

AGENDA

Meeting of the Board of Trustees University of Kentucky 1:00 P.M. September 21, 1999

Invocation

Oath of Office

Roll Call

Approval of Minutes

Nomination of Officers and Executive Committee

President's Report and Action Items

- PR 1 President's Report to the Trustees
- PR 2 Personnel Actions
- PR 3 Central Administration
 - A. Appointment of Board of Directors University of Kentucky Research Foundation
 - B. Approval of Administrative Regulation Regarding Non-Resident Fees Committee
- PR 4 Community College System
 - A. Candidates for Degrees – Community College System
- PR 5 Lexington Campus
 - A. Candidates for Degrees – University System
 - B. Appointment of Dean of Undergraduate Studies Lexington Campus
 - C. Reappointments to University of Kentucky Business Partnership Foundation
 - D. Name of Degree Title
 - E. Center for Aluminum Technology
- PR 6 Medical Center
 - A. Ph.D. in Rehabilitation Sciences
 - B. Consolidated M.S. Program in Medical Sciences

Finance Committee

- 1. Acceptance of Audit Report for the University of Kentucky for 1998-99
- 2. Disposal of Surplus Property
- 3. Approval for Withdrawal from Maintenance Reserve Account
- 4. Report of Leases

5. Approval of Leases
6. Capital Construction Report
7. 1999-2000 Budget Revisions
8. Proposed 2000-01 and 2001-02 Tuition Schedule
9. University of Kentucky Capital Request 2000-2002
10. Special Initiatives Request 2000-2002
11. Equipment Transfer
12. PricewaterhouseCoopers Gift and Pledge
13. Charles E. Schell Foundation Gift and Pledge
14. James W. and Diane V. Stuckert Pledge
15. Acceptance of Gift from McDowell Cancer Foundation of MRISC Building Expansion
16. Authorization to Convey 3.962 Acres at Owensboro Community College to Department of Highways for Right-of-Way Purposes
17. Temporary Property Easement to Bluegrass Regional Mental Health-Mental Retardation Board, Inc. Coldstream Research Campus
18. Resolution of Official Intent of the Board of Trustees of the University of Kentucky to Finance Construction of Educational Buildings Projects from Proceeds of Proposed Issues of University of Kentucky Consolidated Educational Buildings Revenue Bonds or State Property and Buildings Commission Revenue Bonds
19. Resolution of Official Intent of the Board of Trustees of the University of Kentucky to Finance the Construction of Housing and Dining Projects from Proceeds of Proposed Issues of University of Kentucky Housing and Dining System Revenue Bonds

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, September 21, 1999.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, September 21, 1999 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Mr. John "Jack" Guthrie.

B. Oath of Office Administered

Governor Breathitt asked Richard Plymale, General Counsel, to administer the oath of office to the following members:

James H. Glenn III, who will serve as student trustee for the 1999-2000 academic year.

Alice Stevens Sparks, appointed by Governor Paul E. Patton to replace James F. Hardymon, for a term ending June 30, 2005.

Elaine A. Wilson, appointed by Governor Paul E. Patton to replace Martin I. Welenken, for a term ending June 30, 2005.

C. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Ted Bates, Governor Edward T. Breathitt, Mr. Paul W. Chellgren, Mr. James H. Glenn III, Mr. Merwin Grayson, Mr. John "Jack" Guthrie, Professor Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles (Chairman), Dr. Elissa Plattner, Mr. Steven S. Reed, Dr. Daniel R. Reedy, Mr. C. Frank Shoop, Mrs. Marian Sims, Ms. Alice Sparks, Dr. W. Grady Stumbo, Ms. JoEtta Y. Wickliffe, Mr. Billy B. Wilcoxson, Mr. Russell Williams and Ms. Elaine Wilson. The University administration was represented by President Charles T. Wethington, Jr.; Vice Presidents Fitzgerald Bramwell, Joseph T. Burch, Ben W. Carr, Edward A. Carter, George DeBin and Eugene Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs; and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:04 p.m.

D. Approval of Minutes

Governor Breathitt said that Board had received the Minutes and called for a motion to approve them. Dr. Plattner moved that the Board approve the Minutes. Mr. Shoop seconded the motion, and it carried. The June 8, 1999 and June 29, 1999 Minutes were approved.

E. Nomination of Officers and Executive Committee

Under the Governing Regulations, officers of the Board and the Executive Committee are elected annually at the September meeting. Governor Breathitt called upon Mr. Wilcoxson, chairperson of the Nominating Committee, for its report.

On behalf of the Nominating Committee, Mr. Wilcoxson said he was pleased to submit the report for the slate of officers for 1999-2000. He placed the following slate of officers in nomination taking into consideration that there are many members of the Board that would qualify for any of the positions:

Paul Chellgren, Chairperson - Mr. Chellgren has been chairman of the Board and CEO of Ashland Inc. since 1997. However, he began his love and leadership of the University of Kentucky as the Student Congress President in 1963. Since then he has continued to show commitment to UK and its development toward greatness. Paul earned a B.S. from UK College of Business where he was inducted into the College of Business and Economics Hall of Fame in 1995. He earned an MBA from Harvard University and received a Diploma in Development Economics from Oxford University of Oxford England. He is currently Director of Arch Coal Inc., PNC Bank, Medtronic Inc., Centre College, and American Petroleum Institute. He is a lifetime member of the Alumni Association, a UK Fellow and has never ceased being that amazingly faithful supporter of the University of Kentucky and the Alumni Association. The University has been and continues to be grateful for his dedication and financial generosity and undying commitment.

Merwin Grayson, Vice Chairperson - Mr. Grayson is the Regional President of the Huntington National Bank and is responsible for operations of the Greater Cincinnati/Northern Kentucky, Dayton, Franklin and Springfield offices of the Huntington National Bank. He earned his B.S. in Finance from the UK College of Commerce. His graduate studies were at Xavier University and Stonier University School of Banking Trustees. For 18 years he served St. Elizabeth Medical Center as a Board member and as Chairman of the Board of Trustees and Executive Committee. He received the Northern Kentucky University Foundation's 1989 Distinguished Public Service Award. He also received the UK College of Business and Economics Hall of Fame Award in 1998. He has served for two years as the UK Board's secretary. He is a UK Fellow. Mr. Grayson has been lauded much for his community service involvement and received many awards but nearest to his heart is his devotion and generosity to the University of Kentucky.

James Glenn III – Secretary - Mr. Glenn is a Junior from Owensboro, Kentucky. He is a Civil Engineering major and is a 1997 graduate from Apollo High School. His activities include President of the Student Government Association, former Vice President of Student Activities Board, and Student Development Council. His memberships are Phi Kappa Psi social fraternity and Alpha Phi Omega co-ed fraternity. What is impressive are his awards and honors. They include the Mayor's Distinguished Citizen's Award, UK Outstanding Freshman, William Parker Scholar, and Kentucky Transportation

Cabinet Scholar. Most of all, he is a UK Ambassador. These Ambassadors are carefully selected students through keen competition who are spokespersons for UK both on and off campus. The Ambassadors are very special students and role models.

Governor Breathitt said that the Board had heard the report from the Nominating Committee and asked for any other nominations for Chairperson, Vice Chairperson and Secretary of the Board.

Ms. Wickliffe placed the name of Billy Joe Miles for Chairperson of the Board. She said that the Nominating Committee had never had bio's on their nominations before, but she certainly appreciated it. She said she did not come prepared with bio's, but she can assure the Board that Mr. Miles' bio is equal to Mr. Chellgren's. She said she was happy and honored to enter his name in the nomination.

Governor Breathitt said that Mr. Miles was nominated for Chairperson of the Board. He called for nominations for other officers on the Board.

Mr. Williams said that he would like to nominate Steven Reed as Vice Chairperson of the Board. He said that he did not come prepared with a biography of Mr. Reed, but his experience with him over the last several months has shown him to be a person of deep devotion to the institution.

Governor Breathitt called for other nominations for Secretary.

Dr. Mather said that he would like to nominate Dan Reedy as secretary. He said that he believed that Dr. Reedy is well known to this body, is a distinguished member of the faculty, and former Dean of the Graduate School. He was head of the "Reedy" Task Force that helped the Board identify the areas of emphasis in graduate education.

Governor Breathitt said the Board now had for the first time, maybe in its history, a choice for all three of the officers. It is up to the Board how to do this. He said it could be done the traditional way with the Board voting on adopting or not adopting the report of the Nominating Committee. The Board would have to vote aye or no. If that fails, then the Board would go to the other group of officers and vote on it as a group of officers or individually. It is the pleasure of the Board. He called on the Chairperson of the Nominating Committee for a motion.

Mr. Wilcoxson said he would move that the Board act on the Nominating Committee report first. Governor Breathitt asked if everybody understood the motion. He called for a second to the motion, and Mr. Bates seconded the motion.

Dr. Mather asked Governor Breathitt to clarify Mr. Wilcoxson's motion. Is it essentially whether we accept the report?

Governor Breathitt said that the motion is the report of the Nominating Committee. He explained that if there are 11 or more aye's those people are elected. If that motion fails, then the

Board would go to the other slate of nominations. He emphasized that it is up to the Board as to how it wants to vote.

Ms. Sparks asked, "What might the non-traditional way be."

Governor Breathitt replied, "Whatever this Board wants to say. I am not going to tell this Board how to conduct an election. That is up to this Board."

Mr. Guthrie said, "Just take each office that you stated and vote for each one. That would be one other option."

Governor Breathitt said, "That is one of the ways to do it."

Dr. Mather said he was a little mystified with the motion. Many times in a group you will hear a report from a Nominating Committee and either accept it or reject it. After that you take additional nominations. I have not seen a case where you accept the Nominating Committee report as a motion. That basically constitutes an election. It has in a sense in the past because the Board has not had additional nominations.

Governor Breathitt said he thought it was important for everybody to know what the choices are before anybody takes a vote.

Dr. Mather said that is not the question.

Governor Breathitt said he would be glad to entertain a motion as to procedure. He said that he intended to do what the Board wants to do in the way the election is conducted.

Mr. Shoop made a suggestion. He said, "I think what we don't need is probably more controversy on how the vote's taken. I would like to make a motion that we call the office such as Chair of the Board, let the roll call be taken, and those people either respond for Mr. Chellgren or Mr. Miles and those votes be tabulated as the winner of that position."

Governor Breathitt said there was already a motion on the floor.

Mr. Wilcoxson said he simply made the motion that the Board consider the nominations from the Nominating Committee. He has served on the Board for many years, and that is what has always been done. As a member of the Nominating Committee, he felt the Board should vote on the Committee's slate of officers.

Governor Breathitt asked, what would happen if the Board votes "aye" on this motion and those three officers are elected? If the Board votes "no" then the Board would shift to the other officers for a vote. He said, "If you have got the votes, it doesn't matter."

Mr. Shoop said that he doesn't see that it is a problem, and he seconded the motion.

Governor Breathitt said this is the first time the Board has had candidates and a choice for every office. He said he thought it would be fair to let the two candidates for Chairperson have a chance to say a word in their own behalf. He called on Mr. Miles. He said that it was not KET, and there would not be a debate. This will let the University family, the media, and the people of Kentucky know what each of the candidates think about their qualifications to serve.

Mr. Miles said, "Mr. Chairman, I didn't really come prepared to make a long speech and really don't intend to. I guess my objective in this is that -- it almost goes back -- it's ironic wherein the University of Kentucky by far the highest intellectual education place in the state. What I'm really running for is something we learned in kindergarten and that is to share and make everyone equal as Board members. And that's really what my candidacy is about."

Governor Breathitt thanked Mr. Miles and called upon Mr. Chellgren.

Mr. Chellgren said, "Thank you Governor. I must say that I am pleased and honored and thank the Nominating Committee for putting my name in consideration. Clearly in a few moments we will have a chance to vote publicly on the two candidates, and I know each of you as fiduciaries of this institution need to exercise your best judgment as to what's in the best interest of this institution.

"There are two nominees. I would hope you would consider what the Chair of this Board does in terms of leadership, governance, and representation of this institution. I would hope you would consider the challenges this Board has in terms of its multi-hundred million dollar fundraising campaign that we have in process. Obviously, over the next year or two we are going to be initiating a presidential search as well.

"I think it is important that we all keep in mind that this is not a vote on President Wethington. He has a contract which this Board approved unanimously a few months ago. I certainly would not entertain revisiting or reopening that contract discussion. Secondly, it is not a vote on Governor Breathitt. He has given this Board seven distinguished years of Chair. He's not running for the Chair either. It is between the two nominees.

"I just learned a few days ago, four days ago actually, that I was the likely nominee of the nominating committee. I have not had much time to campaign. I haven't stated in the press what I think the vote is going to be. I haven't stated in the press how long I would consider serving. I haven't stated in the press who should succeed me. I talked to many of you. I know many of you have made commitments publicly and privately. But now it is going to be time to vote and I would hope you vote in the best interest as a fiduciary.

"You know my background or some of you do. I have had the honor of serving with all but three of you on this Board for at least a year. The three new Trustees, I have not had the opportunity to serve with you and I really don't know particularly the three new Trustees at all well. I think there are though some differences between the candidates. And I don't want to highlight this, but there are a few distinctions and differences, I think. Obviously, I am a graduate of the University. All five of my brothers and sisters attended this university and lots of depth going back almost 40 years. I have been very active as a graduate, clearly in the Alumni

Association and various other activities. I am a contributor to this university and have been for decades. I became a Fellow in 1982. I have played numerous roles in financially supporting this institution and in supporting the institution in a number of ways. I have always felt that it is hard to raise money for the institution and make the ask if you are not a supporter. I'm obviously a member of the UK Fellows as well. I have some relevant experience in the area of higher education governance. I was a member of the faculty of the University of Missouri at one point, a lecturer, been involved in various organizations, particularly on a national level in terms of higher education.

"In terms as what I would do as Chair. It would be open. It would be non-political, very non-political. It would be inclusive. It would be sharing. This Board would be perhaps more engaged and involved in some policy judgments that this institution must make than it historically has been. But my vision for the institution would be very much supportive of the existing Chief Executive and the Chief Executive to be. And it would be an inclusive, hopefully an activity of recognizing what's a non-executive Chair. I think I have some understanding of the role -- a non-executive Chair as opposed to an executive Chair.

"With that in closing, we are all going to take a public vote. There are just two candidates. One of whom has just been considering running for this office for four days although I certainly have expressed a willingness to serve if this Board calls upon me to serve. We all have to make a choice. This is fiduciary. We all have to weigh the candidates and make a decision, make a vote, and live with our decisions. That's all I have to say Mr. Chairman."

Governor Breathitt asked if anyone else had anything to say. He stated, "You are voting to adopt the report of the Nominating Committee. That means if you vote "aye" you are voting for Chellgren, Grayson and Glenn. If you are proposing to vote for the other candidates that are named, you should vote "no". He then called on Mr. Plymale, Assistant Secretary, to call the roll.

Mr. Guthrie asked for clarification. "While this would be voted as a slate, then I am assuming if this particular motion, if the no's prevail, then the other slate will be those that are elected."

Governor Breathitt replied, "Unless somebody wants to do it a different way."

Ms. Wickliffe said, "In approving the Nominating Committee's report, we are voting to elect those three."

Mr. Bates asked, "What happens if there is a tie -- 10 to 10?"

Governor Breathitt said if there is a 10 to 10 vote the Board would keep voting until it got somebody elected.

He asked Mr. Plymale to please call the roll.

	<u>Aye</u>	<u>No</u>
Ted Bates	X	
Edward Breathitt	X	
Paul Chellgren	X	
James Glenn	X	
Merwin Grayson	X	
Jack Guthrie	X	
Loys Mather		X
Robert Meriwether	X	
Billy Joe Miles		X
Elissa Plattner		X
Steve Reed		X
Dan Reedy		X
Frank Shoop		X
Marian Sims	X	
Alice Sparks		X
Grady Stumbo		X
JoEtta Wickliffe		X
Billy Wilcoxson	X	
Russ Williams		X
Elaine Wilson		X

Mr. Plymale reported that the "no's" have it eleven to nine.

Governor Breathitt asked if everyone agreed with the count. He declared that the report of the Nominating Committee failed by a vote of eleven to nine. He then asked if the Board wanted to vote as a group on the other three nominees or vote them individually.

Mrs. Wickliffe moved that the Board elect Miles, Reed, and Reedy as a slate. Dr. Stumbo seconded the motion. Governor Breathitt asked Mr. Plymale to call the roll.

	<u>Aye</u>	<u>No</u>
Ted Bates		X
Edward Breathitt		X
Paul Chellgren		X
James Glenn		X
Merwin Grayson		X
Jack Guthrie		X
Loys Mather	X	
Robert Meriwether		X
Billy Joe Miles	X	
Elissa Plattner	X	
Steve Reed	X	
Dan Reedy	X	
Frank Shoop	X	
Marian Sims		X
Alice Sparks	X	
Grady Stumbo	X	
JoEtta Wickliffe	X	
Billy Wilcoxson		X
Russ Williams	X	
Elaine Wilson	X	

Mr. Plymale reported that the "aye's " have it eleven to nine.

Governor Breathitt asked if everyone agreed with the eleven to nine vote.

Governor Breathitt said this vote is for the motion that they be voted as a slate and called for a second to that motion. Dr. Stumbo seconded the motion. He explained that those in favor of that motion which would then elect the slate that has been nominated by Mrs. Wickliffe should vote "aye". If you oppose vote "no". He asked Mr. Plymale to call the roll.

	<u>Aye</u>	<u>No</u>
Ted Bates		X
Edward Breathitt		X
Paul Chellgren		X
James Glenn		X
Merwin Grayson		X
Jack Guthrie		X
Loys Mather	X	
Robert Meriwether		X
Billy Joe Miles	X	
Elissa Plattner	X	
Steve Reed	X	
Dan Reedy	X	
Frank Shoop	X	
Marian Sims		X
Alice Sparks	X	
Grady Stumbo	X	
JoEtta Wickliffe	X	
Billy Wilcoxson		X
Russ Williams	X	
Elaine Wilson	X	

Mr. Plymale reported that the "aye's" have it eleven to nine.

Governor Breathitt said that the three officers were elected. He asked Mr. Miles to please come forward and conduct the meeting.

Mr. Miles said the Board has to be 20 people together. He said that everyone in the group has to get the University to meet or exceed all the goals that any of them have ever seen. He said his goal was to make the University not the top 20 but the best university in the whole world. Mr. Miles received a round of applause.

Mr. Miles said that the background that he came from is a lot more humble than Mr. Chellgren. He encouraged the Board's support. He said he did not want to be Chairman. He wanted to be one of the Trustees. He said that he really wanted the Board's support to make this what it can be. Before the meeting adjourns, the Board has to recognize Governor Breathitt. No one in the room or the state has done more and loves the University more than Governor Breathitt.

Mr. Wilcoxson continued his report from the Nominating Committee. On behalf of the Nominating Committee, he placed the following slate of Executive Committee members for 1999-2000 in nomination:

James Glenn III
Marian Sims
Elaine Wilson
Alice Sparks
Billy Joe Miles, Chairperson

Mr. Shoop seconded the motion.

Mr. Miles asked for any other discussion.

Dr. Mather asked Mr. Miles if the Board was going to take additional nominations.

Mr. Miles asked if Dr. Mather wanted additional nominations. He said the floor was open for additional nominations.

Dr. Reedy said that he would like to suggest some nominees in keeping with the approach that the Board used in open nominations for the other position. He nominated the following individuals:

Ted Bates
Paul Chellgren
Elissa Plattner, Chairperson
Grady Stumbo
JoEtta Wickliffe

Mr. Wilcoxson said that he thought that was too many. He said the Chairperson is one and the Executive Committee is comprised of five people.

President Wethington said there were five members on the Executive Committee plus the secretary serves as an ex officio member which would make six.

Mr. Chellgren asked Mr. Miles if the new Chair envisioned a different role with the Executive Committee. He said that the Executive Committee has met once in the seven years that he has been on the Board and asked if this was going to change.

Mr. Miles said that he thought it would change. The Executive Committee would be a very important committee. He said he envisioned the Executive Committee being exactly what it says. It will be the people as we look to the future of the University. He thinks that was one of the reasons that Mr. Chellgren was placed on that. It is the vision of a broad base and as we look to the future -- I think the Executive Committee has to function. I know it hasn't functioned in the past, and I think that is one of the problems that I see. I think these committees need to be active.

Governor Breathitt said that he had not intended to say anything but the reason for the Executive Committee not functioning is that it is exclusionary. The Board has operated as a committee of the whole. Every member had an equal voice in running the Board without a little star chamber Executive Committee. He said he operated under one of those one time. He asked to be taken off the Board and put back on the Council on Public Education because the Executive Committee ran it all. The rest of the Board had nothing to say about what went on.

Mr. Miles asked if the Executive Committee does not have a function, why was there an election. The Board could name fictitious names, and they would serve the purpose.

Governor Breathitt replied that he was just telling Mr. Miles why the Board has preferred to operate as a committee of the whole unless designated by the full Board to do some ministerial act. The Executive Committee acted one time in seven years, and they had the solid support of the Board. He said it wasn't his idea. It came from the Board, and he just wanted Mr. Miles to think about that.

Mr. Guthrie said since the Board has a new Chair that he would think that the Chair would have the option whether this should be a vote today or whether he would like to table this until the next meeting and then elect the Executive Committee.

Mr. Miles said that there was a motion on the floor, and the Board had to honor the motion.

President Wethington said he could not discuss the motion, but the Governing Regulations indicate that the election for both the Officers and the Executive Committee must occur at the September Board meeting each year.

Mr. Miles said as Chairman he intended for the committees to function on their own and to let the committees judge whether it is relevant or not that they meet and what they discuss. It will be up to the chairman and the committee as to how they will function.

Mr. Chellgren said if Mr. Miles wants the Executive Committee to function on its own, he did not want his name to be placed in nomination. He said that he did not want to function separately from the Board.

Dr. Mather said he would like to clarify for Mr. Chellgren. The notice in the Governing Regulations state that the Executive Committee only deals with those things that the Board assigns to it.

Mr. Wilcoxson stated that he did not think the Board should meet all afternoon. He said that he had a motion coming out of the Nominating Committee on the floor and asked the Board to act responsibly as a Board on the Committee's nomination. He said he was doing his job as Chairman of the Nominating Committee, and he would like for his nomination to be either acted on or turned down. If somebody else wants to put forth another slate, that's fine.

Mr. Wilcoxson was asked to repeat the slate from the Nominating Committee, and he read the following names:

James Glenn III
Marian Sims
Elaine Wilson
Alice Sparks
Billy Joe Miles, Chairman

Mr. Miles called for a vote and asked those in favor of the slate named by Mr. Wilcoxson to hold their right hand up. Dr. Reedy reported there were eleven in favor and nine opposed. Mr. Miles said it was obvious that the motion carried.

President Wethington asked that the individual votes be taken for the record since there had been a question recently in the legal arena.

Mr. Plymale concurred that it would be safer to have the individual votes recorded.

Mr. Miles asked Dr. Reedy to call the roll. All of those in favor of the motion from the Nominating Committee vote "aye".

	<u>Aye</u>	<u>No</u>	<u>Abstain</u>
Ted Bates	X		
Edward Breathitt	X		
Paul Chellgren	X		
James Glenn	X		
Merwin Grayson	X		
Jack Guthrie	X		
Loys Mather		X	
Robert Meriwether	X		
Billy Joe Miles		X	
Elissa Plattner		X	
Steve Reed		X	
Dan Reedy		X	
Frank Shoop	X		
Marian Sims	X		
Alice Sparks	X		
Grady Stumbo		X	
JoEtta Wickliffe		X	
Billy Wilcoxson	X		
Russ Williams		X	
Elaine Wilson			X

Dr. Reedy reported the motion passed eleven to eight with one abstention.

Mr. Bates said that he would like to say a word about Governor Breathitt. They are things we all know, but they need to be said. In the last seven years, the Board has had a statesman to lead it. He is one of those rare Board Chairmen that will be remembered from generation to generation in the history of the University of Kentucky. He had fine experience before this Board as a prior Governor, and it showed in the way that he carried on business. He always put the University of Kentucky as number one priority after God and family. He always sought with foresight the highest and best road for the University of Kentucky to try. He made numerous friends, countless friends, for this university. His high record and dedication are stamped in time.

Governor Breathitt received a round of applause, and Mrs. Sims presented him with a gift. Governor Breathitt thanked the Board.

Dr. Mather said he would like to make a brief comment. He seconded the very fine remarks that Mr. Bates offered in Governor Breathitt's behalf. In addition, one of the things the faculty most treasured about Governor Breathitt's leadership is the fact that he was so visible on campus. Governor Breathitt was not an invisible Chair. He was on campus for many campus events to talk with faculty and converse with them, and it meant a lot as the University would have an event, a celebration, or an opening. Chairman Breathitt was often in the midst of it. He thanked Governor Breathitt for his leadership and love for the institution.

Governor Breathitt said that he loved the institution and as long as he lived he would support it.

F. Joan McCauley and Frank Derbyshire Remembered

Before beginning PR 1, President Wethington reported that the University family and community had two deaths since the last Board meeting. These deaths represent great losses to the institution. The Board may have known both of the individuals. One individual was Joan McCauley who was the university's chief budget officer and worked for Mr. Carter. She attended the Board meetings and was a fantastic staff person for the University of Kentucky. The second person was Frank Derbyshire. He headed the Center for Applied Energy Research and did a wonderful job in bringing that Energy Lab up to a new level of prominence in the University of Kentucky. These were untimely deaths for both of these individuals who were in their prime in terms of their administrative careers. He asked the Board to pause for a moment of silence.

G. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. The University of Kentucky continues to commemorate the 50 years of African Americans on campus. The celebration was highlighted by Archbishop Tutu's visit to the University of Kentucky on September 16th. He noted also that the University had met some enrollment goals that seemed insurmountable a few years back in terms of attraction of African American students to the campus.

2. The University's first concentrated effort to increase awareness of UK across the country began September 4th. The campaign includes television, radio, newspaper, and consumer magazine advertising. These are a part of carrying out the Strategic Plan of the institution and moving the University toward top 20 status.
3. Robert E. Miller, Vice President of Communications and Governmental Affairs at East Kentucky Power Cooperative, Winchester, Kentucky, was elected president of the UK Alumni Association for 1999-2000.
4. UK is listed as a Top-100 Degree Producer for African Americans who receive doctoral degrees across the country.
5. The UK College of Law is ranked among the nation's most friendly to women in a report published by Penguin Books of New York. The University has made major progress across the University, and the law school's recognition is a good example of that.
6. UK Hospital is ranked in the top 50 in the country in cancer, urology and gynecology care in *U.S. News & World Report's* 10th annual guide to *American's Best Hospitals*. This is excellent recognition for the UK Hospital and the clinical care program.

President Wethington asked the Board to read the other items in the report at their leisure.

H. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mr. Williams moved approval. The motion, seconded by Mr. Chellgren, carried. (See PR 2 at the end of the Minutes.)

I. Appointment/Reappointment of Board of Directors University of Kentucky Research Foundation (PR 3A)

President Wethington said that PR 3A is a recommendation that approval be given to the appointment of Dr. Robert Haddon who is a faculty member in Chemistry and the reappointment of Mr. Henry Jackson as a public member to the Board of Directors of the University of Kentucky Research Foundation for three year terms beginning July 1, 1999 and ending June 30, 2002. He said he was pleased to recommend approval of PR 3A. Ms. Sparks moved approval. Mr. Guthrie seconded the motion, and it passed. (See PR 3A at the end of the Minutes.)

J. Approval of Administrative Regulation Regarding Non-resident Fees Committee (PR 3B)

President Wethington said that PR 3B is the delegation for the approval of non-resident fees. It moves the delegation to the University from an appeal process that took appeals to Frankfort. The Council on Postsecondary education promulgated a regulation relating to the determination of residency. PR 3B is a revision of the University of Kentucky's present regulation, and it will specify that the University of Kentucky is to take final action in regard to determining whether a student is a resident or not for fee purposes. He said he was pleased to recommend approval of PR 3B. Dr. Reedy moved approval. The motion was seconded by Mr. Chellgren and carried. (See PR 3B at the end of the Minutes.)

K. Candidates for Degrees – Community College System (PR 4A)

President Wethington said that PR 4A is the recommendation for degrees from the Community College System. He reminded the Board that the University of Kentucky will be awarding degrees to the community college students for the next three or four years as students currently enrolled finish their degree work in the community colleges. He said he was pleased to recommend that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed. Ms. Wickliffe moved approval. Mr. Reed seconded the motion, and it passed. (See PR 4A at the end of the Minutes.)

L. Candidates for Degrees – University System (PR 5A)

President Wethington said that PR 5A is a companion document for degrees for students enrolled in Lexington. The recommendation is that the President be authorized to confer upon each individual whose name appears on the list the degree to which he/she is entitled, upon certification by the Registrar and approval by the University Senate that their requirements have been satisfactorily completed. He said he was pleased to recommend approval of PR 5A. Ms. Wilson moved approval of PR 5A. Her motion, seconded by Mr. Williams, carried. (See PR 5A at the end of the Minutes.)

M. Appointment of Dean of Undergraduate Studies – Lexington Campus (PR 5B)

President Wethington said the recommendation is that Dr. Philipp J. Kraemer be named Dean of Undergraduate Studies, effective August 1, 1999. Dr. Kraemer has been a member and chair of the Department of Psychology. He is recommended to succeed Dr. Louis Swift who served admirably in this role for several years and stepped down from that role during the last year. He said he was pleased to recommend approval of PR 5B. Mr. Guthrie moved approval. Mr. Chellgren seconded the motion, and it passed. (See PR 5B at the end of the Minutes.)

N. Reappointments to University of Kentucky Business Partnership Foundation (PR 5C)

President Wethington said that PR 5C recommends reappointments to the University of Kentucky Business Partnership Foundation Board. The recommendation is that Kim Burse, Joel Gordon and Richard Loghry be reappointed. He noted that the Business Partnership Foundation Board of Directors meets, confers, selects individuals and recommends them to the President who forwards the recommendation to the Board for approval. These three individuals have been through the process and have indicated their willingness to continue on the Board. He said he was pleased to recommend PR 5C for approval. On motion made by Ms. Wilson, seconded by Mr. Guthrie and carried, PR 5C was approved. (See PR 5C at the end of the Minutes.)

O. Name of Degree Title (PR 5D)

President Wethington said that PR 5D is a title change for a degree program. The recommendation is that the Board approve the degree title B.S. in Human Nutrition. He explained that this is not starting a new program. It is simply changing the title of one of the bachelor's degrees in the University of Kentucky. He said he was pleased to recommend approval of PR 5D. Dr. Reedy so moved. Mr. Reed seconded his motion, and it passed. (See PR 5D at the end of the Minutes.)

P. Center for Aluminum Technology (PR 5E)

President Wethington said that PR 5E is a recommendation that the Board approve the establishment of the Center for Aluminum Technology in the College of Engineering. This Aluminum Technology Center is a work in progress which he feels has some significant potential for the University of Kentucky. He noted that the aluminum industry has been raising monies, giving them to the University of Kentucky, and having those monies matched by the Research Challenge Trust Fund to create an endowment to support the Center for Aluminum Technology. The plans are for the Center to contract with the University of Kentucky to do research in material science, particularly in aluminum, and to have these scientists located in a small lab building being built at Coldstream Research Park. He said the Center is a concept that needs the Board's approval to be established in the College of Engineering. He noted that the idea is for the Center to generate business for the College of Engineering which would be conducted for the most part in a laboratory facility located at Coldstream Research Park. He said he was pleased to recommend approval of PR 5E. Ms. Sparks moved approval. Her motion, seconded by Mr. Chellgren, carried. (See PR 5E at the end of the Minutes.)

Q. Ph.D. in Rehabilitation Sciences (PR 6A)

President Wethington said that PR 6A is a recommendation that the Board approve the new multidisciplinary degree program, Ph.D. in Rehabilitation Sciences. He noted that this program focuses on more than one area in the Rehabilitation Sciences: Physical Therapy, Occupational Therapy and Communication Disorders. These rehabilitation professions work together in the evaluation and treatment of patients in clinical settings. He noted that it will

combine the expertise and facilities at the University of Kentucky, Eastern Kentucky University, and Murray State University. The proposed program is a collaborative effort to address the rehabilitation needs of the Commonwealth. Approval by the Board is required before submitting to the Council on Postsecondary Education for approval before the Ph.D. program can be implemented. He said he was pleased to recommend the establishment of a new Ph.D. in Rehabilitation Sciences. On motion made by Ms. Wilson, seconded by Dr. Reedy and carried, PR 6A was approved. (See PR 6A at the end of the Minutes.)

Dr. Reedy said that UK has long had a leadership role at the master's level in this field and this brings a marshalling of resources into a program at the doctoral level which, in his opinion, is a very significant benefit to the people of the Commonwealth. It has very direct applications.

R. Consolidated M.S. Program in Medical Sciences (PR 6B)

President Wethington said that PR 6B is a recommendation that the Board approve the consolidated M.S. degree program in Medical Sciences. This program will replace the Master of Science degree programs in anatomy and Neurobiology, Biochemistry, Microbiology, Pharmacology and Physiology and make these degree programs be a M.S. degree program in Medical Sciences. It appears to be a much more efficient program. This program is one which would go to the Council on Postsecondary Education for its final approval. He said he was pleased to recommend PR 6B. Dr. Reedy moved approval. Mr. Bates seconded the motion, and it passed. (See PR 6B at the end of the Minutes.)

S. Board of Trustees Retreat

Dr. Plattner gave praise to the recent Board retreat. She said that she was in a group with alumni, students, and faculty, and everybody talked about the roles that should be played in the future. She mentioned one that Governor Breathitt talked about that the alumni was going to assume that would have great consequences. She said it was a great process, and she hoped it would continue.

President Wethington said that there was considerable interest at the end of the retreat about having another retreat next year. He agreed that there was good participation and some wonderful ideas.

T. Acceptance of Audit Report for the University of Kentucky for 1998-99 (FCR 1)

Mr. Chellgren reported that the Finance Committee met for an hour and had 19 items on the agenda. The first item recommends acceptance of the audit report for the University of Kentucky for the past academic year 1998-99. He noted that the Board members had copies of the audited financial statements in their packets. He said that the past year was an excellent year for the University. The fund balances grew by a total of \$200 million. Half of that was the growth in the endowment funds which increased from \$220 million to \$329 million. The revenues of the year exceeded the expenditures by some \$38.3 million. The audit from Deloitte & Touche is a clean opinion, and it is on the first page of the statement. Mr. Jim Carpenter,

partner of Deloitte & Touche, was present at the Finance Committee meeting and gave his summary review of the financial statements for the past fiscal year. He moved acceptance of the audit report and said he would be pleased to answer any questions. Mr. Bates seconded the motion, and it passed. (See FCR 1 at the end of the Minutes.)

U. Disposal of Surplus Property (FCR 2)

Mr. Chellgren said that FCR 2 was the Disposal of Surplus Property and moved the Board's approval. His motion, seconded by Mrs. Sims, carried. (See FCR 2 at the end of the Minutes.)

V. Approval for Withdrawal from Maintenance Reserve Account (FCR 3)

Mr. Chellgren said FCR 3 requests approval to withdraw funds from the maintenance reserve account because of the trust indenture regulations in the bonds that finance the housing and dining system. When transfers of this nature occur, they must be approved by the Board. This, of course, will be paying for some maintenance repairs for student safety plus some other related items. He moved the adoption of FCR 3. Mr. Shoop seconded the motion, and it passed. (See FCR 3 at the end of the Minutes.)

W. Report of Leases (FCR 4)

Mr. Chellgren said FCR 4 is a routine item. The Finance Committee and the Board receive notice of leases under \$30,000. He explained for the new members that the Board must accept the Report of Leases not exceeding \$30,000 in value. FCR 4 has three new leases and one amendment to a lease. He noted this is approving action already taken by the administration. He moved the adoption of FCR 4. Ms. Sparks seconded the motion, and it carried. (See FCR 4)

X. Approval of Leases (FCR 5)

Mr. Chellgren said FCR 5 represents leases in excess of \$30,000 that must be approved by the Board. On motion made by Mr. Chellgren, seconded by Ms. Sparks and carried, FCR 5 was approved. (See FCR 5 at the end of the Minutes.)

Y. Capital Construction Report (FCR 6)

Mr. Chellgren said that FCR 6 is the quarterly report on all the capital construction projects that are being managed by the University. The report is quite comprehensive. He noted that there was a close reading of the text and pointed out that there was a little inconsistency in one particular item. This shows that the Finance Committee is doing its job and reading the text closely. He complimented the administration's staff with the particular challenge of the stadium expansion. The project had a very hard deadline with the football season, but it was brought in on time and under budget with great success. He move the adoption of FCR 6. Dr. Reedy seconded the motion, and it passed. (See FCR 6 at the end of the Minutes.)

Mr. Wilcoxson said that the stadium was a fine facility and second to none. The Board applauded Mr. Wilcoxson's comments.

Z. 1999-2000 Budget Revisions –Central Administration (FCR 7)

Mr. Chellgren said FCR 7 is a routine item as the fiscal year progresses. With approximately 80 days in the current fiscal year, the project revisions are relatively modest. A few hundred thousand dollars in a billion plus budget is not too large. Again, the revisions are in the normal course. He said the budget does need to be ratified by the Finance Committee and the Board as the year advances and moved the adoption of FCR 7. His motion, seconded by Mr. Wilcoxson, carried. (See FCR 7 at the end of the Minutes.)

Mr. Chellgren said items 8, 9, and 10 would be presented by Mr. Ed Carter of the president's staff, and he would defer action until the presentations.

AA. Equipment Transfer (FCR 11)

Mr. Chellgren said the University has about \$70,000 worth of equipment to transfer. This equipment was acquired with funds that came through the Robinson Trust program. The external transfer of these assets is going to be made to three non-profit agencies in three counties where these assets lie. The Board and Finance Committee need to ratify transfer titles and assets. He moved the adoption of FCR 11. Mr. Wilcoxson seconded the motion, and it passed. (See FCR 11 at the end of the Minutes.)

BB. PricewaterhouseCoopers Gift and Pledge (FCR 12)

Mr. Chellgren said that there were not nearly as many contributions to approve at the Finance Committee and Board meeting as has been in the past because the University recently exhausted and matched all the funds that were given to it in the research challenge grant by the General Assembly a couple of years ago.

FCR 12 is a gift of \$150,000 from PricewaterhouseCoopers Foundation to create a PricewaterhouseCoopers professorship in accounting in the College of Business and Economics. He called attention to the last sentence and said it is hoped that if there is a new research challenge grant passed by the General Assembly and signed by the Governor next year that these funds might be matched by that program. He encouraged the Board members to endorse the concept of a new research challenge grant when talking to members of the General Assembly or other community leaders. He moved the adoption of FCR 12 to accept the gift and pledge from PricewaterhouseCoopers Foundation. His motion was seconded by Dr. Mather and carried. (See FCR 12 at the end of the Minutes.)

CC. Charles E. Schell Foundation Gift and Pledge (FCR 13)

Mr. Chellgren said FCR 13 is a gift of \$25,000 and a pledge of \$75,000 to create a loan fund for students attending Lexington Community College. This is from the estate of a Cincinnati attorney who apparently made contributions to a number of educational institutions in

the Kentucky, Indiana, Ohio and greater Cincinnati area. This is a very generous gift to support the students of the community college. Mr. Chellgren moved the adoption of FCR 13. His motion, seconded by Mr. Guthrie, passed. (See FCR 13 at the end of the Minutes.)

DD. James W. and Diane V. Stuckert Pledge (FCR 14)

Mr. Chellgren said FCR 14 is a wonderfully, generous pledge from Jim and Diane Stuckert. Jim is chair of the UK Development Council, president of UK National Alumni Association, and has also agreed to serve as chair of the UK Capital Campaign. This pledge would be for the construction of the University Career Center now being built on Rose Street. President Wethington informed the Finance Committee that he would recommend that this facility be named after the Stuckerts. He moved the adoption of this wonderfully, generous gift from great friends and supporters of the University, Jim and Diane Stuckert. Mr. Guthrie seconded the motion, and it carried. (See FCR 14 at the end of the Minutes.)

EE. Acceptance of Gift from McDowell Cancer Foundation of MRISC Building Expansion (FCR 15)

Mr. Chellgren said FCR 15 is another gift. This is a building to be built as a part of the McDowell Cancer Center. This facility will primarily focus on radiology, women's health, and women's cancer. It will be a 31,000 square foot building. He moved that the Board accept the gift and when completed be deeded over to the University. His motion was seconded by Mr. Grayson and passed. (See FCR 15 at the end of the Minutes.)

FF. Authorization to Convey 3.962 Acres at Owensboro Community College to Department of Highways for Right-of-way Purposes (FCR 16)

Mr. Chellgren said FCR 16 and 17 are to finalize and delegate some of the authorities to the administration. FCR 16 is to convey 3.962 acres at Owensboro Community College to the State of Kentucky Department of Highways for right-of-way purposes. The State will pay the University \$44,100 which is the appraised value of that right-of-way. This will actually improve the Owensboro Community College physical facility. He moved the adoption of FCR 16. Mr. Williams seconded the motion, and it carried. (See FCR 16 at the end of the Minutes.)

GG. Temporary Property Easement to Bluegrass Regional Mental Health-Mental Retardation Board, Inc. – Coldstream Research Campus (FCR 17)

Mr. Chellgren said FCR 17 is a smaller item which is a temporary easement on the Coldstream Research Campus for 2 acres. He moved the adoption of FCR 17. The motion, seconded by Dr. Mather, passed. (See FCR 17 at the end of the Minutes.)

HH. Resolution of Official Intent of the Board of Trustees of the University of Kentucky to Finance Construction of Educational Buildings Projects from Proceeds of Proposed Issues of University of Kentucky Consolidated Educational Buildings Revenue Bonds or State Property and Buildings Commission Revenue Bonds (FCR 18) and Resolution of Official Intent of the Board of Trustees of the University of Kentucky to Finance the Construction of Housing and Dining Projects from Proceeds of Proposed Issues of University of Kentucky Housing and Dining System Revenue Bonds (FCR 19)

Mr. Chellgren said FCR 18 and 19 request Finance Committee and Board approval of a statement of official intent to finance construction of educational building projects from the proceeds of anticipated University of Kentucky revenue bonds. These are procedures for the Finance Committee and Board to formally approve bonds. On motion made by Mr. Chellgren, seconded by Mr. Guthrie and carried, FCR 18 and 19 were approved. (See FCR 18 and 19 at the end of the Minutes.)

Mr. Chellgren then turned the program over to Mr. Carter to provide a more detailed presentation on FCR 8, 9 and 10.

II. Proposed 2000-01 and 2001-02 Tuition Schedule (FCR 8)

Mr. Carter said there were three items to present: one is a brand new item for the Board, one is a routine item in the biennial business of the Board, and the other is a little alteration in terms of what has been the normal process of the Biennial Budget process.

He said FCR 8 is a new responsibility of the Board of Trustees for approval of a proposed tuition schedule for the next two years beginning with 2000-01 and also for 2001-02. He presented the following slides and provided detailed information about each of them:

University of Kentucky

Proposed Tuition Schedule 2000-2002

September 1999



CPE Guidelines



- Under KRS Council on Postsecondary Education has responsibility to determine the tuition at public institutions of higher education.
- Council on Postsecondary Education approval was given to tuition setting guidelines to be used by the institutions in setting tuition rates for 2000-02.
- Institutions are responsible for setting their own tuition rates consistent with the guidelines approved by the Council.

CPE Guidelines



- Rates may be differentiated by factors such as residency status; program level (i.e., undergraduate, graduate and first professional); and program (e.g., business and biology). Rates may be set on a per credit hour basis.
- Each institution will submit planned biennial tuition rates and projected tuition and fees revenue for 2000-01 and 2001-02.
- The anticipated tuition and fees revenue should be consistent with the Council's operating funding guidelines.

Operating Funding Guidelines



- Tuition and fees should generate at least 33 percent of a university's public funds (state appropriation and tuition and fees) net of debt service, mandated research and public programs, and hospital appropriations.
- Tuition and fees should generate at least 25 percent of public funds for Lexington Community College.

Tuition Policy for 2000-2001 & 2001-2002



- The proposed tuition rates for the University of Kentucky and Lexington Community College are in compliance with the Council's guidelines
- Respond to the public funds distribution objective
- Relate to the new sets of benchmarks
- Proposed approach to tuition setting is simple and fair to students

Tuition Policy (cont.)



- The rates proposed for adoption by the Board of Trustees are designed to move the various rates to or toward the median of the new benchmarks
- Meeting the public funds distribution objective of the Council
- Maintaining a reasonable level of increase on an annual basis
- Rates for part-time and summer school students will be derived from the semester rate, using the institution's definition of what constitutes a full-time load for each level and program area.

1998-99 Undergraduate Semester Tuition



Benchmarks Resident Tuition

University of Michigan	3,190
Penn State University	2,971
University of Minnesota	2,192
University of Maryland	1,947
University of Illinois	1,920
University of Virginia	1,916
Ohio State University	1,790
University of Iowa	1,780
University of Washington	1,688
Purdue University	1,555
University of Wisconsin	1,501
University of California- LA	1,448
University of Kentucky	1,340
University of Georgia	1,280
University of Texas - Austin	1,152
Texas A & M	1,120
University of Arizona	1,094
UNC - Chapel Hill	763
North Carolina State University	728
University of Florida	705

Estimated Increases

FY 1999 Benchmark Median		1,555
FY2000	2.40%	1,592
FY2001	2.40%	1,631
FY2002 Projected	2.40%	1,670

1998-99 Undergraduate Semester Tuition



Benchmarks Nonresident Tuition

University of Michigan	9,914
University of Virginia	7,390
Penn State University	6,380
University of Minnesota	6,285
University of California- LA	6,234
University of Iowa	5,935
University of Washington	5,699
University of Wisconsin	5,591
Ohio State University	5,574
University of Illinois	5,466
UNC - Chapel Hill	5,311
North Carolina State University	5,311
University of Maryland	5,208
University of Georgia	5,121
University of Texas - Austin	4,560
Texas A & M	4,528
Purdue University	4,110
University of Kentucky	4,020
University of Florida	3,874
University of Arizona	3,576

Estimated Increases

FY 1999 Benchmark Median		5,466
FY2000	2.40%	5,597
FY2001	2.40%	5,732
FY2002 Projected	2.40%	5,869

Resident Tuition



Resident	2000/01 Rates	\$ Chg.	% Chg	2001/02 Rates	\$ Chg	% Chg.	BM Obj.
University of Kentucky							
Undergraduate	1,555	75	5.1%	1,635	80	5.1%	1,670
Graduate	1,715	85	5.2%	1,805	90	5.2%	2,656
LCC	810	-	0.0%	810	-	0.0%	790
Annual Professional Rates							
Law	5,876	316	5.7%	6,250	374	6.4%	6,481
Medicine	10,200	310	3.1%	10,566	366	3.6%	10,566
Dentistry	8,500	340	4.2%	8,978	478	5.6%	8,979
Pharm. D.	5,000	270	5.7%	5,340	340	6.8%	6,157

Nonresident Tuition



Nonresident	2000/01 Rates	\$ Chg.	% Chg	2001/02 Rates	\$ Chg	% Chg.	BM Obj.
University of Kentucky							
Undergraduate	4,665	225	5.1%	4,905	240	5.1%	5,869
Graduate	5,145	255	5.2%	5,415	270	5.2%	6,243
LCC	2,555	125	5.1%	2,685	130	5.1%	2,830
Annual Professional Rates							
Law	15,450	520	3.5%	16,064	614	4.0%	16,065
Medicine	25,280		0.0%	25,280	-	0.0%	25,173
Dentistry	21,400	760	3.7%	22,272	872	4.1%	22,272
Pharm. D.	14,750	860	6.2%	15,774	1,024	6.9%	16,555

Mr. Chellgren asked for any questions on FCR 8, the proposed tuition schedules for the two academic years.

Mr. Miles asked how the faculty felt about the tuition schedule.

Dr. Mather said there had really not been much discussion among the faculty on the issue because until now it had not been a matter that the Board had dealt with. The Board would rubber stamp what the Council asked the Board to set as rates. This kind of reflects a concern. Now that the Board is in a new environment with this, he said he hoped that maybe the Finance Committee or the Board could begin in the next few weeks or months to address the issue of tuition policy because this whole issue is now thrown in their laps. There are probably a lot more questions that could be asked than could be answered. He said he had a good discussion with Mr. Carter between the luncheon and meeting. He said he believes there are many questions that can be raised about tuition rates and how that might affect enrollment, resident versus non-resident students. To answer Mr. Miles' question, he said they had not addressed it as a faculty, but they need to begin doing that.

Mrs. Sims asked, "What are the students saying?"

Mr. Glenn said about two weeks ago he had the opportunity to meet with Mr. Carter. He knew the policy was coming up, and he was concerned. He had talked with other student body presidents around the state, and those student body presidents had some concerns at their universities. He said that Mr. Carter went through the proposal for the tuition policy in depth with him, and he was very impressed. It was simple and straightforward. He said he felt the increases were not large jumps. They were jumps that need to be made to get the University to its benchmark levels to become the top-20 public university. He said, in his opinion, it was very well thought out, very well organized and the students would understand it and back it. He said he believed he could defend it to the students.

Mr. Miles asked Mr. Guthrie for an opinion from the alumni.

Mr. Guthrie said he thought the alumni would understand. If the University is going to get to the next level, it is going to take money to get there. While many alumni have children and grandchildren at the University, it is important to them. But it is most important to the students. After hearing Mr. Glenn's comments, he said he believed that the alumni group on the Board would concur that this is necessary.

Mr. Grayson said as he sees it the University is actually raising tuition less than it did the previous two years when the Council set the rates.

Mr. Carter responded, "about half."

Mr. Grayson said the University is being much more customer friendly with its rate increases than what the Council mandated the last couple of years. Therefore, the University may get criticized by the Council by not raising rates enough.

Mr. Carter said all of the rates for the other institutions except for UK and Murray State were on the Council's web site. If the Board adopts this rate, it probably will be lower than the University of Louisville.

Mrs. Sims asked if it would be a part of the job of Michelle Ripley in Public Relations and the whole media awareness effort to help the people in the State of Kentucky be brought up to speed about what the thinking is of the University.

Dr. Reedy echoed Mrs. Sims remark. He said he thought the Board had a situation where they proceed, but they are on a new learning curve as a Board. It will be well for the Board in the future and for the staff to look at marketing issues as a university and moving in other directions. He asked, "To whom are we going to market ourselves and what is the relationship of that tuition to the market."

Mr. Guthrie said with all due respect to Miss Sims, the campaign that is going on is to improve the overall image and position of the University on a national level. It is not going to get down nor should it, in his opinion, into explaining tuition.

Mrs. Sims said, "The image -- everybody's understanding of what we are doing here will catch up to the image, if the image is enhanced. That is the point I was making."

Mr. Guthrie replied, "Not really."

Mr. Miles asked about the availability of scholarships and whether they were going up in proportion. He said that is really the bottom line -- educating the people and the kids.

President Wethington said it has been the University's practice in the past that when tuition went up the tuition scholarships that are available at the University of Kentucky go up in concert. He said that is a budget decision every two years, and it is not anything that the University has to do.

Mr. Miles asked if it is in the budget that the Board approved.

President Wethington said that a budget was not approved at this point. It will be considered in the budget next June. That will put the scholarships up to the level of this tuition increase. He said he would anticipate that would be the recommendation to the Board, but it is not in there now. The staff always considers the tuition and tuition increases when looking at scholarship monies for the following fiscal year.

President Wethington mentioned a new scholarship program for Kentucky high school students funded at the state level. Although it started in a fairly modest way, that program will be increasing over the next two or three years. It will certainly have some impact on the ability of Kentucky high school students to pay their way to a Kentucky college or university.

Mr. Miles asked if awareness of the availability of scholarships was a part of the advertising program to the high schools.

President Wethington said it is a part of the efforts to communicate with the schools and the Kentucky high school students. It is not yet a part of the television marketing campaign.

Mr. Chellgren called for other question on FCR 8. On motion made by Mr. Chellgren, seconded by Governor Breathitt and carried, FCR 8 was approved.

JJ. University of Kentucky Capital Request 2000-2002 (FCR 9)

Mr. Chellgren said the next item is the Capital Request for the next biennium and asked Mr. Carter to make his presentation.

Mr. Carter said the Capital Request is a planning process that is perpetual in nature. The staff works from a six-year plan in conjunction with the Capital Planning Advisory Board in Frankfort which is a committee composed of executive, legislative, judicial and citizens. The staff works with them on a six-year plan as well as the Capital Construction Oversight Committee and the Council on Postsecondary Education.

Mr. Carter said that the update of the six-year capital plan began in September last year. He explained that the staff goes through the update process every two years and that results in the capital request that goes forward. It is a process that is comprehensive in nature. The process is clearly guided by the Strategic Plan which has been adopted by the Board.

Mr. Carter displayed the following slides and elaborated on each of them:

University of Kentucky

Capital Request 2000-2002

University System
University Hospital

September 1999



Capital Request: 2000-2002

UK

Document Includes:

University System 2000-2002 Capital Request
University Hospital 2000-2002 Capital Request
Supporting Land Use Plans

Request Includes:

All Capital Projects of \$400,000 or more
All Equipment Requests of \$100,000 or more
Any project or equipment for which state
funding is requested, regardless of scope

Net Assignable Square Feet by Room Use Category

UK

	University System	LCC	Other
Classroom	208,526	37,916	1,397
Laboratory	963,728	46,626	25,665
Office	1,435,989	45,536	32,353
Study	279,578	28,462	—
Special Use	640,146	1,144	428,484
General Use	579,131	4,478	96,267
Support	1,894,710	1,606	200,887
Health Care	418,085	—	2,375
Residential	1,221,616	—	150,867
Unclassified	327,127	1,853	168,317
TOTAL	7,968,636	167,621	1,106,612

Description of Facilities

UK

Building Value

	University System	LCC	Other
Original Value	\$ 445.8	\$11.9	\$17.6
Replacement Value	1,386.4	22.1	65.2

Building Age

	University System	%	LCC	Other
Pre-1900	97,902	0.8	—	2,900
1900-1930	818,693	6.8	—	73,156
1931-1950	1,156,416	9.6	—	118,184
1951-1960	1,004,252	8.3	—	332,207
1961-1965	2,731,475	22.7	—	94,607
1966-Present	6,231,498	51.8	208,714	675,185
TOTAL	12,040,236	100.0	208,714	1,296,239

Equipment Inventory

UK

Education and General

	University System	LCC
Educational Aids	\$ 42.9	\$0.6
Research	63.2	—
Physical Plant	1.3	—
Computing	62.9	1.8
Communications	6.5	—
Office	19.3	0.6
Other	13.9	—
Subtotal	210.0	3.0
Hospital	81.3	—
Auxiliary Enterprises	3.7	—
TOTAL	\$295.0	\$3.0

CPE Space Needs Model

UK

BASE YEAR SURPLUS/DEFICIT

	University System	LCC
Classrooms	(5,918)	(7,554)
Teaching/Open Laboratories	46,047	(19,339)
Research Laboratories	(95,875)	3,260
Office Suites	(117,107)	(130)
Library	—	—
Physical Education & Recreation	(101,913)	—
Special Use & General Use Space	(32,900)	(44,826)
Support Space	332,229	(13,491)
TOTAL	24,563	(82,080)

Request by Fund Source (in millions)

UK

	University System	University Hospital
Capital Projects		
State General Funds	\$ 5.7	\$ —
State Bonds	300.8	—
Federal Funds	—	—
Agency Funds	37.8	83.3
Agency Bonds	<u>48.1</u>	<u>18.1</u>
Subtotal	392.4	101.4
Equipment		
State General Funds	\$ —	\$ —
State Bonds	1.6	—
Federal Funds	1.5	—
Agency Funds	<u>46.9</u>	<u>94.4</u>
Subtotal	50.0	94.4
TOTAL	\$442.4	\$195.8

2000-2002 Capital Request

UK

NEW CONSTRUCTION

	STATE	AGENCY
Biomedical Sciences Research Building	\$ 65.0	
Rural Health Center Building Phase II	10.0	
Seaton Center Addition/Renovation		15.4
Animal Science Research Center Phase II	19.2	
Center for Pharmaceutical Science and Technology	14.0	
Lexington Community College Campus Expansion Phase I	21.6	
Parking #2 Expansion/Renovation/Replacement		11.0
Student Housing/Fraternity House Replacement		6.0

2000-2002 Capital Request

UK

	STATE	AGENCY
New Construction	187.3	45.6
Major Alteration	1.4	7.6
Major Expansion	30.8	4.4
Major Renovation	53.6	13.9
Property/Structure Acquisition	—	4.0
Major Maintenance	11.9	9.8
Capital Renewal Pool	12.3	—
Deferred Maintenance	2.1	—
Life Safety Pool	6.8	.6
Handicapped Access Pool	.3	—
Equipment	1.6	48.4
TOTAL	308.1	134.3

Mr. Chellgren thanked Mr. Carter for his presentation and asked for any questions. He said it is clearly a complex request, and the Board must act in order to submit the University's request to the General Assembly.

Dr. Reedy commented on the Biomedical Research Center. He reminded the Board of the graduate and research study that was completed a couple of years ago. The Biomedical Research Center was generated by the campus, by faculty, and by administrators as the number one item which, with increasing levels of NIH funding in Washington, offers the University tremendous potential. With space, Dr. Bramwell and his colleagues can do their jobs more efficiently, more effectively, and move the University forward. In his opinion, it is disappointing that the Council on Postsecondary Education is not encouraging the University in some respects. He said he hoped the University's administrators will be able to find avenues to pursue that possibility for some of the major items. He said he spoke only to the one because he knew of the true significance of that one from having been involved in the issue.

Mr. Chellgren said the physical assets are essential to execute the drive for excellence. On motion made by Mr. Chellgren, seconded by Dr. Reedy and carried, FCR 9 was approved. (See FCR 9 at the end of the Minutes.)

Dr. Mather asked Mr. Carter about the Lexington Community College campus expansion and when it would be coming about if it is approved.

Mr. Carter replied, "Obviously, it has to be funded first."

Dr. Mather said, "If approved, what would you see as the time frame?"

Mr. Carter asked President Wethington to respond to Dr. Mather's question.

President Wethington said if this project or any of the projects are approved in April of 2000, it would be at least two years before inhabiting a facility. There is usually a two-year minimum from the time the General Assembly approves the projects.

KK. Special Initiatives Request 2000-2002 (FCR 10)

Mr. Chellgren called upon Mr. Carter to report on FCR 10 which would complete the report of the Finance Committee.

Mr. Carter provided some background information. He explained that the University is no longer in the Biennial Budget Request mode as it has been for many years. House Bill 1, Higher Education Reform Act, basically put the higher education budgeting responsibility on the Council on Postsecondary Education. The University does not have, unless asked, a very big role in that other than the capital requests which still goes forward.

He called attention to an outline that had been given to the Board in the background material and said that it is an outline to the Council's approach to this coming biennium budget process. First, the Council is working on a base adjustment for institutions to "bring per student

funding up to the 55th percentile of the benchmarks.” He noted that each institution in the state now has a separate set of benchmarks. The second item would be a recommendation from the Council that would be a 2.4% increase in the state appropriation base of the institution. He reminded the Board that the state appropriation represents 26% of the budget of the University of Kentucky, and they will see a recommendation to get a 2.4% increase on 26% of the budget. The third item is maintenance and operations. This item will be, in his opinion, recommended by the Council to the Governor and the legislature for new buildings coming on line. The legislature and the Council approve buildings. If there is not maintenance and operations money on line for those buildings, then it would be a problem. The Council has committed to put that item as a fairly high priority.

Mr. Carter said the other item is a group of incentive trust funds which are listed in the background material. All of those appropriations will go to the Council and in one way or another the institutions will have to acquire money out of those incentive trust funds from the Council. The one the Board is familiar with is the Research Challenge Trust Fund.

The only similar activity now is an item called special initiatives. President Davies asked the institutions in June to put forth a “limited” number of request for special initiatives, probably one to three items from each institution. That process began with the expectation to meet that request; however, there were nine items when the process was completed. He continued his presentation by showing the following slides and commenting on each of them:

University of Kentucky

Special Initiatives Request 2000-2002

September 1999



#1 Faculty Salary Improvement



This is a request to move the average salary of the faculty and librarians at the University of Kentucky and Lexington Community College to the median of the averages of the respective benchmark institutions during the 2000-02 biennium.

	Requested 2000-2001	Requested 2001-2002
University System		
State General Fund	\$4,800,000	\$4,944,000
Lexington Community College		
State General Fund	\$ 600,000	\$ 618,000

	University System	LCC
Median of Benchmarks	\$64,954	\$41,408
Average Salary	\$60,714	\$35,520
Average as % of Benchmark	93.5%	85.8%

#2 Salary Increase - College of Agriculture



This is a request to provide for funding of a 3% cost of living salary increase for College of Agriculture employees paid from federal appropriations to the Agriculture Experiment Station and Extension Service.

	Requested 2000-2001	Requested 2001-2002
State General Fund	\$309,600	\$318,900

#3 Graduate Assistants/Fellows



Enhanced support of graduate assistants and fellowship recipients - support packages that are competitive with benchmarks.

Support and expand the SREB Doctoral Scholars Program.

Financial aid and compensation packages for recruiting and retaining premier graduate students.

The request has four components:

- Payment of full tuition scholarships for research assistants
- Enhancement of Graduate Fellowships
- Increase in teaching assistant stipends
- SREB Doctoral Scholars Program

	Requested 2000-2001	Requested 2001-2002
State General Fund	\$3,894,900	\$275,100

#4 Engineering Enhancement & Expansion



This is a request to provide additional funding for engineering equipment beyond the \$300,000 currently provided annually and to expand the computer engineering program.

	Requested 2000-2001	Requested 2001-2002
State General Fund	\$1,028,000	\$322,000

#5 Enhanced Undergraduate Experience



Will apply innovative educational enrichment program to target courses that serve large numbers of freshman and are known to contribute to attrition

- Improve student outcomes
- Establish the University as a national leader for academic innovation

	Requested 2000-2001	Requested 2001-2002
State General Fund		
Recurring	\$322,000	\$297,000
Nonrecurring	160,000	(160,000)

#6 College of Pharmacy



Expand the capabilities of the Center for Pharmaceutical Science and Technology, (CPST)

- critical to attracting companies to Kentucky and the Coldstream Research Campus.

Expand the PharmD class size from 88 to a minimum of 128 (45% increase)

- two extended campuses: eastern Kentucky and Louisville.

	Requested 2000-2001	Requested 2001-2002
State General Fund		
Recurring	\$1,926,100	\$1,137,900
Nonrecurring	1,643,000	(648,000)

#7 Biomedical/Biological Sciences



This funding is requested to provide faculty positions, needed infrastructure and renovations (faculty start-up), and core research equipment and facilities to develop critical new technologies and research areas of the biomedical and biological sciences.

	Requested 2000-2001	Requested 2001-2002
State General Fund	\$3,599,600	\$899,700

#8 Early Childhood Program Enhancement



This is a request for funding to support a joint effort between Lexington Community College (LCC), the College of Human Environmental Sciences and the College of Education to:

- provide an AA degree in Early Childhood
- increase enrollment in the interdisciplinary UK programs
- build or renovate facilities
- provide service to the Early Childhood community of the Commonwealth

	Requested 2000-2001	Requested 2001-2002
State General Fund		
Recurring	\$ 250,500	\$ 250,500
Nonrecurring	4,675,000	(4,675,000)

#9 Kentucky Geological Survey



This is a request for funding to support a program to identify potential water supplies from:

- abandoned underground coal mines
- high yield water wells located along linear fractures in the earth's surface
- groundwater systems in karst areas

	Requested 2000-2001	Requested 2001-2002
State General Fund		
Recurring	\$837,200	\$30,000
Nonrecurring		11,000

Mr. Chellgren moved the approval of FCR 10. His motion, seconded by Mr. Bates, passed. (See FCR 10 at the end of the Minutes.)

LL. Other Business

Mr. Miles said that there were a lot of people that have served on the Board who have never chaired a committee or had a leadership role. He said that he would like to have a motion that the terms of the chairman of the Board and the committee chairman of each committee be limited to two years. With a six-year appointment to the Board, everyone on the Board would chair a committee. He asked for someone to make that motion.

Dr. Mather said, "Mr. Chairman, if I could suggest this -- I think that's a good idea, but I wonder if a way to approach that is since that actually would affect the Governing Regulations that applies to the Board, could that be written up and brought back for us to act on in October and then for a second vote in December?"

Mr. Miles replied, "yes."

Mr. Shoop said he did not have a problem with limiting the Chairman of the Board; however, the committees require a great deal of expertise and two years is not enough time. He said, in his opinion, it would be detrimental to change a chairman on some of the committees.

He used the Investment Committee that works with \$343 million as an example. He pointed out that those committee chairmen are appointed every year by the Chairman of the Board.

President Wethington said that was correct in most cases.

Mr. Shoop repeated that he had no problem limiting the term of the Chairman of the Board; however, he felt it would be ill advised to limit some of the chairs of the committee.

Mr. Miles said it was like the last Board members who went off the Board who actually never had a leadership position on the Board. He asked, "How do we really know who is capable and who is not capable until they have a chance to do something?" He asked Mr. Shoop to work with Dr. Mather on the issue, study it, and bring a motion before the next meeting.

Mr. Shoop expressed that he was just against limiting the terms of the committee chairman, but it was whatever the Board wanted to do.

Mr. Grayson said that it would be very dangerous to dictate the length of the term of the Chair of the Investment Committee. That is a very, very challenging role with growing fiduciary responsibilities of \$350 million, and, in his opinion, that is not a Chair to be rotated by regulation.

Mr. Miles said, "You know Mr. Grayson, you and I have both served on that and he has done an excellent job up till now because we have guessed right. We have a lot of people on this Board."

Mr. Wilcoxson asked, "What does that mean?"

Mr. Grayson said, "I would say we haven't guessed right. We have followed policy."

Mr. Wilcoxson asked, "What do you mean guessed right? I take exception to the word guessed right." He said performance is the barometer for measuring, and Mr. Miles responded that is true. Mr. Wilcoxson said he would give his report on the Investment Committee as soon as Mr. Miles finished. He said, "I will take exception to that. Make note of that please."

Mr. Shoop said, "I don't mind being on the committee to look into that if you want to appoint a committee, but I feel like to limit the terms ties the hands of chairman of which you are now the chairman."

Mr. Miles said that he understood exactly what Mr. Shoop was saying, but he also sees a lot of people who are Board members who have really never served as committee members.

Mr. Wilcoxson said, "Mr. Chairman, you are the Chairman, and you have the ability to appoint the chairs. Why don't you just do it, change them. You have that ability."

Mr. Miles asked the Board to submit to him before the next Board meeting their committee preferences and to indicate their first and second choice. He said that as the

Chairman he did not intend to select the committee members by himself. The officers ran as a slate, and he did not see himself different from anyone else.

Mr. Miles said that he would be asking Board members to represent him at various events. He asked the members to let him know if they want to represent the Board at a particular department or college event rather than the Chairman being the representative at all of the events. If a Board member wants to be affiliated with a department, he would like for that member to become that representative of the Board at those functions.

Mr. Miles said he feels it is very important that the Board members know the different departments and what they are doing. He said the Board has enjoyed the departments' presentations at the Board meetings, and he would like to continue that. He said that everything the Board has heard has been the good part, and he would like for the department heads and deans to be able to also talk about their problems and their financing.

Mr. Miles said the chairman's term issue would be discussed later. He knew there would be a lot of emotion about that issue.

He then welcomed the new members to the Board and asked for any comments from them.

Ms. Wilson said she welcomed the opportunity and thanked everybody. She said she hoped the Board would be able to work together and in a wonderful way.

Ms. Sparks echoed Ms. Wilson's sentiments entirely. She reminded the Board of the Council on Postsecondary Education Trustees Conference in Northern Kentucky on September 26th and 27th. The Council meeting will immediately follow the Conference on Monday at 1:00 pm. She said if the Board members are going to get the Council to change their minds about allocating new construction funds, it would be nice if some of the Board members were there. She said that Northern Kentucky is a nice place to be.

Mr. Glenn thanked everyone for their help in getting him acclimated to the Board. He said he was looking forward to working with each and every Board member.

MM. Investment Committee Report

Mr. Miles called upon Mr. Wilcoxson for the Investment Committee report.

Mr. Wilcoxson said that he would be happy to give a summary of the endowment growth. Since there were new Board members, Mr. Wilcoxson provided background information beginning in July 1, 1992. He reported that the members of the Investment Committee and the staff guessed that they needed to make some changes. He said, "I think maybe the numbers will ascertain that we guessed right way back in 1992. We had an endowment of about \$95 million. As of June 30th we have got \$343 million and that \$343 million plus includes the Community College System endowment of \$14,789,000 that we transferred over to KCTCS. Now, out of that \$300 some odd million that guessing that we did by making asset allocation changes, hiring

multiple managers and making decisions from 1992 until now accounting for that difference between \$95 and \$300 plus million, about \$100 million of that is due to the guesses. So, I guess we have guessed pretty well and I might say that we have given about \$50 million, or you have gotten \$50 million, for the faculty for their use so that is about all I have to say.”

Mr. Miles asked if Mr. Wilcoxson had a motion to approve the report.

Mr. Wilcoxson indicated that it was a report and not an action item.

NN. Comments from New Officers

Mr. Miles asked Mr. Reed if he would like to make any comments about accepting the Vice Chairman office.

Mr. Reed said he would like to express his thanks and sincere appreciation to the Board. He conveyed his feeling of honor in serving on the Board. He noted that he has been on the Board five years. He is an alum of the law school and has worked hand-in-hand with the law school and many other colleges and departments. He said it is an honor to be selected as Vice Chairman of the Board. He said he looked forward to working with the Chairman and the President. He stated that the University is at a vital time. It is about to embark on a search for a new president. He said the Board must not at the same time forget the commitment and the objectives set forth by President Wethington. They are very noble and big objectives for the remainder of his final two years. He said he looked forward to working with the President and helping him accomplish those very outstanding objectives.

Dr. Reedy said that he had nothing further to add to those sentiments other than to say that if he and Peggy Way can work together that she can provide a version of the Minutes in English and he will occasionally do one in Spanish for those who would like to have a version in Spanish. He said he looked forward to continuing to serve the University of Kentucky in this role and in any way that he can during the remainder of his career as a faculty member.

Mr. Miles thanked the new officers and said that it is obvious that they have a lot of work to do together. The Board has to become united in this. The Board needs to tell the message about the money the University needs. If it doesn't get the message out then the University will be in trouble and never reach its goals.

Mr. Miles thanked the Board members and said they could call him. He emphasized that he wanted the Board to be a united Board.

OO. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 3:23 p.m.

Respectfully submitted,

Daniel R. Reedy
Secretary
Board of Trustees

(PR 2, 3A, 3B, 4A, 5A, 5B, 5C, 5D, 5E, 6A, and 6B; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, and 19 which follow are official parts of the Minutes of the meeting.)

Office of the President
September 21, 1999

1. University Attains Diversity Goals

In a year when UK is commemorating 50 years of African Americans on campus, the University also is celebrating the attainment of diversity goals set by the Kentucky Plan for Equal Opportunities in Postsecondary Education. With annual growth in African-American student enrollment at UK since the plan was implemented, 1998's first-time freshman African-American enrollment was 8.2 percent, and undergraduate African-American enrollment was 6.3 percent.

2. Another Record Set for External Research Awards

University of Kentucky faculty and staff attracted a record \$132.2 million in research contracts, grants and gifts from sources outside the university during fiscal year 1999 – a 10.4 percent increase over the previous fiscal year. This is the sixth consecutive year that UK has exceeded \$100 million in research funding. Of the \$128 million in sponsored projects awards, 56.3 percent – \$72 million – came from federal agencies.

3. University's First National Marketing Campaign Under Way

The University's first concentrated effort to increase awareness of UK across the country began Sept. 4. The campaign includes television, radio, newspaper and consumer magazine advertising. Ads are appearing in *Time*, *Newsweek*, *U.S. News & World Report*, *Sports Illustrated*, *The New York Times*, *The Wall Street Journal* and *USA Today* as well as cable channels such as the Discovery Channel and A&E. The University also benefits from national exposure on televised football and basketball games. The ad campaign is just one part of a comprehensive marketing plan designed to help UK achieve top-20 status.

4. University Enrollment, Student Quality Continue to Climb

Total enrollment, including Lexington Community College, has climbed about two percent to an estimated 30,900 students, according to preliminary enrollment figures. The academic quality of the freshman class, based on grade point averages and admissions test scores, continues to rise. The top 24 percent of UK's 1999 freshman class scored 28 or higher on the ACT and the top 32 percent had high school grade point averages of 3.8 or better. LCC, with 6,828 students, experienced an increase of 11.6 percent over last year's enrollment, the fifth consecutive year the community college has shown an increase.

5. Patents and Licensing Lead to Royalty Income

UK-originated technologies licensed for use by companies generated \$2.6 million in royalties in fiscal year 1999. Top royalty-producing inventions include a nasal delivery method for pharmaceuticals, three vaccines for horses, and an implant device for treating eye diseases. UK issued nine new license agreements and held a total of 50 with various businesses during the fiscal year, including 11 to Kentucky businesses in pharmaceuticals, medical supplies and devices, materials engineering, agricultural engineering, plant and animal biotechnology, and consumer products. Twenty-one patents were issued to UK during fiscal year 1999.

6. Winchester Man Elected New Alumni Association President

Robert E. Miller of Winchester, vice president of communications and governmental affairs at East Kentucky Power Cooperative, was elected the new president of the UK Alumni Association for 1999-2000. David L. Shelton of Marietta, Georgia, is the new president-elect and Ann Brand Haney of Nancy is the new treasurer. Elections were held in June during the association's annual workshop.

7. Researchers Find DARE Program Ineffective

Donald R. Lynam, psychology, and other UK researchers with the Center for Prevention Research reported that Project DARE has no long-term effect on drug use. Their study, published in the August issue of the American Psychological Association's *Journal of Consulting and Clinical Psychology*, is the result of a 10-year effort. Researchers tracked more than 1,000 students who participated in DARE programs in sixth grade, re-evaluating them 10 years later. The study was covered by the *Boston Globe*, *USA Today*, *The Today Show*, CNN, National Public Radio and other national media.

8. Doctoral Degrees to African Americans Among Tops in the Nation

The July 22 issue of *Black Issues in Higher Education* lists UK in the Special Report as a Top-100 Degree Producer. UK is ranked 44th in the number of doctoral degrees awarded to African Americans in combined disciplines for 1996-97. UK conferred a total of 12 doctoral degrees — five to men, seven to women — across all disciplines. This represents a 41.4 percent increase in the number of degrees awarded in that category between 1994-95 and 1996-97.

9. College of Law Named Friendliest to Women

The UK College of Law is ranked among the nation's most friendly to women in a report published by Penguin Books of New York. In her book, *A Woman's Guide to Law School*, Linda Hirshman, a professor at Brandeis University in Waltham, Massachusetts, ranks UK in the top 25 percent of all law schools as most friendly to female students and faculty. The UK law school ranks number one in its grouping of law schools based on student admissions scores. Hirshman studied 158 law schools in her research.

10. Graduate Students Offer Leadership on Appalachian Issues

Twelve graduate students in UK's Appalachian Development Seminar, led by Appalachian Center Director Ron Eller, presented their research this summer to the Appalachian Regional Commission in Washington, D.C. After seven months of research and fieldwork in eight counties across five states, the students recommended that the commission continue to focus attention on areas of human services, employment diversification, education and training and public services in the most seriously distressed counties of the region.

11. THRI and Agriculture Developing New Tobacco Varieties

The Tobacco and Health Research Institute initiated a new research project with the College of Agriculture to customize the tobacco plant for commercial molecular farming applications, the first attempt ever to develop a plant variety specifically for molecular farming. Researchers will develop new tobacco varieties to optimize the plant's ability to produce medicinal substances, industrial enzymes and specialty polymers.

12. Business College Holds Successful Family Business Institute

Forty-three family business owners and sponsors participated in the first Family Business Institute this summer. The institute aims to promote the welfare and longevity of family-owned businesses in Kentucky. The Gatton College of Business and Economics sponsored the institute.

13. Children's Hospital Benefits from Children's Miracle Network Show

For the 12th year, the UK Children's Hospital was the beneficiary of the annual Children's Miracle Network campaign to raise funds for 170 children's hospitals across North America. The local television broadcast on WKYT-TV and WYMT-TV raised \$797,834 for the UK Children's Hospital. Doug Flynn, former UK and major league baseball player, was the 1999 honorary chairman.

14. U.S. News Ranks UK Hospital in Top 50 in Three Specialties

UK Hospital is ranked in the top 50 in the country in cancer, urology and gynecology care in *U.S. News & World Report's* 10th annual guide to *America's Best Hospitals*. The Markey Cancer Center is rated 17th in the country for cancer care; UK's urology program is ranked 28th; and UK's gynecology program is ranked 47th. *America's Best Hospitals* is a resource for consumers who are seeking the best care in the diagnosis, treatment and management of a difficult medical problem.

15. STEPS Receives Employer Award From Community Action Council

The Community Action Council of Fayette, Bourbon, Harrison and Nicholas counties has given the Employer Award to UK Student and Temporary Employee Placement Service (STEPS). In the past three years, STEPS has placed 50 Community Action Council participants in temporary jobs on campus. These job opportunities provided valuable work experience and often led to regular positions. The council works with low-income people to move them from welfare to work, helping to promote both job placement and retention.

16. LCC Extends Class Offerings in Mercer and Clark Counties

To better serve area communities and non-traditional students, Lexington Community College is offering classes in Winchester and Harrodsburg. LCC partnered with the Winchester-Clark County and Mercer County chambers of commerce to offer 10 evening classes at George Rogers Clark High School in Winchester and Harrodsburg High School in Harrodsburg. Community leaders and civic groups in both counties have established scholarship funds to assist students with tuition and books.

17. Rosie O'Donnell, Toys R Us Give to Young Patient's Toy Cart

Jarrett Mynear, a 10-year-old Nicholasville boy who delivers toys to patients at the UK Children's Hospital, received some help from Rosie O'Donnell and Toys R Us for his toy cart. Jarrett, who has been a cancer patient for seven years, appeared on The Rosie O'Donnell Show, where O'Donnell surprised him with the news that Toys R Us will donate enough toys for his toy cart to fill a warehouse. "Jarrett's Joy Cart" was unveiled at the UK Children's Hospital in March. He developed the idea during the time he has been a cancer patient.

18. Samaritan Volunteers Provide Scholarships for LCC Nursing Program

The Samaritan Volunteers have again provided \$8,000 to the Lexington Community College nursing program. Their gift will provide eight \$1,000 scholarships to nursing students. In addition, the volunteers are funding the purchase of a Hill-Rom bed for the nursing lab. These beds are used by nursing students to learn such skills as making beds, moving patients in and out of bed, taking care of physical hygiene and turning patients.

19. UK Legal Fraternity Named One of World's Best

The UK chapter of Phi Delta Phi Legal Fraternity at UK recently was named International Inn (Chapter) of the Year, the highest honor bestowed on a chapter. Phi Delta Phi is a service-based organization devoted to promoting legal ethics. The fraternity is involved in numerous events, including "Courtroom to Classroom" in which members visit Lexington-area high schools to make presentations about the case of Brown vs. Board of Education in order to break down racial barriers and promote interest in the College.

20. Student Awards and Honors

Jamie Horn, Medicine, received a \$25,000 Advanced Predoctoral Fellowship in Pharmacology from the Pharmaceutical Research and Manufacturers of America Foundation for a study titled "The Testing of Hydroxymethyl Sulfate Esters as Ultimate Metabolites of Carcinogenic Benz[a]anthracene Meso-Substituted Derivatives."

Andrea Jones, Music, won a scholarship to the Banff Music Festival in Canada this summer. She and Angelique Clay, music, also performed at the Aspen Music Festival, Aspen, Colorado.

David Kirven, Music, was selected as one of six finalists in this summer's Leonard Falcone International Tuba Solo Competition, Artist Division.

21. Significant Faculty and Staff Activities

David Azbill, Pamela Knapp and Joe Springer, Medicine, reported in *Nature Medicine* the discovery of the molecular signals that lead to apoptosis, or cell death, following spinal cord injuries.

Robert J. Baumann, Neurology, had a technical report, "Treatment of the Child with Simple Febrile Seizures," published in the June issue of *Pediatrics*.

Frank Butler, UK Hospital, received the Outstanding Administrator Award from the Kentucky Chapter of the American College of Healthcare Executives.

Kate Chard, Educational and Counseling Psychology, received a two-year \$300,000 grant from the Health Resources and Service Administration to implement and evaluate a support group network for families of severely injured children.

Donald Chesnut and Cortland Eble, Kentucky Geological Survey, received a \$95,000 grant from the U.S. Geological Survey to analyze coals that will fuel electric power plants for the next decade.

Stephen Clements, Educational Policy Studies and Evaluation, was selected one of Kentucky's Leaders for the New Century by the state's newspapers.

David Ditsch, Agronomy, won a National Flagship Award from the Cooperative Extension Service for outstanding natural resources and environmental management education programs.

Dennis Doherty, Pulmonary and Critical Care Medicine, was elected a member of the Executive Committee for the National Lung Health Education Program.

Carol Elam, Medicine, was named national chairwoman of all medical school admissions deans through the Association of American Medical Colleges.

Holly Gallion, Obstetrics and Gynecology, will evaluate the effectiveness of inserting a particular gene into a common cold virus to help treat ovarian cancer that has spread to the abdominal cavity.

Skip Gray, Music, was elected president of Tubists Universal Brotherhood Association.

Donn E. Hancher, Civil Engineering, received the George K. Wadlin Distinguished Service Award from the American Society for Engineering Education.

Donald C. Haney, Kentucky Geological Survey, was honored for his 21 years of service as state geologist at a symposium sponsored by the Kentucky Society of Professional Geologists, "Two Decades of Geology in the Public Interest — The Haney Symposium."

Deneese Jones, Curriculum and Instruction, was selected as one of the 30 Notable Graduates of the College of Education at Texas A&M University.

John Kiefer, Kentucky Geological Survey, was named chairman of the Central United States Earthquake Consortium State Geologists organization for 1999–2000.

Joel M. Lee, Allied Health Professions, was elected to the board of directors of the Association of University Programs in Health Administration.

Michael Lovell, Mechanical Engineering, received the Milton C. Shaw Outstanding Young Manufacturing Engineer Award from the Society of Manufacturing Engineers.

David Nash and Jeffrey Okeson, Dentistry, were named "Visionaries in American Dentistry" by the American Student Dental Association and were listed in *Dentistry99*.

Mike Nelson and Terry Scott, Special Education and Rehabilitation counseling, received a five-year \$579,004 grant from the U.S. Department of Education to fund a national center on education, disability and juvenile justice, a collaboration between UK, the University of Maryland, Arizona State University, the American Institutes for Research, and the Pacer Center.

Virginia Davis Nordin, Educational Policy Studies and Evaluation, received a Fulbright fellowship to consult with higher education officials in the Republic of Georgia.

Jeremy Popkin, History, had an article, "Historians on the Autobiographical Frontier," published as the lead article in the June 1999 issue of *American Historical Review*.

Thomas J. Stipanowich, Law, was named the first Center for Public Resources Institute-Hewlett Scholar for Dispute Resolution.

Daniel Tao, Mining Engineering, has received a Lindbergh Grant from the Charles A. and Anne Morrow Lindbergh Foundation for his project, "Converting Pyrite and Gypsum Wastes from Gas Cleaning Systems into Lime for Recycling and Other Products for Sale."

Michael R. Thon, Plant Pathology, won a two-year \$90,000 postdoctoral fellowship from the U.S. Department of Agriculture to support his research into plant diseases.

Shirley Whitescarver, Lexington Community College, was a co-author of a paper, "How accurate are our assumptions about our students' background knowledge?" in the June 1999 issue of *Advances in Physiology*.

Carolyn A. Williams, Nursing, was chosen president-elect of the American Association of Colleges of Nursing.

Judith Worell, Educational and Counseling Psychology had a book published by the American Psychological Association titled, *Beyond Appearance: A New Look at Adolescent Girls*.

PR 2

Office of the President
September 21, 1999

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken:



Approved



Disapproved



Other _____

CENTRAL ADMINISTRATION

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Vice President for Information Systems

Bereznak, Michael R., Communications Operations Director, Communication and Network Systems, effective 8/17/99.

B. RETIREMENTS

Vice President for Fiscal Affairs

Patterson, Fred, Maintenance Superintendent IV, Physical Plant Division, after 39 years, 8 months of consecutive service, under AR II-1.6-1 Section III.B, effective 7/31/99.

Winburn, Donald, Plumber, Physical Plant Division, after 24 years, 8 months of consecutive service, under AR II-1.6-1 Section III.B, effective 3/31/98.

C. EARLY RETIREMENTS

Vice President for Fiscal Affairs

Bradshaw, Johnny, Electrician II, Physical Plant Division, after 15 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 9/30/99.

Powell, Ray, Plumber III, Physical Plant Division, after 16 years, 2 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 8/31/99.

Vice President for Information Systems

Bradshaw, Anna E., Account Clerk III, Computing Services, after 35 years, 3 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 10/1/99.

Hellard, Patricia A., Data Coordinator, Computing Services, after 32 years, 5 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 9/17/99.

Powell, Russell H., Librarian I, University Libraries, after 31 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 7/31/99.

Vice President for University Relations

Abrams, Robert C., Police Lieutenant, UK Police, after 31 years, 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 9/10/99.

Webb, Ruby E., IS Tech Support Specialist I, Parking & Transportation Services, after 25 years, 3 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 10/31/99.

D. POST-RETIREMENT APPOINTMENTS

Vice President for Research and Graduate Studies

Haney, Donald C., University Relations Officer for Research and Graduate Studies (part-time), 10/1/99 through 6/30/00.

Wellons, Kennard W., Professor (part-time), Sanders-Brown Center on Aging, 6/15/99 through 6/30/99.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Vice President for Information Systems

Hady, Maureen E., Librarian III, Serials/Acquisitions, University Libraries, effective 7/1/99 through 6/30/00.

Lewis, Nancy R., Librarian IV, Processing Services, University Libraries, effective 8/2/99 through 6/30/00.

Kraemer, Elizabeth G., Librarian IV, Electronic Support, University Libraries, effective 7/15/99 through 6/30/00.

B. ADMINISTRATIVE APPOINTMENTS

Vice President for Fiscal Affairs

Davis, Roger K., Employment Manager, Human Resource Services, effective 6/14/99.

Giles, Christy L., Acting Tech Project Specialist III, Administrative Technical Support Services, effective 9/1/99.

Payne, Joey, Program Director of Self Insured Benefits Program, effective 10/1/99.

Vice President for Research and Graduate Studies

Davis, Burtron H., Scientist IV, Center for Applied Energy Research, appointed Acting Director, Center for Applied Energy Research, 8/23/99 through 6/30/00.

C. LEAVES OF ABSENCE

Vice President for Information Systems

Vass, Mary M., Librarian I (with tenure), University Libraries, sabbatical leave at full pay, effective 1/1/00 through 6/30/00.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Ammar, El-Desouky, Visiting Professor, Plant Pathology, 7/7/99 through 7/31/99.
Fox, Charles W., Assistant Professor, Entomology, 7/1/99 through 6/30/00.
Hussein, Ahmed S., Visiting Associate Professor, Animal Sciences, 7/12/99 through 8/24/99.
Xu, Qilong, Visiting Professor, Horticulture, 6/1/99 through 5/31/00.

College of Arts and Sciences

Bauer, Dale, Professor (temporary), English, 7/1/99 through 7/31/99, and Professor (with tenure), English, effective 8/1/99.
Campbell, Tracy, Associate Professor (temporary), History, 7/1/99 through 7/31/99, and Associate Professor (with tenure), History, effective 8/1/99.
Clark, Mike A., Research Professor, Biological Sciences, 8/1/99 through 6/30/00.
DeMoll, Louis Edward III, Associate Professor (without tenure), Chemistry, 8/1/99 through 6/30/01.
Furlough, Ellen B., Associate Professor (temporary), History, 7/1/99 through 7/31/99, and Associate Professor (with tenure), History, effective 8/1/99.
Hutner, Gordon, Professor (temporary), English, 7/1/99 through 7/31/99, and Professor (with tenure), English, effective 8/1/99.
Ji, Inhae, Research Professor, Chemistry, 8/16/99 through 5/31/00.
Ji, Tae, Professor (temporary), Chemistry, 7/1/99 through 7/31/99, and Professor (with tenure), Chemistry, effective 8/1/99.
Melish, Joanne, Associate Professor (temporary), History, 7/1/99 through 7/31/99, and Associate Professor (without tenure), History, 8/1/99 through 6/30/01.
Miller, Anne-Frances, Associate Professor (temporary), Chemistry, 7/1/99 through 7/31/99, and Associate Professor (with tenure), Chemistry, effective 8/1/99.
Murthy, Ganpathy, Associate Professor (temporary), Physics and Astronomy, 7/1/99 through 7/31/99, and Associate Professor (with tenure), Physics and Astronomy, effective 8/1/99.
Niebylski, Dianna, Associate Professor (temporary), Spanish and Italian, 7/1/99 through 7/31/99, and Associate Professor (with tenure), Spanish and Italian, effective 8/1/99.
Velasco, Sherry, Associate Professor (temporary), Spanish and Italian, 7/1/99 through 7/31/99, and Associate Professor (with tenure), Spanish and Italian, effective 8/1/99.

College of Business and Economics

Ambrose, Brent W., Associate Professor (with tenure), Management, effective 8/1/99.
Cooney, John W., Assistant Professor, Management, 8/1/99 through 6/30/00.
Jarley, Paul A., Professor (with tenure), Management, effective 8/1/99.
Payne, James, Visiting Associate Professor, Economics, 8/1/99 through 12/31/99.
Santhanam, Radhika, Visiting Associate Professor, Management, 8/1/99 through 6/30/00.

College of Education

Flynn, Peter, Visiting Associate Professor, Administration and Supervision, 8/16/99 through 6/30/00.
Garcia, Jesus, Professor (with tenure), Curriculum and Instruction, effective 8/16/99.

College of Engineering

Dietz, Henry Gordon, Visiting Professor, Electrical Engineering, 7/1/99 through 12/31/99.
Lonc, Zbigniew, Visiting Associate Professor, Computer Science, 8/16/99 through 5/15/00.
Plaskota, Leszek, Visiting Associate Professor, Computer Science, 8/16/99 through 5/15/00.
Singh, Vijay P., Visiting Professor, Electrical Engineering, 7/1/99 through 12/31/99.

College of Fine Arts

Lohmeyer, Gail Robinson, Professor* (with tenure), Music, effective 8/23/99.

College of Human Environmental Sciences

Hildreth, Gladys, Professor (with tenure), Family Studies, effective 9/1/99.

College of Law

Matthew, Dayna Bowen, Visiting Associate Professor, Law, 8/1/99 through 12/31/99.

B. ADMINISTRATIVE APPOINTMENTS

College of Agriculture

Schach, Horst, Professor (with tenure), Landscape Architecture, appointed Chair, Landscape Architecture, 7/1/99 through 6/30/05.
Turner, Larry, Extension Professor (with tenure), Biosystems and Agricultural Engineering, appointed Chair, Biosystems and Agricultural Engineering, 8/1/99 through 6/30/05.

* Special Title Series

College of Arts and Sciences

Canon, Bradley, Professor (with tenure), Political Science, appointed Chair, Political Science, 7/1/99 through 6/30/03.

Hoyle, Richard, Professor (with tenure), Psychology, appointed Chair, Psychology, 8/1/99 through 6/30/03.

College of Business and Economics

Mullineaux, Donald, Professor (with tenure), Management, appointed Director, Management, 9/1/99 through 6/30/03.

Tearney, Michael, Professor (with tenure), Accountancy, and Associate Dean for Administration and Academic Affairs, appointed Director, Accountancy, 7/1/99 through 6/30/01.

College of Engineering

Das, Subodh, appointed Director, Center for Aluminum Technology, effective 10/1/99.

Walcott, Bruce, Associate Professor (with tenure), Electrical Engineering, appointed Associate Dean for Administration and Academic Affairs, effective 7/1/99.

College of Human Environmental Sciences

Hildreth, Gladys, Professor (with tenure), Family Studies, appointed Chair, Family Studies, 9/1/99 through 6/30/05.

Vice Chancellor for Academic Services

Schach, Janice, Professor (with tenure), Landscape Architecture, College of Agriculture, appointed Director of the Teaching and Learning Center and Associate Dean of Undergraduate Studies, 7/1/99 through 6/30/03.

C. ADMINISTRATIVE REAPPOINTMENT

Graduate School

Toma, Eugenia, Professor (with tenure), Martin School of Public Policy and Administration, and Director, Martin School of Public Policy and Administration, reappointed Director, Martin School of Public Policy and Administration, 8/1/99 through 7/31/03.

D. REAPPOINTMENTS

College of Architecture

Eardley, Anthony, Professor (part-time), Architecture, 7/1/99 through 6/30/00.

College of Engineering

Calvert, Kenneth L., Associate Professor (without tenure), Computer Science, 7/1/99 through 6/30/01.

Zhang, Yu-Ming, Associate Professor (temporary), Electrical Engineering, 8/16/99 through 12/31/99.

College of Law

Hamblin, Pierce W., Professor (part-time), Law, 8/16/99 through 12/31/99.

Larson, Raymond M., Professor (part-time), Law, 8/16/99 through 5/15/00.

Graduate School

Heller, Leonard, Adjunct Professor, Martin School of Public Policy and Administration, 8/16/99 through 5/15/00.

Motzer, Earl, Associate Adjunct Professor, Martin School of Public Policy and Administration, 7/1/99 through 6/30/00.

E. PROMOTIONS

College of Arts and Sciences

Hogstrand, Christer, from Assistant Professor, Biological Sciences, to Associate Professor (with tenure), Biological Sciences, effective 7/1/99.

Hower, James C., from Adjunct Associate Professor, Geological Sciences, to Adjunct Professor, Geological Sciences, 8/1/99 through 6/30/01.

F. CHANGE

College of Education

Anderman, Eric M., to change from Associate Professor* (with tenure), Educational and Counseling Psychology, to Associate Professor (with tenure), Educational and Counseling Psychology, effective 8/25/99.

* Special Title Series

G. TERMINAL REAPPOINTMENT

College of Communications and Information Studies

Finn, T. Andrew, Associate Professor (without tenure), Journalism and Telecommunications, 7/1/99 through 6/30/00.

H. RETIREMENTS

College of Agriculture

Hall, Harry H., Professor (with tenure), Agricultural Economics, after 30 years and 2 months of consecutive service, under Section III.B of AR II-1.6-1, effective 8/31/99.

Sims, Novi Marie, County Extension Secretary, Area Extension Program, after 26 years and 10 months of consecutive service, under Section III.B of AR II-1.6-1, effective 6/30/98.

College of Arts and Sciences

Moore, James D., Program Coordinator I, Biological Sciences, after 7 years and 10 months of consecutive service, under Section III.B of AR II-1.6-1, effective 6/1/99.

College of Education

Worell, Judith P., Professor (with tenure), Educational and Counseling Psychology, after 32 years and 2 months of consecutive service, under Section III.B of AR II-1.6-1, effective 6/30/99.

College of Fine Arts

Graham, Arthur, Professor* (with tenure), Music, after 30 years and 9 months of consecutive service, under Section III.B of AR II-1.6-1, effective 6/30/99.

Stephenson, Mary, Professor* (with tenure), Theatre, disabled faculty, Employee Benefits, after 29 years and 10 months of consecutive service, under Section III.B of AR II-1.6-1, effective 6/30/99.

I. EARLY RETIREMENTS

College of Agriculture

Bowman, Rachel, Program Assistant, Home Economics Extension, after 16 years and 6 months of consecutive service and a total of 28 years and 2 months of service, under Section III.A.2 of AR II-1.6-1, effective 8/31/99.

* Special Title Series

College of Business and Economics

King, Betty Rae, Admissions Officer I, Undergraduate Advising Center, after 39 years and 1 month of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 1/3/00.

College of Communications and Information Studies

Lindeman, Karen K., Administrative Staff Officer II, Administration, after 33 years and 5 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 8/31/99.

College of Education

Blackhurst, A. Edward, Professor (with tenure), Special Education and Rehabilitation Counseling, after 33 years and 1 month of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 7/31/99.

College of Engineering

Mosley, Mack, Jr., Graphic Design Technician, Engineering Alumni and Development, after 28 years and 11 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 8/24/99.

College of Social Work

Ballantine, John R., Associate Professor* (with tenure), Social Work, after 23 years and 11 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 6/30/99.

Wolfe, L. C., Academic Administrative Coordinator, Social Work, after 17 years and 1 month of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 8/31/99.

J. POST-RETIREMENT APPOINTMENTS

College of Agriculture

Plaster, Buren, Senior Engineering Aide (part-time), Biosystems and Agricultural Engineering, 7/1/99 through 12/31/99.

College of Arts and Sciences

Howard, Henry, Professor (part-time), Mathematics, 7/1/99 through 6/30/00.

Impey, Michael, Professor (part-time), Spanish and Italian, 7/1/99 through 6/30/00.

* Special Title Series

College of Education

Blackhurst, A. Edward, Professor (part-time), Special Education and Rehabilitation Counseling, 8/1/99 through 6/30/00.

Rizk, Abdelmonem, Associate Professor (part-time), Kinesiology and Health Promotion, 8/16/99 through 12/31/99.

College of Social Work

Wolfe, L. C., Instructor (part-time), Social Work, 9/1/99 through 6/30/00.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Gnatuk, Carole A., Assistant Professor (temporary), Cooperative Extension Service, and joint appointment as Assistant Professor (temporary), Family Studies, College of Human Environmental Sciences, 8/16/99 through 8/15/00.

College of Architecture

Francisco, Scott, Instructor (temporary), Architecture, 8/1/99 through 6/30/00.

Gilbert, John C., Assistant Professor (temporary), Architecture, 7/1/99 through 5/31/00.

Luhan, Gregory, Lecturer, Architecture, 8/16/99 through 6/30/00.

Rogier, Francesca, Assistant Professor, Architecture, 1/1/00 through 6/30/01.

Schneider, Gretchen, Lecturer, Architecture, 8/1/99 through 6/30/00.

College of Arts and Sciences

Bebensee, George, Lecturer, English, 8/16/99 through 6/30/00.

Brown, Tamara, Assistant Professor, Psychology, 8/16/99 through 6/30/01.

Ellis, Aime, Assistant Professor, English, 8/16/99 through 6/30/01.

Elskus, Adria, Assistant Professor, Biological Sciences, 8/16/99 through 6/30/01.

Fillmore, Mark, Assistant Professor, Psychology, 8/16/99 through 6/30/01.

Fisher, R. Stephen, Assistant Adjunct Professor, Geological Sciences, 7/1/99 through 6/30/01.

Fleck, Patrice, Lecturer, English, 8/16/99 through 6/30/00.

Gebert, Mark A., Visiting Assistant Professor, Statistics, 8/16/99 through 6/30/00.

Giancola, Peter, Assistant Professor, Psychology, 8/16/99 through 6/30/01.

Gibler, Douglas, Assistant Professor, Political Science, 8/16/99 through 6/30/01.

Goldberg, C. Sanford, Assistant Professor, Philosophy, 8/16/99 through 6/30/01.

Graham, Uschi M., Assistant Adjunct Professor, Geological Sciences, 7/1/99 through 6/30/01.

Gran, Brian, Assistant Professor, Sociology, 8/16/99 through 6/30/01.

Heller, Clayton, Assistant Professor (temporary), Physics and Astronomy, 8/16/99 through 6/30/00.
Inoue, Masamichi, Assistant Professor, Russian and Eastern Studies, 8/16/99 through 6/30/01.
Kirschenbaum, Matthew, Assistant Professor, English, 8/16/99 through 6/30/01.
Korsch, Wolfgang, Assistant Professor, Physics and Astronomy, 8/16/99 through 6/30/01.
Margot, Francois Lucien, Assistant Professor, Mathematics, 8/16/99 through 6/30/01.
Martin, Andrew D., Instructor (temporary), Mathematics, 8/16/99 through 12/31/99.
Morley, Elizabeth, Lecturer, English, 8/16/99 through 6/30/00.
Pagano, Steven, Instructor (temporary), Mathematics, 8/16/99 through 6/30/00.
Pierce, Yolanda, Assistant Professor, English, 8/16/99 through 6/30/01.
Rush, Victor N., Assistant Research Professor, Biological Sciences, 7/1/99 through 6/30/00.
Testa, Stephen, Assistant Professor (temporary), Chemistry, 6/1/99 through 6/30/99 and Assistant Professor, Chemistry, 7/1/99 through 6/30/01.
Toumey, Christopher P., Assistant Adjunct Professor, Anthropology, 7/1/99 through 6/30/01.
Wang, Changyou, Assistant Professor, Mathematics, 8/16/99 through 6/30/01.
Weisenfluh, Gerald A., Assistant Adjunct Professor, Geological Sciences, 7/1/99 through 6/30/01.
Wyatt, Irene Chico, Instructor (temporary), Spanish and Italian, 7/1/99 through 6/30/00.
Zurn, Christopher, Assistant Professor, Philosophy, 8/16/99 through 6/30/01.

College of Business and Economics

Hoffman, Bronwyn, Instructor (temporary), Management, 8/16/99 through 5/15/00.
Lewis, Thomas T., Instructor (part-time), Accountancy, 8/16/99 through 5/15/00.
Scott, John A., Instructor (part-time), Management, 8/16/99 through 5/15/00.

College of Communications and Information Studies

Anyaeibunam, Chike, Assistant Professor, Journalism and Telecommunications, 1/1/00 through 6/30/00.
Bryant, Rosemary, Lecturer, Communication, 8/16/99 through 5/15/00.
Cappe, Yvonne F., Assistant Professor*, Journalism and Telecommunications, 8/16/99 through 6/30/00.
Miller, Robert O., Instructor (part-time), Communication, 8/16/99 through 12/31/99.
Shelton, Michael, Lecturer, Communication, 8/16/99 through 5/15/00.
White, Jackie, Visiting Assistant Professor, Library and Information Science, 8/16/99 through 5/31/00.

College of Education

Alexander-Snow, Mia, Instructor (part-time), Educational Policy Studies and Evaluation, 8/16/99 through 12/31/99.

* Special Title Series

Cook, Dawn, Instructor (part-time), Educational and Counseling Psychology, 8/16/99 through 12/31/99.
Cunningham, Melissa, Instructor (part-time), Curriculum and Instruction, 8/16/99 through 12/31/99.
Duffy, Katherine, Instructor (part-time), Kinesiology and Health Promotion, 8/16/99 through 12/31/99.
Easley, Charlotte, Instructor (part-time), Curriculum and Instruction, 8/16/99 through 12/31/99.
Hale, Betty, Instructor (part-time), Special Education and Rehabilitation Counseling, 8/16/99 through 12/31/99.
Jackson, Jim, Instructor (part-time), Administration and Supervision, 8/16/99 through 12/31/99.
Johnson, Wardell, Instructor (part-time), Kinesiology and Health Promotion, 8/16/99 through 12/31/99.
Jolivette, Kristine, Assistant Professor*, Special Education and Rehabilitation Counseling, 8/16/99 through 6/30/00.
la Cour, Linda, Instructor (part-time), Curriculum and Instruction, 8/16/99 through 12/31/99.
Lenhof, Karen, Instructor (part-time), Educational and Counseling Psychology, 8/16/99 through 12/31/99.
Mpofu, Elias, Lecturer, Special Education and Rehabilitation Counseling, 8/16/99 through 6/30/00.
Privett, Nawanna, Visiting Assistant Professor, Administration and Supervision, 9/1/99 through 5/31/00.
Stilwell, Doris, Visiting Assistant Professor, Educational and Counseling Psychology, 7/1/99 through 6/30/00.

College of Engineering

Abu-Lebdeh, Ghassan, Assistant Professor, Civil Engineering, 7/1/99 through 6/30/01.
Capece, Vincent R., Assistant Professor*, Mechanical Engineering, 7/1/99 through 6/30/01.
Chafins, Billie Sue, Instructor (temporary), Computer Science, 8/16/99 through 5/15/00.
Chen, Zhi, Assistant Professor, Electrical Engineering, 7/1/99 through 6/30/01.
Hall, Arlie, Assistant Professor (voluntary), Mechanical Engineering, 6/1/99 through 6/30/00.
Levy, Amy M., Instructor (part-time), Computer Science, 8/16/99 through 12/31/99.
Phillips, Taunya, Lecturer, Mechanical Engineering, 7/1/99 through 6/30/00.
Silverstein, David L., Assistant Professor*, Chemical and Materials Engineering, 7/1/99 through 6/30/01.

College of Fine Arts

Baldwin, Robert, Assistant Professor*, Music, 8/1/99 through 6/30/00.
Clauter, Nancy, Assistant Professor*, Music, 7/1/99 through 6/30/00.

* Special Title Series

DeFrancesco, Gion, Assistant Professor, Theatre, 7/1/99 through 6/30/00.
Guernsey, Daniel R., Assistant Professor (temporary), Art, 8/1/99 through 6/30/00.
Hartman, Nyalls, Assistant Professor, Theatre, 7/1/99 through 6/30/00.
Hodson, Mary F., Assistant Professor, Theatre, 7/1/99 through 6/30/00.
Holly, Rolf, Assistant Professor*, Music, 7/1/99 through 6/30/00.
Maloney, Doreen M., Assistant Professor, Art, 7/1/99 through 6/30/00.
Vorobieva, Irina V., Assistant Professor*, Music, 8/1/99 through 6/30/00.
Zirkle, Ross E., Assistant Professor (temporary), Art, 7/1/99 through 6/30/00.

College of Human Environmental Sciences

Cook-Newell, Margaret, Instructor (part-time), Nutrition and Food Science, 8/16/99 through 5/15/00.
Cooney, Kelly A., Instructor (part-time), Nutrition and Food Science, 8/16/99 through 5/15/00.
Coupal, Jeanette, Instructor (part-time), Family Studies, 8/16/99 through 12/31/99.
Goss, Clifford R., Instructor (part-time), Interior Design, Merchandising and Textiles, 8/16/99 through 12/31/99.
O'Malley, Angela, Instructor (temporary), Family Studies, 8/16/99 through 5/15/00.
Rocchi, Felicia, Instructor (temporary), Nutrition and Food Science, 8/16/99 through 5/15/00.

College of Law

Redford, Carroll M. III, Instructor (part-time), Law, 10/1/99 through 2/29/00.
Sodini, Katherine Lewis, Instructor (part-time), Law, 10/1/99 through 2/29/00.
Welch, John Kevin, Instructor (part-time), Law, 10/1/99 through 2/29/00.
Young, Margaret Mary, Instructor (part-time), Law, 10/1/99 through 2/29/00.

College of Social Work

Abdon-Beckman, DeAnna, Instructor (part-time), Social Work, 8/16/99 through 5/15/00.
Barnett, Carol J., Instructor (part-time), Social Work, 8/16/99 through 5/15/00.
Bender, Brenda C., Clinical Instructor, Social Work, 7/1/99 through 6/30/00.
Bryson, Sharon C., Instructor (part-time), Social Work, 8/16/99 through 5/15/00.
Ersing, Robin L., Assistant Professor, Social Work, 8/16/99 through 6/30/00.
Faragher, Teri M., Instructor (part-time), Social Work, 8/16/99 through 5/15/00.
Grogan-Kaylor, Andrew, Assistant Professor, Social Work, 8/16/99 through 6/30/00.
Huff, Marlene B., Assistant Professor, Social Work, 8/16/99 through 6/30/00.
Kaplan, Laura E., Instructor (part-time), Social Work, 8/16/99 through 5/15/00.
Masters, Jane B., Instructor (part-time), Social Work, 8/16/99 through 5/15/00.
Otis, Melanie D., Instructor (temporary), Social Work, 7/1/99 through 6/30/00.
Riley, Sharon E., Assistant Professor, Social Work, 7/1/99 through 6/30/00.
Robinson, Deirdra, Clinical Instructor, Social Work, 7/1/99 through 6/30/00.
Weeks, Pamela L., Clinical Assistant Professor, Social Work, 7/1/99 through 6/30/00.

* Special Title Series

Graduate School

Trautman, Rhonda Riherd, Assistant Professor (temporary), Martin School of Public Policy and Administration, 8/16/99 through 5/15/00.

Honors Program

Dendle, Peter J., Visiting Assistant Professor, Honors, 8/16/99 through 5/15/00.

Wilke, David, Visiting Assistant Professor, Honors, 8/16/99 through 5/15/00.

Lexington Community College

Allnutt, David, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Andersen, Sharon, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Blount, Stephen C., Instructor (temporary), Lexington Community College, 8/19/99 through 5/31/00.

Brady, Shawn P., Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Brandenburg, Sara, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Cahoon, Jeanne H., Instructor (temporary), Lexington Community College, 8/1/99 through 5/31/00.

Chiles, Craig, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Chirwa, Robert M.N., Instructor, Lexington Community College, 8/19/99 through 6/30/00.

Childress, Tina, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Collins, Judith, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Cooper, Bonnie, Instructor (temporary), Lexington Community College, 8/1/99 through 5/31/00.

Coupal, Jack, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Crum, Donna J., Instructor, Lexington Community College, 8/1/99 through 6/30/00.

Dempsey, Amy L., Instructor, Lexington Community College, 8/1/99 through 6/30/00.

Elzey, Barbara E., Instructor, Lexington Community College, 8/1/99 through 6/30/00.

Fahrson, Jennifer, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Fearin, Lisa, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Fields, Debbie, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Gates, Julia L., Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Glasscock, Rebecca C., Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Golanka, Stephen R., Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Gross, Kelly, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Guiseppi, Lori P., Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Hackett, Michael, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Hall, Debra L., Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Healander, Beth J., Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Hopper, Kevin R., Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Human, Renee, Instructor (temporary), Lexington Community College, 8/1/99 through 12/31/99.
Hutton, Leslie M., Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Kolinda, Cheryl, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Lane, Leon, Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Magoun, Sylvia, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Manor, Cynthia, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Marlowe, David, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Martin, Sharon K., Instructor (temporary), Lexington Community College, 8/20/99 through 12/31/99.
Miriti, Landrea, Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Motamedi, Hossein, Instructor (temporary), Lexington Community College, 8/1/99 through 5/31/00.
Mullaney, Sean T., Instructor (temporary), Lexington Community College, 8/1/99 through 5/31/00.
Powell, Ronnie, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Reed, Rebecca, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Rizk, Camellia, Instructor (temporary), Lexington Community College, 8/20/99 through 12/31/99.
Roberts, Patti, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Robinson, Donna M., Instructor (temporary), Lexington Community College, 8/1/99 through 5/31/00.
Ross-Brown, Kimberly, Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Sammons, Shawna, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Sanders, Pamela A., Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Santana, Gary L., Instructor, Lexington Community College, 7/1/99 through 6/30/00.
Scheibel, Patricia L., Instructor (temporary), Lexington Community College, 8/1/99 through 5/31/00.
Shely, Gina, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Sletton, Karen, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Smith, Stacy, Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Stipp, Vicki, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02. Wallace, Cynthia, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Wallace, Cynthia, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Walmsley, Lee Anne, Instructor, Lexington Community College, 8/1/99 through 6/30/00.
Wierzhinski, Rebecca, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.
Wilson, Matt, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Rawls, John, Professor (with tenure), Biological Sciences, and Acting Director, Biological Sciences, reappointed Acting Director, Biological Sciences, 7/1/99 through 6/30/00.

College of Education

Danner, Fred, Professor (with tenure), Educational and Counseling Psychology, and Acting Chair, Educational and Counseling Psychology, reappointed Acting Chair, Educational and Counseling Psychology, 7/1/99 through 6/30/00.

College of Human Environmental Sciences

Brock, Gregory, Professor (with tenure), Family Studies, and Acting Chair, Family Studies, reappointed Acting Chair, Family Studies, 7/1/99 through 8/31/99.
Forsythe, Hazel, Associate Professor (with tenure), Nutrition and Food Science, appointed Acting Chair, Nutrition and Food Science, 9/1/99 through 6/30/00.

Lexington Community College

Boyatt, Iva, Professor (with tenure), Lexington Community College, appointed Acting Dean of Academic Affairs, Lexington Community College, 7/1/99 through 12/31/99.

C. JOINT REAPPOINTMENT

College of Arts and Sciences

Hager, Gregory L., Assistant Professor, Political Science, joint reappointment as Assistant Professor, Martin School of Public Policy and Administration, 1/1/99 through 12/31/00.

D. REAPPOINTMENTS

College of Agriculture

Arthur, Mary A., Assistant Professor, Forestry, 7/1/99 through 12/31/99.

Foree, Robert H., Instructor (part-time), Agricultural Economics, 8/25/99 through 12/17/99.

Schneider, Krista, Visiting Assistant Professor, Landscape Architecture, 8/1/99 through 5/31/00.

College of Architecture

Barry, Michael, Instructor (part-time), Architecture, 8/1/99 through 12/31/99.

Biagi, David, Instructor (part-time), Architecture, 8/16/99 through 12/31/99.

Huestis, G. Chris, Instructor (part-time), Architecture, 8/16/99 through 12/31/99.

Hutchinson, Martha, Instructor (part-time), Architecture, 8/1/99 through 12/31/99.

Jacobs, Michael, Instructor (part-time), Architecture, 8/16/99 through 12/31/99.

Jahed, Peyman, Assistant Professor (part-time), Architecture, 7/1/99 through 6/30/00.

Obuchi, Yusuke, Instructor (part-time), Architecture, 8/16/99 through 12/31/99.

Pride-Wells, Michael, Assistant Professor, Architecture, 7/1/99 through 6/30/00.

Romanowitz, Byron, Instructor (part-time), Architecture, 8/1/99 through 12/31/99.

College of Arts and Sciences

Clark, Stephen A., Lecturer, Statistics, 7/1/99 through 6/30/00.

Kidanemariam, Ande, Instructor (temporary), Sociology, 8/16/99 through 12/31/99.

Li, Ren-Cang, Assistant Professor, Mathematics, 7/1/99 through 6/30/01.

McKoski, Nancy, Assistant Professor (temporary), English, 7/1/99 through 6/30/00.

Verner, Dmitri, Assistant Research Professor, Physics and Astronomy, 7/1/99 through 6/30/00.

College of Business and Economics

Cooley, Edward L., Instructor (part-time), Management, 8/16/99 through 5/15/00.

Martie, Charles W., Instructor (part-time), Economics, 8/16/99 through 5/15/00.
Myers, Larry W., Instructor (part-time), Management, 8/16/99 through 5/15/00.
Parker, Larry A., Instructor (part-time), Management, 8/16/99 through 5/15/00.
Payne, Gary D., Instructor (part-time), Management, 8/16/99 through 5/15/00.
Wong, Wilson, Instructor (part-time), Management, 8/16/99 through 5/15/00.

College of Communications and Information Studies

Altman, Dennis, Assistant Professor*, Journalism and Telecommunications, 7/1/99 through 6/30/00.
Bryant, Rosemary, Instructor (part-time), Journalism and Telecommunications, 7/1/99 through 7/31/99.
Hamilton, Mary, Instructor (part-time), Library and Information Science, 8/1/99 through 12/31/99.
Hollis, Dan, Instructor (part-time), Journalism and Telecommunications, 7/1/99 through 7/31/99.
Ireland, Sandra, Instructor (part-time), Library and Information Science, 8/1/99 through 5/31/00.
Robinson, Karla Salmon, Assistant Professor, Journalism and Telecommunications, 7/1/99 through 6/30/00.
Ryan, Elizabeth H., Assistant Professor*, Journalism and Telecommunications, 7/1/99 through 6/30/00.
Stephens, Rebecca, Instructor (part-time), Library and Information Science, 8/1/99 through 12/31/99.
Tolzmann, Don, Instructor (part-time), Library and Information Science, 8/1/99 through 12/31/99.
Van Willigen, Jacqueline E., Instructor (part-time), Library and Information Science, 8/1/99 through 12/31/99.

College of Education

Allen, Jeffrey, Instructor (part-time), Kinesiology and Health Promotion, 8/16/99 through 12/31/99.
Anderman, Lynley, Assistant Research Professor, Educational and Counseling Psychology, 8/16/99 through 5/15/00.
Babbage, Keen, Instructor (part-time), Administration and Supervision, 8/16/99 through 12/31/99.
Beach, David, Instructor (part-time), Special Education and Rehabilitation Counseling, 8/16/99 through 12/31/99.
Boggs, Mary, Instructor (part-time), Curriculum and Instruction, 8/16/99 through 12/31/99.
Cole, Kathleen, Instructor (part-time), Kinesiology and Health Promotion, 8/16/99 through 12/31/99.
Daopoulos, James, Instructor (part-time), Kinesiology and Health Promotion, 8/16/99 through 12/31/99.

* Special Title Series

Denny, Elizabeth, Instructor (part-time), Curriculum and Instruction, 8/16/99 through 12/31/99.
Elliott, John, Instructor (part-time), Educational and Counseling Psychology, 8/16/99 through 12/31/99.
Geldhof, Eric, Instructor (part-time), Kinesiology and Health Promotion, 8/16/99 through 12/31/99.
Grisham-Brown, Jennifer, Assistant Research Professor, Special Education and Rehabilitation Counseling, 7/1/99 through 6/30/00.
Jensen, Jane M., Assistant Professor, Educational Policy Studies and Evaluation, 7/1/99 through 6/30/00.
Leet, Vicki, Instructor (part-time), Curriculum and Instruction, 8/16/99 through 12/31/99.
McFarland, Martha, Instructor (part-time), Kinesiology and Health Promotion, 8/16/99 through 12/31/99.
Nichols, Michael R., Assistant Adjunct Professor, Educational and Counseling Psychology, 7/1/99 through 6/30/00.
Nuckols, Leslie, Instructor (part-time), Curriculum and Instruction, 8/16/99 through 12/31/99.
Pearsall, Dixon, Instructor (part-time), Special Education and Rehabilitation Counseling, 8/16/99 through 12/31/99.
Rice, Sherri, Instructor (part-time), Special Education and Rehabilitation Counseling, 8/16/99 through 12/31/99.
Sigafus, Bonnie, Instructor (part-time), Educational Policy Studies and Evaluation, 8/16/99 through 5/15/00.
Trosper, Ernie, Instructor (part-time), Curriculum and Instruction, 8/16/99 through 12/31/99.

College of Engineering

Oldham, Joseph D., Instructor (temporary), Computer Science, 8/16/99 through 5/15/00.
Reynolds, James D., Assistant Adjunct Professor, Civil Engineering, 7/1/99 through 6/30/00.
Schimpeler, Charlie, Instructor (part-time), Civil Engineering, 8/16/99 through 5/15/00.

College of Fine Arts

Boulden, George, Assistant Professor*, Music, 7/1/99 through 6/30/00.
VanHurley, Vickie L., Assistant Professor (temporary), Art, 7/1/99 through 6/30/00.

College of Human Environmental Sciences

Bogardus, Susan, Instructor (part-time), Nutrition and Food Science, 8/16/99 through 5/15/00.
Coleman, Victoria, Instructor (part-time), Interior Design, Merchandising and Textiles, 8/16/99 through 5/15/00.

* Special Title Series

Durbin, Eileen D., Instructor (part-time), Family Studies, 8/16/99 through 5/15/00.
Glass-Lamb, Tracey, Instructor (part-time), Family Studies, 8/16/99 through 5/15/00.
Ludewig, Gabriele, Assistant Research Professor, Nutrition and Food Science, 7/1/99 through 6/30/00.
Nolan, Bill, Instructor (part-time), Family Studies, 8/2/99 through 5/15/00.

College of Law

Crittendon, Roger L., Instructor (part-time), Law, 10/1/99 through 2/29/00.
Grise, Jane Bloom, Instructor (part-time), Law, 10/1/99 through 2/29/00.
Hall, Jennifer L., Instructor (part-time), Law, 10/1/99 through 2/29/00.
Humphreys, Gene L., Instructor (part-time), Law, 10/1/99 through 2/29/00.
Osborne, Duane F., Instructor (part-time), Law, 10/1/99 through 2/29/00.
Shier, William T., Instructor (part-time), Law, 10/1/99 through 2/29/00.
Underwood, Virginia H., Instructor (part-time), Law, 10/1/99 through 2/29/00.

College of Social Work

Bowling, Linda R., Instructor (part-time), Social Work, 8/16/99 through 5/15/00.
Cooper, Bob, Instructor (part-time), Social Work, 8/16/99 through 5/15/00.
Oliver, Kenneth, Instructor (part-time), Social Work, 8/16/99 through 5/15/00.
Peacock, Patricia, Instructor (part-time), Social Work, 8/16/99 through 5/15/00.
Silman, Miriam S., Instructor (part-time), Social Work, 8/16/99 through 5/15/00.
Waldner, Barbara, Instructor (part-time), Social Work, 8/16/99 through 5/15/00.
Worful, Barbara, Instructor (part-time), Social Work, 8/16/99 through 5/15/00.

Graduate School

Murray, Sheila E., Assistant Professor, Martin School of Public Policy and Administration, 7/1/99 through 6/30/00.
Talbert, Jeffery C., Assistant Professor, Martin School of Public Policy and Administration, 7/1/99 through 6/30/00.
Wackerbarth, Sarah B., Assistant Professor, Martin School of Public Policy and Administration, 7/1/99 through 6/30/00.

Lexington Community College

Ellis, Alicia, Instructor, Lexington Community College, 7/1/99 through 6/30/00.
Hipsley, Susan O., Instructor (temporary), Lexington Community College, 8/1/99 through 5/31/00.
McCane, Rebecca J., Instructor (temporary), Lexington Community College, 8/1/99 through 12/31/99.

E. TERMINAL REAPPOINTMENTS

College of Fine Arts

Hammond, Orville, Assistant Professor*, Music, 7/1/99 through 6/30/00.

College of Human Environmental Sciences

Gilbert, Jaesook Lee, Assistant Professor*, Family Studies, 7/1/99 through 6/30/00.

College of Social Work

Chima, Felix O., Assistant Professor, Social Work, 7/1/99 through 6/30/00.

F. LEAVES OF ABSENCE

College of Agriculture

Kelley, Diane T., County Extension Agent, Cooperative Extension Service, Educational leave with full salary, 8/16/99 through 1/3/00.

Muller, Robert, Associate Professor (with tenure), Forestry, Sabbatical leave with half salary, 7/1/00 through 6/30/01.

College of Architecture

Levine, Richard, Professor (with tenure), Architecture, Sabbatical leave with half salary, 7/1/99 through 6/30/00.

Pride-Wells, Michael, Assistant Professor, Architecture, Educational leave without pay, 7/1/98 through 6/30/99, and 7/1/99 through 6/30/00.

College of Arts and Sciences

Albisetti, James, Professor (with tenure), History, Sabbatical leave with half salary, 1/1/00 through 12/31/00.

Bai, Zhaojun, Associate Professor (with tenure), Mathematics, Leave without pay, 7/1/99 through 6/30/00.

Blythe, Joan, Associate Professor (with tenure), English, Leave without pay, 7/1/99 through 6/30/00.

Brennan, James, Professor (with tenure), Mathematics, Sabbatical leave with full salary, 1/1/00 through 6/30/00.

Cooper, Patricia, Associate Professor (with tenure), History, Sabbatical leave with half salary, 7/1/99 through 6/30/00.

Finney, Nikky, Associate Professor (with tenure), English, Leave without pay, 7/1/99 through 6/30/00.

Freeman, Michael, Professor (with tenure), Mathematics, Sabbatical leave with full salary, 1/1/00 through 6/30/00.

* Special Title Series

Fugate, Brauch, Professor (with tenure), Mathematics, Sabbatical leave with full salary, 7/1/99 through 12/31/99.
Hogstrand, Christer, Associate Professor (with tenure), Biological Sciences, Leave without pay, 7/1/99 through 6/30/00.
Kern, Monica Harris, Associate Professor (with tenure), Psychology, Leave without pay, 9/25/99 through 12/31/99.
Lee, Jonathan, Professor (with tenure), Mathematics, Sabbatical leave with full salary, 7/1/99 through 12/31/99, and Scholarly fellowship leave, 1/1/00 through 6/30/00.
Leep, David, Professor (with tenure), Mathematics, Sabbatical leave with full salary, 1/1/00 through 6/30/00.
Lewis, John, Professor (with tenure), Mathematics, Scholarly fellowship leave, 1/1/00 through 6/30/00 and Sabbatical leave with full salary, 7/1/00 through 12/31/00.
Mortensen, Karen, Associate Professor (with tenure), Mathematics, Leave without pay, 7/1/99 through 6/30/00.
Mortensen, Peter, Associate Professor (with tenure), English, Leave without pay, 7/1/99 through 6/30/00.
Olster, David, Associate Professor (with tenure), History, Sabbatical leave with half salary, 7/1/99 through 6/30/00.
Swingle, Larry, Professor (with tenure), English, Sabbatical leave with full salary, 7/1/99 through 12/31/99.
Wang, Changyou, Assistant Professor, Mathematics, Scholarly fellowship leave, 7/1/99 through 6/30/00.

College of Business and Economics

Furst, Richard, Professor (with tenure), Management, and Dean, College of Business and Economics, Sabbatical leave with full salary, 7/1/00 through 12/31/00.

College of Education

Nordin, Virginia Davis, Associate Professor (with tenure), Educational Policy Studies and Evaluation, Leave without pay, 1/1/00 through 6/30/00.
Weiner, Andrew, Associate Professor (with tenure), Kinesiology and Health Promotion, Sabbatical leave with full salary, 1/1/00 through 6/30/00.

College of Engineering

Serrano, Sergio, Professor (with tenure), Civil Engineering, Sabbatical leave with full salary, 7/1/00 through 12/31/00.
Smith, William, Associate Professor (with tenure), Electrical Engineering, Sabbatical leave with half salary, 7/1/99 through 6/30/00.

College of Human Environmental Sciences

Townley, Kimberly F., Associate Professor (with tenure), Family Studies, Leave without pay, 7/1/99 through 6/30/00.

Graduate School

Murray, Sheila E., Assistant Professor, Martin School of Public Policy and Administration, Leave without pay, 7/1/99 through 6/30/00.

Lexington Community College

Hollingsworth, Randolph, Associate Professor (with tenure), Lexington Community College, Leave without pay, 7/1/99 through 6/30/00.

G. LEAVES OF ABSENCE CHANGES

College of Agriculture

Adkins, Gwenda L., County Extension Agent, Cooperative Extension Service, to rescind Educational leave with full salary, 7/1/99 through 12/24/99.

Badenhop, Suzanne, Extension Professor (with tenure), Home Economics Extension, to change from Sabbatical leave with full salary, 9/20/99 through 3/19/00, to Sabbatical leave with full salary, 9/23/99 through 3/22/00.

College of Engineering

Marek, Victor, Professor (with tenure), Computer Science, to rescind Sabbatical leave with full salary, 7/1/99 through 12/31/99.

Smith, Suzanne, Associate Professor (with tenure), Mechanical Engineering, to change from Sabbatical leave with full salary, 7/1/99 through 12/31/99, to Sabbatical leave with half salary, 7/1/99 through 6/30/00.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Dentistry

Haug, Richard H., Professor* (with tenure), Oral Health Science, effective 10/1/99.
Miles, Dale A., Professor (with tenure), Oral Health Science, effective 6/15/99.

College of Medicine

Baker, Robert S., Professor (with tenure), Ophthalmology, joint appointments as Professor (without tenure), Pediatrics and Professor (without tenure), Surgery and Professor (without tenure), Neurology, named to the Robert L. Bergen, M.D. Professorship in Ophthalmology, effective 9/1/99.
Booth, David C., Professor* (with tenure), Internal Medicine, named to the endowed Professorship in Cardiology, effective 9/1/99.
Dickson, Robert C., Professor (with tenure), Biochemistry, named to the endowed Professorship in Biochemistry, effective 9/1/99.
Espinosa, Patricio, Professor (temporary), Neurology, 8/2/99 through 6/30/00.
Fakhoury, Toufic A., Associate Professor* (without tenure), Neurology, 9/1/99 through 6/30/00.
Fontenot, Michael T., Assistant Professor*, Obstetrics and Gynecology, 7/19/99 through 6/30/00.
Gerhardt, Greg A., Professor (with tenure), Anatomy and Neurobiology, effective 9/1/99.
Goodman, Philip, Associate Professor (temporary), Diagnostic Radiology, 10/1/99 through 6/30/00.
Hamann, Scott R., Assistant Professor, Anesthesiology, 8/18/99 through 6/30/00.
Herman, James P., Associate Professor (with tenure), Anatomy and Neurobiology, named to the Dr. James W. and Dr. Barbara Holsinger Professorship, effective 10/1/99.
Hersh, Louis B., Professor (with tenure), Biochemistry, joint appointment as Professor (without tenure), Graduate School, Graduate Center for Toxicology, named to the endowed Professorship in Biochemistry, effective 9/1/99.
Hirschowitz, Edward A., Assistant Professor, Internal Medicine, named to the Merck Professorship in Pulmonary Medicine, 9/1/99 through 6/30/00.
Jahania, Mohammed S., Clinical Assistant Professor, Surgery, 8/18/99 through 6/30/00.
Joyce, Jennifer M., Assistant Professor*, Family Practice, 10/1/99 through 6/30/00.
Keller, Bradley B., Associate Professor (without tenure), Pediatrics, named to the Jennifer Gill Professorship in Pediatric Cardiology, 9/1/99 through 6/30/00.

* Special Title Series

Klim, Gerald V., Associate Professor* (with tenure), Physical Medicine and Rehabilitation, named to the Janet Galloway Carter Professorship, effective 9/1/99.

Lawton, Jeffrey N., Assistant Professor*, Surgery, 8/23/99 through 6/30/00.

Mason, Jay W., Professor (with tenure), Internal Medicine, named to the Jack Gill Chair in Internal Medicine, effective 9/1/99.

Mawad, Hanna W., Clinical Assistant Professor, Internal Medicine, 8/18/99 through 6/30/00.

Mills, Richard P., Professor (with tenure), Ophthalmology, effective 9/1/99.

O'Nan, Jeanne M., Clinical Assistant Professor, Obstetrics and Gynecology, 1/1/000 through 6/30/00.

Pauly, Thomas H., Associate Professor (with tenure), Pediatrics, named to the Mary Florence Jones Professorship in Neonatology, effective 9/1/99.

Pearce, Kevin A., Associate Professor* (without tenure), Family Practice, named to the Michael D. Rankin, M.D. Professorship in Family Medicine, effective 10/1/99 through 6/30/00.

Phillips, Barbara A., Professor (with tenure), Internal Medicine, joint appointment as Associate Professor (without tenure), Preventive Medicine and Environmental Health, named to the Boehringer Ingelheim Pharmaceuticals, Inc. Professorship, effective 9/1/99.

Smith, George M., Associate Professor (with tenure), Physiology, effective 9/1/99.

Srivastava, Praveer, Assistant Professor*, Surgery, 9/15/99 through 6/30/00.

Telling, Glenn C., Assistant Professor, Microbiology and Immunology, 9/1/99 through 6/30/00.

Turco, Salvatore J., Professor (with tenure), Biochemistry, joint appointment as Professor (without tenure), Internal Medicine, named to the endowed Professorship in Biochemistry, effective 9/1/99.

Vascello, Luis A., Clinical Assistant Professor, Anesthesiology, 8/18/99 through 6/30/00.

Wise, Phyllis M., Professor (with tenure), Physiology, named to the Wang Professorship in Physiology, effective 9/1/99.

Wrightson, Alan S., Assistant Professor*, Family Practice, 8/23/99 through 6/30/00.

College of Nursing

Anderson, Debra G., Associate Professor (without tenure), 9/22/99 through 6/30/00.

Graduate School

St. Clair, Daret K., Professor (with tenure), Graduate Center for Toxicology, named to the University Professorship in Toxicology, effective 9/1/99.

* Special Title Series

B. ADMINISTRATIVE APPOINTMENTS

College of Dentistry

Miles, Dale A., Professor (with tenure), Oral Health Science, named Chair,
Department of Oral Health Science, 6/15/99 through 6/14/05.

College of Medicine

Mills, Richard P., Professor (with tenure), Ophthalmology, named Chair, Department
of Ophthalmology, 9/1/99 through 8/31/05.

C. ADMINISTRATIVE REAPPOINTMENTS

College of Medicine

Matheny, Samuel C., Professor* (with tenure), Family Practice, named Chair,
Department of Family Practice, 7/1/99 through 6/30/05.

D. JOINT APPOINTMENTS

College of Medicine

Griffith, Charles H., Associate Professor (with tenure), Internal Medicine, joint
appointment as Associate Professor (without tenure), Pediatrics, effective
10/27/99.

Turner, H. S., Clinical Professor, Preventive Medicine and Environmental Health,
joint appointment as Clinical Professor, Family Practice, 9/1/99 through 6/30/00.

E. PROMOTIONS

College of Allied Health Professions

Deem, Jodelle F., Assistant Professor*, Health Services, joint appointment as
Assistant Professor*, College of Medicine, Department of Surgery, to Associate
Professor* (with tenure), Health Services, joint appointment as Associate
Professor* (without tenure), College of Medicine, Department of Surgery,
effective 10/1/99.

College of Medicine

Rangnekar, Vivek M., Associate Professor (with tenure), Surgery, joint appointment
as Associate Professor (without tenure), Microbiology and Immunology, to
Professor (with tenure), Radiation Medicine, joint appointment as Professor
(without tenure), Microbiology and Immunology effective 10/1/99.

* Special Title Series

F. CHANGES

College of Medicine

Andrykowski, Michael A., Professor (with tenure), Behavioral Science, joint appointment as Professor (without tenure), College of Arts and Sciences, Department of Psychology, from sabbatical leave at full salary, 7/1/99 through 12/31/99, to sabbatical leave at full salary, 1/1/00 through 6/30/00.

Chatterjee, Sunil K. from Professor (with tenure), Internal Medicine, to Professor (voluntary), Internal Medicine, 10/1/99 through 6/30/00.

Davis, Brian M., Associate Professor (with tenure), Anatomy and Neurobiology, from sabbatical leave at full salary, 5/15/99 through 9/15/99, to sabbatical leave at full salary, 6/1/99 through 9/30/99.

Elder, William G., from reappointment as Associate Professor* (without tenure), Family Practice, joint appointment as Associate Professor* (without tenure), Psychiatry, 7/1/99 through 6/30/00, to reappointment as Associate Professor* (without tenure), Family Practice, 7/1/99 through 6/30/00

Getchell, Marilyn L. from Research Professor, Surgery, to Research Professor, Anatomy and Neurobiology, 7/1/99 through 6/30/00.

Kohrs, Francis P., Assistant Professor, Family Practice, from promotion to Associate Professor (with tenure), Family Practice, effective 7/1/99, to promotion to Associate Professor (with tenure), Family Practice, joint appointment as Associate Professor (without tenure), Preventive Medicine and Environmental Health, effective 7/1/99.

Miller, Frank C., Professor (with tenure), Obstetrics and Gynecology, from sabbatical leave at half salary, 9/1/99 through 8/31/00, to sabbatical leave at half salary, 7/1/99 through 6/30/00.

Graduate School

Sisken, Betty F., from Research Professor, Graduate Center for Biomedical Engineering, to Professor (part-time), Graduate Center for Biomedical Engineering, 7/1/99 through 6/30/00.

G. TERMINAL REAPPOINTMENTS

College of Pharmacy

Mactutus, Charles F., Associate Research Professor, joint appointments as Associate Research Professor, Graduate School, Graduate Center for Toxicology and Associate Research Professor, College of Arts and Sciences, Department of Psychology, 7/1/99 through 6/30/00.

* Special Title Series

H. RETIREMENTS

College of Dentistry

Ellinger, Charles W., Professor (with tenure), Oral Health Practice, after 33 years, 1 month of consecutive service, under AR II-1.6-1 Section III.B, effective 7/31/99.

College of Medicine

Tipton, Louise B., Administrative Support Associate I, Internal Medicine/Nephrology, after 9 years, 11 months of consecutive service, under AR II-1.6-1 Section III.B, effective 9/3/99.

University Hospital

White, Nancy, Disabled Staff, Fiscal Affairs, after 28 years, 1 month of consecutive service, under AR II-1.6-1 Section III.B, effective 7/31/99.

I. EARLY RETIREMENTS

College of Dentistry

Gonty, Arthur A., Associate Professor (with tenure), Oral Health Science, joint appointment as Associate Professor (without tenure), College of Medicine, Department of Surgery, after 17 years, 1 month of consecutive service and a total of 25 years, 2 months of service, under AR II-1.6-1 Section III.A.2, effective 10/3/99.

Marciani, Robert D., Professor (with tenure), Oral Health Science, joint appointment as Professor (without tenure), College of Medicine, Department of Surgery, after 21 years, 1 month of consecutive service and a total of 23 years, 9 months of service, under AR II-1.6-1 Section III.A.2, effective 10/3/99.

Sallee, Nancy H., Administrative Coordinator II, Public and Professional Services, after 29 years, 11 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 8/31/99.

College of Medicine

Green, William W., Professor* (with tenure), Surgery, after 29 years, 2 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 11/30/99.

Neill, John R., Associate Professor (with tenure), Psychiatry, after 23 years, 3 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 8/31/99.

* Special Title Series

University Hospital

Jones, Martha C., Staff Tech Clinical Sr., Clinical Lab – Kentucky Clinic, after 16 years, 9 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/20/99.

Parks, Ruby, Staff Officer I, Clinical Lab – Administration, after 34 years, 1 month of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/30/99.

J. POST-RETIREMENT APPOINTMENTS

College of Dentistry

Ellinger, Charles W., Professor (part-time), Oral Health Practice, 9/22/99 through 6/30/00.

College of Medicine

Cotter, William B., Professor (part time), Anatomy and Neurobiology, 9/20/99 through 11/12/99.

Gaither, Elizabeth T., Staff Support Associate II (part-time), Family Practice, 8/18/99 through 6/30/00.

Neill, John R., Associate Professor (part-time), Psychiatry, 9/1/99 through 6/30/00.

University Hospital

Jones, Geneva, Nurse (part-time), Department of Nursing (Children's Hospital), 9/22/99 through 6/30/00.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Campbell, James, Assistant Professor (voluntary), Clinical Sciences, 8/17/99 through 6/30/00.

Chapman, William, Assistant Professor (voluntary), Health Services, 8/20/99 through 6/30/00.

English, Mary L., Assistant Professor*, Health Services, 8/15/99 through 6/30/00.

Johnson, Dwayne E., Assistant Professor (voluntary), Clinical Sciences, 8/17/99 through 6/30/00.

McClure, William T., Assistant Professor (voluntary), Clinical Sciences, 9/21/99 through 6/30/00.

Pathi, Janna R., Assistant Professor (voluntary), Clinical Sciences, 8/17/99 through 6/30/00.

* Special Title Series

Ravencraft, James E., Assistant Professor (voluntary), Clinical Sciences, 8/17/99 through 6/30/00.
Schrand, James R., Assistant Professor (voluntary), Clinical Sciences, 8/17/99 through 6/30/00.
Sizemore, Stan, Assistant Professor (voluntary), Health Services, 8/20/99 through 6/30/00.
Uhl, Timothy L., Assistant Professor, Clinical Sciences, 7/1/99 through 6/30/00.

College of Dentistry

Burt, John B., Clinical Assistant Professor, Oral Health Science, 8/18/99 through 6/30/00.
Hardin, Philip A., Instructor (voluntary), Oral Health Science, 6/14/99 through 6/30/00.
Lester, Harold D., Assistant Professor*, Oral Health Science, 8/18/99 through 6/30/00.
Maggard, Stacie K., Assistant Professor (part time), Oral Health Science, 9/22/99 through 6/30/00.
Mason, Christine M., Assistant Professor (part time), Oral Health Practice, 9/22/99 through 6/30/00.
Smoot, John R., Assistant Professor (part time), Oral Health Practice, 9/22/99 through 6/30/00.
Spina, Anthony M., Assistant Professor (part time), Oral Health Science, 8/18/99 through 6/30/00.
Wiemann, Alfred H., Assistant Professor (part time), Oral Health Practice, 9/22/99 through 6/30/00.

College of Medicine

Andreoli, Marcelo, Assistant Professor (voluntary), Family Practice, 8/18/99 through 6/30/00.
Ascani, Enrico, Instructor (voluntary), Family Practice, 9/22/99 through 6/30/00.
Birrner, Patrick, Assistant Professor (voluntary), Emergency Medicine, 9/22/99 through 6/30/00.
Brewer, John R., Assistant Professor (voluntary), Pediatrics, 9/22/99 through 6/30/00.
Burken, Mitchell I., Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 9/1/99 through 6/30/00.
Chacko, Varkey M., Instructor (voluntary), Family Practice, 9/22/99 through 6/30/00.
Downey, Walter, Assistant Professor (voluntary), Family Practice, 9/22/99 through 6/30/00.
Doyle, John L., Assistant Professor (voluntary), Internal Medicine, 8/18/99 through 6/30/00.
Fitz, Robert M., Assistant Professor (voluntary), Pediatrics, 9/22/99 through 6/30/00.
Gatrad, Abdul R., Assistant Professor (voluntary), Pediatrics, 8/18/99 through 6/30/00.

* Special Title Series

Glaser, Josephine Lee A., Instructor (voluntary), Family Practice, 9/22/99 through 6/30/00.

Griffin, Larry P., Assistant Professor (voluntary), Obstetrics and Gynecology, 8/18/99 through 6/30/00.

Hawkins, Susan C., Assistant Professor (voluntary), Pediatrics, 8/4/99 through 6/30/00.

Hughes, Kenneth V., Assistant Professor (voluntary), Family Practice, 8/18/99 through 6/30/00.

Kendall, LaRoy F., Assistant Professor (voluntary), Emergency Medicine, 8/1/99 through 6/30/00.

Lasher, Steven C., Instructor (part time, temporary), Anesthesiology, 8/18/99 through 6/30/00.

Li, Lei, Assistant Professor, Physiology, 9/1/99 through 6/30/00.

Mathey, Joseph W., Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 9/22/99 through 6/30/00.

McQuaide, Benjamin H., Assistant Professor (voluntary), Diagnostic Radiology, 7/1/99 through 6/30/00.

Mongiardo, Frank D., Assistant Professor (voluntary), Family Practice, 10/27/99 through 6/30/00.

Morris, Kimberly K., Assistant Professor (voluntary), Family Practice, 8/18/99 through 6/30/00.

Osetinsky, Gregory V., Assistant Professor (voluntary), Family Practice, 8/18/99 through 6/30/00.

Ozcan, Sabire, Assistant Professor, Biochemistry, 9/1/99 through 6/30/00.

Pascuzzi, Mark J., Assistant Professor (voluntary), Obstetrics and Gynecology, 8/18/99 through 6/30/00.

Perry, Truman L., Assistant Professor (voluntary), Family Practice, 8/18/99 through 6/30/00.

Pfaff, Rhonda R., Assistant Professor (voluntary), Family Practice, 9/22/99 through 6/30/00.

Roark, Carol, Assistant Professor (voluntary), Pediatrics, 9/22/99 through 6/30/00.

Schilder, Jeanne M., Clinical Instructor, Obstetrics and Gynecology, 7/1/99 through 6/30/00.

Schuppert, James L., Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 9/22/99 through 6/30/00.

Sherman, John C., Assistant Professor (voluntary), Emergency Medicine, 8/1/99 through 6/30/00.

Sinai, Anthony P., Assistant Professor, Microbiology and Immunology, 11/1/99 through 6/30/00.

Them, Theodore F., Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 9/22/99 through 6/30/00.

von Unrug, Thomas P., Assistant Professor (voluntary), Internal Medicine, 8/18/99 through 6/30/00.

Walker, John R., Assistant Professor (part time), Pediatrics, 9/22/99 through 6/30/00.

Wilde, James R., Assistant Professor (voluntary), Family Practice, 9/22/99 through 6/30/00.

College of Nursing

Burkhart, Patricia V., Assistant Professor, 8/1/99 through 6/30/00.
Chappell, Hazel W., Instructor*, 10/1/99 through 6/30/00.
Wilson, Kay G., Clinical Instructor, 7/1/99 through 6/30/00.
Winner, Regina M., Clinical Instructor, 8/18/99 through 6/30/00.

College of Pharmacy

Baker, Jennifer B., Instructor (voluntary), 9/22/99 through 6/30/00.
Brawner, Susan, Instructor (voluntary), 9/22/99 through 6/30/00.
Cinnamond, C. C., Instructor (voluntary), 9/22/99 through 6/30/00.
Claypool, Tina, Instructor (voluntary), 9/22/99 through 6/30/00.
Dobbs, Stacy, Instructor (voluntary), 8/18/99 through 6/30/00.
Killmeier, Greg, Instructor (voluntary), 9/22/99 through 6/30/00.
Richards, Kimberly, Instructor (voluntary), 9/22/99 through 6/30/00.
Stone, Melissa, Instructor (voluntary), 8/18/99 through 6/30/00.
Turner, Martha, Instructor (voluntary), 9/22/99 through 6/30/00.

Graduate School

Orren, David K., Assistant Professor, Graduate Center for Toxicology, 10/1/99 through 6/30/00.

Medical Center Library

Davis, Frank, Librarian III, 9/22/99 through 6/30/00.

B. ADMINISTRATIVE APPOINTMENTS

College of Allied Health Professions

Cervantes, Daniel, Scientist III, Clinical Sciences, effective 8/1/99.

College of Medicine

Lowe, Roy, Clinical Dosimetrist, Radiation Medicine, effective 6/14/99.
Wildman, Robert, Physician Assistant, Internal Medicine, effective 7/1/99.

University Hospital

Solise, Lisa, Clinical Staff Pharmacist, Pharmacy, effective 7/4/99.

* Special Title Series

C. JOINT APPOINTMENTS

College of Allied Health Professions

Neel, Donald R., Assistant Professor (voluntary), Health Services, joint appointment as Assistant Professor (voluntary), College of Medicine, Department of Pediatrics, 9/21/99 through 6/30/00.

Stark, Gregory J., Assistant Professor (voluntary), Health Services, joint appointment as Assistant Professor (voluntary), College of Medicine, Department of Pediatrics, 9/21/99 through 6/30/00.

College of Medicine

Szilvassy, Stephen J., Assistant Professor, Internal Medicine, joint appointment as Assistant Professor, Physiology, 9/22/99 through 6/30/00.

Woodward, Thomas A., Clinical Assistant Professor, joint appointment as Clinical Assistant Professor, Pediatrics, 8/18/99 through 6/30/00.

D. REAPPOINTMENTS

College of Medicine

Barnes, Karen J., Assistant Professor (part time), Family Practice, 7/1/99 through 6/30/00.

DeFranco, Paul E., Clinical Assistant Professor, Pediatrics, 10/1/99 through 6/30/00.

Escalante, David A., Assistant Professor (part-time), Internal Medicine, 7/1/99 through 6/30/00.

Sanders, Sheila P., Assistant Professor, Ophthalmology, 7/1/99 through 6/30/00.

Sellitti, Marguerite A., Clinical Assistant Professor, Radiation Medicine, 7/1/99 through 6/30/00.

E. PROMOTIONS WITHOUT TENURE

College of Medicine

Richerson, Julia, Instructor (voluntary), Pediatrics, to Assistant Professor (voluntary), Pediatrics, 10/1/99 through 6/30/00.

Webb, Nancy R., Adjunct Instructor, Internal Medicine, to Assistant Professor, Internal Medicine, 9/1/99 through 6/30/00.

F. CHANGES

College of Dentistry

Manley, Daniel B., from Instructor (voluntary), Oral Health Science, to Assistant Professor (part time), Oral Health Practice, 9/22/99 through 6/30/00.
Vawter, Rebecca S., from Assistant Professor (voluntary), Oral Health Science, to Assistant Professor (part time), Oral Health Science, 8/18/99 through 6/30/00.

College of Medicine

Ahmed, Mansoor M., from Assistant Research Professor, Radiation Medicine, to Assistant Professor, Radiation Medicine, 9/22/99 through 6/30/00.
Allweiss, Pamela H., from Clinical Assistant Professor, Preventive Medicine and Environmental Health, joint appointment as Clinical Assistant Professor, Family Practice, to Assistant Professor*, Preventive Medicine and Environmental Health, joint appointment as Assistant Professor*, Family Practice, 9/1/99 through 6/30/00.
Bruce-Keller, Annadora J., from Assistant Research Professor, Physiology, to Assistant Professor, Anatomy and Neurobiology, 9/1/99 through 6/30/00.
Kregor, Janice M., from Assistant Professor (part time), Pediatrics, to Clinical Assistant Professor, Pediatrics, 8/18/99 through 6/30/00.
Landers, Cheri D., from Assistant Professor*, Pediatrics, 7/1/99 through 6/30/00, to Assistant Professor*, Pediatrics, 7/26/99 through 6/30/00.
Malik, Uzma, from Assistant Professor*, Radiation Medicine, 8/1/99 through 6/30/00, to Assistant Professor*, Radiation Medicine, 8/23/99 through 6/30/00.
Moore, James D., from Assistant Professor*, Pediatrics, 7/1/99 through 6/30/00, to Assistant Professor*, Pediatrics, 7/2/99 through 6/30/00.
Ratliff, David M., from Clinical Instructor, Anesthesiology, to Instructor (part time), Anesthesiology, 7/26/99 through 6/30/00.
Skala, Mary, from Data Coordinator II, Emergency Medicine, to Department Administrator III, Emergency Medicine, effective 9/1/99.
Thompson, Patricia D., from Assistant Professor (voluntary), Internal Medicine, to Clinical Assistant Professor, Internal Medicine, joint appointment as Clinical Assistant Professor, Pediatrics, 9/15/99 through 6/30/00.
Vance, John C., from Assistant Professor (voluntary), Pediatrics, to Clinical Assistant Professor, Pediatrics, 8/18/99 through 6/30/00.

College of Nursing

Hardin-Pierce, Melanie G., from Instructor (part time), to Clinical Instructor, 7/1/99 through 6/30/00.
Ossege, Julianne, from Assistant Professor (voluntary), to Assistant Professor (part time), 8/18/99 through 6/30/00.

* Special Title Series

College of Pharmacy

Rockich, Anna K., from Assistant Adjunct Professor, to Assistant Research Professor, Surgery, joint appointment as Assistant Research Professor, College of Pharmacy, 9/1/99 through 6/30/00.

Romanelli, Frank, from Assistant Adjunct Professor, to Assistant Professor*, 7/1/99 through 6/30/00.

Ryan, Melody, from Assistant Adjunct Professor, joint appointment as Assistant Adjunct Professor, College of Medicine, Department of Neurology, to Assistant Professor*, joint appointment as Assistant Professor*, College of Medicine, Department of Neurology, 7/1/99 through 6/30/00.

University Hospital

Bates, Jan W., from System Lead Programmer, Information Services, to Information Tech Manager III, Information Services, effective 8/30/99.

Benson, Karen, from Sr. Physical Therapist, Rehabilitation Services, to Rehabilitation Services Supervisor, Rehabilitation Services, effective 7/18/99.

Glessner, Theresa, from Case Manager, Social Services, to Nurse Practitioner, Surgery, effective 7/1/99.

Rowlett, Brett, from Technical Administrator III, Information Services, to Information Tech Manager III, Information Services, effective 8/30/99.

G. TERMINAL REAPPOINTMENTS

College of Medicine

Schmidt, Jack J., Assistant Research Professor, Internal Medicine, 7/1/99 through 6/30/00.

H. LEAVES OF ABSENCE

College of Medicine

Qin, Ning, Assistant Professor, Pharmacology, leave without pay, 9/1/99 through 6/30/00.

Seroogy, Kim B., Associate Professor (with tenure), Anatomy and Neurobiology, sabbatical leave at full salary, 9/1/99 through 2/29/00.

* Special Title Series

PR 3A

Office of the President
September 21, 1999

Members, Board of Trustees:

APPOINTMENT/REAPPOINTMENT OF BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that approval be given to the appointment of Dr. Robert Haddon (faculty member) and the reappointment of Mr. Henry Jackson (public member) to the Board of Directors of the University of Kentucky Research Foundation (UKRF) for three-year terms beginning July 1, 1999 and ending June 30, 2002.

Background: In accordance with the Bylaws, members of the Board of Directors of the University of Kentucky Research Foundation are appointed by the Board of Trustees to serve three-year terms. The Nominating Committee has recommended and the UKRF Board of Directors has endorsed the appointment of Dr. Robert Haddon (faculty member) and reappointment of Mr. Henry Jackson (public member).

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 3B

Office of the President
September 21, 1999

Members, Board of Trustees:

APPROVAL OF ADMINISTRATIVE REGULATION
REGARDING NON-RESIDENT FEES COMMITTEE

Recommendation: that the Board of Trustees approve the attached revised Administrative Regulation III-1.1-4 relating to the Non-Resident Fee Committee for the Lexington Campus, Medical Center, and Lexington Community College.

Background: The Council on Postsecondary Education (CPE) on June 7, 1999 promulgated revised Administrative Regulation 13 KAR 2:045 relating to the determination of residency status for admission and tuition assessment purposes. The primary revision in the regulation was to return the appeals process to the institutions of higher education. Previously, the CPE conducted the appeals process. Sections 13 and 14 of the regulation require that each institution's governing board establish written policies and procedures for administering the administrative appeal process. The process must include a residency appeals officer to consider student appeals from an initial residency determination, a residency review committee to consider appeals of determinations made by the appeals officer, and the establishment of a formal hearing process for appeals of decisions made by the review committee. The procedures adopted by the Board must provide for a hearing officer to make a recommendation on a residency appeal, guarantees of due process which include the right to be represented by legal counsel, and the right of the student to present information and testimony in support of a claim of Kentucky resident status.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

UNIVERSITY OF KENTUCKY ADMINISTRATIVE REGULATIONS	IDENTIFICATION AR III-1.1-4		PAGE 1
	DATE EFFECTIVE 9/21/99	SUPERSEDES REGULATION DATED 6/15/89 3/19/92 9/23/94 6/11/96	

NON-RESIDENT FEE COMMITTEE FOR THE LEXINGTON CAMPUS,
MEDICAL CENTER, AND LEXINGTON COMMUNITY COLLEGE

APPROVED BY THE BOARD OF TRUSTEES

Application for change of residency classification by a student enrolled in or an applicant to a program on the Lexington Campus or Medical Center shall be made in writing to the Residency Officer in the Registrar's Office for initial consideration. Application for change of residency classification by a student enrolled in or an applicant to a program at the Lexington Community College shall be made in writing to the Residency Officer at Lexington Community College for initial consideration. Residency determinations shall be based on Administrative Regulations promulgated by the Council on Postsecondary Education contained in 13 KAR 2:045. The decision of the Residency Officer in the Registrar's Office or Lexington Community College Residency Officer, if adverse to the applicant, may be appealed to the Non-Resident Fee Committee.

The Non-Resident Fee Committee is appointed by the University President and reports to the Vice-president for Fiscal Affairs. The Committee shall be comprised of six faculty and/or staff members and two students. One faculty member and one student shall be appointed from Lexington Community College. The chairperson shall be designated by the President. The faculty and/or staff members normally shall have staggered three-year appointments; the student members shall have one-year appointments. The Committee shall have authority to adopt by-laws, establish procedural rules, and schedule meetings. A quorum shall consist of five members and a majority of the quorum shall be necessary for Committee action.

The University Registrar shall provide professional and secretarial support for the Non-Resident Fee Committee, including but not limited to recording and preserving the minutes of the Committee meetings, processing applications on appeal, and presenting the applications at Committee meetings.

The Non-Resident Fee Committee for the Lexington Campus, Medical Center, and Lexington Community College shall consider appeals from students whose initial requests for change in residency status have been denied by the Residency Officer in the Registrar's Office or the Residency Officer at Lexington Community College. Residency appeals shall be based on Administrative Regulations promulgated by the Council on Postsecondary Education contained in 13 KAR 2:045. The applicant shall be notified in writing as to the Committee's decision on the applicant's appeal.

If the applicant considers the decision of the Committee to be unsatisfactory, the applicant may request a formal hearing by contacting the Chairperson of the Non-Resident Fee Committee in writing within fourteen days after receipt of the written notification.

The Chancellor of the Lexington Campus, the Chancellor of the Medical Center, and the President of Lexington Community College are authorized to appoint or employ a hearing officer to preside over formal residency hearings. Formal hearings shall be arranged based on a timely request from a student. The formal hearing shall include guarantees of due process including the right of a student to be represented by legal counsel and the right of the student to present information and testimony in support of a claim of Kentucky residency. The Hearing Officer shall have the authority to establish procedural rules for hearings.

The hearing officer shall make a recommendation to the appropriate Chancellor or the President of Lexington Community College. The Chancellor or President shall decide the appeal and notify the student in writing.

The Office of Legal Counsel shall advise the Residency Officer in the Registrar's Office, the Residency Officer at Lexington Community College, the Non-Resident Fee Committee, the Chancellors and the President of Lexington Community College on legal matters, including but not limited to interpretation of the residency regulations promulgated by the Council on Postsecondary Education contained in 13 KAR 2:045, procedural questions, and applicable laws, cases, and regulations.

The Non-Resident Fee Committee shall submit an annual report on its activities to the Vice President for Fiscal Affairs.

PR 4A

Office of the President
September 21, 1999

Members, Board of Trustees:

CANDIDATES FOR DEGREES -- COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.

Action taken:



Approved

☐ Disapproved

☐ Other

ASHLAND COMMUNITY COLLEGE

ASSOCIATE IN ARTS

BELLOMY SUSAN L
BRINEGAR PAUL W
INGRAM AMANDA L
MCCONNELL REBEKAH M
MCGUIRE PATSY R
MITCHEM ROBERT N
RADEMACHER PAUL D

ASSOCIATE IN SCIENCE

HOWARD DEBORAH D
PARKER ERICA L

ASSOCIATE IN APPLIED SCIENCE

BAIER KATHY A

ELIZABETHTOWN COMMUNITY COLLEGE

ASSOCIATE IN ARTS

ARMSTRONG-EVANS CHRISTIE L
BARKER STEPHANIE K
BARTLETT BILLIE J
BLUNDEN ANN
BRYANT CLARINDA A
CAREY LISA
CRUSE CARLY J
DAWSON TRACY L
DOIG ROBERT
FARRIS JEANA R
FILLERUP KATHRYN
FLORES RAY J
FULKERSON ROBIN L

GANT MARGO E
GRAY WARREN

HARRISON CHRISTA A
HOFFMAN JENNY M
KENNEDY LINDA M
LITTLE CYNTHIA N
MCCANN ARTHUR L
PAPRITAN JAMES C
PRICE JAMES G
REAMES BELINDA A
RIGGS ELIZABETH A
RUCKER KIMBERLY S
SANDERS JIMMIE T
SMITH KELLY L
SPENCER SHAWN T A R
STANTON AMY N
STARKS ANDREA S
STEWART-LOTHERY CAROL A
STOUT DAVID SCOTT T
TOPINKA CHRISTINA J

ASSOCIATE IN SCIENCE

AKIN TRECINDA R
AKRIDGE-WARD SANDRA S
BAPTISTE KAREN M VEAL
CLEMONS BILLIE J
CORBIN LESLIE R
DITTO CODY
DRAKE CHRISTOPHER T
KHAN SADIAH F
MORRIS FLAVIA A
NELSON ROBERT A
PARRISH REBECCA J
THOMPSON SABRINA N
WALLACE RICHARD P
WIMSATT CATHY
WOODEN SHANNON D

ASSOCIATE IN APPLIED SCIENCE

BASTIEN TRACY
KING KIMBERLY M
PHILLIPS RHONDA L
SCRUTON LISA M
STOKES KAREN D
TRUJILLO CHRISTINE A
WOOD RHONDA A

HAZARD COMMUNITY COLLEGE

ASSOCIATE IN ARTS

ASHER PHYLLIS J
COUCH DAVID
FELTNER LENA R
FITZPATRICK PAULINA J
LEWIS DANIEL J
MOLANDS BENJAMIN S
MOORE MELISSA A
MULLINS JAMIE A
MULLINS JOSHUA J
NEACE MALENA S
RILEY CHARLA F
THARP MARY L

ASSOCIATE IN SCIENCE

ARROWOOD LONNIE
JOHNSON SHANE
SEMIZOGLU AYSE A

ASSOCIATE IN APPLIED SCIENCE

BENTLEY RODNEY N
ROBERTS BOBBY
SPICER ANGEL J

HENDERSON COMMUNITY COLLEGE

ASSOCIATE IN ARTS

ALVEY ALYSON L
HARDACRE JESSICA K
JACKSON TISHA M
PRUITT ALICE
SHAW ERYN D
SPRINGEL SHONA R

ASSOCIATE IN SCIENCE

ANDERSON SHARON G
SMITH SCOTT M
TYRIE CRYSTAL M

ASSOCIATE IN APPLIED SCIENCE

CONAWAY KAREN S
DYE SHERRY L
JOHNSON DAVID P

HOPKINSVILLE COMMUNITY COLLEGE

ASSOCIATE IN ARTS

ARMER BRETT J
ARMER BRETT J
BOWERS TERESA
BOWERS TERESA
BRONAUGH JACQUELINE S
BRONAUGH JACQUELINE S
CUMMINS SHERRI L
CUMMINS SHERRI L
DANIELS LAWANDA
DANIELS LAWANDA
EZELF JILL F

EZELF JILL F

FERGUSON LEISHA
FERGUSON LEISHA
GEORGE PATRICA A
GEORGE PATRICA A
GIESEKE PAULA R
GIESEKE PAULA R
HILL ROBERT L
HILL ROBERT L
HOAEAE CONSTANCE K
HOAEAE CONSTANCE K
HOLCOMB WAYNE C
HOLCOMB WAYNE C
HUFF SHAKA S
HUFF SHAKA S
JOHNSON FELICIA A
JOHNSON FELICIA A
LACY LESILE A
LACY LESILE A
LEAR RITA A
LEAR RITA A
MILLER MELANIE D
MILLER MELANIE D
NITTLER BRANDY R
NITTLER BRANDY R
NORTHINGTON AMYE E
NORTHINGTON AMYE E
PERRY BONNY U
PERRY BONNY U
PRYOR CAROLYN W
PRYOR CAROLYN W
SHARBER MICHAEL C
SHARBER MICHAEL C
STALKER SHAUNA M
STALKER SHAUNA M
TUSSEY RONDA L
TUSSEY RONDA L
VELEZ CARMEN D
VELEZ CARMEN D

ASSOCIATE IN SCIENCE

ALMEIDAS ERLINDAN M
ALMEIDAS ERLINDAN M
BLACKWELL CATHERINE L
BLACKWELL CATHERINE L
DIXON DAPHNE M
DIXON DAPHNE M
ESTES BENJAMIN E
ESTES BENJAMIN E
FLOWERS MARSHA D
FLOWERS MARSHA D
FULLER STEVEN B
FULLER STEVEN B
GALLOWAY REBECCA S
GALLOWAY REBECCA S
GOAD RYAN T
GOAD RYAN T
HARRIS ALEXANDRO
HARRIS ALEXANDRO
LANDRY ANGELA A
LANDRY ANGELA A
MEREDITH TARA N
MEREDITH TARA N
SLOAN KATHY J
SLOAN KATHY J

ASSOCIATE IN APPLIED SCIENCE

ARRIS KEVIN E
ARRIS KEVIN E
BANKS MELISSA
BANKS MELISSA
BLACK NADINE
BLACK NADINE
COLON RAYMOND L
COLON RAYMOND L
FARMER STEPHEN C
FARMER STEPHEN C
GREEN TEDRICK

GREEN TEDRICK
HEMINGTON MANUELA
HEMINGTON MANUELA
HUNT KAREN R
HUNT KAREN R
ISAAC LOMA R
ISAAC LOMA R
LILLY MARGARET C
LILLY MARGARET C
PINKET JAMES E
PINKET JAMES E
SISCO LISA M
SISCO LISA M
SULLIVAN CHERI D
SULLIVAN CHERI D

JEFFERSON COMMUNITY COLLEGE

ASSOCIATE IN ARTS

CAPITO KINA
CHANDLER MELISSA
EARLEY SAMANTHA
FRITH CHARLES
GRAHAM CHERYL
HARDIN JOSEPH
ISAAC JENNIFER
JOHNS BEV
KELLEY BRYAN
KRIDER MICHELLE
MARTINEZ DANIEL
MYERS MELINDA
NGUYEN THAO
PAYTON PAULA
PHILLIPS LISA
PRICE SHAREE
RAYMER AARON
STARR CYNTHIA

STEWART DIANA

ASSOCIATE IN SCIENCE

DESJARDINS LAUREN
HAWES WILLIAM
MILLER AIMEE
NWACHUKWU JULIANA
PAYNE TYRONE
PFEIFFER JENNIFER
PHILLIPS KEITH
TRINH THU-THAO

ASSOCIATE IN APPLIED SCIENCE

ALLEN WESLEY
BAUER MARY
CUMMINS RACHEL
FIGA ROBERT
GEDDES JOLYNE
HAAG SARAH
HARDIN TERRA
HATFIELD SHERRY
HOPKINS MICHELLE
LANGLEY RICHARD
MCCLOUD JOHN
MIDDLETON MICHAEL
MULLIGAN MONICA
OBRIEN TRACY
ROUSE DEMETRIUS
SEDORIS DEREK
SMITH JEFFERY
THOMAS LATIVIA
THOMPSON JACK
TODD LATONYA
WILLIAMS DAMIN
ZANDER JAMIE

MADISONVILLE COMMUNITY COLLEGE

ASSOCIATE IN ARTS

CLARK JULIE
CORY CRICK
MCCURRY ROBIN
MICHELLE HASKINS
NANCE DOTTIE
RICE TINA
RICHARDSON TERESA
SMITH PATRICIA

ASSOCIATE IN SCIENCE

ALLEN TARA
BLACK CLARA
DAVIS JAKE
GILMORE CAROL
MAHURIN MARCY
MARTIN TERESA
NANTZ BARBARA
OVERTON DANIEL
POOLE ADAM
PRICE DEREK
STONE JASON
WALKER CHAD
WILLIAMS KATIE

ASSOCIATE IN APPLIED SCIENCE

WINSTEAD BLAKELY

MAYSVILLE COMMUNITY COLLEGE

ASSOCIATE IN ARTS

RAWLINGS JOHN B

ASSOCIATE IN SCIENCE

GABRIEL THOMAS Y

ASSOCIATE IN APPLIED SCIENCE

BESS TANYA D
CLINE RHONDA R
DOYLE MELINDA
ROBINSON JESSICA G

ASSOCIATE IN SCIENCE

CARLISLE MISTY G
CRAWFORD APRIL D
HOWARD REBEKAH J
TOMES CRYSTAL L

ASSOCIATE IN APPLIED SCIENCE

BALLARD AMANDA J
CLARK HEATHER A
CONNOR DEBORAH K
GILLILAND SHANDA R
MARTIN JOYCE A
PAYNE TIMOTHY A
WAY ANNA G

OWENSBORO COMMUNITY COLLEGE

ASSOCIATE IN ARTS

CECIL AARON C
CECIL RACHEL M
CURRY HELEN D
DRUEN JUDY S
FALLOWAY BRANDY G
FERRELL JEFFREY L
FULKERSON MARCUS H
GALLOWAY RUDY D
HOSKINS AMANDA K
LINES MARY R
RICHESON LINDA L
SAUER JOHN A
TAFOYA SARAH L
THOMAS KELLY L

PADUCAH COMMUNITY COLLEGE

ASSOCIATE IN ARTS

BALDWIN KARA J
BARRETT KIMBERLY R
BLIZARD ROBERT
BRADFORD LORI L
BROWN JASON A
CROWDER LAURA B
DAVIDSON JOHN D
ECKENBERG HANNAH G
FLEMONS LAROYCE W
FLYNN ANDREW C
GENTRY BRIAN C
HESLEY JESSE J
HORNGAIA JONNA M
HUBBARD ERIN E
HUFF ARTEZ T
JOHNSON JENNIFER M

JORDON SCOTT A
KENDALL NICOLE M
LEE KAREN D
LEONARD BETHANY A
LYLES JENNIFER D
MCDOWELL DAVID L
MILLER ANDREA J
OUSLEY CHRISTINA D
RAMAGE SHARA D
REED CHRISTOPHER K
RITTER JOHN R
THOMPSON LAURA R
TOWNSLEY TANAKA S
TRAVIS CRYSTAL M
WELLS TAMMY S
WILLIAMS JULIE
WILLIAMS TIMYATA R
WILLIFORD CRYSTAL D
WILSON JANET Y
WRIGHT LAURA L
WRIGHT JR RICHARD W

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CHAMBERS HALEY A
DEWEESE AMY J
FARROW JACQUELINE R
GRANT BRENDEN J
HARRELL NATHAN T
MOBLEY ERIC W
MOORE LANCE H
PARTIN JEREMY D
PROPER BRENDA A
QUINT JACOB R
RENNIE JENNIFER V
ROSEBERRY SHERRI A
SHERRON RICKY R
SMITH JANNA B
THORN JIMMY

UNDERWOOD KEVIN M
WEITLAUF KRISTOPHER P

ASSOCIATE IN APPLIED SCIENCE

HERZOG WILLIAM C
PYLE SHARON G
SWAIN TRACEY D
TURNER CHASTITY R

PRESTONSBURG COMMUNITY COLLEGE

ASSOCIATE IN ARTS

BAKER SUZANNE
BROWN ASHLEY
DOTSON NAN
GILLIAM ASHLEY M
HICKS MELISSA G
KING LEAH A
LAFFERTY MATTHEW B
MCKENZIE VANESSA M
MUSIC CHRISTOPHER D
NEWSOME TAMMY
THACKER KEVIN
YOUNCE TERESA G

ASSOCIATE IN SCIENCE

ENGLAND SHANNA G
HAMILTON DELENA M
KIDD TARA D
MCKENZIE KEITHEN D
SMITH JERI L

ASSOCIATE IN APPLIED SCIENCE

ABSHIRE CHRISSY J
CANTRELL JAMES A
MYERS JUDY C

SOMERSET COMMUNITY COLLEGE

ASSOCIATE IN ARTS

ANDERSON PEGGY M
CORDER DANA E
EMOND BARBARA L
FERNEYHOUGH SUJON
FERRELL CYNTHIA Q
FISHER FRANKIA R
GARNER BETTY J
GIBSON MELISSA J
GRIFFIS KATHY E
GROCE ANGELA D
HARGIS JUDY K
HUGHES MAUREEN S
JACKSON MITZI C
LOVE TINA L
MCCREARY PATRICK M
NEAL BONNIE D
PENNINGTON JERRY L
PERKINS JONATHAN D
SELBY MICHAEL S
UPCHURCH ALLEN H
VANOVER DAWN M

ASSOCIATE IN SCIENCE

COVEY STEPHEN S
FRANKLIN SARAH A
HAMM GUY A
MASSEY JOSEPH L
ROGERS GLEN A

ASSOCIATE IN APPLIED SCIENCE

BROWN ROBBIE E
CARTER JOEY D
CATRON KELLY J
FURLONG SHANE C
POINDEXTER MELISSA T
SEXTON MIRANDA L

SOUTHEAST COMMUNITY COLLEGE

ASSOCIATE IN ARTS

BATES PAMELA S
CLARK STEPHEN A
DAY TERRY W
HALEY BAMBI
LEFEVERS ANTHONY W
MCCAULEY TANDA F
RICE AUTUMN B
SHERMAN DEBRA M
SMITH SABRINA
STEARNES EDWARD D
WEBB WALTER K
WOOLUM WILLIE R

ASSOCIATE IN SCIENCE

BURKHART WILLIAM B
FUSON BRITTANY R
MCCLUNG KENNETH L
THORNTON MATHREW M
WHITE RHONDA C

ASSOCIATE IN APPLIED SCIENCE

BOLTON ANGELA K
EDWARDS STEVEN R
GREEN KATHLEEN D
HUBICK DIANNA L
KEEN LARRY S
MASON DONALD R
PATRO SHERRY M

PR 5A

Office of the President
September 21, 1999

Members, Board of Trustees:

CANDIDATES FOR DEGREES
UNIVERSITY SYSTEM

Recommendation: that the President be authorized to confer upon each individual whose name appears on the list presented herewith the degree to which he/she is entitled, upon certification by the Registrar and approval by the University Senate, that the individual has satisfactorily completed all requirements for the degree for which application has been made.

Background: Each individual whose name appears on the attached list completed the work leading toward the degree for which application was made at the close of the 1999 Summer Session.

Action taken:



Approved

☐ Disapproved

☐ Other

OFFICE OF THE UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - AUGUST 1999

<u>Graduate Degrees:</u>		<u>Undergraduates (cont'd):</u>		<u>Undergraduates (cont'd)''</u>	
Ph.D.	147	B.S.L.A.	1	B.S.ME.	1
Ed.D.	20	B.S.NRC.	2	B.S.MECH.E.	6
Ed.S.	9	B.S.P.S.S.	6	B.S.MINE.	2
M.A.	73				
M.A.EDU.	38	TOTAL	33	TOTAL	35
M.B.A.	9				
M.H.A.	4	Allied Health		Fine Arts	
M.M.	6	B.H.S.	19	B.A.	13
M.P.A.	3			B.F.A.	6
M.R.C.	3	TOTAL	19	B.M.	3
M.S.	106				
M.S.ACC.	2	Architecture		TOTAL	22
M.S.B.A.E.	6	B.ARCH.	14		
M.S.B.E.	8			Human Environmental Sciences	
M.S.CHE.E.	3	TOTAL	14	B.A.ID.	5
M.S.CIV.E.	15			B.S.DIE.	12
M.S.C.NUT.	3	Arts and Sciences		B.S.FAM.	17
M.S.C.D.	1	B.A.	132	B.S.F.C.S.E.	1
M.S.EDU.	39	B.G.S.	1	B.S.F.S.	2
M.S.E.E.	22	B.S.	56	B.S.H.M.	21
M.S.E.M.	1			B.S.MAT.	4
M.S.FAM.S.	5	TOTAL	189		
M.S.FOR	6			TOTAL	62
M.S.L.S.	34	Business and Economics			
M.S.MAEN.	1			Nursing	
M.S.MA.SE.	2	B.B.A.	62	B.S.N.	4
M.S.ME.	18	B.S.A.	23		
M.S.NUR.	9	B.S.B.E.	4	TOTAL	4
M.S.P.H.	10				
M.S.P.T.	62	TOTAL	89	Social Work	
M.S.RMP.	5			B.A.S.W.	26
M.S.V.EDV.	2	Comm. & Information Studies			
M.S.W.	14	B.A.	27	TOTAL	26
		B.S.	18		
TOTAL	686				
		TOTAL	45	<u>Lexington Community College:</u>	
<u>Professional Degrees:</u>				A.A.	22
J.D.	2	Education		A.S.	8
D.Pharm.	7	B.A.EDU.	32	A.A.S.	14
M.D.	2	B.S.EDU.	1		
TOTAL	11			TOTAL	44
		TOTAL	33		
<u>Undergraduates:</u>					
Agriculture		Engineering		Graduate Degrees	686
B.S.A.E.	6	B.S.AGR.E.	1	Professional Degrees	11
B.S.AGR.	13	B.S.CHE.E.	3	Undergraduate Degrees	571
B.S.A.S.	1	B.S.CIV.E.	4	Associate Degrees	44
B.S.FOR.	2	B.S.C.S.	8		
B.S.F.S.	2	B.S.E.E.	10	TOTAL	1312

August 1999 Degree Candidates August 3, 1999

The Graduate School Dean: Michael T. Nietzel

Doctor of Philosophy

Adam, Christa Yvonne
 Alanazi, Mohammed
 Alexander, Elizabeth Craycraft
 Anderson, Todd A.
 Andrews II, Robert Louis
 Ataman, Muhittin
 Bauman, Robert Paul
 Berger, Bruce Keith
 Bernstein, Audrey Meryl
 Biktimirov, Ernest Nailovich
 Blanch, Vincent J.
 Bogardus, Susan Lower
 Bosman, Marcellus M.
 Bottorff, Mark Clinton
 Boyd, John Alan
 Bray, Ashley Elizabeth
 Brenner, Annette Marie
 Bryson, James Scott
 Bunch, Carol Denise
 Bundrant, Holly Marie
 Cain, Linda Beth/DeVere
 Carney, Virginia Moore
 Cellarius, Barbara Ann
 Cheng, Jijun
 Childs, Sharon Barrow
 Christy, Michael Eric
 Cook, Dawn Annette
 Cook-Newell, Margaret Ellen
 Cooley, Tony Lee
 Cordova, Matthew Joseph
 Cornett, Sean Troy
 Corrello, Myra Lynette
 Coulson-Clark, Margery M.
 Crigler, Robin Jones
 Crowder, Dale Allen
 Cunningham, Lauren Lisa Crowe
 Davis, Rachel S.
 Diener, Mary Beth
 Fang, Hui
 Faulkner, Martye Leanne Link
 Feeney, Gregory James
 Figueiredo, Helmer Feitosa
 Filer, Larry Hugh, II
 Finlin, Brian Scott
 Gao, Lianyong
 Gonsalves, Gerald Caesar
 Gonzalez-Clements, Emilia
 Gorman, Kimberly S.
 Greenfield, Mark Jason

Greer, Monica Lynne
 Gross, Janet Coleman
 Grosvenor, Anne Linda
 Halbert, Christy Lynn
 Hamman, Brigitte
 Harb, Omar Shehadeh
 Hawkins, Dollena S.
 Heath, Charles Edward
 Hempen, Paula Michelle
 Henry, Kenneth William
 Holland, Robert Ernest
 Hoyt, Cheryl Ann
 Huang, Liyue
 Huff, Marlene Belew
 Hundley, Cristi Moran
 Inman, Tina Hanlon
 Jackson, Mark Andrew
 Jackson, Wanda Faye
 Jensen, Jason Leland
 Johansson, Tulin Ozdemir
 Johnson, Karen L.
 Johnson, Kjell Darun
 Johnson, Rana
 Jordan, Meagan MeChe
 Kind, Kerry D.
 Klebaur, Jennifer Elizabeth
 Ko, Yeonkyu
 Koh, Seung-Hahn
 Koning, Gwen
 LaBarca de Paz, Yanira
 Lane, Scott Joseph
 Lee, Seog Shin
 Lewis, Jennifer Clare
 Li, Tianxiang
 Lin, Chengbin
 Loan, Theresa Denise
 Lowery, Flanagan Diane
 Luo, Jianyuan
 Mains, Susan Pamela
 Martin, Angela Kaye
 McArthur, Lisa R.
 Mills, Rose M.
 Moddeman, Gail Ruth
 Navsariwala, Umesh Damodar
 Orf, Thomas Michael
 Otis, Melanie D.
 Pierce, James Lewis
 Popke, Emil Jeffrey
 Qasem, Jaber Ghaleb
 Qi, Lifeng
 Rao, Pamela Ann
 Redd, Margaret G.
 Rengifo, Alejandra Munoz
 Riley, Douglas A.

Rulon, Linda L.
 Scambler, Douglas John
 Sionean, Catlainn
 Skipper, Daphne Eudora
 Smith, Rhonda Lynn
 Smith, Wendy Ann
 Sparks, Michelle Howard
 Speer, John Gibson
 Spicer, Clarence Vincent
 Steiden, William Alan
 Stewart, Gwin Michelle
 Stone, Katherine Lee
 Sykes, Jeffery Dean
 Symons, William Charles
 Tabeidie, Zahra
 Thompson, Tammy L.
 Toma, Kathleen Anne
 Tori, Scott Leander
 Tschetter, Jeffrey David
 van de Ligt, Jennifer Lynn Gentry
 Varner, Melissa Anne
 Venkatram, Srinivas
 Verdecchia, Carlos Alberto
 Vishwanathan, Raman
 Walker, Martin Ross
 Wan, Jinrong
 Wang, Congxiao
 Wang, Yuguo
 Warren, Graham Walter
 Waxenberg, Lori Beth
 Webb, Nancy
 Weems, Rebecca Elizabeth
 Wertz, Janice Jean
 Wilkins, Lincoln Haworth, Jr.
 Williamson, Deborah Lynn McKibben
 Wright, Donna Wynne
 Yatin, Servet Makar
 Zak, Michelle L.
 Zaman, Naveed
 Zhan, Xiaonong
 Zhang, Jiqing
 Zhang, Xuemei
 Zhang, Yi
 Zolnier, Sergio

Doctor of Education

Adams, Constance Ewing
 Adams, John LeScott
 Barlow, Doris Seats
 Browning, Arthetta Jane
 Bryson, Bonnie Fay
 Cabell, Lee
 Coon-Knochelmann, Patricia
 Decker, John Timothy

Esser, Linda Rue Gerling
 Jones, Edith Gayle
 Major, Olivia Ballou
 Meyer, Mary-Louise
 Olberding, Douglas J.
 Oswald, Rebecca Jane
 Powers, Sherry Wilson
 Probst, Manuel Michael
 Ridgeway, Gail Cross
 Snead, Donald
 Strangis, Diane Elizabeth
 Wyatt, Nelda K.

Educational Specialist

Abner, Gerald Henry
 Denham, Anne Patricia
 Martin, Mary Lillian
 Moffett, Carol D.
 Mueller, Kristin Louise
 Murray, Laura Anne
 Pruitt, Beth Anne
 Walker, Jennifer Dawn
 White, Maria Thomas Ladopoulou

Master of Arts

Alas, Jose Antonio
 Arnold, Allison
 Bishop, Susan Kay
 Blount, Stephen C.
 Bogomolets, Anna Alexis
 Bond, Mary Elizabeth
 Boswell, Jane Vahlkamp
 Bowker, Daniel Whiteside
 Boyles, Cherry Mills
 Broadus, Victoria Gordan
 Campbell, Michelle Dawn
 Cecil, Gerald T.
 Cetrone, John Vincent
 Chaney, Daniel
 Cloyd, Shannon Stone
 Coleman, Beverly Renee
 Crawford, John Damien
 Cruce, Ashley Paige
 de Martino, Paola
 Dowd, Ray Ross
 Drake, Ella Wells
 Eadie, Yulonda Diane
 Earles, Laura Lee
 Eng, Christina Andrea
 Fayne, Ronja L.
 Fischer, Thomas Gregory
 Fitzpatrick, Sarah Harmon
 Flory, Kate Harnsberger
 Forest, Reginald Carl
 Fuhrmann, Christopher Joseph
 Gavrilos, Dina
 Griffith, Amy Lynn

Hanlon, James Arthur, Jr.
 Hartford, Charles Wesley
 Hayes, Harold Edward
 Hendricks, Michael Todd
 Ishizuka, Wataru
 Jackson, Troy Thomas
 Justice, Scott Edmond
 Keeling, Linette Rae Lowe
 Kelly, Geordie Fitzsimmons O'Banion
 Kendall, Seth Lyons
 Kingsbury, Paul Thomas
 Kremer, Scott Gerard
 Lee, Sunryoung
 Litton, Margaret Rhea
 Mason, Michele Hickey
 McBride, John David
 McCourt, Matthew James
 McDorman, Maureen K.
 Nagumo, Takako
 Patel, Nandita Prabodh
 Piccirilli, Todd A.
 Piep, Karsten Helge
 Pour, Jennifer Michelle
 Provost, Jeanne LaPrelle
 Raguin, Cecile
 Ramsby, Phillis Hegmon
 Rodriguez-Vera, Angelo Javier
 Schmucker, Dietlinde Margarete
 Storzner
 Shelton, Cynthia Lynne Thompson-Anderson
 Slane, Michael Shawn
 Stackelbeck, Kary Lynn
 Strother, Eric Scott
 Sutton, Matthew Daniel
 Thorne, Daniel Thomas, Jr.
 Trail, Brian W.
 Tuttle, Liana Vasseur
 Warren, Albert Samuel
 Webb, Lisa A.
 Williams, Jason McKinley
 Williams, Megan Kathryn McCarty
 Wilson-Mobley, Tiffany Renee
 Master of Arts in Education
 Banks, Melissa Anne (Wilham)
 Beward, James William
 Bruno, Daniel Tracy
 Buchanan, Cynthia Carole
 Carner, Carolyn Forbes
 Dickson, Michelle Scott
 Dixon, Martha Porter
 Duke, Sharon Lynn
 Eastham, Andrea Grace Pulley
 Embry, Angela Laniett Potter
 Franklin, Emma Gayle
 Griffith, Dreama Sena
 Haddix, Heather Dawn
 Kirkland, Elizabeth Anne

Kusche, Sarah Easterling
 Lynn, Jennifer
 Lyttle, Sylvia Noeding
 Mazdeh, Shahla
 Milanich, Marc Arron
 Montgomery, Susan Barlow
 Morrison, Susan Drake
 O'Roark, Traci Lynn
 Renot, Viviane D.
 Rietze, Rebecca J.
 Sallee, Susan Mary
 Schindler, Amy Margaret Preston
 Smith, Gretchen Keeley
 Smith, Jovanna Ruby
 Straus, Amanda Craig
 Terry, Andrew Alexander
 Thornbury, Lowell Shawn
 Tipton, Angela Leigh
 VanDyke, Cynthia Ann - Rademaker
 VanHook, Sandra Parr
 Watson, Kimberly Ann Plomske
 Wood, Diane Allison
 Wooldridge, Melissa Ann
 Yates, Stephanie Lynn

Master of Business Administration

Cleary, Brian David
 Dotson, J. Lee
 Evans, Mark Ellis
 Giannasio, Brian Alan
 Schwartz, Richard W.
 Snow, Brian Scott
 Williams, Michael Irvin
 Wilson, David Alan
 Woodall, Demetria Nicole

Master of Health Administration

Baesler, Ashley
 Fisher, Steve B.
 Fishman, Gregory Todd
 Maxwell, Michael Lawrence

Master of Music

Black, Amy Michelle
 Graham, Kristin Gratton
 Hughes, Laura McKinley
 Merritt, Brent E.
 Phillips, Damani Cabral
 Williams, Paula Thomas

Master of Public Administration

Clements, Christopher James
 Eger, Robert Julius III
 Kidwell, Lori Lynn

Master of Rehabilitation Counseling

Hayden, Julie Beth
Smith, Debra L.
Wallace, Pamela H.

Master of Science

Adu, Clement
Alahalcone, Dineli
Al-Ghananeem, Abeer Mahmood
Ayangade, Oluseyi Adisa
Bair, Heather Dawn
Beagles, Karen Elizabeth
Bentz, Bradford George
Blackman, Gwendolyn Jamison
Botts, Aleta Gale
Bowen, Audra Lee
Budziszewski, Gregory Joseph
Chandra, Amitabh
Chandwadkar, Ashish Arvind
Chen, Jianhua
Cherry, Elizabeth Ann
Clines, Charles Michael
Constant, Louay M.
D'Allaird, Jennifer Lynne
Demiralay, Aslihan
DiNardo, Mark Anthony
Estes, Kyna Keeton
Flannery, Andrew Rawlins
Garg, Ajay
Gazula, Vikram
Glover, Chris Neill
Grandy, Leigh Anne
Greis, Maria Theresa
Han, Lei
Handy, Thomas Gordon, Jr.
Harish Kaushik, Calathur Gopal
He, Yi
Heise, Georgia Todd
Held, David Wayne
Hiser, Douglas Garland
Jamal, Ehaab Musa
Jonckheere, Jessica S.
Kamat, Rohini Madhav
Keeton, Kara Kate
Knox, Peter Elliott
Kremer, Joe
Kreuger, Betty
Lai, Yue
Laue, Matthew Ryan
Leathers, Jason Wayne
Li, Jianbo
Li, Min
Li, Tong
Liu, Deyu
Liu, Yue

Loper, Alphonse Lamont
Ludlum, Michael Peter
Martins, Gisele Guitti
Maul, Jude Eastman
Mayfield, Donald Eugene
McClain, Marie Sullivan
Mehta, Kaushal Indravadon
Miller, William Morgan
Mock, Heather Anne
Moore, Joseph F.
Mullins, Oliver Edward
Nery, Claudio Gois
Noland, Richard Dean
Oakley, Kay Sue
Parkington, Jennifer Kay
Parsley, Robert Anthony
Pils, Jutta Renate Veronika
Pinkston, Warren Wade
Pocernich, Chava Bader
Qing, Zhizhi
Rallapalli, Jagannath
Raney, Nancy Elizabeth
Rice, David Lee
Sagandykov, Makhmud
Seamands, Jason Mark
Shah, Dhaval Babulal
Shah, Himanshu Hasumukh
Shah, Sandip Khushal
Sheehan, Kevin Christopher
Shen, Lei
Simmons, Ann Elizabeth
Sittinger, Shelia Maureen
Smash, James A.
Solares, Ronald A.
Sparks, Jae Allen
Stice, Martha Elizabeth
Sumanasekera, Wasana Kumarihamy
Sur, Gargi
Sweat, Christofer Jon
Taggart, Michael E.
Tan, Hepan
Tang, Liwen
Tang, SinFan
Thielen, Vidalita
Tomblyn, Michael B.
Turner, Tameika Shenay
Venugopal, Vijayakumar
Walden, Stephanie Lynn
Webb, Scott David
Weddington, Theresa Jo
Whatley, Stacey Wagner
White, Travis Alan
Wu, Wei
Zhan, Xiaonong
Zhang, Chi
Zhang, Lu
Zhang, Zheng

Master of Science in Accounting

Cavanaugh, M. Barbara
Madden, Irma Jean

Master of Science in Biosystems and Agricultural Engineering

Bullock, Elizabeth Bushee
Carter, Seaborn Bernard
Hallany, Jihad Alirida
Miller, Brenda Irene
Patterson, Jane Marie
White, Kati Lorraine

Master of Science in Biomedical Engineering

Cleary, Nicole Lyn
Duncan, Todd Harrison
Julian, Christina Dawn
Kataria, Pankaj
McKale, James Michael
Pothini, Venu Madhav
Raiche, Adrian Thomas
Shultz, Jason Matthew

Master of Science in Chemical Engineering

Hunter, Talmadge Foster, Jr.
May, Brian W.
Powell, Caroline Steineker

Master of Science in Civil Engineering

Burnett, Jeffrey Ray
Chen, Denglin
Faith, Jana Wood
Fister, Angela Elizabeth
Girdwood, Rachel Ann
Henderson, Steven Michael
Herriford, John David
Hopkins, Margaret Ruth
Kelly, Christopher Shannon
Luscher, Rebecca Lynn
McElroy, Bennett Allen
Nodurft, Elizabeth Nancy Gingerich
Shumate, Thomas Lee
Uhren, Nicholas Philip
Whelan, Danita D.

Master of Science in Clinical Nutrition

Fatovic, Kristie Bree
Pian, Pinghua
Ziska, Laurie Ann

**Master of Science in
Communication Disorders**

Riggs, Sue Carol Messinger

Master of Science in Education

Adams, Vanessa Dawn
Arnold, Kelly Moore
Blair, Teresa Lynn
Bolling, Mary Howard
Buckman, Holly Upchurch
Caudill, Jennifer Stachnik
Chen, Ping
Costello, Kimberly Ann
Culyer, Shane
Denicke, Carol
Elkins, Katherine Lee
Ethington, Laurie Pecoraro
French, Gregory Scott
Garrett, Kelli Elizabeth
Gonzalez, Randall John
Grubb, Dianna Carol/Wellman
Guodace, Kimberly Anne
Head, Kenneth David
Hey, Susan Lynn
Hubbard, Diana Lynn
Kehrt, Rachel Dishion
Mann, Nicole Gabrielle
Meadows, Cheri J.
Miracle, Sally Ann
Moore, Gina E.
Noe, Jamie Danielle Brooks
Nowak, Karen M.
Owens, Mark Edward
Perry, Rita Hocker
Pett, James Dennis
Powell, Rhonda Annmarie
Riney, Mackenzie Day
Singleton, Jessie Daryl Wallace
Slatter, Barbara Jean
Slone, Michelle Marilyn Garrett
Smith, Lance O.
Turner, Julie Kirsten
Waddell, Christina Celeste
Wertenberger, Kimberly Ann

**Master of Science in Electrical
Engineering**

Abey Siriwardena, Demuni Dilrukshan
Aluru, Sreenivas
Black, Timothy Richard
Chong, Jin Chum
Duncan, Robert Ryan
Fernando, Ungamandadige Chameera
Rajika
Hon, Kah Kee
Huang, Mei T.
Kalim, Ronald

Kolachina, Janardan
Kondagunturi, Ramakanth S.
Matcheswala, Yusuf Mannan
McIlvain, Terry Lee
Mok, Chwei-King
Porter, Joseph Evan
Prabhala, Ramakrishna Devi
Quabili, Shakura
Ramachandra, Harsha Krishna
Ravulapati, Sriram
Sundaravadivelu, Ranganathan
Yasarapu, Praveen
Yong, Wai-Mun

**Master of Science in
Engineering Mechanics**

Zhang, Honghao

**Master of Science in Family
Studies**

Fraley, Roxiana Marie
Helmets, Anne E. Billingsley
Johnston, Sharon Lee (Riffee)
Metze, Dominique Feckter
Tobin, Alicia Clay

Master of Science in Forestry

Fischer, Linda Marie
Miller, Carol S.
Secrist, Dana Elizabeth
Thomas, William R.
Thompson, Amy Flaherty
Yacek, Henry Francis, Jr.

**Master of Science in Library
Science**

Adkins, Delania Joan
Amick, Paul Donald
Arnold, April Allyson
Ashton, Rosemary
Behrends, Barbara Ann
Board, Christina Lynne
Cobb, Sallie Kuhl
Dillon, Douglas K.
Ferguson, Sheryl Lynn
Fraley, Lorrie Dean
Garrison, Sara Beth
Geeslin, William Woodrow
Harrison, Dana Joy
Kiefer, Christopher Sean
Kocman, Mary Nell
Kramer, Denise Michelle
Larson, Jason Thomas
McCollum, Robert Lynn
Moore, Christopher Scott
Moore, Toni Denise Hyden
Morrison, Matthew Mark

Moss, Alison Ruth (Morin)
Nakamura, Haruko
Peltier, Matthew Stuart
Reid, Carolyn Kay
Rogers, Gladys Marie
Smith, Rebecca Lea
Spitzer, Beverly Fontaine
Stivers, Tracey A.
Strong, Dustin L.
Tearney, Bryce Wayland
Wagner, Matthew P.
Watson, Heather Carreen
Wilkins, Susan Kester

**Master of Science in
Manufacturing Systems
Engineering**

Liu, Jingwu

**Master of Science in Materials
Science and Engineering**

Bagiyono
Mayes, Jessica Laurel

**Master of Science in Mechanical
Engineering**

Alapati, V. Ravi Kiran Babu
Al-Chammas, Fadi Gergi
Bhattacharya, Sudin
Chapman, Alexander Lloyd
Chen, Yuqi
Chuah, Keng Hoo
Erickson, Neal David
Henninger, John Thomas
Jasin, Sugianto
Lai, Ting
Melam, Chandra Sekhar Naga
Mohiuddin, Mohammed Iqbal
Pern, Nan Jou
Quabili, Zakir Ahmad
Savaram, Radharani
White, David Howard
Word, David Randall
Zeng, Ruxue

Master of Science in Nursing

Boggs, Merry Sue
Flesch, Suzanne Marie
Grider, Tina Louise
Happy, Rose Marie Hayden
Hook, Sharon Marie
Jessie, Robin Ethel (Wylie)
Patil, Neil Jagdish
Shih, Marissa Eusebio
Whitlock, Judith Lynn Christie

Master of Science in Physical Therapy

Acuff, Stacy Michelle
Beickman, Julie Anne
Bernath, Kimberly Ann
Brockman, Todd Michael
Brown, David Lane
Burkart, Heather Ann
Cato, Christy Ann
Caudill, Jeffrey Richard
Caudill, Stephanie Layne
Celentana, Janet Ripley
Coffee, Tamara Angelic
Cook, Christopher Stephen
Deaton, Paula Eileen
Deel, Dana Jo
Duncan, Gregory Walter
Edgington, Brandon Ray
Fischer, Christa Lynn
Goldstein, Stephen Louis
Harr, Michelle Suzanne
Hawthorne, Elizabeth Anne
Holman, Kelly Steven
Hoyos, Monica
Jaggers, Shelby Lee
James, Carrie Gail
Jones, Christy Louise
Keen, Jeffrey Scott
Kelly, John David
Kitzman, Patrick Harvey
Kute, Laura Beth
Leach, Matthew Brian
LeGrand, Tracey Ann Capps
Leslie, LaShea Nicole
McKinney, Cynthia Rene
McMurry, Kelley Anne
Meade, Ronald L.
Metcalf, Elizabeth Ann
Metzger, Sandra Michelle
Nelson, Beverly Sue
Noll, Sharon L.
Prater, Anthony Shawn
Purcell, Jennifer Lynn
Rhoden, Steven Hugh
Roberts, Kelly Michelle
Roberts, Susan Renee
Robinson, Anna Leah
Saladin, Margaret Elizabeth
Schilder, Kurt Joseph
Shafer, Stephanie Rene
Shaffer, Christine Kay
Shields, Stephanie Marie
Sizemore, Stephanie Latrecia
Taylor, Donald Bruce
Thorne, Christy Lynn
Turner, Christopher Douglas
Turner, Ellen Deanne

Turner, Holly Janine Holland
Walters, Mary Alison
Weller, Amy Kristine
Whitaker, Cathy Suzette
Whitney, Laura Elisabeth
Wilder, Michelle McNally
Yost, Timothy Logan

Master of Science in Public Health

Clouse, Robert Jefferson
Desai, Satyaki Girish
Hall, Cathy Lynn (Marsh)
Kowalczyk, Shelly Marie
Mathews, Robert Edward, III
McGonigle, Michael Blair
Patel, Paresh Balu
Quraishi, Kamran Raza
Snider, Alison Janet
Szeluga, RaeAnne

Master of Science in Radiological Medical Phys

Brown, Norman L.
Meade, James Doyle
Myron, Gwendolyn Patricia
Nelson, Harriet Andrea
Painter, Travis Alan

Master of Social Work

Blair, Donna Ray
Bradley, Cynthia Jane
Clark, Timothy Alan
Downing, Mary Ann
Durbin, Amy Jolene
Esh, Doster J.
Heard, Geoffrey Carlton
Higgins, Mary Anne
List, Donna Marie
Muse, Betty Zane
Norris, Barbara Ruth (McCreary)
Pearson, Cate
Strong, Gary Gene
Williams, Donita A.

Master of Science in Vocational Education

Byrne, Amanda Barnett
White, Shannon Wells

College of Law

Acting Dean: Robert G. Schwemm

Juris Doctor

Gresham Howard, Shataia
Maddox II, William Virgil

College of Medicine **Dean: Emery A. Wilson M.D.**

Doctor of Medicine

Boss-Cole, Etta Wilcia
Noble, Lisa Meredith

College of Pharmacy **Acting Dean: William C. Lubawy**

Doctor of Pharmacy

Bozarth, Kevin Mark
Cain, Rachel Elizabeth
Croley, Kimberly Sasser
Dowd, Robert Alan
Smith, Lisa Sue
Stone, Benjamin David
Swearingen, Kelly Marie

College of Agriculture **Dean: C. Oran Little**

Bachelor of Science in Agricultural Economics

Ballard, Stephen Lawrence
Brown, Heather Rae
Chapman, Thomas J.
Floyd, Kevin Glenn
Peffer, Sean Travis
Simon, Peter James

Bachelor of Science in Agriculture

Bennett, Julie Ann
Callis, Cindy Marie
Chamberlain, Stephanie Anne
Disselkamp, Aaron P.
Horner, Aaron Paul
Keith, Jonathan Paul
O'Donnell, Sean Robert
Snider, Melissa Anne
Stephens, William Todd
Sullivan, Clay Andrew
Van Meter, Anna Rebekah
Wathen, James Michael
York, Travis Gene

Bachelor of Science in Animal Sciences

Plunkett, Mark Sentell

Bachelor of Science in Food Science

Mueller, Jamie Allan
Thomas, Sheila Marie

Bachelor of Science in Forestry

Barber, Peter M.
Brown, Rebecca Rose

**Bachelor of Science in
Landscape Architecture**

Beckman, Jamie William

**Bachelor of Science in Natural
Resource Conservation-Mgmt**

Cecil, Shawn Anthony
Frank, Robert Howard, II

**Bachelor of Science in Plant and
Soil Science**

Bouchard, Suzanne Nurene
Fields, Scott C.
Gardiner, Matthew Boone
Jaubert, Catherine Josephine
Nevin, H. Gerard
Wolfe, Andrew Joseph

**College of Allied Health
Dean: Thomas C. Robinson****Bachelor of Health Sciences**

Ballard, Christopher Wayne
Blumenfeld, Jessica Beth
Bumgardner, Vicky Marie
Ferrell, Paul Dwayne
Hamilton, Jennifer Dawn
Kuchenbrod, Lynn Ilene
Lyon, Jennifer Diane
McFarland, Kelly Marie
Medcalf, Amanda Leigh
Mercer, Frances Lee
Middleton, Kristi Gail
Nicely, Jason Douglas
Overstreet, Carolyn Marie
Riley, Deborah Jean
Settles, Linda S.
Taluskie, Stacy Marie
Utterback, Amy Keilene
Walters, Tracy Dawn
Wilson, Holly Rae

**College of Architecture
Dean: David Mohney****Bachelor of Architecture**

Adkins, Jill Marie
Ball, Kelly Kathleen
Bauer, Joan M.

Coomes, William Jason
Keys, Kelly Catherine
Hodgkins, Rosalind Caroline
Kopittke, Scott Christian
Meyer, Steven Alan
Oakley, Maurice Jean
Oaks, Sabrina Gayle
Tabor, David Douglas
Thornton, Lisa Michelle
Wallace, Courtenay Dean
Zausch, Walter Wallace, II

**College of Arts & Sciences
Dean: Howard Grotch****Bachelor of Arts**

Addington, James Wesley
Amos, Craig Scott
Bard, Devona Eve
Barnett, Dayna Elizabeth Bailey
Bishop, Robert Eugene
Black, Katherine G.
Blank, Phillip Anthony
Blaze, Angyla
Boggs, Jenny Gardner
Boswell, Kara Lindsey
Bowman, Brian Christopher
Brothers, Teresa Ann
Bruggeman, Brian Michael
Bruser, Benjamin Patrick
Burnett, John Gibson
Campbell, Gordon M
Castle, Sherry Renae
Cecil, Andrew Moore
Cook, Adam Wayne
Cook, Jason L
Couch, Harvey Matthew
Cunningham, Bradley Everett
Detwiler, James Daniel
Deweese, Barry Dewayne
Dixon, Tesha Marshena
Donnelly, Carol Mueller
Earley, Matthew C
Eberle, Keith David
Elliott, Jessica Lynn
Ellis, Preston Lindsey
Elswick, Mitchell Brian
Fallin, Margaret Dianna Dowell
Faulkner, Adrianna Nicole
Filer, Barbara Michelle
Fitzpatrick, Pashens
Garrett, Jo Ann Michelle
Goodlett, Amy Jean
Gunn, Jill P.
Hackney, Lora Erin
Hall, Susan Danielle Cox

Hare, Stuart C
Hatchett III, Ovan Earl
Hays, Andrea Lyn
Hettinger, Andrew Jay
Hibbard, John Neal
Holmes, Kevin Edward
Hopkins, Barry Reed
Hord, Michael Brandon
Hornsby, Jeorg Ellen
Horton, Aaron Robert
Howell, Kimberly Ann
Huff, Joshua Robert
Humphrey, Anya Nikkitovich
Hussain Syed, Qasim
Jackson, Juan Anthony
Jaubert, Catherine Josephine
Johnson, Misty Dawn
Johnson, Thad Joseph
Jones, Fredrick D.
Jones, Gregory Jamel
Jylkka, Andrew B.
Kalajainen, Jessica Joye
Kane, John Fuller
Kasari, Christopher David
Kasperbauer, Paul Andrew
Kieh, Nyeneweh Godettia
King, Molly
Kinstle, David Bruce
Kiricenkova, Michael Andrew
Lajeunesse, Michael Raymond
Layne, Virginia Terry
Leathers, Andrew Stephen
Legate, Gregory Adam
Love, Christie
Lynch, Kathleen Claire
McCloud, Craig L
McCormick, Wendy Loraine
McCoy, Glenda Catherine
McFayden, Stephen Gray
Meade, Julie Lynn
Mendez, Alvaro
Mendez, Alvaro
Millang, Bret Lawrence
Minton, Billy Edwin
Moore, Devin W
Moreland, Milton Thomas
Morgan, Margaret Kaye
Morris, Gregory M
Nesmith, Peter Shane
Ng, Chee-Tat
Noren, Teriann
Norris, Ginny Rebecca
O'Connor, Richard Allen
Pape, David Michael
Patel, Kinna
Pfeiffer, Kurt Ramsey
Pope, Jill Elizabeth
Portillo, Maria Gabriela

Randahl, Elisabeth M.
 Reynolds, Holly P.
 Robinson, Elizabeth Nicole
 Rucker, John Cameron
 Salt, Cassandra Joyce
 Sampson, Leslie A
 Sanderford, Aaron Paul
 Sanneman, Joshua Kyle
 Sarver, Robert Allen III
 Schmidt, Holly Burnett
 Schofield, Julie Marie
 Seger, Elizabeth Ann
 Sergeant, Chandre Charis
 Shaughnessy, John James
 Shaver, Charles William
 Silletto, Aaron John
 Simmons, Jeremy Austin
 Smith, Robert Scott
 Snedegar, Richard Nutter
 Soon, Christopher L
 Sowards, Jason Randell
 Suchy, Daniel Ernest
 Sullivan, Heather Colleen
 Taylor, Holly Ray
 Taylor, Sharon Ann
 Travieso, Michelle Bean
 Ulm, Jason Derek
 Waters, Azure Dee
 Watson, Stefanie Latice
 Wiesenhahn, Erik A
 Wilmes, Thomas Edward
 Yates, Jeremy Courtney
 Young, Michelle Renee
 Zucker, Kacey B

Bachelor of General Studies

Combs, Richard Henry

Bachelor of Science

Andaya, Kimi Lynn
 Apple, Stephanie Rae
 Bazzell, Richard Chadwick
 Benson, Matthew Lytle
 Blakeman, Stacey Perry
 Branham, Erica Courtney
 Brown, Cheryl Denise
 Cleary, Michael Sean
 Cook, Robert Michael
 Cornette, Elizabeth Wesley
 Cotterill, Christopher Allen
 Crissman, Cynthia Biggs
 Day, Simon Rogan
 De Silva, Udesch Indika Amarasiri
 Doan, Vinh Dang
 Fothergill, Charles David
 Garris, Jeremy Paul
 Garris, Justin Andrew

Getz, Stephanie Nicole
 Grantz, Daniel Allen
 Grigsby, Trisha Michelle
 Hackworth, Jason Lee
 Hanni, Keith Bryant
 Harris, Bradley Olan
 Igyarto, Matthew Joseph
 Johnson, Marc A.
 King, Howard Patrick
 Leathers, Andrew Stephen
 Lewis, Rachel Lea
 Loucks, Robert John
 Martin, Michelle Aimee
 May, Stephanie Lynn
 McCloud, Carson Lyle
 Milici, Todd Joseph
 Miller, Latonya Michelle
 Moore, Justin Ross
 Perla, Jessica Marie
 Phan, Vinh Truong
 Puccini, Kaycee Ann
 Ruehlmann, Christopher John
 Russell, Teresa Mechelle
 Rutherford, Blake Zachariah
 Shepherd, Brian Shane
 Slugantz, Jennifer Lynn
 Stidham, Michael Lynn
 Stockdale, Heather Dawn
 Thurman, David Christopher
 Torbey, Ramzi R
 Vincent, Courtney Leigh
 Walker, Carol Lee
 White, Tina Marie
 Wilkerson, Mary Kristina
 Wilson, Nicole Y.
 Withrow, Kirk Patrick
 Wunsch, Dena Jolene Leadley

College of Business & Economics

Dean: Richard W. Furst

Bachelor of Business Administration

Adair, Richard Thomas
 Alloway, Deborah Alison
 Battaglia, Danielle Marie
 Beaven, Justin Todd
 Bennett, Felicha Meshell
 Bertrand, Janet Barnett
 Brankin, Jerry Thomas
 Bunton, David Lynn
 Burton, Vida K.
 Campbell, Leigh Ann
 Castlen, Benjamin Thomas
 Chan, Eugene Chew Jin

Coleman, Erin Haley
 Crowe, Christopher Erynn
 Cunningham, William Joshua
 Curtis, Michael W.
 Daffron, Leah Ellen
 Davis, Thomas Logan
 Drury, James William
 Duisembaev, Temirbek Mashut-Uly
 Fincher, Benjamin Kimbrough
 Fisher, Erin Renee
 Gibson, Carl Wayne
 Griffin, Christopher Allen
 Groff, Matthew Thomas
 Grywalski, Patrick James
 Guenther, Andrew Douglas
 Hackett, David W
 Hamilton, Bradley David
 Hazelwood, Brian A.
 Henry, Joshua J
 Huang, Andrew
 Hunt, Edward M.
 Kash, Carolyn S
 Keeton, Ashley Nicole
 King, Mary Elizabeth
 Kuhn, Jeffrey Paul
 Lawton, Jennifer Marie
 Mann, Charles Phillip
 Masarik, Stephen Dennis
 Methner, Barry Lee
 Morgan, Angela Kaye
 Mullins, Julane Marcum
 Nicolosi, Gianna Ruth
 Norris, Nathaniel D.
 O'Neill, Daniel Edmund
 Phillippi, C. Kenton
 Radigan, Christopher Michael
 Ratliff, Jason Hamilton
 Salehi, Bijan Jason
 Samuel, Marc Aaron
 Sato, Masahiro
 Scarborough, Gregory Scott
 Schumer, Carl George
 Simmons, Tara Catherine Graddy
 Stock, Tasha Ruth
 Tatum, Gregory Hardin, II
 Treftz, Benjamin F.
 Utley, Mary Shawna
 Ward, Given Miller
 Watson, Jeffrey Lamar
 Yates, Jason Timothy

Bachelor of Science in Accounting

Abell, Jennifer Ann
 Balutanski, Brian Scott
 Bechtloff, Bonnie Wells
 Berry, Lori Denise

Blandford, Joey Allen
 Bradley, Kimberly Rose
 Brown, Daniel Christopher
 Gran, Fredrik
 Hodge, Emily Kate
 McCauley, Teresa Gail
 McGarry, John Michael, Jr.
 Michael, David Leo
 Petersen, Charles Eugene
 Robinson, Bradley Charles
 Roettger, Krista Joy
 Simpson, William Brock
 Snodsmith, John Michael
 Sweeney, Michael Brennan
 Thompson, Tiffany Amber
 Whitlow, Matthew Alan
 Wills, Jamie Heather
 Winstead, Melanie Felty
 Zavitz, Ryan W

**Bachelor of Science in Business
 & Economics**

Alvarado, Rafael Alfredo
 Crowder, Candace Colleen
 Johnson, Olivia Dianne
 Norris, Nathaniel D.

**College of
 Communications &
 Information Studies
 Dean: J. David Johnson**

Bachelor of Arts

Cowling, Janie
 Cralle, Brian Neil
 Graves, Doris Julie
 Gwaltney, Traci Lyn
 Haggard, Mathew Thomas
 Hendrickson, Adele Saufley
 Herndon, Michael Glenn
 Horton, Aaron Thomas
 Ireland, Tracey Anne
 Jones, Mimi Camille
 Khounlavong, Khamsana Jimmy
 Kim, Hark Kyu
 Koetter, Carrie Ellen
 Malott, Alexis Christine
 Martin, Kristin Caroline
 McMurry, Crystal Jade
 Payton, Chasity Ann
 Pulley, Lauren Meredith
 Rader, Andrea M
 Rinaldi, Jeff Michael
 Robertson, Deborah Ann
 Seitz, Jason Gabriel

Smith, Rebecca Lauren
 Stewart, Kimberly Michelle
 Stewart, Shulesia La'Nae
 Tomb, Clifford, III
 Witters, Shaley Elise

Bachelor of Science

Chatila, Kasey A.
 Crawford, Corey Allen
 Cunningham, Leslie Anne
 Fullenwider, Olivia J.
 Hamilton, Todd Jefferies
 Hart, Melissa Todd
 Hartig, Ryan Glenn
 Melton, Matthew David
 Miller, James Frederick, Jr.
 Mingea, Jonathan Daniel
 Nunnelley, Charles William, Jr.
 O'Neill, Seana Marie
 Popova, Tatyana Anatolyevna
 Sharp, Leslie Carol
 Tetzl, Eric H
 Travis, Michael Benjamin
 Webb, Latanya Mae
 Wells, Chad Daniel

**College of Education
 Dean: Shirley C. Raines**

Bachelor of Arts in Education

Adams, Leslie Gail
 Baker, Daniel
 Barnes, Tracy Michelle
 Cansler, Ashley L.
 Cobble, Daniel
 Combs, Ronda Kaye
 Damron, Amy Beth
 Deaton, Scott
 Dees, Mary Elizabeth
 Gibson, Heather Renee
 Grady, Steven Peter
 Graham, Brian Michael
 Harrington, Andra L
 Heise, Georgia Todd
 Hellmann, Robert Anthony
 Henry, William Richard
 Jenkins, D'Andre' D.
 Kemplin, Maria Dawn
 Kidd, Estol Lee
 Lacer, James Lawrence
 Lampkin, Laveta M.
 Lewis, Alicia Danielle
 Neal, Kelly T
 Newsom, Carrie Beth
 Newton, Jason Edward
 Novak, Christian Alan

Osborne III, Carmel Clinton
 Pryor, Peter Lewis
 Rolland, Michael
 Terhune, Walker Grant
 Velbis, Teresa Gay
 Westfall, Angela Lynn

**Bachelor of Science in
 Education**

Swain-Oliver, Rasheeda

**College of Engineering
 Dean: Thomas W. Lester**

**Bachelor of Science in
 Agricultural Engineering**

Quillen, Brandy R.
 Riley, John Brandon

**Bachelor of Science in Chemical
 Engineering**

Munyoro, Chipo
 Paulin, Wesley Adam
 Sylvester, Jeremy Joseph

**Bachelor of Science in Civil
 Engineering**

Caudill, Erman L.
 Foushee IV, Lovell C.
 Simons, David W.
 Weaver, Gabriel Alan

**Bachelor of Science in
 Computer Science**

Bogale, Joseph L.
 Courtney, Elizabeth Anne
 DeBoard, Bruce Anthony
 Hargis, Douglas Sean
 Mobley, Jr., Roy David
 Rice, Gregory Allen
 Tallent, Christopher L.
 Woodson, Frankie K.
 Yu, Zhiqiang

**Bachelor of Science in Electrical
 Engineering**

Hall, Steven L.
 Jenkins, Gregory Alan
 Madhavan, Shajee
 Moore, Greggory Allen
 Ngieng, Seng-Ching
 Taylor, Brian Keith
 Tomlinson, James Nicholas
 Wee, Amy Siew-Choo

Wiles, Stephen Bradley
Yeoh, Wooi Lian

**Bachelor of Science in Materials
Engineering**

Farley, Genelle Ken

**Bachelor of Science in
Mechanical Engineering**

Bell, Stephanie Marie
Brauch, Carl Joseph
Chin, Po Man
Kerr, James Andrew
Meade, David Wellington
Wolford, James Blaine

**Bachelor of Science in Mining
Engineering**

Rich, Stephanie Sullivan
Steffey, David Allen

**College of Fine Arts
Dean: Robert Shay**

Bachelor of Arts

Alexander, Mollie M.
Bale, Taylor Nielsen
Bevelacqua, Stacye Remsing
Bruce, Mindy Joan
Campbell, Robert Scott
Disney, Laura Beth
Dorris, Jared Allen
Dye, Andrea Kaye
Greely, Laura Leigh
Probus, Joyce Lynn Jones
Richey, Patrick Anders
Steenbergen, Jonathan E.
Surgener, Shelley Lynn

Bachelor of Fine Arts

Estep, Ashley Rebecca
Hurley, Chad E.
Lane, Sherry J.
Logsdon, Micah Brown
Mattingly, Robert Paul
Probus, Joyce Lynn Jones

Bachelor of Music

Best, John Franklin
Hill, Sarah A.
Tipton, Timothy Todd

**College of Human
Environmental Sciences**

Dean: Retia S. Walker

**Bachelor of Arts in Interior
Design**

Engle, Lorri Meredith
Hrovat, Ann Elizabeth
Jackson, Leah Genell
Skinner, Deborah Anderson
Thomas, Miranda Ann

Bachelor of Science in Dietetics

Clay, Vickie L.
Cugno, Jennifer Bartlett
DeGaris, Elizabeth Kipp
Ellis, Whitney
Feldhaus, Erin Elizabeth
Keel, Keri Jean
Keith, Kristin Joy
Kuhnell, Carrie Hilda
Pirouznia, Gita M
Stansfield, Bret
Thompson, Stephanie Hollinger
Wilson, Julie Ann

**Bachelor of Science in Family
Studies**

Baynham, Rochelle LaSonya
Beeker, Mary Jane Acton
Chandler, Taleesa Michele
Childress, Mary Yvette
Curry, Olivia Ann
Dick, Allison Marie
Doyle, Stacey M
Goetz, Kristin Leigh
Hrabak, Mary Rachel
Jones, Mary Teresa
King, Victoria
McWilliams, Jennifer
Michelson, Lindsay Joy
Perkins, A. Victoria
Piper, Misty
Stewart, Holly Lynn
West, Natalie Gayle

**Bachelor of Science in Family
and Consumer Sciences
Education**

Mitchell, Candace M.

**Bachelor of Science in Food
Science**

Horn II, David McDaniel
Omadjambe, Kabibi Okunema

**Bachelor of Science in
Hospitality Management**

Alsop, Jonathan M
Barber III, William Wainwright
Clark, April Michele
Cockerham, A. Casey
Cunningham, Shana Christian
Haines, Mary Blake
Harman, Erin Elizabeth
Highland, Joseph Patrick
Honaker, Eliot G
McCoy, Sarah Kathleen
Mefford, William Christopher
Muzzey, Jennifer Joanne
Othman, Mohamed Mohamed
Sherrow, Erin Dawn
Sininger, Stacey Anne
Smith, Leslie Elender
Smithson, Dolores Lynn
Soltau, Christopher M
Strickroot, Kimberly Joy
Urch, Duke Theodore
Wright, Carrie Ann

**Bachelor of Science in
Merchandising, Apparel &
Textiles**

Bolen, Wellesley Elaine
Cegnar, Ann Marie
Jue, Janey Wen
Richerson, Shelly Jo

**College of Nursing
Dean: Carolyn A. Williams**

Bachelor of Science in Nursing

Gibson, Shelia Karen
Newton, Martha Sue Harding
Trevathan, Linda Scott
Weatherby, Amanda Erin

**College of Social Work
Dean: Kay S. Hoffman**

Bachelor of Arts in Social Work

Anderson, Kelly Marie
Bell, William Michael
Chavis, George Alfredrick
Eilers, Kerry Beth
Gamblin, Betty Kade
Gibson, Stephanie Jane
Holzrichter, Emily Kay
Johnson, Lisa Kimberly
Kirchgessner, Ann Marie

Lee, Dorothy Annell Thomas
Marshall, Kimberly Ann
Martin, Amity Lachelle
Millard, Andrea Suzanne
Moorman, George
Nelson, Carol Stern
Peavler, Pamela Kay Wooden
Pride, Brandi Sunshine Daniels
Ramey, Robin Lynn
Snider III, Jimmy Wolford
Stevenson, Lisa Michelle
Stout, Amy Lynn
Sullivan, Tera Jeanine
Vicini, Virginia Marie
Waddle, Barbara Kay
Whitt, Adrienne Leigh
Williamson, Jennifer JoAnn

Lexington Community College

President: A. James Kerley

Associate In Arts

Atwood, Leslie
Cox, Joey
Creech, William
DeMolsey, Julia
Evans, Sheila
Fraction, Camille
Fryman, Whitney
Gilliam, Monique
Gresham, Samantha
Henley, Allison
Ireland, Michelle
Jones, Tammy
Marshall, Sarah
Maynard, Lenita
Metzler, Paul
Moss, Louisa
Mullins, Penny
Smith, Kevin
Stagner, Danielle
Thompson, Jennifer
Tucker, Heidi
Wade, Jenny

Associate in Science

Allen, Helen
Curtis, Andrea
Freeman, Rebecca
Hiles, Jennifer
Lindsey, Joshua
Slone, Mary
Thomas, William, Jr.
Vitai, Joseph

Associate in Applied Science

Cress, Tracy
Ebrahimi, Samira
Hardin, Ann
Hensley, Marcia
Hughes, Jeremy
Mitchell, Julie
Niblett, Richard
Parker, Beverly
Sagendorph, Karin
White, Melanie
Whitt, Stephanie
Wien, Kendra
Williams, Henry
Woja, Sandra

Office of the President
September 21, 1999

Members, Board of Trustees:

APPOINTMENT OF DEAN OF UNDERGRADUATE STUDIES
LEXINGTON CAMPUS

Recommendation: that Dr. Philipp J. Kraemer be named Dean of Undergraduate Studies, effective August 1, 1999.

Background: At its December 1989 meeting, the Board of Trustees created the position of Dean of Undergraduate Studies. Dr. Louis J. Swift served as Dean of Undergraduate Studies from January 1990 until his retirement from the position in July 1999. A national search to identify Dr. Swift's successor was conducted in the spring semester 1999. Four finalists were identified by the search committee and invited to make campus visits. The results of this careful search and evaluation led to a recommendation that Dr. Philipp Kraemer be appointed Dean of Undergraduate Studies.

Dr. Kraemer received his bachelor's degree from the State University of New York at Buffalo in 1974, his master's degree from the State University of New York College at Geneseo in 1978, and his doctoral degree in psychology from the University of Western Ontario in 1982. He began his academic career in the Department of Psychology at the University of Western Ontario before joining the University of Kentucky in 1989 as an assistant professor of psychology. Dr. Kraemer was promoted to associate professor in 1992 and, in 1997, was promoted to professor and named chair of the Department of Psychology. He has served on numerous department, college and university committees.

Dr. Kraemer is well respected at the University of Kentucky and is well qualified to provide leadership in this important area.

Action taken:



☒ Approved

☐ Disapproved

☐ Other _____

PR 5C

Office of the President
September 21, 1999

Members, Board of Trustees:

REAPPOINTMENTS TO UNIVERSITY OF KENTUCKY
BUSINESS PARTNERSHIP FOUNDATION

Recommendation: that approval be given to the reappointment of the following as members to the Board of Directors of the University of Kentucky Business Partnership Foundation for four-year terms beginning immediately and extending through the Spring 2003 Board meeting.

Reappointments

Kim H. Burse
Joel C. Gordon
Richard M. Loghry

Background: These reappointees were unanimously elected to the Board of Directors of the University of Kentucky Business Partnership Foundation at their May 14, 1999 meeting. The Articles of Incorporation of the University of Kentucky Business Partnership Foundation, as approved by the Board of Trustees at its meeting on August 25, 1981, require that these reappointments be approved by the Board of Trustees.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

PR 5D

Office of the President
September 21, 1999

Members, Board of Trustees:

NAME OF DEGREE TITLE

Recommendation: that the Board of Trustees approve the degree title B.S. in Human Nutrition.

Background: The degree title is for a Human Nutrition option in the Nutrition and Food Science major. The option is designed to assure that the offering is in keeping with national trends and to develop a strong biological science, research oriented human nutrition major for students interested in graduate, medical or dental school.

The proposed change was recommended by the Senate Council and approved by the University Senate. Approval by the Board of Trustees is required prior to submitting the proposed degree program option to the Council on Postsecondary Education.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

PR 5E

Office of the President
September 21, 1999

Members, Board of Trustees:

CENTER FOR ALUMINUM TECHNOLOGY

Recommendation: that the Board of Trustees approve the establishment of the Center for Aluminum Technology in the College of Engineering.

Background: The mission of this multidisciplinary research center is to provide regional industry with trained personnel, new knowledge and emerging technology know-how needed to be globally competitive in the 21st century. Aluminum companies and their customers in Kentucky, Indiana, Ohio, West Virginia and Tennessee are the Center's primary customers, but interested organizations from other areas will be invited to participate as well.

The goal of the Center is to provide state-of-the art research and educational services to the aluminum industry in the Midwest United States. The Center will develop and teach undergraduate, graduate, and post-graduate students to provide technical leadership in aluminum technology; develop programs in aluminum technology for non-degree students in conjunction with community colleges and technical schools; and provide state-of-the-art research on the fabrication and use of aluminum. The faculty will include researchers from a variety of disciplines, including materials engineering, chemical engineering, mathematics, chemistry, electrical engineering, and mechanical engineering working together to contribute to the Center's mission. The Center will be funded with proceeds from an endowment, being established through contributions from various aluminum companies, which will be matched by proceeds from the Research Challenge Trust Fund. The Center meets criteria stipulated for establishing centers and is supported by the Dean of the College of Engineering, the Chancellor for the Lexington Campus, and the President of the University.

Action taken:

☒ Approved

☐ Disapproved

☐ Other

PR 6A

Office of the President
September 21, 1999

Members, Board of Trustees:

Ph.D. IN REHABILITATION SCIENCES

Recommendation: that the Board of Trustees approve the new multidisciplinary degree program, Ph.D. in Rehabilitation Sciences.

Background: The Rehabilitation Sciences program is the study of physical, musculoskeletal, neurological, psychological and functional disorders in humans and the human response toward recovery and/or adaptation. Three rehabilitation professions – Physical Therapy, Occupational Therapy and Communication Disorders work together in the evaluation and treatment of patients in clinical settings.

The proposed multidisciplinary program in Rehabilitation Sciences is a collaborative effort to address the rehabilitation needs of the Commonwealth. It will combine the expertise, methodologies and facilities of the University of Kentucky, Eastern, Western and Murray State Universities. The program is designed to provide a comprehensive approach to the preparation of researchers and educators through a concentrated consideration of pediatrics, neurogenics and aging or movement dysfunction. The bringing together of scarce resources across the Commonwealth to create the program will enable graduates to provide academic and clinical leadership in addressing health related issues as we move into the next century.

The proposal has been reviewed and recommended by the Senate Council and approved by the University Senate. Approval by the Board of Trustees is required prior to the Council on Postsecondary Education officially considering the proposal.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

PR 6B

Office of the President
September 21, 1999

Members, Board of Trustees:

CONSOLIDATED M.S. PROGRAM IN MEDICAL SCIENCES

Recommendation: that the Board of Trustees approve the consolidated M.S. degree program in Medical Sciences.

Background: The consolidated M.S. degree program will replace the Master of Science degree programs in Anatomy and Neurobiology, Biochemistry, Microbiology, Pharmacology and Physiology. These programs will be eliminated and the merged program offered. During the 1993-94 academic year, the University reviewed all of its academic programs as a part of a Council on Higher Education Review initiative. After going through the appropriate university channels, the Council on Higher Education was notified in October 1994 that the programs above would be consolidated. A request was made that the programs remain on the Council's Registry of Degree Programs until a revised curriculum for a consolidated master's degree program in Medical Sciences was approved by the Council Staff. This request was honored by the Council Staff.

The proposed consolidated curriculum has been recommended by the Senate Council and approved by the University Senate. Approval by the Board of Trustees is required prior to submitting the proposed program to the Council on Postsecondary Education.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 1

Office of the President
September 21, 1999

Members, Board of Trustees:

ACCEPTANCE OF AUDIT REPORT
FOR THE UNIVERSITY OF KENTUCKY FOR 1998-99

Recommendation: that the Board of Trustees accept the University of Kentucky financial statements for the year ended June 30, 1999, consisting of the Consolidated Balance Sheet, Consolidated Statement of Changes in Fund Balances, Consolidated Statement of Current Funds Revenues, Expenditures and Other Changes, Summary of Significant Accounting Policies, and Notes to Financial Statements.

Background: The Finance Committee of the University of Kentucky has reviewed the consolidated financial statements for the year ended June 30, 1999, which have been audited by Deloitte & Touche LLP, Certified Public Accountants. The consolidated financial statements are presented on a comparative basis for fiscal years 1997-98 and 1998-99, and include the financial operations of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Humanities Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., University of Kentucky Center on Aging Foundation, Inc., and Health Care Collection Service, Inc.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

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INDEPENDENT AUDITORS' REPORT

To the Board of Trustees of the
University of Kentucky

and

the Secretary of Finance and
Administration Cabinet of the
Commonwealth of Kentucky

We have audited the accompanying consolidated balance sheet of the University of Kentucky (an agency of the Commonwealth of Kentucky) and affiliated corporations as of June 30, 1999, and the related consolidated statements of changes in fund balances and current funds revenues, expenditures and other changes for the year then ended. These financial statements are the responsibility of the University's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, such consolidated financial statements present fairly, in all material respects, the financial position of the University of Kentucky and affiliated corporations as of June 30, 1999, and the changes in their fund balances and their current funds revenues, expenditures and other changes for the year then ended in conformity with generally accepted accounting principles.

The required supplementary information on year 2000 issues on page 15 is not a required part of the basic financial statements, but is supplementary information required by the Governmental Accounting Standards Board, and we did not audit and do not express an opinion on such information. Further, we were unable to apply to the information certain procedures prescribed by professional standards because of the unprecedented nature of the year 2000 issue and its effects, and the fact that authoritative measurement criteria regarding the status of remediation efforts have not been established. In addition, we do not provide assurance that the University of Kentucky is or will become year 2000 compliant, that the University of Kentucky's year 2000 remediation efforts will be successful in whole or in part, or that parties with which the University of Kentucky does business are or will become year 2000 compliant.

Deloitte & Touche LLP

September 3, 1999
Louisville, Kentucky

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
JUNE 30, 1999
with comparative totals at June 30, 1998
(in thousands)

	1999					1998
	Current Funds	Loan Funds	Endowment and Similar Funds	Plant Funds	Total	Total
ASSETS						
Cash and cash equivalents	\$ 194,478	\$ 4,674	\$ 747	\$ 130,915	\$ 330,814	\$ 246,068
Notes, loans and accounts receivable (less bad debt allowances of \$16,986 in 1999 and \$17,406 in 1998)	158,490	20,794	7,463	751	187,498	166,980
Investments	73,591		327,544	31,350	432,485	360,899
Property, plant and equipment				1,278,586	1,278,586	1,213,718
Inventories and other	19,578				19,578	19,842
Total Assets	\$ 446,137	\$ 25,468	\$ 335,754	\$1,441,602	\$2,248,961	\$2,007,507
LIABILITIES AND FUND BALANCES						
Liabilities						
Accounts payable	\$ 33,248	\$ 69		\$ 8,093	\$ 41,410	\$ 32,839
Accrued expense	24,641			1,731	26,372	24,271
Annuities payable			\$ 6,192		6,192	4,576
Employee withholdings and deposits	20,202				20,202	20,288
Other liabilities	6,893			1,950	8,843	8,570
Liability for self insurance	48,493				48,493	47,501
Deferred income	14,641				14,641	11,439
Bonds payable				199,731	199,731	207,646
Capital lease obligations				28,792	28,792	4,797
Total Liabilities	148,118	69	6,192	240,297	394,676	361,927
Interfund Balances	40,077		19	(40,096)		
Fund Balances						
Current-Unrestricted						
Working capital	159,337				159,337	134,101
Future operations	25,492				25,492	21,914
Other	10,622				10,622	6,721
Current-Restricted	62,491				62,491	56,901
Loan						
Unrestricted		45			45	45
Restricted		6,688			6,688	6,439
U.S. Government		18,666			18,666	18,033
Endowment and Similar Funds						
True			242,865		242,865	143,461
Term			3,635		3,635	3,262
Quasi			81,145		81,145	71,018
Annuity and life income			1,898		1,898	1,999
Plant						
Unrestricted				119,958	119,958	136,159
Restricted				94,802	94,802	66,729
Net investment in plant				1,026,641	1,026,641	978,798
Total Fund Balances	257,942	25,399	329,543	1,241,401	1,854,285	1,645,580
Total Liabilities and Fund Balances	\$ 446,137	\$ 25,468	\$ 335,754	\$1,441,602	\$2,248,961	\$2,007,507

See notes to consolidated financial statements.

CONSOLIDATED STATEMENT OF CHANGES IN FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE YEAR ENDED JUNE 30, 1999
(in thousands)

	1999				
	Current Funds	Loan Funds	Endowment and Similar Funds	Plant Funds	Total
REVENUES AND OTHER ADDITIONS					
Unrestricted current fund revenues	\$ 917,511				\$ 917,511
Gifts	19,851	\$ 24	\$ 16,843	\$ 1,602	38,320
Research Challenge Trust Fund grant			66,700		66,700
Endowment and investment income	7,855	106	1,445	5,907	15,313
Net increase in fair value of investments			25,279		25,279
Interest on loans receivable		456			456
Grants and contracts	114,144	533		642	115,319
Expended for plant facilities, including \$21,997 charged to current expenditures				53,458	53,458
Retirement of indebtedness				16,485	16,485
Proceeds from refunding bonds				43,420	43,420
State appropriations for capital construction				33,600	33,600
Other sources	1,689	73		820	2,582
Total Revenues and Other Additions	1,061,050	1,192	110,267	155,934	1,328,443
EXPENDITURES AND OTHER DEDUCTIONS					
Unrestricted current fund expenditures	843,550				843,550
Restricted current fund expenditures	134,657				134,657
Expended for plant facilities				31,461	31,461
Payments to refunded bond escrow agents				38,612	38,612
Debt increase from refunding				5,394	5,394
Noncapitalized expenditures				15,689	15,689
Retirement of indebtedness				16,485	16,485
Interest on indebtedness				9,659	9,659
Disposal of plant facilities				17,427	17,427
Deposit to debt service required reserve				3,176	3,176
Adjustment for actuarial liability for annuities payable			914		914
Other deductions		444	32	2,238	2,714
Total Expenditures and Other Deductions	978,207	444	946	140,141	1,119,738
TRANSFERS AMONG FUNDS - ADDITIONS/(DEDUCTIONS)					
Mandatory					
Debt service					
Educational and general	(15,042)			15,042	
Hospital and clinics	(2,060)			2,060	
Auxiliary enterprises	(5,878)			5,878	
Lease payments	(1,458)			1,458	
Matching grants	(134)	134			
Total Mandatory Transfers	(24,572)	134		24,438	
Net Increase Before Nonmandatory Transfers	58,271	882	109,321	40,231	208,705
Nonmandatory					
Plant					
Educational and general	(24,977)			24,977	
Hospital and clinics	8,421			(8,421)	
Auxiliary enterprises	(2,804)			2,804	
Endowment and other	(606)		482	124	
Total Nonmandatory Transfers	(19,966)		482	19,484	
NET INCREASE FOR THE YEAR	38,305	882	109,803	59,715	208,705
FUND BALANCES, July 1, 1998	219,637	24,517	219,740	1,181,686	1,645,580
FUND BALANCES, June 30, 1999	\$ 257,942	\$ 25,399	\$ 329,543	\$ 1,241,401	\$ 1,854,285

See notes to consolidated financial statements.

**CONSOLIDATED STATEMENT OF CURRENT FUNDS
REVENUES, EXPENDITURES AND OTHER CHANGES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE YEAR ENDED JUNE 30, 1999**

with comparative totals for the year ended June 30, 1998
(in thousands)

	1999			1998
	Unrestricted	Restricted	Total	Total
REVENUES				
Educational and general				
Student tuition and fees	\$ 109,710		\$ 109,710	\$ 101,211
Government appropriations				
Federal	14,696		14,696	15,711
State	286,525		286,525	278,934
County	6,684		6,684	6,323
Government grants and contracts				
Federal	519	\$ 69,110	69,629	64,143
State	9,357	28,868	38,225	28,407
Private gifts and grants	44,990	30,810	75,800	70,489
Indirect cost reimbursement	19,573		19,573	18,275
Endowment income	832	4,549	5,381	6,192
Investment income	10,107	1,289	11,396	12,193
Increase/(decrease) in fair value of investments	(413)		(413)	82
Sales and services	68,328		68,328	63,811
Other		1,123	1,123	3,020
Total educational and general	570,908	135,749	706,657	668,791
Hospital and clinics	282,613		282,613	260,223
Auxiliary enterprises	63,990		63,990	65,568
Total Revenues	917,511	135,749	1,053,260	994,582
EXPENDITURES AND MANDATORY TRANSFERS				
Educational and general				
Instruction	202,196	9,673	211,869	203,446
Research	50,625	76,662	127,287	119,697
Public service	112,283	21,207	133,490	125,513
Libraries	20,159	234	20,393	19,967
Academic support	36,133	2,882	39,015	39,179
Student services	18,733	516	19,249	18,023
Institutional support	39,495	523	40,018	36,101
Operation and maintenance of plant	32,310	125	32,435	31,979
Student financial aid	18,187	20,142	38,329	31,873
Other		338	338	683
Total educational and general	530,121	132,302	662,423	626,461
Hospital and clinics	261,899		261,899	253,003
Auxiliary enterprises	51,530	2,355	53,885	49,828
Total Expenditures	843,550	134,657	978,207	929,292
Mandatory Transfers				
Debt service				
Educational and general	13,556	1,486	15,042	19,657
Hospital and clinics	2,060		2,060	1,995
Auxiliary enterprises	5,878		5,878	6,293
Lease payments	1,458		1,458	2,329
Matching grants	528	(394)	134	152
Total Expenditures and Mandatory Transfers	867,030	135,749	1,002,779	959,718
OTHER TRANSFERS AND ADDITIONS/(DEDUCTIONS)				
Nonmandatory Transfers				
Plant fund				
Educational and general	(21,490)	(3,487)	(24,977)	(31,513)
Hospital and clinics	8,421		8,421	(731)
Auxiliary enterprises	(2,804)		(2,804)	(2,269)
Endowment and other	(1,893)	1,287	(606)	(1,507)
Excess restricted receipts over transfer to revenues		7,790	7,790	8,015
Total Other Transfers and Additions/(Deductions)	(17,766)	5,590	(12,176)	(28,005)
NET INCREASE FOR THE YEAR	\$ 32,715	\$ 5,590	\$ 38,305	\$ 6,859

See notes to consolidated financial statements.

UNIVERSITY OF KENTUCKY
NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

Reporting Entity

The University of Kentucky (the University) is a component unit of the Commonwealth of Kentucky. The consolidated financial statements of the University include the operations of the University, its for-profit subsidiary (Kentucky Healthcare Enterprise, Inc.) and its affiliated non-profit corporations (entities for which the University is financially accountable as defined by Statement No. 14 of the Governmental Accounting Standards Board) as follows: The University of Kentucky Research Foundation and its for-profit subsidiary (Kentucky Technology, Inc.); The Fund for Advancement of Education and Research in the University of Kentucky Medical Center; University of Kentucky Athletic Association; Health Care Collection Service, Inc.; University of Kentucky Mining Engineering Foundation, Inc.; University of Kentucky Business Partnership Foundation, Inc.; University of Kentucky Equine Research Foundation, Inc.; University of Kentucky Humanities Foundation, Inc.; and the University of Kentucky Center on Aging Foundation, Inc.

The accompanying consolidated financial statements have been prepared in accordance with the AICPA Industry Audit Guide "Audits of Colleges and Universities."

All significant balances and transactions among the above entities have been eliminated.

Fund Accounting

The University maintains its accounts and reports its financial transactions in accordance with the principles of fund accounting. This is the procedure by which resources for various purposes are classified for accounting and reporting purposes into funds that are in accordance with activities or objectives specified. Funds that have similar characteristics are combined for reporting purposes into fund groups.

Within each fund group, fund balances restricted by outside sources are so indicated and are distinguished from unrestricted funds allocated to specific purposes by action of the Board of Trustees. The Board retains full control over allocated fund balances to use in achieving its institutional purposes.

Restricted gifts, grants, appropriations, endowment income and other restricted resources are accounted for in the appropriate restricted funds. Restricted current funds are reported as revenues and expenditures when expended for current operating purposes.

True endowment funds are subject to the restrictions of gift instruments requiring in perpetuity that the principal be invested and the income only be utilized. Term endowment funds are similar to true endowment funds except that upon the passage of a stated period of time or the occurrence of a particular event, all or part of the principal may be expended as well. Quasi-endowment funds are established by the Board for the same general purposes as other endowment funds, and any portion may be expended. All income from true and term endowment funds is restricted. Annuity and life income funds are funds received under deferred-giving agreements and provide that income be paid to the donor, the donor's designee, or a combination thereof for the lifetime of the recipient(s) or for a fixed period of time.

Ordinary income derived from investments, notes receivable, and the like, is recognized in the fund owning the assets, except that income derived from investments of endowments and similar funds and the majority of current restricted funds is recognized in the fund to which the income is designated.

All unrestricted revenue is recognized in the unrestricted fund.

Current Funds

The statement of current funds revenues, expenditures, and other changes is a summary of financial activities of current funds related to the current reporting period.

To the extent that current funds are used to finance plant asset acquisitions, the amounts are recognized as: (1) expenditures, in the case of replacement of movable equipment and library books; (2) mandatory transfers in the case of required provisions for debt amortization and interest; and (3) nonmandatory transfers for all other cases.

The Board allocates unrestricted current funds fund balances for specific purposes. These purposes include: (a) working capital needs of the University (including the hospital, the housing and dining system, and auxiliary enterprises); (b) budget appropriations for future operating purposes; and (c) specific uses of certain unrestricted income from private sources.

Cash and Cash Equivalents

Cash and cash equivalents are deposited in local banks (\$2,325,000), invested in U.S. Government/agency repurchase agreements (\$127,007,000) and held on deposit with the Commonwealth of Kentucky (\$201,482,000). Deposits with local banks and investment in repurchase agreements are covered by federal depository insurance or collateralized by securities held in the University's name by its agents. Deposits with the Commonwealth are covered by federal depository insurance or collateralized by securities held by the Commonwealth in the Commonwealth's name.

Investments

Investments are stated at fair value.

Pooled Endowment Funds

The University employs the total return concept of investment management for setting investment objectives and determining investment performance. This concept recognizes dividends and interest, plus or minus realized and unrealized gains or losses, in determining the total return earned during any particular period. The market value method of accounting for pooled endowment funds is employed to ensure proper distribution of market price changes, realized gain/loss on sales, accrued income earned, and distribution of investment earnings for expenditure by participating funds.

Spendable return from the endowment is determined using the total return philosophy. This philosophy recognizes a prudent amount of realized gains as spendable return in addition to traditional yield. Distribution of investment earnings for expenditure by participating funds is supported first by traditional yield earned and, if necessary, a transfer from endowment of any prior years' accumulated earnings (unexpended traditional yield) or net realized gain on sales. For the year ended June 30, 1999, \$2,212,895 was transferred from endowment realized gains on sales to current funds to support spending.

Inventories

Inventories are stated principally at the lower of average cost or market.

Property, Plant and Equipment

Land, land improvements, buildings, equipment and library books are stated at cost at date of acquisition or, in the case of gifts, at fair market value at date of gift except for the following: (1) the University has title to certain tracts of land which were either donated or purchased at a nominal price with no book value assigned to these properties; and (2) buildings acquired prior to June 30, 1958, were recorded at appraised value of \$33,000,000 at that date.

Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to use estimates and assumptions. The accompanying financial statements include estimates for items such as bad debt allowances, accrued expenses and other liability accounts. Actual results could differ from those estimates.

Recent Accounting Pronouncements

In December 1998, the Governmental Accounting Standards Board (the "GASB") issued Statement No. 33, "Accounting and Financial Reporting for Nonexchange Transactions." This Statement established accounting and financial reporting standards for nonexchange transactions involving financial or capital resources (for example, most taxes, grants and private donations). The principal issue addressed in this statement is the timing of recognition of nonexchange transactions. This statement is effective for financial statements for years beginning after June 15, 2000. The University does not expect the adoption of this Statement to have a material effect on its financial statements.

2. Research Challenge Trust Fund

The Research Challenge Trust Fund (RCTF) was created by the Kentucky General Assembly with the passage of the Postsecondary Education Improvement Act of 1997 (House Bill 1). The objectives of the trust fund, as stated in the Bill, include support of efforts by the University of Kentucky to attain status as a top 20 public research university. During the 1998 session of the Kentucky General Assembly, a \$100 million endowment was appropriated to fund the RCTF.

RCTF endowment funds are available for use to establish endowed chairs, professorships and graduate fellowships, as well as to support the graduate and research mission of the institution. The University of Kentucky was eligible to receive up to \$66.7 million of the \$100 million in funding from the RCTF. To receive grants from RCTF endowment funds, the University was to provide a dollar-for-dollar match. Private gifts received by the University after June 1, 1997 were eligible for matching from the RCTF.

During fiscal year 1998-99, the University requested or received grants from the RCTF totaling \$66.7 million. Included in the amounts submitted by the University for the RCTF match are approximately \$35.5 million in pledges. The University is obligated to return any matching funds related to pledges not fulfilled within five years. (See Note 14).

3. Notes, Loans and Accounts Receivable

Notes, loans and accounts receivable as of June 30, 1999, net of allowances, are as follows:

Current funds:	(in thousands)
Hospital	
Third-party payor receivables; Medicare, Medicaid and other	\$ 81,708
Private pay and other receivables	4,951
Commonwealth of Kentucky	16,359
Estimated settlements with third-party payors	7,173
Total hospital receivables	<u>110,191</u>
Reimbursable costs - grants and contracts	18,263
Other	<u>30,036</u>
 Total current funds receivables	 <u>158,490</u>
 Loan funds: Student notes receivable	 <u>20,794</u>
 Endowment funds: Accrued interest receivable	 872
Research Challenge Trust Fund	<u>6,591</u>
 Total endowment funds receivable	 <u>7,463</u>
 Plant funds: Accrued interest receivable	 <u>751</u>
 Total notes, loans and accounts receivable	 <u>\$ 187,498</u>

4. Investments

A comparison of the fair value and cost as of June 30, 1999 of the University's investments is shown below:

(in thousands)

Type of investment	<u>Fair Value</u>	<u>Cost</u>
United States government securities	\$ 81,196	\$ 75,933
Common stocks	146,221	125,435
Pooled equity funds	68,933	59,114
Corporate bonds and notes	69,130	59,283
Repurchase agreements	28,468	28,468
Pooled fixed income funds	16,127	16,127
Equity in health care corporations	13,297	13,297
Cash and cash equivalents	8,967	8,147
Other	<u>146</u>	<u>146</u>
	<u>\$ 432,485</u>	<u>\$ 385,950</u>

(in thousands)

	<u>Fair Value</u>	<u>Cost</u>
Fund group		
Unrestricted current	\$ 21,423	\$ 21,367
Restricted current	52,168	52,373
Endowment	327,544	280,860
Plant	<u>31,350</u>	<u>31,350</u>
	<u>\$ 432,485</u>	<u>\$ 385,950</u>

All investments of the University are registered in the name of the University of Kentucky or held in the University's name by its agents and trustees.

The University has a 80% interest in CHA Service Company (CHA), a Kentucky for-profit corporation, established to provide an integrated health care delivery system throughout the Commonwealth of Kentucky. The University accounts for its investment in CHA by the equity method since, under the provisions of CHA's by-laws, the University cannot exercise control over the day-to-day operations of CHA. A summary of the University's investment is as follows:

Investment	\$40,555,000
Equity in losses through December 31, 1998 (CHA's fiscal year end)	<u>(29,910,671)</u>
Carrying value	<u>\$10,644,329</u>

The University's equity in net income of CHA for the six months ended June 30, 1999 was approximately \$1,030,000 (unaudited). This income is not reflected in the University's consolidated financial statements as of June 30, 1999.

5. Investment in Plant

The major components of property, plant and equipment as of June 30, 1999 are as follows:

	(in thousands)
Land and improvements	\$ 63,138
Buildings and structures	752,360
Equipment and library books	393,373
Construction in progress	<u>69,715</u>
	<u>\$1,278,586</u>

The estimated cost to complete construction under contract at June 30, 1999 is approximately \$30,117,000. Such construction is principally financed by Commonwealth of Kentucky appropriations and proceeds from long-term bonds payable.

6. Risk Management

The University is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters. These risks are covered by (1) the State Fire and Tornado Insurance Fund (the Fund), (2) Sovereign Immunity and the State Board of Claims or, (3) in the case of risks not covered by the Fund and Sovereign Immunity, commercial insurance, participation in insurance risk retention groups or self-insurance.

The Fund covers losses to property from fire, wind, earthquake, flood and other named perils between \$250 and \$300,000 per occurrence. Losses in excess of \$300,000 are insured by commercial carriers up to \$200 million per occurrence on an actual cash value basis. As a state agency, the University is vested with Sovereign Immunity and is subject to the provisions of the Board of Claims Act, under which the University's liability for certain negligence claims is limited to \$100,000 for any one person and \$250,000 for multiple claims resulting from a single act of negligence. Claims against educators' errors and omissions and wrongful acts are insured through a risk retention group. There have been no significant reductions in insurance coverage from 1998 to 1999. Settlements have not exceeded insurance coverage during the past three years.

The University and its agents are insured against medical malpractice by a combination of self-insurance, commercial liability insurance, and an excess coverage fund established by the Commonwealth of Kentucky. The malpractice liability at June 30, 1999 is based on the requirements of Governmental Accounting Standards Board Statement No. 10, which requires that a liability for claims be recorded if it is probable that a liability has occurred and the amount of loss can be reasonably estimated. The liability includes an estimate for claims that have been incurred but not reported at June 30, 1999.

The University is self-insured for the long-term disability income program and has established a 501(c)(9) trust for purposes of paying claims and establishing necessary reserves. The estimated long-term disability payments for known claims at June 30, 1999 are reported at their present value assuming an investment yield of 7.0%.

The University also self-insures certain employee benefits, including health insurance, worker's compensation, unemployment claims, and a long-term disability supplemental reserve, to the extent not covered by insurance. The University has recorded an estimate for asserted claims at June 30, 1999.

Changes in the liability for self-insurance for the year ended June 30, 1999 are as follows:

	(in thousands)				
	<u>Malpractice</u>	<u>Health</u>	<u>Long-Term Disability</u>	<u>Other</u>	<u>Total</u>
Liabilities at July 1, 1998	\$ 31,625	\$ 3,608	\$ 9,198	\$ 3,070	\$ 47,501
Accruals for current claims/ changes in estimates	3,311	\$20,992	2,174	1,475	27,952
Investment income	1,477	121	246		1,844
Claims paid	<u>(4,147)</u>	<u>(21,267)</u>	<u>(1,987)</u>	<u>(1,403)</u>	<u>(28,804)</u>
Liabilities at June 30, 1999	<u>\$ 32,266</u>	<u>\$ 3,454</u>	<u>\$ 9,631</u>	<u>\$ 3,142</u>	<u>\$ 48,493</u>

7. Bonds Payable

Bonds payable at June 30, 1999, consist of the following:

	(in thousands) <u>Outstanding</u>	<u>Current Maturities 1999-00</u>
Lexington Campus and Medical Center: Consolidated Educational Buildings Revenue Bonds, issued 1991 to 1998, maturities to 2018, interest rates 4.125% to 6.3%.	\$111,390	\$ 10,815
Consolidated Housing and Dining System Revenue Bonds, issued 1965 to 1997, maturities to 2024, interest rates 3.00% to 5.875%.	27,271	2,150
Hospital Revenue Bonds, issued 1993, maturities to 2006, interest rates 4.8% to 5.5%	13,900	1,455
Lexington-Fayette Urban County Government Governmental Project Revenue Bonds Series 1994 (University of Kentucky Alumni Association, Inc. Commonwealth Library Project), issued 1994, and Lexington-Fayette Urban County Government Governmental Project Revenue Refunding Bonds Series 1998 (University of Kentucky Alumni Association, Inc. Commonwealth Library Project), issued 1998, maturities to 2024, interest rates 4.0% to 6.5%.	<u>47,170</u>	<u>825</u>
Total	<u>\$ 199,731</u>	15,245
Interest		<u>9,728</u>
Total debt service for 1999-00		<u>\$ 24,973</u>

All bonds are callable by the University at various rates ranging from 100% to 103% of face value after ten years from issuance.

Revenues from student housing and dining facilities, certain other auxiliary enterprises, hospital and student fees are pledged for the retirement of the bonds related to those activities. All bonds, with the exception of the Hospital Revenue Bonds, are collateralized by land and buildings.

On July 7, 1998, \$43,420,000 Lexington-Fayette Urban County Government (LFUCG) Governmental Project Revenue Refunding Bonds, Series 1998 (University of Kentucky Alumni Association, Inc. Commonwealth Library Project) were issued at a net interest cost of 5.0249%, representing a partial refunding of the original \$41,000,000 LFUCG Governmental Project Revenue Bonds, Series 1994, dated November 1, 1994. The proceeds of the refunding bonds were used to defease \$34,850,000 of the Series 1994 bonds. The refunding will decrease the University's total debt service payments over the next twenty-five years by \$5,148,673 representing an economic gain (difference between the present values of the debt service payments on the old and new debt) of \$2,861,179.

Trust account assets and a total liability for all defeased bonds of \$52,650,000 at June 30, 1999 are not included in the financial statements.

The aggregate amount of maturities for the next five years, after giving effect to the \$43,420,000 LFUCG Governmental Project Revenue Refunding Bond, Series 1998 described above, is as follows:

	(in thousands)
2000	\$15,245
2001	\$16,000
2002	\$16,780
2003	\$15,680
2004	\$13,535

8. Capital Lease Obligations

The University has utilized capital leases to acquire various items of equipment costing \$12,187,000. The minimum future lease payments on the capital lease obligations are as follows:

	(in thousands)
2000	\$ 1,997
2001	1,388
2002	214
2003	120
2004	76
Total	<u>3,795</u>
Less: Amount representing interest	(263)
Present value of net minimum lease payment	<u>\$ 3,532</u>

In addition to the above, the University has entered into a financing/lease agreement to finance renovations to Commonwealth Stadium. At June 30, 1999, the University was obligated for \$25,260,000 under this financing/lease agreement. Interest only is due under this lease, until maturity of June 30, 2002. The University has a commitment from the State Property and Buildings Commission to issue long-term bonds to retire the principal amount of this financing/lease agreement at maturity. The University will be responsible for the debt service payments on these bonds.

9. Funds Held in Trust by Others

The University is the income beneficiary of various trusts which are held and controlled by independent trustees. For the year ended June 30, 1999, the University received income from these trusts of approximately \$1,062,000. The University has no equity interest in the principal of the trusts which, accordingly, is recorded at a nominal value of \$1 each. The 1999 income received from these trusts is recorded as endowment income in the current unrestricted fund (\$495,000) and in the current restricted fund (\$567,000).

10. Pension Plans

Regular full-time employees, including faculty, are participants in the University of Kentucky Retirement Plan, a defined contribution plan. The University of Kentucky Retirement Plan consists of five groups as follows:

Group I	Established July 1, 1964, for faculty and certain administrative officials.
Group II	Established July 1, 1971, for staff members in the clerical, technical and service categories.

- Group III Established July 1, 1972, for staff members in the managerial, professional and scientific categories.
- Group IV Established January 1, 1973, for staff members having U.S. Civil Service retirement entitlement.
- Group V Established July 1, 1987, for staff members covered under the Federal Employees Retirement System that replaced Civil Service (those whose employment began during the period from January 1, 1984 to March 31, 1987). Staff members whose employment began after March 31, 1987 are under one of the above University of Kentucky Retirement Plans.

Participation in the University of Kentucky Retirement Plan in groups I, II, and III is mandatory for all faculty and staff members, age 30 and older, who have completed one year of service. Participation is voluntary to age 30 (after completion of one year of service). Participation in groups IV and V is voluntary. The University contributes 10% of eligible compensation to groups I, II and III. Employees contribute 5% of eligible compensation to groups I, II and III.

The University has authorized three retirement plan carriers, effective July 1, 1988, as follows:

Teachers Insurance and Annuity Association/College
Retirement Equities Fund (TIAA/CREF)
Fidelity Institutional Services Company
American Century Investments

Under the fully funded University of Kentucky Retirement Plan, the University and plan participants make contributions to provide fully vested retirement benefits to employees. The University's contributions and costs for 1999 were approximately \$41,587,000 and the employees contributed approximately \$20,698,000. The University's total payroll costs were approximately \$622,349,000. The payroll for employees covered by the retirement plan was approximately \$415,871,000.

In addition to retirement benefits provided from the group retirement plan, the University provides supplemental retirement income benefits to certain eligible employees in each of the retirement groups (see Note 11).

11. Minimum Annual Retirement Benefits and Supplemental Retirement Income

Employees in retirement groups I, II and III, referred to in Note 10, who were age 40 or older prior to the date of establishment of each group plan, and who were employed by the University prior to that date, qualify for the minimum annual retirement benefit provisions of the retirement plan. Benefits for these eligible employees are based upon a percentage, determined through years of service, of the participant's annual salary in the last year of employment prior to retirement. Retirement benefits as determined are funded by each individual retiree's accumulation in the group retirement plan, with the balance, if necessary, provided by the University as supplemental retirement income.

The Legislature of the Commonwealth of Kentucky has appropriated funds to the University for the payment of supplemental retirement income benefits since adoption of the group retirement plans, and is expected to continue this practice. However the Constitution of the Commonwealth of Kentucky prohibits the commitment of future revenues beyond the end of the current biennium. Accordingly, the University does not recognize the liability for supplemental retirement income benefits during the service life of covered employees, but recognizes its costs when funds are appropriated by the Legislature and payments are made. The University intends to continue paying supplemental retirement income benefits contingent upon the Legislature continuing to appropriate funds required to make these payments. Supplemental retirement benefit payments were approximately \$4,326,000 for 1999.

The latest actuarial valuation was prepared as of June 30, 1998 by TIAA. The actuarial present value of accumulated supplemental retirement income benefits as determined by this valuation, utilizing an assumed rate of return of 7%, was \$32,717,000.

12. Health Insurance Benefits for Retirees

The University provides health care benefits to retirees who have a minimum of fifteen years service. The University recognizes the cost of providing those benefits by expensing the insurance premiums in the year paid. These premiums were approximately \$2,600,000 for the year ended June 30, 1999. At June 30, 1999, 1,949 retirees met the service requirement and were receiving benefits.

13. Contingencies

The University is defendant in various lawsuits. However, University officials are of the opinion, based on advice of in-house legal counsel, that the effect of the ultimate outcome of all litigation will not be material to the future operations or financial position of the University.

14. Pledges and Deferred Gifts

Pledges totaling approximately \$60,304,000 are expected to be collected over the next ten years. Deferred gifts through insurance, known bequests and irrevocable trusts in which the University has a remainder interest are estimated to be approximately \$49,313,000. The University records such contributions as revenue when the cash is received.

15. Grants and Contracts Awarded

At June 30, 1999, grants and contracts of approximately \$90,000,000 had been awarded to the University and the University of Kentucky Research Foundation but not expended. These amounts will be recognized in future periods as revenues and expenditures when the funds are expended.

Required Supplementary Information:

Year 2000 Issues

The approach of the year 2000 presents many significant issues for many financial, informational and operational systems. Many systems in use today may not be able to appropriately interpret dates after December 31, 1999, because such systems allow only two digits to indicate the year in a date. As a result, such systems are unable to distinguish January 1, 2000 from January 1, 1900, which could have adverse consequences on the operations of the entity and the integrity of the information processed, causing safety, operational and financial issues.

The University recognizes the urgency in dealing with potential year 2000 issues and has established a Year 2000 project team. The team is composed of senior representatives from each major University sector, plus a representative from Management and Organization (Internal Audit) and University Legal Counsel. Additionally, the University has established a Year 2000 project office, staffed with three full time and four part time staff.

The University has developed a comprehensive plan to address year 2000 issues. Phase one of the University year 2000 plan was to inventory and conduct a risk assessment of all potentially vulnerable equipment and systems. This effort was completed in July 1998. The University is subjecting such equipment and systems to the following stages of work to address year 2000 issues:

- *Awareness stage* - Establishing a budget and project plan for dealing with the year 2000 issue.
- *Assessment stage* - Identifying the systems and components for which year 2000 compliance work is needed.
- *Remediation stage* - Making changes to systems and equipment
- *Validation/Testing stage* - Validating and testing the changes that were made during the remediation stage.

The University's year 2000 remediation work for its mission critical systems and electronic equipment are in the following stages of work as of June 30, 1999: A - needs to be addressed, P - in process, C - completed.

Mission Critical Systems Requiring Year 2000 Remediation	Awareness Stage	Assessment Stage	Remediation Stage	Validation/ Testing Stage
Financial Records System (FRS)	C	C	C	C
Human Resource System (HRS)	C	C	C	C
Student Information System (SIS)	C	C	C	C

Testing and validation of the University's core mission critical systems are complete. Testing and validation of the related information supply chain are underway, with completion expected by October 1, 1999, or according to published schedules from external vendors and/or governmental agencies.

The University had contractual commitments outstanding at June 30, 1999 related to the year 2000 remediation efforts of approximately \$881,000.

FCR 2

Office of the President
September 21, 1999

Members, Board of Trustees:

DISPOSAL OF SURPLUS PROPERTY

Recommendation: that the Board of Trustees approve disposal of personal property as set forth in Attachments I and II.

Background: KRS 164A.575 requires the Board of Trustees sell or otherwise dispose of all real or personal property of the University which is not needed or has become unsuitable for public use, or would be more suitable consistent with the public interest for some other use, as determined by the Board. The determination of the Board shall be set forth in a written order after reviewing a written request that describes the property and states the reasons why the University believes disposal should be effected.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

UNIVERSITY OF KENTUCKY
DISPOSAL OF SURPLUS PROPERTY

Property Description	One ALT Ultramark 9 HDI Colorflo Ultrasound, model UM9-HDI and accessories. One ALT Ultramark 9 Colorflo Ultrasound, model UM-90pt04 and accessories.
Reason for Disposal	Chancellor Holsinger, Dr. Wilson, Dean of the College of Medicine, and Dr. Campbell, Chair of the Department of Obstetrics and Gynecology, have declared the equipment surplus to the needs of the Medical Center and the University of Kentucky. The machines have been determined as not usable at the Medical Center, but are in fairly good condition and immediate sale would avoid further depreciation and loss of value.
Method of Disposal	Negotiated sale.

UNIVERSITY OF KENTUCKY
DISPOSAL OF SURPLUS PROPERTY

Property Description

One SpectraLase CO2 Laser
One FTIR Spectrometer
One IR Detector
One Glovebox
One CCD Detector
One High-pressure Cell
One LK Technologies STM
One 3-zone Furnace
One ISA 1402 Spectrometer
One SGI IMPACT 10000 Workstation
One UHV Chamber
One ISA HR460 Spectrometer
One ISA 270M Spectrometer

Reason for Disposal

Dr. Peter Eklund of the Department of Physics has accepted a position at Penn State University and plans to continue his research program there. The equipment listed above is highly specialized equipment used in Dr. Eklund's research in the Materials Research Center. Penn State has offered to purchase this highly specialized equipment in order for Dr. Eklund to continue his research program. Dr. Eklund will continue his research collaboration with faculty in the Materials Research Center at UK.

Chancellor Zinser and Dr. Grotch, Dean of the College of Arts and Sciences, have recommended the sale of this specialized research equipment to Penn State for Dr. Eklund's research citing benefits both to researchers at UK and to the general public, and have asserted the equipment would be of little value to the College of Arts and Sciences.

Method of Disposal

Negotiated sale.

FCR 3

Office of the President
September 21, 1999

Members, Board of Trustees

APPROVAL FOR WITHDRAWAL FROM MAINTENANCE RESERVE ACCOUNT

Recommendation: that the Controller and Treasurer be authorized and directed by the Board of Trustees to request the Trustee of the University of Kentucky Housing and Dining System Revenue Bonds to make available the sum of \$1,306,000 from the Maintenance Reserve Account of said bonds for the purpose of financing needed maintenance and repairs to properties of the Housing and Dining System of the University of Kentucky.

Background: The Trust Indenture provides for the establishment of a Maintenance Reserve Fund, which may be drawn and used by the Board of Trustees for the purpose of maintenance and repairs to the Housing and Dining System. As of June 30, 1999, the Maintenance Reserve Fund had a balance of \$2,946,467. The required balance under the Trust Indenture is \$1,831,000. Withdrawal of \$1,306,000 will leave a remaining balance of \$1,640,467. The Trust Indenture requires the Housing and Dining System to deposit \$190,533 over a four-year period to restore the Maintenance Reserve Fund to the required amount.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 4

Office of the President
September 21, 1999

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

An addendum to a lease between the University of Kentucky (Kentucky Geological Survey) and Overby Storage, 401 Hoffman Drive, Henderson, Kentucky, for space located at 401 Hoffman Drive, Henderson, Kentucky, effective July 1, 1999 through June 30, 2000, for the use of 150 square feet of storage space, at an annual rate of \$480.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Department of Psychiatry) and Blazer Parkway Venture, LLC, 100 East Vine Street, Lexington, Kentucky, for space located at 3470 Blazer Parkway, Lexington, Kentucky, effective November 1, 1999 through November 30, 1999, for the use of 21,836 square feet of office/clinic space for one month at a rate of \$29,986.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Center for Prevention Research) and Margetis Investments, 2460 North High Street, Columbus, Ohio, for space located at 2465 North High Street, Columbus, Ohio, effective July 19, 1999 through June 30, 2000, for the use of 472 square feet of office space, at an annual rate of \$3,300.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Physician Assistants Program) and Veterans Administration Medical Center, 2250 Leestown Road, Lexington, Kentucky, for space located at 2250 Leestown Road, Lexington, Kentucky, effective September 1, 1999 through June 30, 2000, for the use of 1,942 square feet for a teaching lab, at an annual rate of \$24,275.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 5

Office of the President
September 21, 1999

Members, Board of Trustees:

APPROVAL OF LEASES

Recommendation: that the Vice President for Fiscal Affairs be authorized to negotiate and execute the following:

A new lease between the University of Kentucky (Patient Accounts) and CHM Property Partners, 3120 Wall Street, Lexington, Kentucky, for space located at 2200 Regency Road, Lexington, Kentucky, effective October 1, 1999 through June 30, 2000, for the use of 9,375 square feet of office space, at an annual rate of \$152,156.25.

A new lease between the University of Kentucky (Information Management) and CHM Property Partners, 3120 Wall Street, Lexington, Kentucky, for space located at 2224 Regency Road, Lexington, Kentucky, effective October 1, 1999 through June 30, 2000, for the use of 9,376 square feet of office space, at an annual rate of \$152,172.48.

A new lease between the University of Kentucky (Hospital Accounting & Managed Care) and CHM Property Partners, 3120 Wall Street, Lexington, Kentucky, for space located at 191 West Lowry Lane, Lexington, Kentucky, effective October 1, 1999 through June 30, 2000, for the use of 7,800 square feet of office space, at an annual rate of \$126,594.00.

An addendum to a lease between the University of Kentucky (Lexington Community College) and Enterprise Business Center, LLC, 201 West Short Street, Lexington, Kentucky, for space located at 817 East Third Street, effective October 1, 1999 through June 30, 2000, for renovation of 10,660 square feet of existing office/classroom space, at an annual rate of \$13,946.00 and a total annual rate of \$165,836.00.

A new lease between the University of Kentucky (Home Health Services) and CHM Property Partners, 3120 Wall Street, Lexington, Kentucky, for space located at 181 West Lowry Lane, Lexington, Kentucky, effective October 1, 1999 through June 30, 2000, for the use of 2,724 square feet of office space, at an annual rate of \$44,210.52.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases in excess of \$30,000 be approved by the Board of Trustees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 6

Office of the President
September 21, 1999

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending June 30, 1999, be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

This report contains capital projects which have been active within this quarter. There are two (2) sections included in the Quarterly Report. The first section contains information on the Consultant Contracts while the second section contains information on the Construction Contracts.

Consultant Contracts: This section contains the name of the project, the project number, the project scope (which includes all costs associated with the project: design, management services, construction, PPD and communications force accounts, equipment, and furnishings), the name of the consultant, the city in which the consultant resides, the contract number, the contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, a brief project description, and a notes section that can be used for additional information regarding the consultant.

Construction Contracts: This section contains the name of the project, the project number, the name of the contractor, the city in which the contractor resides, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed and a notes section that can be used for additional information regarding the contractor.

Note: Several projects have Construction Managers whose responsibility is to assist with the contract documents, bidding and coordination of the project. When Construction Managers are employed there are multiple construction contracts. In the following report Construction Managers are listed first in the Construction Contracts section followed by each contract which they oversee.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Lyle Associates, Architects Lexington Contract# A981390	4 North Nursing Renovation Project Number: 1730.0	\$273,605
	Consultant Contract Amount:	\$38,800
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$7,306
	Revised Consultant Contract Amount:	\$46,106
Project Description: Refurbish the Fourth Floor North Wing as a general patient care unit for overflow use. All finishes will be upgraded as well as the HVAC system. No existing walls will be removed.		
Notes: No construction contract(s) awarded to date. Amendment #1 , 5/19/99, an increase of \$7,306, was approved to revise Phase 3 (construction documents) due a change in users' needs.		
James W. Potts Architect Lexington Contract# A991270	Academic and Research Renovation (College of Medicine) Project Number: 1774.0	\$625,000
	Consultant Contract Amount:	\$46,000
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$46,000
Project Description: Renovate approximately 4,700 gross square feet located in the basement of the MRISC Building which is part of the Markey Cancer Center. The intent of the project is to provide renovation of the area in the form of limited demolition, new wall construction, upgrade of interior finishes, new lighting, communications infrastructure, and creation of new laboratory spaces.		
Notes: No construction contract(s) awarded to date.		
CMW, Inc. Lexington Contract# A981240	Aging/Allied Health Phase II Building Project Number: 1651.0	\$33,500,000
	Consultant Contract Amount:	\$310,500
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$1,829,926
	Revised Consultant Contract Amount:	\$2,140,426
Project Description: Expansion of the Aging/Allied Health facilities to include approximately 184,000 gross square feet of new space for the College of Allied Health; offices for the Center on Aging; Administrative Offices; the Medical Center Bookstore; and a Nursing Teaching Facility. It will connect to the horizontal pedestrian circulation route servicing the Medical Center campus, and will connect to the central campus steam and chilled water systems. The building is to be located at the Northeast corner of the South Limestone Street and Rose Street intersection, and will include the realignment of this intersection. In addition, it will include approximately 60 new parking spaces for the Nursing Teaching Facility.		
Notes: No construction contract(s) awarded to date. \$33,500,000 reflects the total project scope of Projects 1651.0 and 1651.1. Amendment #1 , 5/19/99, an increase of \$1,829,925.62, was approved to provide additional design services rendered as a result of reprogramming, space analysis, rework of schematic design, and cost estimating. In addition, it was to provide design services for Phase 2, Design Development, through Phase 5, Construction Administration; it also provided design and construction administration services for extensive utility infrastructure required for the project.		
Lyle Associates, Architects Lexington Contract# A981250	Agricultural Science Center South Laboratory Separation Project Number: 1699.0	\$174,875
	Consultant Contract Amount:	\$16,800
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$16,800
Project Description: Upgrade the means of egress and fire separation of the Agricultural Science South (Garrigus) Building.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Pearson + Bender + Jolly Lexington Contract# A961470	Animal Research Center, Woodford County Farm Project Number: 1626.0	\$12,480,000
	Consultant Contract Amount:	\$630,000
	Total Amendments: (2) Consultant Cumulative Amendment Amount:	\$11,822
	Revised Consultant Contract Amount:	\$641,822

Project Description:

Implements a development plan for the Woodford County Farm. The plan includes seven research sub-centers for discovery and application research thrusts focusing on the major livestock enterprises of Kentucky. Project includes: infrastructure (fencing, roads, water, electricity, waste handling facilities), field research buildings, equipment, and other facilities for beef, swine, and sheep research.

Notes: \$12,480,000 reflects the total project scope of Projects 1626.0 and 1626.1. **Amendment #1**, 3/20/98, an increase of \$10,820, was approved to provide additional Consultant services for Phases 3-5 to separate the site utilities portion of the project for separate bidding and contract administration. **Amendment #2**, 1/7/99, an increase of \$1,002, was approved to provide an additional site visit by the consultant to inspect the delivery of the precast concrete floor drainage slats for the swine unit and to reimburse actual travel costs for the site visit.

Fitzsimons O'Connor Lexington Contract# A971380	Barker Hall-Replace Fire Escape with Enclosed Stair Project Number: 1678.0	\$345,350
	Consultant Contract Amount:	\$10,692
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$14,395
	Revised Consultant Contract Amount:	\$25,087

Project Description:

Remove the existing metal fire escape from Barker Hall and construct new enclosed stairs.

Notes: **Amendment #1**, 9/30/97, an increase of \$14,395, was approved to provide Phase 2 through Phase 5 services for the second phase of the project, which is the implementation of the results of Phase 1, the feasibility study.

Biagi, Chance, Cummins, et al Shelbyville Contract# A981350	Center for Applied Energy Research Ventilation System Phase II Project Number: 1487.0	\$1,450,000
	Consultant Contract Amount:	\$72,000
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$72,000

Project Description:

Phase 1 completed the upgrade of the CAER exhaust system. Phase 2 upgrades the supply side of the HVAC system to provide sufficient make-up air and to balance the air pressure of the entire lab. Humidification and a new energy management system are included.

James W. Potts Architects Lexington Contract# A991000P	Chemistry/Physics Lab Renovation (Rooms 239, 241, 243, 245, and 247) Project Number: 1738.0	\$200,000
	Consultant Contract Amount:	\$15,800
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$15,800

Project Description:

Renovate Rooms 239, 241, 243, 245, and 247 in the Chemistry/Physics Building. Work shall include: removal of existing casework, countertops, and reagent racks; finish carpentry and refinishing of existing wood casework along with caulking and new vinyl base; and modifications to existing plumbing, mechanical, electrical, and communications raceway.

Notes: This is a per diem contract.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Staggs & Fisher Engineers Lexington Contract# A971220	Chilled Water Distribution System Building Pumping Implementation Project Number: 666.8	\$800,000
	Consultant Contract Amount:	\$64,000
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$64,000
Project Description: Provide valving and associated piping to control temperature and pressure for campus chilled water distribution at buildings selected by need criteria.		
Sherman Carter Barnhart Lexington Contract# A981320	Clinical Neurophysiology Renovation Project Number: 1704.0	\$389,136
	Consultant Contract Amount:	\$38,250
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$38,250
Project Description: Upgrade examination rooms and finishes.		
James W. Potts Architects Lexington Contract# A991070	Clinical Teaching & Support Lab Renovation Project Number: 1744.0	\$1,030,000
	Consultant Contract Amount:	\$35,000
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$35,000
Project Description: Renovation of clinical teaching and support labs that support the teaching, research, and patient care programs of the College of Dentistry. The renovations involve improvement of the clinical and laboratory equipment to create a state-of-the-art teaching and research facility. All renovations will occur in the Dental Wing of the Chandler Medical Center.		
HNTB Corporation Kansas City, MO Contract# A981290	Commonwealth Stadium Expansion Project Number: 1674.2	\$26,964,201
	Consultant Contract Amount:	\$1,650,000
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$28,718
	Revised Consultant Contract Amount:	\$1,678,718
Project Description: Renovate and expand the existing University of Kentucky football stadium, including the end zone seating, stadium boxes and support space, new first aid areas, restrooms, concession facilities, and utility service. Notes: Amendment #1, 7/16/98, an increase of \$28,717.50, was approved to reimburse HNTB for topographical services.		
Sherman Carter Barnhart Lexington Contract# A981080	Communications and Network Systems and Ancillary Programs Facility Project Number: 1696.0	\$4,800,000
	Consultant Contract Amount:	\$314,000
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$3,378
	Revised Consultant Contract Amount:	\$317,378
Project Description: Construct a new facility of approximately 40,000 gross square feet, which will house Communications and Network Systems and the Information Systems Business Office (CNS). Notes: Amendment #1, 11/30/98, an increase of \$3,378, was approved to cover Consultant fees due to the addition of parking spaces.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Lockett & Farley Inc. Louisville Contract# A981050	Diagnostic Services Upgrade VI Project Number: 1694.0 Total Amendments: (1)	\$817,000 Consultant Contract Amount: \$65,000 Consultant Cumulative Amendment Amount: \$22,508 Revised Consultant Contract Amount: \$87,508
Project Description: Renovate approximately 9,300 gross square feet on the fifth floor of the Hospital in the Special Diagnostics Department. Work includes limited demolition of existing walls, new wall construction, upgrade of interior finishes, installation of medical gases in exam rooms, and new lighting and upgrading to meet ADA standards. A comprehensive study of the HVAC system of the Clinic Wing is included.		
Notes: No construction contract(s) awarded to date. Amendment #1 , 5/18/99, an increase of \$22,508, was approved to reimburse the Consultant for providing a second set of construction documents.		
Lyle Associates, Architects Lexington Contract# A961440Q	Elevators 5 and 8 Upgrade Project Number: 1697.0 Total Amendments: (0)	\$390,000 Consultant Contract Amount: \$15,685 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$15,685
Project Description: Modernization of one traction elevator and one hydraulic elevator in the University of Kentucky Hospital. Work includes selective demolition, masonry walls, metal fabrications and flashings, door hardware, finishes, elevator equipment, fire protection and communications-alarm-signal systems.		
Notes: This is a per diem contract.		
James W. Potts Architects Lexington Contract# A991000P	German House Renovation Project Number: 1766.0 Total Amendments: (0)	\$765,100 Consultant Contract Amount: \$34,500 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$34,500
Project Description: Renovate approximately 3,740 square feet of existing space for educational purposes, and add 3,804 square feet for resident housing.		
Notes: This is a per diem contract.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
CMW, Inc. Lexington Contract# A94107	Hazard Community College Student/Performing Arts Conference Center Project Number: 1460.0	\$10,561,393
	Consultant Contract Amount:	\$301,000
	Total Amendments: (6) Consultant Cumulative Amendment Amount:	\$975,428
	Revised Consultant Contract Amount:	\$1,276,428

Project Description:

Construct a new facility comprised of a Student Center, to include Counseling Center, Student Activities Center, Classroom/Office area, and Auxiliary Services areas; BITAC, the Business and Industry Technical Assistance Center/Continuing Education/Community Service Center, which will include conference/exhibit halls with flexibility for use in regional and economic development programs, a video center and training rooms; and a Black Box Theater instructional area.

Notes: Due to full funding not being available, the project was redefined resulting in amendments to contract.

Amendment #1, 3/18/94, an increase of \$60,400, was approved to provide Consultant services for design development for the second phase of the Student, Performing Arts and Regional Conference Center, which contains the Business and Industry Technical Assistance Center (BITAC) and the Regional Conference Center. **Amendment #2**, 1/15/96, an increase of \$820,650, was approved to provide Consultant services for construction documents, bidding, and construction administration for the second phase (BITAC) for the Student, Performing Arts and Regional Conference Center project. **Amendment #3**, 11/11/96, an increase of \$83,023.50, was approved to provide for revised Phase 2 Consultant services based upon the revised scope of the BITAC and Student, Performing Arts and Regional Conference Center project. The revised scope required additional Phase 2 design services and a complete reworking of the Phase 3 documents. **Amendment #4**, 7/24/97, an increase of \$11,914, was approved to provide for additional Consultant services required to rebid the project.

Amendment #5, 7/10/98, an increase of \$14,890, was approved to provide for two additional months of construction administration services due to extending the construction time two months. **Amendment #6**, 12/9/98, a decrease of \$15,450, was approved to decrease the contract as a result of reduced Resident Inspector services.

Lyle Associates, Architects Lexington Contract# A981360	Hopkinsville Community College Learning Resource Center Roof Project Number: 1722.0	\$395,000
	Consultant Contract Amount:	\$27,500
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$27,500

Project Description:

Install a new roof assembly on the Learning Resource Center at Hopkinsville Community College.

Collignon & Nunley Architects Owensboro Contract# A94116	Hopkinsville Community College Regional Technical Training Center Project Number: 1461.0	\$11,945,000
	Consultant Contract Amount:	\$465,000
	Total Amendments: (5) Consultant Cumulative Amendment Amount:	\$265,140
	Revised Consultant Contract Amount:	\$730,140

Project Description:

Construction of a new 68,000 square foot two-story Technical Building for Hopkinsville Community College and Kentucky Technical at the Hopkinsville Community College campus.

Notes: \$11,945,000 reflects the total project scope of Projects 1461.0 and 1461.1. **Amendment #1**, 3/22/96, an increase of \$170,000, was approved to increase architectural/engineering professional services due to an increase in the total scope of the project. This fee amendment increase was determined by using the same percentages for the building design, furnishings, equipment design and specification, that had been determined in the original contract negotiation.

Amendment #2, 1/29/97, an increase of \$54,600, was approved to provide for a Resident Inspector. **Amendment #3**, 3/20/98, an increase of \$20,065, was approved to provide additional Consultant services for Phase 5 (construction administration). **Amendment #4**, 7/10/98, an increase of \$13,650, was approved to provide reimbursable services for additional Resident Inspector services through August, 1998. **Amendment #5**, 11/18/98, an increase of \$6,825, was approved to provide reimbursable services for additional Resident Inspector services through October, 1998.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Mcllwain & Associates Lexington Contract# A981270	Hopkinsville Community College Regional Technical Training Center Project Number: 1461.1 Total Amendments: (0)	\$250,000 Consultant Contract Amount: \$28,300 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$28,300
Project Description: Design a 200 space parking lot, located per the current physical development plan for the campus.		
Staggs & Fisher Engineers Lexington Contract# A961310	Hospital Fuel Tank Upgrade Project Number: 1712.0 Total Amendments: (3)	\$334,054 Consultant Contract Amount: \$3,000 Consultant Cumulative Amendment Amount: \$38,772 Revised Consultant Contract Amount: \$41,772
Project Description: Bring existing hospital emergency generator fuel storage tanks into compliance with federal regulations. Install a new concrete encased aboveground storage tank and remove old tanks. Notes: Amendment #1 , 10/31/97, an increase of \$25,000, was approved because the original contract called for a fee of \$3,000 on an hourly basis for Phase 1. Article III, 3.1, of the consultant contract, states: "Upon completion of Phase 1 and the establishment of the schematic design this contract will be amended for services necessary to implement the total scope as determined during Phase 1." Phase 1 has been completed. Through the negotiation process, an agreement based on a \$28,000 fee has been reached. Amendment #2 , 8/7/98, an increase of \$612, was approved to cover reimbursable services associated with the evaluation of contemplated design and structural changes. Amendment #3 , 9/29/98, an increase of \$13,160, was approved to revise Phases 1 and 2 milestone dates and to increase the design cost due to changing the location of the tank.		
Ross-Tarrant Architects, Inc. Lexington Contract# A971060	Hospital Housestaff Training Center Project Number: 1641.0 Total Amendments: (0)	\$466,000 Consultant Contract Amount: \$37,000 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$37,000
Project Description: Renovation of approximately 3,400 gross square feet of shell space in the Hospital basement under the existing Housestaff Quarters. The project will support the following functions: (1) a hospital training/education center for house staff and hospital staff and (2) existing Radiology film storage. The area will be used for in-service instruction and needs to include state-of-the-art electronic infrastructure. The art, layout, and furnishings must allow for the greatest flexibility in use.		
PEH, Inc. Lexington Contract# A961450I	Kentucky Geological Survey Facility Project Number: 1638.0 Total Amendments: (0)	\$1,157,247 Consultant Contract Amount: \$5,500 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$5,500
Project Description: Construct a well sample and core repository for the Kentucky Geological Survey. Notes: This is a per diem contract.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Staggs & Fisher Engineers Lexington Contract# A981110	Lexington Campus Fume Hood Upgrade Project Number: 1653.2	\$2,195,100
	Consultant Contract Amount:	\$126,000
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$126,000

Project Description:

Upgrade the existing mechanical/fume hood systems in the Chemistry/Physics Building, specifically the basement exhaust systems in Rooms 336 and 340.

Mcllwain & Associates Lexington Contract# A991000L	Limestone Street / Virginia Avenue Stone Walls Project Number: 1736.0	\$350,000
	Consultant Contract Amount:	\$20,300
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$20,300

Project Description:

Design and construct a "Campus Identification" element at the Limestone Street and Virginia Avenue location. The recent completion of the widening of Virginia Avenue has allowed a significant increase in traffic to the University at this intersection. The entrance walls will complete the enhancement of the corridor.

Notes: This is a per diem contract.

Pearson/Bender Associates Lexington Contract# A991220	M. I. King Library South Renovation (Facade) Project Number: 1770.0	\$800,000
	Consultant Contract Amount:	\$61,430
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$61,430

Project Description:

Redesign the entrance using brick veneer facade replicating the original details of the building and construct a plaza surrounded by Pence Hall and the Chemistry/Physics Building.

Pearson/Bender Associates Lexington Contract# A971360	M.I. King North Renovation Lucille Little Fine Arts Library Project Number: 1680.0	\$3,100,000
	Consultant Contract Amount:	\$148,500
	Total Amendments: (3) Consultant Cumulative Amendment Amount:	\$93,425
	Revised Consultant Contract Amount:	\$241,925

Project Description:

Renovation of two floors of the King North building following completion of the William T. Young Library. The renovation will provide space for the Lucille Little Fine Arts Library and Learning Center, which is to combine the current Art Library, Music Library, and Music Listening Center, the existing Art Slide Library as well as fine arts materials from the existing King Library collection and the new John Jacob Niles Center for American Music.

Notes: \$3,100,000 reflects the total project scope of Projects 1680.0 and 1680.1. **Amendment #1**, 10/31/97, an increase of \$1,800, was approved to provide Consultant services for air flow testing. **Amendment #2**, 6/30/98, an increase of \$43,625, was approved to provide Consultant services through Phase 5 for the redesign of the existing air handling system. This change is necessary to achieve proper operational control of the air distribution system for the library facility. **Amendment #3**, 9/28/98, an increase of \$48,000, was approved to provide for additional Consultant services through Phase 5 for the renovation of an area in M.I. King South to provide space for the relocation of the Special Collections area.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
GRW Engineers, Inc. Lexington Contract# A981330	Memorial Coliseum Fire Alarm Sprinklers Project Number: 1721.0	\$120,000
	Consultant Contract Amount:	\$12,130
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$12,130
Project Description: Install and upgrade a sprinkler system in the first floor of the west side of Memorial Coliseum. The new sprinkler system riser will be an extension of an existing system.		
Fitzsimons Lexington Contract# A991000G	Nuclear Medicine Renovation Project Number: 1709.0	\$363,500
	Consultant Contract Amount:	\$20,550
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$20,550
Project Description: Renovate space for increased efficiency of operation and expanded patient services. Notes: This is a per diem contract.		
Omni Architects Lexington Contract# A991120	Patient Care Facility/Women's Cancer Facility Project Number: 1748.0	\$8,000,000
	Consultant Contract Amount:	\$492,070
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$77,094
	Revised Consultant Contract Amount:	\$569,164
Project Description: Construct a new 3-story facility on an existing foundation which will house the Women's Cancer Center. Notes: No construction contract(s) awarded to date. Amendment #1 , 5/19/99, an increase of \$77,094, was approved to provide Consultant services for additional programming services and work.		
CMW, Inc. Lexington Contract# A991010L	Patterson Office Tower Wall Repair Project Number: 1758.0	\$140,000
	Consultant Contract Amount:	\$3,370
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$3,370
Project Description: Repair deteriorating concrete walls and continue the stone veneer installed on the adjacent walls on Patterson Drive. Notes: This is a per diem contract.		
Pearson/Bender Associates Lexington Contract# A981130	Pence Hall Exit Stairway Project Number: 1700.0	\$295,000
	Consultant Contract Amount:	\$18,600
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$3,448
	Revised Consultant Contract Amount:	\$22,048
Project Description: Add an egress stairway in Pence Hall. Notes: Amendment #1 , 11/18/98, an increase of \$3,448, was approved to provide additional Consultant services for Phase 2 (design development) for studying alternative locations of stairs within the interior of the building. These services were to assess the impact to the current space utilization of the College of Architecture. The additional fee of \$550 is to provide a site survey as a reimbursable service.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
James B. Evans & Associates Lexington Contract# A991060	Press Avenue Parking Lot Renovation Project Number: 1733.0	\$399,000
	Consultant Contract Amount:	\$44,404
Total Amendments: (1)	Consultant Cumulative Amendment Amount:	\$1,378
	Revised Consultant Contract Amount:	\$45,782
Project Description: Construct a parking lot on Press Avenue.		
Notes: Amendment #1 , 2/11/99, an increase of \$1,378.41, was approved to provide additional site subsurface information.		
CMW, Inc. Lexington Contract# A991240	Primary Care/Outpatient Diagnostic and Treatment Center Project Number: 1764.0	\$22,000,000
	Consultant Contract Amount:	\$2,147,765
Total Amendments: (0)	Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$2,147,765
Project Description: Construct a new facility to house the Center for Advanced Surgery and the Gill Heart Institute. It will consist of an outpatient surgery center, an outpatient clinic and a research facility. 90,000 gross square feet on five floors includes: adult cardiology, CT surgery, vascular clinics, procedure labs, offices, research labs, a board room, administration spaces, an outpatient surgery prep area, operating rooms, surgery support spaces, and public spaces.		
Notes: No construction contract(s) awarded to date.		
Booker & Associates Lexington Contract# A981120	Southeast Community College Falkenstine Hall Renovation Phase 1 Project Number: 1665.0	\$664,700
	Consultant Contract Amount:	\$47,100
Total Amendments: (1)	Consultant Cumulative Amendment Amount:	\$12,370
	Revised Consultant Contract Amount:	\$59,470
Project Description: Renovate the third floor of Falkenstine Hall for use as multiple interactive television classrooms and a television studio.		
Notes: Amendment #1 , 3/20/98, an increase of \$12,370, was approved to increase the consultant fee due to increasing the project scope to the initial scope established with the project request.		
Coblin, Porter & Associates Frankfort Contract# A981070	Southeast Community College Newman Hall Roof Replacement Project Number: 1690.0	\$200,000
	Consultant Contract Amount:	\$23,500
Total Amendments: (0)	Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$23,500
Project Description: Construct a low-slope standing-seam metal roof system over existing built-up roof.		
James W. Potts Architect Lexington Contract# A981140	Special Procedures Lab Renovation Project Number: 1703.0	\$393,000
	Consultant Contract Amount:	\$2,000
Total Amendments: (1)	Consultant Cumulative Amendment Amount:	\$30,660
	Revised Consultant Contract Amount:	\$32,660
Project Description: Renovate the procedure suite into research labs similar to those in the Health Science Research Building.		
Notes: Amendment #1 , 4/13/98, an increase of \$30,660, was approved to provide Phase 2 through Phase 5 Consultant services.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Environmental Planning Pittsburgh, PA Contract# A991260	Update of Arboretum Physical Development Plan Project Number: 1780.0	\$50,500
	Consultant Contract Amount:	\$50,500
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$50,500
Project Description: Update the history of the Arboretum from previous Physical Development Plan. Develop guidelines regarding site program, open space, building density and landscaping. Develop traffic, parking, pedestrian movement, infrastructure, and design concepts. Establish program opportunities and prepare final report.		
Notes: No construction contract(s) awarded to date.		
Lyle Associates, Architects Lexington Contract# A961180	Utilities Upgrade I (Hospital Elevators) Project Number: 1582.0	\$1,870,000
	Consultant Contract Amount:	\$95,000
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$51,903
	Revised Consultant Contract Amount:	\$146,903
Project Description: Modernization of eight traction elevators in the University of Kentucky Hospital. The work includes selective demolition, masonry walls, metal fabrications and flashings, doors and frames, door hardware, finishes, elevator systems and equipment, fire protection, HVAC, electrical systems, lighting, and communication-alarm-signal systems.		
Notes: Amendment #1 , 4/16/98, an increase of \$51,903, was approved to provide Consultant services for an additional 480 days of construction administration and coordination of three sessions of performance testing.		
Mcllwain & Associates Lexington Contract# A981010CC	Virginia Avenue Landscaping, Street Trees Project Number: 1731.0	\$58,017
	Consultant Contract Amount:	\$2,850
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$2,850
Project Description: Install trees along Virginia Avenue, between Limestone Street and the railroad viaduct.		
Notes: This is a per diem contract.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Spectrum Contracting Lexington		Agricultural Science South Laboratory Separation Project Number: 1699.0	
Contract# U006675-97		Contractor Award Amount:	\$138,675
Low Bid: \$138,675	Total Change Orders: (1)	Contractor Cum. Change Order Amount:	\$699
High Bid: \$194,647		Revised Contractor Contract Amount:	\$139,374
Number of Bids: 3		Contract Percent Complete:	99%
D.W. Wilburn, Inc. Lexington		Animal Research Center, Woodford County Farm Project Number: 1626.0	
Contract# U003971-97		Contractor Award Amount:	\$10,146,000
Low Bid: \$10,146,000	Total Change Orders: (42)	Contractor Cum. Change Order Amount:	\$376,170
High Bid: \$11,746,000		Revised Contractor Contract Amount:	\$10,522,170
Number of Bids: 5		Contract Percent Complete:	87%
Notes: Change Order #17, 12/4/98, an increase of \$59,102.26, was approved to install concrete footings for silos at the Beef Nutrition Center, the Sheep Nutrition Center, and the waste storage areas. Change Order #19, 12/4/98, an increase of \$38,231.75, was approved to redesign the run-off and collection system at three paved beef lots. Change Order #23, 2/12/99, an increase of \$47,222.50, was approved to excavate rock for the site water line. Change Order #42, 6/21/99, an increase of \$41,755, was approved to provide rock excavation by mechanical subcontractor for installation of swine waste below grade piping system.			
Spectrum Contracting Nicholasville		Barker Hall-Replace Fire Escape with Enclosed Stair Project Number: 1678.0	
Contract# U003645-98		Contractor Award Amount:	\$268,042
Low Bid: \$268,042	Total Change Orders: (1)	Contractor Cum. Change Order Amount:	\$3,476
High Bid: \$324,900		Revised Contractor Contract Amount:	\$271,518
Number of Bids: 6		Contract Percent Complete:	0%
Finney Company, Inc. Lexington		Building Pumping Implementation Phase 2 Project Number: 666.1	
Contract# U005090-97		Contractor Award Amount:	\$230,570
Low Bid: \$230,570	Total Change Orders: (3)	Contractor Cum. Change Order Amount:	\$14,330
High Bid: \$239,980		Revised Contractor Contract Amount:	\$244,900
Number of Bids: 3		Contract Percent Complete:	99%
Finney Company, Inc. Lexington		Center for Applied Energy Research Ventilation System Phase II Project Number: 1487.0	
Contract# U004091-98		Contractor Award Amount:	\$1,256,000
Low Bid: \$1,256,000	Total Change Orders: (1)	Contractor Cum. Change Order Amount:	\$32,900
High Bid: \$1,490,000		Revised Contractor Contract Amount:	\$1,288,900
Number of Bids: 3		Contract Percent Complete:	10%
Change Order #1 , 6/2/99, an increase of \$32,900, was approved to provide a complete direct digital control system for the variable air volume terminal units.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
The Wagner Company Lexington		Chemistry/Physics Laboratory Renovation Project Number: 1738.0	
Contract# U004227-98		Contractor Award Amount:	\$130,913
Low Bid: \$130,913	Total Change Orders: (3)	Contractor Cum. Change Order Amount:	\$4,789
High Bid: \$146,200		Revised Contractor Contract Amount:	\$135,702
Number of Bids: 3		Contract Percent Complete:	44%
Spectrum Contracting Nicholasville		Clinical Neurophysiology Renovation Project Number: 1704.0	
Contract# U003980-98		Contractor Award Amount:	\$236,302
Low Bid: \$236,302	Total Change Orders: (1)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$356,560		Revised Contractor Contract Amount:	\$236,302
Number of Bids: 10		Contract Percent Complete:	0%
Eubank & Steele Lexington		Clinical Teaching & Support Lab Renovation Project Number: 1744.0	
Contract# U002917-98		Contractor Award Amount:	\$498,250
Low Bid: \$498,250	Total Change Orders: (1)	Contractor Cum. Change Order Amount:	\$24,093
High Bid: \$573,367		Revised Contractor Contract Amount:	\$522,343
Number of Bids: 4		Contract Percent Complete:	90%
Turner Construction** Cincinnati, OH		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U004388-97		Contractor Award Amount:	\$1,067,312
Low Bid:	Total Change Orders: (3)	Contractor Cum. Change Order Amount:	\$200,683
High Bid:		Revised Contractor Contract Amount:	\$1,267,995
Number of Bids:		Contract Percent Complete:	68%
Notes: **Turner is the construction manager for this project. The following contracts pertaining to 1674.2 are managed by Turner Construction. Change Order #1 , 1/8/99, an increase of \$97,139, was approved to add one and one-half months of construction services and expenses to the contract. Change Order #2 , 1/29/99, an increase of \$97,693.75, was approved to add periodic inspection services for painting and concrete.			
Abell Elevator Louisville		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U000976-98		Contractor Award Amount:	\$399,130
Low Bid: \$411,130	Total Change Orders: (2)	Contractor Cum. Change Order Amount:	-\$670
High Bid: \$745,870		Revised Contractor Contract Amount:	\$398,460
Number of Bids: 6		Contract Percent Complete:	43%
Notes: Elevator Bid Package. Bids originally included both construction and maintenance costs. The contract now includes construction cost only.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Amteck of Kentucky Lexington		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002639-98		Contractor Award Amount: \$1,746,313	
Low Bid: \$1,746,313	Total Change Orders: (21)	Contractor Cum. Change Order Amount:	\$139,808
High Bid: \$1,979,650		Revised Contractor Contract Amount:	\$1,886,121
Number of Bids: 2		Contract Percent Complete:	74%
Notes: Electrical Bid Package. Change Order #7 , 5/20/99, an increase of \$29,869, was approved to add machine rooms for two future elevator shafts. Change Order #11 , 5/24/99, an increase of \$31,650, was approved to provide a redundant feed into the existing substations.			
Amteck of Kentucky Lexington		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002640-98		Contractor Award Amount: \$141,155	
Low Bid: \$141,155	Total Change Orders: (1)	Contractor Cum. Change Order Amount:	-\$2,500
High Bid: \$276,062		Revised Contractor Contract Amount:	\$138,655
Number of Bids: 3		Contract Percent Complete:	63%
Notes: Suites Finishes Electrical Bid Package.			
Branscum Construction Russell Springs		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002685-98		Contractor Award Amount: \$2,644,117	
Low Bid: \$2,644,117	Total Change Orders: (20)	Contractor Cum. Change Order Amount:	\$196,861
High Bid: \$2,698,000		Revised Contractor Contract Amount:	\$2,840,978
Number of Bids: 2		Contract Percent Complete:	36%
Notes: General Trades Bid Package. Change Order #6 , 5/20/99, an increase of \$74,292, was approved to add elevator machine rooms for future elevator shafts. Change Order #9 , 6/15/99, an increase of \$34,125, was approved to provide handrails required for access to the suite stadium seating and railings required for the suite emergency exit stairs. Change Order #18 , 6/24/99, an increase of \$44,633, was approved to add the production booth which had been deleted in the post-bid addendum.			
Branscum Construction Russell Springs		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U000943-98		Contractor Award Amount: \$1,652,956	
Low Bid: \$1,742,428	Total Change Orders: (36)	Contractor Cum. Change Order Amount:	\$45,297
High Bid:		Revised Contractor Contract Amount:	\$1,698,253
Number of Bids: 1		Contract Percent Complete:	91%
Notes: Demolition, Excavation, Foundation, and Concrete Bid Package. Since only one bid was submitted, the contract was renegotiated.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Dant Clayton Corporation Louisville		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U000970-98		Contractor Award Amount: \$1,789,400	
Low Bid: \$1,789,400	Total Change Orders: (6)	Contractor Cum. Change Order Amount:	\$16,164
High Bid: \$2,872,992		Revised Contractor Contract Amount:	\$1,805,564
Number of Bids: 3		Contract Percent Complete:	80%
Notes: Aluminum Stadium Seating Bid Package.			
Eagle Roofing Richmond		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002970-98		Contractor Award Amount: \$268,180	
Low Bid: \$268,180	Total Change Orders: (2)	Contractor Cum. Change Order Amount:	\$2,567
High Bid: \$438,742		Revised Contractor Contract Amount:	\$270,747
Number of Bids: 3		Contract Percent Complete:	55%
Notes: Roofing and Sheet Metal Bid Package.			
Gate Bluegrass Precast Winchester		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U001893-98		Contractor Award Amount: \$1,435,000	
Low Bid: \$1,435,000	Total Change Orders: (4)	Contractor Cum. Change Order Amount:	\$8,016
High Bid:		Revised Contractor Contract Amount:	\$1,443,016
Number of Bids: 1		Contract Percent Complete:	87%
Notes: Architectural Precast Bid Package.			
Interstate Welding Terre Haute, IN		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002683-98		Contractor Award Amount: \$908,908	
Low Bid: \$908,908	Total Change Orders: (5)	Contractor Cum. Change Order Amount:	\$82,496
High Bid: \$1,121,000		Revised Contractor Contract Amount:	\$991,404
Number of Bids: 2		Contract Percent Complete:	58%
Notes: Miscellaneous Iron, Stairs, and Handrails Bid Package. Change Order #3 , 4/29/99, an increase of \$42,600, was approved to provide steel tube support required for the metal panel rail.			
Kidd Glass, Inc. Harrodsburg		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002752-98		Contractor Award Amount: \$220,984	
Low Bid: \$220,984	Total Change Orders: (3)	Contractor Cum. Change Order Amount:	\$21,226
High Bid: \$266,227		Revised Contractor Contract Amount:	\$242,210
Number of Bids: 3		Contract Percent Complete:	15%
Notes: Store Front and Windows Bid Package.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Koker Drilling Company Dayton, OH Contract# U000974-98		Commonwealth Stadium Expansion Project Number: 1674.2	
Low Bid: \$460,550	Total Change Orders: (8)	Contractor Award Amount:	\$460,550
High Bid: \$827,000		Contractor Cum. Change Order Amount:	\$3,841
Number of Bids: 3		Revised Contractor Contract Amount:	\$464,391
		Contract Percent Complete:	90%
Notes: Caissons Bid Package.			
Marksbury Cornett Louisville Contract# U002636-98		Commonwealth Stadium Expansion Project Number: 1674.2	
Low Bid: \$866,000	Total Change Orders: (12)	Contractor Award Amount:	\$866,000
High Bid: \$1,106,090		Contractor Cum. Change Order Amount:	\$50,441
Number of Bids: 3		Revised Contractor Contract Amount:	\$916,441
		Contract Percent Complete:	68%
Notes: Masonry Bid Package. Change Order #9 , 6/15/99, an increase of \$40,138, was approved to provide for authorized overtime for masonry work to maintain the project schedule.			
Marksbury Cornett Louisville Contract# U002635-98		Commonwealth Stadium Expansion Project Number: 1674.2	
Low Bid: \$1,196,000	Total Change Orders: (11)	Contractor Award Amount:	\$1,196,000
High Bid: \$1,244,400		Contractor Cum. Change Order Amount:	-\$16,994
Number of Bids: 3		Revised Contractor Contract Amount:	\$1,179,006
		Contract Percent Complete:	19%
Notes: General Trades Bid Package. Change Order #2 , 5/10/99, a decrease of \$24,311, was approved due to the difference between the scheduled allowance and the Central School Supply quote.			
Mid States Steel Products Lexington Contract# U000922-98		Commonwealth Stadium Expansion Project Number: 1674.2	
Low Bid: \$4,395,000	Total Change Orders: (36)	Contractor Award Amount:	\$4,395,000
High Bid: \$6,129,800		Contractor Cum. Change Order Amount:	\$478,737
Number of Bids: 4		Revised Contractor Contract Amount:	\$4,873,737
		Contract Percent Complete:	99%
Notes: Structural Steel and Miscellaneous Steel Bid Package. Change Order #2 , 3/17/99, a decrease of \$100,000, was approved to credit a contract allowance for structural steel coordination purposes. Change Order #3 , 3/17/99, a decrease of \$48,000, was approved to credit a contract allowance for structural steel revisions. Change Order #9 , 3/17/99, an increase of \$114,000, was approved to add a premium cost for expediting the fabrication of the structural steel to meet the schedule. Change Order #10 , 3/19/99, an increase of \$30,735, was approved to provide cornice framing. Change Order #13 , 4/12/99, an increase of \$40,598, was approved due to changes needed for stadium seating to work with the structural steel. Change Order #19 , 5/10/99, an increase of \$77,392, was approved to fabricate and erect the window washing platform. Change Order #20 , 5/10/99, an increase of \$146,904, was approved to fabricate and erect channel rails and twenty gates at the upper concourse. Change Order #24 , 5/20/99, an increase of \$34,200, was approved to add elevator machine rooms to be for future use. Change Order #31 , 6/15/99, an increase of \$55,005, was approved to provide the required framing to support the signage.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
R & R Inc., of Louisville Louisville		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002629-98		Contractor Award Amount: \$2,705,900	
Low Bid: \$2,705,900	Total Change Orders: (14)	Contractor Cum. Change Order Amount:	\$35,660
High Bid: \$2,766,300		Revised Contractor Contract Amount:	\$2,741,560
Number of Bids: 4		Contract Percent Complete:	62%
Notes: Plumbing, Fire Protection, and HVAC Bid Package.			
Skilton Construction Corp. Lexington		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U000981-98		Contractor Award Amount: \$350,000	
Low Bid: \$350,000	Total Change Orders: (11)	Contractor Cum. Change Order Amount:	\$37,770
High Bid:		Revised Contractor Contract Amount:	\$387,770
Number of Bids: 1		Contract Percent Complete:	94%
Notes: Limited Site Grading and Utilities Bid Package.			
Skilton Construction Corp. Lexington		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002630-98		Contractor Award Amount: \$825,000	
Low Bid: \$825,000	Total Change Orders: (4)	Contractor Cum. Change Order Amount:	-\$6,303
High Bid: \$876,911		Revised Contractor Contract Amount:	\$818,697
Number of Bids: 2		Contract Percent Complete:	1%
Notes: Finish Sitework Bid Package.			
D.W. Wilburn, Inc. Lexington		Communications and Network Systems and Ancillary Programs Facility Project Number: 1696.0	
Contract# U004774-98		Contractor Award Amount: \$3,917,000	
Low Bid: \$3,917,000	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$4,156,700		Revised Contractor Contract Amount:	\$3,917,000
Number of Bids: 7		Contract Percent Complete:	9%
Abell Elevator Louisville		Elevators 5 and 8 Upgrade Project Number: 1697.0	
Contract# U004052-97		Contractor Award Amount: \$330,217	
Low Bid: \$335,611	Total Change Orders: (2)	Contractor Cum. Change Order Amount:	\$5,533
High Bid: \$408,870		Revised Contractor Contract Amount:	\$335,750
Number of Bids: 3		Contract Percent Complete:	99%
Notes: Low bid determination was based on construction amount plus maintenance. Above contract includes construction only.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Woodford Builders, Inc. Lexington		German House Renovation Project Number: 1766.0	
Contract# U005374-98		Contractor Award Amount:	\$664,000
Low Bid: \$664,000	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$862,139		Revised Contractor Contract Amount:	\$664,000
Number of Bids: 5		Contract Percent Complete:	0%
D.W. Wilburn, Inc. Lexington		Hazard Community College Student/Performing Arts Conference Center Project Number: 1460.0	
Contract# U008610-96		Contractor Award Amount:	\$8,290,000
Low Bid: \$8,290,000	Total Change Orders: (15)	Contractor Cum. Change Order Amount:	\$30,194
High Bid: \$9,291,482		Revised Contractor Contract Amount:	\$8,320,194
Number of Bids: 6		Contract Percent Complete:	99%
Notes: Change Order #4 , 3/30/98, an increase of \$72,596.66, was approved to revise the entrance drive and portions of the parking area to satisfy the Department of Transportation's requirements. Change Order #15 , 6/4/99, a decrease of \$55,047, was approved to cover liquidated damages.			
WBR, Inc. Owensboro		Hopkinsville Community College Learning Resource Center Roof Replacement Project Number: 1722.0	
Contract# U005076-98		Contractor Award Amount:	\$147,227
Low Bid: \$147,227	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$237,260		Revised Contractor Contract Amount:	\$147,227
Number of Bids: 5		Contract Percent Complete:	0%
Lanham Brothers Owensboro		Hopkinsville Community College Regional Technical Training Center Project Number: 1461.0	
Contract# U004248-96		Contractor Award Amount:	\$6,347,261
Low Bid: \$6,347,261	Total Change Orders: (18)	Contractor Cum. Change Order Amount:	\$440,231
High Bid: \$6,789,400		Revised Contractor Contract Amount:	\$6,787,492
Number of Bids: 7		Contract Percent Complete:	99%
Notes: Change Order #5 , 1/15/98, an increase of \$48,978.45, was approved to add 14 days to the contract, to remove and replace 2,351.8 cubic yards of dirt under the one-story portion of the building and to upgrade the electrical requirements in the mechanical and electrical labs to include 3-phase circuits. Change Order #6 , 1/12/98, an increase of \$78,056.93, was approved to make electrical changes to meet the expanding needs for Site Communication's criteria and the University's minimum criteria and to make changes to the CAM Lab due to a curriculum evaluation by Kentucky Technical. Change Order #9 , 3/20/98, an increase of \$164,751.49, was approved to complete the Technology Package and to furnish and install wall louvers and a HVAC unit (Four Star Metal) to CAM Lab in order to improve the quality of each. Change Order #12 , 9/14/98, an increase of \$26,662.20, was approved to furnish and install sod in lieu of seeding, to relocate and add 11 fiber and coax cables in the first floor offices and to furnish and install two dual floor boxes and 12 data outlets. Change Order #13 , 9/11/98, an increase of \$37,063.31, was approved to add electrical rough-in to serve TV cameras, to replace power poles in Room 205 with floor boxes, and to add TV lighting to Rooms 202, 208, 210, and 214. Change Order #17 , 1/25/99, an increase of \$26,837, was approved to widen the loading dock entrance to better accommodate large vehicles.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Lanham Brothers Owensboro		Hopkinsville Community College Regional Technical Training Center Parking Lot Project Number: 1461.1	
Contract# U000537-98			Contractor Award Amount: \$181,582
Low Bid: \$181,582	Total Change Orders: (3)	Contractor Cum. Change Order Amount:	\$48,345
High Bid:		Revised Contractor Contract Amount:	\$229,927
Number of Bids: 1		Contract Percent Complete:	93%
Notes: Change Order #1, 1/29/99, an increase of \$41,904, was approved to remove and stabilize two areas of unsuitable soil that were not encountered in the geotechnical investigation.			
Finney Company, Inc. Lexington		Hospital Fuel Tank Upgrade Project Number: 1712.0	
Contract# U003263-98			Contractor Award Amount: \$210,500
Low Bid: \$210,500	Total Change Orders: (4)	Contractor Cum. Change Order Amount:	\$9,777
High Bid: \$277,568		Revised Contractor Contract Amount:	\$220,277
Number of Bids: 3		Contract Percent Complete:	95%
Spectrum Contracting Lexington		Hospital Housestaff Training Center Project Number: 1641.0	
Contract# U003352-97			Contractor Award Amount: \$299,673
Low Bid: \$299,673	Total Change Orders: (7)	Contractor Cum. Change Order Amount:	\$11,220
High Bid: \$373,438		Revised Contractor Contract Amount:	\$310,893
Number of Bids: 8		Contract Percent Complete:	100%
Branscum Construction Russell Springs		Kentucky Geological Survey Facility Project Number: 1638.0	
Contract# U001585-96			Contractor Award Amount: \$1,082,247
Low Bid: \$1,102,975	Total Change Orders: (3)	Contractor Cum. Change Order Amount:	\$22,003
High Bid: \$1,206,480		Revised Contractor Contract Amount:	\$1,104,250
Number of Bids: 3		Contract Percent Complete:	99%
Notes: The low bid was negotiated down to \$1,082,247.			
Finney Company, Inc. Lexington		Lexington Campus Fume Hood Upgrade Project Number: 1653.2	
Contract# U006439-97			Contractor Award Amount: \$1,921,300
Low Bid: \$1,921,300	Total Change Orders: (9)	Contractor Cum. Change Order Amount:	\$46,564
High Bid:		Revised Contractor Contract Amount:	\$1,967,864
Number of Bids: 1		Contract Percent Complete:	85%
Woodall Construction Co. Lexington		Limestone Street / Virginia Avenue Stone Walls Project Number: 1736.0	
Contract# U004827-98			Contractor Award Amount: \$303,590
Low Bid: \$303,590	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$417,147		Revised Contractor Contract Amount:	\$303,590
Number of Bids: 4		Contract Percent Complete:	0%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Spectrum Contracting Nicholasville Contract# U004994-98		M. I. King Library South Renovation (Facade) Project Number: 1770.0	
Low Bid: \$519,107		Contractor Award Amount:	\$519,107
High Bid: \$669,200		Contractor Cum. Change Order Amount:	\$0
Number of Bids: 3		Revised Contractor Contract Amount:	\$519,107
		Contract Percent Complete:	0%
Woodford Builders, Inc. Lexington Contract# U004456-98		M.I. King South Special Collections & Archives Relocation Project Number: 1680.1	
Low Bid: \$323,400		Contractor Award Amount:	\$323,400
High Bid: \$378,800		Contractor Cum. Change Order Amount:	\$56,732
Number of Bids: 6		Revised Contractor Contract Amount:	\$380,132
		Contract Percent Complete:	47%
Notes: Change Order #2 , 6/15/99, an increase of \$36,247, was approved to change the security system and provide for monitoring of more points from a remote location by adding a Liebert Site Scan Unit, Johnson Control Network Unit, and Integrator Panel to Liebert units. Also included is: removal of a wall, new carpet in Room 216A, and a new partition in Room 204.			
Capital City Fire Frankfort Contract# U001097-98		Memorial Coliseum Fire Alarm Sprinklers Project Number: 1721.0	
Low Bid: \$55,645		Contractor Award Amount:	\$55,645
High Bid: \$130,188		Contractor Cum. Change Order Amount:	\$28,863
Number of Bids: 4		Revised Contractor Contract Amount:	\$84,508
		Contract Percent Complete:	99%
Pro-Tek Environmental Frankfort Contract# U005328-98		Motor Pool Fleet Fueling System Project Number: 1777.0	
Low Bid: \$180,319		Contractor Award Amount:	\$180,319
High Bid: \$264,470		Contractor Cum. Change Order Amount:	\$0
Number of Bids: 8		Revised Contractor Contract Amount:	\$180,319
		Contract Percent Complete:	0%
Eubank & Steele Lexington Contract# U002828-98		Nuclear Medicine Renovation Project Number: 1709.0	
Low Bid: \$232,750		Contractor Award Amount:	\$232,750
High Bid: \$236,890		Contractor Cum. Change Order Amount:	\$49,031
Number of Bids: 4		Revised Contractor Contract Amount:	\$281,781
		Contract Percent Complete:	55%
Notes: Change Order #3 , 5/27/99, an increase of \$29,422.44, was approved to provide labor and materials required to extend the medical gas system per drawings, correct the existing electrical code violations, add a Nurse Call System, add power to new cameras, and remove abandoned vacuum tubes.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Lawson Electric Company Paducah		Paducah Community College Science and Engineering Building Communications Project Number: 1719.0	
Contract# U003348-97			Contractor Award Amount: \$147,645
Low Bid: \$147,645	Total Change Orders: (1)	Contractor Cum. Change Order Amount:	-\$32,263
High Bid: \$459,302		Revised Contractor Contract Amount:	\$115,382
Number of Bids: 3		Contract Percent Complete:	0%
Notes: Change Order #1, 4/12/99, a decrease of \$32,262.39, was approved to allow electrical work to be completed outside the project.			
Gordon Construction Shelbyville		Patterson Office Tower Wall Repair Project Number: 1758.0	
Contract# U004996-98			Contractor Award Amount: \$103,379
Low Bid: \$107,379	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$108,618		Revised Contractor Contract Amount:	\$103,379
Number of Bids: 2		Contract Percent Complete:	0%
Notes: Due to an error, Change Order #1 will increase the contract to the low bid amount.			
Spectrum Contracting Nicholasville		Pence Hall Exterior Stair Project Number: 1700.0	
Contract# U003655-98			Contractor Award Amount: \$246,431
Low Bid: \$246,431	Total Change Orders: (7)	Contractor Cum. Change Order Amount:	\$11,973
High Bid: \$369,000		Revised Contractor Contract Amount:	\$258,404
Number of Bids: 7		Contract Percent Complete:	47%
English Paving Versailles		Press Avenue Parking Lot Renovation Project Number: 1733.0	
Contract# U003017-98			Contractor Award Amount: \$294,000
Low Bid: \$294,000	Total Change Orders: (9)	Contractor Cum. Change Order Amount:	\$29,520
High Bid: \$375,056		Revised Contractor Contract Amount:	\$323,520
Number of Bids: 5		Contract Percent Complete:	58%
McKnight & Associates London		Southeast Community College Falkenstine Hall Renovation Phase 1 Project Number: 1665.0	
Contract# U002416-98			Contractor Award Amount: \$495,000
Low Bid: \$495,000	Total Change Orders: (3)	Contractor Cum. Change Order Amount:	\$13,482
High Bid: \$549,000		Revised Contractor Contract Amount:	\$508,482
Number of Bids: 2		Contract Percent Complete:	86%
Melson Roofing Company Columbia		Southeast Community College Newman Hall Roof Replacement Project Number: 1690.0	
Contract# U004641-98			Contractor Award Amount: \$109,340
Low Bid: \$109,340	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$129,950		Revised Contractor Contract Amount:	\$109,340
Number of Bids: 5		Contract Percent Complete:	55%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Spectrum Contracting Lexington		Special Procedures Lab Renovation Project Number: 1703.0	
Contract# U002343-98		Contractor Award Amount:	\$237,857
Low Bid: \$237,857	Total Change Orders: (6)	Contractor Cum. Change Order Amount:	\$29,093
High Bid: \$271,079		Revised Contractor Contract Amount:	\$266,950
Number of Bids: 4		Contract Percent Complete:	100%
Branscum Construction** Russell Springs		University Student Housing Project Number: 1639.0	
Contract# U003074-96		Contractor Award Amount:	\$157,500
Low Bid:	Total Change Orders: (3)	Contractor Cum. Change Order Amount:	\$128,304
High Bid:		Revised Contractor Contract Amount:	\$285,804
Number of Bids:		Contract Percent Complete:	88%
Notes: **Branscum is the construction manager for this project. The following construction contracts pertaining to Project 1639.0 are managed by Branscum Construction. Change Order #1 , 10/21/98, an increase of \$53,460, was approved to add five months of construction services and expenses to the contract due to additional work and revisions incorporated into the project. Change Order #2 , 3/1/99, an increase of \$53,460, was approved to add an additional five months for construction.			
Boyle Masonry Stanford		University Student Housing Project Number: 1639.0	
Contract# U008288-96		Contractor Award Amount:	\$567,414
Low Bid: \$567,414	Total Change Orders: (13)	Contractor Cum. Change Order Amount:	\$39,286
High Bid: \$812,800		Revised Contractor Contract Amount:	\$606,700
Number of Bids: 4		Contract Percent Complete:	95%
Notes: Steel and Erection Bid Package. Change Order #2 , 11/11/97, a decrease of \$25,600, was approved to delete the cost of the brick due to owner furnishing all brick for the project. Change Order #3 , 3/4/98, an increase of \$32,800, was approved to revise cut stone for the building by adding stone quoins, stone window surrounds, and stone keys to the building elevation.			
Brock McVey Company Lexington		University Student Housing Project Number: 1639.0	
Contract# U008410-96		Contractor Award Amount:	\$55,200
Low Bid: \$55,200	Total Change Orders: (1)	Contractor Cum. Change Order Amount:	\$1,544
High Bid: \$68,798		Revised Contractor Contract Amount:	\$56,744
Number of Bids: 4		Contract Percent Complete:	77%
Notes: Food Service Equipment Bid Package.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR

PROJECT INFORMATION

Davis H. Elliot Company Lexington Contract# U008304-96 Low Bid: \$539,600 High Bid: \$699,590 Number of Bids: 4	University Student Housing Project Number: 1639.0 Contractor Award Amount: \$539,600 Total Change Orders: (24) Contractor Cum. Change Order Amount: \$175,019 Revised Contractor Contract Amount: \$714,619 Contract Percent Complete: 99%
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Notes: Electrical Bid Package. **Change Order #12**, 11/4/98, an increase of \$52,557.97, was approved to provide underground telephone conduits along Rose Lane in front of the SAE and Sigma Nu sites and to provide underground electrical, cable TV, and telephone service to the Sigma Nu House adjoining the SAE project in order to eliminate all overhead utilities on the site. **Change Order #14**, 11/4/98, an increase of \$42,802.42, was approved to provide additional labor and materials to install an electrical panel to accommodate the added lighting and refrigerator circuits and for additional labor and material to install the revised outdoor building lighting.

English Paving Company Versailles Contract# U008334-96 Low Bid: \$423,000 High Bid: Number of Bids: 1	University Student Housing Project Number: 1639.0 Contractor Award Amount: \$395,000 Total Change Orders: (11) Contractor Cum. Change Order Amount: \$111,454 Revised Contractor Contract Amount: \$506,454 Contract Percent Complete: 78%
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Notes: Earthwork and Asphalt Paving Bid Package. The contractor agreed to a \$28,000 reduction in bid amount after post-bid discussions and clarifications on project schedule were conducted. **Change Order #9**, 4/19/99, an increase of \$69,000, was approved to revise the layout for the upper parking lot.

Finney Company, Inc. Lexington Contract# U008302-96 Low Bid: \$1,063,400 High Bid: \$1,157,300 Number of Bids: 3	University Student Housing Project Number: 1639.0 Contractor Award Amount: \$1,063,400 Total Change Orders: (10) Contractor Cum. Change Order Amount: \$43,389 Revised Contractor Contract Amount: \$1,106,789 Contract Percent Complete: 96%
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Notes: Mechanical Bid Package.

Firematic Sprinkler Lawrenceburg, IN Contract# U008306-96 Low Bid: \$65,577 High Bid: \$83,120 Number of Bids: 3	University Student Housing Project Number: 1639.0 Contractor Award Amount: \$65,577 Total Change Orders: (5) Contractor Cum. Change Order Amount: \$4,803 Revised Contractor Contract Amount: \$70,380 Contract Percent Complete: 90%
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Notes: Fire Protection Bid Package.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Martin Flooring Company Louisville		University Student Housing Project Number: 1639.0	
Contract# U008409-96			Contractor Award Amount: \$50,360
Low Bid: \$50,360	Total Change Orders: (6)	Contractor Cum. Change Order Amount:	-\$2,554
High Bid: \$91,720		Revised Contractor Contract Amount:	\$47,806
Number of Bids: 2		Contract Percent Complete:	50%
Notes: Flooring Bid Package.			
Southeast Steel Company Lexington		University Student Housing Project Number: 1639.0	
Contract# U008398-96			Contractor Award Amount: \$141,590
Low Bid: \$141,590	Total Change Orders: (3)	Contractor Cum. Change Order Amount:	\$10,686
High Bid: \$156,775		Revised Contractor Contract Amount:	\$152,276
Number of Bids: 3		Contract Percent Complete:	99%
Notes: Steel and Erection Bid Package.			
Woodford Builders, Inc. Lexington		University Student Housing Project Number: 1639.0	
Contract# U008455-96			Contractor Award Amount: \$1,925,382
Low Bid: \$1,939,500	Total Change Orders: (27)	Contractor Cum. Change Order Amount:	\$552,590
High Bid:		Revised Contractor Contract Amount:	\$2,477,972
Number of Bids: 1		Contract Percent Complete:	94%
Notes: General Trades Bid Package. Since Woodford Builders was the only bidder, a post-bid addendum was done to lower the contract amount. Change Order #3 , 1/12/98, an increase of \$32,800, was approved to revise cut stone for the building by adding stone quoins, stone window surrounds, and stone keys to the building elevation. Change Order #8 , 7/7/98, an increase of \$135,554, was approved to furnish and install a copper roof in lieu of the asphalt shingle roof originally specified. Change Order #13 , 10/5/98, an increase of \$59,105.50, was approved to provide labor and material to add built-in units in lieu of furniture, to add blinds to the first floor rooms, and to provide waterproofing between the concrete floor and the wood flooring. Change Order #25 , 3/5/99, an increase of \$80,176.45, was approved to provide a change in the roof overhang. Change Order #26 , 5/17/99, an increase of \$37,186.50, was approved to revise the upper parking lot layout due to additional property being added to the project. Change Order #27 , 6/16/99, an increase of \$207,030.76, was approved to provide natural finish paneling in lieu of painted poplar, coat closets in the foyer, and revise the passage doors to the foyer and library.			
Abell Elevator Louisville		Utilities Upgrade I (Hospital Elevators) Project Number: 1582.0	
Contract# U008376-96			Contractor Award Amount: \$1,436,296
Low Bid: \$1,436,296	Total Change Orders: (5)	Contractor Cum. Change Order Amount:	\$51,656
High Bid: \$1,558,818		Revised Contractor Contract Amount:	\$1,487,952
Number of Bids: 4		Contract Percent Complete:	99%
Notes: Change Order #4 , 6/21/99, an increase of \$27,641.40, was approved to rework the swing return in elevators 6 and 7, add a heater outside the elevators 6 and 7 machine rooms, relocate the emergency power key switches and car-to-lobby key switches, delete 12 floor lockout key switches at elevators 6 and 7, and install terrazzo in elevators 12, 13, 14, and 15.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
John Cole Landscaping Lexington		Virginia Avenue Landscaping, Street Trees Project Number: 1731.0	
Contract# U002285-98		Contractor Award Amount:	\$18,750
Low Bid: \$18,750	Total Change Orders: (2)	Contractor Cum. Change Order Amount:	\$1,983
High Bid:		Revised Contractor Contract Amount:	\$20,733
Number of Bids: 1		Contract Percent Complete:	15%

FCR 7

Office of the President
September 21, 1999

Members, Board of Trustees:

1999-2000 Budget Revisions
Central Administration

Recommendation: that the following revisions to the 1999-2000 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
Student Fees			
Self-Supporting			
Noncredit Fees	\$ 5,855,900	\$ 5,879,900	\$ 24,000
Gifts and Grants			
Federal - Agriculture	458,300	621,700	163,400
Sales and Services			
Departmental Sales			
and Services	42,810,400	43,358,000	547,600
			<u>\$ 735,000</u>
2. Expenditures			
Central Administration			
Fiscal Affairs			
Controller and Treasurer	3,587,300	3,667,300	80,000
Research and Graduate Studies			
Academic Affairs			
Kentucky Geological Survey-			
Program Support	2,494,900	2,497,900	3,000
Academic Support			
General Academic			
Support	1,650,400	1,710,400	60,000
Lexington Campus			
Agricultural Experiment Station			
Agricultural Economics	1,310,000	1,320,700	10,700
Agronomy	4,480,000	4,563,900	83,900
Biosystems and Agricultural			
Engineering	1,694,000	1,762,800	68,800
Gatton College of Business			
and Economics			
Management	3,822,800	3,797,800	(25,000)
Medical Center			
College of Medicine			
Internal Medicine	12,876,900	12,962,200	85,300
Pediatrics	7,376,300	7,744,600	368,300
			<u>\$ 735,000</u>

A. GENERAL FUND (continued)

3. Comments - The noncredit fees will support two workshops/conferences by the Interdisciplinary Human Development Institute and activities in the Early Childhood program.

The federal agriculture increase will support specific research programs in the Agricultural Experiment Station. Programs to be supported by increased departmental sales and services include programs in the Office of Controller and Treasurer; Early Childhood programs; services provided by the Kentucky Geological Survey, and new contracts in the departments of Internal Medicine and Pediatrics. Decreases in departmental sales and services are due to program changes in the Gatton College of Business and Economics.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>RESTRICTED FUNDS</u>			
1. Income Estimates	\$ 67,302,600	\$ 67,387,300	\$ 84,700
2. Expenditures			
Lexington Campus			
Gatton College of Business and Economics			
Scholarships	149,800	177,100	27,300
Vice Chancellor for Administration and Outreach			
Art Museum	199,600	238,500	38,900
Medical Center			
College of Medicine			
Internal Medicine	1,471,600	1,481,100	9,500
Graduate Centers			
Biomedical Engineering	600	9,600	9,000
			<u>\$ 84,700</u>
3. <u>Comments</u> - Income restricted for the purposes indicated above will fund the proposed additional program activities.			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
C. <u>AUXILIARY SERVICES</u>			
1. Income Estimates	\$ 32,568,800	\$ 32,703,300	\$ 134,500
2. Expenditures			
Lexington Campus			
Vice Chancellor for Administration and Outreach			
Housing and Dining System			
Housing-General Operations	\$ 12,775,200	\$ 12,909,700	\$ 134,500

C. AUXILIARY SERVICES (continued)

3. Comments - The additional income generated will be used to support an expanded Housing/Dining operation.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
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D. AFFILIATED CORPORATIONS

1. Income Estimates	\$159,977,600	\$160,060,600	\$ 83,000
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2. Expenditures			
Mining Engineering Foundation	60,000	143,000	83,000

3. Comments - The budgets of the affiliated corporations are included in the University Operating Budget to provide the Board of Trustees with a consolidated operating budget consistent with the submissions to the Governor, the Legislature, and the Council on Postsecondary Education. The affiliated corporation budgets contained in the original operating budget document are in some instances tentative subject to the approval of the respective affiliated corporation boards. The revision for the Mining Engineering Foundation represents the budget as subsequently approved by the affiliated corporation board.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 8

Office of the President
September 21, 1999

Members, Board of Trustees:

PROPOSED 2000-01 AND 2001-02 TUITION SCHEDULE

Recommendation: that the Board of Trustees (1) adopt a tuition schedule for the 2000-02 biennium consistent with the guidelines of the Council on Postsecondary Education, and (2) establish corresponding part-time and summer school rates.

Background: Under KRS 164.020 (8), the Council on Postsecondary Education is empowered and charged with the responsibility to determine the tuition for attendance at public institutions of higher education in the Commonwealth. In an effort to provide institutions more management flexibility, at the April 12, 1999 meeting of the Council on Postsecondary Education approval was given to tuition setting guidelines to be used by the institutions in setting tuition rates for 2000-02. Institutions are responsible for setting their own tuition rates consistent with the guidelines approved by the Council.

The Council's adopted guidelines are as follows:

- Rates may be differentiated by factors such as residency status; program level (i.e., undergraduate, graduate and first professional); and program (e.g., business and biology). Rates may be set on a per credit hour basis.
- Each institution will submit planned biennial tuition rates and projected tuition and fees revenue for 2000-01 and 2001-02 to the Council by September 1, 1999, for use in developing the Council's 2000-02 biennial budget request. The anticipated tuition and fees revenue should be consistent with the Council's operating funding guidelines.

The Council's operating funding guidelines established a relationship between tuition and fees revenue and total public support. The Council's funding guidelines are that tuition and fees should generate at least 33 percent of a university's public funds (state appropriation and tuition and fees) net of debt service, mandated research and public programs, and hospital appropriations. Tuition and fees should generate at least 25 percent of public funds for Lexington Community College.

New benchmark institutions have been selected for the University of Kentucky and Lexington Community College. Those new benchmark institutions will be utilized to determine the appropriate level of public funds for each institution.

The proposed tuition rates for the University of Kentucky and Lexington Community College are in compliance with the Council's guidelines, respond to the public funds distribution objective and relate to the new sets of benchmarks.

The rates proposed for adoption by the Board of Trustees are designed to move the various rates to or toward the median of the new benchmarks, meeting the public funds distribution objective of the Council and maintaining a reasonable level of increase on an annual basis.

Rates for part-time and summer school students are derived from the semester rate, using the institution's definition of what constitutes a full-time load for each level and program area.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

**PROPOSED 2000/2002 TUITION SCHEDULE
FULL TIME SEMESTER RATES**

	1997/98 Rates	1998/99 Rates	Dollar Change	Percent Change	1999/00 Rates	Dollar Change	Percent Change	2000/01 Rates	Dollar Change	Percent Change	2001/02 Rates	Dollar Change	Percent Change	Benchmark Objective
RESIDENT														
University of Kentucky														
Undergraduate	1,200	1,340	140	11.7%	1,480	140	10.4%	1,555	75	5.1%	1,635	80	5.1%	1,670
Graduate	1,320	1,470	150	11.4%	1,630	160	10.9%	1,715	85	5.2%	1,805	90	5.2%	2,656
Lexington Community College	810	810	-	0.0%	810	-	0.0%	810	-	0.0%	810	-	0.0%	790
Annual Professional Rates														
Law	4,620	5,090	470	10.2%	5,560	470	9.2%	5,876	316	5.7%	6,250	374	6.4%	6,481
Medicine	8,400	9,150	750	8.9%	9,890	740	8.1%	10,200	310	3.1%	10,566	366	3.6%	10,566
Dentistry	6,630	7,400	770	11.6%	8,160	760	10.3%	8,500	340	4.2%	8,978	478	5.6%	8,979
Pharm. D.	4,460	4,590	130	2.9%	4,730	140	3.1%	5,000	270	5.7%	5,340	340	6.8%	6,157
NONRESIDENT														
University of Kentucky														
Undergraduate	3,600	4,020	420	11.7%	4,440	420	10.4%	4,665	225	5.1%	4,905	240	5.1%	5,869
Graduate	3,960	4,410	450	11.4%	4,890	480	10.9%	5,145	255	5.2%	5,415	270	5.2%	6,243
Lexington Community College	2,430	2,430	-	0.0%	2,430	-	0.0%	2,555	125	5.1%	2,685	130	5.1%	2,830
Annual Professional Rates														
Law	12,460	13,700	1,240	10.0%	14,930	1,230	9.0%	15,450	520	3.5%	16,064	614	4.0%	16,065
Medicine	20,530	22,910	2,380	11.6%	25,280	2,370	10.3%	25,280	-	0.0%	25,280	-	0.0%	25,173
Dentistry	17,580	19,110	1,530	8.7%	20,640	1,530	8.0%	21,400	760	3.7%	22,272	872	4.1%	22,272
Pharm. D.	4,460	12,200	7,740	173.5%	13,890	1,690	13.9%	14,750	860	6.2%	15,774	1,024	6.9%	16,555

**1998-99 LEXINGTON COMMUNITY COLLEGE
UNDERGRADUATE SEMESTER TUITION**

	<u>Tuition Resident</u>	<u>Tuition Nonresident</u>
Fredrick CC, MD	1,120	3,360
Normandale CC, MN	1,076	2,152
Dutchess CC, NY	1,068	2,136
Hudson CC, NJ	1,000	3,000
Baltimore City CC, MD	960	2,800
Prairie State College, IL	848	3,200
Lexington CC, KY	810	2,430
South Puget Sound CC, WA	758	2,980
Tacoma CC, WA	752	2,975
Jefferson State CC, AL	736 Median	1,472
St. Charles County CC, MD	645	1,552
John Tyler CC, VA	624	2,656
Polk CC, FL	603	2,681
Manatee CC, FL	603	2,438
J. Sargeant Reynolds CC, VA	592	2,637 Median
Chattanooga St. Tech. CC, TN	565	1,693
Volunteer State CC, TN	565	1,628
Bunker Hill CC, MA	432	3,680
El Centro College, TX	336	1,136
Evergreen Valley College, CA	192	2,032
Median	736	2,637
Estimated Increases		
FY 1999 Benchmark Median	736	2,637
FY2000 2.40%	754	2,700
FY2001 2.40%	772	2,765
FY2002 Projected 2.40%	790	2,830

1998-99 UNDERGRADUATE SEMESTER TUITION

	<u>Tuition Resident</u>	<u>Tuition Nonresident</u>
University of Michigan	3,190	9,914
Penn State University	2,971	6,380
University of Minnesota	2,192	6,285
University of Maryland	1,947	5,208
University of Illinois	1,920	5,466 Median
University of Virginia	1,916	7,390
Ohio State University	1,790	5,574
University of Iowa	1,780	5,935
University of Washington	1,688	5,699
Purdue University	1,555 Median	4,110
University of Wisconsin	1,501	5,591
University of California- LA	1,448	6,234
University of Kentucky	1,340	4,020
University of Georgia	1,280	5,121
University of Texas - Austin	1,152	4,560
Texas A & M	1,120	4,528
University of Arizona	1,094	3,576
UNC - Chapel Hill	763	5,311
North Carolina State University	728	5,311
University of Florida	705	3,874
Median	1,555	5,466
Estimated Increases		
FY 1999 Benchmark Median	1,555	5,466
FY2000 2.40%	1,592	5,597
FY2001 2.40%	1,631	5,732
FY2002 Projected 2.40%	1,670	5,869

1998-99 GRADUATE SEMESTER TUITION

	Tuition Resident		Tuition Nonresident	
University of Michigan	5,445		9,966	
University of Virginia	4,700		8,793	
Penn State University	3,438		6,900	
University of Minnesota	3,435		5,287	
University of Illinois	3,076		7,099	
University of Maryland	2,720		4,000	
University of Washington	2,714		6,830	
Ohio State University	2,686		8,379	
University of Wisconsin	2,517		7,652	
Pudue University	2,474	Median	5,814	Median
University of Arizona	1,927		2,749	
University of Iowa	1,906		5,604	
University of California- LA	1,542		6,234	
University of Kentucky	1,470		4,410	
University of Florida	1,356		5,283	
UNC - Chapel Hill	1,009		6,271	
University of Texas - Austin	972		3,312	
University of Georgia	864		2,601	
North Carolina State University	728		5,311	
Texas A & M	630		2,547	
Median	2,474		5,814	
Estimated Increases				
FY 1999 Benchmark Median	2,474		5,814	
FY2000 2.40%	2,533		5,954	
FY2001 2.40%	2,594		6,096	
FY2002 Projected 2.40%	2,656		6,243	

1998-99 LAW SEMESTER TUITION

	<u>Tuition Resident</u>		<u>Tuition Non Resident</u>	
University of Michigan	8,678		11,663	
University of Virginia	6,769		9,819	
University of Minnesota	4,500		7,650	
University of Illinois	4,012		9,407	
Ohio State University	3,696		8,393	
University of Iowa	3,411		8,692	
University of Texas - Austin	3,136		7,312	7,481
University of Wisconsin	2,900	3,018	7,988	
University of Washington	2,822		7,025	
University of Kentucky	2,545		6,850	
University of Arizona	2,469		3,576	
University of Georgia	1,790		7,160	
University of California- LA	1,543		6,235	
University of Florida	1,542		5,631	
UNC - Chapel Hill	728		6,778	
North Carolina State University				
Texas A & M				
University of Maryland				
Penn State University				
Purdue University				
Median	3,018		7,481	
Estimated Increases				
FY 1999 Benchmark Median	3,018		7,481	
FY2000 2.40%	3,090		7,661	
FY2001 2.40%	3,165		7,844	
FY2002 2.40%	3,241		8,033	
ANNUAL TUITION	Projected	6,481	16,065	

1998-99 MEDICAL SEMESTER TUITION

	<u>Tuition Resident</u>		<u>Tuition Non Resident</u>
University of Illinois	9,147		24,741
University of Michigan	8,643		13,423
University of Wisconsin	7,555		11,210
University of Minnesota	6,203		11,526
Ohio State University	5,669		18,509
University of Virginia	5,249		11,404
University of Iowa	4,920	Median	13,178
University of Florida	4,611		13,017
University of Kentucky	4,575		11,455
University of Arizona	4,380		4,380
University of Washington	4,352		11,066
Texas A & M	3,275		13,100
University of California- LA	1,543		6,235
UNC - Chapel Hill	1,276		11,722
North Carolina State University			Median
University of Texas - Austin			
University of Maryland			
Penn State University			
University of Georgia			
Purdue University			
Median	4,920		11,722
Estimated Increases			
FY 1999 Benchmark Median	4,920		11,722
FY2000 2.40%	5,038		12,003
FY2001 2.40%	5,159		12,291
FY2002 2.40%	5,283		12,586
ANNUAL TUITION	Projected		
	10,566		25,173

1998-99 DENISTRY SEMESTER TUITION

	<u>Tuition Resident</u>		<u>Tuition Non Resident</u>	
University of Minnesota	5,490		9,294	
University of Michigan	4,939		10,135	
Ohio State University	4,757		16,070	
University of Washington	4,352		11,066	
University of Florida	4,010	4,181	11,319	
University of Kentucky	3,700		9,555	
University of Iowa	3,677		10,369	10,371
University of California- LA	1,542		6,234	
UNC - Chapel Hill	1,276		10,373	
University of Virginia				
North Carolina State University				
University of Illinois				
University of Texas - Austin				
Texas A & M				
University of Maryland				
Penn State University				
University of Georgia				
Purdue University				
University of Wisconsin				
University of Arizona				
Median	4,181		10,371	
Estimated Increases				
FY 1999 Benchmark Median	4,181		10,371	
FY2000 2.40%	4,281		10,620	
FY2001 2.40%	4,384		10,875	
FY2002 2.40%	4,489		11,136	
ANNUAL TUITION	8,979		22,272	

1998-99 PHARM D SEMESTER TUITION

	<u>Tuition Resident</u>		<u>Tuition Non Resident</u>	
University of Michigan	5,853		10,263	
University of Minnesota	4,147		7,516	
Purdue University	3,379		7,510	
University of Wisconsin	3,174		7,227	
Ohio State University	3,065		9,226	
University of Iowa	2,668	2,867	8,252	7,709
University of Kentucky	2,295		6,100	
University of Arizona	1,844		3,576	
University of Texas - Austin	1,728		8,544	
University of Georgia	1,699		6,675	
UNC - Chapel Hill	1,276		7,902	
University of Virginia				
North Carolina State University				
University of Illinois				
Texas A & M				
University of Maryland				
Penn State University				
University of Florida				
University of California- LA				
University of Washington				
Median	2,867		7,709	
Estimated Increases				
FY 1999 Benchmark Median	2,867		7,709	
FY2000 2.40%	2,936		7,894	
FY2001 2.40%	3,006		8,083	
FY2002 2.40%	3,078		8,277	
ANNUAL TUITION	6,157		16,555	

FCR 9

Office of the President
September 21, 1999

Members, Board of Trustees:

UNIVERSITY OF KENTUCKY CAPITAL REQUEST 2000-2002

Recommendation: that the 2000-2002 capital requests for the University of Kentucky and Lexington Community College be approved for submission to the Council on Postsecondary Education.

Background: The 2000-2002 Capital Request has been developed consistent with the goals and objectives established within the University Strategic Plan. Separate requests are included for the University System, the University Hospital, and Lexington Community College.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 10

Office of the President
September 21, 1999

Members, Board of Trustees:

SPECIAL INITIATIVES REQUEST 2000-2002

Recommendation: that the 2000-2002 Special Initiatives Request as submitted be approved and ordered submitted to the Council on Postsecondary Education for review and recommendation to the Governor and the General Assembly as part of the 2000-2002 Executive Budget of the Commonwealth.

Background: The 2000-2002 budget process as set forth by the President of the Council on Postsecondary Education to the Strategic Committee on Postsecondary Education includes several components.

- A Benchmark Base Adjustment for Some Institutions
- A Base Adjustment of the State Appropriation for Each Institution of 2.4%
- Incentive Trust Funds
 - Enrollment and Retention
 - Research Endowment Challenge
 - Capital Renewal
 - Equipment Replacement
 - Workforce Development
 - Teacher Preparation
 - Regional Excellence - Comprehensive Universities
 - Public Communication
- Special Initiatives

In a June 17, 1999 memorandum, Dr. Gordon Davies requested each institution to prepare any requests for Special Initiatives funding in the format in which the University of Kentucky Request is hereby submitted.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 11

Office of the President
September 21, 1999

Members, Board of Trustees:

EQUIPMENT TRANSFER

Recommendation: that title to equipment purchased with Robinson Trust Funds to support an innovative health program in three eastern Kentucky counties be transferred to the non profit agencies in the three counties which are responsible for continuing the program. Robinson Trust funding of the project has now terminated.

As part of the health program support approximately \$69,000 was expended on equipment to support the project in the three counties. The equipment purchases were audio/visual, computers, printers, and various medical evaluation and medical assistance items. The equipment was purchased by the University of Kentucky through the Rural Health Center and provided to the local agencies. In other cases where the Robinson Trust supported community based projects in eastern Kentucky, the grants were made to the local community agencies and the funds were expended by the local agencies thus the issue of ownership of capital assets at the conclusion of the project was not an issue.

Background: One of the projects funded from the Robinson Trust to benefit the people of the mountain region was the Common Health Promotion Prevention Program which was a collaborative effort of the University of Kentucky Medical Center, the UK Center for Rural Health and three eastern Kentucky counties; Clay, Harlan and Menifee. The objective of the program was to develop community-identified multiple risk factor interventions designed to decrease mortality and morbidity and increase wellness with regard to the health problems the communities identified as most important to them. The project was funded by the Robinson Trust for a period of five years at a cost of over \$1.6 million. The project was unique in that it was based on the belief that programs which are responsive to community perceived needs and which are developed and endorsed by the community will have a much greater impact than programs which are imposed on the community by outside "experts." Robinson Trust funding of the project ended in 1998-99. By all accounts, the project was successful.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 12

Office of the President
September 21, 1999

Members, Board of Trustees:

PRICEWATERHOUSECOOPERS GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$20,000 and a pledge of \$80,000 from PricewaterhouseCoopers Foundation of Florham Park, New Jersey, and a pledge of \$50,000 from partners and staff of PricewaterhouseCoopers LLP to establish and endow the PricewaterhouseCoopers LLP Professorship in Accounting in the Carol Martin Gatton College of Business and Economics.

Background: PricewaterhouseCoopers LLP has been a long-time supporter of the Gatton College's School of Accountancy. The PricewaterhouseCoopers Foundation pledge will be paid over five years. The \$50,000 pledge from individual partners and staff also will be paid over a five-year period. These commitments are being made with the expectation that the University will request the \$150,000 be matched if funds are appropriated for another state Research Challenge Trust Fund.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 13

Office of the President
September 21, 1999

Members, Board of Trustees:

CHARLES E. SCHELL FOUNDATION GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$25,000 and a pledge of \$75,000 from the Charles E. Schell Foundation of Cincinnati, Ohio, to establish a loan fund for students attending Lexington Community College.

Background: The foundation was established by the late Charles E. Schell, a Cincinnati attorney, who left much of his estate to benefit the collective good of the Greater Cincinnati area. His Will established a trust to be used for "educational benefits" for the people of Ohio, Kentucky and Indiana. Fifth Third Bank, the foundation's trustee, approved the award to Lexington Community College. Under terms of the gift, the educational assistance loans to students must be interest free and no undue pressure is to be put on students to repay the loans. The program has worked successfully at other universities as a result of careful screening of recipients to ensure the repayment of loans. The pledge will be paid in three annual installments of \$25,000 beginning in 2000.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 14

Office of the President
September 21, 1999

Members, Board of Trustees:

JAMES W. AND DIANE V. STUCKERT PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$1,000,000 from James W. and Diane V. Stuckert of Prospect, Kentucky, for construction of the University Career Center now being built on Rose Street.

Background: The Stuckerts are both graduates of the University of Kentucky. He received a degree in mechanical engineering in 1960 and a master's of business administration in 1961. She graduated with a degree in education in 1961. The Stuckerts' three children, Steve, Scott and Susan are UK graduates. Mr. Stuckert, who is president, chairman and CEO of J.J.B. Hilliard, W.L. Lyons Inc. of Louisville, was president of the UK National Alumni Association in 1976. He currently is chair of the UK Development Council and will serve as the chair of the UK Capital Campaign. The Stuckerts will fulfill the pledge with payments of \$100,000 in 2000 and 2001, \$450,000 in 2002 and \$350,000 in 2003. The University Career Center provides career planning, job search and employment information to students, faculty and alumni, and coordinates on-campus interviews for local, state and national employers. Construction is expected to be completed in the spring 2000.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 15

Office of the President
September 21, 1999

Members, Board of Trustees:

ACCEPTANCE OF GIFT FROM MCDOWELL CANCER FOUNDATION OF MRISC
BUILDING EXPANSION

Recommendation: that the Board of Trustees accept the generous gift of the McDowell Cancer Foundation which will consist of a newly constructed clinic and office facility on the University of Kentucky campus and located north of the Medical Center.

Background: The McDowell Cancer Foundation will construct this facility to meet the University's need for additional clinic and office space. The Foundation is offering this facility, paid for in full, as a gift to the University of Kentucky, as it has done in the past with three other buildings on the campus. By way of a written agreement, the University of Kentucky will allow the Foundation to construct this facility on University property provided the building is deeded to the University upon completion. The Foundation will construct this three story, 31,000 square foot facility with University approval of the space programming and building design. This new facility will be comprised of an expansion of the current MRISC Building and will house cancer programs with a focus on women. The facility will be constructed to meet University standards as well as state and local building regulations. The building will be ready for occupancy on or about July 26, 2001 and will be operated and maintained by the University.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 16

Office of the President
September 21, 1999

Members, Board of Trustees:

AUTHORIZATION TO CONVEY 3.962 ACRES AT
OWENSBORO COMMUNITY COLLEGE
TO DEPARTMENT OF HIGHWAYS FOR RIGHT-OF-WAY PURPOSES

Recommendation: that the Board of Trustees authorize the Vice President for Fiscal Affairs to convey to the Department of Highways 3.962 acres for public highway improvement along a portion of Owensboro Community College property.

Background: The Department of Highways proposes to pay a total of \$44,100.00 for the right-of-way which will be acquired in fee simple. This purchase price is based upon an appraisal by the Department of Highways. The Department of Highways (or its representatives) will relocate any utilities within the project area.

This public highway improvement project will five lane a portion of US 231 in front of Owensboro Community College. The work will include doing grade work as well as adding travel lanes and other construction which will include curb, gutter and sidewalks.

Documents to be executed by the University include a Consent and Release to allow Bell South to do appropriate utilities work; a Right of Entry Agreement to allow construction to commence; a Memorandum of Understanding with the Department of Highways, and a Deed conveying the property in fee simple to the Commonwealth, for the use and benefit of the Department of Highways.

Action taken:



Approved



Disapproved



Other

FCR 17

Office of the President
September 21, 1999

Members, Board of Trustees:

TEMPORARY PROPERTY EASEMENT TO
BLUEGRASS REGIONAL MENTAL HEALTH-
MENTAL RETARDATION BOARD, INC.
COLDSTREAM RESEARCH CAMPUS

Recommendation: that the Administration be authorized to convey to the Bluegrass Regional Mental Health-Mental Retardation Board, Inc. (BRMH-MRB) a temporary easement and construction right-of-way to construct a temporary private driveway that will allow the BRMH-MRB to ingress and egress via Citation Boulevard by using a portion of Coldstream property. The temporary easement will comprise approximately .20 acres.

Background: BRMH-MRB, a not-for-profit corporation, provides mental health, mental retardation and substance abuse services in Anderson, Bourbon, Boyle, Clark, Estill, Fayette, Franklin, Garrard, Harrison, Jessamine, Lincoln, Madison, Mercer, Nicholas, Powell, Scott, and Woodford Counties. BRMH-MRB is bordered on two sides by the Coldstream Research Campus development. This request is predicated on the need for BRMH-MRB to reduce the traffic congestion and dangers that result from their driveway accessing directly onto Newtown Pike. Access to Citation Boulevard will allow employees and visitors to enter and exit Newtown with the assistance of a traffic light. BRMH-MRB is responsible for all costs associated with the construction of the temporary private driveway. The temporary private driveway is expected to reduce a future cost to the University of a road bed connection to Coaltown Court.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 18

Office of the President
September 21, 1999

Members, Board of Trustees

RESOLUTION OF OFFICIAL INTENT OF THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY TO FINANCE CONSTRUCTION OF
EDUCATIONAL BUILDINGS PROJECTS FROM PROCEEDS OF PROPOSED
ISSUES OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL
BUILDINGS REVENUE BONDS OR STATE PROPERTY AND BUILDINGS
COMMISSION REVENUE BONDS

Recommendation: that the Board of Trustees approve the Resolution expressing its official intent to issue University of Kentucky Consolidated Educational Buildings Revenue Bonds or enter into a lease agreement with respect to State Property and Buildings Commission Revenue Bonds, and that the proceeds of such bonds will be used, in whole or in part, to reimburse authorized expenditures for the proposed projects made prior to the issuance of such bonds.

The Resolution states that such bonds will be issued to finance the following projects: (1) Aging/Allied Health Building, Phase II, (2) Crisp Building Replacement, (3) Parking Structure Expansion; and (4) Seaton Center Addition/Renovation.

Background: The University presently has outstanding Consolidated Educational Buildings Revenue Bonds, Series D, E, F, G, H, I, J, K, L, M, N, O, and P, all secured by a pledge of the Revenues derived from the operation of the University's Consolidated Educational Buildings System and by a statutory mortgage lien against all of the buildings of the System. The Consolidated Educational Building Bonds, which the Board expresses its intent to issue, will rank on parity with the said outstanding Series of Bonds. If State Property and Buildings Commission Revenue Bonds are issued, those bonds will be secured by a biennially renewable lease agreement between the University and the Commission. Pursuant to the income tax regulations of the Internal Revenue Service, in order for expenditures made for a public project prior to the issuance of revenue bonds to be reimbursed upon the issuance of such bonds, it is necessary that the issuer express its official intent to issue such bonds or to have such bonds issued on its behalf and to reimburse such expenditures made prior to the issuance of such bonds.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

RESOLUTION OF OFFICIAL INTENT OF THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY TO FINANCE CONSTRUCTION OF
EDUCATIONAL BUILDINGS PROJECTS FROM PROCEEDS OF PROPOSED
ISSUES OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL
BUILDINGS REVENUE BONDS OR STATE PROPERTY AND BUILDINGS
COMMISSION REVENUE BONDS

WHEREAS, Treasury Regulations § 1.150-2 (the "Reimbursement Regulations"), issued pursuant to § 150 of the Internal Revenue Code of 1986, as amended (the "Code") prescribes certain requirements by which proceeds of tax-exempt bonds, notes, certificates and other obligations included in the meaning of "bonds" under § 150 of the Code ("Obligations") used to reimburse advances made for Capital Expenditures (as hereinafter defined) paid before the issuance of such Obligations may be deemed "spent" for purposes of §§ 103 and 141 to 150 of the Code therefore, not further subject to any other requirements or restrictions under those sections of the Code; and

WHEREAS, such Reimbursement Regulations require that the Borrower (as hereinafter defined) make a Declaration of Official Intent (as hereinafter defined) to reimburse any Capital Expenditure paid prior to the issuance of the Obligations intended to fund such Capital Expenditure and require that such Declaration of Official Intent be made no later than sixty (60) days after payment of the Capital Expenditure and further require that the Allocation (as hereinafter defined) of the proceeds of such Obligations to reimburse such Capital Expenditures occur no later than eighteen (18) months after the later of the date the Capital Expenditure was paid or the date the property acquired with the Capital Expenditure was placed in service, except that any such Allocation must be made no later than three years after such Capital Expenditure was paid; and

WHEREAS, the University of Kentucky (the "Borrower") wishes to ensure compliance with the Reimbursement Regulations;

NOW THEREFORE, be it resolved as follows by the Board of Trustees of the University of Kentucky as follows:

SECTION 1. Definitions. The following definitions apply to the terms used herein:

"*Allocation*" means written evidence that proceeds of Obligations issued subsequent to the payment of a Capital Expenditure are to effect the reimbursement of the Borrower for such payments.

"*Borrower*" means the University of Kentucky.

"*Capital Expenditures*" means any expense for an item that is properly depreciable or amortizable or is otherwise treated as a capital expenditure for purposes of the Code, as well as costs of issuing Reimbursement Bonds.

"*Declaration of Official Intent*" means a written declaration that the Borrower intends to fund a Capital Expenditure with an issue of Reimbursement Bonds and reasonably expects to be reimbursed from the proceeds of such an issue.

"*Reimbursement*" means the restoration to the Borrower of money temporarily advanced from other funds of the Borrower to pay for Capital Expenditures before the issuance of Obligations intended to fund such Capital Expenditures. "To reimburse" means to make such a restoration.

"*Reimbursement Bonds*" means Obligations that are issued to reimburse the Borrower for Capital Expenditures previously paid by or for the Borrower.

"*Reimbursement Regulations*" means Treasury Regulations § 1.150-2 and any amendments thereto or superseding regulation, whether in proposed, temporary or final form, as applicable, prescribing conditions under which the proceeds of Obligations when allocated or applied to a reimbursement will be treated as "spent" for purposes of §§ 103 and 141 to 150 of the Code.

SECTION 2. Declaration of Official Intent.

(a) The Borrower declares that it reasonably expects that the Capital Expenditures described in Section (b), which will be paid prior to the issuance of any Obligations intended to fund such Capital Expenditures, will be reimbursed with the proceeds of Obligations, representing a borrowing by the Borrower in the maximum principal amount for such reimbursements, funding of a debt service reserve and payment of costs of issuance of approximately \$35,000,000; and

(b) The Capital Expenditures to be reimbursed are to be used for (1) Aging/Allied Health Building, Phase II, (2) Crisp Building Replacement, (3) Parking Structure Expansion; and (4) Seaton Center Addition/Renovation.

SECTION 3. Reasonable Expectations. The Borrower does not expect any other funds (including the money advanced to make the Capital Expenditures that are to be reimbursed), to be reserved, allocated on a long-term basis, or otherwise set aside by the Borrower or any other entity affiliated with the Borrower, with respect to the Capital Expenditures described in 2(b).

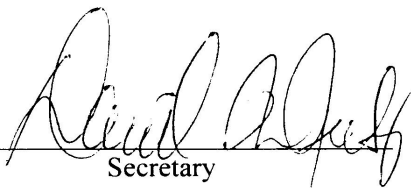
SECTION 4. Effective Date. This Resolution shall be effective from and after its date of adoption.

Adopted at a duly convened meeting of the Board of Trustees of the University of Kentucky on the 21st day of September 1999.

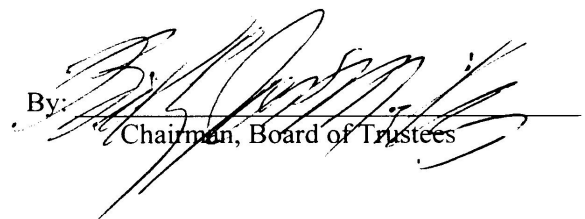
UNIVERSITY OF KENTUCKY

Attest:

By:


Secretary

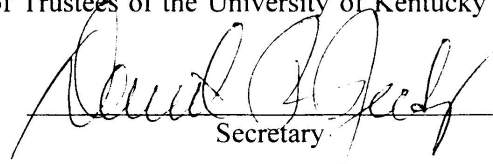
By:


Chairman, Board of Trustees

CERTIFICATE

I, the undersigned Secretary of the Board of Trustees of the University of Kentucky, certify that the foregoing is a true and correct copy of a Resolution passed at a duly convened meeting of the Board of Trustees of the University of Kentucky held on the 21st day of September, 1999, at which a quorum was present, and that said Resolution has not been amended, modified, revoked or repealed and is now in full force and effect, all as appears from the official records of the Board of Trustees of the University of Kentucky in my custody and under my control.

Witness my hand as Secretary of the Board of Trustees of the University of Kentucky this 21st day of September, 1999.


Secretary

FCR 19

Office of the President
September 21, 1999

Members, Board of Trustees

RESOLUTION OF OFFICIAL INTENT OF THE BOARD OF TRUSTEES OF
THE UNIVERSITY OF KENTUCKY TO FINANCE THE CONSTRUCTION
OF HOUSING AND DINING PROJECTS FROM PROCEEDS OF PROPOSED
ISSUES OF UNIVERSITY OF KENTUCKY HOUSING AND DINING
SYSTEM REVENUE BONDS.

Recommendation: that the Board of Trustees approve the Resolution expressing its official intent to issue University of Kentucky Housing and Dining System Revenue Bonds, and that the proceeds of such bonds will be used, in whole or in part, to reimburse authorized expenditures for the proposed projects prior to the issuance of such bonds.

The Resolution states that such bonds will be issued to finance the construction of (1) renovations to two Cooperstown Apartment Buildings and two Shawneetown Apartment Buildings, (2) renovations to Patterson Hall; (3) renovations to Holmes Hall; and (4) a new residence hall to house approximately 40 to 60 persons, all located on the main campus in Lexington, Kentucky, as a part of the Housing and Dining System, and that the bonds may be issued as separate issues of bonds.

Background: The University presently has outstanding Housing and Dining System Revenue Bonds, Series F, G, I, K, M, N, O, P and Q, all secured by a pledge of the Revenues derived from the operation of the University's Housing and Dining System and by a statutory mortgage lien against all of the buildings of the System. The bonds, which the Board expresses its intent to issue, will rank on a parity with the said outstanding Series of Bonds. Pursuant to the income tax regulations of the Internal Revenue Service, in order for expenditures made for a public project prior to the issuance of revenue bonds to be reimbursed to the issuer upon the issuance of such bonds, it is necessary that the issuer express its official intent to issue such bonds and reimburse such expenditures prior to the issuance of such bonds.

Action taken:



Approved

☐ Disapproved

☐ Other _____

RESOLUTION OF OFFICIAL INTENT OF THE BOARD OF TRUSTEES OF
THE UNIVERSITY OF KENTUCKY TO FINANCE THE CONSTRUCTION
OF HOUSING AND DINING PROJECTS FROM PROCEEDS OF PROPOSED
ISSUES OF UNIVERSITY OF KENTUCKY HOUSING AND DINING
SYSTEM REVENUE BONDS

WHEREAS, Treasury Regulations §1.150-2 (the “Reimbursement Regulations”), issued pursuant to §150 of the Internal Revenue Code of 1986, as amended (the “Code”) prescribes certain requirements by which proceeds of tax-exempt bonds, notes, certificates or other obligations included in the meaning of “bonds” under §150 of the Code (“Obligations”) used to reimburse advances made for Capital Expenditures (as hereinafter defined) paid before the issuance of such Obligations may be deemed “spent” for purposes of §§103 and 141 through 150 of the Code are not subject to any other requirements or restrictions under those sections of the Code; and

WHEREAS, such Reimbursement Regulations require that the Borrower (as hereinafter defined) make a Declaration of Official Intent (as hereinafter defined) to reimburse any Capital Expenditure paid prior to the issuance of the Obligations intended to fund such Capital Expenditure and require that such Declaration of Official Intent be made no later than sixty (60) days after payment of the Capital Expenditure and further require that the Allocation (as hereinafter defined) of the proceeds of such Obligations to reimburse such Capital Expenditures occur no later than eighteen (18) months after the later of the date the Capital Expenditure was paid or the date the property acquired with the Capital Expenditure was placed in service, except that any such Allocation must be made no later than three (3) years after such Capital Expenditure was paid; and

WHEREAS, the University of Kentucky (the “Borrower”) wishes to ensure compliance with the Reimbursement Regulations.

NOW, THEREFORE, be it resolved by the Board of Trustees of the University of Kentucky, as follows:

SECTION 1. Definitions. The following definitions apply to the terms used herein:

“Allocation” means written evidence that proceeds of Obligations issued subsequent to the payment of a Capital Expenditure are to effect the reimbursement of the Borrower for such payments.

“Borrower” means the University of Kentucky.

“Capital Expenditures” means any expense for an item that is properly depreciable or amortizable or is otherwise treated as a capital expenditure for purposes of the Code, as well as costs of issuing Reimbursement Bonds.

“Declaration of Official Intent” means a written declaration that the Borrower intends to fund a Capital Expenditure with an issue of Reimbursement Bonds and reasonably expects to be reimbursed from the proceeds of such an issue.

“Reimbursement” means restoration to the Borrower of money temporarily advanced from other funds of the Borrower to pay for Capital Expenditures before the issuance of Obligations intended to fund such Capital Expenditures. “To reimburse” means to make such a restoration.

“Reimbursement Bonds” means Obligations that are issued to reimburse the Borrower for Capital Expenditures previously paid by or for the Borrower.

“Reimbursement Regulations” means Treasury Regulations §1.150-2 and any amendments thereto or superseding regulation, whether in proposed, temporary or final form, as applicable, prescribing conditions under which the proceeds of Obligations when allocated or applied to a reimbursement will be treated as “spent” for purposes of §§103 and 141 through 150 of the Code.

SECTION 2. Declaration of Official Intent.

(a) Borrower declares that it reasonably expects that the Capital Expenditures described in Section (b), which will be paid prior to the issuance of any Obligations intended to fund such Capital Expenditures, will be reimbursed with the proceeds of Obligations, representing a borrowing of the Borrower in the maximum principal amount of \$12,000,000; and

(b) The Capital Expenditures to be reimbursed are to be used for the construction of (1) renovations to two Cooperstown Apartment Buildings and two Shawneetown Apartment Buildings; (2) renovations to Patterson Hall; (3) renovations to Holmes Hall; and (4) a new residence hall to house approximately 40 to 60 persons, all located on the Borrower’s main campus in Lexington, Kentucky, as a part of the Housing and Dining System of the Borrower.

(c) The fund or account from which the Capital Expenditures will be paid and which will be reimbursed from the proceeds of Obligations is the General Fund of the Borrower.

(d) In the event that it is not practical for the Borrower to finance all four portions of the Housing and Dining Project from a single issue of Reimbursement Bonds, separate issues, in lesser amounts, may be issued for each portion of the project or any combination thereof.

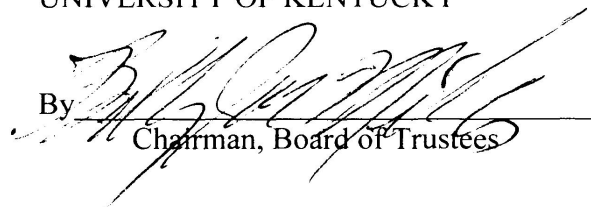
SECTION 3. Reasonable Expectations. The Borrower does not expect any other funds (including the money advanced to make the Capital Expenditures that are to be reimbursed), to be reserved, allocated on a long-term basis, or otherwise set aside by the Borrower or any other entity affiliated with the Borrower, with respect to the Capital Expenditures described in Section 2(b) hereof.

SECTION 4. Effective Date. This Resolution shall be effective from and after its date of adoption.

Adopted at a duly convened meeting of the Board of Trustees of the University of Kentucky on September 21, 1999.

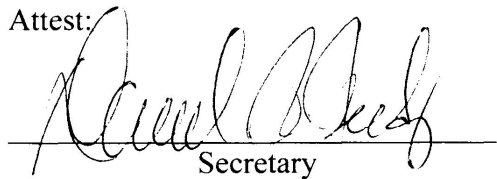
UNIVERSITY OF KENTUCKY

By

A large, stylized handwritten signature in black ink, written over a horizontal line. The signature is cursive and appears to be "Bill Curran".

Chairman, Board of Trustees

Attest:

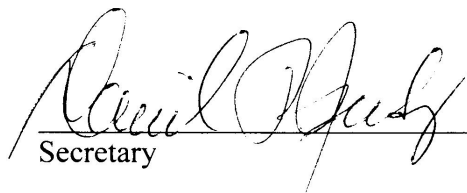
A large, stylized handwritten signature in black ink, written over a horizontal line. The signature is cursive and appears to be "Carol Reed".

Secretary

CERTIFICATE

I, the undersigned Secretary of the Board of Trustees of the University of Kentucky, certify that the foregoing is a true and correct copy of a Resolution passed at a duly convened meeting of the Board of Trustees of the University of Kentucky held on September 21, 1999, at which a quorum was present, and that said Resolution has not been amended, modified, revoked or repealed and is now in full force and effect, all as appears from the official records of the Board of Trustees of the University of Kentucky in my custody and under my control.

WITNESS my hand as Secretary of the Board of Trustees of the University of Kentucky this September 21, 1999.


Secretary