

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, April 30, 1991.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, April 30, 1991 in the Board Room on the 18th floor of Patterson Office Tower on the Lexington Campus.

A. Meeting Opened and Roll Called

Mr. Foster Ockerman, Chairman, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Mr. Jerome Stricker.

The following members of the Board of Trustees answered the call of the roll:

Mr. Foster Ockerman (Chairman), Mr. Ted B. Bates, Professor Raymond F. Betts, Professor Carolyn S. Bratt, Mr. William E. Burnett, Jr., Governor Albert B. Chandler, Mr. Tracy Farmer, Mrs. Edythe Jones Hayes, Senator Walter D. Huddleston, Mr. Sean Lohman, Professor Judith Rhoads, Mr. James L. Rose, Mr. Jerome A. Stricker, Mr. William B. Sturgill, Mr. Billy B. Wilcoxson and Judge Henry R. Wilhoit, Jr. Absent from the meeting were Dr. Robert P. Meriwether, Judge Robert F. Stephens, Judge Julia K. Tackett, and Mr. Daniel C. Ulmer, Jr. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Peter P. Bosomworth, Robert E. Hemenway, and Ben W. Carr, Jr.; Vice Presidents Edward A. Carter and Eugene Williams; Acting Vice President Leonard K. Peters; Dr. Juanita Fleming, Special Assistant to the President for Academic Affairs; Mr. C. M. Newton; and Mr. John C. Darsie, General Counsel.

Members of the news media were also in attendance. A quorum being present, the Chairman declared the meeting officially open for the conduct of business at 1:04 p.m.

B. Minutes Approved

Mr. Ockerman stated that the Minutes of the April 2, 1991 Board of Trustees meeting had been distributed and asked for corrections. The Minutes were approved as distributed.

C. Meeting Dates Established

Mr. Ockerman noted that the Board of Trustees meeting dates for fiscal year 1991-92 were in the Board packets and asked the members to mark the dates on their calendars. (See copy of dates at the end of the Minutes.)

D. Residency Status of Scott Crosbie

Mr. Ockerman stated that the next item concerned the residency status of the newly elected Student Government Association president, Mr. Scott Crosbie, and his status as a prospective member of the Board

of Trustees. He reported that Mr. Crosbie's attorney had submitted an affidavit requesting that the Board acknowledge Mr. Crosbie's right to serve as a member of the Board of Trustees. Based on the evidence in the affidavit and upon the recommendation of Mr. Darsie, he said that, in his opinion, Mr. Crosbie is entitled to be seated on the Board.

Mr. Lohman made the motion that the Board of Trustees declare Mr. Crosbie a resident for the purpose of serving as a member of the University of Kentucky Board of Trustees. He said that the students had elected Mr. Crosbie to be the president of the Student Government Association, and they would like to have him serve as their representative on the Board of Trustees. His motion was seconded by Professor Betts and carried. (See Residency Status of Scott Crobsie at the end of the Minutes.)

E. President's Report to the Trustees (PR 1)

President Wethington called attention to the following items in his report to the Trustees:

1. The James Graham Brown Foundation has given the Sanders-Brown Center on Aging a gift of \$500,000 to initiate a three-year capital campaign for a new building to complement the Center's existing building.
2. The U.S. News and World Report has ranked the College of Medicine sixth in the nation for primary care.
3. Sean Lohman and Page Estes were named the University's outstanding male and female graduating students at Student Awards Night.
4. T. A. McKinney, a senior political science major, won top honors for debate at the National Debate Tournament.
5. The Alumni Association announced its 1991 Great Teachers: Bruce L. Beck, Jayne Cathcart Crisp, Mary M. Piascik, David Watt and Leo F. Weddle.
6. Chancellor Hemenway has selected eight faculty members for this year's Chancellor's Awards for Outstanding Teaching: Joan Blythe, Jane S. Peters, Karl B. Raitz, Linda Kraus Worley, Bruce L. Walcot, Lucia Guzzi, Timothy J. Dunn, and Gail Mitchell Hoyt.
7. Professor Louis Swift received the Distinguished Professor Award for 1991-92, the highest honor given to faculty in the College.
8. The Gaines Center for the Humanities has received \$425,000 from the Margrite and John R. Davis Foundation to help restore the Center's third building at 218 East Maxwell Street.
9. Lexington Community College has raised \$100,000 to qualify for a \$100,000 federal challenge grant to enhance its programs.

President Wethington asked that the members peruse the other significant items in the report at their leisure.

F. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action, and that the report relative to appointments and/or changes already approved by the administration be accepted. Professor Rhoads so moved. Her motion, seconded by Professor Bratt, passed. (See PR 2 at the end of the Minutes.)

G. Candidates for Degrees - Community College System (PR 4A)

With President Wethington so recommending, Professor Rhoads moved that the Board approve the awarding of degrees specified in PR 4A, upon certification that the requirements for those degrees have been satisfactory completed. The motion, seconded by Mr. Lohman, carried. (See PR 4A at the end of the Minutes.)

H. Associate Degree Program in the Community College System - Hazard Community College (PR 4B)

On motion made by Mr. Burnett, seconded by Mr. Sturgill and carried, the Board of Trustees authorized for submission to the Council on Higher Education a new degree program, Associate Degree in Applied Science, Medical Laboratory Technician: Curriculum A, for Hazard Community College. President Wethington noted that this program is a part of the broad rural health initiative by the University of Kentucky. (See PR 4B at the end of the Minutes.)

I. Candidates for Degrees - University System (PR 5A)

On motion made by Mr. Stricker, seconded by Mr. Lohman and carried, the Board authorized the President to confer upon each individual whose name appears on the lists the degree to which he or she is entitled upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the University Senate. (See PR 5A at the end of the Minutes.)

President Wethington stated that the name of Karen L. Calvert Ellis had been inadvertently omitted from the list and requested that her name be added to the Candidates for Degrees list. It was so ordered.

J. Appointment of Dean of Arts and Sciences (PR 5B)

President Wethington stated that PR 5B reflects the appointment of a new dean of the College of Arts and Sciences, effective August 12, 1991. He called upon Chancellor Hemenway to comment on the appointment.

Chancellor Hemenway expressed pleasure in recommending that Dr. Richard Edwards be named Dean of Arts and Sciences. He briefly reviewed some of Dr. Edwards' accomplishments and added that his work is of such an interdisciplinary nature that the Department of Sociology will be recommending his academic appointment.

President Wethington said that it gave him a great deal of pleasure to recommend the appointment of Dr. Richard Edwards. Professor Betts so moved. His motion was seconded by Professor Bratt and passed. Dr. Edwards was asked to stand and be recognized, following which he received a round of applause.

K. Appointment of Dean of Students (PR 5C)

Chancellor Hemenway expressed equal pleasure in recommending that Dr. David H. Stockham be named Dean of Students, effective immediately. He stated that Dr. Stockham is a long-time University of Kentucky employee and has served with distinction in a number of positions at the University.

President Wethington said that he was pleased to come forth with a strong internal candidate to fill the position. He recommended the appointment of Dr. David H. Stockham as Dean of Students effective immediately. Mr. Lohman so moved. The motion, seconded by Professor Betts, passed. (See PR 5C at the end of the Minutes.)

L. Transfer of Communication Disorders Program (PR 6A)

With President Wethington so recommending, Mr. Burnett moved that the Board of Trustees approve the transfer of the program in Communication Disorders from the College of Education to the College of Allied Health Professions. The motion, seconded by Mr. Rose, carried. (See PR 6A at the end of the Minutes.)

M. Acceptance of Interim Financial Report for the University of Kentucky for the Nine Months Ended March 31, 1991 (FCR 1)

Mr. Ockerman, Chairman of the Finance Committee, recommended that the Board of Trustees accept the University of Kentucky consolidated financial report for the nine months ended March 31, 1991. He noted that the items were in order, and the Finance Committee recommends approval. Mr. Wilcoxson so moved. His motion, seconded by Mr. Stricker, passed. (See FCR 1 at the end of the Minutes.)

N. Disposal of Personal Property (FCR 2)

Mr. Ockerman reviewed the items in Exhibit I relating to the transfer of equipment and stated that the Finance Committee recommended approval of the disposition of surplus equipment. On motion made by Mr. Sturgill, seconded by Mr. Farmer and passed, FCR 2 was approved. (See FCR 2 at the end of the Minutes.)

O. Designation of Depository Banks (FCR 3)

With the Finance Committee so recommending, Mr. Ockerman entertained a motion that the Board of Trustees designate the banks listed on attachment A of FCR 3 to serve as depository banks for the University of Kentucky and/or its affiliated corporations. Professor Rhoads so moved. Mr. Bates seconded the motion and it passed. (See FCR 3 at the end of the Minutes.)

P. 1990-91 Budget Revisions (FCR 4)

Mr. Ockerman stated that the 1990-91 budget revisions were routine and entertained a motion for approval. On motion made by Mr. Rose, seconded by Mr. Burnett and passed, the revisions were approved. (See FCR 4 at the end of the Minutes.)

Q. Patent Assignments (FCR 5, FCR 6 and FCR 7)

Mr. Ockerman reported that FCR's 5, 6, and 7 are patent assignments that routinely come before the Board, and he entertained a motion for approval. Mr. Sturgill moved that the three patent assignments be approved. The motion, seconded by Mrs. Hayes, passed. (See FCR 5, 6, and 7 at the end of the Minutes.)

R. Establishment of Quasi-endowment for the Medical Center Library (FCR 8)

Following a review of the background information, Mr. Ockerman entertained a motion that the Board authorize the creation of a quasi-endowment fund to be entitled the "Medical Center Library Quasi-endowment." The motion, which was made by Professor Betts and seconded by Mr. Bates, carried. (See FCR 8 at the end of the Minutes.)

S. Room and Board Rates 1991-92 School Year (FCR 9)

Acting on the recommendation of the Finance Committee, Mr. Ockerman entertained a motion to approve the room and board rates for 1991-92. Professor Rhoads so moved. The motion, seconded by Mr. Burnett, carried. (See FCR 9 at the end of the Minutes.)

T. A Resolution Authorizing the Issuance of \$36,350,000 of University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series H, Dated June 1, 1991, of the Board of Trustees of the University of Kentucky (FCR 10)

Mr. Ockerman entertained a motion that the Board approve a Resolution authorizing the issuance of \$36,350,000 of University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series H, dated June 1, 1991. On motion made by Mr. Rose, seconded by Mr. Wilhoit and carried, the Resolution was approved. (See FCR 10 at the end of the Minutes.)

U. Resolution of the Board of Trustees of the University of Kentucky Accepting the Successful Bid for the Purchase of \$22,300,000 Principal Amount of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series L, Dated May 1, 1991 (FCR 11)

Mr. Ockerman announced that bids had been received for the purchase of \$22,300,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series L, dated May 1, 1991. He reported that the bids had been referred to the Finance Committee and the Committee recommended that the best bid be accepted.

The Controller and Treasurer presented to the Finance Committee, after the analysis, the best offer for the purchase of said Consolidated Educational Buildings Revenue Bonds, Series L, dated May 1, 1991, and thereupon Mr. Ockerman entertained a motion that a Resolution, the form of which had been distributed to the members of the Board of Trustees prior to the meeting and was available at such meeting, be adopted, which Resolution was entitled:

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF \$22,300,000
PRINCIPAL AMOUNT OF UNIVERSITY OF KENTUCKY CONSOLIDATED
EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES L, DATED MAY 1, 1991

The motion for adoption of said Resolution accepting the successful bid of Lehman Brothers was made by Senator Huddleston and seconded by Mr. Rose. Upon a vote being taken on such motion the result was as follows:

Yeas for the Motion

Nays for the Motion

Ted B. Bates
Raymond F. Betts
Carolyn S. Bratt
William E. Burnett, Jr.
Albert B. Chandler
Tracy Farmer
Edythe Jones Hayes
Walter D. Huddleston
Sean Lohman
Foster Ockerman
Judith Rhoads
Jim Rose
Jerome A. Stricker
William B. Sturgill
Billy B. Wilcoxson
Henry R. Wilhoit, Jr.

None

Thereupon, the Chairman declared that such motion had carried and that such Resolution, a copy of which Resolution is attached to the Minutes of this meeting, and made a part thereof as if copied in full herein, had been adopted. He directed that the good faith check of the successful bidder be deposited and the checks of the unsuccessful bidders be returned to such bidders. (See FCR 11 at the end of the Minutes.)

V. Mining of Coal Reserves in Breathitt, Knott and Perry Counties (FCR 12)

Mr. Ockerman stated that the administrative staff and others had been working on FCR 12 and 13 for a considerable period of time. He recognized Mr. Carter and asked him to comment on the items.

Mr. Carter reported that FCR 12 is the culmination of a lengthy process that began by action of the Board in September 1990 when the Board authorized the administration to solicit proposals and plans to mine the coal reserves in the outlying tracts of Robinson Forest. He noted that the Purchasing Department had issued the Request for Proposal to mine the tracts. The process consisted of competitive negotiations and required a review committee. Mr. Carter stated that the review committee was present and asked the following individuals to stand and be recognized:

Mr. Jim Cobb, Kentucky Geological Survey
Dr. Francis Derbyshire, Center of Applied Energy Research
Mr. Jim Lawson, College of Agriculture
Dr. Robert Muller, Department of Forestry
Dr. Len Peters, Office of Vice President for Research
and Graduate Studies
Dr. Lee Saperstein, College of Engineering

Mr. Carter noted that he chaired the committee, and they were greatly assisted by Mr. Darsie and Mr. Paul Van Booven, Legal Counsel Office, and Mr. Milton Skeen, Purchasing Department.

Following a review of the background information, he stated that the committee determined that Addington, Inc., a subsidiary of Addington Resources, Inc., had submitted the best offer. Addington, Inc. was the only company that proposed to lease all tracts. Their proposal will produce the most royalty income for the University as well as the highest minimum annual royalties. Through a series of slides, he showed Addington, Inc.'s operation and cited the reasons for recommending them to the Board.

President Wethington praised Mr. Carter, Mr. Darsie, Mr. Van Booven and the members of the committee for their work and effort in obtaining, in his opinion, the best offer for leasing the coal. The University has protected the large block of Robinson Forest and at the same time utilized some of the University's assets and resources to address some of the needs of the Forest and the people of East Kentucky. He noted that the outlying tracts are not a part of the main block of Robinson Forest. He assured the Board that any income will be used consistent with the purposes outlined in the E. O. Robinson Trust. The President's Office will be actively involved in the determination of the uses of the funds.

Mr. Ockerman complimented those involved in the process, particularly Mr. Rose and Mr. Sturgill. These trustees contributed materially with their expertise in assisting the administrative staff in developing the Request for Proposal and assisting in pertinent provisions that should be inserted in the lease. He noted that the credit goes to a number of people who have done an outstanding job for the University.

President Wethington also thanked Mr. Rose and Mr. Sturgill for their invaluable assistance.

Mr. Sturgill moved the adoption of FCR 12 and stated that, in his opinion, the University is taking an aggressive step in properly utilizing the assets of the University for the benefit of East Kentucky. His motion, seconded by Mr. Rose, passed. Mr. Bruce Addington and Mr. Doug Moore, Addington, Inc., were asked to stand and be recognized, following which they received a round of applause. (See FCR 12 at the end of the Minutes.)

W. Agreement with Arch Mineral Corporation (FCR 13)

At the direction of Mr. Ockerman, Mr. Carter commented on FCR 13. He said that this recommendation authorizes the administration to enter into an Agreement with Arch Mineral Corporation for the purposes of resolving all current issues and disputes between the University and Arch and to establish a basis for cooperating on future questions which may arise by reason of Arch's mining activities. He reviewed the issues and the terms of the Agreement. With the adoption of this Agreement, Arch Mineral Corporation can proceed with mining the approximately three million tons of coal without University opposition, and the University will continue to manage and utilize Robinson Forest and conduct its research without damage from the mining activities.

President Wethington said that the agreement, when executed, will resolve the differences concerning the boundaries of Robinson Forest with Arch Mineral Corporation. He expressed pleasure in adding his support to the recommendation.

Mr. Ockerman thanked Mr. Rose and Mr. Sturgill for their assistance with the Agreement. He stated that everyone did a tremendous amount of work on this particular project, and the administration has, in his opinion, arrived at an equitable solution to a long-standing problem. He entertained a motion for approval. Mr. Rose so moved. The motion, seconded by Mr. Sturgill, carried. (See FCR 13 at the end of the Minutes.)

X. Acceptance of Gift from Ashland Oil, Inc. (FCR 14) and Acceptance of Gift from Humana, Inc. (FCR 15)

Mr. Ockerman asked President Wethington to comment on FCR 14 and 15.

President Wethington expressed pleasure in recommending that the Board accept the two major gifts from Ashland Oil, Inc. and Humana, Inc. The two gifts of \$1 million each have been given to the University for the College of Business and Economics for their Program for Excellence. He said that he was pleased that Kentucky's two largest corporations saw fit to make these sizable donations to the College.

On motion made by Mr. Stricker, seconded by Professor Betts and passed, the gifts were officially accepted. (See FCR 14 and 15 at the end of the Minutes.)

Y. Report of Investments (ICR 1)

Mr. Stricker, Chairman of the Investment Committee, reported that the Committee had met and reviewed the Report of Investments. He moved that the Board accept the report of changes in investments for the University and its affiliated corporations for the three months ended March 31, 1991. The motion was seconded by Mrs. Hayes and carried. (See ICR 1 at the end of the Minutes.)

Z. Meeting Adjourned

There being no further business to come before the Board, the Chairman adjourned the meeting at 1:57 p.m.

Respectfully submitted,

Edythe Jones Hayes
Secretary
Board of Trustees

(Residency Status of Scott Crosbie, PR's 2, 4A, 4B, 5A, 5B, 5C, and 6A, FCR's 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, and 15 and ICR 1 which follow are official parts of the Minutes of the Meeting.)

/7030

April 30, 1991

Members, Board of Trustees:

RESIDENCY STATUS OF SCOTT CROSBIE

Recommendation: that the Board declare Mr. Scott Crosbie, President elect of the University of Kentucky Student Government, a Kentucky resident for the purpose of service as a University trustee pursuant to KRS 164.130(4).

Background: KRS 164.130(4) relating to the student member of the Board of Trustees provides that:

"The student member shall be the president of the student body of the university during the appropriate academic year. If the student member does not maintain his position as student body president or his status as a full-time student at any time during that academic year or does not maintain his permanent residency in the Commonwealth of Kentucky, a special election shall be held to select a full-time student who does maintain permanent residency in this Commonwealth as the student member. The student member shall serve for a term of one (1) year beginning with the first meeting of the fiscal year which contains that academic year."

Following his election as the President of the Student Government, Mr. Crosbie's attorney sent the attached letter and sworn statement requesting that the Board acknowledge his right to serve as a member.

In view of his sworn statement, and the absence of contradictory evidence it seems appropriate to declare Mr. Crosbie a resident.

Approved: ✓ Disapproved: _____ Other: _____

Date: April 30, 1991

EDWARD E. DOVE, ATTY. AT LAW
SUITE 312
SECURITY TRUST BLDG.
271 W. SHORT ST.
LEXINGTON, KY 40507
(606) 282-0020

April 15, 1991

Foster Ockerman, Chairman
U.K. Board of Trustees
Office of President
104 Administration Building
University of Kentucky
Lexington, KY 40506-0032

Re: Scott Crosbie
U.K. Student Government President

Dear Foster:

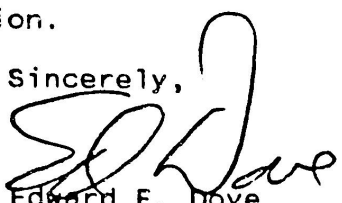
Both Dean Langdon and I represent Scott Crosbie, the duly elected President of the University of Kentucky Student Government. There seems to be some controversy over whether Scott is a resident of Kentucky and whether his residence status affects his ability to sit on the University of Kentucky Board of Trustees as Student Representative.

Pursuant to KRS 164.130(4), we are attaching to this letter a statement from Scott concerning his residence. As you can see, he has taken every step forward to lay to rest any rumor that he is not a resident of Kentucky.

I am certainly hopeful that the Board will see fit to acknowledge Scott as the duly elected President of the University of Kentucky Student Government and award him his rightful spot on the Board of Trustees at the next Board of Trustees meeting.

Thank you for your consideration.

Sincerely,


Edward E. Dove

ED/ew
Enclosure
pc: Dean Langdon
Scott Crosbie

STATEMENT AS TO RESIDENCY

I, Scott Alan Crosbie, being duly sworn, state as follows:

1. I am the president-elect of the Student Government Association at the University of Kentucky, and will begin serving my term on the day following the end of the Spring 1991 semester.

2. It is my understanding and belief that my election as president of the Student Government Association also entitles me to serve as the student member on the University of Kentucky Board of Trustees, pursuant to state law. I also understand and believe that the applicable state law prohibits me from serving as the student member on the Board of Trustees if I am not a "permanent resident" of Kentucky.

3. It is my belief that I am a "permanent resident" of Kentucky, and submit the following information to support this belief:

a. Since my admission to the University of Kentucky, I have lived in Kentucky for the vast majority of the time. From August, 1988 until May, 1989 I lived in Kirwan Tower on the University Campus. In May of 1989, I lived at 420 Hilltop Avenue in Lexington. I lived in Huntington, West Virginia for the months of June and July, 1989, returning to Lexington in August of that year. I lived in the Kirwan complex on campus from August, 1989 through May of 1990. During the Spring of 1990 I was employed full-time as a resident advisor for Kirwan I. In May of 1990 I lived at 420 Hilltop Avenue in Lexington. In June, 1990 I lived in Huntington, West Virginia. In July, 1990 I was stationed at Ft. Campbell, Kentucky and lived on the base at that facility. From August, 1990 through the present, I have resided at 420 Hilltop Avenue in Lexington, Kentucky.

b. I have been a non-contracted cadet in the Army ROTC since the Spring of 1989, which has involved extracurricular activities and obligations in Kentucky.

c. I have been a member of Farmhouse Fraternity since the Spring of 1989, and a portion of my regular dues to that organization have been used to pay state and local property taxes on the property located at 420 Hilltop Avenue in Lexington, Kentucky.

d. I have served as a volunteer for God's Pantry, the Central Kentucky Blood Center and Habitat for Humanity at various time since the Spring of 1990, and have been a Lexington Goodwill Ambassador on behalf of the Lexington-Fayette Urban County Government since the Fall of 1990.

e. I am registered to vote in Fayette County, Kentucky, and hold a valid Kentucky driver's license.

4. It is my intention to remain a "permanent resident" of Kentucky for the foreseeable future, and do not have ties similar to those set forth above with any other state or foreign country.

FURTHER AFFIANT SAYETH NAUGHT.

SCOTT ALAN CROSBIE

STATE OF KENTUCKY)
) SS
COUNTY OF FAYETTE)

Subscribed and sworn to before me, by Scott Alan
Crosbie as his free act and deed on this the 12 day of April,
1991.

My Commission Expires 11-4-91

Edward E. Jones
NOTARY PUBLIC, STATE AT LARGE

Office of the President
April 30, 1991

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: (1) that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

0197

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Hopkinsville Community College

Cavanah, Sandra W., Assistant Professor in the Community College System, appointed Chairman, Division of Fine Arts, Humanities and Related Technologies, 7/1/91 through 6/30/94.

Duncan, Jackie, Assistant Professor in the Community College System, appointed Chairman, Division of Social Sciences and Related Technologies, 7/1/91 through 6/30/94.

B. RETIREMENTS

Ashland Community College

O'Brien, George W., Associate Professor in the Community College System (with tenure) following 32.75 consecutive years of service (under AR II-1.6-1 Section III.A.2), effective 5/31/91.

Jefferson Community College

Smither, Betty W., Associate Professor in the Community College System (with tenure), following 17 consecutive years of service (under AR II-1.6-1 Section III.A.2), effective 6/30/91.

Somerset Community College

Campbell, Gerald P., Associate Professor in the Community College System (with tenure), following 21.75 consecutive years of service (under AR II-1.6-1 Section III.A.2), effective 6/30/91.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Jefferson Community College

Baumann, Polly Ann, Instructor in the Community College System (voluntary), 3/4/91 through 12/31/93.

Cooksey, Laura, Instructor in the Community College System (voluntary), 1/1/91 through 5/31/92.

Garry-Helms, Colleen, Instructor in the Community College System (voluntary), 3/4/91 through 12/31/93.
Glinn, John, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.
Hodges, Ann, Instructor in the Community College System (voluntary), 3/4/91 through 12/31/93.
Kayrouz, Tom, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.
Loveless, Diana, Instructor in the Community College System (voluntary), 3/4/91 through 12/31/93.
McPherson, Mary, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.
Risen, Martha, Instructor in the Community College System (voluntary), 1/1/91 through 5/31/92.
Sheerin, Kathleen, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.
Taylor, Joni, Instructor in the Community College System (voluntary), 3/4/91 through 12/31/93.

B. ADMINISTRATIVE APPOINTMENTS

Somerset Community College

Whitehead, Sharon F., Associate Professor in the Community College System (with tenure), appointed Acting Dean of Academic Affairs, 7/1/91 through 6/30/92.

C. REAPPOINTMENTS

Jefferson Community College

Burch, Charlotte, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.
Burke, Gail, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.
Cardenas, Jaime, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.
Hagan, Marlene, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.
Hatcher, Pat, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.
Hunt, Larry, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.
Large, Diane, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.
McDowell, Kaye, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.
Parsons, Constance, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.

Payne, Sandra S., Instructor in the Community College System (voluntary), 3/4/91 through 12/31/93.

Perkins, Carolyn, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.

Pfeiffer, Madeline, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.

Pope, Debbie, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.

Sammons, Teresa, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.

Schoerlucke, Sandra, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.

Silver, Barbara, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.

Sivori, Susan, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.

Sizemore, Jody C., Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.

Thomas, Mary Ann, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.

Vaughn-Motley, Denita, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.

Watkins, Teresa, Instructor in the Community College System (voluntary), 1/1/91 through 12/31/93.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Kiracofe, Guy H., Professor (with tenure), Animal Sciences,
effective 7/1/91.

College of Arts and Sciences

Stromberg, Arnold J., Assistant Professor, Statistics, 8/16/91
through 6/30/93.

College of Business and Economics

Park, Gonyung, Instructor, Economics, 8/16/91 through 6/30/92.
Shaffer, Brian C., Instructor, Management, 8/16/91 through 6/30/92.
Sundaramurthy, Chamundeswari, Instructor, Management, 8/16/91
through 6/30/92.
Vishwasrao, Sharmila, Instructor, Economics, 8/16/91 through
through 6/30/92.

College of Education

Jones, Deneese Lakay R., Assistant Professor, Curriculum and
Instruction, 8/16/91 through 6/30/93.
Lindle, Jane C., Assistant Professor, Administration and
Supervision, 8/16/91 through 6/30/93.

College of Engineering

Whites, Keith W., Assistant Professor, Electrical Engineering,
7/1/91 through 6/30/93.

College of Law

Davis, Mary J., Assistant Professor, 8/16/91 through 6/30/92.
Harding, Roberta M., Assistant Professor, 8/16/91 through 6/30/92.

B. ADMINISTRATIVE APPOINTMENTS

College of Agriculture

Kiracofe, Guy H., Professor (with tenure), Animal Sciences,
appointed Chairman, Animal Sciences, 7/1/91 through 6/30/97.

C. PROMOTIONS

College of Engineering

Serrano, Sergio, from Assistant Professor (without tenure), Civil Engineering, to Associate Professor (with tenure), Civil Engineering, effective 4/1/91.

D. CHANGES

College of Arts and Sciences

Lueck, Wolfgang, from Visiting Associate Professor, Mathematics, to Associate Professor (with tenure), Mathematics, effective 7/1/91.

E. RETIREMENTS

College of Agriculture

Moore, Clara, Program Assistant, Area Extension Services, after 14 consecutive years of service, under Section III.B of AR II-1.6-1, effective 1/24/91.

Oaks, Arthur, Agricultural Technician I, Robinson Agriculture Experiment Substation, after 22.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 5/3/91.

College of Arts and Sciences

Saenz, Gerardo, Professor (with tenure), Spanish and Italian, after 26.75 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/91.

Vice Chancellor for Administration

Dunson, Hosea, Disabled Staff, Employee Benefits and Risk Management, after 17.25 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/91.

Merritt, James L., Custodial Worker IV, Auxiliary Services, after 6.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 2/28/91.

F. EARLY RETIREMENTS

College of Agriculture

Fuqua, Joe E., Area Extension Specialist, Agricultural Economics, after 34.5 consecutive years of service, under Section III.A of AR II-1.6-1, effective 2/28/91.

College of Arts and Sciences

Gadbois, George H., Associate Professor (with tenure), Political Science, after 24.75 consecutive years of service, under Section III.A of AR II-1.6-1, effective 6/30/91.

Silberstein, Gerard, Professor (with tenure), History, after 27.75 consecutive years of service, under Section III.A of AR II-1.6-1, effective 6/30/91.

Vice Chancellor for Administration

McCaulley, George W., Building Operator Supervisor, Building Operators, Physical Plant Division, after 22 consecutive years of service, under Section III.A of AR II-1.6-1, effective 6/22/91.

Neal, Charles W., Maintenance Superintendent II, Cabinet/Upholstery, Physical Plant Division, after 38.5 consecutive years of service, under Section III.A of AR II-1.6-1, effective 6/30/91.

Snowden, Owen A., Custodial Worker I, Auxiliary Services, after 15.5 consecutive years of service, under Section III.A of AR II-1.6-1, effective 6/30/91.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Callaway, Mitchell Brett, Adjunct Assistant Professor, Horticulture and Landscape Architecture, 3/1/91 through 6/30/92.

College of Business and Economics

Lockhart, Daniel E., Visiting Assistant Professor, Management, 8/16/91 through 5/15/92.

B. REAPPOINTMENTS

College of Arts and Sciences

Nnoromele, Patrick C., Assistant Professor, Philosophy, and Assistant Professor, Honors Program, Undergraduate Studies, 8/16/91 through 5/15/92.

College of Business and Economics

Ellis, Brien T., Assistant Professor, Marketing, 7/1/91 through 6/30/92.

Goh, Chon-Huat, Assistant Professor, Decision Science and Information Systems, 7/1/91 through 6/30/92.

Wells, Jane B., Assistant Professor*, Accountancy, 7/1/91 through 6/30/92.

*Special Title Series

College of Social Work

Rompf, Elizabeth L., Assistant Professor, 8/16/91 through 6/30/92.

C. CHANGES

College of Arts and Sciences

Kaufman, Stuart, from Assistant Professor (temporary), Political Science, to Assistant Professor, Political Science, 7/1/91 through 6/30/92.

D. SPECIAL ASSIGNMENTS

College of Agriculture

Meyer, A. Lee, Extension Professor (with tenure), Agricultural Economics, agricultural development project in Poland, 6/1/91 through 5/31/92.

E. LEAVES OF ABSENCE

College of Agriculture

Hamilton, Lowell G., County Extension Agent, Cooperative Extension Service, Study leave with full salary, 6/6/91 through 12/5/91.
Hardesty, Patrick L., County Extension Agent, Cooperative Extension Service, Study leave with full salary, 6/10/91 through 12/9/91.
Jackson, Hazel B., County Extension Agent, Cooperative Extension Service, Study leave with full salary, 5/7/91 through 5/27/91.

College of Arts and Sciences

Bruzina, Ronald, Professor (with tenure), Philosophy, Scholarly Fellowship Leave with partial pay, Fall Semester 1991 and Spring Semester 1992.
Eldred, Janet M., Assistant Professor (without tenure), English, Leave without pay, Fall Semester 1991 and Spring Semester 1992.
Janecek, Gerald J., Professor (with tenure), Russian and Eastern Studies, Leave without pay, Spring Semester 1992.
Wasserstrom, Jeffrey N., Assistant Professor (without tenure), History, Leave without pay, Fall Semester 1991 and Spring Semester 1992.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Fujimura, Osamu, Assistant Professor, Medicine, 3/1/91 through 6/30/91.
Hendrix, Kim B., Clinical Assistant Professor, Diagnostic Radiology, 7/1/91 through 6/30/92.
Smith, Thomas J., Associate Professor (voluntary), Ophthalmology, 1/1/91 through 6/30/91.

College of Pharmacy

Murty, Boddapati R., Associate Professor (Adjunct Title Series), 11/1/90 through 6/30/92.

B. JOINT APPOINTMENTS

College of Pharmacy

Shannon, Michael C., Professor (with tenure), joint appointment as Professor (without tenure), College of Arts and Sciences, Department of History, 7/1/91 through 6/30/94.

C. REAPPOINTMENTS

College of Medicine

Bergen, Robert L., Professor (voluntary), Ophthalmology, 7/1/91 through 6/30/94.
Collis, William J., Professor (voluntary), Ophthalmology, 7/1/91 through 6/30/94.
Cunningham, Guy C., Professor (voluntary), Pediatrics, 7/1/91 through 6/30/94.
Gorodetzky, Charles W., Professor (voluntary), Pharmacology, 7/1/91 through 6/30/94.
Hacker, William D., Associate Professor (voluntary), Pediatrics, 7/1/91 through 6/30/94.
Hannan, Mohammed A., Associate Professor (voluntary), Radiation Medicine, 7/1/91 through 6/30/94.
Johnson, Mary R., Associate Professor (voluntary), Pediatrics, 7/1/91 through 6/30/94.
Johnson, Robert L., Professor (voluntary), Behavioral Science, joint appointment as Professor (voluntary), College of Allied Health Professions, Department of Health Services, 7/1/91 through 6/30/94.

*Special Title Series

Jones, Erwin A., Associate Professor (voluntary),
Pediatrics, 7/1/91 through 6/30/94.
Kaplan, Martin P., Associate Professor (voluntary),
Pediatrics, joint appointment as Associate Professor
(voluntary), Medicine, 7/1/91 through 6/30/94.
Kinker, Robert G., Professor (voluntary), Ophthalmology,
7/1/91 through 6/30/94.
Koffler, Bruce H., Associate Professor (voluntary),
Ophthalmology, 7/1/91 through 6/30/94.
Leigh, Terrence M., Associate Professor (voluntary),
Behavioral Science, 7/1/91 through 6/30/94.
Mendiondo, Oscar, Associate Professor (voluntary), Radiation
Medicine, 7/1/91 through 6/30/94.
Offutt, William N., Professor (voluntary), Ophthalmology,
7/1/91 through 6/30/94.
Pinkstaff, Thomas, Professor (voluntary), Pediatrics,
7/1/91 through 6/30/94.
Prater, Bryan N., Associate Professor (voluntary),
Ophthalmology, 7/1/91 through 6/30/94.
Reddy, Syamala, Associate Professor (voluntary),
Ophthalmology, 7/1/91 through 6/30/94.
Rose, Stephen W., Associate Professor (voluntary),
Ophthalmology, 7/1/91 through 6/30/94.
Saxena, Suresh C., Associate Professor (voluntary),
Pediatrics, 7/1/91 through 6/30/94.
Sisken, Betty, Associate Professor (Adjunct Title Series),
Anatomy and Neurobiology, 7/1/91 through 6/30/92.
Wallace, Gary R., Associate Professor (voluntary),
Ophthalmology, 7/1/91 through 6/30/94.
Wood, William, Professor (voluntary), Ophthalmology,
7/1/91 through 6/30/94.

D. PROMOTIONS

College of Dentistry

Turner, Erwin G., Assistant Professor (part-time), Oral
Health Practice, to Associate Professor (without
tenure) (part-time), Oral Health Practice, 7/1/91
through 6/30/92.

College of Pharmacy

Crooks, Peter A., Associate Professor (with tenure), to
Professor (with tenure), effective 7/1/91.
Kuhn, Robert J., Assistant Professor*, joint appointment as
Assistant Professor, College of Medicine, Department
of Pediatrics, to Associate Professor* (with tenure),
joint appointment as Associate Professor* (without
tenure), College of Medicine, Department of
Pediatrics, effective 7/1/91.

*Special Title Series

McNamara, Patrick J., Associate Professor (with tenure), to
Professor (with tenure), effective 7/1/91.

E. CHANGES

Office of the Chancellor

Bruce, Douglas A., from Public Affairs Director, to Director
of Communications, effective 5/1/91.

F. RETIREMENTS

College of Allied Health Professions

Emanuel, Jane M., Associate Professor (with tenure), Health
Services, after 20.5 consecutive years of service,
under AR II-1.6-1 Section III.A.2, effective 6/30/91.

College of Medicine

Vandiviere, H. Mac, Professor (with tenure), Pediatrics,
after 24 consecutive years of service, under AR
II-1.6-1 Section III.C, effective 6/30/91.

University Hospital

Lewis, Emma F., Nursing Assistant, Nursing, after 25
consecutive years of service, under AR II-1.6-1
Section III.A.2, effective 5/17/91.

G. POST-RETIREMENT REAPPOINTMENT

College of Pharmacy

Swintosky, Joseph V., Professor (without tenure), 7/1/91
through 6/30/92.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Bird, Martha, Assistant Professor (voluntary), Psychiatry,
3/1/91 through 6/30/91.
Komshian, Shahe V., Assistant Professor (part-time), Family
Practice, 2/18/91 through 6/30/91.
Marca, Sulpicia Y., Assistant Professor (voluntary),
Psychiatry, 3/1/91 through 6/30/91.
Moreland, K. Medford, Assistant Professor (voluntary),
Psychiatry, 1/1/91 through 6/30/91.
Myers, JoAnn B., Assistant Professor (part-time),
Psychiatry, 3/1/91 through 6/30/91.

Snyder, Larry, Assistant Professor (voluntary), Emergency Medicine, 2/1/91 through 6/30/91.
Webne, Stephen, Assistant Professor (voluntary), Psychiatry, 3/1/91 through 6/30/91.

B. JOINT APPOINTMENTS

College of Dentistry

Erena, Gregory R., Assistant Professor, Oral Health Science, joint appointment as Assistant Professor, Oral Health Practice, 2/1/91 through 6/30/91.

C. REAPPOINTMENTS

College of Allied Health Professions

Baker, Marcella, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.
Bryant, Jane G., Assistant Professor (Adjunct Title Series), Clinical Sciences, 7/1/91 through 6/30/92.
Cornett, Larry, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.
Flanary, Phillip, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.
Hodges, David, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.
Lloyd, Brenda, Clinical Instructor (Adjunct Title Series), Clinical Sciences, 7/1/91 through 6/30/92.
Lyons, Lynne, Clinical Instructor (Adjunct Title Series), Clinical Sciences, 7/1/91 through 6/30/92.
Overly, Janet, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.
Overman, Sue, Assistant Professor (Adjunct Title Series), Clinical Sciences, 7/1/91 through 6/30/92.
Peduzzi, JoAnne, Clinical Instructor (Adjunct Title Series), Clinical Sciences, 7/1/91 through 6/30/92.
Preston, Linda, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.
Sims, Priscilla, Clinical Instructor (Adjunct Title Series), Clinical Sciences, 7/1/91 through 6/30/92.
Weeks, Terry, Clinical Instructor (Adjunct Title Series), Clinical Sciences, 7/1/91 through 6/30/92.
Woo, Henry, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.

College of Dentistry

Armentrout, Timothy, Clinical Assistant Professor, Oral Health Practice, 7/1/91 through 6/30/94.

Dembo, Jeffrey B., Assistant Professor*, Oral Health Practice, joint appointment as Assistant Professor*, College of Medicine, Department of Anesthesiology, 7/1/91 through 6/30/92.

Hardin, Cynthia L., Clinical Assistant Professor, Oral Health Practice, 7/1/91 through 6/30/92.

Henry, Robert G., Assistant Professor (part-time), Oral Health Science, 7/1/91 through 6/30/92.

Ison, Jenny L., Clinical Assistant Professor, Oral Health Practice, 7/1/91 through 6/30/94.

McConnell, Thomas A., Clinical Assistant Professor, Oral Health Practice, 7/1/91 through 6/30/94.

Schell, Susan C., Instructor (part-time), Oral Health Science, 7/1/91 through 6/30/92.

College of Medicine

Anzures, Humildad, Assistant Professor (voluntary), Pediatrics, 7/1/91 through 6/30/94.

Berg, Richard A., Assistant Professor (voluntary), Rehabilitation Medicine, 7/1/91 through 6/30/94.

Blum, Richard A., Assistant Professor (voluntary), Pediatrics, 7/1/91 through 6/30/94.

Brennan, James M., Assistant Professor (voluntary), Pediatrics, 7/1/91 through 6/30/94.

Brown, Ross M., Assistant Professor (voluntary), Pediatrics, 7/1/91 through 6/30/94.

Caffrey, Patrick R., Assistant Professor (voluntary), Pathology, 7/1/91 through 6/30/94.

Carlson, Sonia L., Assistant Professor, Anatomy and Neurobiology, 7/1/91 through 6/30/92.

Costich, Timothy, Assistant Professor (voluntary), Pediatrics, 7/1/91 through 6/30/94.

Davis, Brian M., Assistant Professor, Anatomy and Neurobiology, 7/1/91 through 6/30/92.

Dubilier, Louis D., Assistant Professor (voluntary), Pathology, 7/1/91 through 6/30/94.

Ellis, George R., Assistant Professor (voluntary), Rehabilitation Medicine, 7/1/91 through 6/30/94.

Evans, Johannes C., Instructor (voluntary), Ophthalmology, 7/1/91 through 6/30/94.

Geddes, James W., Assistant Professor, Anatomy and Neurobiology, 7/1/91 through 6/30/92.

Gevedon, Everett B., III, Assistant Professor (voluntary), Pediatrics, 7/1/91 through 6/30/94.

Goodwin, Russel L., Assistant Professor (voluntary), Pediatrics, 7/1/91 through 6/30/94.

Halbleib, Ross A., Assistant Professor (voluntary), Pediatrics, 7/1/91 through 6/30/94.

Hauser, Kurt F., Assistant Professor, Anatomy and Neurobiology, 7/1/91 through 6/30/92.

Hemmings, Ira L., Jr., Instructor (voluntary), Pediatrics,
7/1/91 through 6/30/94.
Hyde, James F., Assistant Professor, Anatomy and
Neurobiology, 7/1/91 through 6/30/92.
Isernhagan, Rick, Assistant Professor (voluntary),
Ophthalmology, 7/1/91 through 6/30/94.
Jackson James E., Instructor (voluntary), Pediatrics, 7/1/91
through 6/30/94.
Khanna, Ashok K., Assistant Professor (voluntary),
Pediatrics, 7/1/91 through 6/30/94.
Litteral, Gil, Instructor (voluntary), Ophthalmology, 7/1/91
through 6/30/94.
Lynn, Nicholas, Assistant Professor (voluntary), Pediatrics,
7/1/91 through 6/30/94.
Matthews, James W., Assistant Professor (voluntary),
Ophthalmology, 7/1/91 through 6/30/94.
Mattson, Mark P., Assistant Professor, Anatomy and
Neurobiology, 7/1/91 through 6/30/92.
Millet, Adrienne J., Assistant Professor (voluntary),
Ophthalmology, 7/1/91 through 6/30/94.
Modur, Raghuram, Instructor (voluntary), Radiation Medicine,
7/1/91 through 6/30/94.
Naik, Mahesh G., Assistant Professor (voluntary),
Pediatrics, 7/1/91 through 6/30/94.
Nichols, George R., Assistant Professor (voluntary),
Pathology, 7/1/91 through 6/30/94.
Penn, Dennis B., Assistant Professor (voluntary),
Pediatrics, 7/1/91 through 6/30/94.
Perzley, Richard I., Assistant Professor (voluntary),
Pediatrics, 7/1/91 through 6/30/94.
Ramsey, Barry W., Assistant Professor (voluntary),
Pediatrics, 7/1/91 through 6/30/94.
Rayevsky, Igor G., Instructor (voluntary), Radiation
Medicine, 7/1/91 through 6/30/94.
Scott, Carl H., Assistant Professor (voluntary), Pediatrics,
7/1/91 through 6/30/94.
Seroogy, Kim B., Assistant Professor, Anatomy and
Neurobiology, 7/1/91 through 6/30/92.
Sparrow, John C., Assistant Professor (voluntary),
Ophthalmology, 7/1/91 through 6/30/94.
Spencer-Allan, Elizabeth, Assistant Professor (voluntary),
Pediatrics, 7/1/91 through 6/30/94.
Taylor, Shawn M., Assistant Professor (voluntary),
Pediatrics, 7/1/91 through 6/30/94.
Underwood, William L., Assistant Professor (voluntary),
Pediatrics, joint appointment as Assistant Professor
(voluntary), Family Practice, 7/1/91 through 6/30/94.
Vance, John C., Assistant Professor (voluntary), Pediatrics,
7/1/91 through 6/30/94.
Wallace, Carmel, Jr., Assistant Professor (voluntary),
Pediatrics, 7/1/91 through 6/30/94.

Wallingford, Mark A., Assistant Professor (voluntary),
Pediatrics, joint appointment as Assistant Professor
(voluntary), Medicine, 7/1/91 through 6/30/94.
Watson, Robert E., Assistant Professor, Anatomy and
Neurobiology, 7/1/91 through 6/30/92.
Weakley-Jones, Barbara, Assistant Professor (voluntary),
Pathology, 7/1/91 through 6/30/94.
Weaver, Kenneth R., Assistant Professor (voluntary),
Ophthalmology, joint appointment as Assistant
Professor (voluntary), Emergency Medicine, 7/1/91
through 6/30/94.
Yarbro, Marina T., Assistant Professor (voluntary),
Pediatrics, 7/1/91 through 6/30/94.
Yates, Walter F., Assistant Professor (voluntary),
Pediatrics, 7/1/91 through 6/30/94.

D. PROMOTIONS WITHOUT TENURE

College of Medicine

Mills, Betty Jo A., Instructor, Diagnostic Radiology, to
Assistant Professor, Diagnostic Radiology, 7/1/91
through 6/30/92.

E. CHANGES

College of Medicine

Ashton, Paul, from Assistant Research Professor (temporary),
Ophthalmology, to Assistant Research Professor,
Ophthalmology, joint appointment as Assistant Research
Professor, College of Pharmacy, 3/1/91 through 6/30/91.
Edwards, Jerry Lee, from Assistant Professor (voluntary),
Emergency Medicine, to Assistant Professor
(part-time), Emergency Medicine, 2/1/91 through
6/30/91.

Office of the Chancellor

Colliver, Mary M., from Associate Director of Public
Affairs, to Associate Director of Public Affairs and
Operations Manager, effective 5/1/91.

F. LEAVES OF ABSENCE

College of Medicine

Taurig, Harold H., Professor (with tenure), Anatomy and
Neurobiology, sabbatical leave at full salary, 6/16/91
through 12/15/91.

Office of the President
April 30, 1991

PR 4 A

Members, Board of Trustees:

CANDIDATES FOR DEGREES--COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30,, 1991

ASHLAND COMMUNITY COLLEGE

Associate in Arts

ARNETT LANA J
 BEASON SAMUEL
 BRANIM THELMA
 BRUMFIELD BRYAN L
 CHAFFIN VIRGINIA L
 CLICK LINDA GAIL
 CLINE CHRISTINE L
 CLINE MARILYN M
 GRACE JULIE A
 DAVIS SANDRA
 DYE WILLIAM
 EVERMAN SHANNA L
 FERGUSON LARRY A
 FERGUSON SANDRA
 FORD CHRISTY E
 GRIFFITH LISA
 HALE SCOTT
 HILBURN MARK E
 ISHEE DORIS J
 KEYSER LLOYD E
 KING MARGARET
 MCDOWELL SEAN
 MCGUIRE RONDA
 MILLER NANCY
 MUNCY SHELIA
 ONEY TODD
 PARTIN JODIE
 PENNINGTON TONYA
 PERKINS REBECCA
 PETTREY LISA
 RICE KATHY J
 RUNYON DEREK

SERGEANT JANET
 SHAVERS KIMBERLY
 SMITH PAULA LYNN
 STEPHENS MARINA G
 STEWART WILLIAM T
 SUMMER RALPH A
 THOMAS CHRISTINE L
 THOMPSON EILEEN
 THOMPSON TAMMY R
 TINGLER CAROLYN S
 TOMLIN CARRIE M
 TURNER RAYMONA F
 WALKER MELISSA L
 WILCOX SUSAN M

Associate in Science

ARTHUR GEORGE L
 BONZO BRYAN D
 CASSITY CHRISTA L
 CRISP LISA
 CYRUS MELODY
 DEATHERAGE JULIE A
 DEBORDE MARK A
 DICKISON AMY L
 EKKERS DEENA R
 FRALEY CHRISTIAN
 GEE JEFFREY T
 GRIFFITH BROOKE
 HERRON TERRI A
 JONES DANIEL
 MASON EDWARD STEVEN
 MAYO GEORGE
 MCCOWN CHARLENE
 MOORE DONNA
 NAPIER JULENA

RARDON JASON
 ROGERS CHRIS L
 SALTERS RUTH
 SHIELDS RICHARD SCOTT
 STAFFORD TAMARA
 STAMBAUGH MICHELLE ELISE
 STEPHENS LISA A
 STORIE ERIN C
 THOMPSON NICHOLE N
 WOODS JAMES C

Associate in Applied Science

ADKINS STACY S
 ALTIZER BONNIE L
 ARNETT MELANNIE B
 BALDWIN LISA SHANNON
 BARKER LARRY A
 BERRY CHRISTOPHER M
 BIGGS BARBARA S
 BILLIONS KATHY L
 BOGGS HELEN J
 BOGGS MICHELLE L
 BOGGS SARAH L
 BRADFORD SHANA G
 CALLAHAN MARSHA K
 CANTERE CHERI Y
 CARTWRIGHT BRENDA G
 CARVER DEBORAH E
 CHALUPA CHERI A
 CLARK GARY DEAN II
 CLARK SANDRA Y
 CLAXTON JEANNIE M
 COLLEY BELINDA M
 CONN JESSIE P
 COOPER DENISE H

COPLEY SHARON K	JOHNSON DEBORAH L	SHAFER DARLENE
CRABTREE CHARLES S	JONES BECKY L	SIMPSON STEPHEN
CRAWFORD MARK E	JUSTICE TAMELA J	SLONE LISA L
CRECH ERIC T	KILLEN MELISSA	SMITH CHARLOTTE H
CRUM DEBORAH L	KITCHEN GARNET E	SPEARS JUDEE
CRUM PEARL E	KOUNS TONYA SHAWN	STAPLETON LESHELL A
CYRUS DEBRA L	LEAMON MELINDA K	STEVENS SANDRA A
DAMRON RITA G	LUCAS-WRIGHT NETTIE L	STEVENSON DYAN M
DAVIS WESLEY	LYONS JAMIE L	STEWART CHERYL L
DICKERSON KIMBERLY R	LYONS KATHI S	SUTTLES ALIVIA K
DONAHUE TONIA K	MAY JACQUELINE ANN	SWEENEY MICHAEL D
EGLESTON SIDNEY D	MCADOW LINDA J	TCKETT LEIGHANN M
ELKINS TAMMY D	MCCLOUD KIMBERLY D	TAYLOR KATHY R
FAIRCHILD DEBORAH L	MCKENZIE CINDY J	THOMPSON DEBORAH L
FENWICK VICTORIA L	MEENACH SHELLY	TUCKER JILL A
FERRIS DONNA S	MILLER PAULA L	VANCE JUDY L
FLOOD MICHELE	MOORE LINDA E	VANCE KELLI E
FORTNER HEATHER E	MOORE SAUNDRA L	VANHOOSE PAMELA
GARVIN KAREN Y	OBANION JOY L	VAUGHN MARY S
GREEN JOHN C JR	OGLESBEE LESLIE J	WALLACE PATRICE E
GREENE EVE M	ONEAL LISA R	WALLEN ANN
GREENE PAMELA S	PACK MARY J	WEBB VICKY L
GREER RITA J	PALUMBO MARY E	WHITT RHEADA M
GRIZZLE DANA L	PATTEN TAMMY R	WINTERS CINDY J
GUMBERT TONYA E	PATTON DANA S	WYMER KELLI D
HALL CONNIE J	PHILLIPS TONYA M	YATES MARY M
HALL CRISTAL R	PIGMON KESHIA N	
HATTEN DENISE A	PRINCE BETTY	
HAY CAMELLIA	RAKES LORI A	
HENSLEY RHONDA D	REYNOLDS AMY J	
HOLBROOK MARGARET A	RICE JEFFERY L	
HORN JANET L	RICE JODY A	
HORSLEY SHEILA A	RIGSBY BARBARA E	
HORTON BRENDA K	ROBERTS SHERRY E	
HOWARD BRENDA LEE	SAVAGE LINDA E	
HOWARD CINDY L	SCARBERRY BARBARA N	

ELIZABETHTOWN COMMUNITY COLLEGE

Associate in Arts

APPLEMAN ANGELA K
 ARNOLD DEBRA A
 ASHER VIVIAN EILEEN
 BAINES JASON A
 BAUERLE MARK E
 BEARD LARA H
 BELL KIMBERLY ANNE
 BERNARD MARY ANN
 BILLINGS MARY P
 BOOT CURTIS D
 BRAWNER ROBERT A
 BRILL ELIZABETH B
 BROWNER KARLA M
 BRYANT SUSAN M
 BURCHELL MARNIE K
 BUTTERWORTH KATHRYN W
 CALDWELL JOSEPH P
 CARSON RHONDA G
 CHAPMAN MARY L
 CHILDRESS JAMES J
 CHILDRESS JILL S
 CIMIOTTA TINA M
 CLARK ANNA JEAN
 CLARK REBECCA LEAH
 CLOSE LOUISE
 COKER DONNA M
 COKER MARK H
 COMBS CHARLES H
 CONROY ROBERT A
 COPELAND FRANK PATRICK
 CORNETT ANGELA LYNN
 COX DAVID ALLEN

COX MICHAEL L
 CRABTREE PAULA MEREDITH
 CRUTCHER MELISSA ANN
 DANT JOHN G
 DAUGHERTY DEBORAH L
 DAY EUGENIA LYNN
 DEMPSEY HEATHER ANN
 DENNISON WILLIAM R
 DEOM TERESA M
 DOCKINS BRENDA B
 DOLAN DENNIS J
 EDELEN GERALDINE M
 EDWARDS STEPHANIE JILL
 FAHLING COLLEEN G
 FARMER KIM CHEREE
 FARRIS ROBIN LEIGH
 FLANAGAN JAMES J
 FOUSHEE DONNA B
 GANI AARON H
 GARDNER BETTY L
 GLATZEL WILLIAM M
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ROMAINE CHRISTOPHER DEAN
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RUDD CHRISTINE LEIGH
SANDERS MARY ANN
SANDERS SANDRA DENISE
SANDERSON JACQUELINE SUE
SANDERSON RENEE'
SASSEEN DARLA BROWN
SCHEER MICHAEL JOSEPH
SHELTON WANDA RUTH ADAMS
SILLS LISA MCSPARIN
SPEED DEBORAH BLAKEY
STEWART MELISSA DAWN
SUMMERS AARON JOSEPH
SUTHERLAND CHANEY P
SWEATT JAMES NELSON
TABERS JANELLE
TERRELL VALERIA BENNETT
THOMPSON ANDREA L
THOMPSON TAMMY ELIZABETH
THROGMORTON PAUL EDWARD
TRAVIS CHARLESA JEAN
TUBBS LEAH GWEN
TYNES AMY PARIS
VANDERFORD CONSUELA MARIA
WALKER BARRY D
WARREN MELISSA J
WHITE JANET

WHITE MARY ANN
WILSON JACKIE
WINKLER IRIS MARIE
WINNANS GAIL W
WYATT DONNA JONES

PRESTONSBURG COMMUNITY COLLEGE

Associate in Arts

ADAMS MELLONEE RENEE
 ALLEN MARY P
 ANDERSON JOHN N
 ANDERSON STACY L
 BANKS RALPH STEPHEN
 BLACKBURN PATRICIA
 BLAIR JILL D
 BLAIR LORETTA
 BLEVINS JOSEPH D
 BRANHAM STEVE FOSTER
 BRYANT PHYLLIS
 BUSH JOHN J
 CLARK TONY M NEWMAN
 COLEMAN CYNTHIA M
 COLEMAN DAVID
 COLLINS BRENDA
 COLVIN MARSHA JO
 COMSTOCK MELISSA D
 CONNELLEY VICKI S
 CONRAD STEVEN LEE
 COOLEY VALERIE J
 CORDLE LAWRENCE D JR
 CRISP ELDA
 DANIELS MICHAEL J
 DIXON GLENDA F
 DOTSON BILL J
 DUFFY BRUCE A
 EVANS TERESA
 GEARHEART DEEDRA L
 GEARHEART TINA L
 GIBBS BARBARA J
 GOFF RICHARD RAY

GRIFFITH PATRICIA KAYE
 HACKWORTH CINDY L
 HALL DEBORAH FAYE
 HALL REGINA C WARD
 HALL TERESA FAYE
 HAMILTON JANICE H
 HAMILTON SAMUEL D
 HARRIS HARVEY DEAN
 HARRIS RANDALL R
 HOBSON WENDY P COMBS
 HORN THOMAS E
 HOSKINS ROBERT W
 HOWARD PRISCILLA H
 HOWARD SHERRY L
 HOWARD VONDA L
 HYDE SHEILAH M
 JOHNSON OLIVIA EVELYN
 JONES GWENDOLYN GAYE
 JONES MICHAEL C
 JONES OLA
 KENDRICK RICKY J
 KIDD ANNA R
 KIDD DARWIN D
 KIDD DEBBIE ANN
 KIDD ROY H JR
 LEEDY DORIS A
 MILLAY JAMES G
 MILLER MICHAEL R
 MORRIS DAVID R
 MUSIC ELIZABETH S
 OUSLEY LESLIE E DEROSSETT
 PATTON MARK E
 PETERS PATTY S
 PRATER PATRICK N
 PRESTON VICTORIA P
 PRICE BETTY J

PRUITT NELLIE RUTH
 RATLIFF ROBERT B
 REED CHRISTOPHER C
 REYNOLDS STEPHANIE D
 ROWE CINDY LOU
 SALISBURY ANGELA S
 SALMONS CHRISTOPHER ONEIL
 SCOTT SUSAN E
 SEALS RENEE H
 SHEPHERD ANNA R
 SHEPHERD BETHEL A
 SHEPHERD SABRINA R
 SHEPHERD VELMA R
 SHERMAN JUANITA C
 SMITH CONNIE SIZEMORE
 SPURLOCK DANA T
 STAMPER ROBERT M
 STEPHENS PATRICIA M
 TACKETT CRAIG L
 TACKETT TERESIA J
 THORNSBURY VICKIE LYNN
 VERNON BRENDA L
 VICKERS GARRY H
 WALLEN MARY FRANCES
 WARD STEFAN R
 WHITING SHARON K
 WILLIAMSON KEVIN E
 WOODS CLARENCE S
 WOODS EVONNE K
 YOUNG CANDY LYNN

Associate in Science

ADAMS TERRY M
 ADKINS JOHN C
 CLINE SHERRY ANN

DANIEL DEBORAH J
DAVIS CHRISTOPHER S
HERRIN KRISTINA G
HORNE ROGER J
MARSHALL PATTY S
MARSILLET RONNIE JR
MARTIN COLEY
MEEK TINA G
MINIX GLORIA B
MUNCY CHARLENE P
PREECE APRIL L
SCARBERRY AUDREY E
SLONE LENVILLE D
TIBBS MELISSA ANN
WALTERS STEVE L
WHITT TERRY L

Associate in Applied Science

AKERS PATRICIA
AKERS VANESSA
BAKER CHARLOTTE
BELHASSEN KATHY
BOLEN BRENDA SUE
BRYANT ADA ALLEN
BURCHETT DEBRA A
BURNS ROY
COLEMAN BARBARA SUE
COLLINS PAMELA S
COLLINS SANDRA S
CAUDILL TINA P
DAVIDSON SHIRLEY J
EDENFIELD REMONA
ENDICOTT SHARON L
FLANNERY BONNIE C
FLETCHER GAYLA L

GOFF VICKIE A
HALL LYNETTA L
HARRIS MABEL L
HOWARD EMMA JEAN
HOWARD YVONNE KAY
JERVIS LISA L
JUSTICE CONNIE H
KENDRICK CASSANDRA M
LESLIE TONYA L
LITTLE TRACI L
LOWE RONALD E
MARCUM PENNY R
MARCUM TERESIA O
MURRAY LEAH DIONNE
MURRAY ROBIN ETTA
NEWSOME ROBERTA T
OSBORNE PATRICIA C
OWENS GLENDA E
PATRICK MELANIE S
PATRICK RHONDA J
POPP WILLIAM G
RATLIFF KATHLEEN R
REED STUART L
SALYER MICHELE D
SHEPHERD CAROLYN K
SMITH PATTI S EDMONDS
SPARKMAN OLA B
SPEARS BRYAN
STEPHENS GORDON R
STRATTON TRINA K
TACKETT MARNA L DAVIS
TAYLOR ELISA MARLENE
TAYLOR MARY DONNA
THARP CAROLYN H
WELLS WENDELL L

SOMERSET COMMUNITY COLLEGE

Associate in Arts

BAKER KENDRA P
 BALL KEVIN R
 BRADLEY DARLA S
 COFFEY KIMBERLY F
 DESHON JENNIFER L
 DICK PEGGY J
 HEATH REGINA B
 HILL KATHY A
 KIDD DEANNA R
 KOGER JEFFERY S
 LONG MARY ANN
 MEINCKEN FRIEDA
 MONTGOMERY MARDI C
 NEW SHERRY FAYE
 NICHOLS CHERYL
 POWELL CHARI S
 RENEAU PAMELA S
 ROBERTS JUDITH A
 SHADOAN LAURA L
 SON ELAINE H
 STEPHENS BONNIE F
 STEPHENS MELISSA J
 TUCKER DEBBIE L
 TUCKER VICKIE W
 TURPEN PHYLLIS A
 WADDLE JEFFREY S
 WILLIS ANNE M

Associate in Science

DICK JEFFREY D
 HARPER APRIL D

HOUSTON KEVIN W
 HOWARD JOHN GREGORY
 JONES MICHELLE M
 KEENE JENNIFER C
 MCINTOSH BRUCE A
 MORROW DONNA E
 NEAL ELIZABETH A
 PECKINPAUGH DOUGLAS E
 REED LADONNA G
 ROBERTSON MARK E

Associate in Applied Science

ANSLOW PATTY W
 BAIRD SHARON T
 BAUGH RACHEL D
 BERTRAM BRENDA RAYNA
 BLANTON BARBARA A
 BURTON KIMBERLY A
 CALDWELL SHEILA
 CHRUSCIEL ANN R
 COFFEY SANDRA K
 CORDER SHERRY L
 CRAFT SHEILA D
 CUNAGIN LARRY W
 DAVIS JAYMA L
 DODD MELISSA K
 EDWARDS CINDY C
 FARLEY RICK A
 FLYNN JOYCE K
 GRAYSON TONJA J
 HARPER BRENDA G
 HARPER SHELLY J
 HARRIS MARGARET R
 HARRIS MICHAEL R
 HIXSON JENNIFER M

HOWELL VERN A L
 HUBBARD MARCELLA
 JONES CYNTHIA A
 JONES DAPHNE A
 JUDY LISA C
 LANHAM LEE ANN
 LAWSON TERRY A
 LEE ANGELENE
 LEMONS ELIZABETH A
 MACKEY MELVINA V
 MANNING RHONDA F
 MCFARLAND DANIEL L
 MERCER FRANCES L
 MORRISON CHARLES R
 MOUNCE CINDI K
 MULLINS RITA C
 MULLINS TRACY M
 NAPIER DANITA P
 OWENS REBECCA T
 PHELPS SHELLEY R
 PIKE PATRICIA D
 PILCHER TRACY
 PING TAMMY L
 RAINS DELLA C
 SCOTT JAMES R
 SHELTON B GERALDINE
 SMITH CYNTHIA L
 SMITH SANDRA D
 SMITH TAMARA L
 SPARKS JOAN B
 SPEARS RHONDA J
 STONEWALL GLENNA M
 STRUNK CATHY DIANN
 STRUNK JO ANN
 STRUNK MARY F
 SURBER MELAINE D

TALLEN LOU ANN
THOMPSON LINDA C
THOMPSON PAULA LEE
TRENT VERNEASA C
TUCKER DEBRA F
VAUGHN AUDRA ANISSA
WATTS CARRIE R
WESLEY CAROLYN A
WHEELER GIDGETT ANN
WHITE CATHY E
WILLIAMS ELIZABETH B
YEARLY WILLIAM I

SOUTHEAST COMMUNITY COLLEGE

Associate in Arts

AUSTIN MARLISSA R
 BARGER DEBRA
 BEGLEY PATRICIA A
 BLAYLOCK CONNIE L
 BOWMAN DEBORAH L
 BRADLEY CHARLES STACEY
 BROCK ANTHONY
 BUSH ELLEN
 CANTRELL NANCY S
 CARTER PATRICK H
 CAUDILL DEBORAH L
 CHRISTIAN KEITH
 COLLETT JOHNNY W
 CONN REDA G
 COOPER DENVER J
 CORNETT DIANA K
 CORNETT KATHRYNE LEANN
 CORNETT SHANNON D
 COUCH WANDA
 CREECH TONYA
 CREECH YVONNE A
 CRESS CAROLYN
 CRESS VIVIAN E
 CROSBY ANGELA BALTIMORE
 DANIELS GAYLA B
 DAY CATHIE L
 DIXON KENNY D
 DUNSMORE REBECCA
 ELLIOTT LISA A
 FOLEY JOHN B JR
 FOX HATTIE CAROLINE
 HATFIELD PHYLLIS K

HELTON RONDA M
 HOWARD CLEDA
 HOWARD JANET K
 HUDDLESTON BEVERLY G
 HUFF TONMIE ALICE
 JACKSON DAVID C
 JACKSON OAKLEY B
 JACKSON WALTER D
 JACO CHARLENE R
 KELLY TAMMY
 LANDIS DAVID A
 LEWIS BRIAN D
 LEWIS LORI D
 LEWIS VIRGINIA R
 MADDEN CAROL S
 MASON JESSE W
 MCGEORGE APRIL
 MCQUEEN SANDRA K
 MIDDLETON CARLA K
 MILLER KAREN C
 MINOR ANGELA
 MONHOLLEN CYNTHIA L
 MULLINS BEATRICE A
 NICLEY DEBBIE S
 NORRIS WALTER R
 PACE THEODORE Q JR
 PEACE EARLENE
 PHIPPS LISA R
 PIKE TERESA
 PURVIS TERRI J
 RUCKER GLENDA
 SCOVILLE JANICE S
 STEELE MICHELLE R
 STEPHENS TEDDY D
 WARD ALICE
 WEBB SAUNDRA

WILDER KAREN L
 WRIGHT LONNIE G

Associate in Science

ADAMS PAUL D JR
 ASHER OTHA J
 AYERS STEVEN SEAN
 BALL TERESA
 BALTIMORE MARCELLA
 CALDWELL RHONDA L
 COKER KATHERINE D
 COLLINS SANDRA A
 CORNETT ELIZABETH A
 DAVIS DEBORAH A
 DIXON CLAUDETTE
 DUNAWAY JIMMY J
 EADS JENNIFER M
 ELLIOTT DONALD P
 ELY JEAN L
 EMMETT DONALD JR
 GAW WENDOLYN J
 HUBBARD KARIN A
 HUFF TERESA L
 LAWSON NANCY H
 MAGGARD ANGELA M
 MCCREARY CHESTER A
 MILLER HANNA D
 MIRACLE LEIGH A
 MULLINS CAROLYN M
 MULLINS STEPHEN
 NOE STACY D
 PERKINS CALLIE D
 PERKINS JONATHAN
 PERRY VIRGINIA M
 REYNOLDS KELLY R

SAYLOR PAMELA K
TROSPER BILLIE J
VAUGHN AUDRA
WELCH RAYMOND C III

Associate in Applied Science

AKEMON HENRIETTA
ASHER JANET
BAKER CHARLES JR
BAKER JANE L
BAKER NANCY J
BANKS DEANNA J
BARGO LEON
BLAIR AMY STURGILL
BOGGS JANET A
BROWN JULIA A
BUELL AMY M
BULLOCK HAYWARD T
BURTON DAVID
CASHEN DEBRA L
CASOLARI PAUL JR
CAUDILL JACKIE
CHARLES ETHELBERT H II
CLARK MYRIA A
CONLEY RYCK
CREECH DWIGHT E
DAY MARY
DEAL DEBRA F
DENIS ELIZABETH
DIXON TERESA
FOUCH BILLY G
GIBBS VEERLEE
GIBSON DAVID SCOTT
GIBSON ROBIN L
GOINS LINDA B

HALCOMB LINDA S
HAWKINS LANA
HAWKS BONNIE
HENDRICKSON BETTY K
HENSLEY PAMELA D
HOLDEN LINDA
HOLLAND BRILLA J
HUBBS SUE E
HUGHES HENRY C
INGRAM BRYAN K
JACKSON CORA
JACKSON EVA D
JAMES MARGARET E
JOHNSON DAN
JONES JAMES D
KIRK JERRY
LEE CHARLENE
LEMARR DONNA
LUTTRELL DAVID A
MAGGARD PAUL H
MARTIN PRISCILLA
MARTIN TAMMY
MASON NANCY A
MASON SHIRLEY
NOE ROBERT E
NORTH JODY K
PERRY ROSE ANN
PETRA JEANNIE K
POWELL NADINE
PROCTOR RAMONA P
RHEA JUDY L
RICE HAROLD E JR
ROARK DEBRA J
ROARK LILLIAN L
ROBERTS MONICA
ROPER JOANIE

ROWLETTE MELISSA A
ROWLETT SHARON G
SERGENT SHEILA K
SMITH MARY F
SMITH REGINA
STRUNK CHARLES W JR
STRUNK TAMARA D
STURGILL DAVID GREGORY
SWANNER DIANA S
TERRY CYNTHIA J
THOMAS BRENDA G
THOMAS PENNY
VANBEAVER-YOUNG NANCY
VANN SHIRLEY
WARF CHRYSTAL C
WEST SUSAN
WHITAKER JIM T III
WHITE WILLIAM DAVID
WHITEHEAD RENEE A
WILDER DONNA
WILLIAMS ROBERT J
YORK ROLANDA S

Office of the President
April 30, 1991

PR 4 B

Members, Board of Trustees:

ASSOCIATE DEGREE PROGRAM IN THE
COMMUNITY COLLEGE SYSTEM
HAZARD COMMUNITY COLLEGE

Recommendation: that the Board of Trustees authorize for submission to the Council on Higher Education a new degree program, Associate Degree in Applied Science, Medical Laboratory Technician: Curriculum A, for Hazard Community College.

Background: The Council on Higher Education is empowered to define and approve all higher education associate degree programs.

Hazard Community College has worked with the local Advisory Board and other appropriate individuals and groups in determining the need for graduates from the program. This program will help meet the need for medical laboratory technician graduates in the Hazard area.

Approval by the Board of Trustees is required prior to submission to the Council on Higher Education.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

Office of the President
April 30, 1991

PR 5 A

Members, Board of Trustees:

CANDIDATES FOR DEGREES
UNIVERSITY SYSTEM

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached lists the degree to which he or she is entitled upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the University Senate.

Background: Since the Commencement exercises are held immediately following completion of examinations, it is not possible for the University Registrar to certify satisfactory completion of requirements prior to Commencement exercises for those candidates who completed their requirements in May. The candidates who completed their requirements in August 1990 and December 1990 were approved by the Board of Trustees at earlier meetings.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

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OFFICE OF THE UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES
April 8, 1991

GRADUATE DEGREES

Ph.D	137
D.B.A.	6
D.M.A.	1
Ed.D.	9
Ed.S.	4
M.A.	117
M.A. EDU.	9
M.A.I.D.	1
M.B.A.	32
M.F.A.	4
M.M.	7
M.P.A.	10
M.R.C.	5
M.S.	115
M.S. ACCT.	7
M.S. AGR.	5
M.S. BIO. E.	2
M.S. CHE. E.	10
M.S. CIV. E.	10
M.S. C. NUT.	8
M.S. DEN.	2
M.S. EDU.	43
M.S. E.E.	9
M.S. E.M.	3
M.S. FAM.	9
M.S. FOR.	2
M.S. L.S.	25
M.S. MAEN.	3
M.S. M.E.	7
M.S. MIN.	8
M.S. N.	24
M.S.O.R.	5
M.S.P.H.	5
M.S.R.M.P.	2
M.S.W.	29
TOTAL	675

PROFESSIONAL DEGREES

D.M.D.	33
J.D.	127
M.D.	80
TOTAL	240

UNDERGRADUATES

Agriculture	
B.S. AGR.	76
B.S. FOR.	3
B.S. L.A.	17
TOTAL	96
Allied Health	
B.H.S.	35
TOTAL	35
Architecture	
B. ARCH.	32
TOTAL	32
Arts and Sciences	
B.A.	367
B.G.S.	12
B.S.	134
TOTAL	513

Business and Economics

B.B.A.	273
B.S.A.	109
B.S. B.E.	4
TOTAL	386

Communications

B.A.	128
B.S.	10
TOTAL	138

Education

B.A. EDU.	145
B.S. EDU.	3
TOTAL	148

Engineering

B.S. CHE. E.	18
B.S. CIV. E.	31
B.S. E.E.	45
B.S. MAT.E.	8
B.S. M.E.	21
B.S. MIN. E.	3
TOTAL	126

Fine Arts

B.A.	42
B.F.A.	5
B.M.	3
B.M. EDU.	12
TOTAL	62

Home Economics

B.A. HID.	27
B.A. DIE.	16
B.A. FAM.	23
B.S. F.S.	1
B.S. H.E.	12
B.S. MAT.	33
TOTAL	112

Nursing

B.S.N.	93
TOTAL	93

Pharmacy

D. PHARM.	18
B.S. PHARM.	63
TOTAL	81

Social Work

B.A. S.W.	52
TOTAL	52

GRADUATE DEGREES	675
PROFESSIONAL DEGREES	240
UNDERGRADUATE DEGREES	1,874
TOTAL	2,789

The Graduate School

Dean: Daniel R. Reedy

Doctor of Philosophy

Abbey, Abbevi

Ajlan, Abdulaziz M.A., Saudi Arabia

Al-Kalaf, Abdulrahman Abdulmohse, Saudi Arabia

An, Zhi-Qiang, Shanxi, China

Apriyanto, Dwinardi, Bengkulu, Indonesia

Auter, Philip Jeffrey

Bader, Michael John, Dexter, MO

Bailey, Becky Ann, Atlanta, GA

Bark, Larry Jerome, De Soto, WI

Barnett, Diane Lynn, San Diego, CA

Bayan, M. R.

Bender, Martin Harold, Dayton, OH

Bennett, Karin Remington, Minneapolis, MN

Berry, Delano H., Greenville, NC

Besser, Terry L., Georgetown

Blair, Timothy Louis, Russell Springs

Booth, Rosemary, Lexington

Borges, Harold Tim, Lexington

Branner, Frank Michael, Charleston, WV

Broglio, Edward Peter, Jr., Joplin, MO

Buckner, John Robert, Lexington

Buskirk, Robert Dale, Parkersburg, WV

Chamberlain, Mary Ann Sorrell, Lexington

Chang, Ming-Jr, Taiwan, ROC

Chastain, John Perkins, Marietta, GA

Chen, Li-Chuan, Taiwan

Cho, Tae Won, Seoul, Korea

Chung, Chiengsan, Hsinchu, TW

Clark, Stanley, Decatur, MS

Crocker, Peter J., Lexington

Cullinan, Charles Patrick, Boston, MA

Damiri, Johannes

Davis, Woody Lynn, New Carlisle, OH

DeMoss, Karen Lynn, Lexington

Doli, Cheryl Ann, Florence

Doll, Walter Janette, Lexington

Drennen, James K., III, Butler, PA

Dunn, Gale Harris, Lexington

Duren, Stephen Edward, Soda Springs, ID

Ecker, David Patrick, New Albany, IN

Elder, Stewart Todd, Springfield, PA

Eyster, Kevin Irenies, Bellville, OH

Fai, Hrong-Tai, Taipei, Taiwan

Fernandes, Ryan Ivan, Goa, India

Ferrier, Alma Fallon, Lexington

Fought, Lorianne, Havertown

Freeman, Mary Kay McMillen, Bedford, IN

Fu, Yigang, China

Fu, Zhenxing, Shenyang, CH

Galeano, Juan Carlos

Gardner, Charles L., Jr., Lexington

Glavinos, Peter G., Jr., Dayton, OH

Glunt, William Kile, Henderson

Goh, Doreen Kim Soh, Malaysia

Gray, David Eugene, Gillespie, IL

Hafizi, Mohammad Kazem, Lexington

Hall, John Burton, Silver Spring, MD

Hamelin, Richard C., Montreal

Handoko, Hani, Yogyakarta, ID

Hannibal, Denise Lynn, Little Rock, AK

Harbol, Kevin Lee, Canfield, OH

Hardin, Keith Bryan, Lexington

Hartenian, Linda Sue, Oshkosh, WI

Hasibuan, Rosma, Indonesia

Hatfield, Barbara Scott, St Gallitolis, OH

Hayek, Michael Griffin, Newton, NJ

Henson, Linda Sue, Nicholasville

Hikam, Saiful, Indonesia

Hunter, Peggy Pack, Ft. Thomas

Hwang, Yuan-Chuen, Taiwan China

Kleppinger, Eugene David, Berea

Kruse, LouAnn, Temperance, MI

Leedham, Cynthia Ann, Lexington

Lewis, Erik Lind

Liang, Weimin, China

Liang, Youguo, Beijing, China

Lilly, Glenn Matthew, Florence

Lumbanraja, Jamalarn

MacDonald, Robert Charles, Wilmington, DE

Mann, Julie Leighton Sparrow, Louisville

Marksbury, Thomas Allen, Shelbyville

McBurnie, Jeffrey C., Washburn, ME

McCracken, Daniel Vernon, Wilmore

Mehra, Munish, Chandigarh, India

Minton, Gary, Manchester

Mitra, Sudipa, Calcutta, India

Molaghasemi, Hamid Reja

Munawar, Ali, Indonesia

Neack, Laura J.

Ostlund, Eileen Noel, Western Springs, IL

Parvini, Shirin, Tehran, Iran

Paulsell, Sally Atkins, Lexington

Pawlukiewicz, James Edward, Fulton

Pearson, Cheryl Anne, Midway

Pupplo-Cody, Evelyn, Huntington, WV

Reilly, Fay Etta, Lexington

Rippetoe, Patricia E., Russell Springs

Rood, Deborah L., Huntington, WV

Rossen, Jonathan Mark, Boston, MA

Rowles, Ruth Anderson, Lexington

Rutz, Fernando, Pelotas, Brazil

Saad, Charles Georges, Beirut, Lebanon

Savidge, Sandra Jean, Hegins, PA

Schmitz, Gary Lynn, Rosamond, IL

Schwamberger, Eric Charles, Ottenbein, IN

Shear, Elizabeth Beard, Danville

Shen, Pao-Sheng, Taiwan

Shi, Buchang, Jinan, China

Silapanuntakul, Suthep, Thailand

Sloneker, Steven Dean, Hamilton, OH

Small, David Stewart, Riverview, MI

Snape, Kevin F.

Stanley, Gregory Kent, North Huntington, PA

Stenerson, Jon Carter, Glyndon, MN

Stiene-Martin, Elizabeth Anne, Lexington

Storm, Daniel Eugene, Woodbridge, VA

Sweeney, Mary Ellen, Louisville

Tsai, Annie (An-ni), Taipei, Taiwan

Van Schaik, Eileen Anne, Dayton, OH

Vaught, Charles

Way, Susan Lynn, Beacon, NY
 Weaver, Richard R., Kansas City, MO
 Webster, Patricia Chadwell, Lexington
 Williams, Roddy J., Louisville
 Wilsey, Gregory S., Delmar, NY
 Wood, Teresa Ann, Downs, IL
 Wotring, Vanessa Jo, Spelter, WV
 Wright, Michelle Susan Cronk, Louisville
 Xin, Zhaoxu, Qingdao, PRC
 Xuan, Xiaoyi, Zhejiang, China
 Zapalska, Alina, Krakow, Poland
 Zekulin, Alexander Darius, Locust Valley, NY
 Zhang, Baolin, China
 Zhong, Jianhua, Beijing, China
 Zhong, Jianping, Lexington
 Zook, Michael Norman, Los Angeles, CA
 Zourarakis, Demetrio

Doctor of Business Administration

Broce, Patricia Ann, Huntington, WV
 Chamberlain, Darnley Hugh, Jr., Murray
 Handlang, Alice May, Arnold, MO
 Huq, Ziaul, Omaha, NE
 Mohamed, Zubair, Bowling Green
 Weisel, James Allen, Milwaukee, WI

Doctor of Education

Bohn, Cynthia Faye, Lexington
 Bolling, Julien Hope Fuller, Lexington
 Day, Richard E., Lexington
 Gifford, Denise, Louisville
 Johnson, Victoria R.
 Lagos, Raul F., Lexington
 Leal, Dorothy June, Lexington
 Sharon-Sage, Margaret Milam, Midway
 Weaver, Paul Arthur, Corbin

Doctor of Musical Arts

Cooper, David Cornish

Educational Specialist

Coffey, Marilyn Wesley, Liberty
 Duncan, J. Henry, Jr., Versailles
 Slevin, Barbara Nyere, Lexington
 Thompson, Gail Margaret Wright, Danville

Master of Arts

Anderson, Harald Jens, Fairfax, VA
 Bader, Anne Tobbe, Louisville
 Bartella, Brenda J., Lexington
 Bebensee, George, Allentown, PA
 Bertrand, Johnny Vaughn, Pikeville
 Beward, James W., Lexington
 Bley, Barbara A., Nevada, MO
 Boguslaw, Mary J., Hampton, VA
 Bowen, John Terrence, Jr., Exeter, ME
 Brewer, Patrick Todd, Lexington
 Brooks, Clayton Todd, Huntington, WV
 Bruehl, Stephen Paul, Nashville, TN
 Bussey, Judith Sharon, Paintsville
 Cheng, Wai-Yan, Hong Kong
 Clark, Cynthia Diane, Lexington
 Cleveland, Mark Edward, Owensville, IN
 Coleman, David Allen, Berea

Colten, Marianna Mahoney, Frankfort
 Cooley, Laura Jane, Prestonsburg
 Corbett, Joseph Alan, Lebanon
 Cornett, Linda Farmer, Jackson
 Cottrell, Douglas Jay, Tulsa, OK
 Cramer, Ronald S.
 Dailey, John Charles, Lexington
 Dantic, James I., Jr., Owensboro
 Davis, Rachel Sue, Lancaster
 del Castillo, LeeAnn Hill, Mt. Sherman
 Dillman, D. Gene, II, Middlesborough
 DiSilvestro, Rebecca Johanna, Paducah
 Dobson, Melissa Anne, Louisville
 Dowd, Lois Ruth, Corinth
 Ellison, Betty Boles, Lexington
 Enochs, Maureen Margaret, Lexington
 Fairlie, Charlotte, Danville
 Faulconer, Rebecca Branch, Lexington
 Figliulo, Joseph A., Batavia, NY
 Fitzgibbon, Mike James, Rocky River, OH
 Foreman, Nancy K.
 Frankum, Ronald Bruce, Jr., McLean, VA
 Friedell, Janet Nelson, Lexington
 Gelfand, H. Michael, Fair Lawn, NJ
 Geroski, Ray Allen, Davis, WV
 Goff, Stephanie Lyn, Versailles
 Grimes, Andrew Clyde, Jr., Lexington
 Gullette, Brian Robert, Raleigh, NC
 Gutierrez-Villalobos, Sonia
 Hale-Roca, Shelda, Lexington
 Hancock, William Jeffery, Frankfort
 Hawkins, Nyoka, Lexington
 Hayes, Janet Elizabeth, San Antonio, TX
 Hijjawi, Mamoun M., Jordan
 Hodges, Samuel Luke, Lexington
 Horrell, Mason Edward, Owensboro
 Horrell, Tamera Kelly, Charlestown, IN
 Host, David Lesser, Lexington
 Johnson, Melissa Stewart, Patchogue, NY
 Johnson, Steven Lee, Wichita, KS
 Jones, John Richard, Jr., Louisville
 Jones, Karen Louise, Lexington
 Kannapel, Patricia J., Louisville
 Kinser, Todd Alan, Bowling Green
 Kirchner, Nancy B. Paul, Bangladesh
 Kooyman, Holly Beth, Cincinnati, OH
 Kort, Eva Deane, Durham, NC
 Kuklok, Shannon B., Grand Forks, ND
 Lackey, Michael Donald, Chicago, IL
 Libbon, Stephanie E.
 Little, Jennifer Ann, Champaign, IL
 Logan, Jeffrey Allen
 Lorson, Laura Ann, Louisville
 Love, Gary Michael
 Lucero, Scott Joseph, Highland, IN
 Lykins, Lisa Dianne, Lexington
 Mack, Jeffery Dwayne, Waverly, GA
 Martin, Mary Evelyn, Anchorage
 McGinley, Christopher John, Andover, MA
 McVay, Vicki J., Lexington
 Merrill, Clark Addison, Henderson
 Messone, Nelson Noël, Libreville

Million, Charles, Danville
 Mitchell, Marie Jenette, Mt. Pleasant, IA
 Moliterno, Thomas Paul, Mendham, NJ
 Moore, Mary Annette, Lexington
 Moore, Warren Smith, Il, Union
 Naikirk, Gregg Barton, Danville
 O'Brien, Matthew Arthur, Chicago, IL
 Oldham, Carolyn A., Henderson
 Olson, Charles Strong, Washington, DC
 Paniagua, Melba Del Socorro, Nicaragua
 Phillips, Evelyn Ruth, Huntington, WV
 Powell, Betty Jane, Caneyville
 Reeves, Rhonda, Lexington
 Roberson, Laura Fontaine, Elizabethtown
 Roberts, Randall Lee, Berea
 Rose James Alexander, III, Shelbyville
 Russell, Mark Weiser, Glen Ellyn, IL
 Sauffer, Cheryl A. Boice
 Schafer, Paul Philip, Balitmore, MD
 Sebastian, Charlotte Ann, Lexington
 Seevers, K. Daniel, St. Louis, MO
 Shelquist, James Allen, Bemidji, MN
 Smentkowski, Brian Peter, Huntington, WV
 Smith, Adrian Maxon, Littlehampton, United Kingdom
 Smithouser, Robert Paine, Brick, NJ
 Stoch, Monica, Hoyt Lakes, MN
 Swindell, Christopher Lee, Logan, WV
 Thomas, Lynda Morris, Lexington
 Thompson, Tammy Lynn, Danville
 Tilson, James Neal, Chilhowie, VA
 Tsomo, Tsering, Dalhousie, India
 Tune, Teresa Ward, Lexington
 Walden, Katherine Parrish, Midway
 Winslow, Mary Whipkey, Lexington
 Withers, David Franklin, Louisville
 Wrenn, Marilyn Alice, Charleston, WV
 Ying, Ming Hung Alton, Hong Kong
 Zhang, Bei Qing, Shanghai, China

Master of Arts In Education

Bottoms, Michele Dean, Danville
 Collier, Martha Louise, Harrodsburg
 Douglass, Charles Knapp, Lexington
 Kaiser, Jill Hunter, Lexington
 Littleton, Karen Elaine Mitchell, Morristown, TN
 Peat, Linda O., Lexington
 Voyles-Glass, Laura Lynn, Owensboro
 Ware, Janette Rivers, Lexington
 Williams, Charlene Ann, Nicholasville

Master of Arts in Interior Design

Cline, Holly Leeann, Huntington, WV

Master of Business Administration

Baughman, James Carson, Jr., Lexington
 Chavis, Bobby Gerald, II, Corbin
 Codell, James Callaway, IV, Winchester
 Eichenberger, Mark Anthony, Louisville
 Fiely, Joseph Paul, Atlanta, GA
 Gebauer, Markus, Germany
 Hancock, Mary Christine, Cynthiana
 Hideg, Jane Ellen, Paducah
 Hoagland, Timothy Wayne, Louisville

Homan, Joseph Thomas, Springfield
 Johnson, Richard Phillips, Louisville
 Kittle, Beverly Carol, Cynthiana
 Kuerzi, Jeffrey Alan, Louisville
 Lucas, James Roderick, Lexington
 Manthey, William Alan, Galion, OH
 Miller, Frederick Kenton, Visalia, CA
 Moffett, John Warner, Harrodsburg
 Mosher, Jeannie Savaso, Lexington
 Ousselin, Edward Henry
 Pearson, Lynn O'Brien, Louisville
 Price, Karen Michelle, Greensburg
 Richardson, Benjamin, Lafayette, IN
 Rooke, Paul Allen, Lexington
 Schmidt, Larry Don, Kewanee, IL
 Smith, Jairus Deron, Ashland
 Spears, Christopher Clark, Berea
 Todd, James B., II, Pikeville
 Trentham, Edward Mattison, Anchorage
 Wallace, Rebecca A., Hopkinsville
 Wilson, William Grant, Mt Sterling
 Workman, Sarah Hall, Lexington
 Wright, Charles S., Lexington

Master of Fine Arts

Choy, Cambid-J T.Y., Honolulu, HI
 Huebner, David M., Richmond, VA
 Kincaid, Theodore Allen, Jr., Dallas, TX
 Wells, Judy F.

Master of Music

Adams, William Jenkins, Hopkinsville
 Amrhein, Mary Regina, Pittsburgh, PA
 Derber, Chiharu T.
 Feezel, Christine Louise, Lexington
 Sanders, Sara Louise, Dallas, TX
 Sturgill, Gregory Scott, Pittsburgh, PA
 Vallentine, Diane Kay Kaufman, St. Charles, MO

Master of Public Administration

Alexander, Martha Daily, Lexington
 Burgio, David Ernest, Berea
 Finch, Steven Nelson
 Hewlett, Thomas Clark, Lexington
 Kurtz, Patricia Ann, Lexington
 Lashley-Chiles, Laura A., Ironton, MO
 Maddox, Kelly Claudette, Lexington
 Meadows, Joseph Herman, Jr., Winchester
 O'Neal, Donna Spencer, Georgetown
 Saylor, Kathy Ellen, Evarts

Master of Rehabilitation Counseling

Croley, Belitta Cousain, Lexington
 Hynninen, Paula Mariana, LaPaz, Bolivia
 Weber, Ronald L., Lexington
 White, Kathleen Flynn, Richmond
 Wood, Mary E., Gallipolis, OH

Master of Science

Ali, Muhammad, Riau, Indonesia
 Appleton, Randall Ryan, Naperville, IL
 Armendarez, Lawrence Paul, Owensboro
 Asmarantaka, Corjati, Indonesia

Baumgartner, Robert Michael, Toledo, OH
 Brockman, Dale, Union
 Brower, Melissa Anne, Hohenwald, TN
 Brown, David M., Lexington
 Burchfield, Maren Manette Nichol, Athens, OH
 Chang, Yang-Ho, Seoul, Korea
 Cherry, Jamie Sue, Mason, MI
 Ciapponi, Tamara Michelle, Chico, CA
 Cooley, Paul David, Nicholasville
 Corrigan, Susan Killeen, Wichita, KS
 Courtney, Anita Helen, Louisville
 Czamecki, Paula Marie, Detroit, MI
 De La Barra, Victor Hugo, Bolivia
 DeLetter, Paul M., LaPorte, IN
 Draud, Jon Winston, Crestview His
 Dumasia, Vistasp K., Bombay, India
 Edgette, Mary Elizabeth, Hampton, VA
 Ellis, Cynthia G., Bedford, MA
 Essex, Joseph Brian, Great Neck, NY
 Ferry, Audrey Pitch, Binghamton, NY
 Fordham, Miriam Andretta, Tampa, FL
 Foshee, Melissa Kay, Lexington
 Frechette, Paul George, Miami Shores, FL
 Gaines, Jean Franklin, Richmond
 Go, Robert Jone, Brooklyn, NY
 Griffiths, Terry Estil, Jeffersonville, IN
 Gupta, Manish, N. Delhi, India
 Haak, Amy Jo, Madison, WI
 Hagood, Cassandra Sharon, Merritt Island, FL
 Haley, Michael John, Lexington
 Hauseit, William Jerome, Jr., Avon-By-The-Sea, NJ
 Helton, Annetta Delores, Corbin
 Hemken, Ann M., Lexington
 Henry, Keith Wayne, Lexington
 Higdon, Brian Kennedy, Owensboro
 Holt, David Waller, Lexington
 Huang, Jingsong, China
 Huang, Qi, Shenyang, China
 Humkey, Lynne B.
 Indriani, Yaktiworko, Lampung, Indonesia
 Johnson, Buxton Layton, III, Owensboro
 Jusuf, Sri Sulaksmi Damayanti, Jakarta, Indonesia
 Kamat, Rohini Madhav, Belgaum India
 Kieborz, Kelli Rae, Loup City,, NE
 Kim, Eungsuk, Bloomington, IN
 King, Richard Newton, Lexington
 Kolasa, James Reid, Lexington
 Komanduri, Raghuram, India
 Korra'ti, R'ykandar S'it'agta, Deerfield, MA
 Lai, Paul Chun Ko
 Lin, Bor-Chyi, Taiwan
 Liu, Qin, Chengdo, China
 Luo, Haiping, Nanjing, China
 Luo, Hongde, Kaifeng, China
 Lynch, Patricia Anne
 Maddur, Sudarsan Kumar, Madras, India
 Maurer, Eric Franklin, Riverdale, GA
 McHugh, James Arthur, Lexington
 Mehra, Munish, New Delhi, India
 Money, Robert Dale, Buffalo
 Moore, Daniel Joseph, Madison, WI
 Mudd, Phillip G., Louisville

Nariani, Sunil Shamdas, India
 Newcomb, Samuel Thomas, Sadieville
 Oswari, Liniyanti D., Indonesia
 Ouyang, Tong, Guangzhou, PRC
 Owens, Vicky Lynn, Versailles
 Patankar, Atul Anant, Lexington
 Perry, Kenneth E., Franklin
 Potter, Brit C., Jr., Dorton
 Prima, Sandra, Indonesia
 Probst, Manuel Michael, Lexington
 Prosser, James Anthony, Milwaukee, WI
 Rae, Jay Thomas, Greenwood, IN
 Ransky, Katherine Ruth, Lexington
 Reddy, Wasavika M.
 Riley, Sheila Marie, Elizabethtown
 Rogers, Matthew Wayne, Shelbyville
 Rowlett, James Kent, Lexington
 Rutz, Eva Maria da Rocha, Pelotas, Brazil
 Sadr, Amir Hossein, Lexington
 Sage-el, Kevin L., Brooklyn, NY
 Scanlon, Mark William, Louisville
 Seta, Ananto Kusuma, Indonesia
 Shah, Nayan, India
 Shama, Sreenath, Bangalore, India
 Sikkas, Bridget Pamela, Blairstown, NJ
 Snyder, Kristin Marie, Dillon, SC
 Snyder, Michael Scott, Hawesville
 Stathas, Aneve Tyleen, Lexington
 Sulistyowati, Endang, Indonesia
 Syabri, Ibnu
 Tarjono, Kamto, Indonesia
 Taylor, Charles Joseph, Lexington
 Taylor, Page Boisseau, Lexington
 Ternes, John Kevin, Bowling Green
 Turner, John Douglas, Erlanger
 Vaidya, Manish Shriram, Pune, India
 van der Veen, Edward Sjoerd, Glen Rock, NJ
 Vasudeva, Neena, India
 Wagdy, Lara, Homewood, IL
 Wang, Yiqin, Baotou, P.R. China
 Whiting, Timothy L., Jr., Ellington, CT
 Wyatt, Sarah E., Mayfield
 Yang, Ching Hua, Lexington
 Yates, Gregory Arnold, Elizabethtown
 Yi, Timothy YongWook, Portland, OR
 York, Melissa Carol, Phyllis
 Yusuf, Hammam Riza, Indonesia
 Zhang, Bing, China
 Zulfatri, Padang, Indonesia

Master of Science in Accounting

Beihn, Jennifer Lea, Frankfort
 Brockman, Robert Todd, Lebanon
 Lawson, Rona M., Boston, MA
 Lile, Lori Michelle, Greenville
 Rohleder, Douglas James, Smithfield
 Wilkins, Mike S., Murray
 Wingo, Suzanne Renee, Georgetown

Master of Science in Agricultural Engineering

Ali, Mochamad, Jambi, Indonesia
 Baier, James Wayne, Oldtown

Idris, Islan, Indonesia
Mujiharjo, Sigit, Indonesia
Sun, Wei, P.R. China

Master of Science in Agriculture

Fought, Daniel Lynn, Lexington
Utami, Liliek Srie, Jakarta, Indonesia
Wartidiningsih

Master of Science in Biomedical Engineering

Sathe, Suhas Anant, Bombay, India
Wang, Mao, China

Master of Science in Chemical Engineering

Dhariwal, Vivek, India
Hager, Gregory Todd, Lexington
Kothari, Anup, Kota, India
Kunnummal, Noushad, Calicut, India
Martin, Ronald W., Jr., Toledo, OH
Mrvos, James Michael, Lexington
Vaidya, Shreeniwas Vishnu, Ambarnath
Venkatachalam, Harish, Bombay, India
Venkatraman, Sankaranarayanan, India
Yerneni, Chowdary, India

Master of Science in Civil Engineering

Bostrom, Niels Robert, Versailles
Carlberg, Ralph Curt, Jr., Muldraugh
Fuller, Don Wayne, II, Lexington
Huff, Tony, Hindman
Khattar, Nayla Hanna, Lebanon
Liu, Xi, Shandong, P.R. China
Muncy, Bennett Wade, Louisa
Sayyedsadr, Mostafa, Iran
Terrell, Laura B., Paducah
Williams, Thomas W., Loyall

Master of Science in Clinical Nutrition

Borg, Sharon Claire, Hebron
Eastin, Charles Eckford, II, Lexington
Halcomb, Karen Kay, Williamsburg
Kingston, Martha Ann, Charleston, SC
Logan, Stephanie Elizabeth, Felicity, OH
McAdams, Traci R., Dundee
Pike, Jennifer Helen, St. John's, New Foundland
Stewart, Suzanne Biesinger, Lexington

Master of Science in Dentistry

Sharp, Larry Bruce, Winchester
White, James Gregory, Lexington

Master of Science in Education

Al Kully, Salem Hussein, Saudi Arabia
Ashankyty, Ibraheem Mohamed
Baird, Deborah Tilson, Lexington
Beatty, Joyce Spears, Lexington
Chandler, Wanda G., Bowling Green
Clark, Loretta Gail, Lexington
Clark, Nora L., Prestonsburg
Crawford, Mildred Rivera, Elizabethtown
Curiel, Laura Ellen Taylor, Lexington
Davis, Gail Lynn Lapinski, Glasgow
Doty, Sarajane, Dayton, OH
Dozier, Amy Elizabeth, St. Louis, MO

Dufresne, Judith Hendrix, Lexington
Feltner, Lisa, Hyden
Fitzpatrick, Terry L., Oxford, OH
Fulks, Lisa Noelle, Lexington
George, Cindy L., Frederick, MD
Gibson, Amy Nixon, Lexington
Haggard, Tina Marie, Conway, AR
Haist, Steven Arthur, Lexington
Hall, Colin Kelly, Calhoun
Hobbs, Sharon Dianne, Ft. Worth, TX
Holcombe, Malane Ariane, Greenville, SC
Hughes, Mildred Watkins, Bardstown
Ito, Minori, Japan
Jackson, Shelley Gallagher, Lexington
Jiffri, Essam Hussein, Saudi Arabia
Jones, Steven Craig, Georgetown
Jump, Vonda Kay, Covington
Land, Louann E., Danville
Lawrence, Riki, Knoxville, TN
Maier, Elizabeth Ann, Beaver Dam, WI
McNutt, Nancy Faye, Paducah
Murphy, Amy E., Lexington
Nuss, Sharon Marie, Louisville
Parker, Danielle Murray Smith, Louisville
Peavler, Jennifer Waits, Lexington
Perry, Terry Demaree, Lexington
Richey, Stephen Robert, Lexington
Robuck, Timothy D., Lexington
Smith, Jacqueline MacFarland
Vawter, Rebecca Suzanne, Cloverport
Woodall, Abbe L., Los Angeles, CA

Master of Science in Electrical Engineering

Arnold, Bruce Thomas, Madisonville
Clark, Michael William, Knoxville, TN
Gangl, Hubert Richard, III, Huntsville, AL
Haas, Thomas Joseph, Lexington
Hall, Scott Wayne, Pleasureville
Lundberg, Martin B., Richmond
Moore, Wilda Emily, Lexington
Pewitt, Bonnie Gail, Naperville, IL
Vu, Hanh Duc, Saigon

Master of Science in Engineering Mechanics

Krishnaswami, Ramasunder
Thomas, Barry Lewis, Leitchfield
Xu, Yiping, China

Master of Science in Family Studies

Devine, Carol Lynn, Lexington
Durham, Martha Law, Highlands, NC
Gish, Charlotte Joan, Calhoun
Levine, Deborah Labas
McMurray, Mary Glinette,
Netherland, Kathy Hardin, Bardstown
Noe, Gina Combs, Walton
Seaton, Adria June, Calvert City
Wolford, Elizabeth Marie, Lexington

Master of Science in Forestry

Budhi, Setia, Indonesia
Lestari, Pamuji, Indonesia

Master of Science In Library Science

Achebo, Nubi Kosmas, Memphis, TN
Allen, Karen Byrd, Lexington
Arnold, Catherine Jean, Idaho Falls, ID
Cahill, Ann Catherine, Petersburg
Cave, Mark Alexander, Lexington
Chermely, Cynthia Marie, Cincinnati, OH
Davenport, Steven Lamson, Lexington
Douglas, Michael Lee, Cincinnati, OH
Edwards, Gregory A., Walton
Haper, Margaret Elizabeth Counterman, Lexington
Hoffman, Jay Joseph, Lexington
Holt, Christopher C., Cincinnati, OH
Hull, Deborah Marie Dalley, Cincinnati, OH
Kavanaugh, Karalyn F., Cincinnati, OH
Kruthoffer, Robert Emil, III, Huntington, WV
Meinhardt, George Daniel, Cincinnati, OH
Mitchell, Priscilla Anne, Dayton, OH
Nibert, Cynthia M., Ashland
Norris, Rebecca Sue, Cincinnati, OH
Parrish, Cheri Lee Davenport, Decatur, IL
Radow, Denise Paine, Portland, OR
Salyers, Nancy Ellen, Cincinnati, OH
Seymour, William Randolph, III, Priceville
Smith, Ami L., Lexington
Tanfani, Matilda Ann, Cincinnati, OH

Master of Science In Materials Science and Engineering

Brignull, Alfred James, Winter Haven, FL
Jeon, Minhyon, Korea
Yadav, Anil Zunzarrao, Poona, India

Master of Science In Mechanical Engineering

Bojappa, Parvangada G., Lexington
Cartwright, Jerry Lee, Frankfort
Ghai, Mark Krishan, Winchester
Lin, Wenxiu, Shanghai
Nagarathnam, Lakshman, Bangalore, India
Nguyen, Hiep Xuan
Sehmbey, Maninder Singh

Master of Science In Mining Engineering

Aggarwal, Tarsem L., Harlan
Atkins, Robert Ryland, Harlan
Gardner, James Steven, Greensburg
Hammons, Arnold, Jr., Harlan
Manning, James Edward, London
Sheffield, Terry Ray, Benham
Simpson, Debra L.
Thomas, Rick W., Harlan

Master of Science In Nursing

Baker, Mary Lee, Lexington
Branson, Pamela Kay, Henderson
Clements, Patricia Lynne, Lexington
Courtney, Mary Clara, Mayfield
Earls, Rhonda Lynne, Radcliff
Edman, Carol Ann, Santa Clara, CA
Emerson, Susette Louise, Albuquerque, NM
Graham, Judith Anne, Republic, WA
Hesch, Marion M., St. Cloud, MN

Hignite, Barbara Jean, Olive Hill
Joyner, S. Kathryn, Centerville, IN
Kerit, Donna Jean, Indianapolis, IN
Kroll, Deborah Anderson, Union
Limkemann, Teresa Gayle, West Point, IL
Loan, Theresa Denise, Huntington, WV
Mangus, Karen Ann, Louisville
McNabb, Linda Ellen Rogers, Owingsville
Miller, Nancy Louise Hauweise, Lexington
Moore, Brenda Anderson, Danville
Oakley, Marsha Grimes, Lexington
Partin, Elizabeth M., Columbia
Tichenor, Sherry Sailors, Lexington
Wade, Valerie Robin, Leitchfield
Walgamuth-Owens, Shirley Ann Hubert, Winchester

Master of Science In Operations Research

Groleau, Thomas Gerald, Lexington
Huang, Zhaohui, P.R.China
Hyman, Martin Eric, Baltimore, MD
Jiang, Hong, Beijing
Li, Weiwen, P.R. China

Master of Science In Public Health

Auslander, Michael B., Louisville
Collins, Robert Wentworth, III, Lexington
Kelafant, Geoffrey Arnold
Smith, Mary Lawson, Lexington
Tokowitz, Craig Steven, Chicago, IL

Master of Science In Radiological Medical Physics

Gregory, Robert Charles, Flemingsburg
Harasimowicz, Paula Jean, Winchendon, MA

Master of Social Work

Back, Margaret Sue Lambdin, London
Black, Pamela Jo, Georgetown
Brumback, Ida Evelyn, Versailles
Chambers, Larry Randall, Cincinnati, OH
Ciocci, Nancy Katherine Nisbet, Lexington
Cowan, Gladys Pauline, East Bernstadt
Donegan, Lori, Maysville
Dunne, Clifford Thomas, Danville
Edgley, Jack David, Alexandria
Hamon, Robin L., Syracuse, NY
Jackson, Denise E., Lexington
Jensen, Jill S., Lexington
Lander, Barbara B., Lexington
Lindemann, David J., Ft. Wright
Lockhorn, Maria Denise, Cincinnati, OH
Loesing, Angela Marie, Independence
Miller, Doloros McKee, Danville
Miller, Phillip Ronald, Versailles
Naugher, Carol VanDerHeyden, Mishawaka, IN
Newsom, Paula Jo, Lynchburg, OH
Roland, Beverly S., Williamstown
Schwab, Mollye Kay, Lexington
Seelbach, Mary Henderson, Lexington
Sexton, Martha Lea, Henderson
Skirvin, Jennifer Susanne, Williamstown
Smoot, Carol Morrison, Lexington
Taylor, Caroline Leila, Huntington, WV

Weaver, Mark Klay, Versailles
Zolondek, Sara Ann, Lexington

College of Law

Dean: Rutheford B Campbell, Jr.

Juris Doctor

Abel, Sandra Fraley, Paintsville
Akers, Renee, Richmond
Anderson, Kurt Walter, Hopkinsville
Armstrong, Jacqueline Kincade, Henderson
Baker, Elmer Lee, Corbin
Barber, Diana Lynn, Lexington
Barker, Amy Virginia, Taylorsville
Barnes, Robert Beauregard, Frankfort
Bates, Leslie C., Port Huron, MI
Bolus, James Michael, Jr., Louisville
Bongard, Helen Louise, Ithaca, MI
Borders, Harry B., Louisville
Bouvette, Ralph Edmond, Louisville
Brewick, Anna Marie, Chicago, IL
Bronaugh, John Hutchcraft, Paris
Brown, Martha Lynne, Corbin
Brown, Nicholas Rapier, Louisville
Broz, Debra Lynn, Bowling Green
Bruner, Margaret Ellen Benge, London
Bunning, David Louis, Southgate
Carrico, Michael Layne, Fairbury, IL
Carruthers, Paul Matthew, Jr., Greenville, SC
Cashen, Christopher Rennie, Louisville
Cockrell, Kevin Clay, Mt. Sterling
Coleman, Katherine Marie, St Petersburg, FL
Collins, Russell Lewis, Perry, OH
Cook, Vance Wayne, Cadiz
Cox, Douglas Wayne, Lexington
Cress, Lloyd Russell, Jr., Lexington
Diersen, Deborah Rae, Louisville
Dingus, Kelly J., Martin
Doctrow, Robert Mark, Lexington
Ecton, Scott Taylor, Elizabethtown
Erwin, Martha Ross Odle, Murray
Estes, Mary Wis, Russellville
Foree, Robert Hughes, Eminence
Forester, William Todd, Lexington
Gauvin, Mauritia Mary, Richmond, VA
Gleason, Kelly Aldrich, Bowling Green
Gorham, Anne E., Lexington
Gott, Douglas Wayne, Hopkinsville
Grace, Stephen Wayne, Crofton
Graham, Janet Marie, Bowling Green
Grant, K. Denise, Hawesville
Gaus, Stacey Lynn, Cold Spring
Graves, Margaret M., Lexington
Green, Gladys Gertrude Beck, Clayton, NM
Greenlee, Marianna L., Irvington
Hager, Sharon Kaye, Paintsville
Halbleib, Walter Thomas, Louisville
Hall, William Mitchell, Jr., Somerset
Hanner, Jane Evelyn, Dunedin, FL
Hardin, Valerie Kathleen Hardwick, Louisa
Havey, James Daryl, Independence
Hawkins, Leah Nell, Ashcamp

Heilman, Elizabeth Ann, Campbellsburg
Herklotz, Johann Frederick, Bellevue
Hundley, Charlton Clay IV, Tompkinsville
Iler, Clifton Miller, Louisville
James, Daniel Warren, London
Jelsma, Franklin Keith, Louisville
Johnson, Richard Phillips, Louisville
Jones, Charles Elic, Frankfort
Jones, Stephen Douglas, Russell
Kennedy, Kenneth Howard, Jr., St. Louis, MO
Laumas, Kevin Paul, Lexington
Layton, Robert Grant, Paris
Leland, Jeffrey Brackett, Northborough, MA
Lippert, Julie Ellen, Paducah
Long, Marilyn Hardwick, Richmond
Lovell, Marc Allen, Bowling Green
Marsden, James Richard, Chicago, IL
Marsh, Geoffrey Duncan, Paintsville
Mau, Clifford Ken, Honolulu, HI
McCollough, Sherry Susanne, Danville
McKee, Mary Porter, Frankfort
Mefford, Raymond Douglas, Bowling Green
Miller, Clarence Terrell, Bowling Green
Moore, Christopher David, Henderson
Moore, Leslie William, Lexington
Moore, Steven Jarvis, Corbin
Myneer, Maryellen Buxton, Lexington
Nash, Patrick Francis, Lexington
Nash-Caywood, Martha A., Hopkinsville
Patrick, Teresa Lynn, Lexington
Paulson, Sally French, Mt. Carmel, IL
Pepper, H. Harris, Jr., Bowling Green
Peterson, Shaun David, Wilmington, OH
Pharis, Charlie Coral, Burkesville
Phillips, Steven Douglas, Lexington
Polly, Ronald G., Whitesburg
P'Pool, William Charles, Murray
Redford, Carroll Morris III, Glasgow
Reid, Denise Marie, Fisherville
Rice, Hughes Hamilton III, Owensboro
Ruth, Anna H., Ashland
Schaaf, Gregory Robert, Owensboro
Schrader, Christel Allyn, Lexington
Shaffer, Randal Craig, Springfield, MO
Sharrard, Mark Alan, Lexington
Shepherd, Mark Allen, Cumberland
Shier, William Taylor, Lexington
Simcoe, John David, Glendale
Slater, Robin Renee, Belfry
Slone, Gordon Ray, Inez
Spalding, Samuel T., Lebanon
Spears, Elizabeth Hughes, Lexington
Stockton, Susan Marie, Morganfield
Stowers, Linda Marie, Belle, WV
Sullivan, Ronald Michael, Owensboro
Sutherland, Scott Crawford, Versailles
Ternes, Lisa McGuire, Henderson
Thompson, Cynthia Anne, Richmond
Touse, Sue Ann, Columbus, OH
Travis, Margaret Alexander, Glasgow
Traylor, Lisa Ann, Nebo
Turnbull, Stephen Rice, Lexington

Van Bever, Glenn Christopher, Pineville
 Vescio, Michael Andrew, Lexington
 Walther, Christopher Brian, Louisville
 Welleford, Robert Chealis, Hopkinsville
 Wells, Jennifer Lynn, Inez
 Wells, Timothy L., Hyden
 Willis, Paul Marcum, Lexington
 Wilson, Laura Ellyn, Somerset
 Wingate, Thomas Dawson, Frankfort
 Young, Catherine Michele Murr, Louisville

College of Medicine

Dean: Emery A. Wilson, M.D.

Doctor of Medicine

Adams, Thomas Michael, Corydon
 Anderson, Darryl MacLean, Lexington
 Austin, Paul Farmer, Murray
 Bailey, Mark Elliott, Owingsville
 Barnes, Anthony Greg, Edgewood
 Bellardo, Lewis Joseph III, Lexington
 Berry, Marytena, Lexington
 Blosser, Anita Louise Scott, Abilene, KS
 Bridges, William Scott, Lexington
 Briggs, Karen Tabitha, San Francisco, CA
 Brotherton, Brenda Joyce Columbia, Lexington
 Bruner, Jack Casteel II, London
 Burba, Patrick Leroy, Louisville
 Cabelka, John Francis II, Janesville, IL
 Carran, Todd Stephen, Park Hills
 Casey, Baretta R., Pikeville
 Cheever, Todd Richard, London
 Chesnut, Michael Bruce, Hopkinsville
 Coleman, Susan Bettina Patton, Nicholasville
 Costello, Louis Edward, Owensboro
 Darpel, John Andrew, Edgewood
 Davis, Bradley James, Lansing, MI
 Davis, Kristina E., Louisville
 Dinning, James Patrick, Bowling Green
 Ekman, Evan Franklin, Louisville
 Fritz, Thomas James, Ft. Mitchell
 Graham, Dwight David, Greenfield, IN
 Greenwell, Leslie Ann, Louisville
 Haddix, Allen Courtney, Edgewood
 Halfhill, Harold Lloyd II, Richmond
 Hansen, Steve, Los Gatos, CA
 Hayden, Francis F., Owensboro
 Hollingsworth, Paula Terese Weeks, Paducah
 Howard, Dianna Sue, Bardstown
 Hudepohl, Jamee Ellen, Ft. Thomas
 Humphries, Roger Loyd, Ft. Thomas
 Jones, Brian Christopher, Louisville
 Jones, Christopher John, Louisville
 Kean, Alison R., Prospect
 Keeling, Julia Eva, Springfield
 Lai, Wallace, Paducah
 Lear, Linda C. Adkins, Nicholasville
 Legris, Marc David, Mt. Sterling
 Liddle, Katherine Jean, New Castle
 Linger, Judy Eva McNeill, Georgetown
 Lovely, Timothy Dean, Jackson
 Lucas, Shantae LaDon, Jenkins

Lyons, Dana Jill, Lexington
 Malempati, Sailaja, Pikeville
 Marionneaux, Ralph Daren, Richmond
 Meek, Quinton Craig, Lexington
 Miller, David Alan, Louisville
 Mills, Amy Claire, Lexington
 Moore, Barbara Carol, Chattanooga, TN
 Moore, James Donald, Lexington
 Motley, Gregory Stephen, Glasgow
 Murray, Robert Leslie, Jr., Ft. Thomas
 Nation, Sandra Lois, Owensboro
 Neus, Steven Eugene, Morning View
 Newman, Robert Mark, Greenville
 O'Brien, Stephen Joseph, Lexington
 Poplin, Eric D., Lexington
 Quesada, Eduardc William, Louisville
 Raschella, Nicholas Alfred, Pikeville
 Rassenfoss, Robin Michelle, Florence
 Robinson, S. Todd, Lakeland, FL
 Ruschman, Eric Ignatius, Erlanger
 Simon, Frank Tolis, Louisville
 Simpson, Tina Faye, Williamstown
 Smith, Dennis Hugh, Manchester
 Smith, Jerry Lee, Hopkinsville
 Stanley, Nancy Sue, Pikeville
 Storey, Dianne Michele, Louisville
 Tietz, Judith Hepburn, Woodstock, VT
 Wagner, Lars Martin, Frankfort
 Warren, Roderick, Sebree
 Welch, Samuel G., Maysville
 White, Robert Lee, Jr., Cincinnati, OH
 Wicker, Robert Thomas, Jr., Lebanon
 Wiedemann, Jefferson Mark, Georgetown

College of Dentistry

Dean: David A. Nash

Doctor of Dental Medicine

Allen, Dawn Rita Tackett, Pikeville
 Barr, Nelle Vincent, Frankfort
 Borders, Cynthia Ann, Lexington
 Burns, John B. Thaxter, Mt. Olivet
 Carlisle, Jan Fairia, Paducah
 Childress, Kurt R., Pikeville
 Collier, Deborah Smith, Galion, OH
 Colón, Walter Edward, San Juan, Puerto Rico
 Croley, Daniel Wayne, Louisville
 Cummins, Mark Owen, Lexington
 Daniel, James E., II, Ashland
 DeMoss, Angela Dawn, Hanson
 Felty, William Evert, Jr., Flatwoods
 Frazier, Laura Beth, Ashland
 Goodman, Robert Irwin, Jr., Harrodsburg
 Gordon, Douglass Keith, Carlisle, OH
 Hensley, Ben H., Jarvis
 Horn, Leslie Carol, Inez
 Howell, Jennifer Pruitt, Henderson
 LaFrana, Erin Lynn, Lexington
 Lewis, Jeffrey Blaine, Manchester
 Love, Maxon W., Royalton
 Loy-Flanagan, Melanie K., Jamestown
 Mueller, Thomas B., Ft. Wright

Oliver, Richard Watson, Jr., Nashville, TN
 Parlanti, Sherry Marie, Lexington
 Ralph, Mark Alan, Whitesville
 Saindon, Gina Luree, Somerset
 Scobee, J. Todd, Nicholasville
 Shaw, Pamela Patrice Dale, Gary IN
 Sievers, Lou P., Water Valley
 Wilson, Mary Carolyn, Lexington
 Worthington, Julie Jean, Ewing

College of Arts & Sciences
Acting Dean: Bradley C. Canon
Bachelor of Arts

Abner, David Bryan, Manchester
 Acosta, Diana Marie, Logan, WV
 Alcorn, James Kenton, Lexington
 Allen, Debora Adele, Salvisa
 Allen, Robert Eugene, Lexington
 Amling, Janice Marie, Saint Louis, MO
 Anders, Mark Edward, Lexington
 Anderson, Cristin Diane, Northbrook, IL
 Ashcraft, Gregory Daniel, Florence
 Atkinson, Jeffrey Douglas, Louisville
 Baird, William Russell, Lexington
 Bauer, Erik Werner, Lexington
 Bentley, Gregory Neil, Pikeville
 Bertram, Robert Lynn, Jr., Jamestown
 Bevil, Marianne, Owensboro
 Bhapkar, Anjali Vasant, Lexington
 Bice, John Emory, St. Simons Island, GA
 Birch, Timothy Earl, Lexington
 Bishop, Melanie Lynn, Lexington
 Bishop, Randall Louis, Mt. Sterling
 Blackburn, Sean Michael, Lexington
 Blair, Robert L., Elizabethtown
 Blakey, Rhonda Lee, Stanton
 Bloom, James Albert, Versailles
 Boarman, Victoria Elena, Owensboro
 Bondurant, Thomas Charles, Lexington
 Borders, Laura Carol, Somerset
 Bottorff, Laura Ann, Lexington
 Bradbury, Gary Todd, Shepherdsville
 Brandon, Matthew John, Worthington, OH
 Brewer, John Lee, Morehead
 Brickeen, Kimberly Cagle, Mayfield
 Brock, Julia McDowell, Georgetown
 Brophy, Donald Thomas, Jr., Philadelphia, PA
 Brown, Deborah Carol, Grapevine, TX
 Brown, Scott Grady, Richmond
 Brucker, Victoria Maris, Paducah
 Burke, John Crittendon, Nicholasville
 Burki, ShamyI Khan, Lexington
 Burnett, William Joseph, Shepherdsville
 Butler, Brian P., Madisonville
 Calhoun, Susan Constance, Radcliff
 Cannon, Julia Witt, Nashville, TN
 Carlock, Cathy Lynn, St Louis, MO
 Carpenter, Tina Margaret, Nicholasville
 Casper, Kathleen Suzanne, Lexington
 Castle, Michael Clark, Aberdeen, OH
 Cavaney, Kristin, Alexandria, VA

Caywood, David Clark, Lexington
 Cecil, Penny Anne, Bardstown
 Chadwick, James Edmund, Hinsdale, IL
 Clark, Anthony Wayne, Lexington
 Clark, Catharine Marie, Louisville
 Clark, Catherine Lynn, Lexington
 Clay, Cynthia Harrison, Winchester
 Cleveland, Amy Jo, Dry Ridge
 Cobb, Daryle Lewis, Lexington
 Cole, Jonathan David, Lexington
 Collins, Christopher Julius, Lexington
 Collins, Rebecca Kathryn, Paintsville
 Collins, Terri Denise Bates
 Collinsworth, Mark, West Liberty
 Comella, Christine Lynn, Pittsburgh, PA
 Compton, Rhonda Lynn, Lexington
 Conley, Lisa Noelle, Ashland
 Conyers, Melanie Sue, Nicholasville
 Cooper, Brent Madison, Morehead
 Cornette, Timothy Dotson, LaGrange
 Coursey, Sarah Ruth, Lewisburg
 Coveney, Nancy Coman, Lexington
 Cox, William Meredith, Jr., Madisonville
 Craft, Michael Joseph, Mt. Sterling
 Craig, Penryn Lee, Louisville
 Cranmer, Thomas Edward, Shorewood, IL
 Crase, James Karl, Somerset
 Creekmore, Melissa Lyn, Somerset
 Criswell, Christopher Aaron, Ashland
 Crum, Glen Dean II, Louisville
 Daly, Melissa Jane, Florence
 Daniel, Brian Carl, Hopkinsville
 Daniels, Audrey L., Lexington
 Daugherty, Susan Pauline, Lexington
 Davenport, Harold Kevin, Danville
 Davidson, Gregory, Manchester
 Davis, Darren Brönt, Paintsville
 Davis, Natalie Arnez, Versailles
 Dawson, Charles Michael, Lawrenceburg
 Deering, Matt Connley, Champaign, IL
 Dickmeyer, Mary Ann, Lexington
 Dixon, Pamela Pearl, Shelbyville
 Dotson, Donna Leigh, Glasgow
 Drake, Joseph Stanley, Louisville
 Drysdale, Clay David, Bardwell
 Duke, Jason Stuart, Lexington
 Durkin, Rebecca S., Lexington
 Dyer, Kriston Patrick, Lexington
 Eastone, John Anthony, Saint Albans, WV
 Edwards, David Earnest, St. Louis, MO
 Eitrid, Amy S. Jackson, Paulding, OH
 Ely, D. Brandt, Lexington
 Epling, Kenton Candler, Lexington
 Esselman, Julie Anne, Prospect
 Estep, Diana Lyn, Cumberland
 Evans, Elizabeth Ann, Maysville
 Faulkner, Joy Elizabeth, Versailles
 Feinberg, Katharine Darlington, Lexington
 Ferguson, Elizabeth Ann, Roanoke, VA
 Finley, Emily Katherine Sedgwick
 Fish, Dina Marie
 Florence, Christopher C., Cynthia

Fooshee, Sidney Guy, Cadiz
 Fowler, Leisa Renee, Ashland
 Franklin, Gregory A., Lexington
 Froman, Carrie Michelle, Ghent
 Fulkerson, Douglas Lee, Upton
 Gabbard, Dexter, Jr., Radcliff
 Gailey, Paula, Lehigh, FL
 Gaines, Jeff Conkwright, Winchester
 Gambrel, Sheila Diane, Cincinnati, OH
 Garner, Steven Kirby, Bowling Green
 Garrett, Larry Darryl, Lexington
 Garst, Jane Marie, Glasgow
 Garthee, Beth Ann, Greenup
 Ghavami, Suzan, Logan, UT
 Gillum, Randal Scott, Lexington
 Gluck, Catherine Leigh, Cumberland
 Godby, Marissa Lynn, Lexington
 Graham, Brian Scott, Campton
 Grayson, John Matthew, Cynthia
 Green, Christopher Allen, Lexington
 Greenwell, Lisa Anne, New Haven
 Greenwell, Samuel Harris, III, Versailles
 Grider, Stacey Lynn, Jamestown
 Griffin, Yavon Latra, Lexington
 Griffith, Dreama Sena, Carlisle
 Guterthuth, Lucianne, Louisville
 Guthrie, Katherine Alma, Lexington
 Gutierrez, David German, Lexington
 Gyorffy, Steven Thomas, Lexington
 Hadden, Catherine C., Lexington
 Hall, Lori Ann, Somerset
 Hallock, Douglas Jerome, Lexington
 Hambrick, Mary Beth, Louisville
 Hamilton, Orville Lee, III, Paintsville
 Hamlin, Carol Elizabeth, Louisville
 Hammond, Kelli Dawn, Lexington
 Harlow, Brian Raymond, Louisville
 Harman, Andra Ann, Elizabethtown
 Harmon, Timothy Ray, Henderson
 Hasan, Saiyid Akbar, Lexington
 Hatfield, Tammy Denise, Monticello
 Hawes, Leslie Dawn, Shelbyville
 Hawkins, Pamela Diana, Elizabethtown
 Hayden, Jane Eckhoff, San Francisco, CA
 Hayes, Terry L., Coxton
 Hembree, Timothy Nelson, Carrollton
 Henderson, Angela Turley, Lexington
 Henry, Bryan Patrick, Lynch
 Henry, William Douglas, Lexington
 Herrera, Eden N., Columbia
 Hiday, Angela Deanne, Cynthia
 Higgason, Kyle E., Corbin
 Holbrook, Russell Ward, Ashland
 Hollifield, Joseph Edward, Paducah
 Howell, Robert Daniel, II, Worthington
 Howell, Sidney Lee, Lexington
 Hudgens, Hillary Reed, Knoxville, TN
 Hughes, Michele Elish, Louisville
 Hummel, Susan Rene Huey, Kansas City, MO
 Huntsman, Mary Taylor, Greensburg
 Hutchinson, Kristin Leigh, Lexington
 Hutson, Jeffrey Alan, Lexington
 Isaacs, Jennifer Lee, Walton
 Johnson, Carla Janel, Harlan
 Johnson, Elizabeth Ann, Bardstown
 Johnson, Tracey, London
 Johnston, Janet Lynn, Owensboro
 Jones, Margaret Michelle, Greenup
 Jones, Rubin Edward, Cadiz
 Kawaja, Abraham Louis, Lexington
 Kegley, Catherine Joyce, Flemingsburg
 Keil, Carol Rene', Lexington
 Kelly, Charles Marion Dixon, Lexington
 Kelly, Dennis Wayne, Ft. Thomas
 Kemp, Steven Lamont, Louisville
 Kern, Charles Andrew, Buffalo, NY
 Kerr, Amy Catherine, Cynthia
 Keyes, Diana Lee, Lexington
 Kidd, Laurie Rachael, Somerset
 Killion, David Alan, Middlesboro
 King, Amy Terrell, Louisville
 King, Holly D., Georgetown
 Koger, Johnny Dewayne, Beaver Dam
 Krupp, Anthony Lee, Louisville
 Kryza, Susan, Louisville
 Lamm, Robert Charles, Corbin
 Land, Michelle Kaye, Louisville
 Landers, Michele Hall, Lexington
 Lane, Valerie Susan, Danville
 Latta, Helen Lynn, Lexington
 Laughlin, Allen C., II, Lexington
 LeMaster, Virginia Lee Combs, Lexington
 Lenderman, Mary Beth, Carlisle, AR
 Levitt, Karin Lynn, St Louis, MO
 Lewis, James Clifford, Huntington, WV
 Lewis, James Matthew, Wilmington, NC
 Lewis, William Dennis, Princeton
 Lewton, Zachary Richard, Garland, TX
 Libby, Shon Robert, Auburn, ME
 Litteral, Bryan Franklin, Versailles
 Logan, Kimberly Dawn, Louisville
 Logue, Elvira Rosa, Harrodsburg
 Lohman, Sean Phillip, Prospect
 Long, Jennifer Giere, Anchorage
 Lowry, Roger Allen, Nicholasville
 Lucas, Lynda Michelle, Ashland
 Ludwig, Christian Hagan, New York City, NY
 Lukens, Gary Robert, S. Charleston, WV
 MacKinnon, Laura Corinne, Richmond
 Madole, James Wilson, Owensboro
 Magner, Amanda, Corydon, IN
 Malek, Paul F., Richmond
 Mason, Lara Lee Wilson, Frankfort
 Masters, Sherri Elizabeth, Elizabethtown
 Matsui, Hiroshi, Toyama, Japan
 Mattmiller, Mark Scott, Cynthia
 McBride, Katrina Anne, Oak Ridge, TN
 McCarthy, Andrew Mathias, Lexington
 McCarthy, Kelly Ann, Cincinnati, OH
 McGlone, Brian Lee, Vanceburg
 McGovern, Elizabeth Catherine, Nashville, TN
 McGuire, Chuck Rudy, Lexington
 McIntosh, Susan Leigh, Charleston, WV
 McKinney, Thomas Allen, Nashville, TN

Mehanna, Monica Lynn Pugh, Lexington
 Meredith, Heather Roganne, Louisville
 Metts, Shawn Coleman, Frankfort
 Meyer, Richard Bryan, Lawrenceburg
 Middleton, John Clyde, Ft Mitchell
 Miles, Kathleen Margaret, Barrington, IL
 Miller, David Thomas, Lexington
 Miller, James Daniel, Oklahoma City, OK
 Miller, Ursula Anne, Louisville
 Minix, Micheal Bryan, Jr., Paintsville
 Mitchen, Tonya Kaye, Crestwood
 Moberly, K A, Lexington
 Mobley, Ryan Todd, Versailles
 Montgomery, Matthew John, New Orleans, LA
 Moore, Myron Thomas, Pikeville
 Moriarty, Kerri Katherine, Ashland
 Muha, Lori Michelle, South Williamson
 Nalley, David Christopher, Ft Mitchell
 Nation, Libby DeeAnn, Shelbyville
 Nelson, Lawrence Donovan, Lexington
 Okazaki, Mimi, Nicholasville
 O'Rourke, Morgan Daniel, Erlanger
 Osborne, Kelli J., Glendale
 Painter, Angela Gwyn, Oak Ridge, TN
 Parker, Pamela Suzanne, Versailles
 Pauly, Julie Sullivan, Lexington
 Pergrem, Angela Christina, Elkhorn City
 Perry, Stephanie Anne, Dayton, OH
 Phillips, Lisa Ellen, Danville
 Phillips, Roy M.
 Poff, Dorias Grace, Lexington
 Poindexter, Robert Harold, III, Cynthiana
 Polsgrove, Julia S., Lexington
 Poore, Cynthia Frances, Erlanger
 Powell, William Lane, III, Houston, TX
 Purcell, Darren E., Leitchfield
 Railsback, Ricky Alan, Lexington
 Ramage, Gerald Reid, Paducah
 Ratz, Patricia Perrotti
 Reames, David Franklin, Cincinnati, OH
 Reeves, Ginger Beth, Paducah
 Reid, Amy Suzanne, Lexington
 Remley, Albert William, II, Lexington
 Render, Robert Arnold, Centertown
 Reynolds, Sarah Katherine, Lexington
 Riddle, Carlion M. Vance, Owingsville
 Rieman, Darin C., Fairfield, OH
 Rinck, Marvin Lewis, Vine Grove
 Roberts, Allen Barton, Manchester
 Roberts, Frances Dawn, Versailles
 Rodgers, Dwight Gaithel, Jr., Madisonville
 Rodman, Kimberly Lee, Ashland
 Rogers, James Brian, Hopkinsville
 Rollefson, Karen Lorraine, Parkersburg, WV
 Rosdeutscher, Heather Elaine, Bowling Green
 Ross, Douglas Marshall, Lexington
 Roszman, Elizabeth Anne, Lexington
 Rudd, Rebecca Lynn, Louisville
 Rusch, Corrie Anna, Louisville
 Sallee, Richard Wayne, Pikeville
 Schanding, Jeffrey Beckett, Edgewood
 Schindler, David M., Lexington
 Scott, Mark Andrew, Warren, OH
 Seiter, Kelly Ann, Southgate
 Shalash, Saphia Anna, Lexington
 Shearson, Amy Beth, Belle Vernon, PA
 Short, Robert, Lexington
 Simms, John Martin, Springfield
 Simpson, Andrea Catherine, Monticello
 Sims, Shellie Marie, Owensboro
 Singleton, Larry Lee, II, Prospect
 Sizemore, Tim D., Hyden
 Smith, Glatha Deneen, Owensboro
 Smith, Martin Allen, Lexington
 Soebl, Diana, Danbury, CT
 Sopper, Tammy Lynn, Inverness, IL
 Soukup, Jeffrey Allen, Fredonia, NY
 Southard, Cristin Alexandra, Lexington
 Speck, Sarah Elizabeth, Paducah
 Spillman, Robert D., Lexington
 Steineker, Lee Howell, Louisville
 Stevens, Catherine Brand, Lexington
 Stevens, Ishmael Worth, Jr., Ashland
 Stone, Katherine Lee, Franklin
 Stoss, Thomas David, Cynthiana
 Stromberg, Emily Jane, Cincinnati, OH
 Sudkamp, Laura Brookbank, Lexington
 Sumpter, John Creason, III, Versailles
 Swartz, Lesley Beatrice, Mt. Sterling
 Sweeney, Brian Patrick, Lexington
 Tarter, Sherlene Gail, Somerset
 Taylor, Cynthia Lynn, Lexington
 Thompson, David Patrick, Morganfield
 Thompson, Janet Michelle, Lexington
 Thompson, Michele Lynn, Lexington
 Thompson, Valeria Ann, Ft Knox
 Thornsberry, Ronald E., Hindman
 Tipton, Carrie Anne, Winchester
 Towsery, Lucia Ann, Providence
 Troy, Jacquelyn Nancy, Louisville
 True, Alma Verena Danette, Poplar Grove
 Unsel, Julianne, Stamping Ground
 Vance, Bruce K., Charleston, WV
 Vaught, Tricia Leann, Somerset
 Venis, Brenna Nicole, Versailles
 Verhoven, Christopher Todd, Lexington
 Wade, David Scott, Russell Springs
 Walker, Deborah Ann, London
 Walker, Michael Charles, Huntington, WV
 Walsh, David Michael, Irving, TX
 Warmbier, Craig, Neshkoro, WI
 Warren, Charles Newell, Lexington
 Weaver, Kevin Wayne, Bowling Green
 Webb, Aletha, Paintsville
 Webb, Craig Anthony, Whitesburg
 Wells, Sabrina R., Inez
 West, Tyler Mason, Frankfort
 Westlund, Lisa Marie Pisaneschi, Lexington
 Whelan, Robert P., Dickson, TN
 White, Keith Christopher, Hightstown, NJ
 Whiteley, Michelle Lynn, Louisville
 Whitfield, Christina Elizabeth, Crestwood
 Whitis, Russell Deane, Somerset
 Willhite, Michelle Lee, Louisville

Williams, Eudora Kay, Morehead
 Williams, Wilbur Lee, Jr., Cynthiana
 Wills, Mary Kathleen, Lexington
 Wilson, Larry L., Lexington
 Wilson, Timothy Kevin, Lexington
 Wisdom, Timothy Lewis, Edmonton
 Yates, Freddie Wayne, Lexington
 Young, Christopher Dale, Harrodsburg
 Zwick, Ann Christina Coleman, Ashland

Bachelor of General Studies

Davender, Edward, New York City, NY
 Dixon, Pamela Pearl, Shelbyville
 Edwards, Stephen Christopher, Shelbyville
 Griggs, Thaddeus Clarke, Ft. Thomas
 Heltsley, Paul Sean, Owensboro
 Hils, Caroline Johanna, Edgewood
 Howell, Mark Allen, Bowling Green
 Joseph, Paul Maurice, Lexington
 Marion, Lisa J., Lynch
 Rodden, Leo, Georgetown
 Slone, Donna Campbell, Martin
 Sturgill, Mark Alden, Hindman

Bachelor of Science

Alford, Steven Royce, Lexington
 Allen, Michael Craig, London
 Arnett, Manuel Brian, Lexington
 Badani, Hema N.
 Baldwin, Kenneth Ray, Jr., Flatwoods
 Banks, Sheila Elaine, Hindman
 Barnes, Dana Marie, Henderson
 Bentley, Willard Rondell, Harold
 Boggess, Michael Allen, Greenville
 Bowles, Theresa, Ann, Elizabethtown
 Bradley, Jeremy Logan, Hartford
 Braun, Rebecca Lynn, Fort Thomas
 Brewer, David R., Lexington
 Brewer, Robert Horton, Flemingsburg
 Brown, John S.
 Bryant, William Carlyle, Lexington
 Byarlay, Bennett Alden, Versailles
 Campbell, George Ebert, Richmond
 Campbell, Thomas Harold, Walton
 Carden, William Breckinridge, Crestwood
 Carrico, James Leroy, Louisville
 Carter, Bryan J., Louisville
 Cates, Joel Ross, Lexington
 Caudill, Cliff May, Lexington
 Chase, Bradley C., Frankfort
 Clark, James Earl, Whitesville
 Coleman, Sean Christopher, Dalton, GA
 Cordray, Scot Alan, Falmouth
 Crump, Melissa Ann, Louisville
 Davis, Anthony Nathan, Versailles
 Davis, James Earl, Jr., Danville
 DeArmond, Lori Kristen, Versailles
 Desai, Bhasha Dinkerrai, Owensboro
 Doyle, Jeffrey Michael, Danville
 Dragan, Michael Kevin, Burlington
 Durall, Sharon Elaine, Greenville
 Durham, Kevin Michael, Richmond

Ennis, Kelly Rea, Lexington
 Esham, Elizabeth Cartier, Vanceburg
 Estes, Page Leigh, Russellville
 Fales, Amanda Jean, Columbia
 Fannin, Michael David, Ashland
 Ferguson, Elizabeth Ann, Roanoke, VA
 Fornari, Gabriel Charles, Jr., Huntington, WV
 Fortenberry, Karen Ann, Versailles
 Frank, Danielle, Sunrise, FL
 Fulkerson, Troy Dale, Louisville
 Fuqua, Joseph Barrett, Eddyville
 Gilbreath, Richard Allen, Lexington
 Goble, Susan Lynn, Prestonsburg
 Gosser, Thomas Duane, Russell Springs
 Gossom, Donald Joseph, Milton
 Graham, Ernest Filson, II, Lexington
 Gregorio, Gregas L., Lexington
 Grogan, John Andrew, Chicago, IL
 Haley, Carol Lynn, Lexington
 Harris, James Thomas, Jr., Lexington
 Hartikainen, Erja Irene, Jaravenpaa
 Hatfield, Christopher Charles, Shepherdsville
 Hayes, Terry L., Coxton
 Hemmer, Ann Marie, Union
 Hiser, Douglas Garland, Cumberland, MD
 Holden, James Matthew, Lexington
 Hope, Gary Warren, Merritt Island, FL
 Hunt, Laura Marie, Lexington
 Hurt, Buddy L., Versailles
 Jones, Timothy Alan, Lexington
 Kaelin, John Paul, Louisville
 Keller, Tim Burke, Edgewood
 Kim, Dong-Cheon, South Korea
 King, Steven C., Radcliff
 Kyle, John Wesley, Eagan, MN
 Lamkin, William Arthur, III, Louisville
 Landis, John Warren, Lexington
 Linton, Paul Jon, Louisville
 Lohman, Eric Ross, Louisville
 Loveday, Clay David, Lexington
 Magsig, Shawn Edward, Boca Raton, FL
 Mains, Deborah Susan, Independence
 Mattone, Michael Darnell, Lexington
 McLaughlin, Emily Blackburn, Oak Ridge, TN
 Miller, David Thomas, Lexington
 Milligan, Laura Leigh, Villa Hills
 Muenks, Martha Jean, Lexington
 O'Bryan, Lynne D., Lexington
 Overbeck, Tracie Lynn, Cincinnati, OH
 Overstreet, Lacy Kent, Henderson
 Parsley, Robert Anthony, Tomahawk
 Patel, Karen Kirit, Hopkinsville
 Pavon, Hugo, Cadiz
 Payne, Penny Leigh, Ashland
 Pennington, Lisabeth S., Nashville, TN
 Powell, Jamie Ray, Prestonsburg
 Pruitt, Amy Lynne, Paducah
 Puckett, Valerie Lynne, Lexington
 Radford, Kevin Wayne, Cadiz
 Ragg, James Francis, Jr., Lebanon Junction
 Randolph, Michael Shane, Hustonville
 Reynolds, Kelly Dawn Desri, Lexington

Riggs, Jimmie Carroll, Sonora
 Roberts, Ronald Earl, Jr., Crestwood
 Rosh, Sharon, Erlanger
 Routt, Richard Nelson, Jr., Lexington
 Scheurich, Neil Edward, Lexington
 Schilder, Kurt Joseph, Sunbury, OH
 Schulte, Susan Valerie, Florence
 Sears, Scotty Ray, Crestwood
 Sendlein, Erik L., Lexington
 Shalash, Amael Abdel, Lexington
 Shrestha, Natalia Aurelia, Hopkinsville
 Simmons, Scott Joseph, Lexington
 Smith, Nelda Faye Swafford, Winchester
 Stanfield, Pamela Lynn, Kingston, TN
 Stapp, Vincent Scott, Russell Springs
 Stephens, Aaron Wells, Flatwoods
 Stidham, Johnie Michael, Lexington
 Stinnett, Carissa Jo, Lexington
 Strevels, Stephen Morris, Danville
 Swabb, Stephen R., Lexington
 Swintosky, Damian Thomas, Nicholasville
 Terry, Lee Anne, Danville
 Thomas, Franklin Brian, McKee
 Thompson, Daniel Wayne, Lexington
 Tompkins, Michael James
 Vance, Bruce K., Charleston, WV
 Weatherford, Timothy Larry, Murray
 Wesley, Belinda Sue, Eubank
 Whiteley, James Garnet, Jr., Paducah
 Williams, Pamela Joy, Hopkinsville
 Willis, Darcy Christine, Lexington
 Winstead, John Noel, Madisonville
 Wonn, Heather Ann, Lexington
 Zakaria, Azlan Bin, Malaysia
 Zhang, Yuan, China

College of Agriculture

Dean: C. Oran Little

Bachelor of Science in Agriculture

Aranda, Eva, Madrid, Spain
 Ballard, Patrick Jerome Jr., Loretto
 Bechanan, Douglas Allan, Elizabethtown
 Brandon, David Lloyd, Greenville
 Burke, G. Bradley, Ewing
 Calvert, Robert Eugene, Scottsville
 Cauthen, Kerry Thomas, Walton
 Clarke, James Kelly, Maysville
 Clift, William R. II., Princeton
 Cobb, William Ray IV., Louisville
 Craychee, Deanna L., Elgin, IL
 Davis, Gregory Allen, Hope
 Deibel, Robert H. III., Crestwood
 Derefinko, Donna Lee, Brockport, NY
 Durham, Patricia B., Waddy
 Enlow, Clyde M. Jr., Boston
 Evans, George Michael, Lovely
 Franklin, Brett Allen, Louisville
 Gallo, Patricia Elaine, Bloomington, IN
 Gaskin, Scott Wayne, Russell Springs
 Hagman, Matthew Douglas, Hawesville
 Hinton, Steven L., Hardinsburg

Holloway, Anthony Yates, Gracey
 Howard, Robert Scott, Campton
 Jolly, Charles Kevin, McQuady
 Jones, Melanie Suzanne, Russell
 Keeton, Kyna Jo, Burkesville
 Kieronski, Dara C., Missoula, MT
 Kirby, Lester Thomas
 Langley, David Bruce, Shelbyville
 Lowe, Lora Beth, Sparta
 Loy, John Kenneth, Jamestown
 Luce, Shannon Kay, Raleigh, NC
 MacFarlan, David Reid, Princeton Jct, NJ
 McCauley, David Adams, Lexington
 Merrick, Deborah L., Somerset
 Mings, James Robert II., Raleigh, IL
 Moczulski, Patrick G., Louisville
 Monin, James Alvin Jr., Cox's Creek
 Mundeke, Omba, Zaire
 Murphy, Glen Patrick, Louisville
 Nunnelley, Susan Beth, Cynthiana
 Overly, Larry Ruckman II., Paris
 Overtoom, Gwynne Marie, Economy, IN
 Owen, Michele Lee, Lexington
 Padgett, Tami Renee, Lexington
 Palmer, William Morris, Louisville
 Paragon, Mary Jo, Louisville
 Peters, Don Barton, Princeton
 Poe, Keegan Wade, Franklin, IN
 Purdom, Karen Gail Grigsby, Springfield
 Purvis, Hebbie Thomas II., Georgetown
 Roche, Nancy Vaughn, Mequon, WI
 Royalty, Tracey Brian, Willisburg
 Ruff, Stephanie Jane, Shippensburg, PA
 Shewmaker, Berry Todd, Harrodsburg
 Shirel, Randall Dix, Sturgis
 Sims, Vernon Anthony, Frankfort
 Slack, Amanda Catharine, Lexington
 Smith, Anthony Lee, Dubre
 Snyder, Linda S., Hardinsburg
 Stewart, Anne R., Sharpsburg
 Tedder, Stephen E., Louisville
 Thomas, Brian Neal, Winchester
 Thomas, Cynthia Roberta, Kennewick, WA
 Thomas, Mark Robert, Glasgow
 Thrift, Todd Aaron, Lexington
 Tolson, Elizabeth Lynn, Louisville
 Turner, Anthony Douglas, Verona
 Velotta, Christiane Marie, Akron, OH
 Versluys, Robert Thor, Radcliff
 Voorhees, Kym Marie, Lexington
 Wainscott, Mark Douglas, Hawthorne Wds, IL
 Williams, Barton D., Monticello
 Wilson, Michael Sean, Clinton
 Workman, Wendell Thad, Dry Ridge

Bachelor of Science in Forestry

Hubbs, Vernon Willis Jr., Cumberland
 Southworth, Timothy Vaughn, Lexington
 Ueltschi, Frank B., Frankfort

**Bachelor of Science in
Landscape Architecture**

Adkins, Derek E., Madisonville
Beavers, John Hill, Lexington
Brown, Troy L., Dawson Spring
Coffey, Virginia Clark, Lexington
Maglinger, Terri G., Owensboro
McKenna, Elizabeth Cloud, Lexington
Ohadi, Saied, Lexington
Olson, Amy Ellen, West Carrollton, OH
Omvig, Greg John, Lexington
Rogers, Jamison Drew, Robinson, IL
Seitz, Ray R., Florence
Skillman, Lynn Allison, Ellicott City, MD
Smith, Scott Alan, Westminster, MD
Steiner, David Dodge, Lexington
Wagoner, David Earl, Lexington
Wentworth, David W., Danville
Wright, Michael David, Louisville

**College of Allied Health
Dean: Thomas C. Robinson
Bachelor of Health Sciences**

Brooks, Dennis M., Louisville
Buteyn, Thomas Andrew, Waupun, WI
Carrico, Jeffery Michael, Owensboro
Cooper, Melina Brooke, Brooksville
Daniels, Sandra Paige, Henderson
Friend, Kevin Burdette, Winchester
Furbee, Kelly Arnold, Danville
Gregory, Jennifer Dawn, Frankfort
Hicks, Kathy Sue, Lexington
Hornsby, Londa Leah, Independence
Howard, Thomas Edmond, Harlan
Kelley, Linda Ann, Kenton Hills
Lennart, James William, Louisville
Lowry, Jill E., Davis Jct, IL
McCarthy, James Michael, Ormond Beach, FL
McCowan, Angela Maria, Corbin
McCowan, Lisa Margarita, Corbin
Meyer, Gretchen Anne, Greenville, SC
Milici, Deborah Anne, Louisville
Moll, Maria Nicole, Louisville
Mullins, Triston Lefe, Lexington
Murdock, Laura Marie, Westerville, OH
Nielsen, Jon Scott, Louisville
Noble, Louvonna Lynn, Hazard
Poore, Mary Catherine, Louisville
Roberts, Bryan Kevin, Versailles
Schilling, Marc Gerard, Crestview Hills
Thompson, John David, Frankfort
Travis, Michelle Michiko, Frankfort
Tucker, Celeste Michelle, Louisville
Turley, Sherry Michelle, Louisville
Waters, Karen Parr, Stearns
Wilson, John Ryan, Johnston City, IL
Woolwine, Samuel Clayton, III, Blacksburg, VA
Wright, Jeanne K., Rehoboth Beach, DE

**College of Architecture
Dean: José R. Oubrieré
Bachelor of Architecture**

Andrew, Aric Matthew, La Grange
Asaro, Mary Kean, Louisville
Biasioli, Susan Marie, Louisville
Bilberry, Brian Anthony, Lexington
Blackburn, Patrick William, Lexington
Boyd, Thomas M., Mayfield
Cheistwer, Ian, Louisville
Cox, Harold Wayne, Louisville
Cuttitta, Christine M., Plainfield, NJ
Davis, Randall Wayne, La Center
Davis, Stephanie, Paintsville
Fischer, Douglas Todd, Owensboro
Forster, Dean Louis, Ft. Mitchell
Gallagher, Sean Michael, Louisville
Hellmann, Michael James, Park Hills
Holyland, Paul J., Cleveland, OH
Isbell, Mark F., Prestonsburg
Kays, William Douglas, Louisville
Kim, Ji Soon, Louisville
Knoop, Charlotte Jo, Louisville
Laing, Charles James, Belleville, IL
Leddy, Thomas Leo, Park Ridge, NJ
Leigh, Tracee Candance, Madisonville
Myers, Frieda Anna Marie, Danville
Proctor, Rodney Lee, Bloomfield
Scott, Deanna D., Lexington
Soroky, Robert Michael, Parma Heights, OH
Starks, Benjamin Kemp, Owensboro
Stogsdill, Alan R., Louisville
Vice, Steven S., Louisville
Wiley, Mark A.
Wolford, Joseph Coy, Liberty

**College of Business & Economics
Dean: Richard W. Furst
Bachelor of Business Administration**

Adams, Courtney C., Lexington
Adams, Gerald Raymond, Lexington
Adams, John Boster, Somerset
Akande, Olajire Akanbi, Lagos, Nigeri
Alcorn, Steven Earl, Erlanger
Allen, Angela Kay, Louisville
Allen, Bobby Neal, Dayton, OH
Alvey, Leroy Thomas, Cub Run
Ameerudeen, Mariawati, Malaysia
Angel, David Hamilton, Loyall
Arvo, Stacy Marie, Rolling Meads, IL
Banta, Tara Ireland, Florence
Barbour, Roni-Anne, Frankfort
Barlow, Leslie Renee, Louisville
Beaven, Thomas Michael, Jr., Loretto
Bell, Calvin Howard, Somerset
Benecke, Michael Thomas, Carrollton
Bicker, David Riad, Louisville
Blane, Emily Gail Stokes, Hopkinsville
Boling, James Thomas, Lexington
Borkowski, Arthur Leonard, Jr., Chicago, IL

Bowen, Cynthia Ann, Campbellsville
 Bowles, William George, Clarksville, IN
 Brachey, Jennifer Jenkins, Louisville
 Bramble, Lisa Sue, Ft. Thomas
 Brann, James Randall, Covington
 Brashear, Melissa Anne, Elizabethtown
 Briseno, Christina Daleen, Huntsville, AL
 Brown, James T., Bardstow
 Brown, Russell Allen, Bowling Green
 Brucker, Victoria Marie, Paducah
 Bryant, Jesse Thornton, IV, Lexington
 Bryant, Karen Lynn, Stearns
 Buchanan, Candace J., Ransom
 Budvitis, Christopher James, Orland Park, IL
 Buffin, Stephanie Rae, Versailles
 Burge, Hal Douglas, Jr., Louisville
 Cahal, Richard Tyler, Ona, WV
 Campbell, Julie Ann, Franklin
 Campbell, Lisa Michelle, London
 Campbell, Matt L., Louisville
 Cannon, Jacqueline Lou, Georgetown
 Carby, Kelly Lynn, Louisville
 Caudill, John Wesley, Ashland
 Cavill, Marianna Kelley, Sarasota, FL
 Celebi, Akif Hakan, Istanbul, Turkey
 Childers, Dan Alfred, Somerset
 Christopher, John David, Jr., Alexandria
 Ciocco, Samuel Chris, Latrobe, PA
 Clancy, Jere William, Lexington
 Clancy, Penny Lynn, Lexington
 Coleman, Rachael Renee, Louisville
 Concannon, Martin Joseph III, Lexington
 Crady, Joseph Kevin, Elizabethtown
 Crank, William Ortie, Lexington
 Cundiff, Scott Cecil, Shepherdsville
 Curlless, Paul Cameron, Lexington
 Davidson, Amy Beth, Mt. Vernon, IL
 Dean, James H., Harrodsburg
 DiOrio, Anthony C., Louisville
 Doherty, Heather Christine, Flatwoods
 Draper, Jennifer Lynn, Ft. Thomas
 Dudding, David Alan, Louisville
 Durbin, Julianne Louise, Louisville
 Edmondson, Stephanie Leigh, Madisonville
 Elam, Mary Courtney, Lexington
 Erskine, John Franklin, II, Hurricane, WV
 Fabisiewicz, Helen, Lincolnshire, IL
 Farah, Licha H., Jr., Carlisle
 Ferguson, Michael Shannon, Chicago, IL
 Ferguson, Suellen, Crockett
 Fockele, Cathryn Louise, Lexington
 Forbes, Cheryl Lynn, Franklin, TN
 Franklin, Susan L., Bowling Green
 Freel, Melissa Dawn, Findlay, OH
 Funke, Michelle Ann, DeMossville
 Futrell, Kirk Lewis, Paducah
 Gausepohl, Anne Margaret, Lexington
 Gerlach, Joe Dale, Harrodsburg
 Goebel, Tammy Lynn, Winchester
 Goedel, Christine Theresa, Ft. Thomas
 Goodwine, Pamela Renee, Lexington
 Gordon, Pamela Sue, Bedford, NY

Gouge, Kristin G., Hebron
 Greer, David Matthew, Louisville
 Gumm, Kimberly Ann, Lexington
 Hairston, Louis F., Langley
 Hall, Jeffrey Carver, Madison, WV
 Hallman, William Allen, Florence
 Hamilton, Christopher Paul, Louisville
 Hammond, Jeffrey Scott, Inverness, IL
 Harned, Joseph Timothy, Elizabethtown
 Hart, Craig Kevin, Monticello
 Hartline, Laura Lea, Louisville
 Hazen, Phillip Eric, Lexington
 Hendrick, James Brent, Bowling Green
 Henry, William Douglas, Lexington
 Henson, Garry Lynn, Jr., Harlan
 Hicks, Kristie L., Owensboro
 Hill, Barry Ray, Madisonville
 Hoffman, Michael James, Lexington
 Hollan, Shelby Morgan, Louisville
 Holland, Melissa K., Lynch
 Holmberg, Scott Edward, Louisville
 Hood, Jeffrey Scott, Hopkinsville
 Horn, Kristi Gay, Erlanger
 Horwitz, Alan José, Buenos Aires, Argentina
 Hostetter, Lee Anne, Lexington
 Howard, Brent William, Lexington
 Howard, Cynthia Boyd, Elkton
 Howard, Marjorie Marie, Pikeville
 Howell, Cheri Lynn, Nicholasville
 Huffman, Daniel Paul, Covington
 Hughes, James Taylor, III, Danville
 Hughes, Kenneth, Somerset
 Hunter, Jackie Diane, Jacksonville, FL
 Jackson, Catherine Ann, Lexington
 Jagers, Kevin Duvall, Louisville
 Jennings, Angela Shea, Lexington
 Johnson, Craig Louis, Owensboro
 Johnson, William Elton, II, Lexington
 Kaiser, James Brian, Evansville, IN
 Kamer, Christie Kay, LaGrange
 Kaminski, Christopher J. Scott, Owensboro
 Kass, Patrick James, Lexington
 Keoughan, Kristi Ann, Owensboro
 Kewin, Kevin Jon, Lexington
 Kidd, Dianna Lee, Lexington
 Kidd, Lori Ann, Cincinnati, OH
 Kidd, Mark Anthony, Lexington
 Kireta, Dena Marie, Russell, PA
 Kolb, Garret L., Louisville
 Kropp, John Allen, Lexington
 Kute, Brian Theodore, Edgewood
 Lacy, Michael Scott, Madisonville
 Lankford, John S., Lexington
 Larch, Charles Jason, Louisville
 Lawson, William Donald, Lexington
 Lee, See See, Malaysia
 Lehmann, Robert Gregory, Louisville
 Lohre, David Maurice, II, Ft. Mitchell
 Long, Cari Dae, Monroe, LA
 Lowry, Kimberly Martin, Nicholasville
 Lydon, James P., Bath, ME
 Manuel, Thomas Earl, Lexington

Martin, Kimberly P., Shelbyville
 Marzian, Jeffrey Lyle, Louisville
 McCarty, Donna Sharpe, Paris
 McComas, Jhonda LaRae, Worthington
 McCullough, Kevin Timothy, Edgewood
 McGehee, William Scott, Prospect
 McLaughlin, Sean William, Canal Fulton, OH
 McManis, Steven Patrick, Lexington
 McQuady, Debbie Cordial, Lexington
 Meaux, Ricardo Shy, Harrodsburg
 Meyer, Russell Anderson, Frankfort
 Miller, Donna Jean, Louisville
 Mitchell, Russ Todd, Jackson
 Monk, Robert Charles, Ashland
 Morton, C. Todd, South Shore
 Moyer, Elizabeth Joye, Louisville
 Mueller, Kristin Jay, Lexington
 Muncy, Lynette Elizabeth, Inez
 Musgrave, Travis Kyle, Lexington
 Music, Brent Phillip, Prestonsburg
 Newland, Jay Brent, Henderson
 Nipp, John Charles, Ashland
 Nolan, Charles Donald, Lexington
 Nunley, Nathan Scott, Owensboro
 O'Brien, Denise Michelle, Ft. Thomas
 O'Connell, Gregory Todd, Louisville
 O'Connell, Mark Joseph, Erlanger
 O'Daniel, Thomas H., II, Winchester
 O'Nan, Heather Venice, LaGrange
 Oney, Anthony Ray, II, Winchester
 Padgett, Martha Lynn, Lexington
 Panther, Phillip O'Brien, Louisville
 Parker, Stephen T., Goshen
 Pate, Jeffrey Lloyd, Louisville
 Patterson, Percy Earl, Jr., Louisville
 Pennington, Russell Lane, Burlington
 Peoples, Christopher Moray, Louisville
 Petersen, Mark Louis, Pensacola, FL
 Phillips, Steven Russell, Fairfield, OH
 Plummer, Timothy Edward, Edgewood
 Pope, Amanda Lynn, Gallipolis, OH
 Pope, Julie Carole, Lexington
 Prather, John Martin, Louisville
 Price, Gary Richard, Murray
 Price, Lisa Ann, Lexington
 Proctor, Richard Gene, Harrodsburg
 Puckett, James H. Brant, Middletown, OH
 Puckett, Joseph B., Bardstown
 Ramsey, Cynthia June, Lexington
 Ramseyer, Elizabeth Ann, Gahanna, OH
 Raque, David Randall, Louisville
 Rayburn, Larry Scott, Huntington, WV
 Redmon, Tracy Michele, Louisville
 Reid, Troy Brent, Winchester
 Renfro, Robert Parrish, Lexington
 Reynolds, Christopher Athearn, Columbia, MD
 Richards, Regina Ann, Paducah
 Riney, David Neal, Owensboro
 Robeson, David Allen, Frankfort
 Rogers, James Michael, Ashland
 Rothwell, Anne Embry, Lexington
 Rudd, Jennifer Ann, Bowling Green
 Ryan, David Lee, Lexington
 Salyers, Jimmy Ray, Louisa
 Sandman, Abel Thomas, Louisville
 Sannella, Stacey J., Lexington
 Sautel, Stephen Daly, III, Louisville
 Scheer, Michael Lee, Louisville
 Scholten, Timothy Mark, Elgin, IL
 Seidenfaden, Lori Ann, Louisville
 Sellars, Stephanie Jo, Hopkinsville
 Shelton, Brian Don, Henderson
 Shepherd, Stacey Leigh, Louisville
 Sherrard, Julie Lynn, Radcliff
 Shrader, Kelly Elizabeth, Lexington
 Skeeters, Jennifer Diane, Lexington
 Sledd, Scot Seldon, Hopkinsville
 Smith, Jeff A., Lexington
 Smith, Steve Hunter, Nicholasville
 Smith, Susan Wilson, Radcliff
 Sobrero, Luis, Barcelona, Spain
 Stafford, George Cecil, Ashland
 Stephenson, Richard L., Lexington
 Stewart, Linda Brown, Lexington
 Stewart, Pamela, Lexington
 Sutton, Douglas A., Bowling Green
 Swartz, Lesley Beatrice, Mt. Sterling
 Tauchert, Charles Wilbur, Lexington
 Taylor, Tracy Christine, West Chester, OH
 Tepe, James Q., West Liberty
 Thomas, Michelle Renee, Lancaster
 Thomas, Steven Douglas, Louisville
 Thomas, Tarenda, Cadiz
 Thomas, Teresa Ann, Lexington
 Thompson, Stuart Kelly, Harrodsburg
 Thornton, Leslie Suzanne, Mt. Olivet
 Tomlin, Dawn Suzette, Florence
 Toon, Barry Alan, Fancy Farm
 Towles, Michael Thomas, Lexington
 VanLahr, Charles Andrew, Fairfax, VA
 Varga, Julie White, Louisville
 Verma, Rajiv, Prestonsburg
 Vorus, Mary Robyn, Lexington
 Wachal, Bryan Francis, Lexington
 Waflart, Mark Christopher, Louisville
 Wagner, Teresa Marie, Covington
 Walker, Andrew Ausborn, Louisville
 Wallat, Christine Estelle, Calgary, Alberta, CA
 Walton, Callie Elizabeth, Lexington
 Ware, Brian Jay, Clinton, MS
 Ware, Edward Douglas, Clinton, MS
 Webber, Claire Marie, Louisville
 Weber, Matthew Alexander, Ft. Thomas
 Westerfield, A. David, Lexington
 Wheatley, Timothy Alan, Louisville
 Wheeler, Tracy Lynn, Louisville
 Wilhelm, Marnie Lee, Lexington
 Williams, Kenneth E., Jr., Edgewood
 Willis, Gary Earl, Gainesville, FL
 Willis, Pamela Kay, Louisville
 Wilson, John Charles, Louisville
 Winchester, Paul Kenton, Corbin
 Woodall, Sean Patrick, Florence
 Woolums, Joseph Christopher, Frankfort

Woolums, Travis Ray, Shelbyville
 Worthington, Richard Christopher, Greenup
 Wright, Jodi Lynn, Louisville
 Zook, Julie Renee, Bloomington, IL

Bachelor of Science in Accounting

Aker, Charles Martin, Lexington
 Anderson, Karleen M., Lexington
 Antle, Brett Lee, Columbia
 Art, James Harper, Walton
 Austin, Kristie Marie, Burgin
 Baldwin, Jeffrey Matthew, Paintsville
 Baranyi, Joan, Lexington
 Belcher, Carrie Ann, Lewisburg
 Belhn, Jennifer Lea, Frankfort
 Blythe, Linda S., Lexington
 Boggs, Lori Kimberly, Lexington
 Boulden, Jeffrey Layne, Lexington
 Boykin, Jeffrey Scott, Lexington
 Brockman, Robert Todd, Lebanon
 Bruszewski, Richard Paul, Lexington
 Buckley, Michelle Ann, Bardstown
 Cahal, Cheryl Dawn, Ona, WV
 Clark, Heather Renee, Louisville
 Coffey, James Stephen, Hustonville
 Combs, Michael R., Kitts Hill, OH
 Conn, Melissa K., Prestonsburg
 Cornett, Debra Fay, Paris
 Corum, Ashby Toland, Madisonville
 Cosby, Dana Jeanine, Louisville
 Delaney, Steven Todd, Danville
 Dotson, Mable Denise, Pikeville
 Dove, Christopher Jon, Naperville, IL
 Drury, Melvin K., Versailles
 Durham, Ronald Leo, Jr., Lexington
 Duvall, Shana Renée, Frankfort
 Earnest, Bryan Michael, Lexington
 Eisner, Rebecca Lynn, LaGrange
 Evans, Brian Christopher, Morehead
 Ewers, Kimberly Dawn, Pikeville
 Fister, Walter Morgan, Lexington
 Gardner, Kevin Neil, Dixon
 Gillespie, Carlan, Tazewell, VA
 Goetz, Diana Lynn, Skanaeteles, NY
 Gordon, Angela Ruth, Waddy
 Grande, Ellen Shipley, Honolulu, HI
 Greer, Tracy Annette, Lexington
 Griffith, Katherine Ruth, Burlington
 Hall, Mary L. Lefler, Science Hill
 Harris, Leigh Ann, Greenup
 Hatfield, Sherri Lynn, Elizabethtown
 Hazen, Phillip Eric, Lexington
 Hettinger, Melinda Suzanne, Louisville
 Higgins, Jonathan D., Lexington
 Honaker, Sandra Frances, Paris
 Hoskins, David Lee, Hurricane, WV
 Howard, Steven William, Harlan
 Inman, Matthew Scott, Brandenburg
 Janes, Kimberly Mann, Bardstown
 Johnson, April Dawn, Beaver Dam
 Jones, Darren Thomas, Bowling Green
 Judd, Larry Andrew, Louisville

Kelly, Mary Margaret Yatros, Louisville
 Keyes, Jana Lee, Grayson
 Kovar, Lori Lynn, Lexington
 Lambert, Edwinna Ann, Paducah
 Langford, Jamie Laws, Loyal
 Lasher, Theresa M., Lexington
 Life, Lori Michelle, Greenville
 Littrell, Kimberly Denise Flint, Lexington
 Lonergan, Thomas Francis, Louisville
 Lynn, Logan Adams, Harrodsburg
 Marshall, David Leland, Owensboro
 Marshall, Stephani Leigh, Ashland
 Marston, Christopher Dale, Danville
 McGaw, John Scott, Madisonville
 McManis, Steven Patrick, Lexington
 McQuinn, Daniel Witt, Lexington
 Miller, Amy Sue, Peachtree Cty, GA
 Miller, Lesa Kay Barnes, Bedford
 Miller, Michael Warren, Erlanger
 Morehead, Melissa Ann, Paducah
 Norris, Nicholas Joseph, Louisville
 Pinson, Sharon Lynn Stockton, Austin, TX
 Puckett, James Benjamin, Lawrenceburg
 Puckett, Joshua Kevin, Philpot
 Riddle, Christopher W., Midway
 Riney, Phillip Michael, Owensboro
 Robb, Joseph Paul, Jr., Walton
 Rohleder, Douglas James, Smithfield
 Ross, Stephen McDowell, Lexington
 Scales, Robert Bruce, Lexington
 Schlosser, Scott Keith, Lexington
 Scott, Bradley Joseph, Winchester
 Shepherd, Michael Thomas, Paducah
 Smit, Deborah Sue, Lancaster, PA
 Smith, Lisa Darlene, Frankfort
 Smith, William Bryan, Louisville
 Sondhi, Sangeeta, Lexington
 Stephenson, Sherry Gail, Walton
 Steppe, Gregory J., Ft. Thomas
 Sundy, Terry Glenn, Jr., Lynch
 Thompson, Adrian O., Lexington
 Thompson, Darin L., Henderson
 Turner, Michael Craig, Jackson
 Turpin, Randall Derrick, Somerset
 VanCleve, Timothy Leon, Henderson
 Vickers, Lisa A. Kinnius, Versailles
 Votaw, Sharon Whitaker, Lexington
 Wallingford, Angela Carol, Union
 Wheeler, Brian J., Versailles
 Willis, Joy Aleece, Louisville
 Wingo, Suzanne Renée, Georgetown
 Zimmerman, Micah Matthew, Villa Hills
 Zoglmann, Laura Anne, Owensboro

Bachelor of Science In Business & Economics

Baker, Mark Samuel, Louisville
 Ferguson, Joseph K., Louisville
 Guenther, Dan Douglas, Florence
 Keefe, Colleen, Lexington

College of Communications

Dean: Douglas A. Boyd

Bachelor of Arts

Arnold, Tracey Laine, Lexington
Back, Chester Allen, Lexington
Baker, Alvin Douglas, Cadiz
Baltas, Jeffrey Thomas Rex, Louisville
Berryman, Kimberly Maddox, Lexington
Billings, Marla Suzanne, Buckner
Bolden, John Novell, Louisville
Bonifer, Charles Duane, Louisville
Bowker, Dawn Michelle, Lexington
Bowmar, Thomas Degraffenreid, Lexington
Brown, Donna Michele, Louisville
Brown, Leslie Renee, Cincinnati, OH
Brown, Lisa A., Lexington
Browne, Kevin Joseph, Louisville
Brummett, Christopher Noland, Perryville
Carnes, Tabitha Diane, Lexington
Carpenter, Mary Ann, Versailles
Carrico, Cynthia Lynn, Louisville
Carter, Brian D., Franklin, TN
Caudill, Tammy Michelle, Nicholasville
Cecil, Terri Leigh, Versailles
Cherry, Susan Ann, Stanford
Clark, Catharine Marie, Louisville
Clark, Mark Allen, Peoria, IL
Cook, Stephanie Lynn, Elizabethtown
Coomer, Lea Linnette, Mt. Washington
Culkar, Steven John, Des Plaines, IL
Daniel, Shauna Lee
Decker, Cheryl Lynn, Louisville
Demovellan, Beatriz S., Lexington
Desantis, Jane Elizabeth, Columbus, OH
DiSomma, David Kenneth, Rockville, MD
Duncan, Dawn Roshell, Lexington
Durbin, Julianne Louise, Louisville
Ebeling, Suzanne, Lexington
Eddy, Christopher L., Charleston, WV
Fulton, Timothy True, Frankfort
Fultz, Johnny Ray, Elizabethtown
Gibbs, Tobin Alex, Winchester
Gillespie, Rae, Lexington
Glasscock, Melanie-Lynn, Leitchfield,
Goodridge, Dennis Patrick, Hebron
Griffin, Michael Jayla, Paducah
Hacker, Emily Buchanan, Harrodsburg
Hacker, Linda S., Lexington
Hall, Elizabeth Wade, Lexington
Hargreaves, Cheryllee, Seekonk, MA
Haromn, Randa Leah, Campbellsville
Hearne, Deborah Renee, Radcliff
Hodge, Wendy Jo, Summit
Holder, Donna Lynn, Louisa
Holleran, James Randall, White Oak, PA
Howard, Tonia Johnson, Lexington
Ishmael, Kathryn Ann, Mt. Sterling
Jackson, Keith Douglas, Lexington
Jeffries, Paul Michael, Owensboro
Kelley, Steven DeWayne, Hopkinsville
Kilcoyne, Matthew Robert, Lexington
King, Raina Siiri, Hopkinsville
Kirn, Wendy Powers, Louisville
Lane, Donna R., Lexington
Lane, John T. II, Louisville
Lang, Gina Mary, Clay City
Lazas, Karen Ann, Goshen
Leman, Catherine Jo, Raleigh, NC
Lile, Natalie Rae, Frankfort
Lyons, Laura Marie, Lexington
Maggard, Freddie Wayne II, Corbin
Mapes, Elizabeth Dyar, Louisville
Marzan, Rick, Columbia, SC
May, David Stuart, Ft. Wright
Mazzeo, Mary Elizabeth, Bowling Green, OH
McComas, Tonya Lynn, Ft. Wright
Meredith, Sara Kay, Louisville
Metz, Lori Rose, Roanoke, VA
Million, Katherine Lee, Lexington
Mills, Angela Rena, Elizabethtown
Mullins, Rebecca Barnett, Lexington
Murphy, Jeffrey R., Liberty
Nalley, Mary Jo, Bardstown
Orlando, Amanda Gabrielle, Chelmsford, MA
Otis, Michael Alan, Hodgenville
Owens, Patti Marlene, Danville
Passafiume, Thomas Anthony, Lexington
Pee, Mui Ling, Malaysia
Perkins, Carla Ellen, Edmonton
Powell, Joann, Lawrenceburg
Reichenbach, Aimee Jenise, Salvisa
Reinecke, Frederick Michael, Louisville
Rich, Stephen Michael, Paducah
Riddle, Leslie Anne, Lexington
Riddle, Melinda Ann, Raleigh, NC
Robertson, James Duncan, Danville
Rockefeller, Calvin S. III, Birmingham, AL
Rogers, Judy A., Lexington
Salman, Ayser K., Lexington
Salmen, Catherine Anne, Lexington
Sanders, Angela Joy, Cynthia
Schaap, Elizabeth Ruth, Bowling Green
Schneider, Laura Elizabeth, Frankfort
Seaberg, Anne Elizabeth, Bettendorf, IA
Seagren, Amanda Kathleen, Lexington
Segneri, Nicole Marie, Lexington
Shook, Maureen Louise, Versailles
Shrader, Cheryl Lynn, Louisville
Shuck, Gretchen Carol, Lexington
Sikes, Jennifer Kirn, Louisville
Singleton, Holly Michelle, Louisville
Sizemore, Stephanie Jo, Hazard
Smith, Scott F., Little Falls, NJ
Speaks, Virginia Sue, Mooleyville
Spencer, Stacey Nanette, Louisville
Sturgeon, Douglas Paul, Erlanger
Taliaferro, Laura Elizabeth, Ft. Mitchell
Tarter, Sean Ray, Danville
Tarvin, Judith Kay, Ft. Thomas
Taylor, Lisa Renee, Mt. Washington
Tourtellot, Richard Williams, Charlotte, NC
Towles, Brian Scott, Owenton, Owenton

Urch, Kathleen Ruth, Lexington
 Wagers, Lyman Ellsworth, Lexington
 Walters, Perry Rozelle, Frankfort
 Watson, Kimberly K., Campbellsville
 West, Michele Lea, Paris
 White, Elizabeth Barton, Paducah
 Willett, Kristi, Dover
 Woodward, Sara Michelle, Lexington
 Wylie, Shon Marie Lester, Warsaw

Bachelor of Science

Brown, Jennylynn Susan, Hagerstown, MD
 Cowden, Mark Justin, Lexington
 Daniel, Shauna Lee, Paoli, PA
 Ethington, Melinda Lane, Louisville
 Hampton, Vincent Darnell, Paducah
 Morris, Kinnith Ray Jr., Hopkinsville
 Prothro, Cathy C., Lexington
 Robertson, Ray Arrasmith, Bethel
 Voorhees, Kym Marie, Lexington
 Wills, Bradford Dean, Lexington

College of Education

Dean: J. John Harris III

Bachelor of Arts in Education

Adams, Scott Randal, Brodhead
 Allan, Kathleen Alyce Adamek, Frankfort
 Allen, Richard Leon, Jr., Orlando, FL
 Atwood, Leslie Carol, Midway
 Auxier, Jennifer Louise Salyer, Paintsville
 Baker, Teddi J., Elizabethtown
 Baldridge, David Hilton, Lexington
 Ballou, Ann Elizabeth, Columbia
 Barnes, Kimberly Keilene, Huntsville, AL
 Beegle, Melissa Jean, Florence
 Belcher, Sally Ann, Owensboro
 Biagi, Bridgett DuBourg, Shelbyville
 Brown, Karen Suzanne, Bloomington, IN
 Browning, Jerri Dawn, Louisville
 Bruno, Rebecca Elaine, Lexington
 Bumgarner, Jill Suzanne, Fairfax, VA
 Burke, Michael Ray, Cawood
 Carmody, Kendle Marie, Cheboygan, MI
 Cent, Page Lee, Benton
 Cheser, Cariann Royce, Lexington
 Cochrane, Kristan Treble, Louisville
 Collett, Tommye Elizabeth, Kettle Island
 Conrad, Keith Fluent, Miami, FL
 Crabtree, Elizabeth Ann, London
 Craig, Tamara Renee, Lexington
 Crose, Kristin Woods, Brownsburg, IN
 Cummins, Clint Wells, Lexington
 Cunningham, Beth A., Frankfort
 Day, Deidre Barger, Elizabethtown
 Derrick, Denise Renee, Lexington
 Dogan, Pamela Jeanne, Lexington
 Doll, Melissa E., Barbourville
 Douglas, Julie Ann, Mt. Olivet
 Dyer, MeLeah Helen, Eddyville
 English, Mimi Kay, Brandenburg
 Estes, Daphne Ann, Versailles

Fairchild, Stephanie Lynn, Lexington
 Farmer, Joni Lyn, Morganfield
 Fendley, Mary Julia, Birmingham, AL
 Ferrell, Cynthia Joan, Logan, WV
 Ford, Pamela Kay Lawver, Salvisa
 Gadberry, Lisa Tyler, Lexington
 Gilpin, David Gregory, London
 Golihue, Linda Sue, Grayson
 Golihue, Sara M., Grayson
 Gott, Suzanne J., Lexington
 Graham, Laura L., Lexington
 Gudgell, Shirley Currens, Lexington
 Hagan, Dana Lynn, Louisville
 Halcomb, Don Anthony, Lexington
 Hall, Amy Marie, Winchester
 Harper, Deborah Renee, South Charleston, OH
 Hayden, Teresa Anne, Owensboro
 Hayes, Teresa Lynn, Owensboro
 Hill, Stephanie Lynn, Lexington
 Hinant, Judith Gail, Lexington
 Hisle, Margaret Ann, Owensboro
 Honchell, Carol Ann, Fort Thomas
 Howard, Michell Ann, Utica
 Huber, Carrie Leah, Ashland
 Hutchens, Kimberly L., Orland PK, IL
 Ignacio, Albert Lopez, Richmond
 Irwin, Michelle Anne, Glenwood, IL
 James, Lori, Sadietown
 Jeffries, Cindy Lee, Louisville
 Johns, Martha Carlotta Davidson, Campbellsville
 Johnson, Beth Anne, Owensboro
 Johnson, Cynthia Williamson, Lexington
 Kaelin, Linda Seifert, Lexington
 Kelly, Dawn LeAnn, Sacramento, CA
 Kenner, Patsy C. Lee, Campbellsville
 Kisse, Lisa Ann, Somerset
 Klapheke, Tammy Sexton, Cynthiana
 Knight, Cynthia Ann, Greenville
 Koby, Kim Marie, Gallipolis, OH
 Kuerzi, Dana Michels, Louisville
 Lee, Teresa Dawn, Elizabethtown
 Leggett, Robin Michelle, Dallas, TX
 Lewellen, Allison L., Frankfort
 Linder, Laura Lee, Muncie, IN
 Loy, Angela Marie, Columbia
 Loy, Steven Brian, Columbia
 Major, Rilda L., Lancaster
 Masters, Diana Lynn, Frankfort
 Matlock, Vena L., Lexington
 Mayo, Amy Elizabeth, Martin
 McCrea, Kathryn Elizabeth, Louisville
 McDuffie, Kathleen Kennedy, Lexington
 McMahan, Karen Jo, Elizabethtown
 McMullan, Susan Ashton, Shelbyville
 Meeker, Patrick Anthony, Louisville
 Melhiser, Amy Ruth, Owensboro
 Miller, Margaret C., Campbellsville
 Mills, Thomas Glenn, Lexington
 Minor, Mary Elizabeth, Berea
 Morgan, Matthew Allan, Williamstown
 Moss, Dana Raye, Campbellsville
 Musgrave, Nicole Yvette, Henderson

Newcomb, Lisa Jo, Campbellsville
 Newton, Roger Wesley, Lexington
 Noel, Lisa Michelle, Mackville
 Onkst, Devin Kyle, Lexington
 Orme, Cheri Lynn, Maysville
 Pfahl, Deborah Leslie, Bowling Green
 Phillips, Paula M., Mt. Vernon
 Piercy, Susanne Palmer, Paducah
 Pugh, Elizabeth Ashley, Paducah
 Ramage, Andree Susanne, Cold Spring
 Reynolds, Amy S. Grayson, Cynthiana
 Reynolds, Jennifer Lynn, Lexington
 Richardson, Deborah Ann, Bardstown
 Robbins, Julia Elaine, Villa Hills
 Roberts, Tricia Lynne, Ft. Thomas
 Sanders, Julie S., S. Portsmouth
 Schreck, Carol Lynn, Louisville
 Scott, Gale Ray, Lexington
 Scott, Kimberly Ann, Lexington
 Severt, Mindy Michelle, Troy, OH
 Sidney, Wendy Marie Jeti, Lexington
 Sipple, Sheri Lynn, Ft. Thomas
 Sisson, Maribeth Elaine, Longwood, FL
 Smith, Dean Ward, St. Louis, MO
 Smith, Lee Ann, Albany
 Smith, Lori Michelle, Owensboro
 Snyder, Lesly Ann, Lexington
 Spears, Todd Anthony, Versailles
 Stephens, Kelley Theresa, Lexington
 Stricker, Kimberly Ann, FT. Mitchell
 Sullivan, Mona Lee, Ft. Thomas
 Taylor, Sandra Lynn, Pikeville
 Tekrony, Anne Marie, Lexington
 Thorne, Denise Kaye, Louisville
 Trent, Susan Dale, Hardinsburg
 Turner, Amy Teresa, Jackson
 Turpin, Carol Jean, Danville
 Turpin, Charla Kaye, Campbellsville
 Urton, Michael Thomas, Florence
 Waite, Caroline Elizabeth, Lexington
 Webb, Carmella, Whitesburg
 Welch, Kevin Patrick, Louisville
 White, Peggy Angela, Nicholasville
 Williams, Patricia Dean, Owensboro
 Willis, Robert Kenneth, II, Owensboro
 Witt, Kenneth Ray, Hyden
 Zeller, Cynthia Rosalie Enlow, Hodgenville

Bachelor of Science In Education

Higgs, Mark Deyon, Owensboro
 Merritt, John Kelly, Lynch
 Pfeifer, Michael Robert, Louisville

College of Engineering

Dean: Thomas W. Lester

Bachelor of Science In Chemical Engineering

Bebout, Laurie Anne Kelley, Monticello
 Biddle, David Wooding, Louisville
 Blakey, Timothy Evan, Stanton
 Burden, Mark Wayne, Leitchfield
 Davies, David Paul, Cleveland, OH

Duvall, Christopher Eric, Grayson
 Floyd, John Thomas, Louisville
 Geyman, Deborah Ann, Madison, IN
 Hasselbeck, Richard Alan, Florence
 Hosman, William Gerald, Sturgis
 Hosseinipour, Mina Christine, Hazard
 Leisenring, Andrea Priscilla, Corbin
 Long, Richard Paul, Jr., Ashland
 Martin, Barry D., Cincinnati, OH
 McNeese, Adam T., Alexandria
 Stafford, Christopher Allen, Paducah
 Stapleton, Melissa Gail, Olive Hill
 Stewart, Dawn Michelle, Hodgenville

Bachelor of Science In Civil Engineering

Alvey, Densel Dewayne, Jr., Paducah
 Basberg, Leif, Louisville
 Beckett, Darrin Palmer, Cynthia
 Blair, Bret Alan, Frankfort
 Bryant, Barry Lynn, Elizabethtown
 Bryant, Bart B., Mariba
 Buchanan, Charlotte Lee, Danville
 Combs, Shawn Woodward, Langley
 Conley, Michael Allen, Paris
 Darmi, Sri Mega, Medan, Indonesia
 Dodson, Tamra Kaye, Nancy
 Doepker, James A., II, Ft. Thomas
 Gossage, Joseph William, Windsor
 Hall, Donald Dwayne, Wayland
 Heisler, Lee Jeffrey, Louisville
 Hollowell, Tamara April, Chicago, IL
 Horne, L. Christopher, Lexington
 Hosman, James Mitchell, Morgantfield
 Kareth, James Richard, Villa Hills
 Layson, William Timothy, Frankfort
 Manoocheri, Marjan, Louisville
 Neely, Bruce Lee, Somerset
 Rust, Kevin Joseph, California
 Sanders, Paul Patrick, Vine Grove
 Schrim, James Douglass, III, Columbus, OH
 Smith, Buan Baskel, III, Frankfort
 Spradlin, Steven Dale, Pikeville
 Wagner, Michael Anthony, Taylor Mill
 Weller, Sheri Lin, Louisville
 Westerdale, Richard William, II, Winchester
 White, Jay Travis, Fleming-Neon

Bachelor of Science In Electrical Engineering

Adams, Darrin Wayne, Jeremiah
 Adams, James William, Lexington
 Barker, Stewart Edward, Paducah
 Bastian, Richard James, Ashland
 Bell, Brian Mallory, Radcliff
 Birchell, Brent E., Monticello
 Buck, James Allen, Monticello
 Bush, Stephen Porter, Winchester
 Butler, Bradley Keith, Bowling Green
 Caldwell, David Eugene, London
 Cambron, Mark Edward, Hendersonville, TN
 Childers, Anthony Wayne, Hellier
 Childers, Terri Lynn, Shepherdsville
 Coleman, Arnold Robert, Ashcamp

Conley, Rodney Keith, Middletown, OH
 Cox, Timothy Christopher, Lexington
 Cunnagin, Stephen Kelly, London
 Dadisman, Phillip Lee, Danville
 Dawson, Marshall Allen, III, Midway
 Eastham, David Kim, Russell Springs
 Farmer, James Patrick, Stanton
 Flach, Matthew James, Walton
 Gosney, Dean Russell, Florence
 Harden, Bobby Howell, II, Campbellsville
 Herndon, John David, Mayfield
 Leach, Ronald Scott, Harrodsburg
 Marra, Michael Anthony, Lexington
 Medley, Susan Marie, Springfield
 Menzer, Lawrence William, Covington
 Miller, Kevin Lee, Sonora
 Montazemi, Shawn Parviz, Edgewood
 Peterson, Ernest Winford, Jr., Pembroke
 Phelps, David Lee, Somerset
 Powell, Deborah Louise, Lexington
 Sandjoyo, Eko Putro, Jakarta, Indonesia
 Seagraves, Ernest Eugene, Jr., Grayson
 Severns, Scott Edward, Paducah
 Sneddon, William G., Robards
 Strehl, Keith Brian, Owensboro
 Vittitoe, Neal Fredrick, Lexington
 Westerfield, Eric Wayne, Batavia, OH
 White, John Edward, Russell
 Williams, Craig Benjamin, Russell
 Wilson, Edward Tyler, Georgetown
 Woods, Michael D., Florence

Bachelor of Science In Materials Engineering

Davis, Mark Edwin, Calvert City
 Hatfield, Steven Lee, Elizabethtown
 Hootkany, Ali Nowi, Lexington
 Houlihan, William Scott, Lexington
 Isham, Matthew Albert, Lexington
 Lewis, John Carl, Paducah
 Mayes, Jessica Laurel, Lexington
 Ray, Brent David, Huntington, WV

Bachelor of Science In Mechanical Engineering

Bachman, Andrew Keith, Madisonville
 Cordle, David Gordon, Ashland
 Dusing, Douglas William, Edgewood
 Fredricks, Thomas Henry, Elizabethtown
 Hall, William Donald, Flatwoods
 Hatcher, Richard Drew, Paducah
 Herald, Stephen Douglas, Lexington
 Hill, David Jay, Ashland
 Jeffries, James Ray, Glendale
 Kern, Joel Alan, Paducah
 Lee, Seog Shin, Cairo, Egypt
 Liebenauer, Eric Palmer, Oswego, NY
 Miller, Gregory Alan, Elizabethtown
 Norton, Vincent Bruce, Elizabethtown
 Plank, Sheila Elizabeth Spicer, Toledo, OH
 Ratliff, Christopher Allan, Prestonsburg
 Shore, John E., Elizabethtown
 Smith, Harry Richard, IV, Elizabethtown
 Warner, Richard L., Russell

Williams, David Eric, Catlettsburg
 Yates, Vernon Todd, Chaplin

Bachelor of Science In Mining Engineering

Hill, John David, Jeff
 Lunsford, Timothy Lucian, Manchester
 Williams, Kenneth Darrell, Hyden

College of Fine Arts

Dean: Richard C. Domek, Jr.

Bachelor of Arts

Bernhard, Kristen Molyneux, Ft. Thomas
 Bodycomb, Christine Joy, Little Silver, NJ
 Bootes, Rachel Anne, Danville
 Bradford, Jeffrey Dean, Lexington
 Brannock, Larry R., Lexington
 Brooks, Michael Scott, Louisville
 Campbell, Brian W., Lexington
 Chapman, Mark Lyle, Lexington
 Chrzczon, Jeffrey Vincent, Louisville
 Clark, Deborah Lynn, Lexington
 Dahlin, Kimberly Ann, Louisville
 Dorris, Karen Michelle, Lexington
 Duncan, Carrie A.
 Dundon, Catherine Richardson, Lexington
 Faulkner, Christi L., Lexington
 Follett, Jane Marthanne, Mt. Sterling
 Ganote, Matthew Brennan, Canton, MI
 Gibson, Timothy Shreve, Louisville
 Haney, Tracy Lee, Lexington
 Hasselwander, Laura Ann, Louisville
 Herrmann, Frederick Charles, Vicksburg, MS
 Holt, Lee Ann, Russellville
 Howard, Kathleen C., Lexington
 Jolley, Janet Beth, Lexington
 Kaykavoosi, Maryam, Frankfort
 Krautter, Deborah J., Lexington
 Kruse, Toni Meriah, Hawesville
 McChord, John Elias III., Lexington
 McKee, Gienda Sue, Somerset
 Morgan, Jamie Helm, Somerset
 Mueller, Ruth Ellen, Glendale
 Newby, Michelle Lynn, Lexington
 Perry, Natalie Fraser, Wrightsville, NC
 Royden, Elizabeth Redmon, Ashland
 Sammons, Tania June, Wise, VA
 Sayre, Andrea Marie, Lexington
 Simpson, Carol Ann, Madisonville
 Spink, Beth Ann, Elizabethtown
 Thomas, Laura Sue, Hodgenville
 Warner, Christina Louise, Crestwood
 Whitehead, Jennie Watkins, Lexington
 Wilson, Catherine, Clarksburg, WV

Bachelor of Fine Arts

Calvert, Jill Marie, Lexington
 Czarnecki, Karyn Jean, Nicholasville
 Hankins, Brent Andrew, Park Hills
 Scott, Shelly Renee, Lexington
 Sturgeon, G. Thomas, Lexington

Bachelor of Music

Kennedy, Melissa Jayne, Buckhannon, WV
Langston, Rebecca Lee, Trion, GA
Smith, Stuart Randolph, Macedon, NY

Bachelor of Music In Music Education

Bootes, Rachel Anne, Danville
Bootze, Deidra Gail, Lexington
Dee, Douglas Earl, Ft. Thomas
Doll, Melissa F., Barboursville
Grant, Sara Elizabeth, Lexington
Hack, Jan Beiling, Louisville
Hack, Jill Graham, Louisville
Holt, Regina Lynn, Calvert City
Martin, Emily Joy, Bremen
Stone, Michael Wade, Danville
Valentour, Tracy Lynn, Cincinnati, OH
Withrow, Pamela Jones, Radcliff

College of Human Environmental Sciences

Dean: Peggy S. Meszaros

Bachelor of Arts in Housing & Interior Design

Barker, Jonathan M., Louisville
Cable, Tracy Denise, Winchester
Coallo, Kimberly Darice, Lexington
Crismon, Sharon Ann, Louisville
Cunningham, Amy Lynn, Benton
Daniels, Sara Elizabeth, Huntington, WV
Darlington, David Blair, Lexington
Edwards, Laura Lancaster, Louisville
Estes, Christopher T., Elizabethtown
Furlong, Kathleen Marie, Louisville
Hubbuck, Krista Malisse, Louisville
King, Gina Luree, Owensboro
Langley, Gwen Gale Harrison, Lexington
McElroy, Carol Jean, Lexington
McNees, Richard T., Cynthiana
McNulty, Michelle Patricia, Bowling Green
Overbey, Olivia Johnson, Mt Olivet
Parker, Brian Keith, Lexington
Pennington, Jennifer Ruth Cunningham, Lexington
Robertson, Julie Ann, Temple City, CA
Robertson, Winfrey Bell, Lexington
Smith, Christine Denise, Lima, OH
Sommerville, Krista Marie, Louisville
Tuel, Tia Noelle, Richmond
Tumey, Elizabeth Adele, LaGrange
Weaver, Jennifer Sue, Crescent Springs
Wilson, Amy Elizabeth Huddleston, Shelbyville

Bachelor of Science in Dietetics

Ables, Amy Katherine, Lexington
Bradley, Lesley Rae, Greenup
Brisky, Pamela, Lexington
Carter, Shara Lee, Ashland
Conley, Mona Lavon, Lexington
Cunningham, Kelly Ann, Winchester
Downing, Cassandra Compton, Georgetown
Herzog, Lisa Ann, Louisville
Hilen, Robyn Lee, Lexington
Lettieri, Leigh Ann, Louisville

Mattingly, Sherry Ann, Lebanon
Morgan, Mary Ritchie, Lexington
Nunley, Kristi Lynn, Ashland
Porter, James Richard, Prestonsburg
Sheets, Peggy Leigh, Anderson, SC
White, Mary Jane, Lexington

Bachelor of Science In Family Studies

Berry, Regina Marie, Lexington
Carlson, Laurel Elizabeth, Worthington, OH
Colvin, Mark Conrad, Paducah
Cramer, Melissa Jane, Lexington
Crowdus, Christy, Lexington
Fields, Sherman Eugene II, Lexington
Finch, Barbara H., Lexington
Fox, Patricia Jean, Lexington
Fulcher, Michele Lyn, Prospect
Hickey, Mary Patricia
Hill, Donald Allen, Jr., Danville
Howard, Rhonda Denise, Ashland
Ishmael, David Lee, Jr., Mt Sterling
Jones, Kevin Lee, Burlington
Kinney, Elizabeth Benton, Cynthiana
Lindsay, Elizabeth Vicknair, Morgan City, LA
Rodes, Charles Allen, Lexington
Rowan, Angela Kay, Washington, WV
Schweder, Susan Jeannette Palmer, Nicholasville
Smith, Sheryl Lynette, Louisville
Spurrier, Mary Allison, Lexington
Stoneberger, Beth Ann, Cincinnati, OH
Taylor, Paige Anderson, Charleston, WV

Bachelor of Science In Food Science

Burton, Daniel Thomas, Covington

Bachelor of Science In Home Economics

Diehl, James Francis, Louisville
Fischer, Suzanne Lynelle, London
Glenn, Richard Allen, Owensboro
Haggerty, Brian P., Lexington
Lampton, Robin Michelle, Louisville
McIntosh, David Allen, Lexington
Middleton, Marc Alan, Lexington
Pearce, Brian Alan, Louisville
Potter, David Edward, Warren, OH
Price, Janet Edwards, Lexington
Shropshire, Charles Allen, Lexington
Tietz, Kurt Richard, Lexington

Bachelor of Science In Merchandising, Apparel, and Textiles

Adkins, Angela Marie, Corbin
Davis, Melinda Carol, Lexington
Dawson A. Craig, Paris
Fister, Elizabeth S., Lexington
Hancock, Sheila Rhea, Hopkinsville
Heleringer, Leigh Ann, Louisville
Hyslop, Emma Gabriela, Florence
Jackson, Kimberly Faith, Lexington
Jenkins, Cynthia Leigh, Louisville
Jones, Sherry Lou, Prestonsburg
Le, Tuyen T.
Magness, Rebecca Shane, Bentonville, AR

Merz, Robert Lawrence, Kettering, OH
 Parsons, Donna Lynn, Harlan
 Ricketts, Deborah Lynn, Mt Sterling
 Ruggles, Melinda Todd, Lawrenceburg
 Seltsam, Katherine Ashley, Lexington
 Shropshire, Charles Allen, Lexington
 Sizemore, Monica Marie, Cynthiana
 Smith, Courtney Jane, Kansas City, KS
 Sodel, Lesley Joan, Marietta, GA
 Stichweh, Betsy Lynn, Dublin, OH
 Tietz, Kurt Richard, Lexington
 Turner, Laura Leigh, Glasgow
 Wade, Lisa Rae, Worthington, OH
 Wagner, Nanette Marie, Louisville
 Wagner, Stephanie Eldora, Prospect
 Weinberg, Jennifer Anne, Louisville
 Wells, Deborah L., Winchester
 Whitaker, Julie K., Austin, IN
 Williams, Judy Lynn
 Wood, Tricia, Chagrin Falls, OH
 Wright, Rebecca Ann, Hardinsburg

College of Pharmacy

Dean: Jordan L. Cohen

Doctor of Pharmacy

Birmingham, William P., Blatimore, MD
 Blumenschein, Karen M., Lexington
 Fetrow, Charles William, Pittsburgh, PA
 Goodin, Susan, Lebanon
 Hilliard, Amy Lynn, Bowling Green
 Iannello, Gregory Alan, Dunbar, WV
 Leibee, Lora Lea, Bristolville, OH
 Music, Brigitte, East Point
 Nagle, Becky Ann, York, PA
 Otto, Michael Douglas, Delta, CO
 Parr, Thomas J., Warrensburg, MO
 Rhoney, Denise Hansel, Mt. Vernon
 Richard, Susan Carol, Lexington
 Schultz, Andrew Frederick, Somerset
 Stowe, Cindy Dene, Calvert City
 Sutherland, D. Norton, Bardstown
 Welch, Laura Palmer, Lexington
 Wright, Janet Poe

Bachelor of Science in Pharmacy

Abbott, Randall K., Nortonville
 Allen, Jennifer Weatherford, Lawrenceburg
 Beals, James Eric, Milton
 Bentley, Kimberlynne Renee, Union
 Bragg, James Michael, Ashland
 Brainard, Jay H., Somerset
 Braitling, Amy Louise, Jeffersonville
 Brooks, Bonita Christel, Louisville
 Brown, Elizabeth Ann, Columbia
 Canada, Charles Augustus, Lexington
 Carr, Michael Glennon, Owensboro
 Cooley, Brenda Faye, Nicholasville
 Dalton, Ramona LaVerne, Owensboro
 Dancy, Tonya Lynn, Louisa
 Dawson, Steven Gregory, Hi Hat
 Dickerson, David Brian, Paintsville

Digenis, Melina Irene, Lexington
 Dumstorf, Theresa Margaret, Melbourne, FL
 Fox, Shane Allen, Radcliff
 Garner, William Mark, Somerset
 Greene, Ellen Denise, Vanceburg
 Handshoe, Barrie Bradford, Hueysville
 Harmon, William Kinner, Ashland
 Hay, Robert Omri, Walton
 Hubbard, Kenneth David, Florence
 Ingram, Jerry Lee, Cynthia
 Jones, Terry Lee, Wickliffe
 Key, Shelia Marie Hoskins, Pineville
 Lipscomb, Kenneth Wayne, Corbin
 Lowhorn, Noah Kevin, Albany
 Luttmann, Amy Sue, Louisville
 Lutz, David Michael, Ironton, OH
 Maddox, Donna Brewer, Campton
 Mann, Paul Lewis, Lawrenceburg
 Mathes, Vickie Lee, Williamsburg
 Mazzoni, Robert Lee, Louisville
 Morgan, Richard Berlin, Essie
 Owen, Brenda, Paducah
 Parker, Thomas Tid, Pikeville
 Payne, Jacqueline Leslie, Nicholasville
 Pearson, Andrea Cockrill, Russellville
 Pearson, Charles Christopher, Scottsville
 Plummer, Kevin Lee, Greenup
 Powell, Sandra Lynn, Clarkson
 Rains, Robin Kay, Williamsburg
 Ramp, Nancy Carol, Louisville
 Ricketts, Angelia Denise, Hazard
 Rowe, Kimberley Mae, Ivel
 Sanders, Gregory J., Regina
 Shannon, John Stuart, South Williamson
 Shuber, Ann Catherine, Stow, OH
 Slone, Kimberly Lynn, Grayson
 Snawder, Kelley Lynn, Louisville
 Southall, Patrick Joseph, Lebanon
 Sparrow, Sarah Jane, Shelbyville
 Summers, Alison Ann, Elkton
 True, Lisa Lee, Corinth
 Walker, Marcella Lynn, London
 Wherthey, Lawrence Patrick, Louisville
 Whitaker, Lisa Neace, Hazard
 Whitaker, Stephen Earl, Mt. Sterling
 Williams, Steven Duane, Morehead
 Yek, Yung Lee, Malaysia

College of Nursing

Dean: Carolyn A. Williams

Bachelor of Science in Nursing

Adams, Ginger Rena, Mayfield
 Alexander, Susie Lee Porter, Elmira Height, NY
 Arnold, Terri Lynn, Louisville
 Bagley, Rhonda L., Louisville
 Baker, Maria Joy, Grayson
 Barnett, Barbara Lee, Lexington
 Beil, Kelly Michelle, Ft Mitchell
 Blair, Carolyn Ann Bowles, Louisville
 Blair, Martha Cockrell, Winchester
 Blandford, Sandra Celine Doucette, Louisville

Brown, Elizabeth Ann, Ashland
 Bryan, Elizabeth Dobson, Elizabethtown
 Burke, Catharine Hiseler, St Louis, MO
 Carroll, Sheila Ann, Williamstown
 Chambers, Beverly Keplinger, Elizabethtown
 Clancy, Diane Marie, Bayport, NJ
 Conn, Rhonda Susan Adams, Prestonsburg
 Coulter, Mia Michelle, Louisville
 Craft, Elaine Lee, Versailles
 Creech, Lisa Lynn, Milford, OH
 Crisp, Sue Marion, Louisville
 Crum, Lisa Wright, Lexington
 Currier, Margaret Elaine, Lexington
 Dalton, Barbara Ann, Parkersburg, WV
 Davenport, Cari Beth, Paducah
 Day, Virginia Ann, Lexington
 Deneen, Lea Ann Wilson, Elizabethtown
 Durbin, Sandra Sue Beasley, Elizabethtown
 DuVall, Angela Dawn, Walton
 Early, Judy F., Louisville
 Ely, Brian Bennett, Behnam
 Enders, Beth Alane, Louisville
 Fulkerson, Lora Ann, Owensboro
 Goins, Joy Janine, Middlesboro
 Gordy, Carrie Cheek, Cynthiana
 Granger, Kelle Michelle, Florence
 Gray, Lisa A., Versailles
 Greenwell, Christine Marie, Bardstown
 Hamilton, Leslie Robin, Lexington
 Hargadon, Julie Ann, Louisville
 Harris, Julianna N., Lexington
 Henry, Valerie Michelle, Point Pleasant, WV
 Hensley, Elizabeth Diane, Russell Springs
 Hollins, Clarice T., Louisville
 Holloway, Terri Ann, Louisville
 Howley, Coleen Marie, Russell
 Johnson, Belinda Diana, Louisville
 Jones, Tammy Renee, Versailles
 Jones, Victoria Lynn, Elizabethtown
 Joyce, Maureen Anne, Lexington
 Jurgensen Carole Elizabeth, Danville
 Kachler, Leisa Dawn, Flemingsburg
 Keeling, Rick G., Lexington
 Kirk, Kate McAlister, Paris
 Kitchin, Shannon Marie, Lexington
 Lagos, Jacqueline Mechelle Lewis, Ashland
 Lantz, Janet Aubrey, East View
 Loafmann, Beth-Renee, St Louis, MO
 Mastin, Kimberly S., Covington
 McCoy, Rebecca Estep, Shelby Gap
 McEndree, Mary, Jackson
 McKay, Kimberly Ann, Owensboro
 McQueen, Jane Sheehy, Lexington
 Meers, Laura Beth, Shelbyville
 Michalski, Janet Ann, Lexington
 Morris, Melanie J. McGlone, Cynthiana
 Moseley, Debra Yvonne, Owensboro
 Otto, Barbara Butler, Florence
 Owen, Marcella Annette, Hodgenville
 Padgett, Donna Jo, Lexington
 Parrish, Angela Lynne, Lexington
 Patterson, Melanie Dawn, Middleburg

Phipps, Gina Kaye, Elkhorn City
 Powers, Samantha Leigh, Paducah
 Riley, Carole Anne, Louisville
 Risen, Martha Jane, Louisville
 Russell, Lezlie Seibert, Princeton
 Schneider, Kim Louise, Lexington
 Schroeder, Elizabeth Jane, Lexington
 Sharp, Susan Gabrielle, Lexington
 Sittler, Tammy Lynn, Glasgow
 Spence, Deborah Ann, Florence
 Stone, Tammy Elaine, Louisville
 Taylor, Deborah Peyton, Lawrenceburg
 Taylor, Teresa Carol, Coal City, IL
 Troutwine, Melissa J., Elizabethtown
 Warner, Jill Renee, Somerset
 Webb, Heidi Lynn, Akron, OH
 Webb, Jerri Yvonne, Ashland
 Wells, Linda, Prestonsburg
 Wells, Suzanne, Madisonville
 Williams, Stacy Kathleen, Independence
 Young, Joyce Ann, Columbia

College of Social Work

Dean: S. Safar Hasan

Bachelor of Arts In Social Work

Babbs, Tina Marie, Sturgis
 Bailey, Melissa Gayle, Owenton
 Banchieri, Angela Marie, Hayward, CA
 Brockman, Carla M. Browning, Paris
 Butler, Kimberly Gayle, Henderson
 Daniels, Mendy J., Paintsville
 Daugherty, Roberta Hockenberry, Hardinsburg
 Davis, Jennifer Lyle, Lexington
 Ellison, Karen Marie, Corbin
 Finn, Kellie Michelle, Paintsville
 Gardner, John Lindsay, Cincinnati, OH
 Gimble, Barbara J., Wilson County, TX
 Gower, Clara Ann, Cincinnati, OH
 Greenwell, Keith J., Louisville
 Hardwick, Tammy Teresa, Burnside
 Jackson, Larry Tyrice, Mayfield
 Johnson, Paul Frederick, Frederick, MD
 Johnson, William, Lexington
 Keeton, Vawn Beth, Warsaw
 Kozee, Kellie Theresa, Grayson
 Lee, Angela Carol, Reynolds Station
 LeeMaster, William James, Lexington
 Lefevers, Jodi, Pineville
 Lunsford, Virginia Vicini, Lynch
 Mallory, Sherri McIntosh, Lexington
 Martin, Lisa Ann, Glasgow
 McCormick, Terry M., Lexington
 Missick, Tony A., Miami, FL
 Perkins, Claudette Elaine, Louisville
 Reber, Brian Michael, Paducah
 Reed, Betty S., Lexington
 Renfrow, Amy Carol Rice, Lexington
 Reynolds, Theresa Sue, Lexington
 Rouse, Anne Porter Berryman, Midway
 Sage, Pamela Gail, Madison, IN
 Salisbury, Gloria Moore, McDowell

Shelton, Tammie Lynn, Parkers Lake
Shepard, Rodney F., Brooklyn, NY
Sibley, Angela Renee, Florence
Smith, Dani T., Prestonsburg
Stallard, Jack Moran II, Lexington
Stephens, Christopher P., Lexington
Stevenson, Michelle Denise, Lexington
Stone, Linda Faye, Lexington
Stump, Raymond Baggett, Hopkinsville
Taylor, Margaret Lischy, Owensboro
Toney, Beverly Lynn, Steele
Vice, Charlotte Rose, Lexington
Villaflor, Sharon Brock, Lexington
Williams, Donald Ray, Meally
Williams, Karen A., Louisville
Wright-Banahan, Sunni Lynn, Lexington

Addendum: Office of University Registrar
Candidates for Degrees
April 10, 1991

The Graduate School

Dean: Daniel R Reedy

Master of Science in Accounting

Hettinger, Melinda Suzanne, Louisville

College of Arts & Sciences

Acting Dean: Bradley C Canon

Bachelor of Arts

Wagner, David Scott, Lexington

College of Communications

Dean: Douglas A. Boyd

Bachelor of Arts

del Castillo, Lee Ann Hill, Mt. Sherman

College of Nursing

Dean: Carolyn A. Williams

Bachelor of Science in Nursing

Blanton, Jennifer Lee, Lexington

Douglass, Jayne Button, Lexington

Stratton, Natalie, Lexington

Addendum: Office of University Registrar
Candidates for Degrees
April 30, 1991

College of Human Environmental Sciences

Dean: Peggy S. Meszaros

Bachelor of Arts in

Housing and Interior Design

Ellis, Karen Calvert, Madisonville

Office of the President
April 30, 1991

PR 5B

Members, Board of Trustees:

APPOINTMENT OF DEAN OF ARTS AND SCIENCES
LEXINGTON CAMPUS

Recommendation: that Dr. Richard Edwards be named Dean of Arts and Sciences, effective August 12, 1991.

Background: Dr. Michael Baer resigned as Dean of Arts and Sciences in the Spring of 1990 to accept the position of Provost at Northeastern University. A national search was begun in the Fall of 1990 and more than one hundred applications were received. Five finalists visited campus and were interviewed extensively by faculty, staff, students, and administrators.

Dr. Edwards was the top choice of those who met with the finalists. He is currently chair of the Economics Department at the University of Massachusetts, Amherst. His B.A. is from Grinnell College (1966) and his Ph.D. from Harvard (1972). He is acknowledged as an exceptionally fine teacher and administrator at the University of Massachusetts campus, and is the author of eleven books and twenty-seven book chapters.

A labor and work-place economist, Dr. Edwards is currently at work on a new book which considers how to protect workplace rights for American workers while preserving for their employers' the flexibility needed to achieve international economic competitiveness.

Dr. Edwards has been a Research Economist with the National Bureau of Economic Research, a German Marshall Fund Research Fellow, and a visiting professor at the University of Siena (1983), and the University of Padova (1988). He is the recipient of the Cole Prize from the Journal of Economics History, and was a social science member of the Institute for Advanced Study in Princeton (1976-77). He has served on the committee on Occupational Classification of the National Academy of Sciences and is currently an elected member of the Amherst, Massachusetts school board.

The University is pleased to be able to attract an outstanding candidate to become Dean of the university's largest college.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

Office of the President
April 30, 1991

PR 5C

Members, Board of Trustees:

APPOINTMENT OF DEAN OF STUDENTS
LEXINGTON CAMPUS

Recommendation: that Dr. David H. Stockham be named Dean of Students, effective immediately.

Background: Dr. Douglas Wilson resigned as Dean of Students in September 1990 to accept the position of Executive Secretary for the Oklahoma State University Board of Regents. Dr. Stockham was appointed Acting Dean of Students on October 1, 1990, and a national search for a permanent Dean was begun. More than fifty applications were received. Four finalists visited campus this spring and met with students, staff, and administrators. Dr. Stockham was the top choice among those who met with the finalists.

Dr. Stockham received his B.A. from Alma College in Alma, Michigan and his master's degree from Michigan State University. He received his Ed.D. from the University of Kentucky in 1974. From 1982 until his appointment as acting Dean of Students, Dr. Stockham served as Director of Student Financial Aid at UK. Under his leadership, the office made a successful transition from an overburdened manual operation to a fully automated financial aid management system. From 1970-1982, Dr. Stockham served as Assistant to the Vice Chancellor for Student Affairs and as an adjunct assistant professor in the College of Education. He worked for several years at Eastern Michigan University prior to coming to the University of Kentucky.

Dr. Stockham is currently an instructor in the Master Student Program and the sponsor for the campus chapter of Habitat for Humanity. He is active in the Kentucky and National Association of Student Financial Aid Administrators, the National Association of Student Personnel Administrators, and the Southern College Personnel Association.

The University is pleased to be able to choose an outstanding internal candidate to become Dean of Students.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

Office of the President
April 30, 1991

PR 6A

Members, Board of Trustees:

TRANSFER OF COMMUNICATION DISORDERS PROGRAM

Recommendation: that the Board of Trustees approve the transfer of the program in Communication Disorders from the College of Education to the College of Allied Health Professions.

Background: The rationale for this transfer is to enhance the clinical opportunities and relationships, particularly with the UK and VA hospitals, associated with the program in Communication Disorders. Additionally, this transfer will facilitate the strengthening of available faculty resources through the utilization of existing linkages with clinicians who serve as voluntary and part-time faculty members. Finally, it will posture the program to make the changes necessary to prepare students to meet the new accreditation standards which become effective at the end of 1992.

Inherent in this recommendation is the transfer of the current resources and capabilities necessary to continue the faculty's productivity. Items which are paramount to this purpose include equipment in the speech science and audiometry laboratories, individual equipment currently used by the faculty and staff, and equipment in the assistive and adaptive devices laboratory which supports the program's diagnostic activities in augmentative communication classes, student laboratory training, and faculty research.

The current Communication Disorders program in the College of Education will be phased out, allowing currently enrolled students to complete the program under the conditions under which they were admitted.

This program transfer has been reviewed and recommended by the University Senate Committee on Academic Organization and Structure, the University Senate Council, and the University Senate.

1:13

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

Office of the President
April 30, 1991

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE NINE MONTHS ENDED
MARCH 31, 1991

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the nine months ended March 31, 1991.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of March 31, 1991, the University had realized income of \$588,936,000 representing 79% of the 1990-91 estimate of \$745,517,000. Expenditures and commitments total \$551,801,000 or 74% of the approved budget of \$745,517,000.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
MARCH 31, 1991
 with comparative totals for March 31, 1990
 (in thousands)

	1990-91					1989-90	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 40,019	\$ 29,573	\$ 1,700	\$ 112	\$ 75,756	\$ 147,250	\$ 160,566
Notes, loans, and A/R (less bad debt allowances of \$5,675)	47,385	9,157	17,050		1,659	75,251	68,218
Investments	3,310	40,637		77,694	80,566	202,407	199,726
Property, plant, and equipment					655,237	655,237	789,323
Inventories and other	12,191	96				12,287	11,904
Total Assets	\$ 102,905	\$ 79,663	\$ 18,840	\$ 77,806	\$ 1,013,218	\$ 1,292,432	\$ 1,238,737
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 10,951	\$ 425			\$ 2	\$ 11,378	\$ 10,981
Employee withholdings and deposits		4,731				4,731	5,224
Other liabilities	3,589	2,635			5,761	11,985	7,590
Liability for self insurance	17,102					17,102	14,441
Deferred income	3,495	7,474				10,969	10,188
Bonds payable					271,066	271,066	279,513
Capitalized lease obligation					17,879	17,879	22,576
Net deferred revenues and appropriated fund balances	44,094	(903)				43,191	47,858
Total Liabilities	79,231	14,362			294,708	389,301	398,372
Interfund Balances	(34,702)	38,523			(3,821)		
Fund Balances							
Current Unrestricted							
Working capital	47,627					47,627	46,860
Future operating purposes	7,019					7,019	7,420
Other	3,730					3,730	1,525
Current Restricted		26,778				26,778	26,586
Loan			\$ 18,840			18,840	17,861
True Endowments				\$ 45,826		45,826	40,928
Term Endowments				2,257		2,257	1,028
Quasi Endowments				29,721		29,721	29,573
Plant							
Retirement of indebtedness					30,953	30,953	31,688
Renewal and Replacement					28,174	28,174	25,756
Allocated for designated projects					36,920	36,920	51,348
Net investment in plant					626,284	626,284	559,792
Total Fund Balances	58,376	26,778	18,840	77,806	722,331	904,131	840,365
Total Liabilities and Fund Balances	\$ 102,905	\$ 79,663	\$ 18,840	\$ 77,806	\$ 1,013,218	\$ 1,292,432	\$ 1,238,737

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 1991
 with comparative totals for March 31, 1990
 (in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1990-91				1989-90	
	ORIGINAL BUDGET	REVISED BUDGET	REALIZED TO DATE	PERCENT REALIZED	REALIZED TO DATE	PERCENT REALIZED
General Fund						
(1) State appropriations	\$ 287,506	\$ 287,506	\$ 213,893	74	\$ 189,060	74
(2) Student fees	72,351	74,714	72,479	97	64,287	99
(3) Federal appropriations	14,372	14,372	9,968	69	11,360	79
(4) County appropriations	4,064	4,701	2,954	63	2,761	68
(5) Endowment income	761	836	478	57	574	67
(6) Investment income	9,372	9,372	6,900	74	6,710	77
Gifts and grants						
(7) Affiliated corporations	5,785	6,121	4,566	75	2,926	57
(8) Other	3,113	3,468	2,179	63	2,634	78
(9) Sales and services	15,091	16,142	12,802	79	10,977	73
(10) Fund balance	6,911	12,773	12,773	100	10,853	100
(11) Total General Fund	419,416	430,095	338,992	79	302,142	79
(12) Auxiliary Enterprises	34,902	35,287	26,756	76	25,556	80
(13) Restricted Funds	47,981	50,016	37,480	75	31,905	74
(14) Affiliated Corporations	77,579	78,842	57,402	73	57,564	77
Hospital						
(15) Revenues	144,777	144,777	121,806	84	98,025	81
(16) Fund balance	5,394	6,500	6,500	100	9,343	100
Total Revenues and						
(17) Appropriated Fund Balances	\$ 729,849	\$ 745,517	\$ 586,936	79	\$ 524,535	79

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 1991
with comparative totals for March 31, 1990
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1990-91				1989-90	
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB
(1) Instruction	\$ 189,693	\$ 189,525	\$ 133,341	70	\$ 119,371	72
(2) Research	90,066	91,919	56,441	64	54,112	63
(3) Public Service	66,785	65,968	48,921	74	44,316	77
(4) Academic Support	45,422	50,559	35,873	71	33,055	70
(5) Student Services	17,533	18,788	13,590	72	12,621	72
(6) Institutional Support	28,585	33,794	24,260	72	20,283	71
(7) Student Financial Aid	31,110	31,731	36,735	116	27,517	108
(8) Operation and Maintenance	35,449	36,975	26,311	71	24,599	74
(9) Mandatory Transfers (Debt Service)	25,517	24,979	22,149	89	20,009	81
(10) Hospital	149,790	150,895	112,372	74	92,613	71
(11) Auxiliary Enterprises	49,999	50,384	39,808	79	36,358	77
(12) Total Expenditure by Program	<u>\$ 729,949</u>	<u>\$ 745,517</u>	<u>\$ 551,801</u>	74	<u>\$ 484,854</u>	73

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 1991
with comparative totals for March 31, 1990
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1990-91				1989-90	
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB
(13) Personnel Costs	\$ 423,683	\$ 427,237	\$ 312,025	73	\$ 272,342	73
(14) Operating Expenses	239,755	247,147	192,165	78	159,973	74
(15) Mandatory Transfers (Debt Service)	29,867	29,329	25,483	87	23,329	80
(16) Capital Outlay	36,644	41,804	22,128	53	29,210	68
(17) Total Expenditure by Category	<u>\$ 729,949</u>	<u>\$ 745,517</u>	<u>\$ 551,801</u>	74	<u>\$ 484,854</u>	73

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 1991
 with comparative totals for March 31, 1990
 (in thousands)

	(A)	(B)	(C)
	1990-91		1989-90
(1) Realized Revenues		\$ 569,663	\$ 504,339
Appropriated Fund Balances			
(2) Current unrestricted fund	\$ 12,773		10,853
(3) University Hospital	<u>6,500</u>		<u>9,343</u>
(4) Total Appropriated Fund Balances		<u>19,273</u>	<u>20,196</u>
(5) Total Revenues and Appropriated Fund Balances		588,936	524,535
(6) Expenditures/Encumbrances	551,801		484,854
(7) Less: Reserve for Encumbrances	<u>(6,056)</u>		<u>(8,177)</u>
(8) Total Expenditures		<u>545,745</u>	<u>476,677</u>
(9) Net Deferred Revenues and Appropriated Fund Balances		<u>\$ 43,191</u>	<u>\$ 47,858</u>

Office of the President
April 30, 1991

FCR 2

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of surplus equipment as described in Exhibit I.

Background: KRS 164A.575 requires that the governing Board sell or otherwise dispose of all personal property which is not needed by the institution. The Board will be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

UNIVERSITY OF KENTUCKY

TRANSFER OF EQUIPMENT

Institution: Western Kentucky University

Type of Disposal: Transfer at no cost.

Reason: Equipment is of older technology and is of no value to the University.

Items:

IBM 3480-A11, Control Unit w/Features 1511, 1512	S# 30068
IBM 3480-B11, Control Unit	S# 33147
IBM 3480-B11, Control Unit	S# 33149
IBM 3480-B11, Control Unit	S# 33150
IBM 3880-003, Storage Control w/Features 8170, 8173	S# 14378
IBM 3880-003, Storage Control w/Features 8170, 8173	S# 61376

SALE OF SURPLUS BOAT

Type of Disposal: Competitive bid.

Reason: Boat is surplus to the needs of the University and has been replaced.

Item: 1965 Starcraft Runabout, 19', 165 HP

SALE OF SURPLUS BUILDINGS AND EQUIPMENT

Type of Disposal: Competitive bid.

Reason: Buildings and equipment are surplus to the needs of the University.

Items:

Nashua, 12' x 60,' Mobile Home	N/A
Te Flore Homes, 12' x 60,' Mobile Home	Bldg.# 3368
12' x 60' Mobile Home	Bldg.# 3197
1979 Tammermatic Typhoon Automatic Carwasher	UK# A297763

Office of the President
April 30, 1991

FCR 3

Members, Board of Trustees:

DESIGNATION OF DEPOSITORY BANKS

Recommendation: that the Board of Trustees designate the banks listed on attachment A to serve as depository banks for the University of Kentucky and/or its affiliated corporations.

Background: To comply with K.R.S. 41.070 and K.R.S. 164A.560 the Board of Trustees must designate depository banks serving the University of Kentucky and its affiliated corporations. Competitive bids for required services were solicited from banks serving the locations of the community colleges and other operations conducted outside Fayette County. Banks were selected on the basis of lowest cost to the University. The banks listed on attachment A have not previously been approved as depositories by the Board of Trustees.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

Attachment A

Henderson Community College
Ohio Valley National Bank
Henderson, Kentucky

Jefferson Community College Downtown
Jefferson Community College Southwest
Citizens Fidelity Bank and Trust Company
Louisville, Kentucky

Jefferson Community College at Carrollton
First National Bank
Carrollton, Kentucky

Maysville Community College Dental Hygiene
Program at Northern Kentucky University
The Central Trust Company, Kenton County
Fort Wright, Kentucky

Southeast Community College at Whitesburg
First Security Bank and Trust Company
Whitesburg, Kentucky

Office of the President
April 30, 1991

MEMBERS, BOARD OF TRUSTEES:

FCR 4

1990-91 Budget Revisions
Central Administration

Recommendation: that the following revisions in the 1990-91 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
Student Fees			
Registration Fees			
Regular			
- University System	\$36,160,900	\$36,660,900	\$ 500,000
Summer Session			
- Community College System	1,406,600	1,428,300	21,700
Off-Campus and Weekend			
Instruction - Community			
College System	2,964,600	3,086,700	122,100
Noncredit Fees	6,771,000	6,902,900	131,900
Other Fees	889,300	904,100	14,800
Federal Appropriations			
Agricultural Experiment Station	4,574,900	4,768,900	194,000
Agricultural Cooperative			
Extension	9,096,900	9,186,500	89,600
Gifts and Grants			
The Fund for Advancement of			
Education and Research in			
the UK Medical Center	1,489,900	1,731,900	242,000
Federal - Agriculture	415,400	521,000	105,600
Other Gifts and Grants	2,401,000	2,448,800	47,800
Sales and Services			
Farm Sales	878,800	1,132,100	253,300
Agricultural Public and			
Regulatory Services	2,083,700	2,126,900	43,200
Departmental Sales & Services	12,890,700	13,470,700	580,000
			<u>\$ 2,346,000</u>
2. Expenditures			
Central Administration			
Controller and Treasurer	2,652,900	2,665,900	13,000
Community College System			
Ashland	4,990,800	5,004,300	13,500
Elizabethtown	4,830,400	4,859,400	29,000
Hazard	3,261,000	3,265,900	4,900
Hopkinsville	3,442,800	3,444,100	1,300
Jefferson	14,436,300	14,502,200	65,900
Lexington	8,122,200	8,179,300	57,100

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures (continued)			
Community College System (continued)			
Maysville	\$ 2,915,100	\$ 2,923,200	\$ 8,100
Prestonsburg	4,475,400	4,488,400	13,000
Somerset	4,174,100	4,239,300	65,200
Southeast	3,369,500	3,389,500	20,000
Information Systems			
Vice President for Information Systems	398,400	380,400	(18,000)
Communications and Distributed Systems	1,446,000	1,491,000	45,000
Campus Services	838,500	892,500	54,000
Lexington Campus			
Agricultural Experiment Station			
Director	743,000	940,700	197,700
Animal Sciences	3,189,600	3,442,900	253,300
Veterinary Science	1,418,000	1,414,300	(3,700)
Agricultural Public Service			
Agronomy			
Foundation Seed	269,700	332,100	62,400
Soybeans	20,500	23,900	3,400
Agricultural Cooperative Extension Service			
Director	653,800	743,400	89,600
4-H Youth Development Program	1,255,800	1,361,400	105,600
College of Education			
Administration	684,400	696,400	12,000
Administration & Supervision	282,500	312,600	30,100
Center for Professional Development	204,900	206,900	2,000
Instructional Media & Technology	199,100	210,700	11,600
College of Fine Arts			
Center for the Arts	177,800	201,800	24,000
College of Human Environmental Sciences			
Human Environment: Textiles	241,100	242,600	1,500
Business Affairs			
Vice Chancellor Administration			
Office of Vice Chancellor	158,300	159,300	1,000
Personnel Division	984,600	982,500	(2,100)
Physical Plant	19,676,000	19,745,900	69,900
Public Safety Division			
Parking	1,106,300	1,154,300	48,000
Student Billing Services	535,700	537,900	2,200
WUKY Radio	214,600	269,600	55,000
College Business			
Management Institute	165,000	203,300	38,300
Student Affairs			
Dean of Students	697,200	705,800	8,600
Campus Recreation	441,200	446,200	5,000
Chancellor			
Program Reserve	1,502,200	2,002,200	500,000

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures (continued)			
Medical Center			
College of Allied Health			
Dean's Office	\$ 533,300	\$ 536,300	\$ 3,000
College of Dentistry			
Office of the Dean	416,500	617,300	200,800
Department of Oral Health			
Practice	3,332,100	3,336,100	4,000
College of Medicine			
Physiology and Biophysics	1,403,500	1,403,900	400
Family Practice	803,700	816,100	12,400
Obstetrics and Gynecology	516,200	517,700	1,500
Ambulatory Medical Services	344,000	354,000	10,000
Academic Affairs	581,400	595,000	13,600
Student Services			
University Health Service	2,309,100	2,346,300	37,200
Other Support Services			
Executive Vice Chancellor			
Risk Management	62,600	94,300	31,700
Parking and Security	390,300	492,300	102,000
Research and Graduate Studies			
Academic Affairs			
Graduate Center for Bio-			
medical Engineering	547,300	550,300	3,000
Center for Applied Energy			
Research	3,387,200	3,426,200	39,000
			<u>\$ 2,346,000</u>

3. Comments - The increase in regular registration fees will be used in this current year for deferred maintenance projects. The increase in summer registration fees supports enrollment growth in Somerset Community College. The increase in off-campus and weekend instruction supports enrollment increases at Elizabethtown, Hazard, Jefferson, Maysville, Prestonsburg, Somerset and Southeast community colleges. The increase in noncredit fees supports activities in the College of Education, the College Business Management Institute; the College of Medicine's Physiology and Biophysics, and Obstetrics and Gynecology Departments; and program expansion at Elizabethtown, Lexington and Somerset community colleges. The increase in other student fees supports activities for the Dean of Students and Somerset Community College. The increase in federal appropriations supports activities in the Agricultural Experiment Station and Agricultural Cooperative Extension Service. The increase in gifts and grants from the Fund for Advancement of Education and Research in the Medical Center supports activities in the College of Medicine and the College of Dentistry. The increase in federal gifts and grants for Agriculture supports 4-H programs in the Agricultural Cooperative Extension Service. The increase in other gifts and grants supports activities for the Dean of Students as well as the College of Medicine's Ambulatory Medical Services and the Medical Center's risk management program. The increase in farm sales supports the Agricultural Experiment Station's Animal Sciences Department. The increase in agricultural public and regulatory services supports foundation seed and soybean

3. Comments (continued)

testing activities for the Agricultural Public Service's Agronomy Department. The increase in departmental sales and services supports the Office of Controller and Treasurer; community college programs; Information Systems' Communications and Distributed Systems and Campus Services; Lexington Campus's Agricultural Public Service, College of Education, College of Fine Arts, College of Human Environmental Sciences, Student Affairs and Business Affairs; Medical Center's College of Medicine; and the Research and Graduate Studies' Center for Applied Energy Research and the Graduate Center for Biomedical Engineering.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>RESTRICTED FUNDS</u>			
1. Income Estimates	\$49,304,900	\$53,663,900	\$ 4,359,000
2. Expenditures			
Central Administration			
Controller & Treasurer	-0-	15,000	15,000
Student Aid	6,500	7,500	1,000
Community College System			
Hazard	187,500	197,500	10,000
Henderson	406,700	407,200	500
Hopkinsville	417,500	446,200	28,700
Jefferson	42,600	54,000	11,400
Maysville	61,100	81,100	20,000
Paducah	516,800	534,100	17,300
Somerset	143,500	144,500	1,000
Information Systems			
Libraries	323,700	336,700	13,000
Lexington Campus			
Agricultural Experiment Station			
Director	223,400	225,400	2,000
Associate Director	14,800	29,800	15,000
Agricultural Economics	20,000	20,200	200
Agricultural Engineering	185,500	187,000	1,500
Agronomy	740,800	752,300	11,500
Animal Sciences	502,200	528,300	26,100
Veterinary Science	1,690,700	1,809,700	119,000
Agricultural Cooperative Extension			
Agricultural Economics	10,000	12,300	2,300
College of Communications			
College-Wide	49,100	50,900	1,800
Academic Support			
University Extension			
Scholarship	5,000	5,300	300
University Press	43,900	46,400	2,500
Student Aid			
Kentucky College Access Program	-0-	3,500,000	3,500,000

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures (continued)			
Medical Center			
College of Allied Health			
College-Wide	\$ 34,800	\$ 31,800	\$ (3,000)
College of Medicine			
Pharmacology	130,700	140,700	10,000
Medicine	2,161,500	2,183,100	21,600
Neurology	158,800	163,800	5,000
Obstetrics and Gynecology	286,500	310,600	24,100
Administration and Support			
Chancellor	251,800	751,800	500,000
(Aging Center Planning)			
Research and Graduate Studies			
Council on Aging	53,800	55,000	1,200
			<u>\$ 4,359,000</u>

3. Comments - Income restricted for the purposes indicated above will fund the proposed additional program activities.

C. AUXILIARY SERVICES

1. Income Estimates	\$35,261,800	\$35,545,500	\$ 283,700
2. Expenditures			
Community College System			
Elizabethtown	646,200	716,200	70,000
Henderson	288,900	329,100	40,200
Prestonsburg	523,000	583,500	60,500
Somerset	412,600	500,600	88,000
Medical Center			
College of Dentistry			
Dental Clinics	210,000	235,000	25,000
			<u>\$ 283,700</u>

3. Comments - The proposed expenditure increases will be supported by additional income generated by the respective auxiliary operations.

Decision Taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

Office of the President
April 30, 1991

FCR 5

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: J. Donald Coonrod and James C. Donofrio, Serial No. (to be assigned), filed (to be filed).

Background: J. Donald Coonrod and James C. Donofrio have invented certain improvements in METHODS OF SIMULTANEOUSLY ASSAYING FOR MULTIPLE PATHOGENS INCLUDING ASSAYS FOR INFLUENZA A AND INFLUENZA B. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action: Approved ✓ Disapproved _____ Other _____

Date: April 30, 1991

A S S I G N M E N T

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby;

Title: METHOD OF SIMULTANEOUSLY ASSAYING FOR MULTIPLE
PATHOGENS INCLUDING ASSAYS FOR INFLUENZA A
AND INFLUENZA B

Inventor: J. Donald Coonrod, M.D. & James C. Donofrio, Ph.D.

S.N.:

Filed: _____ and _____

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United

States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest, any and all Letters Patent for said invention including any and all Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 30th day of April, 1991.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY



SEAL

ATTEST:



Secretary, Board of Trustees

Office of the President
April 30, 1991

FCR 6

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Robert A. Lodder, Serial No. (to be assigned), filed (to be filed).

Background: Robert A. Lodder has invented certain improvements in METHOD AND APPARATUS FOR DETECTING MICROORGANISMS WITHIN A LIQUID PRODUCT IN A SEALED VIAL. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

A S S I G N M E N T

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Title: METHOD AND APPARATUS FOR DETECTING MICROORGANISMS
WITHIN A LIQUID PRODUCT IN A SEALED VIAL

Inventor: Robert A. Lodder, Ph.D.

S.N.:

Filed: _____ and _____

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United

States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest, any and all Letters Patent for said invention including any and all Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 30th day of April, 1991.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY



SEAL

ATTEST:


Secretary, Board of Trustees

Office of the President
April 30, 1991

FCR 7

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Joseph A. Kuc, Serial No. 07/487,195, filed February 28, 1990.

Background: Joseph A. Kuc has invented certain improvements in COMPOSITIONS AND METHODS FOR INDUCING SYSTEMIC RESISTANCE IN PLANTS. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

A S S I G N M E N T

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby;

Title: COMPOSITIONS AND METHODS FOR INDUCING SYSTEMIC
RESISTANCE IN PLANTS
Inventor: JOSEPH A. KUC

S.N.: 07/487,195

Filed: Feb. 28, 1990 and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United

States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest, any and all Letters Patent for said invention including any and all Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

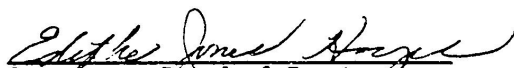
IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 30th day of April, 1991.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY



SEAL

ATTEST:


Secretary, Board of Trustees

Office of the President
April 30, 1991

FCR 8

Members, Board of Trustees:

ESTABLISHMENT OF QUASI-ENDOWMENT FOR THE
MEDICAL CENTER LIBRARY

Recommendation: that the Board of Trustees authorize the creation of a quasi-endowment fund to be entitled the "Medical Center Library Quasi-Endowment."

Background: Robert C. Noble, M.D., Professor, Department of Medicine has a balance of \$28,882.49 in a Fixed Price Residual program account. Dr. Noble has requested that the entire balance be transferred to the Medical Center Library to be used in support of its programs. The Medical Center Library Director plans to expend only the interest earnings from the \$28,882.49; thus, the need to establish the Medical Center Library Quasi-Endowment.

By this action the Board of Trustees will establish the fund and permit the allocation of funds to implement this authorization.

Action: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

FCR 9

Members, Board of Trustees:

ROOM AND BOARD RATES 1991-92 SCHOOL YEAR

Recommendation: That the room and board rates for University Housing be adjusted as follows to become effective the fall semester 1991.

I. UNDERGRADUATE HOUSING RESIDENCE HALLS

<u>TYPE PLAN</u>	<u>PRESENT RATE 1990-91</u>	<u>PROPOSED RATE 1991-92</u>	<u>DOLLAR INCREASE</u>	<u>PERCENT INCREASE</u>
Housing*+ DinerCard \$1100 Min.	\$2,582	\$2,734	\$ 152	5.9%
Housing*+ 2 meal/5 day plan	Not Offered	\$2,934	N.A.	N.A.
Jewell Hall Recess Housing Fee**	Not Offered	\$ 104	N.A.	N.A.
Per-Diem Housing Rate***	\$ 7.06	\$ 7.33	\$.27	3.8%

*Housing costs represent \$1,582 of 1990-91 and \$1,634 of 1991-92 Total Cost Figures. Included in the housing fee are the following items:

- (1) \$10 per year fee for a "PRIDE" (Promoting Responsibility In Damage Elimination) program;
- (2) \$16 per year fee for Residence Hall Programming Facility fee; and
- (3) \$76 per year fee for Residence Hall Open Visitation fee.

**Jewell Hall will remain open during all stated academic recesses of the University between August 23, 1991 and May 9, 1992 to accommodate students participating in the International Living/Learning Center program.

***The Housing rate is for the academic year. This per-diem rate is established for occupancy during stated academic recesses (Thanksgiving, Christmas, Spring Break, etc.) of the University. Students must secure special permission to remain in housing during these periods.

GREG PAGE UNDERGRADUATE APARTMENTS

Housing Only	\$1,638	\$1,700	\$ 62	3.8%
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II. GREG PAGE STADIUM VIEW FAMILY APARTMENTS (MONTHLY RATES)

<u>TYPE APARTMENT</u>	<u>PRESENT RATE 1990-91</u>	<u>PROPOSED RATE 1991-92</u>	<u>DOLLAR INCREASE</u>	<u>PERCENT INCREASE</u>
Two Bedrooms	\$ 450	\$ 450	\$ -0-	0.0%

III. FAMILY APARTMENTS - COOPERSTOWN & SHAWNEETOWN (MONTHLY RATES)

<u>TYPE APARTMENT</u>	<u>PRESENT RATE 1990-91</u>	<u>PROPOSED RATE 1991-92</u>	<u>DOLLAR INCREASE</u>	<u>PERCENT INCREASE</u>
Efficiency	\$ 265	\$ 265	\$ -0-	0.0%
One Bedroom	337	337	\$ -0-	0.0%
Two Bedroom	362	362	\$ -0-	0.0%

IV. SINGLE GRADUATE APARTMENTS - COOPERSTOWN (MONTHLY RATES)

<u>TYPE APARTMENT</u>	<u>PRESENT RATE 1990-91</u>	<u>PROPOSED RATE 1991-92</u>	<u>DOLLAR INCREASE</u>	<u>PERCENT INCREASE</u>
Efficiency	\$ 265	\$ 265	\$ -0-	0.00%
One Bedroom	\$ 337	\$ 337	\$ -0-	0.00%

V. SINGLE GRADUATE APARTMENTS (MONTHLY RATES)
COMMONWEALTH VILLAGE & LINDEN WALK-ROSE LANE

<u>TYPE APARTMENT</u>	<u>PRESENT RATE 1990-91</u>	<u>PROPOSED RATE 1991-92</u>	<u>DOLLAR INCREASE</u>	<u>PERCENT INCREASE</u>
Efficiency	\$ 280	\$ 280	\$ -0-	0.00%
One Bedroom	\$ 352	\$ 352	\$ -0-	0.00%

VI. SUMMER SCHOOL
(Effective Summer 1992)

HOUSING ONLY:

8-Week Session:

Double Occupancy	\$ 396	\$ 410	\$ 14	3.5%
Single Occupancy	\$ 495	\$ 512	\$ 17	3.4%

4-Week Session:

Double Occupancy	\$ 198	\$ 205	\$ 7	3.5%
Single Occupancy	\$ 248	\$ 256	\$ 8	3.2%

VII. PROPOSED DAILY CONFERENCE AND GUEST RATES
(Effective Summer 1992)

DAILY RATE:

Pre-College Age with Linen	\$ 10	\$ 10	\$ -0-	0.0%
Pre-College Age without Linen	\$ 8	\$ 8	\$ -0-	0.0%
Double Room	\$ 19	\$ 20	\$ 1	5.3%
Single Room	\$ 22	\$ 28	\$ 6	27.3%

Background: The proposed rates reflect estimated increases in personnel costs, increases in benefits, minimum wage, postage, insurance, supplies, and maintenance on buildings. These rates cover debt service, all operating costs and funds for replacement of furniture and equipment. Estimated increases in cost of personnel are consistent with those which will be proposed by the President in the 1991-92 Operating Budget which will be submitted for Board review and approval at the June 1991 meeting.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991.

Office of the President
April 30, 1991

FCR 10

Members, Board of Trustees:

A RESOLUTION AUTHORIZING THE ISSUANCE OF \$36,350,000 OF
UNIVERSITY OF KENTUCKY COMMUNITY COLLEGES EDUCATIONAL
BUILDINGS REVENUE BONDS, SERIES H, DATED JUNE 1, 1991,
OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY

Recommendation: that the Board of Trustees approve a Resolution authorizing the issuance of \$36,350,000 of University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series H, dated June 1, 1991.

The Resolution authorizes the issuance of \$36,350,000 of Series H Bonds for the purpose of financing certain educational buildings, constituting the Series H Project upon properties of the University in Hopkins, Henderson, Daviess, Mason, Harlan and Jefferson Counties in the Commonwealth of Kentucky, which buildings will, when completed, become part of the Community Colleges Educational Buildings Project of the University (the "Project").

The Resolution also approves a Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds, Bid Form and preliminary Official Statement, all contemplating that the Bonds be sold on the advice of the Fiscal Agent, Seasongood & Mayer, in the month of June on a date to be selected.

Background: The University presently has outstanding seven series of Community Colleges Educational Buildings Project Revenue Bonds, Series A through G (including the Series D Refunding bonds sold in the fall of 1986, but excluding the original Series D Bonds which were defeased and advance refunded by such Refunding Bonds), all secured by a pledge of the Revenues (registration fees) and by a statutory mortgage lien against all of the buildings of the Project. These Series H Bonds are issued for the purpose of (a) financing the Series H Project identified above, (b) the funding of the Required Debt Service Reserve, and (c) the cost of issuance of the Series H Bonds. The Bond Resolution approves the appointment of the Commerce National Bank (formerly known as The Second National Bank), Lexington, Kentucky, as Trustee, Bond Registrar, Transfer Agent, Payee Bank and Depository Bank, with respect to these Bonds, provides for the execution of the appropriate parity certification establishing that the "coverage" of projected income of the Project over debt service requirements will meet the 1.25 test necessary to establish that the Series H Bonds will rank on a parity with the outstanding Series A through G Bonds.

Action: Approved ☒ Disapproved ☐ Other ☐

Dated: April 30, 1991

April 30, 1991

SERIES H PROJECT

Madisonville - Academic Facility Renovation	\$2,500,000.00
Henderson - Academic/Technical Building	4,000,000.00
Owensboro - Campus Completion	4,500,000.00
Henderson - Auditorium	6,000,000.00
Madisonville - Learning Center	3,100,000.00
Maysville - Student Center	4,000,000.00
Southeast - Appalachian Center/Fine Arts Building	5,000,000.00
Jefferson County/Southwest - Academic Building	3,000,000.00
Bond Discount	727,000.00
Cost of Issuance	93,370.00
Debt Service Reserve	<u>3,429,630.00</u>
Total	\$36,350,000.00

RESOLUTION AUTHORIZING THE ISSUANCE

OF

\$36,350,000 OF

UNIVERSITY OF KENTUCKY COMMUNITY COLLEGES
EDUCATIONAL BUILDINGS REVENUE BONDS,
SERIES H,
DATED JUNE 1, 1991,

OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY

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RESOLUTION

A RESOLUTION AUTHORIZING THE ISSUANCE OF \$36,350,000 OF UNIVERSITY OF KENTUCKY COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES H, DATED JUNE 1, 1991, OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY.

WHEREAS, the Board of Trustees of the University of Kentucky, by Resolution entitled:

A RESOLUTION creating and establishing a Community Colleges Educational Buildings Project of the University of Kentucky; creating and establishing a new issue of Community Colleges Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky; providing for the issuance from time to time of said bonds; providing for the payment of the principal of and interest on said bonds and repealing all resolutions or parts of resolutions in conflict with this resolution,

adopted May 6, 1969, has created and established an issue of Community Colleges Educational Buildings Revenue Bonds (the "Bonds") of the Board of Trustees of the University of Kentucky; and

WHEREAS, the Board of Trustees adopted a supplemental clarifying Resolution on May 25, 1970 (said Resolutions adopted on May 6, 1969, and on May 25, 1970, being hereinafter jointly referred to as the "Basic Resolution"); and

WHEREAS, the Basic Resolution authorizes the issuance by said Board of said Bonds in one or more Series pursuant to a new resolution authorizing each such Series; and

WHEREAS, the Board has previously authorized, sold, issued and has outstanding seven prior issues of Bonds designated "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series A, Series B, Series C, Series D (Second Series), Series E, Series F and Series G," the Series D Bonds (Second Series) having been issued for the purpose of advance refunding and defeasing the original Series D Bonds (hereafter the Series A, B, C, D [Second Series], E, F and G Bonds will be referred to as the Bonds of Series A through G, with the original Series D Bonds being treated as no longer outstanding); and has determined that the Board is in compliance with the conditions set forth in Section 7.10 of the Basic Resolution relative to the issuance of the Series H Bonds (authorized herein) on a basis of parity and equality as to security and source of payment with such outstanding Bonds of Series A through G, inclusive; and

WHEREAS, the Board has determined that it is in the best interests of the Board to authorize at this time an additional series of Bonds to be designated "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series H," ranking on a parity with said Series A through G Bonds,

NOW, THEREFORE, the Board of Trustees of the University of Kentucky hereby resolves as follows:

ARTICLE I
DEFINITIONS AND AUTHORITY

Section 1.1. Series H Resolution. This Resolution (the "Series H Resolution") is adopted in accordance with Article II, Section 2.03, and ARTICLE VII, Section 7.10 of the Basic Resolution.

Section 1.2. Definitions.

A. All terms which are defined in Article I of the Basic Resolution shall have the same meanings, respectively, in this Series H Resolution as such terms are given in said Article of the Basic Resolution.

B. In this Series H Resolution, unless the context shall indicate otherwise, the following terms shall have the following respective meanings:

"ALL BONDS" refers to all bonds outstanding against and payable from the revenues of the Project, including (a) the Series A through G Bonds, including the Series D (Second Series) Bonds dated October 1, 1986, and excluding the Series D Bonds dated December 1, 1982, which have been fully defeased, (b) the Series H Bonds, and (c) any and all future bonds ranking on a parity therewith.

"BASIC RESOLUTION" or "RESOLUTION" refers to the original Resolution adopted on May 6, 1969, and the supplemental (clarifying) Resolution adopted on May 25, 1970, creating and establishing the Community Colleges Educational Buildings Project and creating, establishing, and authorizing the issuance of Community Colleges Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky.

"BOARD" refers to the Board of Trustees of the University of Kentucky, and shall include the Executive Committee of the Board acting pursuant to authorization of and/or with ratification by said Board of Trustees.

"BOND REGISTER" means the books and records maintained by the Bond Registrar as to the registered ownership and transfers of ownership of the Series H Bonds, from time to time.

"BOND REGISTRAR," "REGISTRAR," "PAYEE BANK" or "TRANSFER AGENT" refers to the Commerce National Bank (formerly known as the

Second National Bank), Lexington, Kentucky, which shall constitute the Bond Registrar, Transfer Agent and Payee Bank with respect to the Series H Bonds authorized herein, which Bank shall have the duties and responsibilities of (a) issuing semiannual checks in payment of interest requirements as to the Series H Bonds, (b) payment of the principal as same matures upon surrender of the Series H Bonds, (c) authenticating, issuing and delivering the Series H Bonds to the Original Purchasers of same in accordance with the sale of the Series H Bonds, at the direction of the Board, (d) maintaining the Bond Register, (e) handling exchanges, cancellations, reissuance, redemption, and all appurtenant duties of a Bond Registrar and Transfer Agent with respect to the Series H Bonds, as hereinafter set out, and (f) serving as depository of all funds in connection with the Series H Bonds and all outstanding Series A, B, C, D (Second Series), E, F and G Bonds.

"CODE" refers to the Internal Revenue Code of 1986, as amended.

"DEBT SERVICE RESERVE" refers to the portion of the Bond Fund in excess of the aggregate principal, interest, and Bond Fund Charges of All Bonds for the ensuing year, which excess portion shall constitute the "Debt Service Reserve" created and provided for in Section 405(3) of the Basic Resolution.

"FINANCE CABINET" refers to the Finance and Administration Cabinet of the Commonwealth of Kentucky or its successor by whatever name designated.

"FISCAL AGENT" refers to Seasongood & Mayer, 300 Mercantile Library Building, 414 Walnut Street, Cincinnati, Ohio 45202-3910.

"INTEREST PAYMENT DATE" refers to May 1 and November 1 of each year, commencing November 1, 1991.

"ORIGINAL PURCHASERS" or "PURCHASERS" refers to the original purchasers of the Series H Bonds at the public sale, including all members of the approved purchasing syndicate or group.

"PROJECT" refers to the University of Kentucky Community Colleges Educational Buildings Project, consisting of all existing educational buildings and necessary appurtenances and all such buildings hereafter erected on property owned by the University at a Community College in Kentucky Counties other than Fayette County, excluding housing facilities and facilities used exclusively for athletics. Such term includes all facilities financed in whole or in part by the previous Project Revenue Bonds, Series A through G.

"RECORD DATE" shall mean with respect to any Interest Payment Date, the close of business on April 15 or October 15, as the case may be, next preceding such Interest Payment Date, whether or not such April 15 or October 15 is a business day.

"REQUIRED CURRENT DEBT SERVICE RESERVE ACCUMULATION" refers to the amount required at any given time to have been accumulated toward the goal of the "Required Debt Service Reserve," based on All Bonds outstanding, including the additional amounts required to have been deposited in each year to date (and scheduled to continue for a period of four years) in such Reserve as a result of the issuance of one or more additional Series of Bonds, until the total Required Debt Service Reserve is accumulated.

"REQUIRED DEBT SERVICE RESERVE" refers to the amount required to be accumulated and maintained in the Debt Service Reserve portion of the Bond Fund, which required amount is an amount equal to 100% of maximum Aggregate Principal, Interest and Bond Fund Charges falling due on All Bonds in any twelve month period.

"SECRETARY," "SECRETARY OF FINANCE CABINET," or "SECRETARY OF THE CABINET" refers to the Secretary of the Finance and Administration Cabinet of the Commonwealth of Kentucky, and each and every officer of the Commonwealth who may hereafter be authorized to exercise powers and authority similar to those vested by law in said Secretary; and each and every Deputy Secretary or other similar officer authorized by law to exercise the powers and authority presently vested by law in the Secretary.

"SERIES H BONDS" or "THESE BONDS" shall mean the \$36,350,000 principal amount of Series H Bonds authorized by Article II of this Series H Resolution.

"SERIES H PROJECT" refers to the renovation of the Madisonville Academic Facility, and construction of renovations and improvements to the Academic Learning Resource Center; construction of the Henderson Academic/Technical Building and Auditorium/Fine Arts Building; construction of the Owensboro Classroom/Student Center Building; construction of the Maysville Student Center; construction of the Southeast-Appalachian Center/Fine Arts Building; and construction of the Jefferson-Southwest Academic Building.

"SERIES H RESOLUTION" or "RESOLUTION" refers to this Resolution authorizing the Series H Bonds.

"TRUSTEE" or "TRUSTEE-REGISTRAR" refers to the Commerce National Bank, Lexington, Kentucky (formerly known as the Second National Bank, Lexington, Kentucky).

Section 1.3. Statutory Authority. This Series H Resolution is adopted pursuant to the provisions of Section 162.340, et seq., 164.595, and 58.010 through 58.140 of the Kentucky Revised Statutes (the "Enabling Acts") and Article II, Section 2.03 and Article VII, Section 7.10 of the Basic Resolution.

ARTICLE II
AUTHORIZATION OF SERIES H BONDS

Section 2.1. Series H Bonds Authorized. Pursuant to the provisions of the Basic Resolution, there is hereby authorized to be issued by the Board of Trustees of the University of Kentucky, in its corporate capacity, an eighth (excluding the original Series D Bonds which have been defeased) Series of Bonds in the aggregate principal amount of THIRTY-SIX MILLION THREE HUNDRED FIFTY THOUSAND DOLLARS (\$36,350,000). Such Bonds shall be designated "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series H." Said Series H Bonds are hereby declared to have been authorized under the Basic Resolution and in conformity with the provisions of Section 7.10 of the Basic Resolution.

Section 2.2. Purpose of Issue. Said Series H Bonds are being issued for the purpose of (a) paying the costs (to the extent not otherwise provided from other funds, including projected investment income from the investment of the net proceeds of the Series H Bonds until expended) of establishing and constructing the Series H Project, as defined herein, upon properties of the University in Hopkins County, Henderson County, Daviess County, Mason County, Harlan County, and Jefferson County, in the Commonwealth of Kentucky, which Series H Project will, when constructed and established, become and constitute part of the Community Colleges Educational Buildings Project of said University, (b) funding the Required Debt Service Reserve, and (c) paying the cost of issuance of said Series H Bonds.

Section 2.3. Date and Maturities of Bonds. Except as set out in Section 2.5 hereof, the Series H Bonds shall be dated June 1, 1991, and bear interest payable on each Interest Payment Date (May 1 and November 1 of each year), commencing November 1, 1991. The Series H Bonds shall be numbered consecutively from R-1 upward, or in such other manner as shall be determined by the Trustee-Registrar, and shall be scheduled to become due and payable on May 1 of the respective years, as follows:

<u>Maturity Date</u> <u>May 1,</u>	<u>Principal</u> <u>Maturity</u>	<u>Maturity Date</u> <u>May 1,</u>	<u>Principal</u> <u>Maturity</u>
1992	\$ 955,000	2002	\$1,725,000
1993	1,005,000	2003	1,840,000
1994	1,060,000	2004	1,970,000
1995	1,125,000	2005	2,105,000
1996	1,190,000	2006	2,255,000
1997	1,265,000	2007	2,415,000
1998	1,340,000	2008	2,590,000
1999	1,425,000	2009	2,775,000
2000	1,520,000	2010	2,975,000
2001	1,620,000	2011	3,195,000

Section 2.4. Series H Bonds to be Issued as Fully Registered Bonds. The Series H Bonds shall be issued only in fully registered form, without coupons, in the denomination of \$5,000 or any authorized multiple thereof within a single maturity.

Section 2.5. Interest Payment Dates. Each Series H Bond shall bear interest from the Interest Payment Date to which interest has been paid next preceding the date on which such Series H Bond is authenticated by the Registrar, unless such Series H Bond is authenticated on an Interest Payment Date to which interest has been paid, in which event it shall bear interest from such date, or if such Series H Bond is authenticated prior to the first Interest Payment Date, such Series H Bonds shall bear interest from June 1, 1991. Interest on the Series H Bonds shall be payable commencing November 1, 1991, and on each May 1 and November 1 thereafter to maturity or earlier redemption.

Section 2.6. Registered Owners. The person in whose name any Bond is registered on the Bond Register at the Record Date with respect to an Interest Payment Date shall be entitled to receive the interest payable on such Interest Payment Date (unless such Bond shall have been called for redemption on a redemption date which is prior to such Interest Payment Date) notwithstanding the cancellation of such Bond upon any registration of transfer or exchange thereof subsequent to such Record Date and prior to such Interest Payment Date, except in the event of default.

Section 2.7. Payment of Interest and Principal of Bonds. The principal of (redemption price, if redeemed prior to maturity) and interest on the Series H Bonds shall be payable in lawful money of the United States of America as same respectively become due. The principal of each Series H Bond is payable upon surrender of same at the main office of the Payee Bank and Bond Registrar. Interest on the Series H Bonds shall be paid by check mailed by the Payee Bank on or before each Interest Payment Date to the owners of the Bonds shown on the Bond Register as of the end of business on the Record Date, at the respective addresses appearing on the Bond Register.

So long as any of the Series H Bonds remain outstanding, the Registrar shall keep at its principal office a Bond Register showing and recording a register of the owners of the Series H Bonds and shall provide for the registration and transfer of such Bonds in accordance with the terms of this Resolution, subject to such reasonable regulations as the Registrar may prescribe.

Section 2.8. Execution of Bonds; Authentication by Trustee-Registrar; Transferability; Temporary Bond. The Series H Bonds shall be executed on behalf of the Board with the reproduced facsimile signature of the Chairman of the Board, and the facsimile of the Corporate Seal of the Board shall be imprinted thereon and attested by the reproduced facsimile signature of the Secretary of the Board; and said officials, by the execution of appropriate certifications, shall adopt as and for their own proper signatures,

their respective facsimile signatures on said Bonds; provided the Authentication Certificate of Trustee on each printed Bond must be executed by the manual signature of the Trustee (not necessarily the same authorized officer of the Trustee on each Bond) on each Bond before such Bond shall be valid, as set out in Section 2.13 below.

Pending the preparation of definitive Bonds, the Series H Bonds may be delivered in the form of one or more temporary Bonds, which may be printed, lithographed, typewritten, mimeographed or otherwise reproduced, in any denomination, substantially of the tenor of the definitive Bonds in lieu of which they are delivered, in registered form, and with such appropriate insertions, omissions, substitutions and other appropriate and necessary variations as the Board may determine, as evidenced by the execution of such temporary Bonds.

Until exchanged for Series H Bonds in definitive form, such temporary Bonds shall be entitled to the benefit and security of this Resolution. The Board shall, without unreasonable delay, cause to have prepared, executed and delivered printed Bonds to the Original Purchasers and/or their designees, and thereupon, upon the presentation and surrender of the temporary Bonds, such printed Bonds shall be delivered to the Original Purchasers and/or their designees in exchange therefor. Such exchange shall be made without the making of any charge therefor to any owner of the Series H Bonds.

Arrangements shall be made for the printing of sufficient Bond Certificates to enable the Trustee-Registrar to maintain an adequate reserve supply of such Bond Certificates as same are sold, exchanged, and/or otherwise surrendered in the future. Notwithstanding anything contained herein, the Trustee-Registrar shall have the right to order the preparation or printing of additional Bond Certificates which, in the sole discretion of the Trustee-Registrar, shall be necessary to maintain adequate reserves of such Bond Certificates to properly effect the continuing transfers of ownership of Bond Certificates. No further action regarding the authorization of additional Bond Certificates shall be required by the Board, and the expenses incidental thereto shall be borne by the Board as additional annual requirements of the Series H Bonds, the same as interest thereon.

At least five (5) business days prior to the payment of the purchase price for the Bonds (the "Closing Date"), each of the Original Purchasers shall furnish to the Registrar the name, address, social security number or taxpayer identification number of each party to whom the Bonds shall have been resold and in whose name the respective Bonds are to be registered, and the principal amounts and maturities thereof. The Trustee-Registrar shall then issue and deliver to each respective Purchaser on the Closing Date, fully registered printed Bond Certificates for each registered owner so designated, in substantially the same form as that set out in Section 2.14 hereof.

All of the Series H Bonds shall be exchangeable and transferable upon the presentation and surrender thereof at the office of the Trustee-Registrar, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner and/or his authorized representative, for a Bond or Bonds of the same maturity and interest rate and in the denomination of \$5,000 and/or a multiple thereof within a single maturity, in an aggregate principal amount or amounts equal to the unpaid principal amount of the Bond and/or Bonds presented for exchange. The Trustee-Registrar shall be and is hereby authorized to (authenticate and) deliver the exchange Bond in accordance with the provisions of this Section. Each exchange Bond delivered in accordance with this Section shall constitute an original contractual obligation of the Board and shall be entitled to the benefit and security of this Resolution to the same extent as the Bond or Bonds in lieu of which such exchange Bond is delivered.

In the event of non-payment of interest or of one or more principal maturities on a scheduled Interest Payment Date, and for 30 days thereafter, a new record date for such interest payment or for such maturity or maturities ("Special Record Date") will be established by the Trustee-Registrar if and when funds for the payment of such interest shall have been received from the Board. Notice of the Special Record Date and of the payment date of the past due payments shall be sent at least five (5) business days prior to the Special Record Date by United States mail, first class postage prepaid, to the address of each owner of the Bond, as shown on the Bond Register, of such maturity or maturities appearing on the books of the Trustee-Registrar at the close of business on the last business day next preceding the date of mailing of such notice.

Section 2.9. Provisions as to Prior Redemption.

(a) Redemption Prior to Maturity. The Series H Bonds maturing on and after May 1, 2002, shall be subject to redemption prior to maturity, at the option of the Board, on any Interest Payment Date on and after May 1, 2001, in inverse order of maturities and by lot within a single maturity, at the following redemption prices (expressed as a percentage of principal amount) plus accrued interest to the date of redemption, as follows:

<u>Redemption Dates, Inclusive</u>	<u>Redemption Price</u>
May 1, 2001 through November 1, 2001	102%
May 1, 2002 through November 1, 2002	101½%
May 1, 2003 through November 1, 2003	101%
May 1, 2004 through November 1, 2004	100½%
May 1, 2005 and thereafter to maturity	100%

(b) Redemption of Less Than All of a Single Bond. In the event that a Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Bond may be redeemed, but only in a principal amount equal to \$5,000 or any integral multiple

thereof, if the Bond is one of the maturities or amounts or part of the maturities or amounts called for redemption. Upon surrender of any Bond for redemption in part, the Trustee-Registrar shall (authenticate and) deliver an exchange Bond or Bonds in an aggregate principal amount equal to the unredeemed portion of the Bond so surrendered.

(c) Notice of Redemption. The Trustee-Registrar shall give notice of any redemption by sending at least one such notice by United States mail, first class, postage prepaid, not less than 30 and not more than 60 days prior to the date fixed for redemption to the registered owner of each Series H Bond to be redeemed in whole or in part, at the address shown on the Bond Register as of the date of mailing of such notice. The Trustee-Registrar may furnish one other form of such notice more than 60 days prior to the date fixed for redemption, provided at least one such notice shall be sent not less than 30 nor more than 60 days prior to such date. Such notice shall state the redemption date, the Redemption Price, the accrued interest payable on the redemption date, the place at which the Bonds are to be surrendered for payment, and, if less than all of the Bonds outstanding are to be redeemed, an identification of the Bonds or portions thereof to be redeemed. Any notice mailed as provided in this Section shall be conclusively presumed to have been duly given, whether or not the Bondowner receives such notice.

Prior to each redemption date, the Trustee-Registrar shall make provision, to the extent funds are then available therefor, for the payment of the redemption price of the Bonds to be redeemed on such date by setting aside and holding in trust an amount sufficient to pay such redemption price. Upon presentation and surrender of any such Bond at the main corporate trust office of the Trustee-Registrar on or after the date fixed for redemption, the Trustee-Registrar shall pay the redemption price on such Bond (including accrued interest) from the funds set aside for such purpose.

All of said Bonds as to which the Board reserves and exercises the right of redemption and as to which notice as aforesaid shall have been given, and for the retirement of which, upon the terms aforesaid, funds are duly provided, will cease to bear interest on the redemption date.

The required notice shall be deemed to have been given upon the Board furnishing notice of redemption to the Trustee-Registrar and upon the Trustee-Registrar acknowledging that it has instructions to send such notice and that it will do so at the proper time, even if the time for furnishing such notice has not yet arrived.

Section 2.10. Transferability. Title to any Series H Bond shall be transferable only upon the presentation and surrender of same at the main office of the Trustee-Registrar, duly endorsed for transfer or accompanied by an assignment duly executed by the

registered owner or his authorized representative, such transfer to be made on such books and endorsed on the Bond by the Trustee-Registrar.

Section 2.11. Designation of Trustee, Bond Registrar, Transfer Agent and Payee Bank. For the purpose of securing the payment of both the principal of and interest on all of the Series H Bonds, and to secure for the benefit of all the owners thereof the faithful performance of the covenants and provisions contained in the Resolution in the manner and to the extent permitted and provided in this Series H Resolution and in Section 162.340, et seq., 164.595, and 58.010 through 58.140 of the Kentucky Revised Statutes, the Commerce National Bank, in the City of Lexington, Kentucky, is hereby designated as Trustee, Bond Registrar, Transfer Agent, and Payee Bank under the Basic Resolution (except that Liberty National Bank and Trust Company of Louisville, Louisville, Kentucky, has heretofore been designated as Registrar, Payee Bank and Transfer Agent with respect to the Series E Bonds), and this Series H Resolution for the owners of all of said Series H Bonds, with the powers and duties set forth in the Basic Resolution and in this Series H Resolution and with no liability in connection with any action or omission to act under the Basic Resolution or under this Series H Resolution except for its own negligence or willful breach of trust. Execution of the Authentication Certificate of the Trustee-Registrar on the respective Series H Bonds, shall conclusively establish the acceptance by the Trustee-Registrar of the trusts and provisions with respect thereto as set forth in this Resolution. Acceptance of the duties of Trustee-Registrar shall also be evidenced by the execution of the appropriate form of Acceptance immediately following the end of this Series H Resolution.

Section 2.12. Security for Series H Bonds; Statutory Mortgage Lien; Revenue Pledge. For the protection of the holders and owners of the Bonds secured by the Basic Resolution and this Resolution, a statutory mortgage lien upon the Project and properties connected therewith and belonging thereto, on a parity with All Bonds, is granted and created by and pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, which said statutory mortgage lien is hereby recognized and declared to be valid and binding as provided by law, and shall take effect immediately upon the delivery of any and all of the Series H Bonds, ranking on a parity with the outstanding Series A, B, C, D (Second Series), E, F and G Bonds.

All of the Series H Bonds are payable only from the Gross Revenues derived from the operation of the Project, ranking on a parity with the outstanding Series A, B, C, D (Second Series), E, F and G Bonds, and the Series H Bonds shall not and do not constitute an indebtedness of the University of Kentucky or of its Board of Trustees or of the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

Section 2.13. Authentication of Bonds. No Series H Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit of this Resolution unless and until such Bond has been duly authenticated by the Trustee-Registrar by the execution of the Authentication Certificate of Trustee-Registrar appearing on such Bond. Such Certificate appearing on any Bond shall be deemed to have been duly executed by the Trustee-Registrar if manually signed by an authorized officer of the Trustee-Registrar. It shall not be required that the same officer of the Trustee-Registrar sign such Certificate on all of the Series H Bonds.

Section 2.14. Form of Bonds. The aforesaid authorized issue of Series H Bonds shall be in substantially the following form:

UNITED STATES OF AMERICA
COMMONWEALTH OF KENTUCKY
UNIVERSITY OF KENTUCKY
COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BOND
SERIES H

NUMBER R-_____

PRINCIPAL AMOUNT:
(DOLLARS)

DATE OF ORIGINAL ISSUE:

INTEREST RATE:

CUSIP:

June 1, 1991

REGISTERED OWNER:

PRINCIPAL AMOUNT:

The Board of Trustees of the University of Kentucky (the "Board"), a body corporate, as an educational institution and agency and a political subdivision of the Commonwealth of Kentucky, for value received, hereby promises to pay to the Registered Owner, identified above, or registered assigns or legal representative, solely from the special fund hereinafter identified, upon presentation and surrender of this Bond, the Principal Amount set out above on the Maturity Date specified above and to pay interest on said sum at the per annum Interest Rate specified above, from the interest payment date to which interest has been paid next preceding the date on which this Bond is authenticated, unless this Bond is authenticated on an interest payment date to which interest has been paid, in which event this Bond shall bear interest from such date, or if this Bond is authenticated prior to the first interest payment date, this Bond shall bear interest from the date of original issue set out above, commencing November 1, 1991, and semiannually thereafter on May 1 and November 1 of each year until payment of the Principal Amount, except as the provisions hereinafter set forth with regard to redemption prior to maturity may be

and become applicable. The principal of and redemption price, if any, on this Bond are payable in lawful money of the United States of America at the main office of the Commerce National Bank, Lexington, Kentucky (the "Trustee," "Bond Registrar," and "Payee Bank"). All interest on this Bond shall be payable by check or draft mailed by the Trustee-Registrar to the registered owner hereof at the address shown on the registration books kept by the Trustee.

This Bond is one of a duly authorized issue of \$36,350,000 principal amount of Bonds (said Bonds being hereinafter sometimes collectively referred to as "the Bonds," "these Bonds," or "the Series H Bonds"), issued in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including Sections 162.340 to 162.380, 164.595, and 58.010 through 58.140 of the Kentucky Revised Statutes, now in full force and effect, and under and pursuant to the Resolution adopted by the Board on May 6, 1969, as supplemented by a Resolution adopted on May 25, 1970 (hereinafter collectively referred to as the "Basic Resolution"), and the Resolution adopted on April 30, 1991, authorizing the Series H Bonds (the "Series H Resolution"), issuable as fully registered Bonds in the denominations of \$5,000 and any authorized multiple thereof within the same maturity, for the purpose of financing (a) the costs (to the extent not otherwise provided) of establishing and erecting certain educational buildings and necessary appurtenances (the "Series H Project"), upon the property of the University in Hopkins County, Henderson County, Daviess County, Mason County, Harlan County, and Jefferson County, in the Commonwealth of Kentucky, which Series H Project buildings and appurtenant facilities will, when erected and acquired, become part of the Community Colleges Educational Buildings Project of said University as defined in the Basic Resolution (the "Project"), (b) the amount necessary to fully fund the Required Debt Service Reserve, and (c) the cost of issuance of the Series H Bonds. Copies of the Basic Resolution and the Series H Resolution are on file at the office of the Trustee.

As provided in the Series H Resolution, these Series H Bonds, together with the outstanding Bonds of Series A, B, C, D (Second Series), E, F and G, and any additional parity bonds which may hereafter be issued and outstanding under the terms of the Basic Resolution and subsequent Series Resolutions (hereinafter all references to said outstanding Bonds of Series A through G and any additional parity bonds shall be collectively referred to as "All Bonds"), are and will be payable from and constitute a first charge and lien upon the Revenues (as defined in the Basic Resolution) to be derived by the University from the operation of its Community Colleges Educational Buildings Project. The fee imposed upon and collected from all students enrolled in the Community Colleges as an incident to registration at the beginning of each semester of the regular academic year and each summer session, including fees imposed for part-time students, night school, extension courses and specialized conferences and institutes, is designated as the source of Revenues of the Project. Such fees are known as the Student

Registration Fees, and the Board has covenanted on behalf of the University that such fees will be fixed (and, if necessary, revised and increased from time to time) at such rates as may be required to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefor, and to pay the operating costs of the Project to the extent that such costs are not otherwise provided. All collections of the Student Registration Fees are to be set aside, as received, into the Community Colleges Educational Buildings Project Revenue Fund (the "Revenue Fund") and are made subject to a first pledge, lien, and charge for the security and source of payment of All Bonds. The Basic Resolution provides for the creation of a special account designated "Community Colleges Educational Buildings Project Bond and Interest Sinking Fund" (the "Bond Fund") and for the deposit from the Revenue Fund to the credit of the Bond Fund of a fixed amount of the gross Revenues of the Project sufficient to pay interest on All Bonds as the same become due, to pay or retire the principal of All Bonds at or prior to the maturity thereof, and to provide a reserve for such purpose, which Bond Fund is pledged to and charged with the payment of said principal and interest. The aggregate principal amount of Bonds which may be issued under the Basic Resolution is not limited except as provided in such Basic Resolution, and all Bonds issued and to be issued under the Basic Resolution are and will be equally secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted in the Basic Resolution.

As further security for these Series H Bonds, a statutory mortgage lien, which is hereby recognized as valid and binding on the Project and properties connected therewith and belonging thereto, on a parity with All Bonds, is created and granted to and in favor of the owner(s) of these Series H Bonds, pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, which said statutory mortgage lien shall take effect immediately upon the delivery of any and all of the Series H Bonds, and the Project shall remain subject to such statutory mortgage lien until the payment in full of the principal of and interest on All Bonds, including the Series H Bonds.

All Bonds as may be outstanding from time to time are payable only from the gross Revenues derived from the operation of the Project, a sufficient amount of which revenues will be annually set aside into the Bond Fund, and this Bond does not constitute an indebtedness of the University of Kentucky, its Board, or the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

This Bond is transferable by the registered owner hereof in person or by his attorney duly authorized in writing at the main office of the Trustee-Registrar, but only in the manner, subject to the limitations provided in the Series H Resolution, and upon surrender and cancellation of this Bond, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner or authorized representative. Upon such transfer being effected a new fully registered Bond or Bonds of the same series

and the same maturity, of authorized denomination, for the same aggregate principal amount, and the same interest rate, will be issued to the transferee in exchange therefor.

The Board and the Trustee-Registrar may deem and treat the registered owner hereof as the absolute owner hereof for the purpose of receiving payment of or on account of principal hereof and redemption price, if any, hereon, and interest due hereon, and for all other purposes, and neither the Board nor the Trustee-Registrar shall be affected by any notice to the contrary.

The owner of this Bond shall have no right to enforce the provisions of the Basic Resolution or the Series H Resolution, to institute action to enforce the covenants therein, to take any action with respect to any default under such Resolutions, or to institute, appear in, or defend any suit or other proceedings with respect thereto, except as provided in such Resolutions. The Basic Resolution provides for fixing, charging, and collecting fees for the services of the Project, which fees will be sufficient to pay the principal of and interest on All Bonds as the same become due, to provide a reserve for such purpose, and also to pay the cost of operation and maintenance of the Project to the extent that same are not otherwise provided.

Reference is made to such Basic Resolution and Series H Resolution (a) pursuant to which the Commerce National Bank, in the City of Lexington, Kentucky, has been designated as Trustee and Bond Registrar, Payee Bank, and Transfer Agent for the owners of the Series H Bonds, (b) for the provisions, among others, with respect to the custody and application of the proceeds of the Series H Bonds, (c) the rights, duties, and obligations of the Board and of the Trustee, and (d) the rights of the owners of these Series H Bonds; and by the acceptance of this Bond, the registered owner hereof assents to all of the provisions of said resolutions.

The Series H Bonds maturing on or after May 1, 2002, are redeemable at the option of the University on or after May 1, 2001, in whole or in part, and if in part, in inverse order of maturities (less than all of a single maturity to be selected in such manner as the Trustee may determine), at redemption prices expressed in percentages of the principal amount with respect to each Bond as set forth below, plus in each case accrued interest to the date of redemption:

<u>Redemption Dates, Inclusive</u>	<u>Redemption Price</u>
May 1, 2001 through November 1, 2001	102%
May 1, 2002 through November 1, 2002	101½%
May 1, 2003 through November 1, 2003	101%
May 1, 2004 through November 1, 2004	100½%
May 1, 2005 and thereafter to maturity	100%

In the event that a Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Bond may be

redeemed, but only in a principal amount equal to \$5,000 or an integral multiple thereof. Upon surrender of any Bond for redemption in part, the Trustee-Registrar, in accordance with the Series H Resolution, shall authenticate and deliver an exchange Bond or Bonds in an aggregate principal amount equal to the unredeemed portion of the Bond so surrendered.

The Trustee-Registrar shall give notice of any redemption by sending such notice by United States mail, first class, postage prepaid, not less than 30 and not more than 60 days prior to the date fixed for redemption, to the registered owner of this Bond at the address shown on the Bond Register as of the date of mailing of such notice.

This Bond shall not be valid or become obligatory for any purpose, or be entitled to any security or benefit under the Series H Resolution, until it shall have been authenticated by the execution by the Trustee-Registrar of the Authentication Certificate of Trustee-Registrar hereon endorsed.

This Bond is exempt from taxation (except inheritance taxes) by the Commonwealth of Kentucky and all of its political subdivisions.

It is hereby certified, recited and declared that all acts, conditions, and things required to exist, to happen, and to be performed precedent to and in the issuance of this Bond, do exist, have happened, and have been performed in due time, form and manner as required by law, and that the amount of this Bond, together with all other obligations of the Board and of said University of Kentucky, does not violate any provision or exceed any limit prescribed by the Constitution or Statutes of Kentucky; that the Project will be continuously operated, and that a sufficient portion of the gross Revenues therefrom has been pledged to and will be set aside into the Bond Fund for the payment of the principal of and interest on this Bond, and All Bonds which by their terms and the terms of the Basic Resolution, the Series H Resolution, and all previous Series Resolutions, are payable from the Bond Fund as and when the same will respectively become due.

IN TESTIMONY WHEREOF, the Board of Trustees of the University of Kentucky has caused this Bond to be executed in its behalf by the reproduced facsimile signature of its Chairman, and the facsimile of its corporate seal to be imprinted hereon, and attested by the reproduced facsimile signature of its Secretary, dated as of the first day of June, 1991; provided, however, that this Bond shall not be valid or obligatory for any purpose or be entitled to any security or benefit under the Series H Resolution

pursuant to which it was authorized until the Authentication Certificate of Trustee-Registrar printed hereon shall have been executed by the manual signature of a duly authorized representative of the Trustee-Registrar.

UNIVERSITY OF KENTUCKY,
Lexington, Kentucky

By (Facsimile Signature)
Chairman, Board of Trustees

Attest:

(Facsimile Signature)
Secretary, Board of Trustees

(Facsimile Seal of Board of Trustees)

THE AUTHENTICATION DATE OF THIS BOND IS: _____.

(FORM OF AUTHENTICATION CERTIFICATE OF TRUSTEE-REGISTRAR)

AUTHENTICATION CERTIFICATE OF TRUSTEE-REGISTRAR

This is to certify that this Bond is one of the Bonds referred to in the within Bond and in the Series H Resolution authorizing same. Printed on the reverse hereof is the complete text of the opinion of Bond Counsel, Rubin Hays & Foley, 200 South Fifth Street, Louisville, Kentucky, a signed original of which is on file with the undersigned, delivered and dated on the date of the original delivery and payment for the Bonds.

COMMERCE NATIONAL BANK,
Lexington, Kentucky
Trustee and Bond Registrar

By _____
Authorized Officer

(FORM OF ASSIGNMENT)

ASSIGNMENT

For value received, the undersigned hereby sells, assigns and transfers unto _____ the within Bond and hereby irrevocably constitutes and appoints _____, attorney, to transfer said Bond on the books kept for registration and transfer of this Bond, with full power of substitution in the premises.

Dated: _____.

Registered Owner

(Signature must correspond with name of Registered Owner as it appears on the front of this Bond in every particular, without alteration, enlargement or any change whatsoever.)

Social Security or other taxpayer
identification number of Assignee:

(Customary abbreviations as to form of Title)

Signature Guaranteed:

Notice: Signature(s) must be guaranteed
by a member firm of the New York Stock
Exchange, Inc., or a commercial bank
or trust company

ARTICLE III
PUBLIC SALE OF SERIES H BONDS; COMPLIANCE WITH PARITY
REQUIREMENTS; THE SERIES H CONSTRUCTION ACCOUNT;
DISPOSITION OF PROCEEDS OF SERIES H BONDS

Section 3.1. Advertisement of Series H Bonds for Sale.
The Series H Bonds shall be offered publicly for sale upon the basis of sealed, competitive bids at such time as the Board (or the Executive Committee of the Board) shall designate by duly adopted Motion or Resolution.

The Treasurer of the Board is hereby authorized and directed to cause an appropriate form or forms of a Notice of Sale of Bonds to be published in THE COURIER-JOURNAL, a legal newspaper published in the City of Louisville, Kentucky, which will afford statewide notice of the sale, and in THE BOND BUYER, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers; and said newspaper and financial journal are hereby declared to be qualified to publish such notice for the Board within the meaning and provisions of KRS Chapter 424. Such notice shall be published in said newspaper and financial journal at least once not less than seven days nor more than twenty-one days prior to the scheduled date of sale of the Series H Bonds.

A suggested form of Notice of Sale of Bonds, a suggested form of Official Terms and Conditions of Sale of Bonds, and a suggested form of Official Bid Form, having been prepared by Bond Counsel, in cooperation with the Fiscal Agent, and same, together with a suggested form of Preliminary Official Statement, having been examined by this Board, same are hereby approved for such purposes, and a copy of each is hereby ordered to be attached to this Resolution and to the minutes of the meeting at which this Resolution is adopted. The Notice of Sale of Bonds shall be signed by the Treasurer of the University and may be used for the purpose of publishing notice of the sale of the Bonds. The Preliminary Official Statement having been prepared by the Board's Fiscal Agent on behalf of the Board in conjunction with the sale, containing all pertinent information in regard to the Series H Bonds, and in regard to the University, and which Preliminary Official Statement is to be supplied to prospective bidders for the purchase of the Series H Bonds, and said Preliminary Official Statement having been reviewed and considered by the Board, same is hereby authorized, ratified, and approved in substantially the form attached hereto, and the Chairman and the Treasurer are hereby authorized to sign said Preliminary Official Statement and the final Official Statement with such changes as approved by the Treasurer on behalf of the Board to evidence such approval. Copies of said documents (Notice, Official Terms, Bid Form and Preliminary Official Statement) shall be furnished to a list of known interested bidders and to any interested parties who may request same.

Bidders shall be advised that Seasingood & Mayer, Cincinnati, Ohio, has been employed as Fiscal Agent in connection

with the issuance of these Series H Bonds, that their fee for services rendered with respect to the sale of the Series H Bonds is contingent upon the issuance and delivery of the Series H Bonds, and that they may submit a bid for the purchase of the Series H Bonds at the time of the advertised public sale of the Series H Bonds, either individually or as the member of a syndicate organized to submit a bid for the purchase of the Series H Bonds.

Upon the date and at the respective hour set forth for the opening and consideration of purchase bids, as provided in the instruments hereinabove approved, the sealed bids theretofore received by the Treasurer and the Finance Committee of the Board shall be publicly opened and publicly read by the Treasurer and later delivered to the Board and again publicly read by the presiding officer of the Board. If there shall be one or more bids which conform in all respects to the prescribed terms and conditions, such bids shall be compared, and the Board agrees that if a bid is accepted, it will accept the best of such bids, as measured in terms of the lowest interest cost to the Board, as calculated in the manner prescribed in the Official Terms and Conditions of Sale of Bonds.

Bids will be received for the purchase of such Bonds at such date and hour as shall be fixed or otherwise determined by the Resolution of the Board (or of its Executive Committee).

It is hereby determined that the successful bid for the purchase of the Series H Bonds, in lieu of acceptance by the full Board of Trustees, may be accepted by the Executive Committee of the Board of Trustees upon the advice of the Board's Fiscal Agent, Seasongood & Mayer, with the approval of the Treasurer of the University.

Section 3.2. Compliance with Parity Requirements of Basic Resolution. In accordance with the requirements of Section 7.10 of the Basic Resolution, it is hereby certified, covenanted and declared:

(a) That at the present time, and at the time of issuance of the Series H Bonds, there is and will be no deficiency in amounts required by the Basic Resolution or any Series Resolution to be paid into the Bond Fund;

(b) That prior to the issuance of the Series H Bonds, there will have been procured and filed with the Trustee a statement by the Treasurer of the Board to the effect that the average of the annual revenues from the Project for the two fiscal years immediately preceding the issuance of the Series H Bonds, was equal to not less than 1.25 times the maximum Aggregate Principal, Interest and Bond Fund Charges in any succeeding twelve month period ending May 1 on the Outstanding Bonds and on the Series H Bonds proposed to be issued. For the purposes of such computation, such certification of the Treasurer shall make an adjustment in the amount of the annual Revenues to reflect any increases or decreases

in the pledged Revenues (the student registration fees) which at that time shall have been determined by the Board and made effective for future services of the Project.

Accordingly, based on the foregoing compliance with the requirements of the Basic Resolution, it is hereby found and declared that the Series H Bonds shall rank and be payable on a parity with the outstanding Series A through G Bonds on a first lien basis from the gross revenues of the Project and further secured by a first statutory mortgage lien on the Project buildings.

Section 3.3. The Series H Construction Account; Disposition of Proceeds of Series H Bonds. There is hereby established in the Treasury of the Commonwealth a Construction Account to be designated University of Kentucky Consolidated Educational Buildings Project - Bond Proceeds Series H Construction Account" (the "Series H Construction Account").

Immediately upon the issuance, sale and delivery of the Series H Bonds, the entire amount received from the Original Purchasers of the Series H Bonds shall be deposited in the Treasury of the Commonwealth for immediate credit and/or transfer as follows:

- (a) To the Bond Fund, all amounts received as collected accrued interest for the period from June 1, 1991, the date of the Series H Bonds, to the date of delivery, for application to the payment of interest next becoming due on the Series H Bonds.
- (b) To the Debt Service Reserve Fund, the amount necessary to fully fund the Required Debt Service Reserve.
- (c) To the "University of Kentucky Community Colleges Educational Buildings Series H Bonds Cost of Issuance Account," hereby created and to be maintained on deposit with the Trustee, such appropriate amount as shall be directed by the Treasurer of the Board, to be held and disbursed upon written authorization of the Board or its designee. The Trustee shall apply funds in such account for the purpose of meeting issuance expenses, including printing, fiscal agent fee, legal fees and expenses, rating agency fees, and other necessary costs (to the extent not payable by the Fiscal Agent) of preparing, issuing, advertising and selling the Bonds. After payment in full of such issuance expenses, based upon the certification of the Board, through its Treasurer, all amounts remaining in such Account shall be transferred to and deposited in the Bond Fund and applied toward the payment of interest

requirements falling due on the Bonds on November 1, 1991.

- (d) The balance of the proceeds of the Series H Bonds shall be deposited in the Series H Construction Account.

Such balance in the Construction Account shall be disbursed from such Account by the Treasurer of the Commonwealth according to such inspection, audit, and disbursement procedures as may from time to time be provided by law, for the purpose of paying the costs (to the extent not otherwise provided) of establishing and constructing the Series H Project buildings and appurtenances as identified in Section 2.2 of this Series H Resolution in accordance with the approved plans and specifications therefor and to reimburse the University or the Commonwealth for any payments which may have been made from other available resources in anticipation of the issuance of the Series H Bonds, and only upon certification of the Architect or Engineer having supervision of construction (or of the Secretary of the Finance Cabinet of the Commonwealth with respect to reimbursements sought by the Commonwealth), as to each disbursement for construction costs, that the amount thereof has been duly earned by and is payable to (and has not previously been paid to) the designated party or parties, for materials, services, and/or labor furnished pursuant to proper contract duly awarded therefor.

Amounts on deposit in the Construction Account shall be invested and reinvested from time to time by the State Treasurer upon direction to the State Treasurer by the Treasurer of the University, in direct obligations of the United States Government or of agencies of the United States Government, or obligations which are fully guaranteed by the United States Government, or in negotiable or non-negotiable Certificates of Deposit issued by any bank, trust company or national banking association (including the Trustee) whose deposits are insured by the Federal Deposit Insurance Corporation, provided such Certificates of Deposit, to the extent that same shall exceed the amount insured by the Federal Deposit Insurance Corporation, must be continuously secured by a valid pledge of direct obligations of or obligations guaranteed by the United States Government having a market value (exclusive of accrued interest) at all times equal to at least 100% of the principal amount of said Certificates of Deposit, which Certificates shall be lodged with the State Treasurer as custodian, or in investments permitted by KRS 42.500(6). The Investment shall be made upon the determination of the University Treasurer, upon advice from said Architects or Engineers (or of said Secretary of the Finance Cabinet), that moneys on deposit in the Construction Account are not immediately required for construction purposes and any investments made from the Construction Account shall be selected in contemplation of the anticipated schedule of disbursements prepared by the University Treasurer. All such investments shall be reconverted into cash as and when cash is required to pay costs for which the Construction Account is established.

Any balance remaining in said Construction Account after the establishment and construction of said Series H Project buildings and appurtenances and the payment of all costs in connection therewith shall be deposited in the Bond Fund and (a) credited to the Debt Service Reserve established in and by Section 405(3) of the Basic Resolution if and to the extent that such Debt Service Reserve contains less than the amount of the Required Debt Service Reserve, and/or (b) either applied as a credit against the next deposit required to be made into the Bond Fund, or used to purchase Series H Bonds in the open market at not exceeding par plus accrued interest, as may be directed by the Board; provided, however, that if proceedings are then pending or imminently contemplated for the establishment and/or construction of one or more additional educational buildings, which are or will be financed by the issuance of additional Series Bonds pursuant to the Basic Resolution, and which building or buildings constitute or will constitute a part of the Community Colleges Educational Buildings Project, any such unexpended balance may be taken into account in determining the amount of Bonds to be authorized therefor, or may otherwise be applied to the proper costs thereof, in which event such unexpended balance may, upon authority of the Board, be transferred to the Series Construction Account thereof.

ARTICLE IV
COMPLIANCE WITH FEDERAL ARBITRAGE REQUIREMENTS;
THE REVENUE FUND; DEBT SERVICE RESERVE FUND

Section 4.1. Compliance with Federal Non-Arbitrage Requirements. Neither the University nor the Trustee shall take any action at any time which will cause the interest on the Bonds to become subject to Federal income taxation, and, without limiting the foregoing, it is covenanted for the benefit of the owners of such Bonds that no use of the proceeds of the Series H Bonds will be made at any time which, if such use had been reasonably expected on the date of issue of such Bonds, would have caused them to be "arbitrage bonds." This covenant shall impose an obligation to comply with the requirements of Section 148 of the United States Internal Revenue Code of 1986, as amended (the "Code"), and the applicable regulations thereunder. The word "proceeds" as used herein shall have the meaning which it has under such section of the Code and such regulations and shall include all moneys on deposit in all Funds provided for herein and all Funds established in connection with the Project and the Bonds. Without limiting the generality of the foregoing, in no event shall moneys be deposited in any accounts created hereunder or invested pursuant to the provisions hereof if such deposit or investment shall cause any Bond to be an "arbitrage bond" within the meaning of Section 148 of the Code.

The Board certifies that on the basis of the facts, expectations, and circumstances (including covenants of the Board) in existence on the date of adoption of this Series H Resolution it is not expected that the proceeds of the Series H Bonds will be used in a manner that will cause such obligations to be "arbitrage bonds." The Chairman and the Treasurer of the Board are hereby jointly and severally designated and charged by the Board and the University with the responsibility for issuing the Series H Bonds and are hereby designated as the officers of the Board and the University to execute (by either of them) the ("no arbitrage") certification required by Section 1.103-13(a)(2)(ii) and any other provisions of the Treasury Regulations, and such certification shall set forth such facts, expectations, and circumstances, which may be in brief and summary terms, and shall state that to the best of his knowledge and belief there are no other facts, expectations, or circumstances that would materially change such expectation that the proceeds of the issue of Series H Bonds herein authorized will not be used in a manner that would cause same to be "arbitrage bonds." If, under any valid provisions of law hereafter enacted, the interest paid by the Board on the Series H Bonds should be excludable from the gross income of a recipient thereof for Federal income tax purposes without regard to compliance with the provisions of Section 148 of the Code, then the University shall not be required to comply with such provisions of the Code.

Section 4.2. The Revenue Fund; Transfers to the Bond Fund and the Debt Service Reserve Fund to Provide for the Additional Requirements of the Series H Bonds.

(1) From and after the issuance and delivery of the Series H Bonds, the Treasurer, conforming to the provisions of Section 4.05(2) of the Basic Resolution, shall transfer from the Revenue Fund and deposit to the credit of the Bond Fund from time to time such sums as are required to pay (a) interest falling due on each November 1 with respect to the Series H Bonds, and (b) interest and principal, if any, falling due on each May 1 with respect to the Series H Bonds; and such transfers and deposits into the Bond Fund shall be in addition to the transfers and deposits required to be made from time to time for payment of the interest on and principal of the Series A through G Bonds.

(2) Conforming to the requirements of Section 4.05(2) of the Basic Resolution, if, whenever, and so long as the amount on deposit in the Debt Service Reserve (as part of the Bond Fund) shall be less than the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve (as defined herein), with respect to All Bonds for any future year ending May 1, the Treasurer shall transfer from the first available funds in the Revenue Fund and deposit in the Bond Fund, whatever additional amounts over and above all deposits otherwise required to be made into the Bond Fund, as set out in the foregoing paragraph (1) of this Section, shall be required in order to restore and maintain the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve in the Debt Service Reserve portion of the Bond Fund.

(3) It is further determined by the Board that in calculating the amount of the required annual payments to be made by the Board into the Bond Fund to meet the principal and interest requirements of All Bonds outstanding, the Board shall (whenever and so long as the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve has been accumulated and is being maintained), take credit for the investment income derived from the investment of funds in the Bond Fund, including funds in the Debt Service Reserve; provided, further, however, that the Board, in compliance with the arbitrage requirements of the Internal Revenue Code of 1986, as amended, represents that amounts on deposit in the Bond Fund will be used within thirteen (13) months from the date of deposit for the payment of principal of and interest on the Outstanding Bonds; and the Bond Fund will annually be depleted through such application, for current debt service requirements on All Bonds outstanding, except for an amount equal to not more than the greater of (a) one-twelfth (1/12) of the debt service requirements of the Bonds outstanding for the then ensuing year, or (b) one year's earnings on the Bond Fund.

Section 4.3. Adjustments in the Debt Service Reserve. It is hereby determined that it is necessary (a) in order to comply with the parity requirements of the Basic Resolution, and (b) for

the marketability of the Series H Bonds, that the Debt Service Reserve shall continue to be maintained and, when necessary, accumulated and/or restored, in an amount equal to the "maximum Aggregate Principal, Interest and Bond Fund Charges (as defined in the Basic Resolution) with respect to All Bonds outstanding for any future year ending May 1 ("Required Debt Service Reserve").

The Debt Service Reserve shall not (except where the amount on deposit therein shall exceed the Required Debt Service Reserve) be used for any purpose except to make the payments required to be made from the Bond Fund when there are insufficient funds available in the Bond Fund for such purposes.

ARTICLE V
MISCELLANEOUS CONCLUDING PROVISIONS

Section 5.1. All Provisions of Basic Resolution, Supplemental Resolution and Various Series Resolutions are Hereby Readopted, Ratified and Confirmed. The Board hereby readopts, ratifies and confirms all previous Resolutions relating to the Project, including the Basic Resolution adopted on May 6, 1969, the Supplemental (clarifying) Resolution adopted on May 25, 1970, and each of the various Series Resolutions, A, B, C, D (Second Series), E, F and G.

Section 5.2. Appointment of Trustee to Serve as Registrar, Transfer Agent, and Payee Bank as to Series H Bonds. Commerce National Bank, Lexington, Kentucky, the Trustee in all previous Series Resolutions, is hereby designated to serve also as Bond Registrar, Transfer Agent and Payee Bank with respect to the Series H Bonds. The respective duties of such Bank in such capacities are as follows:

(a) Duties as Bond Registrar and Transfer Agent. The duties of the Bond Registrar (and Transfer Agent) shall be as follows:

(1) To authenticate the Series H Bonds as and when required;

(2) To register the Series H Bonds in the names of the respective owners thereof in accordance with Section 149(a) of the Internal Revenue Code;

(3) Upon being supplied with a properly authenticated assignment satisfactory to the Bond Registrar (in the sole discretion of such Bond Registrar), to transfer the ownership of Bonds from one registered Bondowner to another within three (3) business days of the receipt of such proper assignment by the Bond Registrar; and

(4) To cancel and destroy (or remit to the Board for destruction, if so required by the Board) all exchanged, matured, retired, and redeemed Bonds, and to maintain adequate records relevant thereto.

(b) Duties as Payee Bank. Its duties as Payee Bank shall be as follows:

(1) To maintain the Bond Fund and to invest the funds contained therein in accordance with the provisions of this Resolution;

(2) To remit, but only to the extent that all required funds are made available to the Payee Bank by the Board, semiannual interest payments directly to the registered owner of each Series H Bond by regular United

States mail. Said interest payments shall be deposited in the United States mail no later than each Interest Payment Date. Matured or redeemed Series H Bonds shall be payable upon presentation to the Payee Bank. For interest payment purposes, the Payee Bank shall be entitled to rely on its records as Bond Registrar as to the ownership of each Series H Bond as of the 15th day of the month preceding an Interest Payment Date, and the Payee Bank's check shall be drawn and mailed accordingly;

(3) To supply the Board with a written accounting evidencing the payment of interest on and principal of the Series H Bonds within 30 days following each respective due date; and

(4) To notify each registered owner of the Series H Bonds of any redemption proceedings taken under Section 4.08 and/or 6.04 of the Basic Resolution.

(c) General Provisions as to Registrar, Transfer Agent and Payee Bank. The Registrar, Transfer Agent and Payee Bank, (collectively the "Bank"), shall each be entitled to the advice of counsel and shall be protected for any acts taken by the Bank in good faith and believed by it to be within its discretion or the power conferred upon it by this Resolution or the responsibility for the consequences of an oversight or error in judgment.

The Bank may at any time resign from its duties set forth in this Resolution by filing its resignation with the Board, and notifying the original purchaser or purchasers of the Series H Bonds. Thereupon, the Board shall designate a successor Bank which shall be an incorporated bank or trust company whose deposits are insured by the Federal Deposit Insurance Corporation authorized to transact business in the United States of America. Notwithstanding the foregoing, in the event of the resignation of the Bank, provision shall be made for the orderly transition of the books, records and accounts relating to the Series H Bonds to the successor Bank in order that there will be no delinquencies in the payment of interest or principal due on the Series H Bonds.

The Bank shall indicate its acceptance of its duties as Bank by signing the Acceptance Certificate at the conclusion of this Resolution.

Section 5.3. Replacement by Board of Registrar, Transfer Agent and Payee Bank. The Board shall have the right at any time to replace the Registrar, Transfer Agent and Payee Bank by observing the same procedure as that required by the Basic Resolution and/or the respective Series Resolutions for replacement of the Trustee.

Section 5.4. Covenant of Board to Take All Action Necessary to Assure Compliance with the Internal Revenue Code of 1986, as Amended. In order to assure purchasers of the Bonds that

interest thereon will continue to be exempt from all Federal and Kentucky income taxation (subject to certain exceptions set out below), the Board covenants to and with the owners of the Series H Bonds that (1) the Board will take all actions necessary to comply with the provisions of the Internal Revenue Code of 1986, as amended, (2) the Board will take no actions which will violate any of the provisions of such Code, or would cause the Series H Bonds to become "private activity bonds" within the meaning of the Code, (3) none of the proceeds of the Series H Bonds will be used for any purpose which would cause the interest on the Series H Bonds to become subject to Federal income taxation, and the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of certain investment earnings on the proceeds of the Series H Bonds.

The Board certifies that the Series H Bonds are not "private activity bonds" within the meaning of the Code, and the Board has been advised by Bond Counsel and therefore believes that interest on the Series H Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

The Board is further advised that the exemption from income taxation by the United States of America of interest on the Series H Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series H Bonds is taken into account in determining adjusted current earnings for taxable years beginning after December 31, 1989.

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series H Bonds, or, in the case of a financial institution, that portion of a holder's interest expense allocated to interest on the Series H Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series H Bonds.

4. For taxable years beginning before January 1, 1992, interest on the Series H Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series H Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series H Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series H Bonds.

The Board reserves the right to amend the Resolution authorizing these Series H Bonds without obtaining the consent of the owners of the Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series H Bonds shall be exempt from Federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series H Bonds) to eliminate or reduce any restrictions concerning the Project, the investment of the proceeds of the Series H Bonds, or the application of such proceeds or of the revenues of the Project. The purchasers of the Series H Bonds are deemed to have relied fully upon these covenants and undertakings on the part of the Board as part of the consideration for the purchase of the Series H Bonds. To the extent that the Board obtains an opinion of nationally recognized bond counsel to the effect that non-compliance with any of the covenants contained in this Resolution or referred to in this Resolution would not subject interest on the Series H Bonds to Federal income taxes or Kentucky income taxes, the Board shall not be required to comply with such covenants or requirements.

This Resolution is adopted in contemplation that Bond Counsel will render an opinion as to exemption of principal of the Bonds from Kentucky ad valorem taxation and as to exemption of interest on the Series H Bonds from Federal and Kentucky income taxation, based on the assumption by Bond Counsel that the Board complies with covenants made by the Board with respect to compliance with the provisions of the Code, subject to the exceptions set out above, and based on the assumption of compliance by the Board with requirements as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series H Bonds. The Board has been advised that, based on the foregoing assumption of compliance, Bond Counsel are of the opinion that the Bonds are not "arbitrage bonds" within the meaning of Section 148 of the Code.

Section 5.5. Resolution Contractual with Bondowners.
The Basic Resolution and this Series H Resolution shall, from and after the issuance and delivery of the Series H Bonds, constitute a contract between the Board of the University and the owners of the Series H Bonds as shall be outstanding hereunder.

Section 5.6. Provisions in Conflict are Repealed. All resolutions or parts thereof in conflict with the provisions of this Series H Resolution are hereby rescinded to the extent of such conflict.

Section 5.7. Copy to be Filed with Trustee. A certified copy of this Series H Resolution shall be filed with the Trustee, and this Series H Resolution shall take effect immediately upon its adoption and the filing of the certified copy thereof with the Trustee, as provided in the Basic Resolution.

Adopted April 30, 1991.

(SEAL)

Chairman, Board of Trustees
University of Kentucky

Secretary, Board of Trustees

CERTIFICATION

I, Edythe Jones Hayes, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certify that the foregoing is a true copy of a Resolution adopted by the Board of Trustees of said University at a meeting held on April 30, 1991, and recorded in the official Minute Book of said Board of Trustees, which is in my custody and under my control, that said meeting was held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820 and 61.825, that a quorum was present at said meeting, and that the aforesaid Resolution is of record in the office of the Board, has not been modified, amended or rescinded, and is in full force and effect at this date.

WITNESS my signature and the Seal of said Board this April 20, 1991.

Board of Trustees
University of Kentucky

ACCEPTANCE BY COMMERCE NATIONAL BANK,
LEXINGTON, KENTUCKY, AS
BOND REGISTRAR, TRANSFER AGENT, PAYEE BANK AND TRUSTEE

The undersigned, Commerce National Bank, Lexington, Kentucky, having heretofore been appointed as and having served as Trustee, hereby agrees to the provisions of the foregoing Resolution to the extent that there are contained therein provisions as to the additional rights and duties of the undersigned as Bond Registrar, Transfer Agent, Payee Bank, and Trustee, and reaffirms its continuing acceptance of the duties of Trustee as to the Series H Bonds.

Dated this _____, 1991.

COMMERCE NATIONAL BANK,
Lexington, Kentucky

By _____
Title _____

(Seal of Bank)

NOTICE OF SALE OF BONDS

\$36,350,000
UNIVERSITY OF KENTUCKY
COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES H
DATED JUNE 1, 1991

The Treasurer of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, will until June 18, 1991, at 10:30 A.M., E.D.T., receive in the Office of the Controller and Treasurer, 369 Peterson Service Building, University of Kentucky Campus, Lexington, Kentucky 40506, sealed, competitive bids for the purchase of \$36,350,000 of the above-identified Series of bonds, maturing on May 1, 1992 through 2011. Minimum bid is \$35,623,000 (98% of par). Legal Opinion by Rubin Hays & Foley, Louisville, Kentucky. The Bonds will be issued on a tax-exempt basis, subject to certain qualifications set out in detail in the Official Terms and Conditions of Sale of Bonds and in the Preliminary Official Statement. Good faith check is \$363,500. Bid Forms, Official Terms and Conditions, and Preliminary Official Statements in a form deemed to be "near final" by the Board may be obtained from the Fiscal Agent, Seasingood & Mayer, 300 Mercantile Library Building, 414 Walnut Street, Cincinnati, Ohio 45202-3910, (513) 621-2000.

Right to reject bids and to waive defects or informalities is expressly reserved. (Signed) Henry Clay Owen, Treasurer, Board of Trustees, University of Kentucky, Lexington, Kentucky 40506.

Henry Clay Owen, Treasurer

OFFICIAL TERMS AND CONDITIONS OF BOND SALE

RE: \$36,350,000 of University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series H, dated June 1, 1991

The Treasurer and the Finance Committee of the Board of Trustees of the University of Kentucky, Lexington, Kentucky (the "Board") will until June 18, 1991, at 10:30 A.M., E.D.T., receive in the office of the Controller and Treasurer, 369 Peterson Service Building, University of Kentucky Campus, Lexington, Kentucky 40506, sealed, competitive bids for the purchase of the above-identified Bonds (the "Series H Bonds"). To be considered, a bid for the purchase of said Bonds must be submitted on an Official Bid Form and must be delivered to such office no later than the date and hour indicated. Proposals for the purchase of the Bonds will be considered by the Finance Committee of the Board at its meeting on that date and referred to the Executive Committee of the Board (or to the full Board) at a meeting to be held at 1:00 P.M., E.D.T., on that date.

DESCRIPTION AND MATURITIES OF BONDS

The Series H Bonds will be issued only as fully registered bonds in the denomination of \$5,000 principal amount or any multiple of \$5,000 within a single maturity (as designated by the Purchasers), will bear interest payable semiannually on May 1 and November 1 of each year to maturity (first interest payment date, November 1, 1991), and will mature on May 1 of the respective years, as follows:

<u>Maturity Date</u> <u>May 1,</u>	<u>Principal</u> <u>Maturity</u>	<u>Maturity Date</u> <u>May 1,</u>	<u>Principal</u> <u>Maturity</u>
1992	\$ 955,000	2002	\$1,725,000
1993	1,005,000	2003	1,840,000
1994	1,060,000	2004	1,970,000
1995	1,125,000	2005	2,105,000
1996	1,190,000	2006	2,255,000
1997	1,265,000	2007	2,415,000
1998	1,340,000	2008	2,590,000
1999	1,425,000	2009	2,775,000
2000	1,520,000	2010	2,975,000
2001	1,620,000	2011	3,195,000

The principal of (and redemption price, if any) and interest on the Series H Bonds will be payable in lawful money of the United States of America at the main office of Commerce National Bank, Lexington, Kentucky, the Trustee, Bond Registrar, Transfer Agent, Payee Bank and Depository Bank; provided, however, that interest on the Series H Bonds shall be paid when due by check mailed by the Trustee to the respective persons entitled thereto at the addresses of the respective Bondowners of record on the 15th day of the month preceding each interest due date by regular United

States mail postmarked not later than the due date. Upon submission of a properly executed assignment of a Bond, such Bank will transfer ownership of such Bond within three (3) business days of receipt without expense to the Bondowner.

The Series H Bonds shall be lettered and numbered from R-1 upward, or such numbering shall be made in such other appropriate manner as may be determined by the Trustee.

The person in whose name any Series H Bond is registered on the Record Date (April 15 or October 15) with respect to an interest payment date shall be entitled to receive the interest payable on such interest payment date (unless such Bond shall have been duly called for redemption on a redemption date which is prior to such interest payment date).

The Series H Bonds maturing on and after May 1, 2002, shall be subject to redemption prior to maturity, at the option of the Board, on any Interest Payment Date on and after May 1, 2001, in inverse order of maturities and by lot within a single maturity, at the following redemption prices (expressed as a percentage of principal amount) plus accrued interest to the date of redemption, as follows:

<u>Redemption Dates, Inclusive</u>	<u>Redemption Price</u>
May 1, 2001 through November 1, 2001	102%
May 1, 2002 through November 1, 2002	101½%
May 1, 2003 through November 1, 2003	101%
May 1, 2004 through November 1, 2004	100½%
May 1, 2005 and thereafter to maturity	100%

If a Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Bond may be redeemed, but only in a principal amount equal to \$5,000 or any integral multiple thereof, if such Bond is one of the maturities or amounts or part of the maturities or amounts called for redemption. Upon surrender of any Bond for redemption in part, the Trustee shall (authenticate and) deliver an exchange Bond or Bonds in an aggregate principal amount equal to the unredeemed portion of the Bond so surrendered.

The Trustee shall give notice of any redemption by sending at least one such notice by first class mail not less than thirty (30) and not more than sixty (60) days prior to the date fixed for redemption to the registered owner of each Series H Bond to be redeemed in whole or in part at the address shown on the Bond Register as of the date of mailing of such notice; and interest on such Bonds or portions thereof shall cease to accrue after the redemption date.

STATUTORY AUTHORITY AND PURPOSE OF BONDS

These Bonds are issued in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including Sections 162.340 to 162.380, 164.595, and 58.010 through 58.140 of the Kentucky Revised Statutes, now in full force and effect, and under and pursuant to the Resolution adopted by the Board on May 6, 1969, as supplemented by a Resolution adopted on May 25, 1970 (hereinafter collectively referred to as the "Basic Resolution"), and the Resolution adopted on April 30, 1991, authorizing the Series H Bonds (the "Series H Resolution"), for the purpose of financing the costs (to the extent not otherwise provided) of (a) establishing and erecting certain educational buildings and necessary appurtenances (the "Series H Project"), upon the properties of the University in Hopkins, Henderson, Daviess, Mason, Harlan, and Jefferson Counties in the Commonwealth of Kentucky, which Series H Project buildings and appurtenant facilities will, when erected and acquired, become part of the Community Colleges Educational Buildings Project of said University (the "Project"), (b) funding the Required Debt Service Reserve, and (c) paying the costs of issuance of the Series H Bonds. Copies of the Basic Resolution and the Series H Resolution are on file at the office of the Trustee.

SECURITY

These Series H Bonds, together with the outstanding Bonds of Series A, B, C, D (Second Series), E, F and G, and any additional Parity Bonds which may hereafter be issued and outstanding under the terms of the Basic Resolution and subsequent Series Resolutions are and will be payable from and constitute a first charge and lien upon the Revenues (as defined in the Basic Resolution) to be derived by the University from the operation of its Community Colleges Educational Buildings Project. The fee imposed upon and collected from all students enrolled in the Community Colleges (except those Community Colleges located in Fayette County, Kentucky) as an incident to registration at the beginning of each semester of the regular academic year and each summer session, including fees imposed for part-time students, night school and extension courses, is designated as the source of Revenues of the Project. Such fees are known as the Student Registration Fees, and the Board has covenanted on behalf of the University that such fees will be fixed (and, if necessary, revised and increased from time to time) at such rates as may be required to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefore, and to pay the operating costs of the Project to the extent that such costs are not otherwise provided. All collections of the Student Registration Fees are to be set aside, as received, into the Community Colleges Educational Buildings Project Revenue Fund (the "Revenue Fund") and are made subject to a first lien and paramount charge for the security and source of payment of all outstanding Community Colleges Educational Buildings Revenue Bonds.

It is noted that the fees imposed and collected and which are secured are for those attending Community Colleges of the University other than in Fayette County and also that all of the buildings and appurtenances which are part of the Project (excepting therefrom the buildings thereof which may be leased rather than owned by the University), are covered by the lien.

As further security for the Bonds, a statutory mortgage lien upon the Project is created and granted by the Series H Resolution pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, in favor of the Series H Bonds and all parity bonds, including those heretofore issued and those thereafter issued.

BIDDING CONDITIONS AND RESTRICTIONS

The public offering of the Series H Bonds is made upon the following specific conditions and provisions:

- A. Bidders shall bid for the entire issue a minimum price of not less than \$35,623,000 (98% or par) for the \$36,350,000 of Bonds, plus accrued interest from the date of the Bonds (June 1, 1991), to the date of delivery, payable in Federal Funds.
- B. Each bid shall be accompanied by a certified or bank cashier's good faith check (which check need not be in Federal Funds) in the amount of \$363,500 (1%), payable to the order of the Treasurer of the University of Kentucky. The check of the successful bidder will be immediately deposited by the University and credited (without interest) against the purchase price. The good faith checks of the unsuccessful bidders will be returned promptly.
- C. The determination of the best bid will be made on the basis of the lowest net interest cost calculated by computing the total interest payable on the Bonds from June 1, 1991, through the Final Maturity Date, plus discount or less premium, as set forth in the Official Bid Form, for exactly \$36,350,000 of Series H Bonds under the terms and conditions herein specified.
- D. Bidders must state an interest rate or rates in a multiple of 1/20 of 1%.
- E. There is no limit on the number of different rates which may be specified in any bid.
- F. All Bonds of the same maturity shall bear the same and a single interest rate from the date thereof to maturity.

- G. Interest rates must be on an ascending scale, in that the interest rate for Bonds of any maturity may not be less than the interest rate stipulated for any preceding maturity.
- H. The right to reject bids for any reason deemed advisable by the Finance Committee of the Board and the right to waive any possible informalities, irregularities, or defects in any bid which, in the judgment of the Finance Committee of the Board, with the advice of the Fiscal Agent, shall be minor or immaterial, is expressly reserved.
- I. Bids must be made on forms which, together with an Official Statement, may be obtained at the office of the Treasurer of the University or from the Fiscal Agent, Seasongood & Mayer, 300 Mercantile Library Building, 414 Walnut Street, Cincinnati, Ohio 45202-3910, phone (513) 621-2000. Bids must be enclosed in sealed envelopes marked "Proposal for University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series H, dated June 1, 1991," and bids must be received by the aforesaid Treasurer prior to the date and hour set for the sale.
- J. The purchasers of the Bonds will pay the CUSIP Service Bureau charge for the assignment of CUSIP numbers, which numbers will be printed on the Bonds at no expense or cost to the purchasers. Neither the failure to print a CUSIP number on any Bond nor any error with respect thereto shall constitute cause for a failure or refusal by the purchasers thereof to accept delivery of and pay for the Bonds.
- K. Delivery will be made on or after July 1, 1991, at Commerce National Bank, Lexington, Kentucky, at no additional cost or expense to the Purchasers. The purchasers may elect to require delivery through a depository trust corporation, provided the Purchasers agree to pay any additional expense in connection therewith, such expense to include shipping expense, insurance in transit and the fee of the depository trust corporation. In connection with the issuance of the Bonds, the Board will pay for the printing of the Bonds, which will contain the opinion of Bond Counsel. Delivery is expected on or after July 1, 1991.
- L. The University may tender the Bonds in the form of a single fully registered temporary Bond to the purchasers, in which event, such purchasers, upon tender thereof to the Transfer Agent, will be issued fully registered Bond Certificates (in the

denomination of \$5,000 or any multiple of same within the same maturity) in such names as shall have been properly designated to the Bond Registrar.

- M. It shall be the responsibility of the Original Purchasers to furnish or cause to be furnished to the Trustee at least five (5) business days prior to the date of delivery of the Bonds, a list of the names, addresses, social security numbers or taxpayer identification numbers, of each of the parties to whom the Bonds are to be registered and the principal amounts and maturities thereof. In the event of the failure to so furnish such list, the Bonds delivered to the purchasers shall be registered in the name or names of such purchasers or their designated representatives appearing as the first name on the successful Bid Form, or otherwise appropriately designated, and shall be issued in denominations corresponding to the principal amount of each respective maturity, as shall be determined by the Trustee-Registrar.
- N. Upon wrongful refusal of the purchasers to take delivery of and pay for the Bonds in Federal Funds when tendered for delivery, the amount of the good faith check shall be forfeited by such purchasers, and such amount shall be deemed liquidated damages for such default, provided, however, if such Bonds are not ready for delivery and payment within forty-five (45) days from the date of sale herein provided for, said purchasers shall be relieved of any liability to accept the Bonds hereunder. However, it is contemplated that the Bonds will be delivered on a date during such period as may be designated by representatives of the Board, and the purchasers will be required to accept delivery of and pay for the Bonds on any designated date within such 45 day period upon notice being given at least five (5) business days prior to the designated delivery date.
- O. Bidders are advised that Seasongood & Mayer, of Cincinnati, Ohio, has been employed as Fiscal Agent in connection with the issuance of these Series H Bonds. Their fee for services rendered with respect to the sale of the Series H Bonds is contingent upon the issuance and delivery of the Series H Bonds. They may submit a bid for the purchase of the Series H Bonds at the time of the advertised public sale of the Series H Bonds, either individually or as members of a syndicate organized to submit a bid for the purchase of the Series H Bonds.

- P. If the successful bidder desires to obtain insurance guaranteeing the payment of the principal and/or interest on such Bonds, the University agrees that it will cooperate with such successful bidder in obtaining such insurance, but all of the expenses and charges in connection therewith shall be borne by such bidder, and the University shall not be liable to any extent therefor.
- Q. The purchasers will pay for the printing of the final Official Statement.
- R. The successful bidder shall promptly advise the Financial Advisor to the Board of Trustees of (i) the reoffering price for each maturity of the Series H Bonds, and (ii) the principal amount sold to the public of each principal maturity of the Series H Bonds on the reoffering date.

If upon the basis of the foregoing, the Board shall accept a purchase bid for these \$36,350,000 of Series H Bonds, the Board shall adopt a Resolution to that effect, and supply proper evidence of such acceptance to the bidder submitting the accepted purchase bid.

The Board will furnish to the Purchasers the customary No Litigation Certificate and the final, approving Legal Opinion of Rubin Hays & Foley, Municipal Bond Attorneys, Louisville, Kentucky, without expense to the Purchasers.

The Board of Trustees shall provide to the successful purchaser a final Official Statement in accordance with SEC Rule 15c2-12. Arrangements have been made with the printer of the preliminary official statement, upon submission of completion text, to print a reasonable quantity of final Official Statements in sufficient time to meet the delivery requirements of the successful bidder under SEC or Municipal Securities Rulemaking Board delivery Requirements. The successful bidder shall be required to pay for the printing of the final Official Statement.

Concurrently with the delivery of the Series H Bonds, the Treasurer of the Board of Trustees will certify that, to the best of his knowledge, the Official Statement did not as of its date, and does not as of the date of delivery of the Bonds, contain any untrue statement of a material fact or omit to state a material fact which should be included therein for the purpose for which the Official Statement is to be used, or which is necessary in order to make the statements contained therein, in the light of the circumstances under which they were made, not misleading in any material respect.

SPECIAL TAX EXEMPTION CONSIDERATIONS

In order to assure purchasers of the Series H Bonds that interest thereon will continue to be exempt from all Federal and Kentucky income taxation (subject to certain exceptions set out below), the Board covenants to and with the owners of such Bonds that (1) the Board will take all actions necessary to comply with the provisions of the Internal Revenue Code of 1986, as amended (the "Code"), (2) the Board will take no actions which will violate any of the provisions of such Code, or would cause the Bonds to become "private activity bonds" within the meaning of the Code, (3) none of the proceeds of the Bonds will be used for any purpose which would cause the interest on the Bonds to become subject to Federal income taxation, and the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of certain investment earnings on the proceeds of the Series H Bonds.

The Board certifies that these Bonds are not "private activity bonds" within the meaning of the Code, and the Board has been advised by Bond Counsel and therefore believes that interest on the Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals. However, the Board has been advised that these Bonds are not "qualified tax-exempt obligations" for bank investments and that banks are not permitted to deduct the interest cost attributable to the purchase of these Bonds.

The exemption from income taxation by the United States of America of interest on the Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series H Bonds is taken into account in determining adjusted current earnings for taxable years beginning after December 31, 1989.

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series H Bonds, or, in the case of a financial institution, that portion of a holder's interest expense allocated to interest on the Series H Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series H Bonds.

4. For taxable years beginning before January 1, 1992, interest on the Series H Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series H Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series H Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series H Bonds.

The Board reserves the right to amend the Resolution authorizing these Series H Bonds without obtaining the consent of the owners of the Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series H Bonds shall be exempt from Federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series H Bonds) to eliminate or reduce any restrictions concerning the Project, the investment of the proceeds of the Series H Bonds, or the application of such proceeds or of the revenues of the Project. The purchasers of the Series H Bonds are deemed to have relied fully upon these covenants and undertakings on the part of the Board as part of the consideration for the purchase of the Series H Bonds. To the extent that the Board obtains an opinion of nationally recognized bond counsel to the effect that non-compliance with any of the covenants contained in this Resolution or referred to in this Resolution would not subject interest on the Series H Bonds to Federal income taxes or Kentucky income taxes, the Board shall not be required to comply with such covenants or requirements.

Bond Counsel will render the customary opinion as to exemption of principal of the Bonds from Kentucky ad valorem taxation and as to exemption of interest on the Bonds from Federal and Kentucky income taxation, based on the assumption by Bond Counsel that the Board complies with covenants made by the Board with respect to compliance with the provisions of the Code, subject to the exceptions set out above, and based on the assumption of compliance by the Board with requirements as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series H Bonds. Bond Counsel are of the opinion that, based on the foregoing assumption of compliance, the Bonds are not "arbitrage bonds" within the meaning of Section 148 of the Code.

Bond Counsel has reviewed the Official Statement with regard to all matters pertaining to the legality and tax exemption

of the Series H Bonds, including statements concerning the Board and the purpose and security of the Series H Bonds; but Bond Counsel has not reviewed the financial data concerning the Board and the University in the Official Statement, and expresses no opinion thereon and assumes no responsibility in connection therewith.

Subject to the matters set out above, the Series H Bonds are offered for sale on the basis of the principal of said Bonds not being subject to Kentucky ad valorem taxation and on the basis of the interest on said Bonds not being subject to Federal or Kentucky income taxation on the date of their delivery to the Purchasers.

If, prior to the delivery of the Series H Bonds, any event shall occur which alters such tax-exempt status, the purchasers shall have the privilege of voiding the purchase by giving immediate written notice to the Board, whereupon the amount of the good faith deposit of the purchasers will be returned to the purchasers. (Signed) Henry Clay Owen, Treasurer, Board of Trustees, University of Kentucky, Lexington, Kentucky 40506.

OFFICIAL BID FORM

\$36,350,000
UNIVERSITY OF KENTUCKY
COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES H
DATED JUNE 1, 1991

To the Honorable Chairman and Members of
The Board of Trustees of the University of Kentucky
c/o Mr. Henry Clay Owen
University Controller and Treasurer
369 Peterson Service Building
Lexington, Kentucky 40506

Ladies and Gentlemen:

Pursuant to your "Notice of Sale of Bonds" as published in THE COURIER-JOURNAL of Louisville, Kentucky, and in THE BOND BUYER, New York, New York, and the "Official Terms and Conditions of Sale of Bonds," and the "Preliminary Official Statement" referred to therein, by our submission of this Bid, we evidence our agreement to the terms and conditions of your sale as represented therein.

We hereby bid for said \$36,350,000 principal amount of Bonds the sum of \$_____ (not less than \$35,623,000), plus accrued interest from June 1, 1991, to the date of delivery, at the following annual interest rates:

SCHEDULE OF PRINCIPAL AMOUNTS AND INTEREST RATES

<u>Maturing May 1</u>	<u>Maturity Amount</u>	<u>Rate</u>	<u>Maturing May 1</u>	<u>Maturity Amount</u>	<u>Rate</u>
1992	\$ 955,000	_____ %	2002	\$1,725,000	_____ %
1993	1,005,000	_____ %	2003	1,840,000	_____ %
1994	1,060,000	_____ %	2004	1,970,000	_____ %
1995	1,125,000	_____ %	2005	2,105,000	_____ %
1996	1,190,000	_____ %	2006	2,255,000	_____ %
1997	1,265,000	_____ %	2007	2,415,000	_____ %
1998	1,340,000	_____ %	2008	2,590,000	_____ %
1999	1,425,000	_____ %	2009	2,775,000	_____ %
2000	1,520,000	_____ %	2010	2,975,000	_____ %
2001	1,620,000	_____ %	2011	3,195,000	_____ %

A certified or bank cashier's check in the amount of \$363,500, payable to the Treasurer of the University of Kentucky, is enclosed in accordance with the Notice of Sale of Bonds and the Official Terms, with the understanding that if we are the successful bidder, said check is to be immediately deposited by the University and credited (without interest) against the purchase price at the time of delivery of the Bonds. If we are the

successful bidder, we agree to accept and make payment for the Bonds in accordance with the terms of the sale.

Respectfully submitted,

(a)	Total interest cost from date of Bonds to final maturity	\$	_____
(b)	Plus discount (or less premium)	\$	_____
(c)	Net interest cost	\$	_____
(d)	Net interest rate		_____ %

The above computation of net interest cost is submitted for information only and is not a part of this bid.

Accepted this 18th day of June, 1991.

BOARD OF TRUSTEES OF
UNIVERSITY OF KENTUCKY

Attest:

By _____
Chairman

Secretary

CERTIFICATE

I, the undersigned, certify that I am the duly qualified and acting Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, and I certify as follows:

1. That the foregoing is a true and accurate copy of an excerpt from the Minutes of the regular meeting of the Board of Trustees of the University of Kentucky held on April 30, 1991.
2. That the stated attendance and voting at said meeting are true and correct.
3. That said meeting was duly held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820 and 61.825, that a quorum was present at said meeting, that said Resolution has not been modified, amended, revoked or repealed, and that same is now in full force and effect.
4. That there is attached hereto a full, true and correct copy of the Resolution adopted at said meeting, as set out in said excerpt.
5. That said Resolution has been placed on file in the office of the undersigned Secretary, where same has been duly recorded in the official records of the Board of Trustees of the University.

IN TESTIMONY WHEREOF, witness my signature as Secretary of said Board on this 30th day of April, 1991.

Secretary, Board of Trustees
University of Kentucky

Office of the President
April 30, 1991

FCR 11

Members, Board of Trustees:

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF \$22,300,000 PRINCIPAL AMOUNT OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES L, DATED MAY 1, 1991

Recommendation: (i) that the Board of Trustees approve a Resolution accepting the successful bid of Lehman Brothers with reference to the sale of the \$22,300,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series L, dated May 1, 1991, at a net interest cost of 6.63095%; (ii) that the Board of Trustees approve the form of Official Statement as prepared by Seasongood & Mayer, the Financial Advisors to the Board of Trustees.

Background: On April 2, 1991, the Board of Trustees approved a Resolution authorizing the issuance of \$22,300,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series L, dated May 1, 1991. Said Resolution also authorized the public advertising for sealed, competitive bids to be received on April 30, 1991. Bids were reviewed by the Finance Committee and the recommended bid is the lowest net interest cost to the University.

Five bids were received and tabulated as follows:

Lehman Brothers	6.63095%
Clayton Brown & Associates, Inc.	6.6877%
Bear, Stearns & Co., Inc.	6.7329%
Merrill Lynch, Pierce, Fenner & Smith, Inc.	6.7568%
John Nuveen & Co., Inc.	6.8332%

Action: Approved ☒ Disapproved ☐ Other ☐

Dated: April 30, 1991

SALE RESOLUTION

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF \$22,300,000 PRINCIPAL AMOUNT OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES L, DATED MAY 1, 1991.

WHEREAS, Notice of Sale of \$22,300,000 principal amount of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series L, dated May 1, 1991, has heretofore been advertised, and information as to such sale has been distributed in strict compliance with all legal requirements, which action is hereby authorized, ratified and confirmed, and

WHEREAS, all bids received for the purchase of said Bonds from the University have been duly considered, copies of such bids are attached to this Resolution, and made a part hereof, and a summary of said respective bids is as follows:

1.	2.		3.
	AMOUNTS BID FOR SAID \$22,300,000 PRINCIPAL AMOUNT OF BONDS, PLUS ACCRUED INTEREST FROM MAY 1, 1991		NET INTEREST COST
<u>BIDDERS</u>			
Lehman Brothers	\$21,854,000.00	1992	4.75
		1993	5.20
		1994	5.40
		1995	5.50
		1996-1997	5.80
		1998-1999	6.00
		2000	6.20
		2001	6.25
		2002	6.30
		2003-2004	6.50
		2005-2011	6.60
Clayton Brown & Associates, Inc.		1992-1998	6.40
\$21,856,992.00		1999-2008	6.50
		2009-2011	6.60
			6.63095%
			6.6877%

1.	2.		3.
<u>BIDDERS</u>	AMOUNTS BID FOR SAID \$22,300,000 PRINCIPAL AMOUNT OF BONDS, PLUS ACCRUED INTEREST FROM MAY 1, 1991		NET INTEREST COST
Bear, Stearns & Co., Inc.	\$21,859,889.65	1992	4.70
		1993	5.20
		1994	5.40
		1995	5.60
		1996	5.80
		1997	5.90
		1998	6.00
		1999	6.10
		2000	6.20
		2001	6.30
		2002	6.40
		2003	6.50
		2004	6.60
		2005-2008	6.70
		2009-2011	6.75
Merrill Lynch, Pierce, Fenner & Smith, Inc.	\$21,854,058.30	1992	5.00
		1993	5.20
		1994	5.40
		1995	5.60
		1996	5.80
		1997	5.90
		1998	6.00
		1999	6.10
		2000	6.30
		2001	6.40
		2002	6.50
		2003	6.60
		2004-2008	6.70
		2009-2011	6.75
John Nuveen & Co. Inc.	\$21,862,731.75	1992	5.00
		1993	5.25
		1994	5.50
		1995	5.70
		1996	5.90
		1997	6.00
		1998	6.10
		1999	6.20
		2000	6.35
		2001	6.50
		2002	6.60
		2003	6.70
		2004-2011	6.80

WHEREAS, the matter of which bid is the most advantageous and with the most favorable net interest cost to the University has been sufficiently considered, and

NOW, THEREFORE, BE IT AND IT IS HEREBY RESOLVED AND ORDERED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, AS FOLLOWS:

1. Determination of Best Bid; Acceptance. That the bid of Lehman Brothers for the purchase of said \$22,300,000 principal amount of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series L, dated May 1, 1991, be accepted and confirmed, said bid being at a price of \$21,854,000.00 for said \$22,300,000 principal amount of Bonds, plus accrued interest from May 1, 1991, to the date of delivery of the Bonds, such interest to be payable semiannually at the following annual rates:

<u>Maturing May 1</u>	<u>Maturity Amount</u>	<u>Rate</u>	<u>Maturing May 1</u>	<u>Maturity Amount</u>	<u>Rate</u>
1992	\$590,000	4.75 %	2002	\$1,060,000	6.30 %
1993	625,000	5.20 %	2003	1,130,000	6.50 %
1994	655,000	5.40 %	2004	1,210,000	6.50 %
1995	695,000	5.50 %	2005	1,290,000	6.60 %
1996	735,000	5.80 %	2006	1,380,000	6.60 %
1997	780,000	5.80 %	2007	1,475,000	6.60 %
1998	830,000	6.00 %	2008	1,580,000	6.60 %
1999	880,000	6.00 %	2009	1,695,000	6.60 %
2000	935,000	6.20 %	2010	1,815,000	6.60 %
2001	995,000	6.25 %	2011	1,945,000	6.60 %

and being a bid at an average net interest cost to the University of _____ % per annum; and said bid is hereby determined to be the best bid and with the most favorable net interest cost to the University for said Bonds; and the interest rates on said Bonds are hereby fixed at the rates set out in said successful bid.

2. All Other Bids Rejected; Return of Good Faith Checks of Unsuccessful Bidders. That all other bids are hereby rejected, and the Treasurer of the University is ordered to return the good faith checks of unsuccessful bidders immediately. The good faith check of the successful purchaser will be deposited immediately by the University and credited (without interest) against the purchase price of the Bonds on the date of delivery to such purchaser.

3. Delivery of Bonds; Application of Proceeds. That said Bonds shall be delivered by the Treasurer and/or other appropriate officials of the University in accordance with the terms of the Resolution authorizing the Bonds, adopted by the University on April 2, 1991, as soon as said Bonds are printed, executed, authenticated and ready for delivery in accordance with such Resolution,

and all of the proceeds of the Bonds shall be used only as provided in said Resolution.

4. Acceptance of Bid is Subject to Approving Legal Opinion of Bond Counsel. That said bid is accepted subject to the condition that such acceptance subjects the University to no obligation to deliver the Bonds if for any reason the University is unable to obtain the final approving legal opinion of the firm of Rubin Hays & Foley, Municipal Bond Attorneys, Louisville, Kentucky, as to said Bonds, or if such Bonds shall be subject to ad valorem taxation by the Commonwealth of Kentucky, or if the receipt of interest on said Bonds shall be subject to income taxation by the Federal Government or by the Commonwealth of Kentucky, prior to or on the delivery date of said Bonds; and the purchasers shall not be required to take delivery of said Bonds without the final approving opinion of said attorneys accompanying said Bonds, or if said Bonds or the interest thereon should become subject to such ad valorem or income taxation prior to such delivery; provided such exemption from taxation shall be subject to compliance by the Board of Trustees with certain covenants necessary to establish that interest on the Bonds will continue to be exempt from Federal income taxation under the Internal Revenue Code of 1986.

5. Approval of Official Statement. That the form of Official Statement prepared and presented to the Board of Trustees by Seasongood & Mayer for distribution to prospective purchasers of the Series L Bonds is hereby approved and the Chairman and Secretary are authorized and directed to execute and deliver said Official Statement.

6. Repeal of Conflicting Resolutions. That all resolutions, or parts thereof, in conflict herewith, be and the same are hereby amended or repealed to the extent of such conflict, and this Resolution shall be effective immediately upon its adoption.

Passed and adopted by the Board of Trustees of the University of Kentucky at a properly convened meeting of the University held on April 30, 1991.

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY

By



Chairman

Attest:



Secretary

CERTIFICATE

I hereby certify that I am the duly qualified and acting Secretary of the Board of Trustees of the University of Kentucky, and I certify further as follows:

1. That the foregoing is a true copy of a Resolution adopted by said Board; signed by the Chairman of the Board and attested under seal by me as Secretary of the Board at a properly convened meeting of said University held on April 30, 1991, as shown by the official records of said University in my custody and under my control;
2. That there are attached hereto reproduced duplicate copies of each of the bids received for the purchase of \$22,300,000 principal amount of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series L, dated May 1, 1991, referred to in said Resolution;
3. That there is attached hereto the form of Official Statement for the Series L Bonds, approved by the foregoing Resolution; and
4. That said meeting was duly held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820 and 61.825, that a quorum was present at said meeting, that said Resolution has not been modified, amended, revoked or repealed, and that said is now in full force and effect.

IN TESTIMONY WHEREOF, witness my signature as Secretary and the official seal of said University this April 30, 1991.

(Seal)

Secretary, Board of Trustees

FCR 12

Members, Board of Trustees:

MINING OF COAL RESERVES IN BREATHITT, KNOTT AND PERRY COUNTIES

Recommendation: that the University's Administration be authorized to enter into a lease agreement with Addington, Inc. to mine coal reserves in Beaverdam Creek, Fishtrap Branch, Little Caney Creek, Hurricane Branch, Rose Branch and Laurel Fork tracts, these being the non-contiguous outlying tracts of Robinson Forest, belonging to the University of Kentucky located in Breathitt, Knott and Perry Counties, Kentucky.

Addington proposes a single lease for all properties with the following terms:

- * Royalty rates:
 - a. Production royalty: \$3.00 per ton, or;
 - b. Percentage royalty: 10% of gross proceeds per ton, FOB shipping point, whichever is more
- * Minimum royalties:

a. Year one		\$120,000
b. Year two	120,000 tons	\$360,000
c. Year three	240,000 tons	\$720,000
d. Year four	300,000 tons	\$900,000
- * Terms contingent upon leasing of all tracts

Background: On September 18, 1990, the Board of Trustees authorized the University's Administration to solicit from financially sound and quality mining companies proposals and plans to mine the coal reserves in the above areas in Eastern Kentucky. On December 3, 1990, the University issued Request for Proposal (RFP) PK-0017-0 soliciting such proposals and plans.

On January 17, 1991, the Vice President for Administration appointed an Evaluation Committee to evaluate the proposals to lease the University's coal. The deadline for receipt of proposals was January 30, 1991. The University received proposals from six companies. The Committee determined after detailed review that three of the companies should not be considered further in the proposal review process because those companies were either non-responsive to the requirements of the RFP or did not meet one or more of the minimum requirements set forth in the RFP.

The Evaluation Committee continued the evaluation process with the remaining three companies. After a detailed review of the original proposals, hearing oral presentations, and Committee visits to existing mining and reclaimed sites selected by the three short-listed companies, the unanimous opinion of

the Committee was that in accord with Section 3.10 of the Request for Proposal, the negotiation procedure should begin with three companies for the award of contracts and leases of coal. The Committee further recommended that contact be made with the companies to ascertain whether they would agree to lease all the tracts under the same terms applicable to other tracts. Based on the unanimous recommendation of the Evaluation Committee, Edward A. Carter and Paul Van Booven began the negotiation process on March 25, 1991.

Between March 25, 1991 and April 13, 1991, Mr. Carter and Mr. Van Booven carried out the competitive negotiations with the three short-listed companies as recommended by the Evaluation Committee.

Each company was given an opportunity to modify the terms of its offer and the opportunity to propose terms on all tracts included in the RFP.

April 15 was the last day for the companies to submit their final and best offers.

On April 16 the Evaluation Committee met to review the final and best offers.

As a result of the evaluation and competitive negotiation process, the Committee determined that Addington, Inc., a subsidiary of Addington Resources, Inc., had submitted the best offer.

Addington, Inc. is recommended for the following reasons:

1. Addington, Inc. has a number of reclamation awards, and the site visit indicated a strong commitment to quality reclamation.
2. Addington, Inc. has demonstrated efficient coal recovery in high ratio coal.
3. University of Kentucky faculty already have research projects involving Addington Resources, Inc.
4. Addington Resources, Inc. has a very strong commitment to research and development (R&D) as indicated by the development of a high wall miner for which a patent is pending.
5. Addington is the only company currently using company-owned high wall mining technology.
6. Addington, Inc. is the only company to propose to lease all tracts included in the RFP.
7. Addington's proposed production royalty rate for all tracts will produce the most royalty income for the University.
8. Addington's minimum annual royalty payments are the highest of all companies.
9. Addington Resources, Inc. is a financially viable corporation.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

Office of the President
April 30, 1991

FCR 13

Members, Board of Trustees:

AGREEMENT WITH ARCH MINERAL CORPORATION

Recommendation: that the University's Administration be authorized to enter into the attached Agreement with Arch Mineral Corporation for the purposes of resolving all current issues and disputes between the University and Arch and to establish a basis for cooperating on future questions which may arise by reason of Arch's mining activities.

Background: Arch owns or leases surface and mineral properties on the periphery of the 10,000 contiguous acres of UK's Robinson Forest, which properties drain naturally into the Clemens Fork watershed at the Robinson Forest. The Natural Resources and Environmental Protection Cabinet in Lands Unsuitable for Mining Order and Opinion 90-1, issued in February 1991, declared the contiguous acres of Robinson Forest unsuitable for surface coal mining. The Cabinet decided that Arch's properties, and other privately held properties, on the periphery of the Robinson Forest were suitable for surface coal mining with certain limitations. Arch has applied for a permit to mine one of these properties, known as the Bush Heirs/Hazard Coal tract, which is now pending before the Department of Surface Mining, Reclamation and Enforcement.

President Charles T. Wethington, Jr. and Mr. R. E. Samples, Chairman and Chief Executive Officer of Arch Mineral Corporation, have discussed on several occasions issues relating to the mining of Arch's properties and the Robinson Forest. As a result of those discussions, President Wethington and Mr. Samples directed staff to attempt to work out a detailed resolution of the issues. Staff of Arch and the University have met and reviewed all issues in dispute before the parties.

The staff has reconciled the differences which remain in the permitting action and has agreed in principle to a plan of mining on Arch's property which will not unduly restrict or adversely affect the management and utilization of the Robinson Forest for the University's research and instruction purposes.

The Agreement proposed jointly by Arch and University staff will provide Arch with the opportunity to mine the Bush Heirs/Hazard Coal tract and the University the opportunity to gain control of a number of privately owned areas on the periphery of the contiguous acres of the Robinson Forest, thus protecting the Forest from future actions by private land owners.

(2)

As part of said Agreement, Arch will agree to:

1. Deed to UK its 45% interest in 24 acres known as the "Goff Heirs" tract located at the edge of the Forest.
2. Sublease to the University its coal lease to the remaining 55% of the "Goff Heirs" tract.
3. Deed to UK its surface rights to the 98 acre tract known as the "Deaton Tract" located within the Forest boundary.
4. Ask the Bush Heirs to give their surface rights to the University upon conclusion of mining and reclamation by Arch.
5. Lease from UK at a production royalty rate of \$1.29 per ton, the coal reserves on the 4.27 acre "Twin Peaks" area immediately north of the Bush Heirs/Hazard Coal tract, all of which is contained in Arch's mining permit application.
6. Maintain a setback of 15 feet from UK's property line on its mining on the Bush Heirs/Hazard Coal tract.
7. Assist UK in studying the ground and surface water hydrology of Clemons Fork watershed by constructing monitoring stations at sites determined jointly by the University and Arch.
8. Cooperate with the University for additional research and instructional purposes.

As part of the Agreement, the University will:

1. Withdraw objections to the issuance of Arch's permit to mine the Bush Heirs/Hazard Coal tract inasmuch as the Cabinet for Natural Resources has committed to extra protective measures to insure that Arch's current permit application will protect the Forest from any damage which might be caused by mining adjacent properties.
2. No longer claim that the area adjacent to Arch's permit is used for public recreational use and therefore does not qualify as a "public park."
3. Assist Arch as it negotiates with the Cabinet of Natural Resources to determine the proper scope of remaining archaeological investigations on the permit area.
4. Agree to Arch's restoration of the University's surface on the Hazard Coal tract and the Twin Peaks area to woodland forest and wildlife habitat.
5. Withdraw its litigation against the Cabinet concerning the Cabinet's decision about backfilling Arch's existing highwall adjacent to the Forest.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: April 30, 1991

AGREEMENT

AN AGREEMENT by and between ARCH MINERAL CORPORATION, for itself as agent on behalf of its wholly-owned subsidiaries, Arch on the North Fork, Inc. and Ark Land Company (hereinafter "Arch") and THE UNIVERSITY OF KENTUCKY, an agency of the Commonwealth of Kentucky (hereinafter "UK" or the "University").

WHEREAS, the University owns approximately 10,449 contiguous acres of property located in Breathitt and Knott Counties known commonly as the Robinson Forest; and

WHEREAS, Arch owns in fee or under lease surface and mineral properties on the periphery of the Robinson Forest, which properties drain naturally into the Clemons Fork watershed at the Robinson Forest; and

WHEREAS, three environmental groups brought an administrative proceeding before the Natural Resources and Environmental Protection Cabinet, Lands Unsuitable for Mining Petition 90-1 for the purpose of declaring the Robinson Forest and Arch's properties adjacent thereto as unsuitable for surface coal mining operations, and the University intervened in that proceeding to declare the Clemons Fork watershed unsuitable for mining; and

WHEREAS, the Natural Resources and Environmental Protection Cabinet in Lands Unsuitable for Mining Order and Opinion 90-1 issued February 15, 1991 declared the Robinson Forest unsuitable for surface coal mining, but declared that Arch's properties on the periphery of the Robinson Forest were suitable for surface coal mining with certain limitations; and

WHEREAS, Arch has applied for a permit to mine certain properties known as the Bush Heirs and Hazard Coal tracts under Permit No. 813-0167 which is now pending before the Department for Surface Mining, Reclamation and Enforcement (hereinafter "DSMRE"); and

WHEREAS, representatives of Arch and UK have met and have reviewed all issues in dispute between the parties, have reconciled the differences which remain in the permitting action and have agreed in principle to a plan of mining on Arch's property covered by Permit No. 813-0167 which will not unduly restrict or adversely affect the management and utilization of the Robinson Forest for the University's research and instructional purposes;

THEREFORE, the parties agree to undertake the following actions for purposes of resolving all current issues and disputes between them and to establish a basis for cooperating on future questions which may arise by reason of Arch's mining activities.

I. Property.

A. "Twin Peaks". An area encompassing approximately 4.27 acres exists adjacent to and immediately north of the Hazard Coal Company tract contained in Arch's mining permit application. The 4.27 acre tract is completely surrounded by other properties owned by or leased to Arch. Arch concedes that the University owns the surface of this property both by conveyance and occupation of the property. The University also claims title to all coal and other minerals beneath the surface of its property. Likewise, Arch in good faith believes that it is the owner of the mineral pursuant to a lease from a third party. The parties have exchanged information regarding each other's claim to the mineral and have agreed that it would be impossible to resolve the disputed ownership in the absence of a civil action.

For the purposes of avoiding any further legal action to quiet title to this area, Arch agrees to lease the "Twin Peaks" area from UK substantially on the terms attached hereto as Exhibit A. The net consideration for the lease has been determined by deducting the value of two

tracts, identified in this Section as items B and C, which will be transferred to UK and other good and valuable consideration from the gross royalties that otherwise might have been received by UK. The parties agree that the net royalty per ton resulting from this determination shall be \$1.29 per ton.

UK and Arch authorize one another to review all documents and legal opinions now in each party's possession pertaining to claims of ownership of this area. UK further agrees to defend with Arch any action which may be brought to quiet title or otherwise challenge ownership of this area brought by any third party. Notwithstanding this obligation, however, Arch agrees to bear all damages which may be awarded to any third party bringing such an action.

Arch's obligation to pay the royalty to UK specified in this paragraph is unconditional and shall not be subject to setoff for claims of any other claimant or holder of superior title to this property. In the event, however, that Arch is not granted a permit to mine this property or if the permit is issued with restrictions which make the development of this area uneconomical in Arch's opinion, its obligation to pay the royalties specified herein shall be rendered void.

B. Goff Heirs Tract. An area of property encompassing approximately 24 acres known as the "Goff Heirs" tract is located on the Buckhorn ridgeline at the head of Little Millseat Branch and to the southwest of the area under permit application by Arch. Arch presently owns an undivided 45 percent interest in the fee simple of this property. It holds a lease to the remaining 55 percent of this property from the fee owners. In consideration for the resolution of all issues contemplated by this Agreement, Arch agrees to convey to UK its 45 percent undivided interest in that portion

of the property within the Clemons Fork watershed by quit claim deed in a form substantially similar to that contained in Exhibit B hereto. Arch further agrees, at the option of UK, either to sublease to UK that portion of the Goff Heirs tract within the Clemons Fork watershed or to surrender its lease, whichever the University may direct.

The parties hereto agree that it is the University's intention to acquire full ownership of the property so that the University may restrict any mining or surface activity which the University deems incompatible with its use and ownership of the Robinson Forest. To that end, Arch agrees to cooperate with the University in securing the remaining 55 percent of the property within the Clemons Fork watershed. Arch presently has paid to the owners of the Goff Heirs tract \$137,000 in advance royalties as provided by its lease on this and other tracts leased by the Goff Heirs. Arch agrees to forego the recoupment of these advance royalties in an effort to assist the University in securing this property. The parties agree, however, that Arch shall be under no obligation to make any further payment to the Goff Heirs on behalf of the University in its effort to secure the property.

C. Deaton Tract. Arch Mineral owns 98 acres of surface property known as the "Deaton Tract". Arch agrees to convey all right, title and interest to this property to UK in a quit claim deed substantially in the form found in Exhibit B hereto.

D. Bush Heirs Tract. Arch owns under lease the surface and mineral in approximately 45 acres of property known as the "Bush Heirs Tract". This property is encompassed in Arch's mining permit application and constitutes approximately half of the area which Arch will mine upon issuance of a permit in a form satisfactory to the company. Upon conclusion of mining

and reclamation, the control of the surface of this property under the terms of its lease will revert to the Lessors. Arch agrees to request that the Bush Heirs donate the surface of this property to UK upon conclusion of mining and reclamation.

The University understands that a deeper seam of coal which will not be mined in the current plan of mining exists beneath this property. The University agrees to accept any donation of surface which the Bush Heirs may offer with acknowledgment of appropriate and necessary rights of the owners to mine and develop their deep coal in the future with limited disturbance to the surface in the course of that mining. Such a right to make limited use of the surface in conjunction with underground mining is acceptable to the University. Arch will use reasonable efforts to secure this property for the University and the University will work with Arch and the Lessors to acquire the property. The parties hereto agree that Arch shall not be required to expend any further sums for the purposes of acquiring this tract.

II. Permitting Issues.

A. Permit. There is currently pending before the DSMRE Arch's Permit Application No. 813-0167. UK has previously filed objections on numerous grounds to this permit application. The agency's decision to issue or deny the permit is pending resolution of questions pertaining to archaeological investigations as further explained in paragraph B of this section. In an effort to assist Arch in securing its permit and in satisfaction of all issues contemplated by this Agreement, UK agrees to withdraw all objections to the issuance of this permit which it previously has submitted to the state regulatory authority. The University agrees to submit

a letter to this effect. This letter will include a statement that the University no longer claims that the area of the Robinson Forest immediately adjacent to Permit Application No. 813-0167 is dedicated to or designated for public recreational use, and thus does not qualify for the special 300 foot setback reserved for "public parks" as provided by 405 KAR Chapter 24.

In addition, UK agrees not to disturb or challenge any findings or conclusions which the regulatory authority has heretofore made regarding this permit application. UK further agrees that it will not challenge the issuance, except as requested by Arch, of the final permit issued by the agency.

Arch agrees to maintain a setback of 15 feet measured laterally from the University's property line outward over Arch's property encompassed within its mining permit application. The purpose of maintaining this 15 foot zone of non-disturbance is to minimize any erosion which may occur to the University's property and to maintain the integrity of all rooting systems of trees which now exist on the University's property. Arch agrees to do this as part of the comprehensive settlement of all matters between the parties and does not concede that the University's property occupies any special class of property which merits unique protection under applicable surface mining laws.

B. Archaeology. Arch has received communications from the DSMRE that the draft archaeological report detailing the examination and conclusions regarding chert sites on the permit area prepared by Dr. Donald E. Janzen is deficient. The University agrees that it will cooperate with Arch by jointly meeting with the DSMRE and requesting the agency to issue the permit conditionally upon satisfaction of remaining archaeological testing and examination of the site.

Arch agrees that it will retain the University's Program for Cultural Resources Assessment office to assist in completing any additional archaeological work required by DSMRE. The University's Cultural Resources Assessment personnel will work under contract with Arch and in conjunction with Arch's consultant, Dr. Donald E. Janzen. The University agrees to assist Arch as it negotiates with DSMRE to determine the proper scope of final archaeological investigation and mitigation. The parties agree that the archaeological resources study of the permit site should be undertaken as soon as possible so that mining may proceed. Should the DSMRE conclude that the previously identified archaeological sites require avoidance of mining instead of mitigation prior to mining, UK will join with Arch in challenging this determination so that mining may proceed as soon as practicable.

C. Permit Revision. The University agrees to support a revision or amendment of the reclamation plan in Permit Application No. 813-0167 before the DSMRE. Arch will submit this revision after consulting with the University, and the officers and faculty designated by it. The parties agree that the revised reclamation plan which Arch will submit will be substantially in the form originally submitted by Arch in 1990 for the purpose of, 1) restoring UK's surface above the Hazard Coal tract and the Twin Peaks area to a woodland and fish and wildlife habitat without the requirement of achieving the approximate original contour of the property as now contained in the permit application, 2) retaining surface impoundments as authorized by regulation, and 3) diverting all surface run-off from the permit area permanently into the South Quicksand watershed.

III. Enforcement Matters.

A. Pending Actions. There is presently pending before DSMRE on administrative review, #GAH-16668-27 of notice of non-compliance No. 035443 issued against Arch on Permit No. 813-0112 which was initiated by the University in September, 1990. The University agrees to submit to the agency a letter in substantially the form of Exhibit C hereto. It is the intention of the parties that this administrative action against Arch be dismissed. Should this action be pursued, or should additional actions be instituted against Arch arising out the original citizen's complaint filed by the University, on August 6, 1990 the University will consider, but will be under no obligation, to support Arch as an intervening party or amicus in any enforcement action. In addition, UK agrees that it will not testify, or support additional enforcement, against Arch except as required by subpoena.

IV. Miscellaneous Matters.

A. Ground Water Monitoring. For the purposes of assisting the University in evaluating and understanding the ground water hydrology of the Clemons Fork watershed, and the potential effects on that hydrology arising from Arch's mining in the Clemons Fork watershed, Arch agrees to construct ground water monitoring wells. Arch will drill at least two wells, but no more than three, at sites determined jointly by Arch and UK. These sites will be selected jointly by Arch and its consultants and the University. The nature and expense of any ground water monitoring program shall be borne entirely by UK except to the extent that any ground water monitoring may be required of Arch as a condition of its permit. During the time that Arch is actively conducting mining and reclamation operations, the parties agree to share any and all data and evaluations of that data with one another without cost.

B. Surface Water Monitoring. Arch agrees to construct two permanent surface water monitoring stations for purposes of determining the effects of its mining on the Robinson Forest. UK and Arch will construct these stations within the Robinson Forest after consultation with designated officials of the University and in compliance with the sampling requirements of Permit No. 813-0167. Arch will be authorized to enter the University's property for the limited purpose of gathering water samples and conducting all other monitoring functions as required by the conditions of its permit. Such information will be shared with the University at no cost. Likewise, the University may utilize any data gathered from these monitoring stations for any research purpose which it deems appropriate. Any additional data gathered by the University will be provided to Arch at the company's request.

C. Additional Study.

Arch agrees to confer and cooperate with the University for the purposes of making its permitted mine area available to the University, its faculty and officers for additional research and instructional purposes not otherwise stated in this Agreement. Such use of the property for instructional research shall be at no expense to Arch and shall not disrupt the timing or sequence of any mining operations hereafter conducted by Arch.

D. This Agreement shall be executed by appropriate officials of both Arch and the University, but shall not be deemed to be effective and binding upon the University until it is ratified by the University's Board of Trustees.

ARCH MINERAL CORPORATION

By R. E. Samples
R. E. Samples, Chairman and
Chief Executive Officer

THE UNIVERSITY OF KENTUCKY

By Charles T. Wethington, Jr.
Charles T. Wethington, Jr.
President

Office of the President
April 30, 1991

FCR 14

Members, Board of Trustees:

ACCEPTANCE OF GIFT FROM ASHLAND OIL, INC.

Recommendation: that the Board of Trustees accept the gift of \$1 million from Ashland Oil, Inc. to the University of Kentucky for the College of Business and Economics Program for Excellence.

Background: Ashland Oil, Inc. has announced a gift of \$1 million to the College of Business and Economics to be paid over a five year period. The Program for Excellence is an \$8 million fundraising effort initiated by the UK Business Partnership Foundation to match the General Assembly's appropriation to add a major addition to the colleges's building on UK's Lexington Campus and update the existing structure built in the 1960s. A portion of the funds from Ashland Oil, Inc. will be used to complete the building and renovation project. The major part of the funds will be used to purchase equipment to furnish a high technology business information center which will be located on the first floor of the new facility.

Action taken: Approved ☒ Disapproved _____ Other _____

Date: April 30, 1991

Office of the President
April 30, 1991

FLR 15

Members, Board of Trustees:

ACCEPTANCE OF GIFT FROM HUMANA, INC.

Recommendation: that the Board of Trustees accept the gift of \$1 million from Humana, Inc. to the University of Kentucky for the College of Business and Economics Program for Excellence.

Background: Humana, Inc. has announced a gift of \$1 million to the College of Business and Economics to be paid in \$250,000 increments over a four year period. The Program for Excellence is an \$8 million fundraising effort initiated by the UK Business Partnership Foundation to match the General Assembly's appropriation to add a major addition to the colleges's building on UK's Lexington Campus and update the existing structure built in the 1960s. One-half of the funds from Humana, Inc. will be used to purchase furnishings and equipment; the remainder of the gift will be used to support faculty enhancement programs.

Action taken: Approved ☒ Disapproved _____ Other _____

Date: April 30, 1991

Office of the President
April 30, 1991

ICR 1

Members, Board of Trustees:

REPORT OF INVESTMENTS

Recommendation: that the Report of Changes in Investments for the University of Kentucky and its affiliated corporations for the three months ended March 31, 1991 be accepted.

Background: The Report of Changes in Investments is submitted to the Board of Trustees each quarter. The attached report reflects investment transactions for the three months ended March 31, 1991, for the University of Kentucky and affiliated corporations which include UK Athletics Association, The Medical Center Fund, UK Research Foundation, UK Business Partnership Foundation, UK Mining Engineering Foundation, UK Equine Research Foundation, and UK Humanities Foundation.

Action taken: Approved ☒ Disapproved _____ Other _____

Date: April 30, 1991

University of Kentucky
REGULAR ENDOWMENT POOL
Report of Changes in Investments
For the Three Months Ended March 31, 1991

PURCHASES:

<u>Shares</u>	<u>Par Value</u>	<u>Security</u>	<u>Cost</u>
950		Bemis Inc.	\$ 35,701
2,000		Cooper Industries	95,049
2,220		Federal National Mfg	98,518
1,130		General Electric	78,033
900		General Motors	74,250
360		Gulf States Utilities Pfd \$3.85	13,410
800		Gulf States Utilities Pfd \$4.40	32,000
250		Medical Care International	11,813
1,610		Pacific Telesis	65,921
1,870		Pitney Bowes	97,611
1,160		Reuters Holding	57,638
670		San Diego Gas & Electric	29,689
1,160		Stewart & Stevenson	44,936
	\$ 329,049	Thoroughbred Government Obligation - D	329,049
		TOTAL PURCHASES	\$ 1,063,618

University of Kentucky
REGULAR ENDOWMENT POOL
Report of Changes in Investments
For the Three Months Ended March 31, 1991

SALES/MATURITIES:

<u>Shares</u>	<u>Par Value</u>	<u>Security</u>	<u>Proceeds</u>	<u>Gain/(Loss)</u>
357		Alliant Techsystems Inc.	\$ 6,847	\$ 2,548
1,030		BellSouth Corp.	55,168	34,012
760		Biomet	20,975	19,877
1,610		Browning Ferris Industries	37,857	(17,886)
3,061		Burlington Resources	102,873	20,018
550		Community Psychiatric	15,714	1,439
2,170		GTE Corp.	71,158	19,181
360		Gulf States Utilities Pfd \$11.48	45,360	297
2,780		Intel Corp.	133,942	4,236
1,980		MCI Communications	53,309	(20,051)
960		Marion Merrell Dow	35,982	5,287
7,960		Marion Merrell Dow Contingent Value Right	33,182	(39,712)
1,670		McDonalds	49,414	2,240
280		Pacific Telesis	11,761	296
1,470		Penn Central	31,486	15,929
6,357		TRI Contl Corp.	156,886	8,577
2,740		United Telecommunications	67,104	(7,929)
2,040		Westinghouse Electric	54,844	(8,108)
		TOTAL SALES/MATURITIES	\$ 983,862	\$ 40,251

University of Kentucky
FIXED INCOME POOL
Report of Changes in Investments
For the Three Months Ended March 31, 1991

PURCHASES:

<u>Par Value</u>	<u>Security</u>	<u>Cost</u>
\$ 500,000	General Electric CP Corp.	\$ 486,700
1,000,000	U.S. Treasury Notes, 7.250%, 11-15-96	982,031
1,000,000	U.S. Treasury Notes, 8.250%, 11-15-94	1,030,000
2,000,000	U.S. Treasury Notes, 7.875%, 11-15-99	1,997,188
1,000,000	U.S. Treasury Notes, 8.000%, 01-15-97	1,009,844
500,000	U.S. Treasury Notes, 8.500%, 02-15-2000	515,156
500,000	U.S. Treasury Notes, 8.500%, 08-15-95	517,188
500,000	U.S. Treasury Notes, 7.750%, 02-15-2001	489,141
	TOTAL PURCHASES	<u>\$ 7,027,248</u>

SALES/MATURITIES:

<u>Par Value</u>	<u>Security</u>	<u>Proceeds</u>
\$ 1,109,254	Thoroughbred Government Obligation - D	\$ 1,109,254

University of Kentucky
INTERCOLLEGIATE ATHLETICS FUND
 Report of Changes in Investments
 For the Three Months Ended March 31, 1991

PURCHASES:

<u>Par Value</u>	<u>Security</u>	<u>Cost</u>
\$ 27,588	Thoroughbred Government Obligation - D	\$ 27,588
	TOTAL PURCHASES	<u>\$ 27,588</u>

SALES/MATURITIES:

<u>Par Value</u>	<u>Security</u>	<u>Proceeds</u>	<u>Gain/(Loss)</u>
\$ 5,304	Norwest Mtg GNMA Coll Obligation, 12.375%, 07-01-02 (called)	\$ 5,304	\$ 52
355	GMAC 1986-A Grantor Tr, 8.550%, 01-15-91	355	30
	TOTAL SALES/MATURITIES	<u>\$ 5,659</u>	<u>\$ 82</u>

University of Kentucky
MONEY MARKET POOL
Report of Changes in Investments
For the Three Months Ended March 31, 1991

SALES/MATURITIES:

<u>Par Value</u>	<u>Security</u>	<u>Proceeds</u>
\$ 3,997,690	Thoroughbred Government Obligation - D	\$ 3,997,690