terminate upon retirement or cessation of regular full-time employment.

Background: This amendment is needed to bring the University of Kentucky Retirement Plan (AR II-1.6-1) into conformity with the requirements of federal laws.

Amendment #4 - Change Section I.B.4 to read:

4. Group II TIAA/CREF Plan - Termination of Contributions

Contributions on behalf of Group II personnel in the TIAA/CREF Plan through December 31, 1987, shall terminate at the end of the fiscal year in which the employee attains age 65 or upon the earliest occurrence of any one or more of the following:

- a. Cessation of regular full-time employment
- b. Retirement

Beginning January 1, 1988, contributions on behalf of Group II personnel in the TIAA/CREF Plan shall terminate upon retirement or cessation of regular full-time employment.

Background: This amendment is needed to bring the University of Kentucky Retirement Plan (AR II-1.6-1) into conformity with the requirements of federal laws.

Amendment #5 - Change Section I.C.1 to read:

C. Group III Personnel and TIAA/CREF Plan

1. Group III Personnel

Eligibility of personnel for classification in Group III is conditioned upon: (a) regular full-time employment with the University or, upon approval of the Board of Trustees, with an agency for which the University serves as fiscal and payroll agent; (b) employment in a position classified as administrative staff, managerial specialist staff, or professional staff; and (c) occupation of a position not covered by the United States Civil Service Retirement Plan or the Federal Employees Retirement System; and (d) approval by the President or his delegate.

Background: This amendment is necessary because some University positions now are covered by the Federal Employees Retirement System (FERS).

Amendment #6 - Change Section I.C.4 to read:

4. Group III TIAA/CREF Plan - Termination of Contributions

Contributions on behalf of Group III personnel in the TIAA/CREF Plan through December 31, 1987, shall terminate at the end of the fiscal year in which the employee attains age 65 or upon the earliest occurrence of any one or more of the following:

- a. Cessation of regular full-time employment
- b. Retirement

Beginning January 1, 1988, contributions on behalf of Group III personnel in the TIAA/CREF Plan shall terminate upon retirement or cessation of regular full-time employment.

Background: This amendment is needed to bring the University of Kentucky Retirement Plan (AR II-1.6-1) into conformity with the requirements of federal laws.

Amendment #7 - Change Section I.D.3 to read:

3. Group IV TIAA/CREF Plan - Contributions and Vesting

Contributions to the retirement plan shall be made in accordance with the following schedule for the period of January 1, 1973, through June 30, 1987:

Contributions as a Percent of Basic Annual Salary

By the Participant	By the Institution	Total
1%	2%	3%

Beginning July 1, 1987, contributions to the retirement plan shall be increased as follows:

Contributions as a Percent of Basic Annual Salary

<u>By the</u> <u>Participant</u>	<u>By the</u> <u>Institution</u>	<u>Total</u>
<u>4%</u>	<u>8%</u>	<u>12%</u>

(No further changes in this section except the addition of a new paragraph as covered in Amendment #2.)

Background: This amendment is based on a recommendation by Chancellor Gallaher of the Lexington Campus sector. It is necessitated by the passage of the Federal Employees Retirement System (FERS) Act by the U. S. Congress last June. The FERS legislation discontinued the Civil Service Retirement System for all Extension employees hired on or after January 1, 1984. During the period of January 1, 1984, through March 31, 1987, the University has hired 97 new Extension employees who now have legal entitlement to belong to the new FERS program. All Extension employees hired on or after April 1, 1987, will only be entitled to participate in the established University TIAA/CREF retirement program for regular faculty (Group I) and administrative, managerial specialist, and professional staff employees (Group III), as appropriate.

The more significant aspect of the FERS legislation is that the 427 Extension employees on our payroll who were hired prior to January 1, 1984, have the option of staying in the old Civil Service System or joining FERS. Each of these employees has until December 31, 1987, to make a decision. Such a decision is a very complicated one for the individual employee that relates to his or her accrued years of service and a variety of personal considerations.

The cost implication to the University in terms of additional contributions to the retirement program for this older group of Extension employees is approximately \$1,000,000 per year if 40% of these employees opt for the FERS program. To forestall any mass exodus out of the Civil Service Retirement System and into the more costly FERS program, Dean Barnhart has met with the Extension leaders and reached an understanding with them that they and their constituency would remain in the Civil Service program if the University of Kentucky would raise the employer contribution to TIAA/CREF from 2% to 8%. The cost implication of this latter action is approximately \$800,000 contrasted with a possible \$2,500,000 if all of the 427 Extension employees opted for FERS.

Funding will be provided for the cost increase in the retirement program in the 1986-88 biennium out of the College of Agriculture budget. The University, in turn, will ask the General Assembly for recurring funds to cover this cost increase for each year in the 1988-90 biennium. It is generally understood that if the General Assembly fails to appropriate the funds for the additional retirement benefit, a sufficient number of Extension positions will have to be eliminated in 1988-90 to cover the additional retirement benefit costs.

Amendment #8 - Add the following as a new Section I.D.4:

4. Group IV TIAA/CREF Plan - Termination of Contributions

Contributions on behalf of Group IV personnel in the TIAA/CREF Plan through December 31, 1987, shall terminate at the end of the fiscal year in which the employee attains age 65 or upon the earliest occurrence of any one or more of the following:

a. Cessation of regular full-time employment

b. Retirement

Beginning January 1, 1988, contributions on behalf of Group IV personnel in the TIAA/CREF Plan shall terminate upon retirement or cessation of regular full-time employment.

Background: This change is needed to provide a section parallel to those for other Groups in the TIAA/CREF Plan and to bring the University of Kentucky Retirement Plan (AR II-1.6-1) into conformity with federal laws.

Amendment #9 - Add the following as a new Section I.E:

E. Group V Personnel and TIAA/CREF Plan

1. Group V Personnel

Eligibility of personnel for classification in Group V is conditioned upon: (a) regular full-time employment; (b) occupation in a position covered by the Federal Employees Retirement System (FERS) Act; and (c) participation rights under the FERS retirement plan.

2. Group V TIAA/CREF Plan - Participation Requirements

Participation of Group V personnel hired on or after January 1, 1973, but no later than March 31, 1987 is voluntary upon completion of one year of continuous service.

The preliminary service period shall be waived, upon request, for employees with tenure or for employees who own retirement annuity contracts issued by the Teachers Insurance and Annuity Association (TIAA) and/or retirement annuity certificates issued by the College Retirement Equities Fund (CREF).

3. Group V TIAA/CREF Plan - Contributions and Vesting

Contributions to the retirement plan shall be made in accordance with the following schedule:

Contributions as a Percent of Basic Annual Salary

<u>By the Participant</u>	<u>By the Institution</u>	<u>Total</u>
<u>1%</u>	<u>2%</u>	<u>3%</u>

The University shall deduct the contribution of the participant from the regular salary payments, add its contribution, and apply the combined sum to the purchase of retirement annuities for the participant as follows:

a. At the election of the participant, either 0, 25, 50, 75, or 100 percent of such combined sum will be forwarded to the Teachers Insurance and Annuity Association to be applied to a TIAA retirement annuity contract for the participant.

b. The balance of such combined sum, if any, will be forwarded to the College Retirement Equities Fund to be applied to the purchase of a CREF retirement annuity certificate for the participant.

Annuities purchased with the combined employee and University contributions shall become the property of individual participants immediately upon purchase. All annuities are for the sole purpose of providing retirement and/or death benefits.

If by applying the above-stated percentages there would be a violation of federal or state laws, as a result of the employer and/or employee contributions, then these percentages shall not be applied to the extent of violating applicable laws. In such cases, the amount of the employer contribution that cannot be forwarded to TIAA/CREF shall be paid to the employee as a temporary salary increase for the balance of the calendar year.

4. Group V TIAA/CREF Plan - Termination of Contributions

Contributions on behalf of Group V personnel in the TIAA/CREF Plan through December 31, 1987, shall terminate at the end of the fiscal year in which the employee attains age 65 or upon the earliest occurrence of any one or more of the following: retirement or cessation of regular full-time employment. Beginning January 1, 1988, contributions on behalf of Group V personnel in the TIAA/CREF plan shall terminate upon retirement or cessation of regular full-time employment.

Background: This amendment is based upon a recommendation by Chancellor Gallaher of the Lexington Campus sector. It is necessitated by the passage of the Federal Employees Retirement System (FERS) Act enacted by the U. S.

Congress last June. The FERS legislation discontinued the Civil Service Retirement System for all Extension employees hired on or after January 1, 1984. During the period of January 1, 1984, through March 31, 1987, the University has hired 97 new Extension employees who now have legal entitlement to belong to the new FERS program. Additionally, 427 Extension employees hired prior to January 1, 1984, have the option of staying in the old Civil Service Retirement System or joining the new FERS program. For the new employees who have the legal entitlement to belong to the new FERS program, as well as those employees hired prior to January 1984, who qualify and choose to join the FERS program, the University will be obligated to contribute 2% of the employee's base salary under the University's TIAA/CREF Plan.

Amendment #10 - Redesignate existing Section I.E as Section I.F:

[E.] F. President and Vice Presidents

Background: This redesignation is necessary because of the addition of a new Section I.E.

Amendment #11 - Change Section II.D.2 to read:

2. Subsequent to Normal Retirement Date

An employee who has elected to continue in employment beyond his or her normal retirement date, as established herein, may be required to retire [prior to the mandatory retirement date otherwise provided] in the event that the said employee's condition of health becomes such that it prevents the discharge of assigned duties and responsibilities.

Background: This change is needed to bring the University of Kentucky Retirement Plan (AR II-1.6-1) into conformity with the requirements of federal laws.

Amendment #12 - Add the following as a new Section III.B.5:

5. Group V Personnel

Employees covered under the Group V plan do not have minimum annual retirement benefit rights.

Background: Employees with previous University of Kentucky Civil Service Retirement System service electing coverage under the new Federal Employee Retirement System plan, who previously may have had minimum annual retirement benefit rights as a member of the Group IV plan, do not continue these minimum annual retirement benefit rights, if any, as a participant in the new Group V plan.

Amendment #13 - Redesignate Sections III.B.5 and III.B.6 as Sections III.B.6 and III.B.7, respectively:

Background - This redesignation is necessary in view of the insertion of a new Section III.B.5.

Amendment #14 - Change Section IV.A to read:

A. Employees eligible for supplemental retirement income pursuant to Section [I.E] I.F or III.B may elect a spouse survivor option as to such benefits at the time of retirement.

Background: This change is necessitated by the redesignation of Section I.E as Section I.F.

Amendment #15 - Change Section V to read:

[A. Notwithstanding the prohibition related to employment beyond the mandatory retirement date retirees may be employed to perform duties for which fee schedules have been established and approved by the President of the University.]

[B.] Employees who have elected to retire from University service under any of the provisions hereof shall not be eligible for re-employment except to perform duties for which fee schedules have been approved or upon the specific prior action of the Board of Trustees granting approval of the appointment.

Background: This amendment is necessary to bring the University of Kentucky Retirement Plan (AR II-1.6-1) into conformity with the requirements of federal laws.

Amendment #16 - Change the title of Section IX.A to read:

A. After Vesting of Annuities [(TIAA/CREF Plans for Groups I, II, III, and IV)] (TIAA/CREF Plans for All Groups)

Background: This change provides for the inclusion of the Group V plan.

1881A

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 23, 1987

REVISED

Office of the President
June 23, 1987

FCR 15

Members, Board of Trustees:

STRATEGIC DIRECTION RELATING TO COLDSTREAM FARM

Recommendation: that the Board of Trustees adopt the following strategic direction in dealing with the future of Coldstream Farm:

Amended prior to approval to read:

Recommendation: that the Board of Trustees adopt the following strategic direction in dealing with the future of Coldstream Farm in the event the Board of Trustees determines sale or other disposition of the farm is advisable:

- (1) Per the guidelines adopted by the Board of Trustees on September 17, 1985 the term "disposition" shall be defined to include either sale, lease, or trade of property.
- (2) The Board of Trustees will consider all or part of the farm as land for which University Administration may receive proposals for disposition.
- (3) The disposition will be maximized for the best interest of the University.
- (4) The disposition will maximize the contribution to the economic development of the Commonwealth of Kentucky.
- (5) The Chairman of the Finance Committee will appoint a three-member subcommittee of the Finance Committee to work with the President and other members of University Administration in developing the following:
 - a. A recommendation to the Finance Committee as to how disposition should be accomplished; through sale, lease, or trade of all or part of the farm.
 - b. A recommendation to the Finance Committee as to the most effective corporate structure for accomplishing disposition.
 - c. A recommendation to the Finance Committee of a development plan and timetable for disposition.
 - d. A recommendation to the Finance Committee of a policy statement regarding the use of proceeds from the disposition.

- (6) The Finance Committee will be responsible for assuring the Board of Trustees that the guidelines adopted on September 17, 1985 for the disposition of property have been met.
- (7) The President of the University of Kentucky will submit a plan to the Finance Committee which sizes and relocates, if necessary, the research programs currently on Coldstream.
- (8) As appropriate, the Finance Committee will make a recommendation to the Board of Trustees on the selection of and contracting with a developer or developers to carry out part or all of an approved development plan.
- (9) Following the policies of the Board of Trustees, the President of the University of Kentucky will submit a plan to the Board allocating proceeds resulting from the disposition.

Background: The University of Kentucky has become increasingly aware that Coldstream Farm can no longer fulfill the needs of the research program carried on by the College of Agriculture. The encroachment of urban development and the deterioration of the soil have affected the research value of this property. The foregoing statements have been developed to establish a strategic direction for the Board of Trustees to follow when deciding on the future of Coldstream Farm.

Action: Approved ✓ Disapproved Other

Date: June 23 , 1987

Office of the President
June 23, 1987

ICR 1

Members, Board of Trustees:

REPORT OF INVESTMENTS

Recommendation: that the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the three months ended March 31, 1987, be accepted.

Background: A part of the Board policy regarding investments is a provision that a quarterly report be submitted by the Investment Committee of the Board. The attached reports reflect the purchases and sales made during the First Quarter of 1987, for the University of Kentucky Athletic Association, the Fund for Advancement of Education and Research in the University of Kentucky Medical Center, the University of Kentucky, the University of Kentucky Research Foundation, the University of Kentucky Business Partnership Foundation, the University of Kentucky Mining Engineering Foundation, the University of Kentucky Equine Research Foundation, and the University of Kentucky Humanities Foundation.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 23, 1987

University of Kentucky
Statement of Portfolio Changes
Short Term Current Fund Investments
For the Three Months Ended March 31, 1987

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Amount of Purchase</u>
None				
<u>Maturities/Sales:</u>	<u>Purchase Date</u>	<u>Maturity/ Sale Date</u>	<u>Yield</u>	<u>Amount of Maturity/Sale</u>
U.S. Treasury Notes	12/05/85	2/28/87	8.15%	\$ 5,000,000
U.S. Treasury Notes	9/13/85	3/31/87	8.86%	3,000,000
Total - Maturities/Sales				<u>\$ 8,000,000</u>

The Fund for the Advancement of Education and Research
in the University of Kentucky Medical Center
Statement of Portfolio Changes
Short Term Current Fund Investments
For the Three Months Ended March 31, 1987

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Amount of Purchase</u>
None				
<u>Maturities/Sales:</u>	<u>Purchase Date</u>	<u>Maturity/ Sale Date</u>	<u>Yield</u>	<u>Amount of Maturity/Sale</u>
Federal National Mortgage Assoc.	7/08/85	1/12/87	8.20%	\$ 1,265,000
Total ~ Maturities/Sales				\$ 1,265,000

University of Kentucky Athletic Association
Statement of Portfolio Changes
Short Term Current Fund Investments
For the Three Months Ended March 31, 1987

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Amount of Purchase</u>
None				
<u>Maturities/Sales:</u>	<u>Purchase Date</u>	<u>Maturity/ Sale Date</u>	<u>Yield</u>	<u>Amount of Maturity/Sale</u>
Federal National Mortgage Association	7/08/85	1/12/87	8.20%	\$ 1,000,000
Certificate of Deposit # 97288	12/06/85	2/18/87	8.15%	3,500,000
Total - Maturities/Sales				<u>\$ 4,500,000</u>

University of Kentucky
And Affiliated Corporations
Statement of Portfolio Changes for the Regular Endowment Pool
For the Three Months Ended March 31, 1987

Purchases/Additions:

<u>No. of Shares</u>	<u>Security</u>	<u>Amount of Purchase</u>
265,793	Thoroughbred Group Prime Obligations - D	\$ 265,793.06
1,900	Waste Management Inc.	125,902.70
100,000	A T & T debenture, 5.625%, 8/01/95	87,534.00
250	Fur Vault Inc.	2,799.00
4,250	Gannett Inc.	188,527.33
2,050	Knight-Ridder Inc.	111,606.28
2,250	Sherwin Williams Company	76,975.45
3,450	Automatic Data Processing Inc.	150,233.70
900	Exxon Corporation	76,612.50

Total - Purchases/Additions

\$1,085,984.02

University of Kentucky
And Affiliated Corporations
Statement of Portfolio Changes for the Regular Endowment Pool
For the Three Months Ended March 31, 1987

Sales/Conversions:

No. of Shares	Security	Net Proceeds from Sales	Book Value	Gain or (Loss)
2,400	Ampco-Pittsburg Corporation	\$ 33,645.67	\$ 51,600.00	\$ (17,954.33)
51.5	American Information Technologies Corp	4,608.98	4,675.77	(66.79)
512	American Telephone & Telegraph	12,031.59	13,920.00	(1,888.41)
102	Bell Atlantic Corporation	7,305.50	7,331.25	(25.75)
5,350	Consolidated Edison Company, New York	252,488.46	93,830.00	158,658.46
7,840	DSC Communications Corporation	57,820.00	98,593.33	(40,773.33)
100	Kentucky Utilities Company	4,192.35	4,337.50	(145.15)
2,002	NYNEX Corporation	131,148.58	86,974.23	44,174.35
3,300	United States Shoe Corporation	77,500.41	82,309.34	(4,808.93)
102	U S West Inc.	5,622.56	5,826.75	(204.19)
30,000	Lomas & Nettleton debenture, 9%, 4/01/10	46,950.00	42,932.40	4,017.60
1,305	Southland Corporation	65,919.87	58,132.95	7,786.92
290	Southland Corporation, preferred, Series A	19,588.84	21,290.29	(1,701.45)
1,110	Lomas & Nettleton	40,957.63	34,064.50	6,893.13
1,100	Aetna Life & Casualty Company	73,340.04	56,175.00	17,165.04
600	American Can Company	29,848.99	15,077.63	14,771.36
1,500	Allegheny Power System Inc.	65,585.31	51,727.50	13,857.81
	Total - Sales/Conversions	\$ 928,554.78	\$ 728,798.44	\$ 199,756.34

University of Kentucky
And Affiliated Corporations
Pooled Endowment Funds - Fixed Income Securities
For the Three Months Ended March 31, 1987

Purchases/Additions

<u>No. of Shares</u>	<u>Security</u>	<u>Amount of Purchase</u>
469,849.98	Thoroughbred Group Prime Obligations - D	\$ 469,839.98
400,000	U.S. Treasury Notes, 8.875%, 2/15/96	447,125.00
350,000	Amoco Inc. Euro debenture, 9.75%, 3/20/16	379,750.00
8,828	Bond Fund for Tax Exempt Trusts	599,950.88
	Total - Purchases/Additions	<u>\$ 1,896,665.86</u>

Sales/Maturities:

<u>No. of Shares</u>	<u>Security</u>	<u>Net Proceeds from Sales</u>	<u>Book Value</u>	<u>Gain or (Loss)</u>
330,000	U. S. Treasury Bonds, 9.375%, 2/15/06	\$ 386,203.13	\$ 359,493.75	\$ 26,709.38
330,000	J P Morgan & Co., Australian Dollar Note, floating rate, 2/10/93	217,986.03	200,090.88	17,895.15
	Total - Sales/Maturities	<u>\$ 604,189.16</u>	<u>\$ 559,584.63</u>	<u>\$ 44,604.53</u>

University of Kentucky
And Affiliated Corporations
Pooled Endowment Funds - Money Market
For the Three Months Ended March 31, 1987

<u>Net Sales:</u>		
<u>No. of</u>	<u>Security</u>	
<u>Shares</u>		
563,318.21	Thoroughbred Group Prime Obligations - D	
	Total - Sales	
		<u>Net Proceeds</u>
		<u>from Sales</u>
		\$ 563,318.21
		<u>Book Value</u>
		\$ 563,318.21
		<u>\$ 563,318.21</u>

University of Kentucky
Intercollegiate Athletics Fund
For the Three Months Ended March 31, 1987

Purchases:

No. of Shares	Security	Amount of Purchase
200,000	U.S. Treasury Notes, 8.875%, 2/15/96	\$ 223,562.50
350,000	Amoco Inc., Euro debenture, 9.75%, 3/20/16	379,750.00
190,000	U.S. Treasury Notes, 8.875%, 2/15/96	<u>209,950.00</u>
	Total - Purchases	<u>\$ 813,262.50</u>

Sales:

No. of Shares	Security	Net Proceeds from Sales	Book Value	Gain or (Loss)
48,000	Thoroughbred Group Prime Obligations - D	\$ 48,000.00	\$ 48,000.00	
350,000	U.S. Treasury Notes, 7.375%, 5/15/96	353,390.63	341,579.69	\$ 11,810.94
270,000	USX Corp. sub debenture, 4.625%, 1/01/96	209,171.70	200,385.90	8,785.80
165,000	J P Morgan & Company, Australian Dollar Note, floating rate, 2/10/93	<u>108,993.02</u>	<u>100,045.44</u>	<u>8,947.58</u>
	Total - Sales	<u>\$ 719,555.35</u>	<u>\$ 690,011.03</u>	<u>\$ 29,544.32</u>