

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, June 22, 1993.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, June 22, 1993 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Mr. Pete November.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Governor Edward T. Breathitt (Chairperson), Mrs. Kay Shropshire Bell, Mr. Robert N. Clay, Mr. James F. Hardymon, Mr. Henry L. Jackson, Dr. Robert P. Meriwether, Mr. Pete November, Dr. Elissa Plattner, Dr. Deborah Powell, Mr. James L. Rose, Mrs. Marian Moore Sims, Professor John Sistarenik, Jr., Mr. William B. Sturgill, Mr. Billy B. Wilcoxson, and Judge Henry R. Wilhoit, Jr. Absent from the meeting were Professor Carolyn S. Bratt, Mr. Paul W. Chellgren, Mr. C. Frank Shoop, Mr. Daniel C. Ulmer, Jr. and Mrs. Lois C. Weinberg. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Peter P. Bosomworth, Ben W. Carr, Jr., and Robert E. Hemenway; Vice Presidents Joseph T. Burch, Edward A. Carter, Donald B. Clapp, Linda J. Magid, and Eugene R. Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs; and Mr. John C. Darsie, Legal Counsel.

Members of the general public and the news media were also in attendance. A quorum being present, Governor Breathitt declared the meeting officially open for the conduct of business at 1:03 p.m.

C. Oath of Office Administered

Gay Elste, Associate Legal Counsel, administered the oath of office to Mr. James F. Hardymon whom Governor Brereton Jones reappointed to the Board. Mr. Hardymon's term will expire June 30, 1999.

D. Approval of Minutes

On motion made by Mr. Rose, seconded by Mrs. Bell and passed, the Minutes of the May 4, 1993 meeting of the Board of Trustees were approved as distributed.

E. Resolution by Dr. Deborah Powell

Dr. Powell read a resolution for Professor Carolyn Bratt, who will be completing her term on the Board June 30, 1993. She said that she believed that she spoke for the faculty of the University of Kentucky in presenting the following resolution of thanks and appreciation to Professor Carolyn Bratt, the W. L. Matthews Professor of Law, for her service to the faculty and to the University. She said that Professor

Bratt has served the University and its faculty and students in many arenas during her 18 years on this campus and read the following resolution on her behalf:

Carolyn joined the University of Kentucky College of Law faculty in 1975. From 1984 to 1989, she was the Alumni Professor of Law and in 1989 was appointed as the W. L. Matthews Professor of Law. She has been recognized since early in her career as a skilled and dedicated teacher. She received the College of Law Outstanding Teacher awards in 1977 and 1979, the UK Alumni Association Great Teacher Award in 1985, the Faculty Advisor Excellence Award of the UK Student Organizations Assembly in 1989, and the Duncan Award for Excellence in Teaching from the UK College of Law in 1990. She is recognized by her peers locally and nationally as a leader in her profession serving as Special Justice to the Kentucky Supreme Court and receiving the Cassis Award for Excellence in Legal Research and Scholarship from the UK College of Law in 1989. She has also received numerous awards for her public service from local, state, and national groups to which she has willingly given her time, energy and incisive intellect.

Some of Carolyn's greatest contributions, however, have been to her faculty colleagues at the University of Kentucky. She has served us faithfully, with forthrightness, integrity, and with deep caring and concern for our University in the highest areas of elective and appointed responsibility for a faculty member. She has served as the Chair of the University Senate Ad Hoc Committee on the Status of Women and co-authored its landmark report. She was a faculty representative to the 1990 Presidential Search Committee, and has served as an elected member of the University Faculty Senate, the Senate Council and, from 1990-91, as Chair of the University Senate Council. In 1990 she was elected faculty representative to the University of Kentucky Board of Trustees. With this meeting, she concludes her term as a Board member.

During the past year Carolyn has been the recipient of an American Council of Education (ACE) Fellowship, designed to introduce faculty to academic administration. I am certain that as Carolyn makes the transition into academic administration she will take with her an understanding and appreciation for the concerns and hopes of faculty and students whom she has served so tirelessly and sometimes at great personal cost. The faculty of the University of Kentucky are grateful to this outstanding woman for her service to us, and for myself and on their behalf, I would like to thank her for her work. I hope that my fellow members of this Board will join me in recognizing her service.

Governor Breathitt thanked Dr. Powell and asked for a motion to adopt the Resolution. Mr. Sturgill moved approval. Mrs. Plattner seconded the motion and it was unanimously adopted. Governor Breathitt asked Dr. Powell to deliver a copy of the Resolution to Professor Bratt, the retiring Dean and the new Dean of the College of Law.

F. Mr. Pete November and Mr. James Rose Recognized

Governor Breathitt noted that the terms of two other outstanding Board members will expire June 30, 1993: Mr. Pete November and Mr. James Rose. He mentioned that he had served on several boards and that Mr. November is the finest student representative that he has ever had the privilege to serve with on a Board. He said that Mr. November kept his commitment to his constituency and served the students well. He noted with pleasure that Mr. November was going to attend the College of Law at the University of Kentucky. He expressed heartfelt thanks from the other Board members and wished him the best for the future.

Governor Breathitt expressed appreciation to Mr. Rose. He reported that three governors had appointed Mr. Rose to serve on the Board. He stated that Mr. Rose had served with distinction on the Board and is an outstanding leader in the state in the coal industry, which is major to the economy of the state. He said that Mr. Rose and his family had been loyal supporters of the University and will have many opportunities to continue to serve the University. He thanked Mr. Rose for his dedicated support and said that the University was grateful to him and his family.

Governor Breathitt asked that resolutions be prepared for Mr. November and Mr. Rose and be presented to the Board at its next meeting.

Mr. November introduced his successor, Lance Dowdy. He said that he had worked with Mr. Dowdy for a number of years in the fraternity system and that Mr. Dowdy would be a good representative for the students.

Mr. Wilcoxson expressed appreciation to Mr. November and Mr. Rose. He said that it had been a pleasure to work with them and hoped that they would work together again in the future.

President Wethington thanked Mr. Rose for his contributions to the Board of Trustees, the Hospital Board, and the Finance Committee, especially his guidance and advice in matters dealing with coal issues and matters that impact Robinson Forest. He noted that the University would continue to seek Mr. Rose's advice even though he will not be a member of the Board. On behalf of the University, President Wethington thanked Mr. Rose for all that he had contributed to the University.

President Wethington said that Mr. November had been an excellent Student Government Association President and a mature, thoughtful, considerate member of the Board. He said that Mr. November looked at the broad issues and concerns of the University and understood the business of the University well. President Wethington thanked him for the fine leadership that he had provided to the Student Government Association.

President Wethington said that Dr. Powell had "said it very well" in regard to Professor Bratt. He said that the Resolution of thanks and appreciation would be spread on the Minutes and appreciation would be expressed to Professor Bratt for her service on the Board.

G. President's Report to the Trustees (PR 1)

In reviewing his report to the Trustees, President Wethington called attention to the following items:

1. The Community College System transfer rate to four-year institutions ranks high nationally.
2. The National Cancer Institute has awarded the Markey Cancer Center a \$3.3 million contract to operate the Cancer Information Service for a three-state region that includes Kentucky, Arkansas and Tennessee.
3. Twenty students have been awarded 1993 Singletary Scholarships.
4. A \$60,000 grant from the BellSouth Foundation will help minority candidates earn teaching certificates through a recruitment program offered by UK and the Fayette County Public Schools.
5. Kentucky is one of 15 states chosen to participate in a program aimed at improving the distribution of primary care providers in underserved rural and inner-city communities. The \$16.5 million two-stage grant program is an initiative of the Robert Wood Johnson Foundation. The Medical Center has been designated as the lead agency for the Kentucky grant.
6. The University has begun a campaign to raise \$6 million in private funds for a new facility to serve both the Sanders-Brown Center on Aging and the College of Allied Health Professions.

President Wethington asked that the Trustees review the other items in his report at their leisure.

President Wethington commented further on the joint effort of the Sanders-Brown Center on Aging and the College of Allied Health campaign to raise \$6 million in private funds. He announced that Mr. Robert T. McCowan, former Board member, Chairman of the Board, and strong alumnus of the University of Kentucky had made a million dollar contribution in stock which will go to support the programs in the Center on Aging. He mentioned that there was an action item on the agenda to accept the gift. He asked Mr. McCowan, his wife, Nyle, and their daughter, Jill, to stand and be recognized, following which they received a round of applause. Mrs. McCowan introduced their grandchildren who were also in attendance.

Governor Breathitt expressed appreciation to Mr. McCowan for his continuing interest in the University. He said that the McCowan family have always been loyal, dedicated supporters of the University and this gift is evident of their continued strong commitment.

H. Personnel Actions (PR 2)

With President Wethington so recommending, Mr. Wilcoxson made a motion to approve the routine personnel actions in PR 2. The motion, seconded by Mrs. Bell, carried. (See PR 2 at the end of the Minutes.)

I. Revision of the Bylaws - University of Kentucky Research Foundation (PR 3A)

President Wethington recommended that the revision of the Bylaws of the University of Kentucky Research Foundation be approved. He said that the proposed revision recognizes the changes in titles for the ex officio members of the Board of Directors which have resulted from changes in the University organization and changes gender specific language. Mr. November moved approval. Dr. Plattner seconded the motion and it passed. (See PR 3A at the end of the Minutes.)

J. Appointment of Board of Directors - University of Kentucky Research Foundation (PR 3B)

President Wethington recommended that approval be given to the appointment of Dr. Thomas Pirone (faculty member) to the Board of Directors of the University of Kentucky Research Foundation for a three-year term beginning July 1, 1993 and ending June 30, 1996 and the reappointment of Dr. Lee Todd (public member) to a three-year term beginning July 1, 1993 and ending June 30, 1996. On motion made by Mr. Sturgill, seconded by Dr. Meriwether and carried, PR 3B was approved. (See PR 3B at the end of the Minutes.)

K. Appointments to Advisory Board - Lexington Community College (PR 4A)

President Wethington recommended that approval be given to the reappointments of Mr. Richard E. Blanchard, Mr. Carl Boyer, Mr. Larry Martini, and Mr. Lewis Owens to the Advisory Board of Lexington Community College for four-year terms to expire June 30, 1997. He noted that the Lexington Community College Advisory Board is the only one that is not appointed by the Governor. Mr. Sistarenik moved approval. His motion, seconded by Mrs. Sims, carried. (See PR 4A at the end of the Minutes.)

L. Merger of Educational Units (PR 5A)

President Wethington recommended that the Board of Trustees approve the merger of the School of Journalism and the Department of Telecommunications to form the School of Journalism and Telecommunications in the College of Communications. Mrs. Bell moved approval of PR 5A. Mrs. Sims seconded the motion and it passed. (See PR 5A at the end of the Minutes.)

M. Merger of Educational Units (PR 5B)

President Wethington recommended that the Board of Trustees approve the merger of the College of Library and Information Science and the College of Communications to form the College of Communications and Information Studies. He said that the proposed merger is a part of the restructuring process and noted that the proposal has been approved by the University Senate. Mr. Rose moved approval. His motion was seconded by Mr. November and carried. (See PR 5B at the end of the Minutes.)

N. Change in Name of an Educational Unit (PR 6A)

President Wethington recommended that the Board of Trustees approve the change of the name of the Department of Medicine in the College of Medicine to the Department of Internal Medicine. He said that the proposed change of name has been recommended by the appropriate Academic Council and faculty bodies. Mrs. Sims moved approval. The motion was seconded by Dr. Powell and carried. (See PR 6A at the end of the Minutes.)

O. Change in Name of an Educational Unit (PR 6B)

President Wethington recommended that the Board of Trustees approve the change of the name of the Department of Pathology in the College of Medicine to the Department of Pathology and Laboratory Medicine. Dr. Powell moved approval of PR 6B. Her motion, seconded by Dr. Meriwether, passed. (See PR 6B at the end of the Minutes.)

P. Fund Board of Directors Appointments (PR 6C)

With President Wethington so recommending, Mr. Wilcoxson made a motion to approve the reappointment of Mr. Alan Bloomfield as a member of the Board of THE FUND for Advancement of Education and Research in the University of Kentucky Medical Center for an additional two-year term, beginning July 1, 1993 and ending June 30, 1995. The motion, seconded by Mrs. Bell, passed. (See PR 6C at the end of the Minutes.)

Q. Agreement Between University of Kentucky and Kentucky Medical Services Foundation (PR 6D)

President Wethington asked Dr. Clapp to comment on the changes in the agreement.

Dr. Clapp said that the terms of the document are essentially the same as those in the agreement approved by the Board on June 16, 1992. The document has been updated to reflect dates in the new contract period, and the amounts due the Foundation in Section 6B. Section 19 on Professional Liability Insurance continues to reflect that Kentucky Medical Services Foundation will bear the costs associated with the provision of medical malpractice funding for the University's faculty physicians under the University's self-insurance program.

President Wethington recommended that the Board approve the agreement. Mr. Rose moved approval of PR 6D. Mrs. Sims seconded the motion and it passed. (See PR 6D at the end of the Minutes.)

R. Acceptance of Interim Financial Report for the University of Kentucky for the Eleven Months Ended May 31, 1993 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Committee had met and reviewed the agenda items to be presented for action. He began with the interim financial report. He said the Committee found the University to be "on schedule," and then moved that the Board accept the financial report for the eleven months ended May 31, 1993. The motion was seconded by Dr. Meriwether, and it was so ordered. (See FCR 1 at the end of the Minutes.)

S. Capitalization Policy Non-expendable Moveable Equipment
(FCR 2)

Mr. Hardymon moved that the Board approve the policy for capitalization of non-expendable moveable equipment. He then reviewed the proposed policy. He said that the policy would eliminate 56.3% of inventory records, and it will also make inventory more accurate while saving costs. The motion, which was seconded by Mr. Sturgill, was voted on and carried. (See FCR 2 at the end of the Minutes.)

T. Disposal of Personal Property (FCR 3)

Mr. Hardymon reviewed the items in Exhibit I and moved that the Board approve the disposition of surplus equipment as presented in FCR 3. Mr. Sturgill seconded the motion, and it carried without dissent. (See FCR 3 at the end of the Minutes.)

U. Authorization for Incineration of Paid Bonds and Coupons
(FCR 4)

Mr. Hardymon moved, and Mr. Sturgill seconded the motion, that the Board authorize Ms. Sandy Honaker and a representative from the Internal Audit Division to check the list of paid and cancelled bonds and coupons returned from Paying Agents from October 1987 through May 1993, to destroy these documents by burning in the incinerator of the Medical Center, and to certify the correctness of the list as to its incineration. The motion was voted on, and it was so ordered. (See FCR 4 at the end of the Minutes.)

V. Report of Leases (FCR 5)

Mr. Hardymon moved that the report of leases in FCR 5 be accepted. He noted that the leases do not exceed \$30,000 in value. His motion was seconded by Dr. Meriwether and carried. (See FCR 5 at the end of the Minutes.)

W. Approval of Leases (FCR 6)

Mr. Hardymon reported that FCR 6 involved twelve renewal of leases and one new lease between University of Kentucky Medical Center-Emergency Medicine EMT Program/Preventive Medicine and Whitmer Properties, Inc. He made a motion that FCR 6 be approved. His motion, seconded by Mr. Rose, passed. (See FCR 6 at the end of the Minutes.)

X. Purchase of Property (FCR 7)

Mr. Hardymon moved that the Board approve the purchase of real property located at 935 Limestone Street and one-half of the real property located at 120 Transcript Avenue from George J. Ruschell and Bonnie Ruschell at an average appraisal price of \$245,000. He said that this would eliminate the annual lease cost and would be a good return for the University. Mr. Hardymon's motion was seconded by Mr. November and passed. (See FCR 7 at the end of the Minutes.)

Y. Intellectual Property Disposition and Administrative Regulations (FCR 9)

Mr. Hardymon reviewed the recommendations regarding intellectual property disposition and administrative regulation. He made a motion that the Board approve FCR 9. His motion, seconded by Mr. Sturgill, carried. (See FCR 9 at the end of the Minutes.)

Z. Patent Assignment - Research and Graduate Studies (FCR 10)

Mr. Hardymon moved that the routine patent assignment in FCR 10 be approved. Mrs. Sims seconded the motion and it carried. (See FCR 10 at the end of the Minutes.)

AA. Patent Assignment - Research and Graduate Studies (FCR 11)

Mr. Hardymon explained that John Stencel, Aurora Rubel and Sayed Ahmed have invented certain improvements in Selective Capture and Release of Nitrogen Oxide Emissions from Combustion Gas which will cut back on elements in the air. He made a motion to approve FCR 11. The motion, seconded by Dr. Plattner, passed. (See FCR 11 at the end of the Minutes.)

BB. Robert T. McCowan Charitable Remainder Annuity Trust (FCR 12)

Mr. Hardymon moved that the Board accept the "Robert T. McCowan Charitable Remainder Annuity Trust," an irrevocable Trust between Robert T. McCowan and the University of Kentucky, which was established in accordance with current Revenue Procedures and Section 664(d)(1) of the Internal Revenue Code of 1986. Mr. Sturgill seconded the motion and it passed. (See FCR 12 at the end of the Minutes.)

CC. Establishment of an Endowed Alumni Chair in Anatomy for the College of Medicine (FCR 13)

Mr. Hardymon moved that the Board authorize the establishment of the Alumni Chair in Anatomy in the College of Medicine's Department of Anatomy. His motion, which was seconded by Dr. Powell, carried. (See FCR 13 at the end of the Minutes.)

DD. Establishment of Endowed Horace Ford Scholarship Fund (FCR 14)

Mr. Hardymon moved that the Board accept a partial distribution from the Estate of Horace Ford in the amount of \$100,000 and authorize the creation of an endowed Scholarship Fund entitled the Horace Ford Scholarship Fund. He noted that Mr. Ford is a 1952 graduate of the University of Kentucky. Mr. Jackson seconded the motion and it passed. (See FCR 14 at the end of the Minutes.)

EE. Resolution of the Board of Trustees of the University of Kentucky Accepting the Successful Bid for the Purchase of \$7,585,000 (Plus or Minus up to \$755,000) of the University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series), Dated June 1, 1993 (FCR 15)

Mr. Hardymon recommended and moved that the Board approve a Resolution accepting the successful bid of J.J.B. Hilliard, W.L. Lyons

with reference to the sale of the \$7,585,000 (plus or minus up to \$755,000) of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series), dated June 1, 1993, at a net interest cost of 5.3068%; (ii) that the Board of Trustees approve the form of Official Statement as prepared by J.J. B. Hilliard, W. L. Lyons, Inc., the Financial Advisors to the Board of Trustees. His motion, which was seconded by Mr. Wilcoxson, passed. (See FCR 15 at the end of the Minutes.)

FF. Operating Budget for 1993-94 (FCR 8)

In opening his presentation, President Wethington said that it had been a difficult budget to put together. He noted that it represented a lot of work by a lot of people in the University; faculty, staff and others. He said that the development of the budget was significantly influenced by continuing downward revisions in the state appropriations to the University. He reported that the administration reexamined priorities, took a critical look at operations during 1992-93, reviewed the administrative structure, staffing patterns, and workload, and developed a University System faculty workload policy which the University had not had before. He reminded the Board of the comprehensive review of the University Strategic Plan which was brought to the Board for approval earlier in the year and reported that each of the major thrusts outlined in the Plan had been addressed in the 1992-93 Operating Budget.

Through a series of slides, President Wethington reviewed and elaborated on a summary of state budget reductions, tuition revenue increases, available resources, expenditures for program support, use of \$1.3M special state appropriation, fixed costs, salaries/operating expenses, employee benefits, priorities for the University, program improvement, expenditures for program support, other income, capital budget, and the operating budget by category of expenditures.

In summary, President Wethington said that, in his opinion, it was a good operating budget given the constraints within which the staff had to work. He noted that the members of the Board and media had been given an opportunity to ask questions and get informed about the budget. He said that it was essential that the Board approve the budget in order for the University to operate beginning July 1, 1993. He recommended approval of FCR 8.

On behalf of the Finance Committee, Mr. Hardyman moved that the budget as recommended be adopted and that the chairperson of the Board be authorized to execute contracts with the administrative officers providing for the terms and conditions of their employment.

Professor Sistarenik said that he had no problem with the overall budget given the restrictions and limitations that President Wethington had mentioned in his presentation. However, he said that he had serious reservations about one portion of the budget dealing with the substantial raises to deans of academic affairs and deans of business affairs in the Community College System. He noted that this was a worthy attempt to bring them closer to their benchmark median and the raises may be well deserved, but that he questioned these salary increases in times of fiscal austerity.

Mr. Sturgill seconded Mr. Hardyman's motion and it passed.
Professor Sistarenik voted no. (See FCR 8 at the end of the Minutes.)

GG. Amendment of University Code of Student Conduct (SCCR 1)

Dr. Deborah Powell, presiding for Professor Bratt, Chairperson of the Student Code Committee, recommended and moved that the Board approve the proposed revisions of the University Code of Student Conduct and that the Board affirm that the University should not discriminate against any student, faculty or staff member because of sexual orientation. Her motion, which was seconded by Mr. Jackson, carried. (See SCCR 1 at the end of the Minutes.)

Governor Breathitt expressed appreciation to President Wethington and his staff for keeping the Board fully apprised throughout the whole budget process. He asked that everyone exercise their influence as individuals and as citizens in support of higher education. He said that this is a major responsibility of every citizen of the Commonwealth and the citizens must do everything they can for higher education. He reminded the Board that the University of Kentucky is a land grant public institution established to serve all the public. It is the flagship institution and citizens must fight for the University.

HH. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 2:34 p.m.

Respectfully submitted,

John C. Darsie
Assistant Secretary
Board of Trustees

(PR's 2, 3A, 3B, 4A, 5A, 5B, 6A, 6B, 6C, and 6D, FCR 1, FCR 2, FCR 3, FCR 4, FCR 5, FCR 6, FCR 7, FCR 8, FCR 9, FCR 10, FCR 11, FCR 12, FCR 13, FCR 14, and FCR 15, and SCCR 1 which follow are official parts of the Minutes of the meeting.)

Office of the President
June 22, 1993

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

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CENTRAL ADMINISTRATIONI. BOARD ACTIONA. PROMOTIONSLibraries

Razeeq, Michael, from Librarian III (without tenure), Engineering Library, to Librarian II (with tenure), Engineering Library, effective 7/1/63.

B. RETIREMENTSEmployee Benefits

Jordan, Christopher, Disabled Faculty, Employee Benefits, after 10.00 consecutive years of service under AR II-1.6-1 Section III.B, effective 5/31/93.

Office of Development

Claiborne, Jerry D., Development Specialist, Office of Development, after 13.34 consecutive years of service under AR II-1.6-1 Section III.B, effective 8/26/93.

C. EARLY RETIREMENTSAthletics

Byrne, JoAnn Toni, Staff Assistant VII, Athletics, after 17.50 consecutive years of service under AR II-1.6-1 Section III.A-2, effective 6/30/93

Controller and Treasurer

Carter, Rita S., Sr. Accountant, Cost Accounting, after 30.75 consecutive years of service under AR II-1.6-1 Section III.A-2, effective 6/30/93.

Manners-Kelly, Margaret, Account Clerk V, Accounts Payable, after 15.75 consecutive years of service under AR II-1.6-1 Section III.A-2, effective 7/16/93.

D. POST-RETIREMENT APPOINTMENT

Office of the Vice President for Research and
Graduate Studies

McDonald, James Y., Special Assistant to the Vice
President for Intellectual Properties and
Indirect Cost Activities (part-time), 7/1/93
through 6/30/94.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Kentucky Water Resources Research Institute

Sendlein, Lyle V. A., Professor (with tenure),
Geological Sciences, College of Arts and
Sciences, and Director, Institute of Mining
and Minerals Research, appointed Acting
Director, Kentucky Water Resources Research
Institute, 7/1/93 through 6/30/94.

Office of Environmental Health and Safety

Frank, Gary, appointed Radiation Safety Officer,
Radiation Safety, effective 7/6/93.

B. CHANGES

Libraries

Buckland, Gillian M., Librarian III (without tenure),
Reference Department, 7/1/93 through 6/30/94. (Reported
as Librarian IV (without tenure), in May 4, 1993 Board
Meeting.)

C. LEAVES OF ABSENCE

Libraries

Kennedy, Gail, Associate Director of Libraries, Sabbatical
leave with full pay, 9/1/93 through 2/28/94.

Lach, Mike, Associate Director of Libraries, Sabbatical
leave with full pay, 2/1/94 through 7/31/94.

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Elizabethtown Community College

Dudgeon, Jean, Professor in the Community College System (with tenure), appointed Chair, Division of Fine Arts, Humanities & Related Technologies, 7/1/93 through 6/30/96.

Howard, Linda, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Physical Sciences & Related Technologies, 7/1/93 through 6/30/96.

Owsley, Diane, Professor in the Community College System (with tenure), appointed Chair, Division of Social and Behavioral Sciences & Related Technologies, 7/1/93 through 6/30/96.

Tychonievich, Martha, Professor in the Community College System (with tenure), appointed Chair, Division of Biological Sciences & Related Technologies, 7/1/93 through 6/30/96.

Hazard Community College

Combs, Donna, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Allied Health Sciences, 7/1/93 through 6/30/96.

Smith, Tammy, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Business, Math & Related Technologies, 7/1/93 through 6/30/96.

Henderson Community College

Strawn, Anthony, Professor in the Community College System (with tenure), appointed Chair, Division of Arts, Humanities & Related Technologies, 7/1/93 through 6/30/96.

Hopkinsville Community College

Walston, Larry, Professor in the Community College System (with tenure), appointed Chair, Division of Sciences, Math & Related Technologies, 7/1/93 through 6/30/96.

Jefferson Community College

Ash, Dan, Assistant Professor in the Community College System, appointed Chair, Division of Social and Behavioral Sciences & Related Technologies, contingent upon renewal of annual contract, 7/1/93 through 6/30/96.

Varner, Katy, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Social & Behavioral Science & Related Technologies, Southwest Campus, 7/1/93 through 6/30/96.

Lexington Community College

Boyatt, Iva, Professor in the Community College System (with tenure), appointed Chair, Division of Behavioral Sciences and Information Technologies, 7/1/93 through 6/30/96.

Hunt, Carol, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Humanities and Business Technologies, 7/1/93 through 6/30/95.

McLaughlin, Mary J., Professor in the Community College System (with tenure), appointed Chair, Division of Natural Sciences & Health Technologies, 7/1/93 through 6/30/94.

Mowbray, Erla, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Biological Sciences and Nursing, 7/1/93 through 6/30/96.

Saunier, Margaret, Professor in the Community College System (with tenure), appointed Chair, Division of Physical Sciences and Engineering Technologies, 7/1/93 through 6/30/96.

Walker, Robert, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Social Sciences and Graphics Technologies, 7/1/93 through 6/30/96.

Maysville Community College

Wylie, Jeff B., Associate Professor in the Community College System (with tenure), appointed Chair, Division of Arts, Humanities & Related Technologies, 7/1/93 through 6/30/96.

Owensboro Community College

Rhoads, Judith, Professor in the Community College System (with tenure), appointed Dean for Academic Affairs, effective 7/12/93.

Prestonsburg Community College

Bottoms, Jenny, Professor in the Community College System (with tenure), appointed Chair, Division of Biological Sciences & Related Technologies, 7/1/93 through 6/30/96.

Somerset Community College

Collins, C. Tony, Assistant Professor in the Community College System, appointed Dean of Academic Affairs, effective 7/1/93.

Dawson, Arthur, Professor in the Community College System (with tenure), appointed Chair, Division of Physical Sciences & Related Technologies, 7/1/93 through 6/30/96.

Howard, Buford, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Biological Sciences & Related Technologies, 7/1/93 through 6/30/96.

B. JOINT APPOINTMENTS

Henderson Community College

Donahue, Victoria, Associate Professor in the Community College System (with tenure), joint with Owensboro Community College, 7/1/93.

Koelbl, Dorothy L., Professor in the Community College System (with tenure), joint with Owensboro Community College, 7/1/93.

Payne, Elizabeth, Associate Professor in the Community College System (with tenure), joint with Owensboro Community College, 7/1/93.

Siewert, Margaret, Associate Professor in the Community College System (with tenure), joint with Owensboro Community College, 7/1/93.

Wilder, Mary G., Associate Professor in the Community College System (with tenure), joint with Owensboro Community College, 7/1/93.

Owensboro Community College

Pagan, Freida, Associate Professor in the Community College System (with tenure), joint with Henderson Community College System, 7/1/93.

C. PROMOTIONS

Owensboro Community College

Benningfield, Dotty, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/93.

D. RETIREMENTS

Hazard Community College

Blandau, James R., Professor in the Community College System (with tenure), (under AR II-1.6-1 Section III.C.3), following 20 consecutive years of service, effective 6/30/93.

Hopkinsville Community College

Farmer, Nina Lois, Custodial Worker II, (under AR II-1.6-1 Section, III.B), following 15 consecutive years of service, effective 6/30/93.

Maysville Community College

McMillan, James A., Professor in the Community College System (with tenure), (under AR II-1.6-1 Section III.B), following 17 consecutive years of service, effective 6/30/93.

Somerset Community College

Owens, Catherine M., Associate Professor in the Community College System (with tenure), (under AR II-1.6-1 Section III.B), following 9 consecutive years of service, effective 6/30/93.

E. EARLY RETIREMENTS

Paducah Community College

Hinton, Jerry D., Associate Professor in the Community College System (with tenure), (under AR II-1.6-1 Section III.A.2), following 23.75 consecutive years of service, effective 6/30/93.

Stewart, John L., Associate Professor in the Community College System (with tenure), (under AR II-1.6-1 Section III.A.2), following 21.50 consecutive years of service, effective 6/30/93.

F. POST-RETIREMENT APPOINTMENTS

Ashland Community College

Conley, Opal S., Professor in the Community College System,
7/1/93 through 6/30/94.

Goodale, Jane M., Associate Professor in the Community
College System, 7/1/93 through 6/30/94.

Hazard Community College

Blandau, James R., Professor in the Community College
System, 7/1/93 through 6/30/94.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Hopkinsville Community College

Jones, Donald A., Instructor in the Community College
System, 5/3/93 through 6/30/93.

Webb, Julia O., Instructor in the Community College
System, 3/15/93 through 6/30/93.

Madisonville Community College

Cyrus, Christopher, Instructor in the Community College
System, 4/5/93 through 6/30/93.

Paducah Community College

Cash, Beth, Instructor in the Community College
System (voluntary), 8/1/93 through 7/31/96.

Copeland, Janet, Instructor in the Community College
System (voluntary), 4/15/93 through 4/14/96.

Grizzard, Amy, Instructor in the Community College
System (voluntary), 4/15/93 through 4/14/96.

Hawkins, Priscilla, Instructor in the Community College
System (voluntary), 4/5/93 through 4/4/96.

Potts, Diane, Instructor in the Community College
System (voluntary), 4/15/93 through 4/14/96.

B. ADMINISTRATIVE APPOINTMENTS

Lexington Community College

Beatty, Eunice, Professor in the Community College System
(with tenure), appointed Acting Dean of Academic
Affairs, 7/1/93 through 6/30/94.

Owensboro Community College

Glenn, James, Associate Professor in the Community College System (with tenure), appointed Acting Dean of Business Affairs, effective 7/1/93 through 6/30/94.

Paducah Community College

Anderson, Jerry, Professor in the Community College System (with tenure), appointed Acting Dean of Student Affairs, 6/1/93 through 12/31/93.

C. JOINT APPOINTMENTS

Henderson Community College

Carson, Michelle, Instructor in the Community College System, joint with Owensboro Community College, 7/1/93 through 6/30/94.

Elmer, Carol E., Assistant Professor in the Community College System, joint with Owensboro Community College, 7/1/93 through 6/30/94.

Greenwell, Georgia, Assistant Professor in the Community College System, joint with Owensboro Community College 7/1/93 through 6/30/94.

Jones, Mary J., Instructor in the Community College System, joint with Owensboro Community College, 7/1/93 through 6/30/94.

Luxner, Karla L., Assistant Professor in the Community College System, joint with Owensboro Community College, 7/1/93 through 6/30/94.

Palmer, Carol R., Assistant Professor in the Community College System, joint with Owensboro Community College, 7/1/93 through 6/30/94.

Uzzle, Ruby N., Assistant Professor in the Community College System, joint with Owensboro Community College, 7/1/93 through 6/30/94.

Owensboro Community College

Allison, Mary E., Assistant Professor in the Community College System, joint with Henderson Community College, 7/1/93 through 6/30/94.

Lanham, Terri H., Instructor in the Community College System, joint with Henderson Community College, 7/1/93 through 6/30/94.

Whitaker, Deborah K., Instructor in the Community College System, joint with Henderson Community College, 7/1/93 through 6/30/94.

Yates, Deborah D., Assistant Professor in the Community College System, joint with Henderson Community College 7/1/93 through 6/30/94.

D. REAPPOINTMENTS

Ashland Community College

Antrim, Patricia A., Librarian IV in the Community College System, 7/1/93 through 6/30/94.
Back, Jackie, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Beebe, Lora, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Bradford, Gary P., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Coots, Philip K., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Davis-Holloway, Cindy, Instructor in the Community College System, 7/1/93 through 6/30/94.
Floyd, Ninfa, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Gehringer, Rebecca, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Gross, Emma Lou, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Harmon, Benjamin, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hilterbrand, Angela, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Mahan, Daniel, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Mericle, Margaret, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Miller, Debra G., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Napier, Michelle, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Napora, Joseph, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Norris, Kelly B., Instructor in the Community College System, 7/1/93 through 6/30/94.
Poteet, Amy, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Schmidt, James, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
St. Clair, Philip, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Ward, Russell C., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Weaver, Samuel, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
West, William, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Young, Linda, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Elizabethtown Community College

Allison, Eric P., Instructor in the Community College System, 7/1/93 through 6/30/94.
Beattie, Linda E., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Bruce, Jimmie D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Buck, David C., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Cantrell, Douglas E., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Davis, Beverly, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Eicher-Sherrill, Katrina, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Embry, Robin, Instructor in the Community College System, 7/1/93 through 6/30/94.
Guarneros-Mata, Rene, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Haque, Khondaker E., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hawkins, Virginia C., Instructor in the Community College System, 7/1/93 through 6/30/94.
Lamphere, Robert, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Nason, Dean W., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Nemes, Janice E., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Sparks, Linda, Instructor in the Community College System, 7/1/93 through 6/30/94.
Warren, Donald R., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Hazard Community College

Arduini, Tony, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Bolen, James, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Boyer, Charles E., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Brunty, Helen, Instructor in the Community College System, 7/1/93 through 8/31/93.
Butler, Shirley, Instructor in the Community College System, 7/1/93 through 6/30/94.
Cornett-Strunk, Venetia, Instructor in the Community College System, 7/1/93 through 6/30/94.
Crone, Patricia, Instructor in the Community College System, 7/1/93 through 8/31/93.
Ewen, Rosemary, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Flannery-Kincer, Madeline, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Globig, Sabine, Instructor in the Community College System, 7/1/93 through 6/30/94.
Hagans, Ludrenia, Instructor in the Community College System, 7/1/93 through 6/30/94.
Hatch, Robert, Instructor in the Community College System, 7/1/93 through 8/31/93.
Helf, Lisa, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hogg, Deborah, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hoppe, John, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hopper, Vera, Instructor in the Community College System, 7/1/93 through 6/30/94.
Javed, Kazi, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Kaikumba, Francis, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Kaikumba, Sandra, Instructor in the Community College System, 7/1/93 through 6/30/94.
Laherty, Richard, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Lawson, David, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Lucero, Scott, Instructor in the Community College System, 7/1/93 through 6/30/94.
Lykins, Virgil, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Maciula, Kenneth, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Maggard, LuAnn, Instructor in the Community College System, 7/1/93 through 6/30/94.
Marrow, Alvin J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
May, Scott, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Phipps, Sandra, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Plummer, Cynthia, Instructor in the Community College System, 7/1/93 through 6/30/94.
Reynolds, Scott, Instructor in the Community College System, 7/1/93 through 8/31/93.
Strickland, William M., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Strong, Ella, Instructor in the Community College System, 7/1/93 through 6/30/94.
Sullivan, Deborah, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Watts, Bernard, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Henderson Community College

Burton, Sharon K., Instructor in the Community College System, 7/1/93 through 6/30/94.
Carson, Michelle, Instructor in the Community College System, 7/1/93 through 6/30/94.
Carver, Mary A., Instructor in the Community College System, 7/1/93 through 6/30/94.
Corley, Maura L., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Elmer, Carol E., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Evans, Marsha G., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Furbush, Frank H., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Greenwell, Georgia, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hronek, Beth C., Librarian III in the Community College System, 7/1/93 through 6/30/94.
Jobe, Mary A., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Jones, Mary J., Instructor in the Community College System, 7/1/93 through 6/30/94.
Kasenow, Paul M., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Luxner, Karla L., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
McCarty, Steven D., Instructor in the Community College System, 7/1/93 through 6/30/94.
McCormick, Heather, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
McMurray, Mary, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Palmer, Carol R., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Pierce, Cynthia, Instructor in the Community College System, 7/1/93 through 6/30/94.
Tutt, Doris A., Instructor in the Community College System, 7/1/93 through 6/30/94.
Tutt, Larry A., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Uzzle, Ruby N., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Hopkinsville Community College

Adams, Elwanda, Instructor in the Community College System, 7/1/93 through 6/30/94.
Baker, Judy, Instructor in the Community College System, 7/1/93 through 6/30/94.
Belek, Marilyn, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Bozarth, Peggy, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Cavanah, Sandra, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Dean, Lesa Kim, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Horton, Antoinette, Instructor in the Community College System, 7/1/93 through 6/30/94.
Jones, Donald A., Instructor in the Community College System, 7/1/93 through 6/30/94.
Morgan, Darla, Instructor in the Community College System, 7/1/93 through 6/30/94.
Pearson, Alissa, Instructor in the Community College System, 7/1/93 through 6/30/94.
Sandifer, Dana, Instructor in the Community College System, 7/1/93 through 6/30/94.
Segler, Leesa, Instructor in the Community College System, 7/1/93 through 6/30/94.
Webb, Julia O., Instructor in the Community College System, 7/1/93 through 6/30/94.
Wilson, Ted H., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Jefferson Community College

Asamoah, Samuel R., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Ash, Daniel, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Atkins, James, Assistant Professor in the Community College System, 7/1/93 through 6/30/94..
Briley, Rebecca L., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Cantor, Richard R., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Coleman, Nellie J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Cooper, Mary Ann, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Cox, Connie A., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Cummins, Peggy, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Davis, Randall J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Deines, Judith A., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Dockstader, David R., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Dupee, Kenneth L., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Edgar, Donna W., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Finney, Ann M., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Fisher, Kerk, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Franz, Shirley J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Gittings, Jennie M., Instructor in the Community College System, 7/1/93 through 6/30/94.
Gonzalez, Ruth, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Graney, Christopher, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Greenberg, Ruth B., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Gregory, Michael A., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hager, Kathy K., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hall, Jill W., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hamilton, William H., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Heyman, Patricia A., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Holman, Gaye D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hols, Wilbert G., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hoyt, Cheryl A., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Irish, Nyeita M., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Johnson, Gerald R., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Johnson, Mark D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Johnson, Rafe A., Librarian IV in the Community College System, 7/1/93 through 6/30/94.
Jones, Linda C., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Jones, M. Douglas, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Katakya, Devajyoti, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Kearney, Anna R., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Kline, Daniel T., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Koach, Robert B., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Koester, Joan E., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Land, Carol G., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

LeMaster, Vicki, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Limeberry, John, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Lites, William W., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Motes, J. Barry, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Niemeier, Clifton, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Norfleet, Ronn, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Pitt, Phillip, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Powell, Zelda S., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Purvis, Charles D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Ragade, Anila R., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Rees, Larry M., Librarian IV in the Community College System, 7/1/93 through 6/30/94.
Riedel, Donna D.M., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Ruhe, Martha L., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Shircliff, Jennifer, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Sprinkle, Amy, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Spurr, Sally, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Straub, Joan V., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Truitt, Judi C., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Van Meter, Victor, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Watro, Paul R., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Weldon, Betty E., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Whiteside, Luanne, Instructor in the Community College System, 7/1/93 through 6/30/94.
Whittle, Gary D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Wiles, Thomas S., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Williams, Wynibie Z., Instructor in the Community College System, 7/1/93 through 6/30/94.
Wright, Wanda S., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Wyatt, Mary, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Zachery, Catherine, Instructor in the Community College System, 7/1/93 through 6/30/94.

Lexington Community College

Ball, Andrew, Instructor in the Community College System, 7/1/93 through 6/30/94.
Barber, Cynthia, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Brady, Barbara B., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Carey, Sandra, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
East, Paul, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Flanary, Patricia, Instructor in the Community College System, 7/1/93 through 6/30/94.
Gibbs, James, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Groleau, Sally, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Gunto, Jacqueline, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hardwick, Donald, Instructor in the Community College System, 7/1/93 through 6/30/94.
Hignite, Barbara, Instructor in the Community College System, 7/1/93 through 6/30/94.
Hollingsworth, Randolph, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Ingle, Cynthia, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Jackson, Jean, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
James, Charles, Librarian III in the Community College System, 7/1/93 through 6/30/94.
Molloy, Lynn, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Mullins, Larry, Instructor in the Community College System, 7/1/93 through 6/30/94.
Norman, Cynthia S., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Ott, John S., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Partin, Vicki, Instructor in the Community College System, 7/1/93 through 6/30/94.
Payne, John L., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Payne, Margaret, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Ramsey, Tammy, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Reeb, Delores, Instructor in the Community College System, 7/1/93 through 6/30/94.

Richardson, Kathleen, Librarian III in the Community College System, 7/1/93 through 6/30/94.
Ripley, Michael Bret, Instructor in the Community College System, 7/1/93 through 6/30/94.
Scott, John, Instructor in the Community College System, 7/1/93 through 6/30/94.
Spencer, Janella, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Underwood, Dale, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Wachtel, David, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Wilson, Vicki, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Womack, Becky, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Woodrum, Kim, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Zoll, Gregory, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Madisonville Community College

Bennett, Tate R., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Berges, Cherry L., Librarian IV in the Community College System, 7/1/93 through 6/30/94.
Braasch, Gerald J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Cook, Sandra L., Instructor in the Community College System, 7/1/93 through 6/30/94.
Cyrus, Christopher, Instructor in the Community College System, 7/1/93 through 6/30/94.
Davis, Susan K., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Deger, Robert J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Douglas, Nancy L., Instructor in the Community College System, 7/1/93 through 6/30/94.
Greenfield, Brian M., Instructor in the Community College System, 7/1/93 through 6/30/94.
Hagan, Gregory D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hofmann, Martha Ann, Instructor in the Community College System, 7/1/93 through 6/30/94.
Johnson, Felecia K., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Lambe, Clinton R., Instructor in the Community College System, 7/1/93 through 6/30/94.
Lindeman, Peter V., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Lovingood, Deborah F., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

McLaughlin, Pam S., Instructor in the Community College System, 7/1/93 through 6/30/94.
Meacham, Robert E., Instructor in the Community College System, 7/1/93 through 6/30/94.
Moore, Bob A., Instructor in the Community College System, 7/1/93 through 6/30/94.
Moore, Elizabeth A., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Murphy, David W., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Nygaard, Timothy A., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Phillips, Kenneth J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Rowbotham, John R., Instructor in the Community College System, 7/1/93 through 6/30/94.
Russell, Lezlie S., Instructor in the Community College System, 7/1/93 through 6/30/94.
Shifflett, George M., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Vander Ploeg, Scott, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Watson, Ron D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Wright, Debbie L., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Wright, Thomas F., Instructor in the Community College System, 7/1/93 through 6/30/94.

Maysville Community College

Berry, Susan O., Instructor in the Community College System, 7/1/93 through 6/30/94.
Bone, Martha D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Boyd, Tony, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Carrington, Vickie G., Instructor in the Community College System, 7/1/93 through 6/30/94.
Cook, Linda F., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Denton, Laura C., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Graves, Robert L., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hall, Cindy F., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hunter, Nancy D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Lightner, Rebecca, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Vaughn, George T., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Owensboro Community College

Allison, Mary E., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Boyd, Michael, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Chess, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Duckwall, Sharon, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Ford, Constance, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Gibson, Donna R., Librarian IV in the Community College System, 7/1/93 through 6/30/94.
Glenn, Cornelia, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Glenn, Robert J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Jennison, Marla, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Johnson, James L., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Johnston, Gail, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Lanham, Terri H., Instructor in the Community College System, 7/1/93 through 6/30/94.
Ledford, Julia, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Maltby, Marc S., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Meador, Ramona M., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Sallan, Veena, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Smith, Timothy L., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Walton, Stephen, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Wetzel, William F., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Whitaker, Deborah K., Instructor in the Community College System, 7/1/93 through 6/30/94.

Paducah Community College

Akojie, Felix O., Instructor in the Community College System, 7/1/93 through 6/30/94.
Bigam, Larry D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Cothran, Kelly R., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Craig, Berry F., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Dortch, Joann T., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hely, Sueann, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hutchinson, Sharla, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Jordan, John J., Instructor in the Community College System, 7/1/93 through 6/30/94.
Kishel, Mary-Lee, Instructor in the Community College System, 7/1/93 through 6/30/94.
Krupansky-Harmon, Jane, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Lindsey, Dennice, Instructor in the Community College System, 7/1/93 through 6/30/94.
Mandrell, Constance, Instructor in the Community College System, 7/1/93 through 6/30/94.
McMullen, Deann, Instructor in the Community College System, 7/1/93 through 6/30/94.
Myrick, Tana, Instructor in the Community College System, 7/1/93 through 6/30/94.
Nickell, Stacey A., Librarian IV in the Community College System, 7/1/93 through 6/30/94.
Reynolds, Delilah, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Seltzer, Denise, Instructor in the Community College System, 7/1/93 through 6/30/94.
Stewart, Michael, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Wolfrom, David, Instructor in the Community College System, 7/1/93 through 6/30/94.

Prestonsburg Community College

Anosike, Nnamdi V., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Beard, D. Lee, Instructor in the Community College System, 7/1/93 through 6/30/94.
Bell, Daniel E., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Dai, Rui-Xiu, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
DeRossett, Kimberly, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Duncan, Angela M., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Elliott, Myra T., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Gillis, Bill R., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Jacobs, Deborah, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Kendall, Joanne, Instructor in the Community College System, 7/1/93 through 6/30/94.

Lewandowski, Eileen, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Little, Jennifer R., Instructor in the Community College System, 7/1/93 through 6/30/94.
Loftus, William J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Lu, Yin, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Mulling, Carol J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Naqvi, Syed J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Nayak, Paresh C., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Orf, Thomas M., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Peffer, G. Anthony, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Saad, Toufic A., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Sanders, Duane D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Shaw, Laurie J., Instructor in the Community College System, 7/1/93 through 6/30/94.
Thomas, Shirley L., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Thompson, Paul D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Torok, George D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Watts, Randall L., Instructor in the Community College System, 7/1/93 through 6/30/94.

Somerset Community College

Angevine, Roger, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Atkinson, Johnna, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Bolze, Wanda, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Bowdan, Jean, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Epperson, Jody B., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Fries, Wanda, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Grover, Alyce, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hamm, Margo, Librarian IV in the Community College System, 7/1/93 through 6/30/94.
Humphrey, Zechariah, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Krause, Richard, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
McGriff, John H., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Mihankhah, Mihanpoor, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Peterson, Betty, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Railey, George, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Sherman, Loris, Instructor in the Community College System, 7/1/93 through 6/30/94.
Stanton, Oris, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Stringer, Gail, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Southeast Community College

Barthell, Robert J. Librarian IV in the Community College System, 7/1/93 through 6/30/94.
Beaty, Brett J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Duncan, Laura R., Instructor in the Community College System, 7/1/93 through 6/30/94.
Elliott, Richard, Instructor in the Community College System, 7/1/93 through 6/30/94.
England, Rhonda G., Instructor in the Community College System, 7/1/93 through 6/30/94.
Ewing, Ben R., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Fain, Dianne, Instructor in the Community College System, 7/1/93 through 6/30/94.
Gibson, Gary D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Gibson, Madeline, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Hellams, Pierce D., Librarian IV in the Community College System, 7/1/93 through 6/30/94.
Hughes, Carlton W., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Kidwell, David T., Instructor in the Community College System, 7/1/93 through 6/30/94.
Maciula, Terry A., Instructor in the Community College System, 7/1/93 through 6/30/94.
Marcum, Joseph S., Instructor in the Community College System, 7/1/93 through 6/30/94.
McDonald, Christopher, Instructor in the Community College System, 7/1/93 through 6/30/94.
Miller, Lynda D., Instructor in the Community College System, 7/1/93 through 6/30/94.
Mukhopakhayay, Ajay K., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Omar, Saeb, Instructor in the Community College System, 7/1/93 through 6/30/94.
Richmond, Richard, Instructor in the Community College System, 7/1/93 through 6/30/94.
Schertz, Ann E., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Scopa, Joseph A., Instructor in the Community College System, 7/1/93 through 6/30/94.
Sellars, Mitzi, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Sherman-Sheffield, Pamela, Instructor in the Community College System, 7/1/93 through 6/30/94.
Simpson, Astor L., Instructor in the Community College System, 7/1/93 through 6/30/94.
Simpson, William D., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Spoelman, Linda, Instructor in the Community College System, 7/1/93 through 6/30/94.
Taylor, Patricia A., Librarian IV in the Community College System, 7/1/93 through 6/30/94.
Vaught, James H., Instructor in the Community College System, 7/1/93 through 6/30/94.
Wilder, Karen, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Wright, Edward D., Instructor in the Community College System, 7/1/93 through 6/30/94.
Zhang, Sha Li, Librarian III in the Community College System, 7/1/93 through 6/30/94.

E. PROMOTIONS WITHOUT TENURE

Ashland Community College

Besmark, Tracey, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Chapman, Patricia, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Elswick, Terri, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Flannery, Karen, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Flouhouse, Steven, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Humphrey, Donna, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Kizhakekara, Aphrem, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Mills, Martin B., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/93 through 6/30/94.

Slater, Michelle, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Swetnam, Mark, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Elizabethtown Community College

Ashman, Allen B., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/93 through 6/30/94.

Chittenden, LeRoy, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Collard-Hornback, Carla, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Deneen, Lea Ann, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Eveland, John F., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Hart, Judy A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Kantlehner, Judy, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Nusbaumer, David D., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Owens, Rebecca, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Reimann, Russell M., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Williams, D. Richard, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Hazard Community College

Asher, William C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Davison, Patrick, from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/93 through 6/30/94.

Mackin, Reid, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Napier, Anna, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Sizemore, Elizabeth, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Terry, Homer, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Henderson Community College

Fritts, David C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Jackson, DeLisa, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Hopkinsville Community College

Denison, Karen, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Jackson, Constance, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Montgomery, Frank, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Simpson, Allan, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Wilson, Randal H., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Jefferson Community College

Charasika, Margie W., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Chieffi, April L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Crawford, Candice C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.
Dockstader, Brita G., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Duncan, Carol L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Eastham, Yvette Y., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Ewing, Shirley R., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Foster, Tonja F., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Hanson, Richard, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Hill, Michael E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Ginsberg, Michael, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Jones, Richard C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Jones, Sylvia H., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Kamel, Eman A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Kaufman, Gerald R., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Long, John P., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Mattingly, Robert A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Mollette, Nancy R., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/93 through 6/30/94.

Mulder, Mary, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Shiffman, Betty G., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Stewart, James H., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Villiger, Martha, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Lexington Community College

Adkins, Mark, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Austin, Vincent, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Binzer, Michael, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Blankenship, Paul, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Carr, Sharon, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Chittenden, Charles D., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Christian, Constance, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Frisbie, Elizabeth, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Go, Robert, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Hackney, Sandra, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Holt, Deborah, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Magee, David, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Martin, Diana, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Proctor, Katherine B., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Roussos, Panagiotis, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Teeple, Patricia L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

White, Steven, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Wyatt, Nelda K., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Madisonville Community College

Edington, Susan B., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Gower, Virginia, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Grunow, Millie H., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/93 through 6/30/94.

Jennings, Lana F., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Oliver, Deborah L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Stallins, Chantay, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Stark, Dan R., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Thom, Richard C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

West, A. Elizabeth, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Whittington, Sarah, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Maysville Community College

Calland, Dana T., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Owensboro Community College

Hogan, Vicki, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Houston, James, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

McMahon, James A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Wallace, Abby, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Yates, Deborah D., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Paducah Community College

Block, Peggy, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Cope, Sherry, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Draffen, Carla, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Garrett, T. C. Scott, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Jordan, Tracy Lee, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Mahoney, Joseph D., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Reece, Anton, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Rudolph, Donnie, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Vos, John, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Watkins, Gerald, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Prestonsburg Community College

Conley, M. Michael, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Forsyth, Melissa M., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/93 through 6/30/94.

Martin, Karen, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Perry, Martha Reed, from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/93 through 6/30/94.

Southeast Community College

Polli, Richard H., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

F. TERMINAL REAPPOINTMENTS

Ashland Community College

Brake, Marie, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Messer, Sharon, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Willis, Nanette F., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Lexington Community College

Kolasa, James, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Owensboro Community College

Jackson-Hendricks, Wanda, Instructor in the Community College System, 7/1/93 through 6/30/94.

Trigg, Andrea, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Stamper, Linda, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Prestonsburg Community College

Yamout, Jihad S., Instructor in the Community College System, 7/1/93 through 6/30/94.

G. LEAVES OF ABSENCE

Ashland Community College

Kitchen, Janie, Professor in the Community College System (with tenure), sabbatical leave at full salary for the 1993 fall semester.

Jefferson Community College

Sabetta, Thomas, Instructor in the Community College System, leave of absence without pay, 7/1/93 through 6/30/94.

Spurr, Sally, Assistant Professor in the Community College System, leave of absence without pay, 6/21/93 through 7/16/93.

Yeager, Thomas S., Instructor in the Community College System, leave of absence without pay, 6/5/93 through 6/30/93.

Southeast Community College

Puckett, Anita, Associate Professor in the Community College System, leave of absence without pay, 7/1/93 through 6/30/94.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Smith, Roy Anthony, Associate Professor* (without tenure), Veterinary Science, 4/5/93 through 6/30/94.
Wise, David H., Professor (with tenure), Entomology, effective 6/15/93.

College of Arts and Sciences

Dryja, Maksymilian, Visiting Professor, Mathematics, 8/16/93 through 12/31/93.
Smith, Gerald L., Associate Professor (with tenure), History, effective 7/1/93.

College of Education

Kuder, James M., Adjunct Professor, Educational and Counseling Psychology, effective 7/1/93.

College of Law

Shipley, David E., Professor (with tenure), effective 7/1/93.

College of Social Work

Berger, Robert L., Professor* (part-time), 8/16/93 through 5/15/94.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Howard, Don A., Professor (with tenure), Philosophy, appointed Chair, Philosophy, 7/1/93 through 6/30/97.
Rawls, John M., Associate Professor (with tenure), Biological Sciences, and Director, Biological Sciences, reappointed Director, Biological Sciences, 7/1/93 through 12/31/94.
Rimmer, Susan M., Associate Professor (with tenure), Geological Sciences, appointed Associate Dean, Advanced Studies, effective 7/1/93.
Sands, Donald E., Professor (with tenure), Chemistry, appointed Chair, Chemistry, 7/1/93 through 6/30/95.

* Special Title Series

College of Education

Worell, Judith, Professor (with tenure), Educational and Counseling Psychology, appointed Chair, Educational and Counseling Psychology, 7/1/93 through 6/30/97.

College of Engineering

Sweigard, Richard J., Associate Professor (with tenure), Mining Engineering, appointed Chair, Mining Engineering, 7/1/93 through 6/30/95.

Tauchert, Theodore R., Professor (with tenure), Engineering Mechanics, and Chair, Engineering Mechanics, reappointed Chair, Engineering Mechanics, 7/1/93 through 6/30/94.

College of Fine Arts

Tharsing, Robert, Associate Professor (with tenure), Art, appointed Chair, Art, 7/1/93 through 6/30/95.

C. REAPPOINTMENTS

College of Agriculture

Cothran, E. Gus, Associate Research Professor, Veterinary Science, 7/1/93 through 6/30/94.

Vickers, Mary Lynne, Associate Professor* (without tenure), Veterinary Science, 7/1/93 through 6/30/94.

College of Social Work

Day, Anna Grace, Associate Professor* (part-time), 8/16/93 through 5/15/94.

Dolan, Patricia A., Associate Professor* (part-time), 8/16/93 through 5/15/94.

Elliott, Willie L., Associate Professor* (part-time), 8/16/93 through 5/15/94.

Marshall, Ted, Associate Professor* (part-time), 8/16/93 through 5/15/94.

Mauldin, Rosetta J., Professor* (part-time), 8/16/93 through 5/15/94.

Mazzaro, Tony, Associate Professor* (part-time), 8/16/93 through 5/15/94.

Robinson, Bennie C., Associate Professor* (part-time), 8/16/93 through 5/15/94.

Rudy, David, Professor* (part-time), 8/16/93 through 5/15/94.

Seelig, John Michael, Associate Professor* (part-time), 8/16/93 through 5/15/94.

* Special Title Series

D. TENURE

College of Education

Nordin, Virginia Davis, from Associate Professor (without tenure), Educational Policy Studies and Evaluation, to Associate Professor (with tenure), Educational Policy Studies and Evaluation, effective 7/1/93.

College of Engineering

Jawahir, Ibrahim S., from Associate Professor (without tenure), Mechanical Engineering, to Associate Professor (with tenure), Mechanical Engineering, effective 7/1/93.

Kovacevic, Radovan, from Associate Professor (without tenure), Mechanical Engineering, to Associate Professor (with tenure), Mechanical Engineering, effective 7/1/93.

E. PROMOTIONS

College of Agriculture

Dawson, Karl A., from Associate Professor (with tenure), Animal Sciences, to Professor (with tenure), Animal Sciences, effective 7/1/93.

Decker, Eric A., from Assistant Professor (without tenure), Animal Sciences, to Associate Professor (with tenure), Animal Sciences, effective 7/1/93.

MacKown, Charles T., from Adjunct Associate Professor, Agronomy, to Adjunct Professor, Agronomy, effective 7/1/93.

Martin, James R., from Associate Extension Professor (with tenure), Agronomy, to Extension Professor (with tenure), Agronomy, effective 7/1/93.

Nichols, Carla Gale, from Associate Extension Professor (with tenure), Agricultural Communications Services, to Extension Professor (with tenure), Agricultural Communications Services, effective 7/1/93.

Payne, Fred A., from Associate Professor (with tenure), Agricultural Engineering, to Professor (with tenure), Agricultural Engineering, effective 7/1/93.

Schach, Janice Cervelli, from Associate Professor (with tenure), Horticulture and Landscape Architecture, to Professor (with tenure), Horticulture and Landscape Architecture, effective 7/1/93.

VanSanford, David A., from Associate Professor (with tenure), Agronomy, to Professor (with tenure), Agronomy, effective 7/1/93.

College of Arts and Sciences

Blee, Kathleen M., from Associate Professor (with tenure), Sociology, to Professor (with tenure), Sociology, effective 7/1/93.

Brown, Russell M., from Assistant Professor (without tenure), Mathematics, to Associate Professor (with tenure), Mathematics, effective 7/1/93.

Clouthier, Dennis J., from Associate Professor (with tenure), Chemistry, to Professor (with tenure), Chemistry, effective 7/1/93.

Eldred, Janet, from Assistant Professor (without tenure), English, to Associate Professor (with tenure), English, effective 7/1/93.

Howard, Don A., from Associate Professor (with tenure), Philosophy, to Professor (with tenure), Philosophy, effective 7/1/93.

Jones, Grace M., from Assistant Professor (without tenure), Biological Sciences, to Associate Professor (with tenure), Biological Sciences, effective 7/1/93.

Natter, Wolfgang, from Assistant Professor (without tenure), German, to Associate Professor (with tenure), German, effective 7/1/93.

Stockbridge, Richard, from Assistant Professor (without tenure), Statistics, to Associate Professor (with tenure), Statistics, effective 7/1/93.

Watkins, John F., from Assistant Professor (without tenure), Geography, to Associate Professor (with tenure), Geography, effective 7/1/93.

Worley, Linda K., from Assistant Professor (without tenure), German, to Associate Professor (with tenure), German, effective 7/1/93.

Zhou, Mai, from Assistant Professor (without tenure), Statistics, to Associate Professor (with tenure), Statistics, effective 7/1/93.

College of Business and Economics

Johnson, Nancy B., from Assistant Professor (without tenure), Management, to Associate Professor (with tenure), Management, effective 7/1/93.

Pakath, Ramakrishnan, from Assistant Professor (without tenure), Decision Science and Information Systems, to Associate Professor (with tenure), Decision Science and Information Systems, effective 7/1/93.

Webb, Michael A., from Associate Professor (with tenure), Economics, to Professor (with tenure), Economics, effective 7/1/93.

College of Communications

Dick, David B., from Associate Professor* (with tenure), Journalism, to Professor* (with tenure), Journalism, effective 7/1/93.

Knuf, Joachim, from Assistant Professor (without tenure), Communication, to Associate Professor (with tenure), Communication, effective 7/1/93.

Moore, Roy L., from Associate Professor (with tenure), Journalism, to Professor (with tenure), Journalism, effective 7/1/93.

College of Education

Lindle, Jane C., from Assistant Professor (without tenure), Administration and Supervision, to Associate Professor (with tenure), Administration and Supervision, effective 7/1/93.

Middleton, Ernest J., from Associate Professor (with tenure), Curriculum and Instruction, to Professor (with tenure), Curriculum and Instruction, effective 7/1/93.

Smith, Douglas C., from Assistant Professor (without tenure), Vocational Education, to Associate Professor (with tenure), Vocational Education, effective 7/1/93.

College of Engineering

Anderson, Kimberly Ward, from Assistant Professor (without tenure), Chemical Engineering, to Associate Professor (with tenure), Chemical Engineering, effective 7/1/93.

Mason, Harry L., from Assistant Professor (without tenure), Mechanical Engineering, to Associate Professor (with tenure), Mechanical Engineering, effective 7/1/93.

Menguc, M. Pinar, from Associate Professor (with tenure), Mechanical Engineering, to Professor (with tenure), Mechanical Engineering, effective 7/1/93.

Rouch, Keith E., from Associate Professor (with tenure), Mechanical Engineering, to Professor (with tenure), Mechanical Engineering, effective 7/1/93.

Saito, Kozo, from Associate Professor (with tenure), Mechanical Engineering, to Professor (with tenure), Mechanical Engineering, effective 7/1/93.

College of Fine Arts

Jones, Russell E., from Assistant Professor* (without tenure), Theatre, to Associate Professor* (with tenure), Theatre, effective 7/1/93.

College of Law

Healy, Michael P., from Assistant Professor (without tenure), to Associate Professor (with tenure), effective 7/1/93.

Michael, Douglas C., from Assistant Professor (without tenure), to Associate Professor (with tenure), effective 7/1/93.

F. RETIREMENTS

College of Agriculture

Elam, Freda D., Staff Assistant VII, Entomology, after 16.50 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/93.

College of Architecture

Graves, Charles P., Professor (with tenure), after 34.75 consecutive years of service, under Section III.B of AR II-1.6-1, effective 5/31/93.

College of Arts and Sciences

Meijer, Willem, Professor (with tenure), Biological Sciences, after 26 consecutive years of service, under Section III.C.4 of AR II-1.6-1, effective 6/30/93.

Patterson, John M., Professor (with tenure), Chemistry, after 39.75 consecutive years of service, under Section III.B of AR II-1.6-1, effective 5/31/93.

College of Library and Information Science

Terhune, Joy, Associate Professor (with tenure), after 23 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/93.

Vice Chancellor for Administration

Crouch, William Jerome, Editor-in-Chief, University Press, after 31.50 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/93.

Vice Chancellor for Minority Affairs

Holmes, Roberta M., Senior Administrative Assistant, Vice Chancellor's Office, after 19.34 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/93.

Vice Chancellor for Student Affairs

Spencer, Rebecca C., Student Affairs Officer I, Student Center Director's Office, after 19.30 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/93.

G. EARLY RETIREMENTS

College of Agriculture

Bowen, Jerolene S., Administrative Assistant I, Associate Dean's Office, after 25.50 consecutive years of service, under Section III.A.1 of AR II-1.6-1, effective 7/30/93.

Winters, Ella Mae, EFNEP Assistant, Cooperative Extension Service, after 22.5 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 7/9/93.

College of Arts and Sciences

Perraut, Bobby E., Engineer Associate II, Physics and Astronomy, after 37.34 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 8/3/93.

Vice Chancellor for Administration

Carmack, Lester, Plumber, Plumbing Department, Physical Plant Division, after 36.34 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 8/27/93.

Hornsby, Frank C., Plumber, Plumbing Department, Physical Plant Division, after 32.75 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 8/20/93.

Roark, Anna D., Food Service Senior Supervisor, Blazer Dining Services, Auxiliary Services, after 27.50 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 8/20/93.

H. POST-RETIREMENTS

College of Agriculture

Marshall, Andrew, Laborer, Forestry, 7/1/93 through 6/30/94.

College of Engineering

Courtney, Edgar E., Engineering Technician, Kentucky Transportation Center, 7/1/93 through 6/30/94.

Southgate, Herbert F., Transportation Research Engineer, Kentucky Transportation Center, 7/1/93 through 6/30/94.

College of Library and Information Science

McConnell, Anne, Associate Professor, 7/1/93 through 6/30/94.

Terhune, Joy, Associate Professor, 7/1/93 through 6/30/94.

Vice Chancellor for Minority Affairs

Holmes, Roberta M., Senior Administrative Assistant, Office of Minority Affairs, 7/1/93 through 12/31/93.

I. POST-RETIREMENTS CHANGES

College of Agriculture

Travis, LeRoy, Farm Management Area Specialist, Agricultural Economics, to rescind post-retirement appointment, 4/1/93 through 9/30/93.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Tidwell, James H., Adjunct Assistant Professor, Animal Sciences, 7/1/93 through 6/30/94.

College of Architecture

Biagi, David M., Assistant Professor (temporary), 8/1/93 through 6/30/94.

College of Arts and Sciences

Miller, Mary Margaret, Instructor (temporary), English, 8/16/93 through 6/30/94.

Reynolds, Thomas E., Instructor (temporary), English, 8/16/93 through 6/30/94.

Sheard, Cynthia M., Assistant Professor, English, 8/16/93 through 6/30/95.

College of Education

Harley, Debra, Assistant Professor, Special Education, 8/16/93 through 6/30/96.

Logan, Joyce, Visiting Assistant Professor, Vocational Education, 8/16/93 through 5/15/94.

Mazur, Joan M., Assistant Professor, Curriculum and Instruction, 8/16/93 through 6/30/95.

College of Engineering

Radun, Arthur V., Assistant Professor, Electrical Engineering, 7/1/93 through 6/30/95.

Stroud, Charles E., Assistant Professor, Electrical Engineering, 7/1/93 through 6/30/95.

College of Fine Arts

Lehman, Rhea, Assistant Professor (temporary), Theatre, 7/1/93 through 6/30/94.

Peterson, Carrie, Assistant Professor (temporary), Art, 7/1/93 through 6/30/94.

College of Human Environmental Sciences

Smith, Teresa T., Assistant Professor*, Nutrition and Food Science, 8/1/93 through 6/30/94.

College of Social Work

Jones, Kim A., Assistant Professor* (part-time), 8/16/93 through 5/15/94.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Popkin, Jeremy D., Professor (with tenure), History, appointed Acting Chair, History, 7/1/93 through 6/30/94.

Truszczynski, Miroslaw, Professor (with tenure), Computer Science, appointed Acting Chair, Computer Science, 7/1/93 through 6/30/94.

College of Business and Economics

Chew, It-Keong, Associate Professor (with tenure), Finance, and Acting Chair, Finance, reappointed Acting Chair, Finance, 7/1/93 through 12/31/93.

Keller, Stuart B., Associate Professor (with tenure), Accountancy, appointed Acting Director, Accountancy, 7/1/93 through 6/30/94.

College of Education

Byers, Charles W., Professor* (with tenure), Vocational Education, appointed Acting Chair, Vocational Education, 7/1/93 through 6/30/94.

* Special Title Series

C. ADMINISTRATIVE APPOINTMENTS CHANGES

College of Business and Economics

Holsapple, Clyde W., Professor (with tenure), Decision Science and Information Systems, to change from Acting Chair, Decision Science and Information Systems, 1/1/94 through 6/30/94, to Acting Chair, Decision Science and Information Systems, 7/1/93 through 6/30/94.

D. REAPPOINTMENTS

College of Agriculture

Amaral-Phillips, Donna M., Assistant Extension Professor, Animal Sciences, 7/1/93 through 6/30/94.
Barnes, Thomas G., Assistant Extension Professor, Forestry, 7/1/93 through 6/30/94.
Barney, Robert J., Adjunct Assistant Professor, Entomology, 7/1/93 through 6/30/94.
Bessin, Ricardo T., Assistant Extension Professor, Entomology, 7/1/93 through 6/30/94.
Bullock, Kevin Darrh, Assistant Extension Professor, Animal Sciences, 7/1/93 through 6/30/94.
Chambers, Thomas M., Assistant Professor, Veterinary Science, 7/1/93 through 6/30/94.
Coyne, Mark S., Assistant Professor, Agronomy, 7/1/93 through 6/30/94.
Crankshaw, Ned, Assistant Professor, Horticulture and Landscape Architecture, 7/1/93 through 6/30/94.
Ditsch, David C., Assistant Extension Professor, Agronomy, 7/1/93 through 6/30/94.
Dwyer, Roberta M., Assistant Professor, Veterinary Science, 7/1/93 through 6/30/94.
Dyk, Patricia H., Assistant Professor, Rural Sociology, 7/1/93 through 6/30/94.
Goetz, Stephan J., Assistant Professor, Agricultural Economics, 7/1/93 through 6/30/94.
Granstrom, David E., Assistant Professor, Veterinary Science, 7/1/93 through 6/30/94.
Graves, Kathryn Trembicki, Assistant Research Professor, Veterinary Science, 7/1/93 through 6/30/94.
Greider, Thomas R., Assistant Professor, Rural Sociology, 7/1/93 through 6/30/94.
Harris, Rosalind P., Assistant Professor, Rural Sociology, 7/1/93 through 6/30/94.
Hunt, Randy E., Assistant Research Professor, Entomology, 7/1/93 through 9/30/93.
Hustedde, Ronald John, Assistant Extension Professor, Rural Sociology, 7/1/93 through 6/30/94.

Isaacs, Steven G., Assistant Extension Professor,
Agricultural Economics, 7/1/93 through 6/30/94.
Klein, Patricia G., Assistant Professor, Horticulture
and Landscape Architecture, 7/1/93 through 6/30/94.
Klein, Robert Richard, Adjunct Assistant Professor,
Agronomy, 7/1/93 through 6/30/94.
Kurzynske, Janet S., Assistant Extension Professor,
Home Economics Extension, 7/1/93 through 6/30/94.
Lacki, Michael J., Assistant Professor, Forestry, 7/1/93
through 6/30/94.
Maksymowicz, William C., Assistant Extension Professor,
Agronomy, 7/1/93 through 6/30/94.
Marchant, Mary A., Assistant Professor, Agricultural
Economics, 7/1/93 through 6/30/94.
McDowell, Karen J., Assistant Professor, Veterinary
Science, 7/1/93 through 6/30/94.
Moore, Allen J., Assistant Professor, Entomology, 7/1/93
through 6/30/94.
Patterson, David J., Assistant Extension Professor,
Animal Sciences, 7/1/93 through 6/30/94.
Pelkki, Matthew H., Assistant Professor, Forestry,
7/1/93 through 6/30/94.
Phillips, Timothy D., Assistant Professor, Agronomy,
7/1/93 through 6/30/94.
Potter, Michael F., Assistant Extension Professor,
Entomology, 7/1/93 through 6/30/94.
Ready, Richard C., Assistant Professor, Agricultural
Economics, 7/1/93 through 6/30/94.
Reeb, James E., Assistant Extension Professor, Forestry,
7/1/93 through 6/30/94.
Rennekamp, Roger A., Assistant Extension Professor,
Cooperative Extension Service, 7/1/93 through
6/30/94.
Sedlacek, John D., Adjunct Assistant Professor,
Entomology, 7/1/93 through 6/30/94.
Snell, William M., Assistant Extension Professor,
Agricultural Economics, 7/1/93 through 6/30/94.
Strobel, Herbert J., Assistant Professor, Animal
Sciences, 7/1/93 through 6/30/94.
Thompson, Kent N., Assistant Professor, Veterinary
Science, 7/1/93 through 6/30/94.
Vincelli, Paul C., Assistant Extension Professor,
Plant Pathology, 7/1/93 through 6/30/94.
Weckman, Randy, Assistant Professor*, Agricultural
Communications, 7/1/93 through 6/30/94.
Weston, Paul A., Adjunct Assistant Professor,
Entomology, 7/1/93 through 6/30/94.
Xiong, Youling, Assistant Professor, Animal Sciences,
7/1/93 through 6/30/94.

College of Arts and Sciences

Allison, Jonathan, Assistant Professor, English, 7/1/93 through 6/30/94.
Blum, Virginia, Assistant Professor, English, 7/1/93 through 6/30/94.
Bosch, Anna R.K., Assistant Professor, English, 7/1/93 through 6/30/95.
Brannon, Robert L., Assistant Professor, Sociology, 7/1/93 through 6/30/95.
Cai, Xiao-Chuan, Assistant Professor, Mathematics, 7/1/93 through 6/30/95.
Carswell, C. Melody, Assistant Professor, Psychology, 7/1/93 through 6/30/95.
de Carvalho, Susan E., Assistant Professor, Spanish and Italian, 7/1/93 through 6/30/95.
Donesky, Finlay, Assistant Professor, English, 7/1/93 through 6/30/95.
Draper, Terrence, Assistant Professor, Physics and Astronomy, 7/1/93 through 6/30/95.
Gargola, Daniel J., Assistant Professor, History, 7/1/93 through 6/30/95.
Golding, Jonathan M., Assistant Professor, Psychology, 7/1/93 through 6/30/94.
Gordon, Fon L., Assistant Professor, History, 7/1/93 through 6/30/95.
Gorringe, Tim Paul, Assistant Professor, Physics and Astronomy, 7/1/93 through 6/30/95.
Hakansson, N. Thomas, Assistant Professor, Anthropology, 7/1/93 through 6/30/94.
Hoyle, Rick H., Assistant Professor, Psychology, 7/1/93 through 6/30/95.
Hurlbut, Jesse D., Assistant Professor, French, and Assistant Professor, Honors, Undergraduate Studies, 7/1/93 through 6/30/95.
Jefferies, Richard W., Assistant Professor, Anthropology, 7/1/93 through 6/30/95.
Kennedy, Barbara L., Assistant Professor, English, 7/1/93 through 6/30/95.
Kern, Kathi L., Assistant Professor, History, 7/1/93 through 6/30/95.
Majidi, Vahid, Assistant Professor, Chemistry, 7/1/93 through 6/30/95.
Pinney, Karen R., Assistant Professor, Mathematics, 7/1/93 through 6/30/95.
Riggle, Ellen D., Assistant Professor, Political Science, 7/1/93 through 6/30/95.
Ruder, Cynthia A., Assistant Professor, Russian and Eastern Studies, 7/1/93 through 6/30/95.
Smith, Gregory T., Assistant Professor, Psychology, 7/1/93 through 6/30/95.
Smith, Richard H., Assistant Professor, Psychology, 7/1/93 through 6/30/94.
Staben, Charles Alan, Assistant Professor, Biological Sciences, 7/1/93 through 6/30/95.

Stromberg, Arnold J., Assistant Professor, Statistics,
7/1/93 through 6/30/95.
Torres, Lourdes Maria, Assistant Professor, Spanish and
Italian, 7/1/93 through 6/30/95.
Tunberg, Terence O., Assistant Professor, Classics, and
Assistant Professor, Honors, Undergraduate Studies,
7/1/93 through 6/30/94.
Van Denburg, Todd F., Assistant Professor, Psychology,
7/1/93 through 6/30/95.
Wetter, Martha W., Assistant Professor, Psychology,
7/1/93 through 6/30/95.
Yavatkar, Rajendra, Assistant Professor, Computer
Science, 7/1/93 through 6/30/95.

College of Business and Economics

Amburgey, Terry L., Assistant Professor, Management,
7/1/93 through 6/30/94.
Ellis, Brien T., Assistant Professor, Marketing, 7/1/93
through 6/30/94.
Keep, William W., Assistant Professor, Marketing, 7/1/93
through 6/30/94.
Kim, Yoonbai, Assistant Professor, Economics, 7/1/93
through 6/30/94.
Park, Gonyung, Assistant Professor, Economics, 7/1/93
through 6/30/94.
Shaffer, Brian C., Assistant Professor, Management,
7/1/93 through 6/30/94.
Sundaramurthy, Chamundeswari, Assistant Professor,
Management, 7/1/93 through 6/30/94.
Tepper, Kelly L., Assistant Professor, Marketing, 7/1/93
through 6/30/94.
Vishwasrao, Sharmila, Assistant Professor, Economics,
7/1/93 through 6/30/94.
Wells, Jane B., Assistant Professor, Accountancy, 7/1/93
through 6/30/94.

College of Communications

Dozier, Steve, Assistant Professor*, Journalism, 7/1/93
through 6/30/94.
Harrington, Nancy Grant, Assistant Professor,
Communication, 7/1/93 through 6/30/94.
Hertog, James K., Assistant Professor, Journalism,
7/1/93 through 6/30/94.
Kim, Haeyron, Assistant Professor, Telecommunications,
7/1/93 through 6/30/94.
Manning-Miller, Carmen L., Assistant Professor,
Journalism, 7/1/93 through 6/30/94.
Reynolds, Nancy J., Assistant Professor,
Telecommunications, 7/1/93 through 6/30/94.
Roth, Richard L., Assistant Professor*, Journalism,
7/1/93 through 6/30/94.

College of Education

Bieber, Jeffery P., Assistant Professor, Educational Policy Studies and Evaluation, 7/1/93 through 6/30/95.
Collins, Belva C., Assistant Professor, Special Education, 7/1/93 through 6/30/94.
Donelan, Richard W., Assistant Professor, Administration and Supervision, 7/1/93 through 6/30/95.
Fleming, Jo, Assistant Research Professor, Special Education, 7/1/93 through 6/30/94.
Hemmeter, Mary Louise, Assistant Professor, Special Education, 7/1/93 through 6/30/95.
Liptak, Kathryn L., Assistant Professor*, Curriculum and Instruction, 7/1/93 through 6/30/95.

College of Engineering

Ballinger, Robert S., Assistant Professor, Mechanical Engineering, 7/1/93 through 6/30/95.
Froehlich, David, Assistant Professor, Civil Engineering, 7/1/93 through 6/30/94.
Gedney, Stephen D., Assistant Professor, Electrical Engineering, 7/1/93 through 6/30/95.
Holloway, Lawrence E., Assistant Professor, Electrical Engineering, 7/1/93 through 6/30/95.
Kumar, Ratnesh, Assistant Professor, Electrical Engineering, 7/1/93 through 6/30/94.
Li, Jian, Assistant Professor, Electrical Engineering, 7/1/93 through 6/30/95.
Smith, Suzanne Weaver, Assistant Professor, Engineering Mechanics, 7/1/93 through 6/30/95.
Sottile, Joseph Jr., Assistant Professor, Mining Engineering, 7/1/93 through 6/30/95.
Whites, Keith W., Assistant Professor, Electrical Engineering, 7/1/93 through 6/30/95.
Wu, Ting-Wen, Assistant Professor, Mechanical Engineering, 7/1/93 through 6/30/95.

College of Social Work

Acra, Paul D., Assistant Professor* (part-time), 8/16/93 through 5/15/94.
Barone, Anthony J., Assistant Professor* (part-time), 8/16/93 through 5/15/94.
Collins, Lucky J., Assistant Professor* (part-time), 8/16/93 through 5/15/94.
Erwin, Anne B., Assistant Professor* (part-time), 8/16/93 through 5/15/94.
Fox, Stephen R., Assistant Professor* (part-time), 8/16/93 through 5/15/94.
Hackney, Edwin, Assistant Professor* (part-time), 8/16/93 through 5/15/94.

Isaacs, Paul F., Assistant Professor* (part-time),
8/16/93 through 5/15/94.
Isenhour, Lenora H., Assistant Professor* (part-time),
8/16/93 through 5/15/94.
Kennedy, Albert, Assistant Professor* (part-time),
8/16/93 through 5/15/94.
Lampton, T. Patrick, Assistant Professor* (part-time),
8/16/93 through 5/15/94.
Oliver, Kenneth E., Assistant Professor* (part-time),
8/16/93 through 5/15/94.
Payne, Darrell D., Assistant Professor* (part-time),
8/16/93 through 5/15/94.
Stafford, Judith A., Assistant Professor* (part-time),
8/16/93 through 5/15/94.
Stivers, Teresa W., Assistant Professor* (part-time),
8/16/93 through 5/15/94.
Tychonievich, Marie, Assistant Professor* (part-time),
8/16/93 through 5/15/94.
Waldner, Barbara H., Assistant Professor* (part-time),
8/16/93 through 5/15/94.
Walker, Robert, Assistant Professor* (part-time),
8/16/93 through 5/15/94.
Weir, Ivan R., Assistant Professor* (part-time), 8/16/93
through 5/15/94.
Weir, Myra B., Assistant Professor* (part-time), 8/16/93
through 5/15/94.
Whorley, Larry W., Assistant Professor* (part-time),
8/16/93 through 5/15/94.

E. CHANGES

College of Arts and Sciences

Schein, Richard H., from Adjunct Assistant Professor,
Geography, to Visiting Assistant Professor,
Geography, 8/16/93 through 5/15/94.

F. TERMINAL REAPPOINTMENTS

College of Arts and Sciences

Bialecki, Bernard, Assistant Professor (without tenure),
Mathematics, 7/1/93 through 6/30/94.
Mehrotra, Rajiv, Assistant Professor (without tenure),
Computer Science, and Assistant Professor (without
tenure), Electrical Engineering, College of
Engineering, 7/1/93 through 6/30/94.
Pickar, Mark A., Assistant Professor (without tenure),
Physics and Astronomy, 7/1/93 through 6/30/94.

College of Communications

Scheiner, Edward C., Assistant Professor (without
tenure), Journalism, 7/1/93 through 6/30/94.

G. LEAVES OF ABSENCE

College of Agriculture

Quinn, Garvin W., Extension Specialist, Agricultural Communications, Study leave with half salary, 7/15/93 through 1/14/94.

Thomas, Grant W., Professor (with tenure), Agronomy, Sabbatical leave with full salary, 10/1/93 through 4/30/94.

College of Architecture

de Hahn, Henri, Assistant Professor (without tenure), Leave without pay, Fall Semester 1993 and Spring Semester 1994.

Gillig, Robert, Assistant Professor (without tenure), Leave without pay, Fall Semester 1993 and Spring Semester 1994.

Groves, John R., Associate Professor (with tenure), Leave without pay, Fall Semester 1993 and Spring Semester 1994.

College of Arts and Sciences

Cai, Xiao-Chuan, Assistant Professor (without tenure), Mathematics, Leave without pay, Fall Semester 1993 and Spring Semester 1994.

Canon, Bradley C., Professor (with tenure), Political Science, Sabbatical leave with full salary, Spring Semester 1994.

Eldred, Janet, Associate Professor (with tenure), English, Sabbatical leave with half salary, Fall Semester 1993 and Spring Semester 1994.

Hovey, Mark A., Assistant Professor (without tenure), Mathematics, Leave without pay, Fall Semester 1993 and Spring Semester 1994.

Jones, John Paul, Associate Professor (with tenure), Geography, Sabbatical leave with half salary, Fall Semester 1993 and Spring Semester 1994.

Natter, Wolfgang, Associate Professor (with tenure), German, Sabbatical leave with half salary, Fall Semester 1993 and Spring Semester 1994.

Pauly, Patricia R., Assistant Professor (without tenure), Political Science, Leave without pay, Fall Semester 1993.

College of Business and Economics

Hoyt, William H., Associate Professor (with tenure), Economics, Leave without pay, Fall Semester 1993 and Spring Semester 1994.

College of Communications

Lindlof, Thomas R., Associate Professor (with tenure),
Telecommunications, Sabbatical leave with full
salary, Spring Semester 1994.

College of Education

Middleton, Ernest J., Professor (with tenure),
Curriculum and Instruction, Leave without pay, Fall
Semester 1993 and Spring Semester 1994.

Nacke, Phil L., Associate Professor (with tenure),
Curriculum and Instruction, Sabbatical leave with
half salary, Fall Semester 1993 and Spring Semester
1994.

Omvig, Clayton P., Professor (with tenure), Vocational
Education, Sabbatical leave with half salary, Fall
Semester 1993 and Spring Semester 1994.

College of Fine Arts

Kachingwe, Michael T., Assistant Professor* (without
tenure), Theatre, Leave without pay, Fall Semester
1993 and Spring Semester 1994.

Maschio, Geraldine, Associate Professor (with tenure),
Theatre, and Chair, Theatre, Sabbatical leave with
full salary, Spring Semester 1994.

Miller, Mina F., Associate Professor (with tenure),
Music, Sabbatical leave with half salary, Fall
Semester 1993 and Spring Semester 1994.

College of Human Environmental Sciences

Ford-Harris, Donna Y., Assistant Professor (without
tenure), Family Studies, Leave without pay, Fall
Semester 1993 and Spring Semester 1994.

McMahon, Pamela S., Associate Professor* (with
tenure), Nutrition and Food Science, Sabbatical
leave with full salary, Fall Semester 1993.

College of Law

McMahon, Martin J., Professor (with tenure), Sabbatical
leave with full salary, Fall Semester 1993.

Stipanowich, Thomas J., Professor (with tenure),
Sabbatical leave with full salary, Fall Semester
1993.

H. LEAVES OF ABSENCE CHANGES

College of Arts and Sciences

Billings, Dwight B., Professor (with tenure), Sociology, to rescind Sabbatical leave with full salary, Fall Semester 1993.

Govindarajulu, Zakkula, Professor (with tenure), Statistics, to change from Sabbatical leave with full salary, Fall Semester 1993, to Sabbatical leave with full salary, Spring Semester 1994.

Perry, Peter A., Associate Professor (with tenure), Mathematics, to change from Sabbatical leave with full salary, Fall Semester 1993, to Leave with fellowship and partial pay, Fall Semester 1993.

Schatzki, Theodore R., Associate Professor (with tenure), Philosophy, to change from Leave without pay, Fall Semester 1993 and Spring Semester 1994, to Leave with fellowship and partial pay, Fall Semester 1993 and Spring Semester 1994.

Smith, Patricia, Associate Professor (with tenure), Philosophy, and Chair, Philosophy, to change from Leave without pay, Fall Semester 1993 and Spring Semester 1994, to Leave with fellowship and partial pay, Fall Semester 1993 and Spring Semester 1994.

College of Business and Economics

Marsden, James R., Professor (with tenure), Decision Science and Information Systems, and Chair, Decision Science and Information Systems, to change from Sabbatical leave with full salary, Spring Semester 1994, to Leave without pay, Fall Semester 1993 and Spring Semester 1994.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Bachner, Paul, Clinical Professor (without tenure), Pathology, 7/1/93 through 6/30/94.
Charash, William E., Assistant Professor (without tenure), Surgery, 7/1/93 through 6/30/94.
Clarke, Gregory B., Assistant Professor (without tenure), Medicine, 7/1/93 through 6/30/94.
Cross, Andrew M., Jr., Assistant Professor (without tenure), Medicine, 7/1/93 through 6/30/94.
Gash, Donald M., Professor (with tenure), Anatomy and Neurobiology, effective 7/1/93.
Gates, Lawrence K., Assistant Professor (without tenure), Medicine, 7/1/93 through 6/30/94.
Nickerson, Robert B., Assistant Professor (without tenure), Rehabilitation Medicine, 7/1/93 through 6/30/94.
Nypaver, Timothy J., Assistant Professor (without tenure), Surgery, 7/1/93 through 6/30/94.
Pollack, Susan H., Assistant Professor (without tenure), Pediatrics, joint appointment as Assistant Professor (without tenure), Preventive Medicine and Environmental Health, 7/12/93 through 6/30/94.
Qadir, Riffat H., Assistant Professor (without tenure), Surgery, 9/15/93 through 6/30/94.
Reed, James C., Professor (with tenure), Diagnostic Radiology, effective 7/1/93.
Summer, Brian M., Assistant Professor (without tenure), Medicine, 7/1/93 through 6/30/94.
Svenson, James E., Assistant Professor* (without tenure), Emergency Medicine, 7/1/93 through 6/30/94.
Tritz, Denise M., Clinical Instructor, Pathology, 7/1/93 through 6/30/94.
Valentino, Joseph, Assistant Professor (without tenure), Surgery, 7/1/93 through 6/30/94.
Vanarthos, William J., Clinical Assistant Professor (without tenure), Diagnostic Radiology, 7/1/93 through 6/30/94.
Walker, Robert B., Professor (voluntary), Preventive Medicine and Environmental Health. 6/15/93 through 6/30/94.
Young, Thomas J., Assistant Professor (without tenure), Anesthesiology, 7/1/93 through 6/30/94.

*Special Title Series

B. ADMINISTRATIVE APPOINTMENTS

College of Medicine

Gash, Donald M., Professor (with tenure), Anatomy and Neurobiology, named Alumni Chair, Anatomy and Neurobiology, 7/1/93 through 6/30/99.

Reed, James C., Professor (with tenure), Diagnostic Radiology, named Chair, Diagnostic Radiology, 7/1/93 through 6/30/99.

C. JOINT APPOINTMENTS

College of Medicine

Doukas, Michael A., Associate Professor* (with tenure), Medicine, joint appointment as Associate Professor* (without tenure), College of Pharmacy, effective 7/1/93.

College of Nursing

Brockopp, Dorothy, Associate Professor* (with tenure), joint appointment as Associate Professor* (without tenure), College of Medicine, Department of Anesthesiology, effective 5/15/93.

D. REAPPOINTMENTS

College of Allied Health Professions

Holness, Alison, Associate Professor* (without tenure), Clinical Sciences, 7/1/93 through 6/30/94.

College of Dentistry

Collier, Wayne W., Professor (without tenure) (part-time), Oral Health Practice, 7/1/93 through 6/30/94.

Mullins, Richard, Associate Professor (without tenure) (part-time), Oral Health Practice, 7/1/93 through 6/30/94.

Turner, Erwin G., Associate Professor (without tenure) (part-time), Oral Health Practice, 7/1/93 through 6/30/94.

College of Medicine

Calico, Forrest W., Associate Professor (without tenure) (part-time), Family Practice, 7/1/93 through 6/30/94.

Dowell, Russell T., Research Professor (without tenure), Physiology and Biophysics, 7/1/93 through 6/30/94.

Faugere, Marie-Claude, Associate Research Professor (without tenure), Medicine, joint appointment as Associate Research Professor (without tenure), Pathology, 7/1/93 through 6/30/94.

Feola, Jose M., Associate Research Professor (without tenure), Radiation Medicine, 7/1/93 through 6/30/94.

Harder, Edward, Associate Professor (without tenure) (part-time), Medicine, 7/1/93 through 6/30/94.

Johnson, James E., Jr., Associate Professor (without tenure) (part-time), Preventive Medicine and Environmental Health, 7/1/93 through 6/30/94.

Leavell, Ullin, Professor (without tenure) (part-time), Medicine, 7/1/93 through 6/30/94.

Mayer, Lloyd, Professor (without tenure) (part-time), Medicine, 7/1/93 through 6/30/94.

Mazzoleni, Albert, Associate Adjunct Professor (without tenure), Medicine, 7/1/93 through 6/30/94.

Mersack, Ira, Associate Professor (without tenure) (part-time), Medicine, 7/1/93 through 6/30/94.

Muller, Sybille, Associate Research Professor (without tenure), Medicine, 7/1/93 through 6/30/94.

Roach, Benjamin F., Professor (without tenure) (part-time), Family Practice, 7/1/93 through 6/30/94.

Saykaly, Ronald, Professor (without tenure) (part-time), Medicine, 7/1/93 through 6/30/94.

Scherl, Eileen, Clinical Associate Professor (without tenure), Medicine, 7/1/93 through 6/30/94.

Timmons, Edward H., Associate Adjunct Professor (without tenure), Physiology and Biophysics, joint appointment as Associate Adjunct Professor (without tenure), College of Agriculture, Department of Veterinary Science, 7/1/93 through 6/30/94.

College of Nursing

Hazzard, Mary, Associate Adjunct Professor (without tenure), 7/1/93 through 6/30/94.

College of Pharmacy

Kadaba, Pankaja K., Research Professor (without tenure) (part-time), 7/1/93 through 6/30/94.
Lai, Yih-Loong, Research Professor (without tenure), 7/1/93 through 6/30/94.
Liter, Melvin E., Associate Adjunct Professor (without tenure), 7/1/93 through 6/30/94.
Mactutus, Charles F., Associate Research Professor (without tenure), joint appointment as Associate Research Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/94.
Murty, Boddapati R., Associate Adjunct Professor (without tenure), 7/1/93 through 6/30/94.
Parker, Chester L., Associate Professor (without tenure) (part-time), 7/1/93 through 6/30/94.

E. ADMINISTRATIVE REAPPOINTMENTS

College of Allied Health Professions

Gairola, Gerry, Associate Professor (with tenure), Health Services, named Chair, Health Services, 7/1/93 through 6/30/97.

College of Medicine

Markesbery, William R., Professor (with tenure), Pathology, named Director, Sanders-Brown Center on Aging and Commonwealth Chair in Aging, effective 9/1/93 through 6/30/94.

F. TENURE

College of Medicine

Rie, Michael A., Associate Professor (without tenure), Anesthesiology, joint appointment as Associate Professor (without tenure), Surgery, to Associate Professor (with tenure), Anesthesiology, joint appointment as Associate Professor (without tenure), Surgery, effective 7/1/93.
Speck, Dexter F., Associate Professor (without tenure), Physiology and Biophysics, to Associate Professor (with tenure), Physiology and Biophysics, effective 7/1/93.

College of Nursing

Hickman, Margaret J., Associate Professor* (without tenure), to Associate Professor* (with tenure), effective 7/1/93.

G. PROMOTIONS

College of Allied Health Professions

Samuel, Thomas W., Assistant Professor*, Health Services, to Associate Professor* (with tenure), Health Services, effective 7/1/93.

College of Dentistry

Damm, Douglas D., Associate Professor (with tenure), Oral Health Science, joint appointment as Associate Professor (without tenure), College of Medicine, Department of Pathology, to Professor (with tenure), Oral Health Science, joint appointment as Professor (without tenure), College of Medicine, Department of Pathology, effective 7/1/93.

College of Medicine

Booth, David C., Associate Professor* (with tenure), Medicine, to Professor* (with tenure), Medicine, effective 7/1/93.

Bradley, B. Blake, Assistant Professor*, Obstetrics and Gynecology, to Associate Professor* (with tenure), Obstetrics and Gynecology, effective 7/1/93.

Chasen, Craig A., Clinical Assistant Professor, Medicine, to Clinical Associate Professor (without tenure), Medicine, 7/1/93 through 6/30/94.

Endean, Eric D., Assistant Professor, Surgery, to Associate Professor (with tenure), Surgery, effective 7/1/93.

Gurley, John C., Assistant Professor, Medicine, to Associate Professor (with tenure), Medicine, effective 7/1/93.

Haist, Steven A., Assistant Professor*, Medicine, joint appointment as Assistant Professor*, College of Pharmacy, to Associate Professor* (with tenure), Medicine, joint appointment as Associate Professor* (without tenure), College of Pharmacy, effective 7/1/93.

Jones, Raleigh O., Assistant Professor, Surgery, to Associate Professor (with tenure), Surgery, effective 7/1/93.

Lanska, Douglas J., Assistant Professor, Neurology, joint appointment as Assistant Professor, Preventive Medicine and Environmental Health, to Associate Professor (with tenure), Neurology, joint appointment as Associate Professor (without tenure), Preventive Medicine and Environmental Health, effective 7/1/93.

London, Steve N., Assistant Professor*, Obstetrics and Gynecology, to Associate Professor* (with tenure), Obstetrics and Gynecology, effective 7/1/93.
Massey, Andrew D., Assistant Professor*, Neurology, to Associate Professor* (with tenure), Neurology, effective 7/1/93.
Mattson, Mark P., Assistant Professor, Anatomy and Neurobiology, to Associate Professor (with tenure), Anatomy and Neurobiology, effective 7/1/93.
Miller, Ralph E., Clinical Assistant Professor, Medicine, to Clinical Associate Professor (without tenure), Medicine, 7/1/93 through 6/30/94.
Ngai, Bernard C., Clinical Assistant Professor, Medicine, to Clinical Associate Professor (without tenure), Medicine, 7/1/93 through 6/30/94.
Slevin, John T., Associate Professor (with tenure), Neurology, joint appointment as Associate Professor (without tenure), Pharmacology, to Professor (with tenure), Neurology, joint appointment as Professor (without tenure) Pharmacology, effective 7/1/93.
Turker, Mitchell S., Assistant Professor, Pathology, joint appointment as Assistant Professor, Microbiology and Immunology, to Associate Professor (with tenure), Pathology, joint appointment as Associate Professor (without tenure), Microbiology and Immunology), effective 7/1/93.

College of Nursing

Free, Teresa A., Assistant Professor*, to Associate Professor* (with tenure), effective 7/1/93.

Medical Center Library

Cline, Cindy D., Librarian III (without tenure), to Librarian II (with tenure), effective 7/1/93.
Ingram, Mark A., Librarian III (without tenure), to Librarian II (with tenure), effective 7/1/93.

H. CHANGES

College of Medicine

Johnson, James E., Jr., from Associate Professor (temporary) (part-time), Preventive Medicine and Environmental Health, to Associate Professor (without tenure) (part-time), Preventive Medicine and Environmental Health, 4/1/93 through 6/30/93.

I. LEAVES OF ABSENCE

College of Medicine

Godshalk, Frederica M., Associate Professor* (with tenure), Anesthesiology, sick leave with pay, 3/24/93 through 5/7/93.

J. EARLY RETIREMENTS

Physical Plant Division

Pinkston, Flora, Custodial Worker I, after 15.34 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 7/23/93.

Student Health Service

Cinnamon, Juanita, Account Clerk VIII, after 17 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 6/30/93.

K. POST-RETIREMENT APPOINTMENTS

College of Medicine

Sandifer, Myron G., Professor (without tenure) (part-time), Psychiatry, joint appointment as Professor (without tenure), Neurology, 7/1/93 through 6/30/94.

Seely, Ellsworth C., Professor (without tenure) (part-time), Family Practice, 7/1/93 through 6/30/94.

Storow, Hugh A., Professor (without tenure) (part-time), Psychiatry, 7/1/93 through 6/30/94.

Swim, Harold E., Professor (without tenure) (part-time), Microbiology and Immunology, 7/1/92 through 6/30/93 and 7/1/93 through 6/30/94.

Vandiviere, H. Mac, Professor (without tenure) (part-time), Pediatrics, 7/1/93 through 6/30/94.

Office of the Chancellor

Wasson, Bill F., Skilled Technician, Office of Communications, 3/1/93 through 2/28/94.

University Hospital

Brown, Oma, Labor and Delivery Technician, Department of Nursing, 6/22/93 through 6/21/94.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Charles, Lynn, Instructor (voluntary), Clinical Sciences, 6/18/93 through 6/30/94.
Doll, Sandra D., Instructor (voluntary), Clinical Sciences, 5/7/93 through 6/30/94.
Eskridge, Danna, Instructor (voluntary), Clinical Sciences, 5/7/93 through 6/30/94.
Freidhoff, Gail C., Assistant Professor*, Clinical Sciences, 8/1/93 through 6/30/94.
Graehler, John K., Instructor (voluntary), Clinical Sciences, 6/18/93 through 6/30/94.
Hallman, Holly, Instructor (voluntary), Clinical Sciences, 6/18/93 through 6/30/94.
Heckmann, Timothy, Instructor (voluntary), Clinical Sciences, 5/7/93 through 6/30/94.
Hicks, Ramona, Assistant Professor, Clinical Sciences, 7/1/93 through 6/30/94.
Howe, Randall, Instructor (voluntary), Clinical Sciences, 6/18/93 through 6/30/94.
Indest, Lisa, Instructor (voluntary), Clinical Sciences, 6/18/93 through 6/30/94.
Johnson, Douglas, Assistant Professor (voluntary), Health Services, 7/1/93 through 6/30/94.
Lacey, Lillian, Instructor (voluntary), Clinical Sciences, 5/7/93 through 6/30/94.
Marshall, Carol, Instructor (voluntary), Clinical Sciences, 6/18/93 through 6/30/94.
McGreery, Kathleen, Instructor (voluntary), Clinical Sciences, 6/18/93 through 6/30/94.
Mitchell, John N., Assistant Professor (voluntary), Health Services, 7/1/93 through 6/30/94.
Murph, Emily K., Instructor (voluntary), Clinical Sciences, 5/7/93 through 6/30/94.
Ogle, Karen, Instructor (voluntary), Clinical Sciences, 6/18/93 through 6/30/94.
Olson, Daniel, Instructor (voluntary), Clinical Sciences, 6/18/93 through 6/30/94.
Sansbury, Emily, Instructor (voluntary), Clinical Sciences, 6/18/93 through 6/30/94.
van Dommelen, Ronald, Instructor (voluntary), Clinical Sciences, 6/18/93 through 6/30/94.
Welti, Heidi, Instructor (voluntary), Clinical Sciences, 6/18/93 through 6/30/94.
Wilde, Tracy, Instructor (voluntary), Clinical Sciences, 6/18/93 through 6/30/94.

*Special Title Series

Wilkin, Adrienne, Instructor (voluntary), Clinical Sciences, 5/7/93 through 6/30/94.

College of Dentistry

Clark, Evelyn P., Assistant Professor (part-time), Oral Health Practice, 7/1/93 through 6/30/94.

Justice, Laura, Instructor (voluntary), Oral Health Science, 6/23/93 through 6/30/94.

Nusbacher, Chris J., Assistant Professor (part-time), Oral Health Practice, 7/1/93 through 6/30/94.

Reid, Kevin I. Clinical Assistant Professor, Oral Health Practice, 7/1/93 through 6/30/94.

Reynolds, William C., Instructor (voluntary), Oral Health Science, 6/23/93 through 6/30/94.

Rodriguez, Carla M., Assistant Professor (part-time), Oral Health Practice, 7/1/93 through 6/30/94.

Wahle, John P. Assistant Professor (part-time), Oral Health Practice, 7/1/93 through 6/30/94.

Wallace, Kenneth P., Instructor (voluntary), Oral Health Science, 6/23/93 through 6/30/94.

Wohlford, Mark E., Instructor (voluntary), Oral Health Science, 6/23/93 through 6/30/94.

College of Medicine

Anstead, Michael, Instructor (part-time), Medicine, 7/1/93 through 6/30/94.

Bakshi, Mala S., Instructor (part-time), Medicine, 7/1/93 through 6/30/94.

Brenzel, Allen J., Clinical Assistant Professor, Psychiatry, joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/93 through 6/30/94.

Clark, Dorothy H., Assistant Professor (voluntary), Surgery, 7/1/93 through 6/30/94.

Dimitroff, James W., Assistant Professor, Medicine, 7/1/93 through 6/30/94.

Friedli, Judy A., Assistant Professor (voluntary), Psychiatry, 8/15/93 through 6/30/94.

Haas, Laurie S., Instructor (part-time), Medicine, 7/1/93 through 6/30/94.

Hardigree, Scott W., Clinical Instructor, Anesthesiology, 7/1/93 through 6/30/94.

Javery, Keith B., Clinical Instructor, Anesthesiology, 7/1/93 through 6/30/94.

Kavanaugh, Kevin T., Assistant Professor (voluntary), Surgery, 7/1/93 through 6/30/94.

Miller, Katherine J., Assistant Professor (voluntary), Family Practice. 6/15/93 through 6/30/94.

Nolan, Timothy A., Assistant Adjunct Professor,
Psychiatry, 6/15/93 through 6/30/94.
Park-Sarge, OK-Kyung, Assistant Professor, Physiology
and Biophysics, 7/1/93 through 6/30/94.
Rahman, Rafiqu, Assistant Professor (voluntary),
Medicine, 6/15/93 through 6/30/94.
Rivard, Cheryl R., Assistant Professor (voluntary),
Psychiatry, 8/15/93 through 6/30/94.
Roberts, William M., Assistant Professor (part-time),
Anesthesiology, 6/16/93 through 6/30/94.
Wong, Chris, Assistant Professor (voluntary), Family
Practice, 6/15/93 through 6/30/94.

College of Nursing

Akers, Mary C., Instructor (voluntary), 7/1/93 through
6/30/94.
French, Bonnie J., Instructor (part-time), 8/9/93
through 6/30/94.
Hahn, Ellen J., Assistant Professor, 7/1/93 through
6/30/94.
Ossege, Julianne, Assistant Professor*, 7/1/93 through
6/30/94.
Thacker, Teresa A., Instructor*, 8/9/93 through 6/30/94.

College of Pharmacy

Abell, Robert J., Instructor (voluntary), 7/1/93
through 6/30/94.
Batsel, Barbara C., Instructor (voluntary), 7/1/93
through 6/30/94.
Boggs, Charles B., Assistant Professor (part-time),
7/1/93 through 6/30/94.
Chestnut, Michael K., Instructor (voluntary), 7/1/93
through 6/30/94.
Claywell, J. Leon, Instructor (voluntary), 7/1/93
through 6/30/94.
Davis, Glenn R., Instructor (voluntary), 7/1/93 through
6/30/94.
Kovar, Dan R., Instructor (voluntary), 7/1/93 through
6/30/94.
Lewis, Cindy, Instructor (voluntary), 7/1/93 through
6/30/94.
Loy, Janet, Instructor (voluntary), 7/1/93 through
6/30/94.
Moore, Paula A., Instructor (voluntary), 7/1/93 through
6/30/94.
Shumate, Lisa A., Instructor (voluntary), 7/1/93
through 6/30/94.
Stone, Laura M., Instructor (voluntary), 7/1/93 through
6/30/94.

Thomas, William M., Instructor (voluntary), 7/1/93
through 6/30/94.

B. ADMINISTRATIVE APPOINTMENTS

College of Medicine

Rosewell, Katherine L., Biomedical Scientist,
Physiology and Biophysics, effective 5/1/93.

C. JOINT APPOINTMENTS

College of Medicine

Cross, Richard J., Assistant Research Professor,
Microbiology and Immunology, joint appointment as
Assistant Research Professor, Pathology, 7/1/93
through 6/30/94.

Lanska, Mary J., Assistant Professor (part-time),
Neurology, joint appointment as Assistant Professor
(part-time), Pediatrics and Preventive Medicine and
Environmental Health, 7/1/93 through 6/30/94.

College of Pharmacy

Gosland, Michael P., Assistant Professor*, joint
appointment as Assistant Professor*, College of
Medicine, Department of Medicine, 7/1/93 through
6/30/94.

D. REAPPOINTMENTS

College of Allied Health Professions

Adkisson, William, Assistant Professor*, Health
Services, 7/1/93 through 6/30/94.

Alexander, Lynn, Assistant Professor*, Clinical
Sciences, 7/1/93 through 6/30/94.

Annis, Kim, Assistant Adjunct Professor, Clinical
Sciences, 7/1/93 through 6/30/94.

Boosalis, Maria, Assistant Professor, Clinical
Sciences, joint appointment as Assistant Professor,
College of Medicine, Department of Medicine, 7/1/93
through 6/30/94.

Brickell, Jean, Assistant Professor*, Clinical
Sciences, 7/1/93 through 6/30/94.

Bryant, Jane, Assistant Adjunct Professor, Clinical
Sciences, 7/1/93 through 6/30/94.

Deschler, Marlene, Assistant Professor*, Clinical
Sciences, 7/1/93 through 6/30/94.

English, Robert, Assistant Professor*, Clinical
Sciences, 7/1/93 through 6/30/94.

*Special Title Series

Geil, Patricia, Assistant Adjunct Professor, Clinical Sciences, 7/1/93 through 6/30/94.

Gonzalez, Lori, Assistant Professor, Clinical Sciences, 7/1/93 through 6/30/94.

Harrison, Anne, Assistant Professor*, Clinical Sciences, 7/1/93 through 6/30/94.

Hay, Audry, Assistant Adjunct Professor, Clinical Sciences, 7/1/93 through 6/30/94.

Huff, Frank, Assistant Adjunct Professor, Health Services, 7/1/93 through 6/30/94.

Kelly, Deborah, Assistant Professor*, Clinical Sciences, 7/1/93 through 6/30/94.

Kremer, Theresa, Assistant Adjunct Professor, Clinical Sciences, 7/1/93 through 6/30/94.

Lloyd, Brenda, Adjunct Instructor, Clinical Sciences, 7/1/93 through 6/30/94.

Main, Karen, Assistant Research Professor, Health Services, 7/1/93 through 6/30/94.

Molinari, Carol, Assistant Professor, Health Services, 7/1/93 through 6/30/94.

O'Keefe, Joan, Assistant Professor, Clinical Sciences, 7/1/93 through 6/30/94.

Olesinski, Raymond, Assistant Professor*, Clinical Sciences, 7/1/93 through 6/30/94.

Overman, Sue, Assistant Adjunct Professor, Clinical Sciences, 7/1/93 through 6/30/94.

Peduzzi, Joanne, Adjunct Instructor, Clinical Sciences, 7/1/93 through 6/30/94.

Perritt, Lea, Assistant Professor, Health Services, 7/1/93 through 6/30/94.

Pickering, John, Assistant Professor, Clinical Sciences, 7/1/93 through 6/30/94.

Ridings, Herbert, Assistant Professor*, Health Services, 7/1/93 through 6/30/94.

Sims, Priscilla, Adjunct Instructor, Clinical Sciences, 7/1/93 through 6/30/94.

Stewart, Sharon, Assistant Professor*, Clinical Sciences, 7/1/93 through 6/30/94.

Ware, Stuart, Assistant Professor*, Clinical Sciences, joint appointment as Assistant Professor*, College of Medicine, Department of Physiology and Biophysics, 7/1/93 through 6/30/94.

Weeks, Terry, Adjunct Instructor, Clinical Sciences, 7/1/93 through 6/30/94.

Werkmeister, Kelly, Assistant Adjunct Professor, Health Services, 7/1/93 through 6/30/94.

Wojtowicz, Geralyn, Clinical Assistant Professor, Clinical Sciences, 7/1/93 through 6/30/94.

College of Dentistry

Brown, Garnett C., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.
Carter, Michele A., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.
Dean, Melvin, Assistant Professor (part-time), Oral
Health Practice, 7/1/93 through 6/30/94.
Derer, Cindy M., Assistant Professor (part-time), Oral
Health Practice, 7/1/93 through 6/30/94.
Hampton, William E., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.
Harrison, Ershal, Assistant Professor (part-time), Oral
Health Practice, 7/1/93 through 6/30/94.
Higgins, Howard L., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.
Hill, Margaret, Assistant Professor (part-time), Oral
Health Practice, 7/1/93 through 6/30/94.
Lemmerman, Keith J., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.
Lindroth, John, Assistant Professor (part-time), Oral
Health Practice, 7/1/93 through 6/30/94.
McCrary, Rex. P., Assistant Professor (part-time), Oral
Health Practice, 7/1/93 through 6/30/94.
Moody, Carolyn S., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.
Parlanti, Sherry M., Assistant Professor (part-time),
Oral Health Science, 7/1/93 through 6/30/94.
Parmley, Lloyd E., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.
Pogue, Jamie S., Assistant Professor (part-time), Oral
Health Science, 7/1/93 through 6/30/94.
Pritchett, Charles E., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.
Ray, Deborah S., Assistant Professor (part-time), Oral
Health Practice, 7/1/93 through 6/30/94.
Rubeling, Richard R., Assistant Professor (part-time),
Oral Health Science, 7/1/93 through 6/30/94.
Schell, Susan C., Instructor (part-time), Oral Health
Science, 7/1/93 through 6/30/94.
Selwitz, Stephen P., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.
Sievers, Lou P., Assistant Professor (part-time), Oral
Health Practice, 7/1/93 through 6/30/94.
Stansbury, Michael, Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.
Swintosky, Steven L., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.
Tharp, Kenneth L., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.

Thompson, James R., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.
Vose, Charles, Assistant Professor (part-time), Oral
Health Practice, 7/1/93 through 6/30/94.
Wehrman, Paul T., Assistant Professor (part-time), Oral
Health Practice, 7/1/93 through 6/30/94.
Wilkinson, Alan D., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.
Williams, Loren N., Assistant Professor (part-time),
Oral Health Practice, 7/1/93 through 6/30/94.

College of Medicine

Ain, Kenneth, Assistant Professor, Medicine, 7/1/93
through 6/30/94.
Atchison, James W., Assistant Professor, Rehabilitation
Medicine, 7/1/93 through 6/30/94.
Banks, James W., Assistant Professor*, Family Practice,
7/1/93 through 6/30/94.
Beckman, Alan C., Clinical Instructor, Radiation
Medicine, 7/1/93 through 6/30/94.
Biek, Donald P., Assistant Professor, Microbiology and
Immunology, 7/1/93 through 6/30/94.
Birge, Barbara A., Instructor (part-time), Physiology
and Biophysics, 7/1/93 through 6/30/94.
Bustin, Andrew, Clinical Assistant Professor, Medicine,
7/1/93 through 6/30/94.
Caudill, Timothy, Assistant Professor*, Medicine,
7/1/93 through 6/30/94.
Combs, Greta L., Assistant Professor (part-time),
Family Practice, 7/1/93 through 6/30/94.
Cross, Richard J., Assistant Research Professor,
Microbiology and Immunology, 7/1/93 through 6/30/94.
Dale, Thomas A., Assistant Adjunct Professor, Family
Practice, 7/1/93 through 6/30/94.
Davey, Patrick, Assistant Professor (part-time),
Medicine, 7/1/93 through 6/30/94.
DeMoll, Louis E., Assistant Research Professor,
Microbiology and Immunology, 7/1/93 through 6/30/94.
Egan, Rita, Assistant Professor, Medicine, 7/1/93
through 6/30/94.
Elliott, Lucinda H., Assistant Research Professor,
Microbiology and Immunology, 7/1/93 through 6/30/94.
Emmett, Kim, Assistant Professor*, Medicine, 7/1/93
through 6/30/94.
Fanti, Paolo, Assistant Professor, Medicine, 7/1/93
through 6/30/94.
Fetherston, Jacqueline D., Assistant Research
Professor, Microbiology and Immunology, 7/1/93
through 6/30/94.

Fleming-Phillips, Barbara, Clinical Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Florence, Joseph A., Assistant Professor*, Family Practice, 7/1/93 through 6/30/94.
Foster, Karen, Clinical Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Freeman, James W., Assistant Professor, Surgery, joint appointment as Assistant Professor, Microbiology and Immunology, 7/1/93 through 1/31/94.
Garrett, Rebecca V., Clinical Assistant Professor, Rehabilitation Medicine, 7/1/93 through 6/30/94.
Gibson, Jacqueline, Clinical Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Girdler, Renee V., Clinical Assistant Professor, Family Practice, 7/1/93 through 6/30/94.
Goud, S. Niranjan, Assistant Research Professor, Radiation Medicine, joint appointment as Assistant Research Professor, Microbiology and Immunology, 7/1/93 through 6/30/94.
Gubbins, Guillermo, Assistant Professor (part-time), Medicine, 7/1/93 through 6/30/94.
Haglund, Birgit L., Assistant Professor, Rehabilitation Medicine, 7/1/93 through 6/30/94.
Hawthorne, Collette, Clinical Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Hill, Daniell, Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Holleman, Donald, Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Hynninen, Brett C., Assistant Professor, Rehabilitation Medicine, 7/1/93 through 6/30/94.
John, William, Clinical Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Karounos, Dennis, Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Khan, Amtullah, Clinical Assistant Professor, Radiation Medicine, 7/1/93 through 6/30/94.
Kindy, Mark S., Assistant Professor, Biochemistry, 7/1/93 through 6/30/94.
Koszewski, Nicholas, Assistant Professor, Medicine, joint appointment as Assistant Professor, Pharmacology, 7/1/93 through 6/30/94.
Mahony, Cheryl, Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Mainous, Arch G., Assistant Research Professor, Family Practice, joint appointment as Assistant Research Professor, Psychiatry, 7/1/93 through 6/30/94.
Marsano, Luis, Assistant Professor, Medicine, 7/1/93 through 6/30/94.

McGillis, Joseph P., Assistant Professor, Microbiology and Immunology, 7/1/93 through 6/30/94.
McGrath, Patrick C., Assistant Professor, Surgery, 7/1/93 through 6/30/94.
McKeown, John, Clinical Assistant Professor, Medicine, 7/1/93 through 6/30/94.
McMahon, Douglas G., Assistant Professor, Physiology and Biophysics, 7/1/93 through 6/30/94.
Mendenhall, Michael D., Assistant Professor, Biochemistry, 7/1/93 through 6/30/94.
Morgenstern, John, Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Morris, Peter, Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Neill, Richard A., Assistant Professor*, Family Practice, 7/1/93 through 6/30/94.
Nickl, Nicholas, Assistant Professor*, Medicine, 7/1/93 through 6/30/94.
Perry, Robert D., Assistant Professor, Microbiology and Immunology, 7/1/93 through 6/30/94.
Ramsbottom-Lucier, Mary, Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Rayevsky, Igor G., Instructor (part-time), Radiation Medicine, 7/1/93 through 6/30/94.
Reed, Elaine L., Assistant Professor*, Family Practice, 7/1/93 through 6/30/94.
Salcido, Richard, Assistant Professor, Rehabilitation Medicine, 7/1/93 through 6/30/94.
Sapin, Peter, Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Sawaya, Boutros, Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Schleenbaker, Randal E., Assistant Professor, Rehabilitation Medicine, 7/1/93 through 6/30/94.
Schmidt, John, Assistant Research Professor, Medicine, 7/1/93 through 6/30/94.
Sellitti, Marguerite A., Clinical Instructor, Radiation Medicine, 7/1/93 through 6/30/94.
Smith, T. Timothy, Assistant Research Professor, Obstetrics and Gynecology, 7/1/93 through 6/30/94.
Spear, Brett T., Assistant Professor, Microbiology and Immunology, joint appointment as Assistant Professor, Pathology, 7/1/93 through 6/30/94.
Stiles, Nancy, Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Sumner, Walton, Assistant Professor, Family Practice, 7/1/93 through 6/30/94.
Szabo, Tibor, Assistant Professor, Medicine, 7/1/93 through 6/30/94.

Thompson, John, Assistant Professor, Medicine, joint appointment as Assistant Professor, Radiation Medicine, 7/1/93 through 6/30/94.
Toney, Dale, Clinical Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Truitt, Robert, Clinical Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Tully, Christine, Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Waid, Thomas, Clinical Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Wolf, Karen, Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Wrightson, Michelle, Clinical Assistant Professor, Medicine, 7/1/93 through 6/30/94.
Wu, Donghai, Assistant Research Professor, Biochemistry, 7/1/93 through 6/30/94.
Young, Elizabeth H., Assistant Professor (part-time), Family Practice, 7/1/93 through 6/30/94.

College of Nursing

Berry, Ruth D., Clinical Assistant Professor, 7/1/93 through 6/30/94.
Browning, Debra, Instructor (part-time), 7/1/93 through 6/30/94.
Buckles, Diane C., Instructor (part-time), 7/1/93 through 6/30/94.
Calvert, Paula S., Instructor (part-time), 7/1/93 through 6/30/94.
Gall, Roxanne B., Instructor (part-time), 7/1/93 through 6/30/94.
Heiser, Regina M., Instructor (part-time), 7/1/93 through 6/30/94.
Hickman, Lillian, Instructor (part-time), 7/1/93 through 6/30/94.
Hoefner, Ruthie, Instructor (part-time), 7/1/93 through 6/30/94.
Hosley, Brenda, Instructor*, 7/1/93 through 6/30/94.
House, Valerie, Instructor (part-time), 7/1/93 through 6/30/94.
Howard, Patricia, Assistant Professor, 7/1/93 through 6/30/94.
Ireson, Carol, Assistant Adjunct Professor, 7/1/93 through 6/30/94.
Isaacs, Sally, Instructor (part-time), 7/1/93 through 6/30/94.
Kidd, Pamela, Assistant Professor, 7/1/93 through 6/30/94.

Littrell, Margaret, Instructor (part-time), 7/1/93 through 6/30/94.
Matousek, Irene, Assistant Professor*, 7/1/93 through 6/30/94.
McMahan, Anna, Instructor (part-time), 7/1/93 through 6/30/94.
Miller, Joanna, Instructor (part-time), 7/1/93 through 6/30/94.
O'Neill, Nancy, Instructor (part-time), 7/1/93 through 6/30/94.
Pearson, Martha, Instructor (part-time), 7/1/93 through 6/30/94.
Peden, Ann R., Assistant Professor*, 7/1/93 through 12/31/93.
Popovich, Judith, Assistant Professor, 7/1/93 through 6/30/94.
Presler, Elizabeth, Assistant Professor (part-time), 7/1/93 through 6/30/94.
Rippetoe, Patricia, Instructor (part-time), 7/1/93 through 6/30/94.
Staten, Ruth, Assistant Professor*, 7/1/93 through 6/30/94.
Stefaniak, Karen, Adjunct Instructor, 7/1/93 through 6/30/94.
Sutherland, Rita, Instructor (part-time), 7/1/93 through 6/30/94.
Warren, Janet, Instructor (part-time), 7/1/93 through 6/30/94.

College of Pharmacy

Barnett, Joan B., Clinical Assistant Professor, 7/1/93 through 6/30/94.
Bowdy, Bruce D., Assistant Professor (part-time), 7/1/93 through 6/30/94.
Brandenburg, Jan A., Instructor (part-time), 7/1/93 through 6/30/94.
Brandt, Barbara F., Assistant Adjunct Professor, 7/1/93 through 6/30/94.
Brantley, Kimberley, Assistant Adjunct Professor, 7/1/93 through 6/30/94.
Bummer, Paul M., Assistant Professor, 7/1/93 through 6/30/94.
Cassis, Lisa A., Assistant Professor, joint appointment as Assistant Professor, Graduate Center for Toxicology, 7/1/93 through 2/28/94.
Clarkson, Thomas R., Assistant Professor (part-time), 7/1/93 through 6/30/94.
Durthaler, Jeffrey M., Assistant Adjunct Professor, 7/1/93 through 6/30/94.

Dwoskin, Linda, Assistant Professor, joint appointment as Assistant Professor, Graduate Center for Toxicology, 7/1/93 through 4/30/94.

Farhoudi, Zahra A., Assistant Adjunct Professor, 7/1/93 through 6/30/94.

Fink, Maureen M., Instructor (part-time), 7/1/93 through 6/30/94.

Fong, Janice M., Clinical Assistant Professor, 7/1/93 through 6/30/94.

German, Teresa C., Clinical Assistant Professor, 7/1/93 through 6/30/94.

Gora, Mary L., Assistant Professor*, 7/1/93 through 6/30/94.

Green, Dwaine K., Assistant Adjunct Professor, 7/1/93 through 6/30/94.

Hatton, Jimmi C., Assistant Professor*, joint appointment as Assistant Professor*, College of Medicine, Department of Surgery, 7/1/93 through 6/30/94.

Holbrook, Timothy D., Instructor (part-time), 7/1/93 through 6/30/94.

Houdi, Adbulghani, Assistant Professor, 7/1/93 through 6/30/94.

Howard, Georgette, Assistant Professor, 7/1/93 through 6/30/94.

Hunt, Max L., Jr., Clinical Assistant Professor, 7/1/93 through 6/30/94.

Jay, Susan M., Assistant Professor (part-time), 7/1/93 through 6/30/94.

Lipke, David W., Assistant Research Professor, 7/1/93 through 6/30/94.

Littrell, Robert A., Clinical Assistant Professor, joint appointment as Clinical Assistant Professor, College of Medicine, Department of Psychiatry, 7/1/93 through 6/30/94.

Lodder, Robert A., Assistant Professor, joint appointment as Assistant Professor, College of Arts and Sciences, Department of Chemistry, 7/1/93 through 6/30/94.

Magnuson, Barbara, Assistant Adjunct Professor, 7/1/93 through 6/30/94.

Mehta, Rahul C., Assistant Research Professor, 7/1/93 through 6/30/94.

Nerstad, Darrel O., Clinical Assistant Professor, 7/1/93 through 6/30/94.

Oakley, Robert S., Assistant Professor (part-time), 7/1/93 through 6/30/94.

Piasecik, Mary M., Assistant Professor*. 7/1/93 through 6/30/94.

Porter, Todd D., Assistant Professor, joint appointment as Assistant Professor, Graduate Center for Toxicology, 7/1/93 through 6/30/94.

Riggs, Robert M., Assistant Professor, 7/1/93 through 6/30/94.
Scheckelhoff, Douglas J., Clinical Assistant Professor, 7/1/93 through 6/30/94.
Soltis, Edward E., Assistant Professor, 7/1/93 through 2/28/94.
Tiggelaar, John M., Assistant Professor (part-time), 7/1/93 through 6/30/94.
Wermeling, Daniel P., Assistant Research Professor, 7/1/93 through 6/30/94.

E. PROMOTIONS WITHOUT TENURE

College of Nursing

Wagner, Kathleen D., from Clinical Instructor, to Clinical Assistant Professor, 7/1/93 through 6/30/94.

F. CHANGES

College of Medicine

Bryson, James S., from Assistant Research Professor, Microbiology and Immunology, to Assistant Professor, Medicine, joint appointment as Assistant Professor, Microbiology and Immunology, 7/1/93 through 6/30/94.
Cardenas, Ignacio, from Clinical Instructor, Anesthesiology, 7/1/93 through 6/30/94, to Assistant Professor, Anesthesiology, 7/1/93 through 6/30/94.
Guiglia, Mary C., from Assistant Professor (voluntary), Medicine, to Assistant Professor (part-time), Medicine, 7/1/93 through 6/30/94.
Haq, Najm U., from Clinical Assistant Professor, Medicine, to Assistant Professor*, Medicine, 7/1/93 through 6/30/94.
Harrell, Peggy L., from Assistant Professor (part-time), Family Practice, to Clinical Assistant Professor, Family Practice, 7/1/93 through 6/30/94.
Johnson, Steven B., from Assistant Professor, Surgery, 7/1/93 through 6/30/94, to Assistant Professor, Surgery, 7/1/93 through 7/31/93.
Myers, JoAnn B., from Assistant Professor (part-time), Psychiatry, to Assistant Research Professor, Psychiatry, 7/1/93 through 6/30/94.

*Special Title Series

Pedigo, Nancy G., from reappointment as Assistant Research Professor, Obstetrics and Gynecology, 7/1/93 through 6/30/94, to terminal reappointment as Assistant Research Professor, Obstetrics and Gynecology, 7/1/93 through 6/30/94.

Walker, Janet L., from reappointment as Assistant Professor, Surgery, 7/1/93 through 6/30/94, to reappointment as Assistant Professor, Surgery, 7/1/93 through 10/15/93.

Willette, Caroline, from reappointment as Instructor (part-time), Pediatrics, 7/1/93 through 6/30/94, to reappointment as Instructor (part-time), Pediatrics, 7/1/93 through 4/30/94.

College of Nursing

Welsh, J. Darlene, from Clinical Instructor (part-time), to Clinical Instructor, 7/1/93 through 6/30/94.

G. TERMINAL REAPPOINTMENTS

College of Allied Health Professions

Porter, Julia D., Assistant Professor, Health Services, 7/1/93 through 6/30/94.

College of Medicine

Fiscus, Ronald R., Assistant Professor, Physiology and Biophysics, 6/15/93 through 6/14/94.

Johnson, Steven B., Assistant Professor, Surgery, 8/1/93 through 7/31/94.

Shelling, Judith G., Assistant Professor, Biochemistry, 6/1/93 through 5/31/94.

Walker, Janet L., Assistant Professor, Surgery, 10/16/93 through 10/15/94.

G. LEAVES OF ABSENCE

College of Medicine

Haley, John V., Professor (with tenure), Behavioral Science, sabbatical leave at full salary, 9/1/93 through 2/28/94.

College of Nursing

LaGodna, Gretchen, Professor* (with tenure), sabbatical leave at full salary, 8/1/93 through 12/31/93.

Office of the President
June 22, 1993

PR 3 A

Members, Board of Trustees:

REVISION OF THE BYLAWS
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that the attached revision of the Bylaws of the University of Kentucky Research Foundation be approved.

Background: The revised Bylaws, University of Kentucky Research Foundation were approved by the Board of Directors on May 27, 1993. The revision of the Bylaws conforms with the amendment to the Articles of Incorporation which the Board of Trustees approved at its meeting on June 16, 1992. This revision recognizes the changes in titles for the ex officio members of the Board of Directors which have resulted from changes in the University organization and changes gender specific language.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

BY-LAWS
OF
THE UNIVERSITY OF KENTUCKY
RESEARCH FOUNDATION

ARTICLE I

General Provisions

Section 1. As used in these by-laws, the word "Foundation" means this corporation, The University of Kentucky Research Foundation; "Board" means the Board of Directors of this Foundation and "Director" means a member thereof; "Board of Trustees" means the Board of Trustees of the University of Kentucky, and "Trustee" means a member thereof; "Staff" includes any officer or employee of the University of Kentucky in whatever capacity except Trustees; and "Faculty" means the teaching or instructional staff of the University of Kentucky.

Section 2. The principal office and place of business of the Foundation shall be at the University of Kentucky in Lexington, Kentucky.

Section 3. The seal of the Foundation shall be circular with the words "The University of Kentucky Research Foundation, Lexington, Kentucky," surrounding the word "Seal".

ARTICLE II

Board of Directors--Membership

Section 1. The Board of Directors of the Foundation shall consist of not fewer than nine (9) nor more than twelve (12) members. All members of the Board of Directors shall be actively connected with the University of Kentucky except as provided in Section 8 of this article.

Section 2. The President, the Vice President for Administration, the Chancellor of the Medical Center, the Chancellor of the Lexington Campus and the Vice President for Research and Graduate Studies, of the University of Kentucky, or the persons acting as such by whatever title designated, shall be ex officio members of the Board of Directors and shall serve during their active connection with the University in such capacity.

Section 3. The Executive Director of the Foundation, or the person acting in such capacity by whatever title designated, if other than one of the persons named in Section 2 of this article, shall also be an ex officio member of the Board of Directors and shall hold office during his/her active connection with the Foundation in such capacity.

Section 4. All other members of the Board of Directors shall be appointed by the Board of Trustees of the University of Kentucky, to serve for a term of three (3) years, in the manner hereinafter provided, except that in the initial appointments one member in each of the groups specified in Sections 5, 6, and 8 of this article shall be appointed for a term of two (2) years.

Section 5. Two members of the Board of Directors shall be members of the Board of Trustees of the University appointed by the Board of Trustees upon the recommendation of the President of the University. The term of any member so appointed shall terminate if the appointee ceases to be a member of the Board of Trustees.

Section 6. Two members of the Board of Directors shall be members of the faculty of the University of Kentucky appointed by the Board of Trustees of the University upon recommendation of the President of the University. The term of any member so appointed shall terminate if the appointee ceases to be actively connected with the University of Kentucky as a member of its faculty.

Section 7. All vacancies in the membership of the Board of Directors as provided for in Section 5 and 6 of this article, due to death, resignation, or incapacity of the member, or because the appointee ceases to be actively connected with the group from which he/she was selected, shall be filled by the Board of Trustees of the University from the same group upon recommendation of the President of the University to serve for the unexpired term.

Section 8. Two additional members of the Board of Directors may be appointed from time to time by the Board of Trustees of the University upon recommendation of the President of the University. Each director thus appointed shall be a person of outstanding ability and accomplishments interested in the purposes and objects of the University of Kentucky and the Foundation. Upon death, resignation, incapacity, or expiration of the term of any person so appointed, the Board of Trustees of the University may appoint another qualified person for the full term of three years.

ARTICLE III

Board of Directors--Meetings

Section 1. The Board of Directors shall hold an annual meeting for the purpose of receiving reports and transacting such business as may properly come before it.

Section 2. Special meetings of the Board of Directors may be held at any time and place upon the call of the President of the Foundation or upon the request of three or more directors.

Section 3. Notice of all meetings of the Board of Directors shall be given by mail, telephone, or facsimile transmission at least five days in advance thereof. Nothing herein contained, however, shall prevent any director from waiving, in writing, notice of any meeting.

Section 4. A majority of the Board of Directors at the time a meeting is held shall constitute a quorum for the transaction of business.

ARTICLE IV

Executive Committee

Section 1. The Executive Committee shall consist of the President of the Foundation, who shall be chairperson of the Committee, the Executive Director of the Foundation, who shall serve as secretary of the Committee, the Chancellor of the Medical Center, the Chancellor of the Lexington Campus, and two other members of the Board elected annually by the Board. Of the other two members of the Committee one shall be a member of the Board of Trustees of the University of Kentucky and one shall be a member of the faculty of the University.

Section 2. The Executive Committee shall have and exercise general oversight over the financial and business interests of the Foundation and shall possess the same powers as the Board of Directors during the interim between meetings of the Board.

Section 3. The Committee shall keep minutes of all transactions and these shall be read at the meetings of the Board of Directors for approval.

Section 4. Four members of the Executive Committee shall constitute a quorum for the transaction of business, but a majority vote of the whole Committee shall be necessary in every case.

Section 5. Vacancies in the elected membership of the Executive Committee shall be filled by the Board of Directors by the election of a member of the Board to serve the unexpired term.

ARTICLE V

Officers

Section 1. The officers of the Foundation shall consist of a President, Vice-President, Secretary, Treasurer, and Executive Director. All officers, except the Treasurer, shall be members of the Board of Directors. The Treasurer may be a corporation.

Section 2. All officers of the Foundation, except the President and the Executive Director, shall be elected at the annual meeting of the Board of Directors, and shall serve for a term of one year, beginning July 1 of the year in which they are elected, and until their successors are elected and qualify.

Section 3. The Executive Director shall be appointed by the Board of Trustees of the University of Kentucky upon recommendation of the President of the University and shall have such duties as may be delegated by the Board of Directors.

Section 4. The President of the University of Kentucky shall be the President of the Foundation. The President shall preside at all meetings of the Directors of the Foundation and shall perform the duties customarily required of such office and shall be the chief officer thereof, subject, however, to the direction of the Board of Directors.

Section 5. The Vice President shall perform all of the duties of the President in the absence of the President.

Section 6. The Secretary shall have charge of the corporate books and records of the Foundation and shall issue notice of meetings to the Directors thereof and shall execute and sign such instruments as require signature or attestation, and shall prepare and preserve a record of all meetings of the Board of Directors of the Foundation. The Secretary shall keep the seal of the corporation and, when authorized by the Board of Directors, shall affix the same to any instrument requiring it and shall attest the seal or other official act by his/her signature and shall perform such other duties as may be delegated by the Board of Directors.

Section 7. The Treasurer shall have custody of all moneys and securities of the Foundation and shall keep regular books of account of its funds and property. The Treasurer shall deposit all money and valuables of the Foundation in such banks and depositories as a majority of the whole Board of Directors shall from time to time designate. The Treasurer shall have power to endorse for deposit to the credit of the Foundation all notes, checks, drafts, bonds, and other instruments received by the Foundation. The Treasurer shall disburse the funds of the Foundation, taking proper vouchers therefore, signed by the secretary and the person directly in charge of the work being done. No payment shall be made from the funds of the Foundation except upon prior authorization or appropriation by the Board of Directors and upon check or warrant signed by the Treasurer. The Treasurer shall also perform such other duties as may be delegated by the Board of Directors.

Section 8. Any officer of the Foundation may, in the discretion of the Board of Directors, be required to give bond for the faithful performance of his/her duties in such amount and with such sureties as said Board may approve.

Section 9. In case of absence or disability of an officer, or for any other reason the Board may deem sufficient, the Board of Directors may delegate for the time being, in whole or in part, the powers and duties of such officer to any other person qualified to perform the same.

ARTICLE VI

Committees and Commissions

Section 1. In addition to the Executive Committee provided for in Article IV, and a Nominating Committee, as hereinafter provided, other committees may be authorized by the Board of Directors from time to time.

Section 2. Unless otherwise provided, the members of all committees and commissions shall be appointed by the President of the Foundation with the concurrence of the Board of Directors to serve until the next annual meeting of the Board of Directors. The President of the Foundation shall designate a chairperson and secretary of each committee or commission so appointed. Unless otherwise provided, each committee or commission shall consist of not fewer than five nor more than nine members as determined by the President, and the members need not be members of the Foundation.

Section 3. The report of all committees and commissions shall be made to the President of the Foundation and be transmitted by the Board of Directors and to the Executive Committee and shall be advisory only.

Section 4. The Nominating Committee shall consist of three members of the Board of Directors whose terms do not expire at the next annual meeting of the Board. It shall be the duty of this Committee to submit to the Board of Directors, or to the Executive Committee, for forwarding to the President of the University the names of qualified persons whom the Committee recommends for membership on the Board of Directors. It shall be the duty of the Committee to nominate one or more persons for each of the elective offices of the Foundation at the time of the annual election of officers and at other times in case of a vacancy in any office which is to be filled by election. Nothing herein contained shall prevent the nomination by any director of other persons as members or officers, and no election shall be held unless such other nominations are first called for.

ARTICLE VII

Contracts and Donations

Section 1. The Executive Director is authorized to execute on behalf of the Foundation any and all contracts except those involving the transfer of title to real property, and to accept on behalf of the Foundation gifts and other donations, provided that such actions are reported to the Board of Directors at least annually.

Section 2. All contracts, deeds, or other instruments involving the transfer of real property may be executed by the President or other officer of the Foundation subject to approval or confirmation by the Board of Directors or the Executive Committee.

ARTICLE VIII

Amendments

Section 1. These by-laws may be amended, revised, or repealed at any regular or special meeting of the Board of Directors provided notice of such proposed amendments, change, or repeal shall have been given in the call for such meeting. However, no amendment, revision, or repeal by the by-laws shall be made unless it is approved by a majority of the membership of the whole Board of Directors.

Office of the President
June 22, 1993

PR 3 B

Members, Board of Trustees:

APPOINTMENT OF BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that approval be given to (1) the appointment of Dr. Thomas Pirone (faculty member) to the Board of Directors of the University of Kentucky Research Foundation (UKRF) for a three-year term beginning July 1, 1993 and ending June 30, 1996; and (2) the reappointment of Dr. Lee Todd (public member) to a three-year term beginning July 1, 1993 and ending June 30, 1996.

Background: In accordance with the by-laws, members of the Board of Directors of the University of Kentucky Research Foundation are appointed by the Board of Trustees to serve three-year terms. The Nominating Committee has recommended and the UKRF Board of Directors has endorsed the appointment of Dr. Thomas Pirone (faculty member) and Dr. Lee Todd (public member).

Action: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

Office of the President
June 22, 1993

PR 4 A

Members, Board of Trustees:

APPOINTMENTS TO ADVISORY BOARD
LEXINGTON COMMUNITY COLLEGE

Recommendation: that approval be given to the reappointments of the following four (4) members to the Advisory Board of Lexington Community College.

Four-Year Term
July 1, 1993 - June 30, 1997

Reappointments

Mr. Richard E. Blanchard
Mr. Carl Boyer
Mr. Larry Martini
Mr. Lewis Owens

Background: The establishment of an Advisory Board for the Lexington Community College was approved by the University of Kentucky Board of Trustees at the December 13, 1983 meeting. That action called for the President of the University to recommend to the Board of Trustees seven (7) lay members of the Board to serve in an advisory capacity to the President of the College and act as liaison between the community and the college to assist the college in meeting the needs of the area. One elected faculty representative and one elected student representative will complete the membership of the Board.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 22, 1993.

Office of the President
June 22, 1993

PR 5A

Members, Board of Trustees:

MERGER OF EDUCATIONAL UNITS

Recommendation: that the Board of Trustees approve the merger of the School of Journalism and the Department of Telecommunications to form the School of Journalism and Telecommunications in the College of Communications.

Background: Presently, the Department of Telecommunications is too small and below the level of faculty strength required to operate as a viable department. Both the School of Journalism and the Department of Communications are concerned with the process of mediated communication. The proposed merger would also promote a more forward-looking curriculum with regard to communication technologies and information services. The administrative structure within the College of Communications would be simplified by the merger.

The faculties in both units unanimously approved the proposal to merge. The Academic Organization and Structure Committee reviewed the proposal and recommended its approval by the Senate. The proposal was approved by the University Senate on May 3, 1993.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

Office of the President
June 22, 1993

PR 5 B

Members, Board of Trustees:

MERGER OF EDUCATIONAL UNITS

Recommendation: that the Board of Trustees approve the merger of the College of Library and Information Science and the College of Communications to form the College of Communications and Information Studies.

Background: The merger of the College of Library and Information Science and the College of Communications would bring together two relatively small colleges to produce an academic unit of increased size, flexibility and influence and, in time, produce cost savings for the University. The merged College would have a strong commitment to integrating communication and information technology into its instructional, research and service programs. The merger would provide a focus for a strong programmatic base for the development of an information resources management program, since neither college has the resources or expertise to deliver the program alone.

The majority of faculty members of both colleges support the merger proposal. The Academic Organization and Structure Committee reviewed the original and subsequent revised proposal for the merger and then held a meeting with open discussion with faculty from the two colleges. Following the meeting, the Academic Organization and Structure Committee recommended approval of the proposal. The Senate Council subsequently approved the proposal for merger of the two colleges and recommended approval by the University Senate. The University Senate approved the proposal for the merger of the colleges on May 3, 1993.

Action taken: Approved ☒ Disapproved _____ Other _____

Date: June 22, 1993

Office of the President
June 22, 1993

PR 6A

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve the change of the name of the Department of Medicine in the College of Medicine to the Department of Internal Medicine.

Background: The request for the name change is based on the fact that the Certifying Board in the American Board of Medical Specialties is designated as the American Board of Internal Medicine; that an identity for Internal Medicine, which is separate from Family Medicine, Emergency Medicine and/or Preventive Medicine, is important. Several other universities have undergone a similar name change.

This proposal was reviewed and recommended for approval by the Academic Council for the Medical Center, the Graduate Council and the Senate Committee on Organization and Structure. The Senate Council approved the recommendation.

Action taken: Approved ☒ Disapproved _____ Other _____

Date: June 22, 1993

Office of the President
June 22, 1993

PR 6 B

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve the change of the name of the Department of Pathology in the College of Medicine to the Department of Pathology and Laboratory Medicine.

Background: The request for the name change is to emulate what has already occurred in major pathology departments in United States medical centers. The second reason is to clarify the clinical service mission of the department. The new name will more appropriately reflect the diversity within the specialty.

This proposal was reviewed and recommended for approval by the Academic Council for the Medical Center, the Graduate Council and the Senate Committee on Organization and Structure. The Senate Council approved the recommendation.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

Office of the President
June 22, 1993

PR6C

Members, Board of Trustees:

FUND BOARD OF DIRECTORS APPOINTMENT

Recommendation: that approval be given to the reappointment of Mr. Alan Bloomfield as a member of the Board of THE FUND for Advancement of Education and Research in the University of Kentucky Medical Center for an additional two-year term, beginning July 1, 1993 and ending June 30, 1995.

Background: The Board of Trustees, in accordance with the Articles of Incorporation, appoints the public members of the Board of Directors of THE FUND.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

Office of the President
June 22, 1993

PR 6 D

Members, Board of Trustees:

AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY AND
KENTUCKY MEDICAL SERVICES FOUNDATION

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978.

Background: The Board action on June 20, 1978 provided that:

"The President is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan."

Kentucky Medical Services Foundation, Inc. meets the "criteria" specified under Section 3A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20 Board resolution and specifically satisfies the "conditions" set forth in Section 3B.

The terms of the attached document are essentially the same as those in the agreement approved by the Board on June 16, 1992. The document has been updated to reflect dates in the new contract period, and the amounts due the Foundation in Section 6B. Section 19 on Professional Liability Insurance continues to reflect that Kentucky Medical Services Foundation will bear the costs associated with the provision of medical malpractice funding for the University's faculty physicians under the University's self-insurance program. During the 1993-94 fiscal year, Kentucky Medical Services Foundation will bear 49.26% of the total cost. The University of Kentucky will be reimbursed by the Foundation for 100% of the expenses attendant to the production of clinical income.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

THIS AGREEMENT made and entered into this 22nd day of June, 1993 by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 154.100 et seq of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as the Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. A substantial number of University's faculty in its College of Medicine have heretofore executed agreements with Foundation, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members who produce income are permitted, through the medium of the Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, in addition to its previously adopted Physicians Service Plan, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978, as amended on June 19, 1984; said action is hereby incorporated by reference as is set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978, as amended on June 19, 1984.

B. "Clinical Income" means (1) all compensation, income and payments (direct or in kind and whether characterized as fees, retainers, or otherwise) for professional services rendered or to be rendered, including, but not limited to those relating to the diagnosis, treatment or evaluation of

patients or others and consultation, and (2) all payments whether direct or in kind (excluding actual travel costs) for providing advice, consultation, serving on boards or committees, overseeing, supervising or other participation with any person or entity involved with (a) health or medical care, (b) evaluation or care of patients, (c) drugs, (d) therapy of any kind, (e) accident or disease prevention, (f) health or medical care facilities, (g) programs involving health or medical care or (h) health or medical care of any other type, and (3) witness fees and payments relating to depositions, testimony or other evaluations in the capacity of a witness, and (4) all other income which relates to or would not exist but for the professional education, experience, or training. The Dean has the authority to approve exceptions to clinical income and is required to document the nature of the exception and the reason. Provided, however, that nothing contained herein shall be deemed to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice Agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center and the Foundation.

Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, as amended on June 19, 1984, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of

income for professional services under University's "geographic full-time medical services plan".

Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to bill the amount of duly established charges for professional services to parties determined to be responsible for payment of such charges.

Section 5. REIMBURSEMENT OF UNIVERSITY

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. Foundation agrees to pay to University, as reimbursement for the expenses attendant to the production of clinical income, University's actual annual costs, as determined by clinical department expenditures as reimbursement of personnel expenses and other current expenses, in direct support of the operation of the Kentucky Clinic.

Payments hereunder shall be in the following manner:

1. The University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. KMSF will reimburse the University of Kentucky by the 22nd day of that month.

C. In addition to the above, Foundation agrees that it will reimburse University for all "plan income", as that term is defined in paragraph I., C., 2 of the plan paid to faculty by University.

Section 6. REIMBURSEMENT OF FOUNDATION

A. The parties recognize that University receives certain services from Foundation, for which it is due reimbursement as specified herein below.

B. University agrees to pay to Foundation on behalf of members of the Department of Diagnostic Radiology for the provision of x-ray interpretation services to the University student Health Service during the period July 1, 1993 - June 30, 1994 a sum not to exceed Twenty-One Thousand One Hundred Sixty (\$21,160.00) Dollars.

C. University agrees to pay to Foundation on behalf of members of the Department of Neurology, for provision of EEG interpretation services to the University Student Health Service, the usual and customary fee for each such service rendered.

D. University agrees to pay to Foundation on behalf of the clinical faculty members of the College of Medicine, for provision of specified physical examinations to certain University employees, a fee for each examination.

E. University agrees to pay Foundation on behalf of clinical faculty members of the Department of Emergency Medicine, for provision of emergency room services to the University Health Service, the usual and customary fee for each service rendered.

F. University agrees to pay Foundation on behalf of clinical faculty members of the Department of Pathology, for provision of pathology services to the University Health Service, a fee of \$6.00 per patient for pap smears, whether normal or abnormal, for the professional component. All other pathology professional services are to be reimbursed at 50% of the usual and customary fee for each service rendered.

G. University agrees to pay Foundation on behalf of clinical faculty of the College of Medicine for provision of any other requested services to the University Health Services, the usual and customary fee for each service rendered.

H. University will make payment to Foundation quarterly, on the last calendar day of each quarter beginning September 30, 1993, for services as described in paragraph B hereinabove. University will make payment to Foundation monthly, on the last calendar day of each month beginning July 31, 1993, for services as described in paragraphs C, D, E, and F hereinabove.

Section 7. COST SETTLEMENTS

A. The parties recognize that the provision of medical services in the current competitive environment may at times require a joint effort on the part of the University and University Hospital and the Foundation in the formation of new delivery systems. These efforts may at times require the sharing of cost between the parties. Formulas for the sharing will be developed and mutually agreed to by the parties, which may result in payment by the University to the Foundation, or by the Foundation to the University. Examples of such joint efforts include but are not limited to:

1. Discounts to University of Kentucky employees and their dependents as part of the University of Kentucky Health Plan.

2. The operation of the UK Health Care Plans.

3. The operation of certain marketing efforts.

B. The parties also agree that data exchanges between the computer systems, regardless of mode, of the University and the Foundation will be payable at cost by the receiving party, or offset by an exchange of data deemed by both parties to be payment-in-kind.

Section 8. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, Foundation agrees that it will pay to The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (hereinafter Fund), an amount equal to one and one-half (1.5%) percent of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund within twenty-five (25) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. hereinabove, an additional amount equal to five and one-half (5.5%) percent of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes in his sole discretion.

Section 9. PARTICULAR COVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on June 19, 1978, have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants that it will not engage in the practice of medicine, nor will it solicit, administer, receive, perform or accept any gift, grant, devise, bequest, contract or other arrangement with or from any governmental unit, entity, or subdivision or any person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not merge with any other corporation or convey any substantial portion of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obligations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefore; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned,

transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its successor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code of 1954, as amended.

Section 10. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the practice agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department in which a majority of the faculty members have executed practice agreements, that new members of its faculty execute said practice agreement in form identical to said agreements heretofore executed or as the form of same may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not holding membership in the plan, nor will it permit persons not members to serve on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not a member of the plan except for (1) termination benefits to former members as provided by action of Foundation's Board of Directors, (2) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities, (3) students and House Staff of University, (4) payment to nurses incident to their recruitment to the University Medical Center, (5) education and technical training costs of persons involved in patient care activities or (6) education and technical training costs of persons indirectly involved in patient care activities if approved on a case by case basis by the Dean of the College of Medicine or the Chancellor for the Medical Center.

D. In order to provide University of Kentucky Health Plan patients with a unified bill, Foundation is authorized to bill and collect the following items: clinic Pharmacy charges, patient deductible and non-covered items, fees generated by the faculty in the clinical practice plans of the colleges in the Medical Center and Oral Surgery fees. The collection and billing shall be in accord with that certain contract entered into between the University and the Foundation.

E. In certain contractual relationships for the provisions of patient care services it may be necessary or advantageous to present a unified billing, incorporating charges from a University patient care organizational entity(ies) and Foundation members. In such cases, the Foundation is authorized to bill and collect on behalf of the University patient care organizational entity(ies) provided a proposed unified billing arrangement has been developed and agreed to by the parties and has been reviewed by University legal counsel.

Examples of such unified billing include but are not limited to:

1. Charges for hospital and physician services under the terms of an agreement with Humana or Healthwise Health Care Plans for the provision of kidney transplantation services.

2. Hourly charges for physician, dental, and nursing services rendered at the Family Care Center under the terms of an agreement with the Lexington-Fayette Urban County Department of Social Services.

3. University of Kentucky Health Care Plans.

F. Foundation shall assume responsibility for the costs of drugs and supplies to be used in the patient care programs in the clinics and is hereby authorized to bill, collect, and deposit in foundation accounts revenue from these non ancillary items.

G. Foundation agrees that it will strictly enforce the terms of its practice agreements, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

Section 11. PERSONNEL

The parties recognize that the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary to permit said Foundation to bill and collect professional fee income and to administer its funds in accord with the terms of the geographic full-time plan.

The Foundation is authorized to house computer equipment as may be required to communicate with its central computer and house sufficient employees in the University Medical Plaza and the Kentucky Clinic Building for the purpose of providing billing and financial information to patients of University physicians who are members of KMSF and other activities as mutually agreed. In addition, Foundation is also authorized to utilize designated storage space in the basement of the Kentucky Clinic Building for information necessary to the billing function.

3. Other personnel

During the term of this contract Foundation agrees that it will not employ persons other than the employees authorized in Section 11. A. to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements between the parties whereby University will employ personnel for such service and/or research duties with reimbursement of salary, fringe benefits and other direct costs by Foundation. Where such personnel are employed for service in a specific department or unit, employment will not occur unless (1) the department or unit where service is to be performed has consented in writing to said appointment, (2) the Dean of the College of Medicine has approved the employment and the job description related thereto and (3) funds for the salary, fringe benefits, and other costs related to said employee are either on hand or reasonably anticipated in the departmental or unit budget.

C. Equal opportunity-affirmative action

Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age, handicap, or disability. Such action shall include, but not be limited to the following: employment, upgrading demotion or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard

to race, color, religion, sex, national origin, age, handicap, or disability. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University including the individual members thereof, officers, agents and employees of the University by reason of any action by law or equity or by reason of any administrative proceeding initiated by any person arising out of any such employment action or practice of Foundation, including the payment of attorney fees and other costs of such action or proceeding.

Section 12. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, require that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide space within the University Hospital, Kentucky Clinic and other space officially designated for the conduct of the patient care operations.

With respect to any other facilities which University may from time to time provide to Foundation, Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same.

Section 13. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of University programs, are and shall remain the property of University and that Foundation has no right or property interest therein. Provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Provided, further, that University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by University as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payors as the basis for payment for services.

Section 14. INSPECTION AND AUDIT

A. Foundation agrees that, in accord with paragraph II, B. 1, of the action of University's Board of Trustees dated June 20, 1978, as amended on June 19, 1984, its books, records and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

B. The parties agree that the University's Internal Auditors may conduct an audit of Foundation's operations and accounts for the period ending June 30, 1992 and such other audits, including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, and the terms of the departmental agreements referred to in Section 20 hereof, as may be deemed necessary

or advisable by the University of Kentucky Controller and Treasurer, and that Foundation's agents and employees will cooperate to the fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants, mutually agreeable to the parties, will be employed by the Foundation to perform an independent audit of the Foundation's books, records and accounts for the year ended June 30, 1992.

C. The Parties agree that Foundation will be furnished information by University sufficient for Foundation to verify the nature and detail of expenditure of funds paid to University under the provisions of Section 5.c.1. hereinabove.

Foundation agrees that it will furnish to University the following reports.

Annually

Audited Financial Statements to include:

Opinion of Independent Certified Public Accountants

Balance Sheet

Statement of Changes in Fund Balances

Statement of Current Revenues and Expenditures

Supplementary Schedules

Quarterly

Financial Statements to include:

Balance Sheet

Statement of Operations

Gross Billings

Collections

Financial Allowances

Bad Debts

Aging Trial Balance of Accounts Receivable by:

Patient liability

Third party payor

Reports of Delinquent Account Activity to include:

Referrals

Collections

Section 15. DEFAULT

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement

therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

Section 16. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941 or other University funds.

Section 17. APPLICATION OF INCOME

University agrees that it will not, during the operation of this contract, cause charges for services rendered after July 1, 1978 by members of the faculty who have executed Practice Agreements and Assignments to be deposited in the accounts of the Fund for Advancement of Education and Research in the University of Kentucky pursuant to the provisions of University of Kentucky Administrative Regulation AR II-7.0; deposits of such

charges attributable to faculty who have not executed said Practice Agreements and Assignments shall continue pursuant to said regulation.

Section 18. MANAGEMENT AND INVESTMENT POLICIES

A. The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of professional income and the provision of a sum equal to ten (10%) percent of Foundation's gross income for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred in billing and collecting said income and providing for the central administration of Foundation.

B. Foundation agrees that funds deposited in a separate account pursuant to Section 8, paragraph B, Academic Enrichment Fund and the separate account pursuant to its contribution for self-insurance identified in Section 19, paragraph B shall be invested only in the following: (1) direct obligations of the United States Treasury; (2) other appropriate securities issued by federal agencies (including the Federal National Mortgage Association, the Export Import Bank, Federal Intermediate Credit Bank, Federal Home Loan Banks, Federal Land Banks); and (3) certificates of deposit collateralized by direct obligations of the federal government, federal agency obligations which qualify for direct investment under this recommendation, general obligations of the Commonwealth of Kentucky or obligations of the University of Kentucky.

Foundation shall be entitled to the investment income derived from said account, provided however investment income derived from balances attributed to the academic enrichment fund identified in subparagraph A. and Section 8, paragraph B. hereinabove shall be credited to said account.

Section 19. PROVISION OF PROFESSIONAL LIABILITY INSURANCE

A. Under the restructured professional liability insurance program of total self insurance, Foundation agrees that it will pay the University, as reimbursement, for its portion (49.26%) of the costs of the following: (i) payments required pursuant to KRS 164.941 in one (1) lump sum payment within five (5) working days of receipt of amount due, and (ii) costs of claims administration in twelve (12) equal installments the first said installment being due and payable on July 31, 1993 and monthly thereafter until June 30, 1994.

B. In addition, Foundation has agreed that between July 1, 1993 and June 30, 1994, it will cause to be deposited in a separate account within the Foundation the sum of 49.26% of the additional contributions for self insurance, said account together with interest accruing thereto to be held solely for the purpose of payment of legal fees, settlements, judgments arising for liabilities defined in KRS 164.941 (3) and for lump sum payments referenced in previous paragraph 19A. No monies in said account shall be expended for any other purpose than as provided in this Section without the written agreement of University, nor shall such monies be encumbered, pledged or otherwise hypothecated. The monies in said account shall be invested only as provided in Section 18 hereof. Payment to the separate account may be in equal installments, provided that the full 49.26% shall be deposited by June 30, 1994.

C. In sum, Foundation agrees to pay in both assessments and contributions 49.26% of the total program costs of 1993-94 as determined by KRS 164.941 and the Malpractice Risk Management Committee.

Section 20. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation agrees that it will, after making provision for: (1) the costs of its administration and costs relating to billing and collection, (2) reimbursement of University expenses pursuant to Section 5 hereof, (3) the College of Medicine Academic Enrichment Fund pursuant to Section 8 hereof and (4) provision of professional liability insurance pursuant to Section 19 hereof, maintain its accounts in such a manner that income attributable to the professional clinical activities of each of the following departments or divisions shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

- Anesthesiology
- Center of Excellence for Rural Health Care
- Diagnostic Radiology
- Emergency Medicine
- Family Practice
- Kentucky Model Clinic
- Medicine
- Neurology
- Ob/Gyn
- Ophthalmology
- Pathology
- Pediatrics
- Preventive Medicine & Environmental Health
- Psychiatry
- Radiation Medicine
- Rehabilitation Medicine
- Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time, as provided in said agreements, with the approval of the Dean of the College of Medicine.

Section 21. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Dean, College of Medicine
MN-150
University Medical Center
800 Rose Street
Lexington, Kentucky 40536-0084

In the case of Foundation to:

Kentucky Medical Services Foundation, Inc.
729 S. Limestone
Lexington, Kentucky 40508

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

Section 22. RECORDS ACCESS

Pursuant to Public Law 96-499, Section 952, the Foundation agrees to make available upon written request from the Secretary of Health and Human Resources, the Comptroller General, or the Department of Human Services of the State of Kentucky or any of their duly authorized representatives, access to this contract and all other service agreements written or oral entered into or renewed since December 5, 1980, and access to books, documents, and records necessary to verify costs of services provided by such arrangements exceeding \$10,000 in a 12-month period. Access will be permitted until four years have expired after completion of services furnished, and in accordance with the applicable federal regulations.

If the Foundation carries out any of the duties under this contract through a sub-contract with a related organization, with a value or cost of \$10,000 or more over a 12-month period, such sub-contract shall contain a clause to the effect that until the expiration of four years after the furnishing of such services pursuant to the sub-contract, the related organization shall make available, upon written request from the Secretary or Comptroller General, or any of their duly authorized representatives, the sub-contract, books, documents and records of such organization that are necessary to verify the nature and extent of such costs.

Section 23. TERM

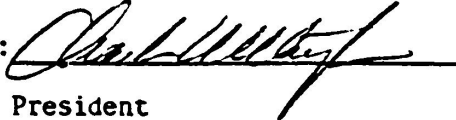
The term of this agreement shall begin on July 1, 1993 and end on June 30, 1994.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES OF

THE UNIVERSITY OF KENTUCKY

BY:



President

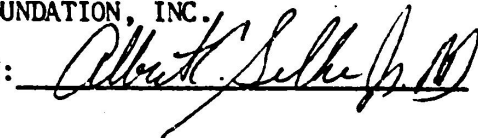
University of Kentucky

ATTEST: _____

KENTUCKY MEDICAL SERVICES

FOUNDATION, INC.

BY:



ATTEST: _____

Office of the President
June 22, 1993

FCR 1

Members, Board of Trustees:

**ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE ELEVEN MONTHS ENDED
MAY 31, 1993**

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the eleven months ended May 31, 1993.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of May 31, 1993, the University had realized income of \$823,930,000 representing 96% of the 1992-93 estimate of \$858,968,000. Expenditures and commitments total \$748,678,000 or 87% of the approved budget of \$858,968,000.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
MAY 31, 1993
with comparative totals for May 31, 1992
(in thousands)

	1992-93					1991-92	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 88,935	\$ 29,736	\$ 1,906	\$ 1,118	\$ 145,232	\$ 266,927	\$ 244,480
Notes, loans, and A/R (less bad debt allowances of \$7,955)	57,378	13,412	18,023		1,764	90,577	91,118
Investments	6,854	51,370		96,162	98,884	253,270	236,878
Property, plant, and equipment					1,020,139	1,020,139	939,055
Inventories and other	12,600	90				12,690	11,688
Total Assets	<u>\$ 165,767</u>	<u>\$ 94,608</u>	<u>\$ 19,929</u>	<u>\$ 97,280</u>	<u>\$ 1,266,019</u>	<u>\$ 1,643,603</u>	<u>\$ 1,523,219</u>
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 16,348	\$ 2,628			\$ 807	\$ 19,783	\$ 13,468
Employee withholdings and deposits		6,502				6,502	5,382
Other liabilities	6,389	2,102			5,840	14,331	16,260
Liability for self insurance	20,831					20,831	17,998
Deferred income	6,210	10,396				16,606	13,120
Bonds payable					368,419	368,419	330,522
Capitalized lease obligation					39,159	39,159	44,879
Net deferred revenues and appropriated fund balances	81,743	77				81,820	85,359
Total Liabilities	<u>131,521</u>	<u>21,705</u>			<u>414,225</u>	<u>567,451</u>	<u>526,988</u>
Interfund Balances	<u>(42,165)</u>	<u>46,079</u>			<u>(3,914)</u>		
Fund Balances							
Current unrestricted							
Working capital	67,414					67,414	59,628
Future operating purposes	6,563					6,563	6,898
Other	2,434					2,434	797
Current restricted		26,824				26,824	27,547
Loan			\$ 19,929			19,929	19,289
True endowments				\$ 60,167		60,167	52,508
Term endowments				4,749		4,749	3,158
Quasi endowments				32,364		32,364	30,710
Plant							
Retirement of indebtedness					20,913	20,913	36,453
Renewal and replacement					42,030	42,030	33,859
Allocated for designated projects					24,929	24,929	18,845
Net investment in plant					767,836	767,836	706,539
Total Fund Balances	<u>76,411</u>	<u>26,824</u>	<u>19,929</u>	<u>97,280</u>	<u>855,708</u>	<u>1,076,152</u>	<u>996,231</u>
Total Liabilities and Fund Balances	<u>\$ 165,767</u>	<u>\$ 94,608</u>	<u>\$ 19,929</u>	<u>\$ 97,280</u>	<u>\$ 1,266,019</u>	<u>\$ 1,643,603</u>	<u>\$ 1,523,219</u>

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE ELEVEN MONTHS ENDED MAY 31, 1993

with comparative totals for May 31, 1992
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1992-93				1991-92	
	<u>Original Budget</u>	<u>Revised Budget</u>	<u>Realized To Date</u>	<u>%</u>	<u>Realized To Date</u>	<u>%</u>
General Fund						
(1) State appropriations	\$ 309,863	\$ 304,259	\$ 303,787	100	\$ 313,564	99
(2) Student fees	92,700	96,690	96,127	99	87,976	99
(3) Federal appropriations	15,001	15,006	12,711	85	12,864	85
(4) County appropriations	5,148	5,347	4,364	82	3,949	77
(5) Endowment income	801	801	627	78	607	79
(6) Investment income	8,266	8,266	7,843	95	9,633	105
Gifts and grants						
(7) Affiliated corporations	6,997	8,388	6,068	72	5,039	70
(8) Other	3,731	4,334	3,091	71	3,603	76
(9) Sales and services	22,007	24,690	21,302	86	18,027	87
(10) Fund balance	<u>7,220</u>	<u>7,220</u>	<u>7,220</u>	100	<u>9,687</u>	100
(11) Total General Fund	471,734	475,001	463,140	98	464,949	98
(12) Auxiliary Enterprises	36,081	36,373	33,020	91	32,702	89
(13) Restricted Funds	61,638	66,377	50,468	76	49,629	80
(14) Affiliated Corporations	86,988	89,008	90,176	101	80,614	93
Hospital						
(15) Revenues	182,321	189,357	184,274	97	182,861	114
(16) Fund balance	<u>2,852</u>	<u>2,852</u>	<u>2,852</u>	100	<u>3,261</u>	100
(17) Total Revenues and Appropriated Fund Balances	<u>\$ 838,762</u>	<u>\$ 858,968</u>	<u>\$ 823,930</u>	96	<u>\$ 814,016</u>	99

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE ELEVEN MONTHS ENDED MAY 31, 1993
with comparative totals for May 31, 1992
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1992-93				1991-92	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 212,185	\$ 209,810	\$ 185,592	88	\$ 186,146	88
(2) Research	88,385	90,434	88,722	98	81,478	89
(3) Public service	77,749	77,567	62,132	80	60,945	80
(4) Academic support	50,869	54,700	45,367	83	48,034	87
(5) Student services	19,752	20,328	18,291	90	20,365	97
(6) Institutional support	35,005	37,092	30,405	82	32,273	82
(7) Student financial aid	45,669	49,516	48,163	97	44,969	106
(8) Operation and maintenance	39,018	38,809	32,980	85	31,686	81
(9) Mandatory transfers (debt service)	35,984	36,397	36,384	100	32,156	98
(10) Hospital	180,826	190,717	150,964	79	146,822	90
(11) Auxiliary enterprises	53,320	53,598	49,678	93	49,418	92
(12) Total Expenditure by Program	<u>\$ 838,762</u>	<u>\$ 858,968</u>	<u>\$ 748,678</u>	87	<u>\$ 734,292</u>	89

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE ELEVEN MONTHS ENDED MAY 31, 1993
with comparative totals for May 31, 1992
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1992-93				1991-92	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 483,117	\$ 479,577	\$ 437,209	91	\$ 431,015	91
(14) Operating expenses	285,618	303,735	245,014	81	241,855	88
(15) Mandatory transfers (debt service)	40,959	41,372	40,873	99	36,976	98
(16) Capital outlay	29,068	34,284	25,582	75	24,446	59
(17) Total Expenditure by Category	<u>\$ 838,762</u>	<u>\$ 858,968</u>	<u>\$ 748,678</u>	87	<u>\$ 734,292</u>	89

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE ELEVEN MONTHS ENDED MAY 31, 1993
with comparative totals for May 31, 1992
(in thousands)

	(A)	(B)	(C)
	<u>1992-93</u>		<u>1991-92</u>
(1) Realized Revenues		\$ 813,858	\$ 801,068
Appropriated Fund Balances			
(2) Current unrestricted fund	\$ 7,220		9,687
(3) University Hospital	<u>2,852</u>		<u>3,261</u>
(4) Total Appropriated Fund Balances		<u>10,072</u>	<u>12,948</u>
(5) Total Revenues and Appropriated Fund Balances		823,930	814,016
(6) Expenditures/Encumbrances	742,678		734,292
(7) Less: Reserve for Encumbrances	<u>(6,568)</u>		<u>(5,635)</u>
(8) Total Expenditures		<u>742,110</u>	<u>728,657</u>
(9) Net Deferred Revenues and Appropriated Fund Balances		<u>\$ 81,820</u>	<u>\$ 85,359</u>

Office of the President
June 22, 1993

FCR 2

Members, Board of Trustees:

CAPITALIZATION POLICY
NON-EXPENDABLE MOVEABLE EQUIPMENT

Recommendation: that the Board of Trustees approve the following policy for capitalization of non-expendable moveable equipment:

- Category I . equipment costing \$1,000 or more will be capitalized
- Category II . equipment costing \$500 or more will be capitalized when it is:
 - equipment acquired with federal funds
 - equipment defined as "high risk" including office furniture and equipment, audio-visual, photographic and computing equipment
- Category III . equipment costing \$300 or more will be capitalized when it is acquired with University Hospital funds
- Fully Depreciated Equipment . fully depreciated moveable equipment, based on estimated useful life, costing less than \$5,000 will be deleted from the active equipment inventory records

Background: The current capitalization level of \$300 was established in 1981, and Coopers & Lybrand, independent auditors for the University of Kentucky, have recommended increasing the capitalization level for non-expendable moveable equipment to \$1,000 to obtain improved accountability and inventory control over higher cost equipment. The proposed new policy eliminates 56.3% of the number of equipment items, representing only 17.8% of the initial costs. The remaining undepreciated amount (book value) of the total proposed deletions is \$10,624,454 or 3.8% of total equipment costs.

Attached is a summary of the effect of the proposed policy change relating to the number of items and acquisition costs of the moveable equipment inventory.

Action: Approved: ✓ Disapproved: _____ Other: _____

Dated: June 22, 1993

UNIVERSITY OF KENTUCKY
CAPITALIZATION POLICY PROPOSAL SUMMARY

Current Policy: capitalization level of \$300 or above for all moveable equipment

Proposed Policy:

- 1) capitalization level for equipment acquired for University Hospital will remain at \$300 or above
- 2) increase capitalization level to \$500 or above for:
 - equipment acquired with federal funds (consistent with federal regulations)
 - equipment defined as "high risk" (see * below)
- 3) increase capitalization level to \$1,000 or above for all other moveable equipment
- 4) fully depreciated moveable equipment costing less than \$5,000 will be deleted from active equipment inventory records (based on expected useful life)

Movable Equipment Inventory	Amount	Quantity	Average Cost Per Item
Totals, June 30, 1992	\$ 282,994,831	117,855	\$ 2,401
Possible Deletions:			
1) equipment less than \$500			
federal	223,546	566	0.5%
High-risk	6,126,267	15,828	13.4%
2) equipment less than \$1,000, all other	9,708,162	17,627	15.0%
sub-totals	16,057,975	34,021	28.9%
3) remove fully depreciated equipment less than \$5,000	34,169,157	32,341	27.4%
Total Possible Deletions	50,227,132 (1)	66,362	56.3%
Balance in Inventory Control	232,767,699	51,493	43.7%
TOTALS, June 30, 1992	\$ 282,994,831	117,855	100.0%
			\$ 2,401

*includes office furniture, audio-visual, photographic and computing equipment

(1) remaining book value of total possible deletions is \$10,624,454 or 3.8% of total equipment costs.

Office of the President
June 22, 1993

FCR 3

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of surplus equipment as described in Exhibit I.

Background: KRS 164A.575 requires that the governing Board sell or otherwise dispose of all personal property which is not needed by the institution. The Board will be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 22, 1993

UNIVERSITY OF KENTUCKY
SURPLUS PROPERTY DISPOSAL REQUEST

**Anticipated Livestock Disposal
1993-94**

Estimated Quantities to be Sold

Swine	2500
Cattle	1000
Sheep	500
Horses	50

Sale Methods

Auction
Sealed Bid
Packing Company
Private Treaty

Reasons for Selling

Research program completed
Low milk production
Breeding problems
To obtain carcass data and analytical samples
Age

**Anticipated Recyclable Materials Disposal
1993-94**

Estimated Quantities to be Sold

Scrap Paper	250,000 lbs.
Scrap Metal	300,000 lbs.
Scrap Film	12,000 lbs.
Scrap Silver	120 lbs.

Sale Method

Sealed Bid

**Anticipated Public Sale of
Surplus Equipment
1993-94**

Estimated Auction Dates and Proceeds

<u>DATE</u>	<u>PROCEEDS</u>
July, 1993	\$5,000.00
September, 1993	\$5,000.00
November, 1993	\$5,000.00
January, 1994	\$5,000.00
March, 1994	\$5,000.00
May, 1994	\$5,000.00

Sale Methods

Public Auction or Sealed Bid

Reasons for Disposition

Equipment is determined to be surplus to the needs of the University. All such equipment has only a small amount of residual value and will be disposed of in a competitive sales method (i.e., public auction or sealed bid).

Office of the President
June 22, 1993

FCR 4

Members, Board of Trustees:

AUTHORIZATION FOR INCINERATION OF PAID BONDS AND COUPONS

Recommendation: that the Board of Trustees authorize Ms. Sandy Honaker, Office of Controller and Treasurer, and a representative from the Internal Audit Division, to check the attached list of paid and cancelled bonds and coupons returned from Paying Agents from October 1987 through May 1993, to destroy these documents by burning in the incinerator of the Medical Center, and to certify the correctness of the list as to its incineration.

Background: The attached schedule lists University of Kentucky Revenue Bonds and Coupons returned from Paying Agents from October 1987 through May 1993. Since these have been paid and cancelled, they should be destroyed and the certificate of incineration placed on file.

Action Taken: Approved ✓ Disapproved _____ Other _____

Date: June 22, 1993

**University of Kentucky Revenue Bond Issues
Paid & Cancelled Bonds & Interest Coupons
Returned From Paying Agents**

**University of Kentucky
Consolidated Education Building Revenue Bonds
Series B - 05/01/68**

Coupons

<u>Maturity</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
11/01/86	10 @ \$ 18.00	\$ 180.00
05/01/87	20 @ 18.00	360.00
11/01/87	470 @ 18.00	8,460.00
05/01/88	470 @ 18.00	8,460.00
Total	<u>970</u>	<u>\$ 17,460.00</u>

Bonds

<u>Maturity</u>	<u>Bond Numbers</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
05/01/88	7031-7500	470 @ \$ 1,000.00	\$ 470,000.00
Total		<u>470</u>	<u>\$ 470,000.00</u>

**University of Kentucky
Consolidated Education Building Revenue Bonds
Series C - 11/01/67**

Coupons

<u>Maturity</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
11/01/87	363 @ \$ 75.00	\$ 27,225.00
05/01/88	363 @ 75.00	27,225.00
11/01/88	327 @ 75.00	24,525.00
05/01/89	327 @ 75.00	24,525.00
11/01/89	290 @ 75.00	21,750.00
05/01/90	290 @ 75.00	21,750.00
11/01/90	252 @ 75.00	18,900.00
05/01/91	252 @ 75.00	18,900.00
11/01/91	213 @ 75.00	15,975.00
05/01/92	213 @ 75.00	15,975.00
Total	<u>2,890</u>	<u>\$ 216,750.00</u>

Bonds

<u>Maturity</u>	<u>Bond Numbers</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
05/01/88	519-554	25 @ \$ 5,000.00	\$ 125,000.00
05/01/89	555-591	37 @ 5,000.00	185,000.00
05/01/90	592-629	38 @ 5,000.00	190,000.00
05/01/91	630-668	39 @ 5,000.00	195,000.00
05/01/92	669-708	40 @ 5,000.00	200,000.00
Total		<u>179</u>	<u>\$ 895,000.00</u>

**University of Kentucky
Consolidated Education Building Revenue Bonds
Series D - 05/01/73**

Coupons

<u>Maturity</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
5/01/86	7 @ \$ 147.50	\$ 1,032.50
11/01/86	9 @ 147.50	1,327.50
5/01/87	48 @ 147.50	7,080.00
11/01/87	5,309 @ 147.50	783,077.50
5/01/88	5,308 @ 147.50	782,930.00
11/01/88	5,068 @ 147.50	747,530.00
5/01/89	5,197 @ 147.50	766,557.50
11/01/89	4,715 @ 147.50	695,462.50
5/01/90	4,733 @ 147.50	698,117.50
11/01/90	4,225 @ 147.50	623,187.50
5/01/91	4,345 @ 147.50	640,887.50
11/01/91	3,934 @ 147.50	580,265.00
5/01/92	3,839 @ 147.50	566,252.50
	<u>21,076</u>	<u>\$ 3,108,710.00</u>

Bonds

<u>Maturity</u>	<u>Bond Numbers</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
5/01/88		239 @ \$ 5,000.00	\$ 1,195,000.00
5/01/89		350 @ 5,000.00	1,750,000.00
5/01/90		371 @ 5,000.00	1,855,000.00
5/01/91		393 @ 5,000.00	1,965,000.00
5/01/92		417 @ 5,000.00	2,085,000.00
		<u>1,770</u>	<u>\$ 8,850,000.00</u>

**University of Kentucky
Consolidated Education Building Revenue Bonds
Series E - 05/01/73**

Coupons

<u>Maturity</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
11/01/87	82 @ \$ 125.00	\$ 10,250.00
11/01/87	78 @ 140.00	10,920.00
11/01/87	87 @ 142.50	12,397.50
11/01/87	207 @ 143.75	29,756.25
11/01/87	422 @ 145.00	61,190.00
05/01/88	82 @ 125.00	10,250.00
05/01/88	78 @ 140.00	10,920.00
05/01/88	87 @ 142.50	12,397.50
05/01/88	207 @ 143.75	29,756.25
05/01/88	422 @ 145.00	61,190.00
11/01/88	82 @ 125.00	10,250.00
11/01/88	40 @ 140.00	5,600.00
11/01/88	87 @ 142.50	12,397.50
11/01/88	207 @ 143.75	29,756.25
11/01/88	422 @ 145.00	61,190.00

05/01/89	82 @	125.00	10,250.00
05/01/89	40 @	140.00	5,600.00
05/01/89	87 @	142.50	12,397.50
05/01/89	207 @	143.75	29,756.25
05/01/89	422 @	145.00	61,190.00
11/01/89	82 @	125.00	10,250.00
11/01/89	87 @	142.50	12,397.50
11/01/89	207 @	143.75	29,756.25
11/01/89	422 @	145.00	61,190.00
05/01/90	82 @	125.00	10,250.00
05/01/90	87 @	142.50	12,397.50
05/01/90	207 @	143.75	29,756.25
05/01/90	422 @	145.00	61,190.00
11/01/90	82 @	125.00	10,250.00
11/01/90	45 @	142.50	6,412.50
11/01/90	207 @	143.75	29,756.25
11/01/90	422 @	145.00	61,190.00
05/01/91	82 @	125.00	10,250.00
05/01/91	45 @	142.50	6,412.50
05/01/91	207 @	143.75	29,756.25
05/01/91	422 @	145.00	61,190.00
11/01/91	82 @	125.00	10,250.00
11/01/91	207 @	143.75	29,756.25
11/01/91	422 @	145.00	61,190.00
05/01/92	82 @	125.00	10,250.00
05/01/92	207 @	143.75	29,756.25
05/01/92	422 @	145.00	61,190.00

Total	<u>7,958</u>	\$ <u>1,132,212.50</u>
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Bonds

<u>Maturity</u>	<u>Bond Numbers</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
05/01/88		38 @ \$ 5,000.00	\$ 190,000.00
05/01/89		40 @ 5,000.00	200,000.00
05/01/90		42 @ 5,000.00	210,000.00
05/01/91		45 @ 5,000.00	225,000.00
05/01/92		47 @ 5,000.00	235,000.00
Total			\$ <u>1,060,000.00</u>

**University of Kentucky
Consolidated Education Building Revenue Bonds
Series F - 05/01/76**

Coupons

<u>Maturity</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
05/01/84	1 @ \$ 165.00	\$ 165.00
11/01/84	1 @ 165.00	165.00
05/01/85	2 @ 145.00	290.00
05/01/85	1 @ 165.00	165.00
11/01/85	2 @ 145.00	290.00
11/01/85	1 @ 165.00	165.00
05/01/86	2 @ 145.00	290.00
05/01/86	18 @ 162.50	2,925.00
05/01/86	2 @ 165.00	330.00

11/01/86	2 @	145.00	290.00
11/01/86	4 @	162.50	650.00
11/01/86	8 @	165.00	1,320.00
05/01/87	2 @	145.00	290.00
05/01/87	7 @	162.50	1,137.50
05/01/87	10 @	165.00	1,650.00
11/01/87	62 @	145.00	8,990.00
11/01/87	24 @	147.50	3,540.00
11/01/87	25 @	150.00	3,750.00
11/01/87	28 @	152.50	4,270.00
11/01/87	29 @	155.00	4,495.00
11/01/87	30 @	157.50	4,725.00
11/01/87	69 @	160.00	11,040.00
11/01/87	50 @	162.50	8,125.00
11/01/87	588 @	165.00	97,020.00
05/01/88	62 @	145.00	8,990.00
05/01/88	24 @	147.50	3,540.00
05/01/88	25 @	150.00	3,750.00
05/01/88	28 @	152.50	4,270.00
05/01/88	29 @	155.00	4,495.00
05/01/88	30 @	157.50	4,725.00
05/01/88	69 @	160.00	11,040.00
05/01/88	50 @	162.50	8,125.00
05/01/88	587 @	165.00	96,855.00
11/01/88	42 @	145.00	6,090.00
11/01/88	24 @	147.50	3,540.00
11/01/88	25 @	150.00	3,750.00
11/01/88	28 @	152.50	4,270.00
11/01/88	29 @	155.00	4,495.00
11/01/88	30 @	157.50	4,725.00
11/01/88	69 @	160.00	11,040.00
11/01/88	501 @	162.50	81,412.50
11/01/88	587 @	165.00	96,855.00
05/01/89	43 @	145.00	6,235.00
05/01/89	24 @	147.50	3,540.00
05/01/89	25 @	150.00	3,750.00
05/01/89	28 @	152.50	4,270.00
05/01/89	29 @	155.00	4,495.00
05/01/89	30 @	157.50	4,725.00
05/01/89	69 @	160.00	11,040.00
05/01/89	507 @	162.50	82,387.50
05/01/89	588 @	165.00	97,020.00
11/01/89	22 @	145.00	3,190.00
11/01/89	24 @	147.50	3,540.00
11/01/89	25 @	150.00	3,750.00
11/01/89	28 @	152.50	4,270.00
11/01/89	29 @	155.00	4,495.00
11/01/89	30 @	157.50	4,725.00
11/01/89	69 @	160.00	11,040.00
11/01/89	503 @	162.50	81,737.50
11/01/89	583 @	165.00	96,195.00
05/01/90	22 @	145.00	3,190.00
05/01/90	24 @	147.50	3,540.00
05/01/90	25 @	150.00	3,750.00
05/01/90	28 @	152.50	4,270.00
05/01/90	29 @	155.00	4,495.00
05/01/90	30 @	157.50	4,725.00
05/01/90	69 @	160.00	11,040.00
05/01/90	501 @	162.50	81,412.50

05/01/90	588 @	165.00	97,020.00
11/01/90	24 @	147.50	3,540.00
11/01/90	25 @	150.00	3,750.00
11/01/90	26 @	152.50	3,965.00
11/01/90	29 @	155.00	4,495.00
11/01/90	30 @	157.50	4,725.00
11/01/90	69 @	160.00	11,040.00
11/01/90	506 @	162.50	82,225.00
11/01/90	588 @	165.00	97,020.00
05/01/91	24 @	147.50	3,540.00
05/01/91	25 @	150.00	3,750.00
05/01/91	26 @	152.50	3,965.00
05/01/91	29 @	155.00	4,495.00
05/01/91	30 @	157.50	4,725.00
05/01/91	38 @	160.00	6,080.00
05/01/91	504 @	162.50	81,900.00
05/01/91	588 @	165.00	97,020.00
11/01/91	25 @	150.00	3,750.00
11/01/91	25 @	152.50	3,812.50
11/01/91	29 @	155.00	4,495.00
11/01/91	30 @	157.50	4,725.00
11/01/91	69 @	160.00	11,040.00
11/01/91	500 @	162.50	81,250.00
11/01/91	585 @	165.00	96,525.00
05/01/92	25 @	150.00	3,750.00
05/01/92	26 @	152.50	3,965.00
05/01/92	29 @	155.00	4,495.00
05/01/92	30 @	157.50	4,725.00
05/01/92	64 @	160.00	10,240.00
05/01/92	494 @	162.50	80,275.00
05/01/92	574 @	165.00	94,710.00
Total	<u>12,245</u>		<u>\$ 1,985,885.00</u>

Bonds

<u>Maturity</u>	<u>Bond Numbers</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
05/01/88	19 @	\$ 5,000.00	\$ 95,000.00
05/01/89	21 @	5,000.00	105,000.00
05/01/90	22 @	5,000.00	110,000.00
05/01/91	24 @	5,000.00	120,000.00
05/01/92	25 @	5,000.00	125,000.00
Total	<u>111</u>		<u>\$ 555,000.00</u>

**University of Kentucky
Consolidated Education Building Revenue Bonds
Series G - 04/01/83**

Coupons

<u>Maturity</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
05/01/85	1 @ \$ 212.50	\$ 212.50
05/01/86	1 @ 212.50	212.50
11/01/86	6 @ 212.50	1,275.00
05/01/87	3 @ 212.50	637.50
05/01/87	1 @ 225.00	225.00
05/01/87	3 @ 212.50	637.50
05/01/87	1 @ 212.50	212.50
05/01/87	28 @ 212.50	5,950.00
11/01/87	433 @ 190.00	82,270.00
11/01/87	131 @ 195.00	25,545.00
11/01/87	142 @ 200.00	28,400.00
11/01/87	154 @ 206.25	31,762.50
11/01/87	168 @ 212.50	35,700.00
11/01/87	183 @ 218.75	40,031.25
11/01/87	198 @ 225.00	44,550.00
11/01/87	219 @ 227.50	49,822.50
11/01/87	242 @ 230.00	55,660.00
11/01/87	1,227 @ 232.50	285,277.50
05/01/88	432 @ 190.00	82,080.00
05/01/88	131 @ 195.00	25,545.00
05/01/88	142 @ 200.00	28,400.00
05/01/88	135 @ 206.25	27,843.75
05/01/88	168 @ 212.50	35,700.00
05/01/88	183 @ 218.75	40,031.25
05/01/88	198 @ 225.00	44,550.00
05/01/88	218 @ 227.50	49,595.00
05/01/88	243 @ 230.00	55,890.00
05/01/88	1,227 @ 232.50	285,277.50
11/01/88	335 @ 190.00	63,650.00
11/01/88	131 @ 195.00	25,545.00
11/01/88	142 @ 200.00	28,400.00
11/01/88	154 @ 206.25	31,762.50
11/01/88	168 @ 212.50	35,700.00
11/01/88	183 @ 218.75	40,031.25
11/01/88	198 @ 225.00	44,550.00
11/01/88	218 @ 227.50	49,595.00
11/01/88	241 @ 230.00	55,430.00
11/01/88	1,227 @ 232.50	285,277.50
05/01/89	337 @ 190.00	64,030.00
05/01/89	173 @ 195.00	33,735.00
05/01/89	239 @ 200.00	47,800.00
05/01/89	154 @ 206.25	31,762.50
05/01/89	222 @ 212.50	47,175.00
05/01/89	131 @ 218.75	28,656.25
05/01/89	178 @ 225.00	40,050.00
05/01/89	142 @ 227.50	32,305.00
05/01/89	198 @ 230.00	45,540.00
05/01/89	1,229 @ 232.50	285,742.50
11/01/89	233 @ 190.00	44,270.00
11/01/89	131 @ 195.00	25,545.00

11/01/89	142 @	200.00	28,400.00
11/01/89	158 @	206.25	32,587.50
11/01/89	163 @	212.50	34,637.50
11/01/89	186 @	218.75	40,687.50
11/01/89	196 @	225.00	44,100.00
11/01/89	214 @	227.50	48,685.00
11/01/89	240 @	230.00	55,200.00
11/01/89	1,177 @	232.50	273,652.50
05/01/90	232 @	190.00	44,080.00
05/01/90	131 @	195.00	25,545.00
05/01/90	142 @	200.00	28,400.00
05/01/90	154 @	206.25	31,762.50
05/01/90	168 @	212.50	35,700.00
05/01/90	183 @	218.75	40,031.25
05/01/90	198 @	225.00	44,550.00
05/01/90	218 @	227.50	49,595.00
05/01/90	242 @	230.00	55,660.00
05/01/90	1,227 @	232.50	285,277.50
11/01/90	121 @	190.00	22,990.00
11/01/90	131 @	195.00	25,545.00
11/01/90	190 @	200.00	38,000.00
11/01/90	154 @	206.25	31,762.50
11/01/90	168 @	212.50	35,700.00
11/01/90	182 @	218.75	39,812.50
11/01/90	198 @	225.00	44,550.00
11/01/90	218 @	227.50	49,595.00
11/01/90	233 @	230.00	53,590.00
11/01/90	1,169 @	232.50	271,792.50
05/01/91	121 @	190.00	22,990.00
05/01/91	131 @	195.00	25,545.00
05/01/91	142 @	200.00	28,400.00
05/01/91	154 @	206.25	31,762.50
05/01/91	169 @	212.50	35,912.50
05/01/91	183 @	218.75	40,031.25
05/01/91	198 @	225.00	44,550.00
05/01/91	188 @	227.50	42,770.00
05/01/91	241 @	230.00	55,430.00
05/01/91	1,227 @	232.50	285,277.50
11/01/91	131 @	195.00	25,545.00
11/01/91	142 @	200.00	28,400.00
11/01/91	149 @	206.25	30,731.25
11/01/91	168 @	212.50	35,700.00
11/01/91	183 @	218.75	40,031.25
11/01/91	197 @	225.00	44,325.00
11/01/91	215 @	227.50	48,912.50
11/01/91	242 @	230.00	55,660.00
11/01/91	1,136 @	232.50	264,120.00
05/01/92	131 @	195.00	25,545.00
05/01/92	142 @	200.00	28,400.00
05/01/92	154 @	206.25	31,762.50
05/01/92	168 @	212.50	35,700.00
05/01/92	197 @	218.75	43,093.75
05/01/92	217 @	225.00	48,825.00
05/01/92	234 @	227.50	53,235.00
05/01/92	1,204 @	230.00	276,920.00

28,481

\$ 6,291,310.00

Bonds

<u>Maturity</u>	<u>Bond Numbers</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
05/01/88		96 @ \$ 5,000.00	\$ 480,000.00
05/01/89		104 @ 5,000.00	520,000.00
05/01/90		112 @ 5,000.00	560,000.00
05/01/91		121 @ 5,000.00	605,000.00
05/01/92		131 @ 5,000.00	655,000.00
Total		<u>564</u>	<u>\$ 2,820,000.00</u>

**University of Kentucky
Consolidated Education Building Revenue Bonds
Series H - 03/01/83**

Coupons

<u>Maturity</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
11/01/83	1 @ \$ 200.00	\$ 200.00
05/01/84	1 @ 200.00	200.00
11/01/84	1 @ 200.00	200.00
05/01/85	1 @ 200.00	200.00
11/01/85	1 @ 200.00	200.00
05/01/86	3 @ 200.00	600.00
05/01/86	4 @ 217.50	870.00
11/01/86	1 @ 200.00	200.00
11/01/86	2 @ 210.00	420.00
11/01/86	20 @ 217.50	4,350.00
05/01/87	2 @ 190.00	380.00
05/01/87	1 @ 200.00	200.00
05/01/87	11 @ 205.00	2,255.00
05/01/87	35 @ 217.50	7,612.50
11/01/87	161 @ 168.75	27,168.75
11/01/87	62 @ 175.00	10,850.00
11/01/87	68 @ 180.00	12,240.00
11/01/87	73 @ 185.00	13,505.00
11/01/87	80 @ 190.00	15,200.00
11/01/87	87 @ 195.00	16,965.00
11/01/87	95 @ 200.00	19,000.00
11/01/87	105 @ 205.00	21,525.00
11/01/87	115 @ 210.00	24,150.00
11/01/87	126 @ 215.00	27,090.00
11/01/87	635 @ 217.50	138,112.50
05/01/88	161 @ 168.75	27,168.75
05/01/88	62 @ 175.00	10,850.00
05/01/88	68 @ 180.00	12,240.00
05/01/88	73 @ 185.00	13,505.00
05/01/88	80 @ 190.00	15,200.00
05/01/88	87 @ 195.00	16,965.00
05/01/88	95 @ 200.00	19,000.00
05/01/88	105 @ 205.00	21,525.00
05/01/88	115 @ 210.00	24,150.00
05/01/88	126 @ 215.00	27,090.00
05/01/88	638 @ 217.50	138,765.00
11/01/88	111 @ 168.75	18,731.25
11/01/88	62 @ 175.00	10,850.00

11/01/88	68 @	180.00	12,240.00
11/01/88	73 @	185.00	13,505.00
11/01/88	78 @	190.00	14,820.00
11/01/88	87 @	195.00	16,965.00
11/01/88	95 @	200.00	19,000.00
11/01/88	105 @	205.00	21,525.00
11/01/88	115 @	210.00	24,150.00
11/01/88	126 @	215.00	27,090.00
11/01/88	631 @	217.50	137,242.50
05/01/89	111 @	168.75	18,731.25
05/01/89	62 @	175.00	10,850.00
05/01/89	68 @	180.00	12,240.00
05/01/89	73 @	185.00	13,505.00
05/01/89	80 @	190.00	15,200.00
05/01/89	87 @	195.00	16,965.00
05/01/89	95 @	200.00	19,000.00
05/01/89	105 @	205.00	21,525.00
05/01/89	115 @	210.00	24,150.00
05/01/89	126 @	215.00	27,090.00
05/01/89	635 @	217.50	138,112.50
11/01/89	58 @	168.75	9,787.50
11/01/89	30 @	175.00	5,250.00
11/01/89	68 @	180.00	12,240.00
11/01/89	73 @	185.00	13,505.00
11/01/89	80 @	190.00	15,200.00
11/01/89	87 @	195.00	16,965.00
11/01/89	95 @	200.00	19,000.00
11/01/89	105 @	205.00	21,525.00
11/01/89	115 @	210.00	24,150.00
11/01/89	127 @	215.00	27,305.00
11/01/89	666 @	217.50	144,855.00
05/01/90	58 @	168.75	9,787.50
05/01/90	62 @	175.00	10,850.00
05/01/90	68 @	180.00	12,240.00
05/01/90	73 @	185.00	13,505.00
05/01/90	80 @	190.00	15,200.00
05/01/90	87 @	195.00	16,965.00
05/01/90	95 @	200.00	19,000.00
05/01/90	105 @	205.00	21,525.00
05/01/90	115 @	210.00	24,150.00
05/01/90	126 @	215.00	27,090.00
05/01/90	635 @	217.50	138,112.50
11/01/90	62 @	175.00	10,850.00
11/01/90	68 @	180.00	12,240.00
11/01/90	71 @	185.00	13,135.00
11/01/90	80 @	190.00	15,200.00
11/01/90	87 @	195.00	16,965.00
11/01/90	95 @	200.00	19,000.00
11/01/90	105 @	205.00	21,525.00
11/01/90	115 @	210.00	24,150.00
11/01/90	126 @	215.00	27,090.00
11/01/90	635 @	217.50	138,112.50
05/01/91	62 @	175.00	10,850.00
05/01/91	68 @	180.00	12,240.00
05/01/91	73 @	185.00	13,505.00
05/01/91	80 @	190.00	15,200.00
05/01/91	87 @	195.00	16,965.00
05/01/91	95 @	200.00	19,000.00
05/01/91	105 @	205.00	21,525.00

05/01/91	115 @	210.00	24,150.00
05/01/91	126 @	215.00	27,090.00
05/01/91	625 @	217.50	135,937.50
11/01/91	68 @	180.00	12,240.00
11/01/91	73 @	185.00	13,505.00
11/01/91	80 @	190.00	15,200.00
11/01/91	87 @	195.00	16,965.00
11/01/91	95 @	200.00	19,000.00
11/01/91	105 @	205.00	21,525.00
11/01/91	115 @	210.00	24,150.00
11/01/91	125 @	215.00	26,875.00
11/01/91	625 @	217.50	135,937.50
05/01/92	68 @	180.00	12,240.00
05/01/92	69 @	185.00	12,765.00
05/01/92	85 @	190.00	16,150.00
05/01/92	82 @	195.00	15,990.00
05/01/92	95 @	200.00	19,000.00
05/01/92	103 @	205.00	21,115.00
05/01/92	113 @	210.00	23,730.00
05/01/92	126 @	215.00	27,090.00
05/01/92	591 @	217.50	128,542.50
	<u>15,002</u>		<u>\$ 3,078,597.50</u>

Bonds

<u>Maturity</u>	<u>Bond Numbers</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
5/01/88		50 @ \$ 5,000.00	\$ 250,000.00
5/01/89		53 @ 5,000.00	265,000.00
5/01/90		58 @ 5,000.00	290,000.00
5/01/91		62 @ 5,000.00	310,000.00
5/01/92		68 @ 5,000.00	340,000.00
	<u>291</u>		<u>\$ 1,455,000.00</u>

**University of Kentucky
Dormitory Revenue Bond
Second Series (476 Rose St.) 12/01/68**

Coupons

<u>Maturity</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
12/01/87	2 @ \$ 131.25	\$ 262.50
12/01/87	2 @ 132.50	265.00
12/01/87	4 @ 135.00	540.00
06/01/88	1 @ 131.25	131.25
06/01/88	2 @ 132.50	265.00
06/01/88	4 @ 135.00	540.00
12/02/88	1 @ 131.50	131.50
12/02/88	2 @ 132.50	265.00
12/02/88	4 @ 135.00	540.00
06/01/89	2 @ 132.50	265.00
06/01/89	4 @ 135.00	540.00
12/01/89	2 @ 132.50	265.00
12/01/89	4 @ 135.00	540.00
06/01/90	1 @ 132.50	132.50
06/01/90	4 @ 135.00	540.00

12/01/90	1 @	132.50	132.50
12/01/90	4 @	135.00	540.00
06/01/91	4 @	135.00	540.00
12/01/91	4 @	135.00	540.00
06/01/92	3 @	135.00	405.00

Total	<u>55</u>		\$ <u>7,380.25</u>
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Bonds

<u>Maturity</u>	<u>Bond Numbers</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
12/01/87	19	1 @ \$ 5,000.00	\$ 5,000.00
12/01/88	20	1 @ 5,000.00	5,000.00
12/01/89	21	1 @ 5,000.00	5,000.00
12/01/90	22	1 @ 5,000.00	5,000.00
12/01/91	23	1 @ 5,000.00	5,000.00

Total	<u>5</u>		\$ <u>25,000.00</u>
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**University of Kentucky
Dormitory Revenue Bond
Cooperstown Apartments**

Coupons

<u>Maturity</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
06/01/82	2 @ \$ 15.00	\$ 30.00
12/01/82	2 @ 15.00	30.00
06/01/83	3 @ 15.00	45.00
12/01/83	3 @ 15.00	45.00
06/01/84	3 @ 15.00	45.00
12/01/84	3 @ 15.00	45.00
06/01/85	3 @ 15.00	45.00
12/01/85	2 @ 15.00	30.00
06/01/86	2 @ 15.00	30.00
06/01/86	2 @ 16.25	32.50
12/01/86	13 @ 15.00	195.00
12/01/86	3 @ 16.25	48.75
06/01/87	30 @ 16.25	487.50
06/01/87	56 @ 15.00	840.00
12/01/87	195 @ 16.25	3,168.75
12/01/87	679 @ 15.00	10,185.00
06/01/88	778 @ 15.00	11,670.00
06/01/88	95 @ 16.25	1,543.75
12/01/88	677 @ 15.00	10,155.00
12/01/88	96 @ 16.25	1,560.00
06/01/89	677 @ 15.00	10,155.00
06/01/89	94 @ 16.25	1,527.50
12/01/89	672 @ 15.00	10,080.00
06/01/90	663 @ 15.00	9,945.00

Total	<u>4,753</u>	\$ <u>71,938.75</u>
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Bonds

<u>Maturity</u>	<u>Bond Numbers</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
06/01/87	1841	1 \$ 1,000.00	\$ 1,000.00
06/01/87	1843-1844	2 1,000.00	2,000.00
06/01/87	1856-1858	3 1,000.00	3,000.00
06/01/87	1888-1891	4 1,000.00	4,000.00
06/01/88	1912-2010	99 1,000.00	99,000.00
06/01/89	2011-2049	39 1,000.00	39,000.00
06/01/89	2052-5053	2 1,000.00	2,000.00
06/01/89	2058-2066	9 1,000.00	9,000.00
06/01/89	2071-2112	42 1,000.00	42,000.00
06/01/90	2113-2202	90 1,000.00	90,000.00
06/01/90	2205-2206	2 1,000.00	2,000.00
06/01/90	2208-2218	11 1,000.00	11,000.00
Total		<u>304</u>	<u>\$ 304,000.00</u>

**University of Kentucky
Community College System
Consolidated Education Building
Revenue Bonds - Series A**

Coupons

<u>Maturity</u>	<u>Quantity @</u>	<u>Dollar Amount</u>
11/01/89	2 @ \$ 155.00	\$ 310.00
11/01/89	5 @ 156.25	781.25
11/01/89	1 @ 159.38	159.38
Total	<u>8</u>	<u>\$ 1,250.63</u>

Office of the President
June 22, 1993

FCR 5

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

The renewal of a lease between McDowell Cancer Network and Paul Blair Rentals, 120 Normal Avenue, Suite 101, Morehead, Kentucky, property located at 120 Normal Avenue, Morehead, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$3,240.00, be accepted and made a matter of record.

The renewal of a lease between Cooperative Extension Service and Roger LaPointe, 1903 Cedar Ridge, Bowling Green, Kentucky, property located at 1026 East 13th Street, Bowling Green, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$7,200.00, be accepted and made a matter of record.

The renewal of a lease between University Extension and Board of Education of Fayette County, 701 East Main Street, Lexington, Kentucky, property located at Bates Creek High School, Lexington, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of classroom space for Japanese Saturday School, rental rate of \$2,400.00, be accepted and made a matter of record.

The renewal of a lease between Cooperative Extension Service and Molly Ershig, 200 South Green Street, Henderson, Kentucky, property located at 409 South Green Street, Henderson, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$6,900.00, be accepted and made a matter of record.

The renewal of a lease between Center for Applied Energy Research and David and Ann Myers, Route 2, Box 58, Town Branch Road, Jeffersonville, Kentucky, property located on Hope Creek Road, Jeffersonville, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of two acres of land for water run-off studies, rental rate of \$1,000.00, be accepted and made a matter of record.

The renewal of a lease between Cooperative Extension Service and Thornhill Rentals, 8018 Vinecrest Avenue, Louisville, Kentucky, property located at 8012 Vinecrest Avenue, Louisville, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$7,200.00, be accepted and made a matter of record.

The renewal of a lease between Southeast Community College and Middlesboro Independent Board of Education, 220 North 20th Street, Middlesboro, Kentucky, property located at 220 North 20th Street, Middlesboro, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of classroom space, rental rate of \$29,540.00, be accepted and made a matter of record.

The renewal of a lease between Cooperative Extension Service and Farm Credit Services, Post Office Box 699, Shelbyville, Kentucky, property located at Highway 53, South, Shelbyville, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$1,940.00, be accepted and made a matter of record.

The renewal of a lease between Southeast Community College and Whitesburg Education Development Foundation, Inc., 112 North Webb Avenue, Whitesburg, Kentucky, property located at 112 North Webb Avenue, Whitesburg, Kentucky, effective August 15, 1993 through August 14, 1994, for the use of classroom space, rental rate of \$29,500.00, be accepted and made a matter of record.

The renewal of a lease between Hopkinsville Community College and Paul Gabbard, Post Office Box 507, Greenville, Kentucky, property located at 500 North Main, Hopkinsville, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of a Dental Clinic, rental rate of \$22,230.00, be accepted and made a matter of record.

The renewal of a lease between Jefferson Community College-Carrollton and Farmer Enterprises, 2560 Richmond Road, Lexington, Kentucky, property located at Park Square Shopping Center, U.S. 227, Carrollton, Kentucky, effective July 1, 1993 through August 31, 1993, rental rate of \$2,941.24, be accepted and made a matter of record.

The renewal of a lease between Ashland Community College and FIVCO Area Development District, Post Office Box 636, Catlettsburg, Kentucky, property located at 1401 Winchester Avenue, Ashland, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office/classroom space, rental rate of \$6,257.50, be accepted and made a matter of record.

The renewal of a lease between Southeast Community College and Tri-City Chamber of Commerce, Freeman Street, Post Office Box J, Cumberland, Kentucky, property located on Hatfield Drive, Cumberland, Kentucky, effective October 1, 1993 through September 30, 1994, for the use of classroom space, rental rate of \$18,000.00, be accepted and made a matter of record.

The renewal of a lease between Madisonville Community College and Kenneth O. Gibson, 311 South Main Street, Post Office Box 767, Madisonville, Kentucky, property located at 257 North Main Street, Madisonville, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office/classroom space, rental rate of \$10,800.00, be accepted and made a matter of record.

The renewal of a lease between Ashland Community College and Public School Corp. of the Ashland Independent School District, Post Office Box 3000, Ashland, Kentucky, property located at 1903 Oakview Road, Ashland, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$4,800.00, be accepted and made a matter of record.

The renewal of a lease between Prestonsburg Community College and Evelyn B. Justice, 402 Scott Avenue, Pikeville, Kentucky, property located at 405 Main Street, Pikeville, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$10,200.00, be accepted and made a matter of record.

The renewal of a lease between Cooperative Extension Service and Leon Jasper and Tim Renner, in care of Jasper and Jasper Mobile Homes, South Highway 27, Somerset, Kentucky, property located at 3510 South Highway 27, Somerset, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$15,000.00, be accepted and made a matter of record.

The renewal of a lease between Kentucky Cancer Program and Saindon & Saindon Family Dentistry, 501 College Street, Suite A, Somerset, Kentucky, property located at 501 College Street, Suite C, Somerset, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$6,000.00, be accepted and made a matter of record.

The renewal of a lease between Rural Health and Mountain Real Estate Co., 200 East Main Street, Hazard, Kentucky, property located at Paige Place Apartments, 300 Weslee Way, #18, Hazard, Kentucky, effective July 1, 1993 through September 30, 1993, for the use of an apartment, rental rate of \$1,275.00, be accepted and made a matter of record.

The renewal of a lease between Behavioral Science and Kim Kays, 506 South Main Street, Lawrenceburg, Kentucky, property located at 1401 Nicholasville Road, Lexington, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$23,226.00, be accepted and made a matter of record.

The renewal of a lease between Cooperative Extension Service and Christian County Farm Bureau, Post Office Box 683, Hopkinsville, Kentucky, property located at 509 West Ninth Street, Hopkinsville, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$6,728.50, be accepted and made a matter of record.

The renewal of a lease between Center for Business Development and Mike Angeline Trust, 319 South Mulberry Street, Elizabethtown, Kentucky, property located at 240 West Dixie Avenue, Elizabethtown, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$4,200.00, be accepted and made a matter of record.

The renewal of a lease between McDowell Cancer Network and Geraldine Adams, Post Office Box 306, Hyden, Kentucky, property located in the Denver Adams Building, Main Street, Hyden, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$4,200.00, be accepted and made a matter of record.

The renewal of a lease between Elizabethtown Community College and Grayson County Board of Education, Leitchfield, Kentucky, property located at Grayson County High and Middle School, Leitchfield, Kentucky, effective August 15, 1993 through June 30, 1994, for the use of classroom space, rental rate of \$144.00 per classroom and billed per semester according to utilized space, be accepted and made a matter of record.

The renewal of a lease between Kentucky Geological Survey and Donald Harrison, 1307 Judson Place, Henderson, Kentucky, property located at 119-1/2 North Main Street, Henderson, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$5,100.00, be accepted and made a matter of record.

The renewal of a lease between Center on Aging and Robert K. Wood, in care of Pat Gibbs, Manager, Limestone Square Apartments, 129 Transcript Avenue, Lexington, Kentucky, property located at 915 South Limestone, Lexington, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$25,746.00, be accepted and made a matter of record.

The renewal of a lease between Maysville Community College and Duke Storage, Inc., Mason-Lewis Road, Maysville, Kentucky, property located on Mason-Lewis Road, Maysville, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of storage space, rental rate of \$2,400.00, be accepted and made a matter of record.

The renewal of a lease between Elizabethtown Community College and Breckinridge County Board of Education, Post Office Box 148, Hardinsburg, Kentucky, property located at Breckinridge County High School, Hardinsburg, Kentucky, effective August 28, 1993 through August 27, 1994, for the use of classroom space, rental rate of \$100.00 per classroom, billed per semester according to utilized space, be accepted and made a matter of record.

A new lease between Elizabethtown Community College and Nelson County Board of Education, Post Office Box 2277, 1200 Cardinal Drive, Bardstown, Kentucky, property located at Kentucky Tech-Nelson County, 1060 Bloomfield Road, Bardstown, Kentucky, effective August 15, 1993 through June 30, 1994, for the use of classroom space, rental rate of \$200.00 per classroom, billed per semester according to utilized space, be accepted and made a matter of record.

A new lease between Kentucky Geological Survey and J & L Rental, Lois Cates, 902 Newtown Road, Russellville, Kentucky, property located at 916 Newtown Road, Russellville, Kentucky, effective June 8, 1993 through August 25, 1993, for the use of an apartment for field workers, rental rate of \$1,275.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000.00 in value and required that all leases entered into under this authority be reported to the Board.

Action Taken: Approved ☒ Disapproved _____ Other _____

Date: June 22, 1993

Office of the President
June 22, 1993

FCR 6

Members, Board of Trustees:

APPROVAL OF LEASES

Recommendation: that the Vice President for Administration be authorized to execute leases as follows:

The renewal of a lease between Medical Center Finance Division and CHM Property Partners, in care of Haymaker Company, 191 West Lowry Lane, Lexington, Kentucky, property located at 2200 Regency Road, Lexington, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$96,093.75 annually.

The renewal of a lease between Hazard Community College and Appalachian Regional Healthcare, Post Office Box 8086, Lexington, Kentucky, property located at Appalachian Regional Healthcare Office Complex, 100 Airport Gardens Road, Hazard, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office/classroom space, rental rate of \$150,438.58 annually.

The renewal of a lease between Medical Center Information Management/Nursing Services/Application Programming and CHM Property Partners in care of Haymaker Company, 191 West Lowry Lane, Lexington, Kentucky, property located at 2224 Regency Road, Lexington, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$124,025.50 annually.

The renewal of a lease between Medical Center Emergency Medicine and Robert K. Wood, in care of Pat Gibbs, Manager, Limestone Square Apartments, 129 Transcript Avenue, Lexington, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$61,622.00 annually.

The renewal of a lease between Somerset Community College and Faith Assembly of God, 390 Faith Assembly Church Road, London, Kentucky, property located at Faith Assembly Church, Russell Dyche Memorial Parkway, London, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office/classroom space, rental rate of \$165,384.00 annually.

The renewal of a lease between Somerset Community College and McCreary County Fiscal Court, Post Office Box 579, Whitley City, Kentucky, property located at the McCreary County Park, Whitley City, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office/classroom space, rental rate of \$35,000.00 annually.

The renewal of a lease between Maysville Community College and John B. VanMeter, 201 Edgemont Road, Maysville, Kentucky, property located at Duke of York Street and Marshall, Maysville, Kentucky, effective September 1, 1993 through June 30, 1994, for the use of office/classroom space, rental rate of \$42,000.00 annually.

The renewal of a lease between College of Pharmacy Continuing Education and Rose/Harman Investment Properties, 465 East High Street, Lexington, Kentucky, property located at 465 East High Street, Lexington, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office/storage space, rental rate of \$34,280.85 annually.

The renewal of a lease between Southeast Community College and First State Bank of Pineville, 1810 Cumberland Avenue, Middlesboro, Kentucky, property located at 1810 Cumberland Avenue, Middlesboro, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office/classroom space, rental rate of \$32,000.00 annually.

The renewal of a lease between Medical Center Marketing and Fund Development and George & Bonnie Ruschell, 1709 Fairway Drive, Lexington, Kentucky, property located at 935 Limestone Street, Lexington, Kentucky, effective June 1, 1993 through June 30, 1994, for the use of office space, rental rate of \$38,589.00 annually.

The renewal of a lease between Prestonsburg Community College and Pike County Education Foundation, Inc., 408 Main Street, Pikeville, Kentucky, property located at 408 Main Street, Pikeville, Kentucky, effective July 1, 1993 through June 30, 1994, for the use of office/classroom space, rental rate of \$52,000.00 annually.

The renewal of a lease between Medical Center Rural Health and Appalachian Regional Healthcare, Post Office Box 8086, Lexington, Kentucky, property located in Appalachian Regional Hospital Building, 100 Airport Gardens Road, Hazard, Kentucky, effective July 1, 1993 through June 30, 1994, rental rate of \$246,171.86 annually.

A new lease between University of Kentucky Medical Center-Emergency Medicine EMT Program/Preventive Medicine and Whitmer Properties, Inc., 605 Camino Road, Lexington, Kentucky, property located at 1141 Red Mile Road, Lexington, Kentucky, effective July 15, 1993 through June 30, 1994, to be used as office/classroom/laboratory space, rental rate of \$159,784.00 annually.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000.00 in value and required that all leases and easements exceeding \$30,000.00 in value be approved by the Board of Trustees.

Action taken: Approved / Disapproved Other

Date: June 22, 1993

Office of the President
June 22, 1993

FCR 7

Members, Board of Trustees:

PURCHASE OF PROPERTY

Recommendation: that the Board of Trustees approve the purchase of real property located at 935 Limestone Street and one-half of the real property located at 120 Transcript Avenue from George J. Ruschell and Bonnie Ruschell at an average appraisal price of \$245,000.

Background: The property at 935 Limestone Street and 120 Transcript Avenue is located in the acquisition area as approved by the Board of Trustees. The property is currently leased at an annual rental cost of \$38,589 for use by the Medical Center. The purchase is subject to appraisals being obtained and to approval by the Council on Higher Education and Secretary of Finance and Administration.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

Office of the President
June 22, 1993

FCR 8

Members, Board of Trustees:

OPERATING BUDGET FOR 1993-94

Recommendation: that the 1993-94 Operating Budget as submitted, including the authorization set forth in the letter of transmittal, be approved and ordered recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures and accepted as a basis of maximum expenditures for the year 1993-94.

Background: The 1993-94 Operating Budget of the University of Kentucky is presented for approval by the Board of Trustees. Copies of the budget document have been made available to the members of the Board.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

Office of the President
June 22, 1993

FCR 9

Members, Board of Trustees:

INTELLECTUAL PROPERTY DISPOSITION
AND ADMINISTRATIVE REGULATION

Recommendation: (1) that the Board approve the attached Administrative Regulation on Intellectual Property Policy and Procedures; (2) that the Board finds in accord with KRS 164A.575(7) that, in the case of intellectual properties it is generally not feasible to dispose of same either by invitation of sealed bid or by public auction, thus deeming it in the best interest of the institution to authorize the establishment of an alternative mechanism for the disposal of intellectual properties; and (3) that the Board authorize the Vice President for Research and Graduate Studies to approve the terms of licensure or sale of intellectual properties based on an assessment of the market value of the properties using methodologies employed by other comparable institutions and to present an annual report to the Board of Trustees on such agreements.

Background: (1) The University has the following facilities and resources that are available as part of the overall commitment of the University to the Commonwealth for economic development: a well qualified, inventive faculty and staff, modern instrumentation and laboratory space, a new Advanced Science and Technology Commercialization Center (ASTeCC); and Coldstream as an industrial research campus.

In order to maximize the impact of the University in the economic development arena, we need a proactive outreach to faculty and staff in order to identify intellectual property under development, to evaluate the economic potential of this intellectual property, to market this intellectual property, and to identify funding to nurture early stage development of intellectual property. A plan is needed for the development of intellectual properties that meets with Board approval and that guarantees the success of ASTeCC and the University's commitment to economic development.

With the advent of the Advanced Science and Technology Commercialization Center (ASTeCC) on campus in mid-1994, the University has laboratory space for high technology research programs. The faculty have agreed to the following

objectives for ASTeCC: [1] high quality research in multidisciplinary fields; [2] technology transfer from the University to private sector; [3] provision of financial incentives for entrepreneurial faculty that will enable them to remain on the faculty while pursuing their business interests; [4] an income stream for the University; and [5] economic development. Of these, the highest priority is economic development. With the Board's decision to develop Coldstream as an industrial research campus, a program for the development of intellectual property within ASTeCC could foster the development of high technology businesses that may ultimately relocate at Coldstream. With the Board's decision to create a for-profit corporation, Kentucky Technology, Inc. (KTI), the University has the means for interacting with private industry in joint ventures that will lead to the commercial development of intellectual property.

The attached Administrative Regulation will provide an orderly process consistent with the need to evaluate the University's intellectual property, to guarantee a fair income stream to the University, and to contribute to the Commonwealth's economic development.

(2) Under KRS 164A.575(7), "unless the board deems it in the best interest of the institution to proceed otherwise, all such real or personal property shall be sold either by invitation of sealed bids or by public auction; provided, however, that the selling price of any interest in real property shall not be less than the appraised value thereof as determined by the Finance and Administration Cabinet or the Transportation Cabinet for such requirements of that department."

The use of sealed bids or public auction to convey intellectual property leading to a commercial product is not in the best interest of either the University or the Commonwealth for the following reasons:

- a. Both the nature of the commercial product and the potential market for the product is not sufficiently well defined at the early stages of development typical of most intellectual property emerging from the University. Invariably, the intellectual property is at a stage in its development where an additional investment of time and money will be necessary for the commercialization of this property. If the University chose to convey intellectual property at this early stage of development, it would be unlikely that many purchasers would be interested and even if purchasers were identified, the price that the University would be offered would be only a fraction of the intellectual property's value.
- b. External partners in any alliance to develop intellectual property at the University are, in many if not in most cases, unwilling to disclose their interest publicly. The University, if it is to develop intellectual property in high technology areas and to find resources sufficient for early stage development, will need to form partnerships or alliances with industries interested in these areas. Such partnerships will require negotiations with an industry that may be unwilling to disclose in a public arena its interest in a particular technology area.

- c. Public disclosure in a bidding process would result in the loss of property. Intellectual property is protected through a number of different mechanisms: patents, trade secrets, and copyrights. Intellectual property in certain areas such as biotechnology does not lend itself to patents and often is held as a trade secret. Full disclosure of the University's intellectual property that would be required in a bidding process would foreclose on this option and on the possible commercialization of this intellectual property. In addition, a bidding process would constitute public disclosure according to the Patent and Trademark Office. This disclosure would immediately foreclose on patent rights in foreign countries and would start the clock running on the one-year grace period for filing U.S. and Canadian patents.
- d. The bidding process would not readily lend itself to the evaluation of a potential licensee's past performance in the development of intellectual properties. The University's interest in the commercialization of intellectual properties will require due diligence in the selection of a potential licensee. The evaluation of the potential licensee will include an evaluation of the financial offer for the intellectual property, the licensee's financial situation, and the past record in "new start" ventures. A bidding process would place more emphasis on the first point than on the last one. It may, however, be in the best interest of the University to select a licensee with a proven record of commercializing new technologies rather than simply a licensee who offered the best royalty or purchase agreement.
- e. A bidding process would not allow the University to guarantee that intellectual property would result in economic development in the Commonwealth. A bidding process would offer any potential licensee, regardless of current location, the opportunity to acquire the University's intellectual property. The University wants, however, to control this process in order to maximize local economic development insofar as possible or reasonable.

(3) In lieu of using the bid process, the Vice President for Research and Graduate Studies will approve licensing or sale terms as well as the acceptability of the licensee. The Vice President for Research and Graduate Studies will compare the terms of any agreements with other representative examples of similar agreements from benchmark institutions, will contact comparable administrators at benchmark institutions to learn of their processes and outcomes, and will stay in touch with market developments through reviewing publications like, but not limited to, Technology Access Report, Technology Review, and Licensing Economics Review. The Vice President for Research and Graduate Studies will have the responsibility for the management of this process and assurance that the process complies with the authorization established by the Board of Trustees.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

INTELLECTUAL PROPERTY DISPOSITION AND ADMINISTRATIVE REGULATION

I. PREAMBLE

Research leading to new knowledge and the transfer of this new knowledge through teaching and service are two of the major responsibilities of the University of Kentucky. Traditionally, these responsibilities have been met through teaching, publication, and demonstration. As early as 1946, the University recognized that some new knowledge or technology had commercial value and warranted protection through the patent process. In 1974, a copyright policy recognized the traditional rights of faculty to the written fruits of their scholarly activity and the University's rights of ownership to other materials produced as a result of direct assignments, e.g., videotapes, films, and programmed instruction materials.

Implicit in these developments was the understanding that the University not only had a responsibility to bring new knowledge into use by the general public, but also that such knowledge or technology sometimes has commercial value and should be treated as a financial asset to be used, conserved, or applied in such a way as to generate an appropriate financial return. Transfer of such information or technology through licensing satisfied both objectives, i.e., dissemination for use and the realization of a return.

Developments in recent years have broadened the scope of information and technology that can have potential commercial value and that, therefore, should be treated as assets subject to University ownership and control. In addition to new machines, compositions of matter, and written materials which traditionally have been the subject of patents and copyrights, new life forms, bioengineered agents, plant varieties, computer software, video courses, etc., are now normal outcomes of University activities. Thus, a broad policy covering all aspects of intellectual property is provided.

II. OBJECTIVES OF THE POLICY

A. To facilitate the transfer of knowledge and technology and the utilization of such knowledge and the technology to the general benefit of society.

B. To encourage research, scholarship, and a spirit of inquiry, thereby generating new knowledge.

C. To provide an administrative system to determine the commercial significance of discoveries and new developments and to assist in bringing these into public use.

D. To provide for the equitable disposition of interests in new intellectual property among the developer, author, or inventor (the originator), the University, and, where applicable, the sponsor.

E. To provide incentives to originators in the form of personal development, professional recognition, and financial compensation.

F. To safeguard intellectual property so that it may receive adequate and appropriate legal protection against unauthorized use.

III. COVERAGE AND DEFINITION

A. This policy covers all intellectual property conceived, first reduced to practice, written, or otherwise produced by faculty, staff, or students of the University of Kentucky using University funds, facilities, or other resources.

B. Intellectual property, for the purpose of this policy, is defined as the tangible or intangible results of research, development, teaching, or other intellectual activity. Intellectual property may include the following categories:

1. Inventions, discoveries, or other new developments which are appropriate subjects of patent applications.
2. Written materials, sound recordings, videotapes, films, computer programs, computer-assisted instruction materials, works of art including paintings, sculpture, and musical compositions, and all other material which may be copyrightable.
3. Tangible research property such as biological materials including cell lines, plasmids, hybridomas, monoclonal antibodies, and plant varieties; computer software, data bases, integrated circuit chips, prototype devices and equipment, circuit diagrams, etc.; and analytical procedures, laboratory methods, etc. All such tangible research property may or may not be patentable or copyrightable.

The three categories above are not mutually exclusive; a given article of intellectual property may include aspects of all three categories.

IV. GENERAL POLICY

All rights to intellectual properties as defined in Section III shall be owned and controlled by the University through its designated agent, the University of Kentucky Research Foundation (UKRF). When a University faculty member, staff member, or student develops or originates an item of intellectual property which, under the terms of this policy is to be owned and controlled by the University, the individual shall report the intellectual property to the Intellectual Property Committee (IPC). The originator shall: cooperate in the execution of legal documents and in the review of literature and prior art; be given opportunity to assist in the further commercial development of the intellectual property as defined in Section VI; and have an interest in and share in any income derived from the commercialization of such property.

A. Traditional products of scholarly activity which have customarily been considered to be the unrestricted property of the author or originator are excepted from the general policy. Such traditional products include journal articles; textbooks; reviews; works of art including paintings, sculpture, and musical compositions; and course materials such as syllabi, workbooks, and laboratory manuals. The University has not and will not claim any ownership rights to such traditional works and also specifically disclaims any potential rights to do so under the "work for hire" provisions of the U.S. Copyright Act.

B. Works produced in certain University units whose specific mission includes the production of works for instructional, public service, or administrative use and who employ staff and faculty for the purpose of producing such works are deemed to be "works for hire" and, therefore, the property of the University. The Computing Center, the Office of Instructional Resources, and Medical Center Division of Educational and Biomedical Resources are examples of such units. Works produced by such units include instructional films and videotapes, telecourses, drawings, slides, models, computer programs, etc. The University shall own and control all such works produced in such units. Income from the use of such works in University educational activities shall be considered income to the unit, subject to University resource management and budgeting policy. Arrangements with "talent" from other units or from outside the University may include payments based on sales or usage of such works. Such payments are considered to be a part of the costs of production. Commercialization of such works outside the University must be through assignment to UKRF which shall be responsible for the commercial development as defined in Section VI. However, in recognition of the differences between these units and regular academic departments, different and unique revenue sharing arrangements may be made with the approval of the appropriate chancellor. Nothing in this paragraph shall limit the rights of the employees of such units to works produced or developed outside the scope of their employment and not involving the use of University facilities or other resources.

C. Rights to intellectual property resulting from sponsored projects shall be owned and controlled by the University. In some instances, the provision of substantial funding, background information, product samples, or confidential proprietary data by a sponsor may create a situation in which the sponsor may claim partial or complete ownership of intellectual property that might result from the sponsored project. In such cases, final disposition of the property may be negotiated as a part of the sponsored project agreement.

D. In those cases where the final disposition of the property has not been negotiated as part of a sponsored project agreement, the University will proceed as described in Section VI.

V. ADMINISTRATIVE PROCEDURES

A. The legal interests of the University and its staff, faculty, and students in any intellectual property, except traditional scholarly works as described in Section IV.A., shall be determined in accord with this policy by the Intellectual Property Committee (IPC).

B. The IPC shall be a standing committee appointed by the President. Its members shall be members of the faculty and staff chosen to provide broad technical expertise across various disciplines. The Vice President for Research and Graduate Studies, who is also the Executive Director of the University of Kentucky Research Foundation (UKRF), shall be an ex officio, voting member of the Committee. Additional ad hoc members may be chosen by the committee's Chairperson if additional expertise is needed in the consideration of a particular intellectual property matter.

C. If the IPC determines that there has been no material use of University funds, facilities, or other resources, the IPC shall release the property to the originator, and the University shall not exert any further claim to the property.

D. The IPC may determine that the University has a legal interest in the property but that the chances of successful commercialization are minimal or that the costs of pursuing such commercialization outweigh the income potential. In such cases the IPC shall release the property to the originator as above.

E. If the IPC determines that the University has a legal interest in the property and judges that there is a reasonable chance for successful commercialization, it shall: (1) inform the originator in writing that the University claims ownership rights to the property; (2) determine and record the rights of the originator to share in any income in accord with Section VII; and (3) refer the matter to UKRF together with its recommendations as to appropriate courses of action. The originator shall execute an assignment of ownership rights to UKRF as the designated agent of the University.

F. In some instances the IPC may find that the University has an ownership right in the discovery but that the discovery has not been developed to the point where a decision as to patentability or commercialization is possible. In such cases, the IPC shall place the discovery in a pending status, provide the originator reasons for taking such action and suggestions as to additional information or data that might be helpful, and request the originator to report back to the IPC at some specified interval if and when the discovery is brought to a more advanced stage.

G. Except for Section V.F., if the IPC takes no action within six months after receiving the initial report of the new discovery, right to the discovery shall be deemed to be released to the originator.

H. Because UKRF is the designated agent for the University in the management of the intellectual property program, it shall receive by assignment ownership rights from the originator. The Vice President for Research and Graduate Studies will have the responsibility for valuing the intellectual property so that the University's interests in any subsequent negotiations are protected. The process for licensing, selling, or otherwise conveying intellectual property will not involve the use of sealed bids. With close consultation and collaboration with the originator, UKRF shall determine the appropriate method of protection of the property and, where appropriate, obtain such protection. The Vice President for Research and Graduate Studies will, having independently determined the value of the intellectual property, permit Kentucky Technology, Inc. (KTI) to commercialize this property as described in Section VI. In those cases where KTI does not execute its right of first refusal as described in Section VI, UKRF will license, sell, or otherwise convey the intellectual property. UKRF will distribute any net income from commercialization in accord with this policy and the determinations of the IPC. All costs associated with these actions shall be borne by UKRF, except that such costs shall be offset against future income in accord with Section VII.C.

I. Faculty, staff, or students of the University may request that the University accept, for management and commercialization, intellectual properties which are theirs alone and not originally subject to this policy. Given

such a request, the Executive Director of UKRF, with advice of the IPC, shall determine if there is a reasonable expectation that the property can be commercialized successfully. If the University accepts management and commercialization responsibilities for such intellectual property, that intellectual property shall become subject to, and shall be treated in accord with, all provisions of this policy.

J. Intellectual property referred to or offered to the University by third parties ("off the street") shall be treated as any other gift offer and shall be channelled through the University Office of Development. If accepted by that office, the property shall be assigned to UKRF for management in accord with appropriate parts of this policy and the terms of the gift agreement.

VI. COMMERCIALIZATION

A. For purposes of protection and commercialization of intellectual property assigned to UKRF on behalf of the University, patent or copyright coverage may be sought, or the property may be treated as proprietary information, technical know-how, or trade secret.

B. In seeking and developing commercialization of intellectual property, UKRF shall be guided by the following principles:

1. A primary objective and responsibility of the University shall be to assure that the products of its intellectual activity are brought into the widest possible use for the general benefit of society.
2. Intellectual property should be treated as an asset and an appropriate return should be sought. Responsibility for this provision of the AR rests with the Vice President for Research and Graduate Studies.
3. Active originator participation in all commercialization efforts shall be vigorously sought.

C. UKRF will provide Kentucky Technology, Inc. (KTI) with the exclusive right of first refusal on intellectual property disclosures to UKRF in exchange for a license fee to be paid by KTI to UKRF. As a guideline, the Executive Director of UKRF will negotiate an agreement with KTI in which KTI will retain 30% of all gross proceeds from licensing, equity investment, or other efforts in order to build a fund for the commercialization of other intellectual property. KTI will transfer the remaining 70% to UKRF as a license fee. KTI will have six months in which to exercise its option. In the event that KTI does not execute its option on any intellectual property, UKRF will seek a variety of arrangements including licenses, both nonexclusive and exclusive; outright assignment or sale of rights; and partnerships, joint ventures, or other equity participation modes. The selection of particular arrangements will depend on the circumstances of each individual case.

D. UKRF will retain 100% ownership of KTI and will purchase additional KTI stock, as appropriate, to further the endeavors of KTI to commercialize University intellectual property.

E. KTI will be the sole lessee of space in the Advanced Science and Technology Commercialization Center. The cost of such space will reflect reimbursement of University costs, the rates charged by comparable high technology commercialization centers, and the potential return to the University on the commercialization of its intellectual property.

F. In some situations it may be in the best interests of the University, the general public, and the originator to enter into commercialization arrangements with entities wholly or partially owned or controlled by the faculty, staff, or students who originated the property. Due to the potential of such arrangements for contributing to the economic development of the state and local areas, such arrangements may be considered and accepted, provided these are not specifically prohibited by law and that adequate provisions, including full disclosure of interests, are made to avoid or otherwise protect against conflict of interest on the part of those involved. Such negotiations for the creation of new commercial entities arising directly from the University's intellectual property or arising from a potential collaboration between the University's faculty, staff, or students and some outside entity will be handled by KTI in those cases where KTI has executed its right of first refusal or will be handled by UKRF in those cases where KTI has declined its option.

G. Commercialization of intellectual property by UKRF is a process which may take a considerable amount of time. This process may involve discussions and negotiations over months or sometimes years and, based on national data, more often fails than succeeds. Timing, market conditions, and many other factors enter into the process. Quick success is rare. However, to protect the originator, if no commercialization has occurred within two years after the property has been assigned to the University through UKRF, assuming that KTI has not executed its right of first refusal, the originator may request that all rights be returned. Such requests should be directed to the IPC. The IPC shall require UKRF to explain what efforts have been made and what additional efforts are planned. If the IPC determines that there is little chance of successful commercialization, it shall direct UKRF to return all rights to the originator, and the University shall no longer claim any rights to the property. If, on the other hand, the IPC determines that UKRF has undertaken reasonable efforts to commercialize and that further UKRF efforts offer reasonable chances of success, it shall deny the originator's request. Such denials will be accompanied by a report summarizing the factors considered by the IPC in arriving at the decision. If the originator remains unsatisfied with the commercialization efforts, this process may be repeated at two-year intervals.

H. Commercialization of intellectual property by KTI is a process which may take a considerable amount of time. This process may involve discussions and negotiations over months or sometimes years and, based on national data, more often fails than succeeds. Timing, market conditions, and many other factors enter into the process. The Executive Director of UKRF shall require KTI to explain what efforts have been made and what additional efforts are planned. If the Executive Director of UKRF determines that there is little chance of successful commercialization and if there are no legal obstacles to proceeding otherwise, UKRF shall return all rights to the originator, and the University shall no longer claim any rights to the property. If, on the other hand, the Executive Director determines that KTI has undertaken reasonable efforts to commercialize and that further KTI efforts offer reasonable chances of success, the Executive Director shall deny the originator's request. Such

denials will be accompanied by a report summarizing the factors considered by the Executive Director in arriving at the decision. If the originator remains unsatisfied with the commercialization efforts, this process may be repeated at two-year intervals.

VII. ROYALTY INCOME SHARING POLICY

A. Net income is defined as gross royalties, license fees, or other such payments received by UKRF on behalf of the originator and the University less necessary deductible costs, e.g., mailing or courier costs, interferences, licensing costs, patent enforcement, necessary travel, auditing fees, or sponsor shares. The phrase "gross royalties, license fees, or other such payments" means agreed upon payments specified in a license or other commercialization agreement usually expressed as a percentage of sales or a fixed dollar amount per unit manufactured in return for the right to use, copy, reproduced, make, or sell an item of intellectual property or product based on such property. UKRF shall reserve the right to suspend distribution of income where there is reason to believe that substantial deductible costs will be incurred in the future. The originator shall be informed of such decisions. An annual detailed accounting of income and costs shall be made available to the originator by UKRF.

B. Except as otherwise provided through supplementation under Section VII.C., net calendar year royalty or license income as defined in Section VII.A. derived from commercialization of intellectual property covered by this policy shall be shared as follows: 40% to the originator, 20% to the originator's department or immediate administrative unit, 20% to the dean of the originator's college, and 20% to UKRF.

C. In certain University units, because of conditions of employment and the nature of work assignments, and the fact that units often assume continuing responsibilities for maintenance and periodic revision of the property, an alternate distribution of net income to employees may be appropriate. Units wherein these situations may occur should propose appropriate modifications to the distribution scale in Section VII.B. Upon approval by the President, such modifications shall be added to this policy as unit supplements. Such modifications may not increase the combined shares of the originator and the department as specified in Section VII.B., except in unusual and very specific circumstances.

D. The department's share shall be retained in a separate account in UKRF and shall be available for expenditure by the department in accord with a budget to be approved by the Vice President for Research and Graduate Studies. Such funds may be allowed to build across fiscal years to reach amounts necessary for major purchases or other nonrecurring purposes. Such funds may be invested, and the income shall be credited to the account in accord with existing policy regarding investment of restricted funds.

E. The originator's rights to share in net income as stated above (but not including the department's share) shall remain with the individual or pass to the individual's heirs and assigns for so long as net income is derived from the property.

F. Where more than one individual is considered to be the originator, such persons will determine among themselves the individual share each will

receive. In the event that they cannot reach such agreement, the determination shall be made by the IPC after giving each individual an opportunity to present a personal position. Such determination by the IPC shall be final.

G. Originators are encouraged to consider making a gift of all or a part of their income shares to support University research activities. Upon request by an originator, UKRF will retain all or a part of the originator's share in a separate account within UKRF for expenditure in accord with the originator's wishes. The originator may restrict such gifts to any particular program or unit of the University including the originator's own research program. Such requests may be limited in duration to a specific time period or to some specific future event, e.g., the originator's retirement or resignation from the University, and may be cancelled or modified by the originator at any time.

H. This policy shall not change income-sharing agreements entered into prior to the adoption of this policy.

VIII. SHARING OF RESEARCH MATERIALS

There is a long tradition in some fields of sharing research materials such as reagents, cell lines, and purification media. The University encourages this cooperation among research scientists. However, recent conflicts over such issues as commercialization rights and personal and product liabilities suggest that the terms of such sharing be spelled out in a written agreement among the parties. A suggested form letter agreement is included in this policy and procedures document as Appendix A. Also, when significant costs to the University are involved in producing the material, provision for recovery of those costs not covered by sponsor support shall be considered in making a sharing agreement. A copy of any such agreement shall be maintained by the faculty member and in the Office of the Vice President for Research and Graduate Studies.

IX. CONSULTING AGREEMENTS

A. Any faculty or staff member engaged in consulting work or in business is responsible for ensuring that clauses in the individual's agreements are not in conflict with this policy nor with the University's commitments; and that the institution's rights and the individual's obligations to the University are in no way abrogated or limited by the terms of such agreements. The Executive Director of UKRF or the University Legal Counsel's Office can provide assistance in this regard.

B. Faculty and staff members shall make clear to those with whom they make such agreements their obligations to the University and shall ensure that other parties to the agreement are provided with a current copy of this policy.

X. BASIS OF THE UNIVERSITY'S EQUITY IN INTELLECTUAL PROPERTY

The policies set forth herein constitutes an understanding which is binding on University faculty, staff, and students as a condition of their participation in University research, teaching, and service programs and for their use of University funds, space, or facilities.

Appendix A

Dear _____:

This is to acknowledge your request that certain research materials developed in the laboratory of _____ at the University of Kentucky be transported to your laboratory at _____ for scientific research purposes. I also acknowledge the implied representation that you and your associates are cognizant of any potential hazards which may exist in working with these materials. The materials concerned, which are owned by the University of Kentucky, are _____

I will be pleased to permit use of these materials by you and within your laboratory for our cooperative scientific research. However, I am requesting your agreement that the materials will be used only for our cooperative work, that you will bear all risk to you or any others resulting from your use, and that you will not pass on these materials, their progeny or derivatives, to any other party or use them for commercial purposes without the express written consent of the University of Kentucky. In addition, any public or written announcement of this work or its results must credit the University of Kentucky as the source of these materials, and you must keep us informed of results obtained using any of these materials, especially if publication in the open literature is contemplated, prior to publication and even if no publication results. You understand that no other right or license to these materials, their progeny or derivatives, is granted or implied as a result of our transmission of these materials to you.

These materials are to be used with caution and prudence in any experimental work, since all of their characteristics are not known.

If you agree to accept these materials under the above conditions, please sign the enclosed duplicate of this letter and return it to me. The materials requested will be sent to you upon our receipt of the signed duplicate letter.

Sincerely,

(Signature of Researcher)

(Signature of Researcher's
Department Chairman)

I accept all conditions and stipulations noted above.

(printed name)

(signature)

(date)

Office of the President
June 22, 1993

FCR 10

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Gongliang Guo, Xikang Qian and William A. Gruver, Serial No. (to be assigned), filed (to be filed).

Background: Gongliang Guo, Xikang Qian and William A. Gruver have invented certain improvements in MULTI-FUNCTION MECHANICAL HAND WITH SHAPE ADAPTATION. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

ASSIGNMENT

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: Gongliang Guo, Xikang Qian & William A. Gruver

Serial Number:

Filed:

For: MULTI-FUNCTION MECHANICAL HAND WITH SHAPE ADAPTATION; and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest, any and all Letters Patent for said invention including

any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 23rd day of June, 1993.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY

Edward T. Beatty

S E A L

ATTEST:

John C. Davis
Secretary, Board of Trustees

Office of the President
June 22, 1993

FCR 11

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: John M. Stencel, Aurora M. Rubel and Sayed Naveed Ahmed, Serial No. (to be assigned), filed (to be filed).

Background: John M. Stencel, Aurora M. Rubel, and Sayed Naveed Ahmed have invented certain improvements in SELECTIVE CAPTURE AND RELEASE OF NITROGEN OXIDE EMISSIONS FROM COMBUSTION GAS. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

ASSIGNMENT

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: John M. Stencel, Aurora M. Rubel, and Sayed Naveed Ahmed

Serial Number:

Filed:

For: SELECTIVE CAPTURE AND RELEASE OF NITROGEN OXIDE
EMISSIONS FROM COMBUSTION GAS; and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title

and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 23rd day of June, 1993.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY

Edward T. Buechler

S E A L

ATTEST:

John C. Davis
Asst Secretary, Board of Trustees

Office of the President
June 22, 1993

FCR 12

Members, Board of Trustees:

ROBERT T. MCCOWAN CHARITABLE REMAINDER ANNUITY TRUST

Recommendation: that the Board of Trustees accept the "Robert T. McCowan Charitable Remainder Annuity Trust," an irrevocable Trust between Robert T. McCowan and the University of Kentucky, which was established in accordance with current Revenue Procedures and Section 664(d)(1) of the Internal Revenue Code of 1986.

Background: The University of Kentucky, acting as "Trustee," received certain securities from Robert T. McCowan, "Donor," to establish a \$1,000,000 charitable remainder annuity trust. Mr. McCowan, who is retired from Ashland Oil, Inc., has designated the corpus of the Trust for the use and benefit of the University's Center on Aging. Mr. McCowan is a 1951 graduate of the University and has served his alma mater with distinction in many capacities, including Chairman of both the Board of Trustees and the UK Development Council.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

Office of the President
June 22, 1993

FCR 13

Members, Board of Trustees:

ESTABLISHMENT OF AN ENDOWED ALUMNI CHAIR
IN ANATOMY FOR THE COLLEGE OF MEDICINE

Recommendation: that the Board of Trustees authorize the establishment of the Alumni Chair in Anatomy in the College of Medicine's Department of Anatomy.

Background: This chair is being funded by private gifts from alumni, friends, corporations, and foundations. An endowment agreement was put in place in 1985 and fundraising began to raise the minimum \$750,000 required at that time to fund a chair. At present gifts and interest income total \$787,252. The minimum amount required for an endowed chair has since been increased to \$1 million. This board action honors the commitment made to donors in 1985 to fund a chair when the \$750,000 total was reached.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

Office of the President
June 22, 1993

FCR 14

Members, Board of Trustees:

ESTABLISHMENT OF ENDOWED HORACE FORD SCHOLARSHIP FUND

Recommendation: that the Board of Trustees accept a partial distribution from the Estate of Horace Ford in the amount of \$100,000 and authorize the creation of an endowed Scholarship Fund entitled the Horace Ford Scholarship Fund.

Background: On May 4, 1993, the University of Kentucky received a check for \$100,000, which represents a partial distribution from the residual Estate of Horace Ford, for the purpose of establishing an endowed Scholarship Fund to be administered by the Office of Student Financial Aid. Mr. Ford is a 1952 graduate of the University of Kentucky.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

Office of the President
June 22, 1993

FCR 15

Members, Board of Trustees:

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF \$7,585,000 (PLUS OR MINUS UP TO \$755,000) OF THE UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BONDS, SERIES K (SECOND SERIES), DATED JUNE 1, 1993

Recommendation: (i) that the Board of Trustees approve a Resolution accepting the successful bid of J.J.B. Hilliard, W.L. Lyons with reference to the sale of the \$7,585,000 (plus or minus up to \$755,000) of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series), dated June 1, 1993, at a net interest cost of 5.3068%; (ii) that the Board of Trustees approve the form of Official Statement as prepared by J.J.B. Hilliard, W.L. Lyons, Inc., the Financial Advisors to the Board of Trustees.

Background: On May 4, 1993, the Board of Trustees approved a Resolution authorizing the issuance of \$7,585,000 (plus or minus up to \$755,000) of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K, (Second Series) dated June 1, 1993. Said Resolution also authorized the public advertising for sealed, competitive bids to be received on June 22, 1993. Bids were received by the Controller & Treasurer, tabulated by J.J.B. Hilliard, W.L. Lyons, Inc., the Financial Advisors for the bonds, and reported to the Finance Committee. The recommended bid is the lowest net interest cost to the University.

Four bids were received and tabulated as follows:

J.J.B. Hilliard, W.L. Lyons	5.3068%
Kemper Securities, Inc.	5.3588%
Robinson-Humphrey Company, Inc.	5.3878%
Morgan-Keegan & Company	5.4591%

Action: Approved ✓ Disapproved _____ Other _____

Dated: June 22, 1993

SALE RESOLUTION

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF \$7,585,000 (PLUS OR MINUS UP TO \$755,000) OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BONDS, SERIES K (SECOND SERIES), DATED JUNE 1, 1993

WHEREAS, Notice of Sale of \$7,585,000 principal amount of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series), dated June 1, 1993, has heretofore been advertised, and information as to such sale has been distributed in strict compliance with all legal requirements, which action is hereby authorized, ratified and confirmed, and

WHEREAS, all bids received for the purchase of said Bonds from the University have been duly considered, copies of such bids are attached to this Resolution, and made a part hereof, and a summary of said respective bids is as follows:

1.	2.	3.
	AMOUNTS BID FOR SAID \$7,585,000 PRINCIPAL AMOUNT OF BONDS, PLUS ACCRUED INTEREST FROM JUNE 1, 1993	NET INTEREST COST
<u>BIDDERS</u>		
J.J.B. Hilliard, W.L. Lyons	\$7,433,300.00	5.3068%
Kemper Securities, Inc.	\$7,433,300.00	5.3588%
Robinson-Humphrey Company, Inc.	\$7,433,300.00	5.3878%
Morgan-Keegan & Company	\$7,434,264.80	5.4591%

WHEREAS, the matter of which bid is the most advantageous and with the most favorable net interest cost to the University has been sufficiently considered.

NOW, THEREFORE, BE IT AND IT IS HEREBY RESOLVED AND ORDERED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, AS FOLLOWS:

1. Determination of Best Bid; Acceptance. That the bid of J.J.B. Hilliard, W.L. Lyons for the purchase of said \$7,585,000 principal amount of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series), dated June 1, 1993, be accepted and confirmed, said bid being at a price of \$ 7,433,300.00 for said \$7,585,000 principal amount of Bonds, and adjusted to a price of \$ 7,423,500.00 for \$ 7,575,000 principal amount of Bonds, plus accrued interest from June 1, 1993, to the date of delivery of the Bonds, such interest to be payable semiannually, commencing November 1, 1993, at the following annual rates:

<u>Maturing May 1</u>	<u>Maturity Amount</u>	<u>Rate</u>	<u>Maturing May 1</u>	<u>Maturity Amount</u>	<u>Rate</u>
1994	\$ <u>100,000</u>	<u>4.60 %</u>	2003	\$ <u>575,000</u>	<u>5.00 %</u>
1995	<u>70,000</u>	<u>4.60 %</u>	2004	<u>605,000</u>	<u>5.10 %</u>
1996	<u>75,000</u>	<u>4.60 %</u>	2005	<u>635,000</u>	<u>5.20 %</u>
1997	<u>80,000</u>	<u>4.60 %</u>	2006	<u>665,000</u>	<u>5.25 %</u>
1998	<u>80,000</u>	<u>4.60 %</u>	2007	<u>700,000</u>	<u>5.25 %</u>
1999	<u>85,000</u>	<u>4.60 %</u>	2008	<u>740,000</u>	<u>5.25 %</u>
2000	<u>500,000</u>	<u>4.60 %</u>	2009	<u>775,000</u>	<u>5.25 %</u>
2001	<u>525,000</u>	<u>4.75 %</u>	2010	<u>820,000</u>	<u>5.25 %</u>
2002	<u>545,000</u>	<u>4.90 %</u>			

and being a bid at an average net interest cost to the University of 5.3068 % per annum; and said bid is hereby determined to be the best bid and with the most favorable net interest cost to the University for said Bonds; and the principal maturities and interest rates on said Bonds are hereby fixed at the principal maturities and interest rates set out above.

2. All Other Bids Rejected. That all other bids are hereby rejected, and the good faith deposit of the successful purchaser will be deposited in immediately available funds prior to the close of business on June 23, 1993, and the amount thereof will be credited (without interest) against the purchase price of the Bonds on the date of delivery to such purchaser.

3. Delivery of Bonds; Application of Proceeds. That said Bonds shall be delivered by the Treasurer and/or other appropriate officials of the University in accordance with the terms of the Resolution authorizing the Bonds, adopted by the University on May 4, 1993, as soon as said Bonds are printed, executed, authenticated and ready for delivery in accordance with such Resolution,

and all of the proceeds of the Bonds shall be used only as provided in said Resolution.

4. Acceptance of Bid is Subject to Approving Legal Opinion of Bond Counsel. That said bid is accepted subject to the condition that such acceptance subjects the University to no obligation to deliver the Bonds if for any reason the University is unable to obtain the final approving legal opinion of the firm of Rubin Hays & Foley, Municipal Bond Attorneys, Louisville, Kentucky, as to said Bonds, or if such Bonds shall be subject to ad valorem taxation by the Commonwealth of Kentucky, or if the receipt of interest on said Bonds shall be subject to income taxation by the Federal Government or by the Commonwealth of Kentucky, prior to or on the delivery date of said Bonds; and the purchasers shall not be required to take delivery of said Bonds without the final approving opinion of said attorneys accompanying said Bonds, or if said Bonds or the interest thereon should become subject to such ad valorem or income taxation prior to such delivery; provided such exemption from taxation shall be subject to compliance by the Board of Trustees with certain covenants necessary to establish that interest on the Bonds will continue to be exempt from Federal income taxation under the Internal Revenue Code of 1986.

5. Approval of Official Statement. That the form of Official Statement prepared and presented to the Board of Trustees by J.J.B. Hilliard, W.L. Lyons, Inc., for distribution to prospective purchasers of the Series K (Second Series) Bonds is hereby approved and the Chairman and Secretary are authorized and directed to execute and deliver said Official Statement.

6. Repeal of Conflicting Resolutions. That all resolutions, or parts thereof, in conflict herewith, be and the same are hereby amended or repealed to the extent of such conflict, and this Resolution shall be effective immediately upon its adoption.

Passed and adopted by the Board of Trustees of the University of Kentucky at a properly convened meeting of the University held on June 22, 1993.

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY

By _____
Chairman

Attest:

Asst. Secretary

CERTIFICATE

I hereby certify that I am the duly qualified and acting Secretary of the Board of Trustees of the University of Kentucky, and I certify further as follows:

1. That the foregoing is a true copy of a Resolution adopted by said Board; signed by the Chairman of the Board and attested under seal by me as Secretary of the Board at a properly convened meeting of said University held on June 22, 1993, as shown by the official records of said University in my custody and under my control;
2. That there are attached hereto reproduced duplicate copies of each of the bids received for the purchase of \$7,585,000 principal amount of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series), dated June 1, 1993, referred to in said Resolution;
3. That there is attached hereto the form of Official Statement for the Series K (Second Series) Bonds, approved by the foregoing Resolution; and
4. That said meeting was duly held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820 and 61.825, that a quorum was present at said meeting, that said Resolution has not been modified, amended, revoked or repealed, and that said Resolution is now in full force and effect.

IN TESTIMONY WHEREOF, witness my signature as Secretary and the official seal of said University this June 22, 1993.

(Seal)

Asst. Secretary, Board of Trustees

Office of the President
June 22, 1993

SCCR 1

Members, Board of Trustees:

AMENDMENT OF UNIVERSITY CODE OF STUDENT CONDUCT

Recommendation: that the Board of Trustees approve the attached revisions of the University Code of Student Conduct and further, that the Board of Trustees, in addition to its other non-discrimination policies, affirm that the University should not discriminate against any student, faculty or staff member because of sexual orientation.

Background: The Student Code Committee, a standing committee of the Board of Trustees, has recommended to the President the proposed changes to the Code of Student Conduct. The recommended revision to Section 1.21, Disciplinary Offenses, broadens the scope of the offense and makes it compatible with state statutes. The revision to Section 2.1, Right of Admission and Access, adds sexual orientation to the provisions against discrimination and substitutes the term disability rather than handicap. This action also affirms that the University should not discriminate against students, faculty, or staff members because of sexual orientation.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: June 22, 1993

8371

REVISIONS
UNIVERSITY CODE OF STUDENT CONDUCT

(Material to be added appears underlined, material to be deleted appears in brackets.)

Disciplinary Offenses

Section 1.21 o.

Possessing a [firearm] deadly weapon on University property without authorization of the Dean of Students.

Right of Admission and Access

Section 2.11 Admission Policy

An applicant for admission to the University shall not be discriminated against because of race, color, religion, sex, marital status, sexual orientation, national origin, age, or beliefs. Moreover, no otherwise qualified [handicapped person] person with a disability will be denied admission solely because of the person's [handicap] disability.

Section 2.12 Scholarships, Grants-in-Aid, and Financial Aid

An applicant for, or a recipient of, University financial aid, a University grant-in-aid, or a University scholarship, shall not be discriminated against because of race, color, religion, sex, marital status, sexual orientation, national origin, age or beliefs. Moreover, no otherwise qualified [handicapped person] person with a disability will be denied financial aid solely because of the person's [handicap] disability.