

MINUTES

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
April 30, 2002
18th Floor Patterson Office Tower**

Minutes

Accompanying Materials:

President's Report and Action Items

- PR 2 Personnel Actions
- PR 3 Proposed Amendment to the Governing Regulations
- PR 4 Vice President for Institutional Research, Planning and Effectiveness
- PR 5 Establishment of the Position of Vice President for Academic Outreach and Public Service

Academic Affairs Committee Report

- AACR 1 Candidates for Degrees – University System
- AACR 2 Change in Name of an Educational Unit
- AACR 3 Establishment of Baccalaureate Degree Program in College of Arts and Sciences
- AACR 4 Merger of Foreign Language Departments
- AACR 5 Change in Name of an Educational Unit
- AACR 6 Establishment of a New Department

Finance Committee Report

- FCR 1 Acceptance of Interim Financial Report for the University of Kentucky for the nine months ended March 31, 2002
- FCR 2 Capital Construction Report
- FCR 3 Report of Leases
- FCR 4 Approval of Leases
- FCR 5 Mandatory Registration Fee Schedule – 2002-03
- FCR 6 Room and Board Rates 2002-2003 School Year
- FCR 7 UK Coldstream Research Campus Extension of Mini Campus into Lots 21 and 22
- FCR 8 Authorization to Sell Properties on South Upper and Lawrence Street
- FCR 9 Authorization to Purchase 630-640 South Broadway
- FCR 10 2001-2002 Budget Revisions
- FCR 11 Coldstream Research Campus Ground Lease First Alliance Corporation

Minutes of the Meeting of the Board of Trustees of the University of Kentucky,
Tuesday, April 30, 2002.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, April 30, 2002 in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Mr. Billy Joe Miles, Chairperson, called the meeting to order at 1:00 p.m., and Ms. Marian Sims gave the invocation.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Paul Chellgren, Ms. Marian Smith Edge, Mr. John “Jack” Guthrie, Dr. Loys Mather, Ms. Pamela May, Dr. Robert P. Meriwether, Mr. Billy Joe Miles (Chairman), Dr. Elissa Plattner, Dr. Claire Pomeroy, Mr. Steven Reed, Mr. Tim Robinson, Mr. Frank Shoop, Ms. Marian Sims, Ms. Alice Sparks, Dr. Grady Stumbo, Mr. Billy Wilcoxson, Mr. Russ Williams, and Ms. Elaine Wilson. Absent from the meeting were Ms. JoEtta Wickliffe and Ms. Barbara Young. The University administration was represented by President Lee T. Todd, Jr., Acting Senior Vice President Jack C. Blanton, Senior Vice President and Chancellor of the Medical Center James W. Holsinger, Jr., Acting Provost Michael Nietzel, Acting Vice President for Research James Boling, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:04 p.m.

C. Schedule for Meetings of Board of Trustees – 2002-2003

Mr. Miles called attention to the Schedule for Meetings of the Board of Trustees – 2002-2003 that was distributed in the Board packets and asked the members to make note of those dates.

D. Consent Agenda

Mr. Miles noted the following consent items on the agenda:

Approval of Minutes - April 2, 2002

PR 2 Personnel Actions

AACR 1 Candidates for Degrees – University System

Mr. Shoop moved approval of the Consent Agenda. The motion, seconded by Ms. Wilson, carried. (See PR 2 and AACR 1 at the end of the Minutes.)

E. President's Report to the Board of Trustees (PR 1)

President Todd highlighted the following items in PR 1:

1. The University of Kentucky forms a partnership with the Georgetown Community Hospital for a Cancer Treatment Center. This is a regional approach and is a collaborative effort of the UK Markey Cancer Center and Georgetown Community Hospital.
2. The University of Kentucky College of Law ranks among the top 25 colleges at public institutions. The College of Medicine ranked 43rd among 125 accredited medical schools in the area of primary care. These graduate school rankings were released by U.S. News and World Report.
3. Dr. William E. Kirwan II, President of The Ohio State University and alumnus of the UK College of Arts and Sciences, was on campus recently. He was presented with the Outstanding Alumni Award from the UK Alumni Association and the College of Arts and Sciences. Dr. Kirwan will be going to Maryland to become Chancellor of the University System of Maryland in August.
4. The College of Agriculture and the Gatton College of Business and Economics have joined forces to spur new economic growth in Kentucky's communities. Agriculture's Cooperative Extension Service and the Kentucky Small Business Development Center will combine resources to help small business and agri-business development. This is another way to get research information out in the communities. This is similar to what the University has done with the School of Public Health. Both of these fit well in the University's "higher purpose" mission in service across the Commonwealth.
5. The Beckman Undergraduate Research Scholarships were announced a few months ago. There were only five institutions to receive these scholarships: Duke, Washington University, UCLA, Boston University and the University of Kentucky. The University of Kentucky has now selected its first two students to be recipients of this prestigious award. They are Robin Petroze of Fort Mitchell and Garrett Sparks of Ashland. These students will be working this summer with some of the University's research faculty.
6. Kimberly Anderson, professor of Chemical and Materials Engineering, received the 2002 Sarah Bennett Holmes Award from the UK Women's Forum. The award is granted annually to a woman working at the University who promotes the growth and well-being of other women not only at UK but throughout the Commonwealth. Professor Anderson is also heading the Vice President for Research search.

7. A baseball-testing machine designed by a team of UK mechanical engineering seniors shut out 34 other teams from engineering schools across the Midwest to win first place at the American Society of Mechanical Engineers Regional Design Competition held last month in Evansville, Indiana. This is the kind of competition that really makes students feel like they are a part of the organization and that they are doing exciting things.
8. Two UK professors have been selected for American Council on Education (ACE) Fellowships. Lori Gonzalez, College of Allied Health Professions and Deneese Jones, College of Education and The Graduate School, will be going away for a year to work with leaders in other institutions.
9. UK increases life insurance benefits for employees. The basic life insurance benefit will increase from \$7,500 to \$10,000 for all regular full-time employees on July 1, 2002.

President Todd mentioned the other good things in his report and asked the Board to peruse them.

He mentioned the following two items that had come up since his report was written:

1. UK College of Medicine is the exclusive site chosen to conduct the first Phase I clinical research trial for a new investigational smallpox vaccine for the Department of Defense. It is significant that the University has been asked to participate in this project during this period of bio-terrorism. The University has received favorable coverage from almost all of the major news organizations. This is a very significant contribution that the University is making to the cause of the country at this point in time.
2. David Hawpe, Courier-Journal columnist, wrote an article about UK on the op-ed page of the Courier-Journal this past Sunday. The article is about UK students putting together a solar car. UK is the only Southeastern Conference school participating in this competition. President Todd mentioned the brochure that he had distributed. He encouraged the Board to make donations because the students are trying to raise \$90,000.

F. President's Work-Life Retreat

President Todd reported on the Commission on the Status of Women headed by Professor Carolyn Bratt. The Commission is having a retreat and invitations have been sent to the Board. While helping the Lexington City Government, Professor Bratt read an article about Work-Life and got the idea for the Commission to have a retreat. They are calling it the President's Work-Life Retreat. Deans and quite a select group of people have been invited to attend this retreat. Jennifer Swanberg, a national Work-Life expert

who is on the faculty of UK's College of Social Work, is a participant in the retreat. The retreat will be a full day presentation.

He said that UK has many unique situations. It has many employees who are working two jobs, trying to pursue their education simultaneously with working, and caring for children and elderly members of the family. In an institution of this size, there are some department heads that will interpret policies in certain ways or have their own policy that is more benevolent than a department down the hall. The objective is to get together, look at the UK community environment, embrace all of the variations that are in the work place today, and try to make UK a better place to work. IBM is big on this, and a lot of corporations can show where it makes a difference business wise. The University of Michigan and the University of Arizona have developed Work-Life programs so UK will be right in there with some of its benchmarks.

President Todd announced that Mary Ellen Slone, who has embraced this program at Meridian for some years, is going to be one of the speakers. He said that he strongly endorsed the program, and in his opinion, it can make a big difference on campus. It is a very good program for the whole university and will touch as many men as women.

G. Report on the Commission on Diversity

President Todd reported that the Commission on Diversity, under the leadership of Professor Deneese Jones, has put together a program in record time. The idea for the program came from Senator Gerald Neal of Louisville when he indicated to all the university presidents that there was only one African-American professor in the "Bucks for Brains" program. We now have two African-American professors in this program.

Senator Neal said he understood that it might be difficult to find faculty and bring them here because the African-American talent that is capable of being in the "Bucks for Brains" program is highly sought after. He suggested we should start a pipeline.

President Todd said he returned from his meeting with Senator Neal and met with Professor Jones that afternoon. He also talked to Dr. Nietzel and Dr. Boling about this. They talked about going after the African-American undergraduate population and inviting them to fill out applications to apply to work with "Bucks for Brains" professors. Dr. Boling and Professor Jones developed this program together, and 110 students attended an orientation to hear about it. Of those 110 students, everyone took applications, and 108 students completed the application.

The Committee met yesterday, and UK is giving 25 young people the opportunity to work with "Bucks for Brains" professors across the campus. Dr. Boling's office is going to provide \$1,000 for materials for each of the "Bucks for Brains" professors, and the President's Office is providing \$3,500 for the summer support. These students will be able to work for 10 weeks in laboratories on campus. President Todd said that this is an outstanding opportunity for UK to begin a pipeline on its own.

President Todd mentioned another program called KYSS for all students. He noted that UK gets more applications from outside the University than inside the University for people to spend their summers on campus, and this program needs to be expanded. The appetite for these students to be able to work with the “Bucks for Brains” professors is significant, and it is a good way to leverage that talent and build a pipeline. He commended Professor Jones, her team, and Drs. Boling and Nietzel for getting that program up and running. He said it would have an impact pretty quickly.

H. Advisory Group Appointed for Athletics Director

President Todd reported that he has appointed an advisory group to work with him on the search for an Athletics Director. This will be a group that will help him in deciding which search firm to choose. He said that he wants to have more people than himself sitting around the table when interviewing search firms and candidates. He noted that he will make the final decision.

President Todd explained that this is not a search committee that is going to make a recommendation to him. This is a group of individuals that he will work with and exchange ideas. He announced that the advisory group would be composed of the following individuals:

Mira Ball – a community leader, former chair of the Greater Lexington Chamber of Commerce and owner of Ball Homes.

Sam Bowie – a former basketball letterman at UK and NBA star.

Dermonti Dawson – a former football letterman at UK and NFL star who is now a businessman in Lexington.

John Hall – an All-American football player and former football letterman at Vanderbilt, captain of the Vanderbilt football team, and academic All-American. He also chaired the search for the Athletics Director when Vanderbilt selected Todd Turner recently.

Garrett Headley – a stockbroker in Lexington and former wrestling letterman at UK. He is president of the K Association that represents the lettermen of all sports across campus.

Claire Pomeroy – a member of the Board of Trustees and UK faculty.

Gerald Smith - a member of the UK History Department, who directs the African-American Studies and Research Program, and a faculty representative on the Athletic Association Board of Directors.

President Todd explained that he and the advisory group will select a search firm, and then the search firm will begin doing its work. He noted that he already has a stack

of applications and correspondence from candidates to turn over to the search firm. The search firm will also know candidates to bring to the table. He said that he hoped to close out the position by the end of the summer.

I. UK Appeals NCAA Bowl Restrictions

President Todd reported that the University of Kentucky (UK) has submitted its appeal on the football bowl restriction. He reminded the Board of the NCAA penalty that states that UK cannot appear in a bowl this year. He said that he decided and announced sometime ago that he was going to appeal that decision. The coaches and players are working hard, and they deserve to be supported. That is why UK is appealing that penalty.

President Todd reminded the Board of a statement he made in a previous press conference. In that press conference, he said that he would not take an entourage to Indianapolis to defend this penalty. At the time he said that, he wanted to make sure that everybody knew that UK was taking the sanctions very seriously. He said, "We did not disagree with the sanctions and the wrongdoings they accused us. We found most of them ourselves anyway."

President Todd explained that this is a legalistic appeal to try to overturn a previous decision. After consulting with attorneys, the attorneys advised that the University would have a better situation if President Todd were there; therefore, he will go p led the case for the University. The NCAA has agreed to allow representatives from the University to make an appearance. President Todd said that he plans to fight it. It is still a long shot, but the process has begun. The NCAA has not given the hearing date yet.

J. Former UK President and Mrs. Frank Dickey Recognized

President Todd referred back to PR 1. He reported that Omicron Delta Kappa (ODK) recently recognized Dr. Frank Dickey, former UK President. The ODK national collegiate leadership honor society presented Dr. Dickey with its highest honor, the Laurel Crowned Circle Award. Dr. Dickey is only the seventh ODK member to receive the award in the society's 87-year history, and this is remarkable.

Dr. Dickey and his wife, Betty, have also established a new endowed scholarship for graduate students in UK's College of Education. Dr. Dickey served as Dean of the College of Education, and Dickey Hall is named for him.

President Todd said that Frank and Betty Dickey have been a part of the living legacy at Maxwell Place. He and his wife, Patsy, have found no one to be more charming, supportive, and more interesting to talk to than the Dickey's, Betty in particular. He said the Dickey's have become close friends of theirs since they have been occupying Maxwell Place.

President Todd invited the Board to see the marker that was unveiled a few weeks ago at Maxwell Place, if they had not already seen it. He noted that the Singletary's, the Dickey's, the Wethington's and now the Todd's have occupied Maxwell Place 50% of the time that the University has owned it. It has been a warm place for the institution. The Dickey's certainly bring a lot of warmth every time they talk to them about anything that happened there.

President Todd recognized Frank and Betty Dickey, who were in the audience. He said that they did not want to be recognized, but he thought it might be appropriate, given their legacy with the institution. He said the Dickey's were in attendance to be recognized for his recognition with ODK Laurel Crowned Circle, for their continued support of the institution, and for all that they have done for the institution. He thanked them for attending the meeting. Dr. and Mrs. Dickey received a round of applause and a standing ovation.

Mrs. Dickey said that Dr. Dickey declined to say anything. She thanked President Todd and the Board for their kindness and time. She said they came to the meeting to pay tribute to Dean Ed Sagan, Mary Ann Vimont, the entire staff, faculty, and students in the College of Education, and then said "They have come a long way baby." Mrs. Dickey received a round of applause.

K. Report on the Southern Association of Colleges and Schools' Visit

President Todd reported that the Southern Association of Colleges and Schools (SACS) visiting team had visited campus since the last Board meeting. The team was on campus April 15-18 and many of the Board members attended the dinner. He said that a transcript of the meeting had been placed in the Board packets at the request of Mr. Guthrie for those who could not attend the meeting.

President Todd provided a brief summary of their visit. He said that the University received one commendation, and he was told that those commendations are rare. Dr. Roger Sayers, Visiting Team Chair, indicated the Committee commends the new leadership and the impact they have had in providing an atmosphere for students, faculty and staff to embrace the "one university" concept. The Team applauded enthusiastically the campus and its welcoming aesthetics. They felt good about their visit and the way they were embraced by our people.

President Todd thanked John Piccoro, Connie Vaughn, and the 150 people that worked on the entire process, along with Alice Sparks who served diligently on that committee. He said that Dr. Piccoro informed him that there were no major surprises or issues that had not already surfaced out of the University's own self-study. There were 31 recommendations out of a potential 450 "must" statements and the staff is already working on several of those. He reported that Dr. Holsinger has been head of the "Fix It" Committee for some time, and this Committee probably saved 5 or 10 recommendations by having already addressed some things before the Visiting Team arrived.

He said that there were 26 team members representing 19 other southeastern institutions. The team conducted 500 interviews while they were on campus and also traveled to Paducah. They visited all of the University's campus sites.

As the process goes forward, SACS will submit a draft report to the University and only factual errors can be corrected. The formal report will be submitted to the University in June of this year. September 15th is the deadline to submit a written response to the report, including a plan on how to address the shortcomings they found in the "must" statements. The official notification about the re-accreditation process will be received in January 2003.

Dr. Plattner talked about the Tuesday morning President Todd gave his remarks to the Visiting Team. She said the Team was sitting politely around the table with their thick notebooks and pens until President Todd mentioned that one of UK's initiatives is to work very close with its sister university, the University of Louisville. Everybody immediately picked up their pencils and started writing. Then President Todd said that another outreach is with the independent colleges in the state who are such a rich resource of education. He mentioned a number of things UK is doing, and everyone on the Team took extensive notes while he was speaking. Dr. Plattner congratulated President Todd on a great speech that Tuesday morning.

Following a round of applause, President Todd said he would not have to do that for another ten years. It was one of those ten-year speeches.

L. Update on Top 20 Task Force

President Todd asked Acting Provost Nietzel to come forward and talk about the Top 20 Task Force. He mentioned that Phyllis Nash is also working on the Top 20 Task Force as well as several other things, including the Work-Life Program with Jennifer Swanberg. He asked Dr. Nash, Ms. Swanberg and Professor Bratt to stand and be recognized. They received a round of applause.

Acting Provost Nietzel thanked President Todd and reminded the Board that a little less than a year ago President Todd formed a Top 20 Task Force and appointed several faculty, students and individuals from outside the University to that Task Force. Today is an opportunity to present a summary of the report that the group has put together for the Board's consideration and for the beginning of a campus-wide discussion about the document and where it would take the University.

The charge to the Task Force was to identify the dimensions and the measures the University would use as it calibrates progress over the next several years toward becoming one of the nations' premiere research universities as well as contributing to the improvement of the quality of life and the economy of the State of Kentucky. That dual objective has always been paramount in the Task Force's consideration. He said he was there to introduce Dr. Phyllis Nash who has been an outstanding leader of the Task Force

and without whom the Task Force would not be prepared to have come as close to its deadline, which he believes was the end of March.

He stated that Dr. Nash has kept the group on task and has provided the materials, the resources, and a great deal of very thoughtful input to really get this report into the shape that it is in today. She has earned favorable comments across campus. He said it was an outstanding opportunity for him to get to work with the Task Force and Dr. Nash. It was a spirited, determined group. The group had a lot of disagreements and discussions that they worked through and always did so with a wonderful attitude towards each other. The final document reflects the product of that kind of good debate among people who trust each other to do this kind of work.

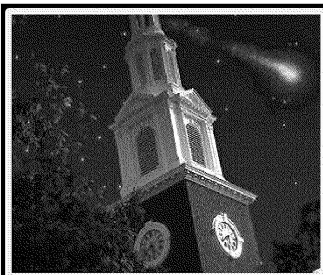
Acting Provost Nietzel said he wanted to pay particular tribute to the staff that helped. He recognized Kelly Bevins, Maria Kemplin and Lisa Collins and said those three people were invaluable to the Task Force in terms of helping get the work done.

He also mentioned Bill McCann who was the outside person on the Task Force and a longtime member of the Prichard Committee. Bill brought a lot to the table for the group to consider that may not have been considered had it not been for his presence.

He said that Dr. Nash would provide a very brief summary of the report and hopefully provide the beginning of the chance to have more discussion across the University as it is considered. This report constitutes both a plan and a scorecard for approaching the Top 20 mandate and how the University thinks about progress toward that goal in the next several years. He thanked Dr. Nash for everything she did to make the report come true and asked her to make her presentation.

Dr. Nash said it was her pleasure to co-chair the group with Provost Nietzel and to be the spokesperson for the group. She stated that it was a group effort and presented the names of the individuals, the faculty, the staff, the students and the citizen member who put their heart and soul into this effort. She expressed appreciation to the staff that supported the group and did a lot of the work that made it come together.

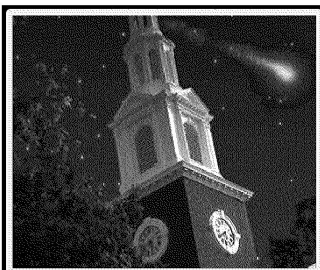
Dr. Nash said that it was President Todd's first full day in office when he said to the University community that he was creating a Top 20 Task Force. She then presented the following Powerpoint presentation to the Board:



DEFINING “*TOP 20*”

Report to the Board of Trustees

April 30, 2002



Task Force Membership

Brad Anderson
Deborah Blades
Alan Butterfield
Joe Claypool
Lori Gonzalez
Richard Greissman
George Herring
Kay Hoffmank
Jennifer Hooblert
Jim Kerley
Tom Lester
Bill McCann

B. Jan McCulloch
Kamyar Maboub
Lois Nora
Joe Peek
Ken Roberts
Susan Scollay
Scott Smith
Chuck Staben
John Thelin
Lionel Williamson
Connie Wood
Mike Nietzel and Phyllis Nash, Co-chairs



Task Force Staff

Kelly Bevins
Lisa Collins
Maria Kemplin



Defining “*TOP 20*”

Charge to the Task Force

Recommend criteria and measures that the University will employ in regularly assessing its progress toward achieving recognition as one of the nation’s 20 premiere research universities



Defining “*TOP 20*”

Identify two types of metrics

- Those independently collected at the national level
- Those local measures that address UK’s “higher purpose” of improving the overall quality of life and economic prosperity of Kentuckians

Present report to the President by March, 2002



Defining "*TOP 20*"

Studied the following approaches to national rankings:

- National Research Council
- Graham and Diamond
- Carnegie Classifications
- Association of American Universities
- TheCenter
- U.S. News
- Kentucky Council on Postsecondary Education
- University of Kentucky Strategic Plan and Indicators



Defining "*TOP 20*"

Assumptions:

- Any definition of university quality will evoke controversy and disagreement
- The great variance both across and within institutions makes it difficult to gain consensus on quality criteria or on measures
- Universities of the highest quality tend to do most things very well



Defining "*TOP 20*"

Assumptions:

- No single indicator or composite number can represent what an institution has done, can do or will do
- Need to follow a number of indicators that, taken together, give a reasonable approximation of accomplishment and relative strength



Defining "*TOP 20*"

Cautions:

- Reputational measures are problematic
- Ranking measures are problematic
- Collection of data on publications and citations is problematic



Defining "*TOP 20*"

Characteristics:

1. A comprehensive array of undergraduate, graduate, and professional programs, many with national prominence
2. Attracting and graduating outstanding students capable of making significant contributions to their professions and communities
3. A distinguished faculty whose research, scholarship, teaching, and service are exemplary



Defining "*TOP 20*"

Characteristics: continued

4. The discovery, dissemination, and application of new and significant knowledge
5. Diversity of thought, culture, gender, and ethnicity that creates communities of learning and appreciation at the University and beyond
6. Improvements to the health and educational, social, economic, and cultural well being of the citizens of the Commonwealth



Defining "*TOP 20*"

Indicators of the characteristics

Indicators – the means to show or reflect accomplishment and the yardstick used to evaluate progress

Two sets of Indicators:

National and Commonwealth



Characteristic 1

A comprehensive array of programs, many with national prominence

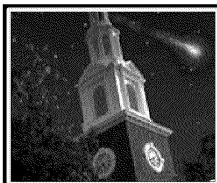
- Rankings
- Publications (total and per capita)
- Total and Federal Research Dollars (total and per capita)
- Endowment Assets and Annual Giving
- Number of Doctoral Students Produced
- Number of Postdoctoral Appointments
- Level of Academic Challenge



Characteristic 2

Attracting and graduating outstanding students capable of making significant contributions to their professions and communities

- Undergraduate SAT/ACT Scores & Class Rank
- Undergraduate Retention & Graduation Rate
- Student Honors
- Number of Doctoral Students Produced
- Level of Academic Challenge



Characteristic 3

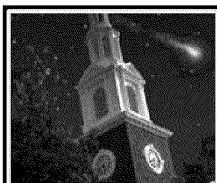
A distinguished faculty whose research, scholarship, teaching, and service are exemplary

Membership in Academies

Faculty awards

Publications (total and per capita)

Total and Federal Research Dollars (total and per capita)



Characteristic 4

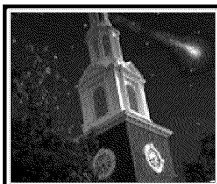
Discovery, dissemination, and application of new and significant knowledge

Publications (total and per capita)

Total and Federal Research Dollars (total and per capita)

Patents

Licenses



Characteristic 5

Diversity of thought, culture, gender, and ethnicity that creates communities of learning and appreciation at the university and beyond

Faculty, Staff, and Student Diversity

Student Success

Student Experience

Enriching educational experience

Supportive educational environment



Characteristic 6

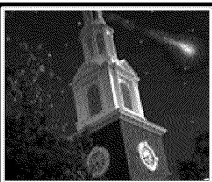
Improvements to the health and educational, social, economic, and cultural well being of the citizens of the Commonwealth



Commonwealth Indicators

Studied the following:

- Kellogg Commission
 "The Future of State and Land Grant Universities"
- Kentucky Long-Term Policy Research Center
 "Visioning Kentucky's Future"
- Kentucky's Council on Postsecondary Education
 "2020 Vision: An Agenda for Kentucky's System of Postsecondary Education"
- Pritchard Committee Recommendations
 Letter from Director Sexton
- The Ohio State University Plan



Commonwealth Indicators

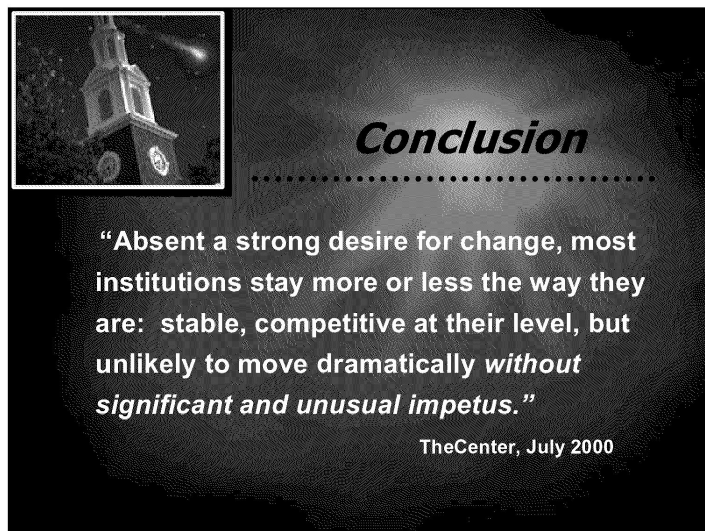
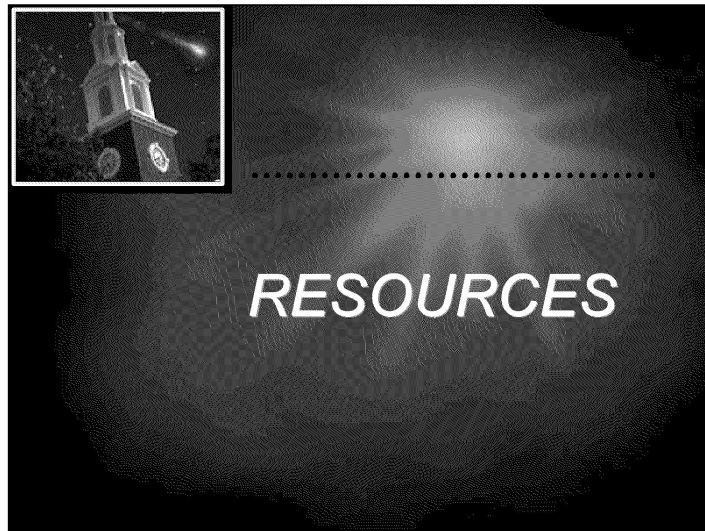
Improvements to the health and educational, social, economic, and cultural well being of the citizens of the Commonwealth

In these areas

1. Arts and Culture
2. Community Vitality and Civic Engagement
3. Economic Well Being
4. Education
5. Environment
6. Health

Track

- » Research and service expenditures
- » Educational preparation/training efforts
- » Outreach and service activities
- » Status reports with impact narratives



In conclusion, she made the following statement: "We believe that the University of Kentucky has the impetus to change. Governor Patton and the legislature have called us to become a premier, nationally recognized university. The citizens of the Commonwealth want to be our partners in shaping our future. The Top 20 Task force has created a vision, a dream, if you will, of what we can become. We have the leadership of President Todd who challenges us to dream big and achieve greatness. We have the support of you, the Board of Trustees, the loyalty of our alumni, the expertise and dedication of our faculty and staff, and the talents and energy of our students. So, we believe we have an impetus to change. We now only need to seek the opportunity before us and persevere until the dream is reality." She thanked everyone, following which she received a round of applause. She then entertained questions from the Board.

Mr. Miles asked, "Where are we today in the ranks?"

Dr. Nash replied that the group very specifically chose not to take a look at the ranks. They did not want to be influenced or compromised by where UK would rank if they chose an indicator. They decided to address it and evaluate what they thought they ought to be looking at. Once President Todd accepts the report, these are the indicators they want to accept. The group will go out and collect all of the information. She reiterated that the rank was specifically not done so that they would not try to stack the deck in their favor in any way.

Ms. Sims thanked Dr. Nash. She noted that it was a huge amount of work and expressed her appreciation to Dr. Nash.

Dr. Nash agreed that it certainly was a lot of work, but they enjoyed it because they had such great groups to work with.

Mr. Guthrie asked if the group had started trying to establish some milestones, such as making the Association of American Universities (AAU) membership by a certain date.

Dr. Nash said that they called AAU and asked if they would be willing to share with them the criteria that AAU uses in selecting membership. They basically said, "Don't call us. We'll call you." Certainly, the next step is to set the intermediate markers that will help us know whether or not we are achieving our goals. She said the group did not, however, think it was the prerogative of the Task Force to set that up until the report was accepted. AAU membership ought to be on the list of goals for sure. She indicated that she would be surprised if UK was not invited to AAU membership in the next five years. She said that they actually did get as much information about AAU as they could and if you look at AAU membership, there are many institutions that are members of AAU that are institutions of UK's rank and level. UK should have little difficulty once it establishes a national reputation a little bit more.

Mr. Guthrie asked, "How many members are in AAU?"

Acting Provost Nietzel said it was close to 80.

Mr. Guthrie asked, "How does this break down by public/private?"

Acting Provost Nietzel said that 60 are public.

Dr. Nash said she could get that list to Mr. Guthrie along with the dates that they were invited into membership.

Mr. Guthrie said it would be interesting to know who we are shooting for here.

Dr. Stumbo said one of the things in the service area in rural areas that is lacking more than anything else is the civic capacity of communities. If UK helps build the civic

capacity, then everything gets better; the health, the education, the economic, etc. He said he would like to see them address that civic building capacity.

Dr. Nash said they were really trying to get at civic engagement, but maybe they were not clear enough.

Dr. Stumbo said they needed to go down to the grassroots level and help people think like a community.

Dr. Nash said Dr. Stumbo made a good point.

Ms. Sims said they need to help them feel attached to UK and not just be an extension to UK.

Dr. Nash replied that there has to be a partnership.

Ms. Sims said maybe partnership is the word everyone should be using in the community.

This concluded Dr. Nash's presentation, and she received another round of applause.

President Todd recognized the new Director of the UK Art Museum Kathy Wall-Piper in the audience. He welcomed her to the University and reported that she comes to the University from the Dallas Museum of Art. He said he was glad to have her and thanked the search committee that brought her to the University of Kentucky.

M. Proposed Amendment to the Governing Regulations (PR 3)

President Todd said that this is the first reading of PR 3 and asked Dr. Mather to comment on it.

Dr. Mather reminded the Board that in December Chairman Miles reinvigorated the Ad Hoc Committee on Board Structure. Since that time, the Committee has been meeting and providing updates of those meetings to the Board. PR 3 is a proposal that the Committee is recommending. He said he anticipated that the Committee would be bringing a set of recommendations that apply more to the affiliated and related organizations of the University to the June Board meeting. PR 3 is the part of the Committee's discussion that deals with committee structure and committee charges that require changes to the Governing Regulations. He asked Mr. Williams to discuss PR 3.

Mr. Williams said the recommended changes are not substantial in structure but more in philosophy. He explained that everything had been coming from the President to the Board. In their discussions with President Todd, they felt there needed to be more leeway for individual Trustees to bring issues before committees; therefore, the wording

“from the President” has been removed from the committees. Those are probably the most significant philosophical changes in how we are organizing Board committees.

Mr. Williams said that the other issue that came up is probably the biggest change. This had to do with the evaluation of the President’s performance, and the Board had no mechanism in place for that. That now has been added to the description of the duties of the Executive Committee, and the Executive Committee will conduct the performance review function of the President. He noted that other duties, such as serving as a Hearing Committee should anybody want to approach the Board about issues, had been added to the Executive Committee. These two items are probably the most significant changes; however, a couple of other sentences were also changed indicating who can and cannot chair committees.

President Todd said that he has gone over the changes with the Committee, and he is comfortable with all of them. This item is being presented for preliminary consideration and will be on the agenda of the next meeting.

Mr. Miles said that this is probably the first time most everyone has seen this proposal. If anyone has any concern or questions about this, they need to contact Dr. Mather before the next meeting. If there is a lot of disagreement or changes, then it could be held for one more month.

Dr. Mather reported that another proposed change of the Committee is to designate the Chair of the Executive Committee to be the Chair of the Board. He added that this was with the suggestion and blessing of the current Chair of the Executive Committee.

N. Vice President for Institutional Research, Planning and Effectiveness (PR 4)

President Todd recommended that the Board approve the appointment of Dr. Connie Ray as Vice President for Institutional Research, Planning and Effectiveness. This is a new position in the administrative organization that will replace the position of Vice Provost for Planning and Institutional Effectiveness. This position will have leadership responsibility for coordinating university-wide institutional research and effectiveness activities. Given the Top 20 Task Force recommendation, this position comes at a good time to establish this central feature and start tracking and measuring the University as it moves forward.

He said he had gotten to know Dr. Ray quite well since he has been in his position. Dr. Ray has been serving a lot of people in this capacity, particularly the Commission on the Status of Women and the Commission on Diversity. It has been good to have an office where this information can be pulled together on a very professional basis. He recommended approval of PR 4. On motion made by Ms. Sims and seconded by Ms. Wilson, PR 4 was approved. (See PR 4 at the end of the Minutes.)

O. Establishment of the Position of Vice President for Academic Outreach and Public Service (PR 5)

President Todd recommended approval for a new administrative position entitled Vice President for Academic Outreach and Public Service to be effective July 1, 2002. He noted the responsibilities listed in the background section and reminded the Board of the position called economic outreach that he created on July 5th. He said he thought the University needed to pick up its profile and focus on affecting the economy of the State of Kentucky. He said as he began to talk about the vision of having a higher purpose, of having some Commonwealth measures, of having more volunteer activity on behalf of students, and of having some more public service on behalf of the students, he gets overwhelmed. He explained that the academic outreach and public service position is a comparable position to the economic outreach position. He said that he hopes to fill the position sometime during the summer. He noted that one of the recent SACS visitors from Georgia holds a position very similar to this position at the University of Georgia. He moved approval of PR 5.

Mr. Miles entertained a motion for approval. Ms. Wilson so moved. Her motion, seconded by Mr. Chellgren, passed. (See PR 5 at the end of the Minutes.)

P. Academic Affairs Committee Report

Ms. Sparks said the Candidates for Degrees were on the consent agenda; however, she wanted to point out that this is a record number eligible for May graduation. She called attention to the name of Nicholas Wilson, son of Trustee Elaine Wilson, on page 13 of the graduation list. She said that it is always fun to get one graduated and expressed congratulations to Ms. Wilson for her accomplishment.

Q. Change in Name of an Educational Unit (AACR 2)

Ms. Sparks said that AACR 2 is the change in name of an educational unit. She said that it was felt that it was more appropriate that the College of Allied Health Professions be changed to the College of Health Sciences. This has gone through all the normal procedures and been approved by the faculty, the University Senate, and the Acting Provost. She moved approval of AACR 2. Ms. Edge seconded her motion, and it carried. (See AACR 2 at the end of the Minutes.)

R. Establishment of Baccalaureate Degree Program in the College of Arts and Sciences (AACR 3)

Ms. Sparks said that AACR 3 is the establishment of a baccalaureate degree in the College of Arts and Sciences. This will be a joint program between the Departments of Mathematics and Economics. It was felt that careers in certain businesses require much stronger background in math. The University of Kentucky will be the only university in the Commonwealth offering this program. This has gone through all the normal procedures and been recommended by the Acting Provost. She moved approval of

AACR 3. Mr. Reed seconded her motion, and it passed. (See AACR 3 at the end of the Minutes.

S. Merger of Foreign Language Departments (AACR 4)

Ms. Sparks said that AACR 4 is the merger of some of the foreign language departments. It includes the merger of the Departments of German Studies, French, Russian and Eastern Studies, and Classics into a single Department of Modern and Classical Languages, Literatures, and Cultures. She pointed out that the Department of Spanish would stay separate. It would not be in the new Department of Modern and Classical Languages. She stated that AACR 4 has gone through all the procedures that it should go through, and she recommended approval. Her motion, seconded by Ms. Sims, carried. (See AACR 4 at the end of the Minutes.)

T. Change in Name of an Educational Unit (AACR 5)

Ms. Sparks said that AACR 5 recommends that the Board approve the change in the name of the Department of Spanish and Italian to the Department of Hispanic Studies. She moved approval of AACR 5.

Dr. Pomeroy made the point that Italian will not be taught in the Department of Hispanic Studies. It was, however, taught in the previous one.

Mr. Guthrie asked for clarification. He said he thought he understood it in principle, but he would like to discuss the reason for separating Languages, Hispanic Studies, and Spanish.

Ms. Sparks asked Acting Provost Nietzel to explain it.

Acting Provost Nietzel explained that the merger of the four departments into one is driven by a number of considerations having to do with administration of those small departments and with the cost associated with them. In this particular case, all the costs of administering these four departments now will be saved, and those savings will be re-budgeted to the one department that reflects the merger. The degree programs offered in those four departments will be retained. The departments that remain have been low in terms of the number of majors they produce. We helped negotiate a new way with the Council on Postsecondary Education of measuring the productivity of this department so that it will reflect greater emphasis on student credit hours taught and less emphasis on the number of degrees that are produced.

The Department of Spanish is different in a sense that it has a Ph.D. program. The size of that Department is considerably different than any of the other four, and it was thought that we should preserve the distinction between that doctoral department which has quite a history in it and a very, very strong national reputation. In looking for some new missions and enhancing the existing ones for the four departments is the most sensible way to go. The name of the department in the case of Spanish and Italian is

being changed to reflect the fact that Italian will now be taught in the merged department and this also represents an emphasis in the former Department of Spanish on cultural studies as a stronger component of its curriculum. It was thought this name change reflected that.

On motion made by Ms. Sparks, seconded by Ms. Edge and carried, AACR 5 passed. (See AACR 5 at the end of the Minutes.)

U. Establishment of a New Department (AACR 6)

Ms. Sparks said that AACR 6 is the establishment of a Department of Community and Leadership Development in the College of Agriculture. She asked Acting Provost Nietzel to comment on AACR 6.

Acting Provost Nietzel explained that this is the department that combines within the College of Agriculture a number of the faculty who are involved basically in the social science program and instruction and research for the College. It is composed of faculty and Rural Sociology and Agricultural Communications and then Agricultural Education. It has no impact on degree programs. The degree programs remain the same. This is basically a new configuration for faculty where it was thought by the faculty, as well as the leadership for the College, that this combination brings people with common interest more closely.

Ms. Sparks moved approval. Her motion, seconded by Ms. Wilson, carried. (See AACR 6 at the end of the Minutes.)

V. Acceptance of Interim Financial Report for the University of Kentucky for Nine Months Ended March 31, 2002 (FCR 1)

Mr. Chellgren, Chair of the Finance Committee reported that the Finance Committee met that morning and reviewed 11 items on the agenda. He said that they started with the review of the financials for nine months ended March 31, 2002 which is the 75 percent point in the academic year. The University has realized income of 77% of the budget and expenditures and commitments totaling 71% of the approved budget. There is not anything that needs to be brought to the Board's attention at the current time. He moved the acceptance of FCR 1. Dr. Pomeroy seconded his motion, and it passed. (See FCR 1 at the end of the Minutes.)

W. Capital Construction Report (FCR 2)

Mr. Chellgren said that FCR 2 is the quarterly review of the Capital Construction program at the University. Importantly, there were no new contracts issued since the calendar year began January 1, 2002. This Capital Construction Report reviewed six major projects and 12 change orders that had been issued since the first of the year. The most significant change order was the \$200,000 change order in additional anticipated expense for some underground utility upgrades. This is a normal course when you are

dealing with a piece of property that has had so many facilities in it for so many years. He stated that there is nothing in the report that needs to be brought to the Board's attention.

He mentioned that there would be quite a significant presentation on the proposed Administration Building renovation at the June 11th Board meeting. He then moved the acceptance of FCR 2. Ms. Sparks seconded his motion, and it carried. (See FCR 2 at the end of the Minutes.)

X. Report of Leases (FCR 3)

Mr. Chellgren said that FCR 3 is a recommendation for the acceptance of leases, primarily renewals for the forthcoming academic year. There is one new lease for 2,070 square feet of office space in Hopkinsville for approximately \$11 a square foot. FCR 3 also includes 32 renewals of leases throughout the state. He noted that when you see all the locations where the University leases space for various activities, you get a sense of the University's statewide mission. He pointed out that this is a Report of Leases because the individual lease expenditure is less than \$30,000. He then moved the adoption of FCR 3. His motion, seconded by Mr. Reed, carried. (See FCR 3 at the end of the Minutes.)

Y. Approval of Leases (FCR 4)

Mr. Chellgren said that FCR 4 is a recommendation for leases above \$30,000. There are 17 lease renewals for the next academic year for approximately 285,000 square feet of space, essentially in the greater Lexington area, and approximately \$2.7 million in annual rentals. He noted that each of the lease renewals is described in the recommendation and moved the acceptance of FCR 4. Mr. Reed seconded the motion, and it carried.

Z. Mandatory Registration Fee Schedule – 2002-03 (FCR 5)

Mr. Chellgren said that FCR 5 is recommending approval of the Mandatory Registration Fee Schedule. He reported that there is one very modest change, the addition of a \$15.00 increase per semester for full-time students for the student technology fee. The increase will be used to provide expanded computing and data services to students. He said that he understands that this has been discussed with the students. He moved the acceptance of the Mandatory Registration Fee Schedule. Mr. Robinson seconded his motion, and it carried. (See FCR 5 at the end of the Minutes.)

AA. Room and Board Rates 2002-2003 School Year (FCR 6)

Mr. Chellgren said that FCR 6 is the annual cycle to review the room and board rates for the University Housing and Dining Services for the forthcoming year. The increases are quite modest. Generally, they were running about 2%. The Finance Committee had a review of the various improvements in housing and food services. He

mentioned the various new operations, such as Starbucks and the hot dog stands that have received so much publicity. He also mentioned for the record that as the Finance Committee understands it, all residence halls will have sprinkling systems by August. There are about 500 places yet to be sprinkled between now and August. He said many of the Board members remembered what an issue this was a few years ago when the tragedy occurred at Murray. He said the administration should be complimented for being so aggressive in moving to deal with that because of the potential risks. He moved the acceptance of FCR 6. Ms. Sims seconded the motion, and it passed. (See FCR 6 at the end of the Minutes.)

Mr. Guthrie said considering the current financial situation with the University, the state, and everything else, it means that everyone in the administration has done a great job of tightening belts. In his opinion, this is a favorable message that we are sending to the students.

BB. UK Coldstream Research Campus Extension of Mini Campus into Lots 21 and 22 (FCR 7)

Mr. Chellgren said FCR 7 is an administrative detail to extend the mini campus to lots 21 and 22 at the Coldstream Research Campus. This will add 14 acres to the current mini campus which consists of lots 17 and 18 (13 acres) and will permit master planning for additional buildings on the mini campus. They are currently 15 companies located in the mini campus with 135 employees.

Mr. Chellgren said that FCR 11 will recommend to the Board an additional land lease for a small 16,500 square foot building on Coldstream. He reported that a comprehensive review of the status of Coldstream, including the commercial terms of the leases and the status of the changes in the administration, would be presented to the Board before the beginning of next school year.

He moved the adoption of FCR 7. The motion, seconded by Ms. Sparks, carried. (See FCR 11 at the end of the Minutes.)

CC. Authorization to Sell Properties on South Upper and Lawrence Street (FCR 8) and Authorization to Purchase 630-640 South Broadway (FCR 9)

Mr. Chellgren said that FCR 8 and 9 would be considered at the same time. Most of the Board attended the Finance Committee and saw a Powerpoint presentation on them. They deal with a 12 square block area bounded by South Broadway, Maxwell Street, and South Upper Street, which is the master plan being developed into a high end residential area plus some related commercial areas close to town and close to the University. The University owns a couple of buildings and a small piece of property, essentially about a block of that 12-block area. He explained that the University will be selling/trading the property where the printing plant had previously been located for some advantageous, quite beneficial property on South Broadway which is quite good quality office space. The University would be giving up about 25,000 square feet of space and

receiving about 18,000 square feet of space. The space being received is fully finished office space as opposed to the existing space. The University's property is appraised at \$875,000 by approved appraisers. The property that the University is buying/trading is appraised at \$1,500,000 so the difference is \$625,000 cash that would be necessary to equalize the values at about \$1,500,000. There is some uncertainty about these transactions because there is a right of first refusal on the properties that the University would like to purchase. It appears, however, that it is likely the University will be able to move forward with this transaction. It would be very much in the University's best interest to trade some less desirable space for some quite well finished office space that is within a very short walking distance of campus. It would also help contribute to the master plan of the 12-block area. He moved the adoption of FCR 8 authorizing the sell and FCR 9 authorizing the purchase with the net of \$625,000. Mr. Guthrie seconded the motion and it carried. (See FCR 8 and FCR 9 at the end of the Minutes.)

DD. 2001-02 Budget Revisions (FCR 10)

Mr. Chellgren said FCR 10 is the budget revisions as the academic year/fiscal year evolves. The Board sees these revisions on a regular basis. He pointed out that this one totals lots of debits and credits (adds and subtracts) both in the income and the expenditure areas. He said everyone had read about the budget cut the state is imposing on the University, and that is the deduction of \$18.3M. He further explained that the direct cut in the University's base budget from the state is approximately \$6M. The various other debits and credits are of a pretty modest nature given an organization with a budget of almost \$1.3 billion. He mentioned another major item in the expenditures for the hospital of some \$17.5M. A lot of this relates to what is called the disproportionate payments regarding Medicare and Medicaid. The total add to the budget net is some \$5.6M, and then it is offset by the same \$5.6M anticipated expenditures. There are a few other modest changes in restricted funds totaling about another million dollars. The budget revisions were discussed in detail at the Finance Committee. It is in the normal course other than those changes in the state budget appropriations and the changes in hospital appropriations from an income point of view and also in expenses. He moved the acceptance of FCR 10. Ms. Wilson seconded his motion, and it passed. (See FCR 10 at the end of the Minutes.)

EE. Coldstream Research Campus Ground Lease First Alliance Corporation (FCR 11)

Mr. Chellgren said FCR 11 is a lease of approximately one acre to develop a 16,500 square foot commercial building to house the First Alliance Corporation, which is a life insurance management company. The company would be located on the Coldstream Research Campus. These commercial terms are consistent with the recent practice. He moved the adoption of FCR 11.

Dr. Stumbo asked that the record show that he abstained from voting on FCR 11. He said that he has a financial interest in that company and was totally unaware that it was going to be on the agenda.

Mr. Miles called for a second on the motion, and Ms. Edge seconded the motion. Mr. Miles then said that he would join Dr. Stumbo in abstaining from voting on FCR 11. He called for the vote, and the motion carried. (See FCR 11 at the end of the Minutes.)

FF. Ad Hoc Committee on Board Structure Report

Dr. Mather said that the Ad Hoc Committee on Board Structure was covered in PR 3, and he had no further comments.

GG. Comments by Tim Robinson

Mr. Robinson reported that a lot of awards were given to the students that had contributed to the student body at the Awards Banquet this year. He said they did not have an overall award that recognized someone from the administration that was a student advocate and work on behalf of students. After working with lots of people in administration this year, it was clear to the leadership of student government that they should have such an award, and they created the Jack Blanton Award for Student Advocacy. Dr. Blanton received a round of applause.

Mr. Miles asked Dr. Blanton if he wanted to make an acceptance speech.

Dr. Blanton said he did not think so, and he was overwhelmed.

Mr. Robinson announced that when they made their decision of the recipient of this award, President Todd is the first recipient of the award. President Todd received a round of applause.

HH. Comments by Mr. Williams

Mr. Williams said that UKAdvance is May 13th, and he had gotten to most of the Board an invitation to a panel discussion of Trustees on May 13th. That will be the opening day of the UKAdvance Leadership Development Institute for staff employees of the University. There are 50 participants in this program. He said he would like for the Trustees to talk about their role in the institution. He said he hoped the Board could join the group on May 13th at 3 p.m.

II. Board Retreat

President Todd said someone asked about having a Board Retreat this year. He said he believed it would be a useful thing to do and asked Peggy Way to work on a date. He said the retreat last year was a kick-off to get to know each other, and the Board could work on the agenda for this year's retreat and begin to think about next year. He said the retreat would probably be after July. The retreat would be a good orientation for the new Board members. It would be somewhere late in July or possibly early August.

Mr. Miles asked for a volunteer to work on the retreat. Dr. Pomeroy said Mr. Williams did a great job last year, and she would support him doing it again.

JJ. Meeting Adjourned

With no further business to come before the Board, Mr. Miles adjourned the meeting at 2:45 p.m.

Respectfully submitted,

Richard E. Plymale
Assistant Secretary, Board of Trustees

(PR 2, 3, 4, 5; AACR 1, 2, 3, 4, 5, 6; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, and 11 which follow are official parts of the Minutes of the meeting.)

PR 2

Office of the President
April 30, 2002

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate Provost/Vice President through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

SENIOR VICE PRESIDENT FOR ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Athletics

Newton, Charles M., Consultant, Athletic Association, after 13 years and 2 months of consecutive service, under AR II-1.6-1 Section III.B, effective June 30, 2002.

Vice President for Fiscal Affairs and Information Technology

Dickenson, Emma J., Cook Baker II, Employee Benefits, after 32 years of consecutive service, under AR II-1.6-1 Section III.B, effective 5/31/02.

Whalen, Kendell G., Cement Mason Helper, Employee Benefits, after 25 years of consecutive services, under AR II-1.6-1 Section III.B, effective 04/30/02.

White, Robert E., Custodial Worker IV, Employee Benefits, after 27 years of consecutive services, under AR II-1.6-1, Section III.B.

Vice President for Auxiliary and Campus Services

Goodman, Larry M., Truck Drive II, Food Storage Center, after 19 years, 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 6/10/02.

B. EARLY RETIREMENTS

Athletics

Hisle, James R., Accounting Manager II, Athletic Association, after 36 years and 7 months of consecutive service, under AR III.A.2, effective June 30, 2002.

Ivy, Larry W., Athletics Director, Athletic Association, after 33 years and 4 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective June 30, 2002.

C. POST-RETIREMENT APPOINTMENTS

Vice President for Auxiliary and Campus Services

Roller, Duane C., (part-time), Physical Plant Division, 5/1/02 through 6/30/02.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Hulse, David, College of Business and Economics, Associate Professor (with tenure), Accountancy, appointed to the Deloitte Touche Professorship in Accountancy, 7/1/02 through 6/30/05.

Mullen, Michael D., College of Agriculture, Associate Professor (with tenure), Agronomy, effective 6/1/02.

Shaw, Jason, College of Business and Economics, Assistant Professor, Management, appointed to the Clark Professorship in Management, 7/1/02 through 6/30/05.

B. ADMINISTRATIVE APPOINTMENTS

Addo, Kwaku, College of Human Environmental Sciences, Associate Professor (with tenure), Nutrition and Food Science, appointed Associate Dean, Graduate School, 7/1/02 through 6/30/03.

Gates, Richard, College of Agriculture, Professor (with tenure), Biosystems and Agricultural Engineering, appointed Chair, Biosystems and Agricultural Engineering, 4/1/02 through 3/31/08.

O'Reilly, James E., College of Arts and Sciences, Associate Professor (with tenure), Chemistry, and Associate Dean, Graduate School, reappointed Associate Dean, Graduate School, 7/1/02 through 6/30/03.

Wood, Constance, College of Arts and Sciences, Associate Professor (with tenure), Statistics, and Chair, Statistics, reappointed Chair, Statistics, 7/1/02 through 6/30/06.

C. REAPPOINTMENTS

Cothran, E. Gus, College of Agriculture, Research Professor, Veterinary Science, 7/1/02 through 6/30/03.

Dawson, Karl, College of Agriculture, Adjunct Professor, Animal Sciences, 7/1/02 through 6/30/03.

Jackson, Carney B., College of Agriculture, Associate Professor* (without tenure), Veterinary Science, 7/1/02 through 6/30/03.

D. RETIREMENTS

Brooks, Pearl M., College of Agriculture, 4-H Program Assistant, Area Extension Programs, after 10 years and 4 months of consecutive service and a total of 10 years and 7 months of service, under Section III.B of AR II-1.6-1, effective 3/15/02.

*Special Title series

Brown, Benita A., College of Agriculture, Extension County Clerk, Cooperative Extension Service, after 17 years and 4 months of consecutive service, under Section III.B of AR II-1.6-1, effective 3/29/02.

E. EARLY RETIREMENTS

Clark, Jimmy D., College of Arts and Sciences, Associate Professor (with tenure), Biology, after 27 years and 5 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 6/30/02.

F. POST-RETIREMENT APPOINTMENTS

Berger, Philip, Graduate School, Professor (part-time), Martin School of Public Policy and Administration, 7/1/02 through 6/30/03.

Hall, Harry H., College of Agriculture, Professor (part-time), Agricultural Economics, 4/12/02 through 4/29/02.

Marshall, Andrew Jr., College of Agriculture, Laborer (part-time), Forestry, 7/1/02 through 6/30/03.

G. PHASED RETIREMENT APPOINTMENTS

Rodgers, James W., College of Fine Arts, Professor (half-time), Theatre, 7/1/02 through 6/30/05.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Curl-Nagy, Deborah J., College of Social Work, Clinical Instructor, Social Work, 3/18/02 through 6/30/03.

Gilman, Richard C., College of Education, Assistant Professor, Educational and Counseling Psychology, 8/16/02 through 6/30/03.

van Dissel, Bart J., College of Business and Economics, Lecturer, Management, 8/15/02 through 6/30/03.

B. ADMINISTRATIVE APPOINTMENTS

Harley, Debra, College of Education, Associate Professor (with tenure), Special Education and Rehabilitation Counseling, appointed Acting Director, Women's Studies Program, College of Arts and Sciences, 7/1/02 through 6/30/03.

C. REAPPOINTMENTS

Anderson, Leslie H., College of Agriculture, Assistant Extension Professor, Animal Sciences, 7/1/02 through 6/30/03.

Bolin, David C., College of Agriculture, Assistant Professor*, Veterinary Science, 7/1/02 through 6/30/03.

Coleman, Robert J., College of Agriculture, Assistant Extension Professor, Animal Sciences, 7/1/02 through 6/30/03.

Connors, Terrance E., College of Agriculture, Assistant Extension Professor, Forestry, 7/1/02 through 6/30/03.

Cook, R. Frank, College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/02 through 6/30/03.

Cook-Newell, Margaret, College of Human Environmental Sciences, Lecturer, Nutrition and Food Science, 7/1/02 through 6/30/03.

Dandeo, Lisa Michelle, College of Human Environmental Sciences, Assistant Professor, Merchandising, Apparel and Textiles, 7/1/02 through 6/30/03.

Fleming, Ronald A., College of Agriculture, Assistant Professor, Agricultural Economics, 7/1/02 through 6/30/03.

Gaetke, Lisa, College of Human Environmental Sciences, Assistant Professor*, Nutrition and Food Science, 7/1/02 through 12/31/02.

Gassett, Jonathan W., College of Agriculture, Assistant Adjunct Professor, Forestry, 7/1/02 through 6/30/03.

Graves, Kathryn, College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/02 through 6/30/03.

Howe, Daniel K., College of Agriculture, Assistant Professor, Veterinary Science, 7/1/02 through 6/30/03.

Ibendahl, Gregory A., College of Agriculture, Assistant Extension Professor, Agricultural Economics, 7/1/02 through 6/30/03.

Lear, Teri L., College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/02 through 6/30/03.

Ludewig, Gabriele, College of Human Environmental Sciences, Assistant Research Professor, Nutrition and Food Science, 7/1/02 through 6/30/03.

Matthews, James C., College of Agriculture, Assistant Professor, Animal Sciences, 7/1/02 through 6/30/03.

Maynard, Leigh J., College of Agriculture, Assistant Professor, Agricultural Economics, 7/1/02 through 6/30/03.

McLeod, Kyle R., College of Agriculture, Assistant Professor, Animal Sciences, 7/1/02 through 6/30/03.

Newman, Melissa, College of Agriculture, Assistant Professor, Animal Sciences, 7/1/02 through 6/30/03.

O'Malley, Angela, College of Human Environmental Sciences, Lecturer, Family Studies, 7/1/02 through 6/30/03.

Rhoades, Charles C., College of Agriculture, Assistant Professor, Forestry, 7/1/02 through 6/30/03.

Roseman, Mary, College of Human Environmental Sciences, Assistant Professor, Nutrition and Food Science, 7/1/02 through 6/30/03.

Scorsone, Eric A., College of Agriculture, Assistant Extension Professor, Agricultural Economics, 7/1/02 through 6/30/03.

Scott, Ruth, College of Human Environmental Sciences, Lecturer, Family Studies, 7/1/02 through 6/30/03.

*Special Title series

Slaymaker, Douglas, College of Arts and Sciences, Assistant Professor,
Russian and Eastern Studies, 1/1/02 through 12/31/02.
Tidwell, James H., College of Agriculture, Assistant Adjunct Professor,
Animal Sciences, 7/1/02 through 6/30/03.
Tietzen, Janet Lee, College of Agriculture, Assistant Extension Professor,
Family and Consumer Sciences, 7/1/02 through 6/30/03.
Vanzant, Eric S., College of Agriculture, Assistant Professor, Animal
Sciences, 7/1/02 through 6/30/03.
Vickner, Steven S., College of Agriculture, Assistant Professor, Agricultural
Economics, 7/1/02 through 6/30/03.
Wang, Changzheng, College of Agriculture, Assistant Adjunct Professor,
Animal Sciences, 7/1/02 through 6/30/03.
Webster, Carl D., College of Agriculture, Assistant Adjunct Professor, Animal
Sciences, 7/1/02 through 6/30/03.
Yang, Dong-Sheng, College of Arts and Sciences, Assistant Professor,
Chemistry, 1/1/02 through 12/31/03.

D. LEAVES OF ABSENCE

Clarke, W. Harry, College of Fine Arts, Associate Professor* (with tenure),
Music, Sabbatical leave with full salary, 1/1/03 through 6/30/03.
Foote, Robert James, College of Fine Arts, Associate Professor (with tenure),
Art, Sabbatical leave with full salary, 7/1/02 through 12/31/02.
Fountain, William, College of Agriculture, Extension Professor (with tenure),
Horticulture, Sabbatical leave with full salary, 4/26/02 through 8/19/02.

*Special Title series

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

El-Ghannam, Ahmed R., Graduate School, Assistant Professor, Biomedical Engineering, 4/1/02 through 6/30/02.
Hu, Mei M., College of Medicine, Assistant Professor*, Physical Medicine and Rehabilitation, 7/1/02 through 6/30/03.
Mao, Catherine D., Graduate School, Assistant Professor, Nutritional Sciences, 5/1/02 through 6/30/02.
Mendiondo, Marta S., College of Medicine, Assistant Research Professor, Kentucky School of Public Health, 5/1/02 through 6/30/02.
Rice, Carol H., College of Medicine, Professor (part time), Kentucky School of Public Health, 5/1/02 through 6/30/02.
Schroeder, Valerie A., College of Medicine, Assistant Professor*, Pediatrics, 5/1/02 through 6/30/02.
Tolnai, Edit, College of Medicine, Clinical Assistant Professor, Internal Medicine, 6/1/02 through 6/30/02.

B. REAPPOINTMENTS

Armitstead, John A., College of Pharmacy, Clinical Associate Professor, 7/1/02 through 6/30/03.
Beals, Daniel A., College of Medicine, Clinical Associate Professor, Surgery, joint appointment as Clinical Associate Professor, Pediatrics, 7/1/02 through 6/30/03.
Beaulieu, Joyce E., College of Allied Health Professions, Associate Research Professor, Health Services, joint appointment as Associate Research Professor, College of Medicine, Kentucky School of Public Health, 7/1/02 through 6/30/03.
Carney, John M., College of Medicine, Professor (part time), Molecular and Biomedical Pharmacology, 7/1/02 through 6/30/03.
Conner, William T., College of Medicine, Clinical Associate Professor, Surgery, 7/1/02 through 6/30/03.
Donnelly, Michael, College of Medicine, Research Professor, Surgery, 7/1/02 through 6/30/03.
Garden, John W., College of Medicine, Professor (part time), Ophthalmology, 7/1/02 through 6/30/03.
Gerson, Kenneth L., College of Medicine, Associate Professor (part time), Pediatrics, 7/1/02 through 6/30/03.
Girdler, Renee V., College of Medicine, Clinical Associate Professor, Family Practice, 7/1/02 through 6/30/03.

*Special Title series

Goodman, Philip, College of Medicine, Associate Professor* (without tenure), Diagnostic Radiology, 7/1/02 through 6/30/03.

Griffen, Ward O., College of Medicine, Professor (part time), Surgery, 7/1/02 through 6/30/03.

Hagen, Michael D., College of Medicine, Professor (part time), Family Practice, 7/1/02 through 6/30/03.

Henry, Stephen L., College of Medicine, Adjunct Professor, Surgery, 7/1/02 through 6/30/03.

Hoffmann, Michael, College of Medicine, Associate Professor (without tenure), Neurology, joint appointment as Associate Professor (without tenure), Psychiatry, 7/1/02 through 6/30/03.

Iwinski, Henry J., College of Medicine, Clinical Associate Professor, Surgery, 7/1/02 through 6/30/03.

Kielar, Richard A., College of Medicine, Professor (part time), Ophthalmology, 7/1/02 through 6/30/03.

King, Joseph G., College of Medicine, Clinical Associate Professor, Diagnostic Radiology, 7/1/02 through 6/30/03.

Kluemper, G. T., College of Dentistry, Associate Professor* (without tenure), Oral Health Science, 7/1/02 through 6/30/03.

Knight, Judson M., College of Dentistry, Associate Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

Kuder, Linda B., College of Allied Health Professions, Associate Professor (part time), Health Services, 7/1/02 through 6/30/03.

Kwolek, Deborah G., College of Medicine, Associate Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

Lange, Karl W., College of Dentistry, Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.

Liter, Melvin E., College of Pharmacy, Adjunct Professor, 7/1/02 through 6/30/03.

Mier, Richard J., College of Medicine, Adjunct Professor, Pediatrics, 7/1/02 through 6/30/03.

Novak, Karen F., College of Dentistry, Associate Professor (without tenure), Oral Health Practice, 7/1/02 through 6/30/03.

Pritchett, Charles E., College of Dentistry, Associate Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

Ralph, Donald E., College of Medicine, Clinical Professor, Psychiatry, joint appointment as Clinical Professor, College of Arts and Sciences, Department of Psychology, 7/1/02 through 6/30/03.

Rice, Carol H., College of Medicine, Professor (part time), Kentucky School of Public Health, 7/1/02 through 6/30/03.

Roach, Benjamin F., College of Medicine, Professor (part time), Family Practice, 7/1/02 through 6/30/03.

Robertson, William C., College of Medicine, Clinical Associate Professor, Neurology, joint appointment as Clinical Associate Professor, Pediatrics, 7/1/02 through 6/30/03.

*Special Title series

Selwitz, Ada S., College of Medicine, Associate Adjunct Professor, Behavioral Science, 7/1/02 through 6/30/03.
Shaffer, William O., College of Medicine, Associate Professor* (without tenure), Surgery, 7/1/02 through 6/30/03.
Shah, Vinod, College of Pharmacy, Adjunct Professor, 7/1/02 through 6/30/03.
Sisken, Betty F., Graduate School, Professor (part time), Biomedical Engineering, 7/1/02 through 6/30/03.
Smith, Kelly M., College of Pharmacy, Associate Professor* (without tenure), 7/1/02 through 6/30/03.
Stigers, Jenny L., College of Dentistry, Associate Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.
Thorn, Deborah B., College of Pharmacy, Associate Adjunct Professor, 7/1/02 through 6/30/03.
Turner, Erwin G., College of Dentistry, Clinical Professor, Oral Health Science, 7/1/02 through 6/30/03.
Tylkowski, Chester M., College of Medicine, Clinical Professor, Surgery, 7/1/02 through 6/30/03.
Williamson, Russell T., College of Dentistry, Associate Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.
Wilson, Dianne, College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, joint appointment as Clinical Assistant Professor, Surgery, 7/1/02 through 6/30/03.
Yoneda, Justine M., College of Medicine, Associate Professor (part time), Radiation Medicine, 7/1/02 through 6/30/03.
Young, Elizabeth H., College of Medicine, Associate Professor (part time), Family Practice, 7/1/02 through 6/30/03.

C. TENURE

Zwicker, Robert D., College of Medicine, Professor* (without tenure), Radiation Medicine, joint appointment as Professor* (without tenure), College of Allied Health Professions, Department of Health Services, to Professor* (with tenure), Radiation Medicine, joint appointment as Professor* (without tenure), College of Allied Health Professions, Department of Health Services, effective 4/1/02.

D. PROMOTIONS

Johnston, Thomas D., College of Medicine, Assistant Professor*, Surgery, to Associate Professor* (with tenure), Surgery, effective 7/1/02.
Nelson, Christopher T., College of Medicine, Assistant Professor*, Pediatrics, to Associate Professor* (with tenure), Pediatrics, effective 7/1/02.
Patwardhan, Abhijit A., Research and Graduate Studies, Assistant Professor, Biomedical Engineering, joint appointment as Assistant Professor, College of Medicine, Department of Internal Medicine, to Associate Professor

*Special Title series

(with tenure), Biomedical Engineering, joint appointment as Associate Professor (without tenure), College of Medicine, Department of Internal Medicine, effective 7/1/02.

Peden, Ann R., College of Nursing, Associate Professor* (with tenure), to Professor* (with tenure), effective 5/1/02.

Porter, Nada M., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, to Associate Professor (with tenure), Molecular and Biomedical Pharmacology, effective 7/1/02.

Regine, William F., College of Medicine, Associate Professor (with tenure), Radiation Medicine, joint appointment as Associate Professor (without tenure), Surgery, to Professor (with tenure), Radiation Medicine, joint appointment as Professor (without tenure), Surgery, effective 7/1/02.

Ribes, Julie A., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, joint appointment as Assistant Professor*, College of Allied Health Professions, Department of Clinical Sciences, to Associate Professor* (with tenure), Pathology and Laboratory Medicine, joint appointment as Associate Professor* (without tenure), College of Allied Health Professions, Department of Clinical Sciences, effective 7/1/02.

Rudy, David W., College of Medicine, Assistant Professor*, Internal Medicine, to Associate Professor* (with tenure), Internal Medicine, effective 7/1/02.

E. CHANGES

Deaciuc, Ion V., College of Medicine, from Research Professor, Internal Medicine, to Associate Professor (without tenure), Internal Medicine, 3/6/02 through 6/30/02.

Kwolek, Deborah G., College of Medicine, from Associate Professor* (with tenure), Internal Medicine, to Associate Professor (part time), Internal Medicine, 6/8/02 through 6/30/02.

Wermeling, Daniel P., College of Pharmacy, Associate Professor*, from leave without pay, 5/1/02 through 4/30/03, to leave without pay, 7/1/02 through 6/30/03.

F. TERMINAL REAPPOINTMENTS

Gairola, Chandra G., College of Pharmacy, Research Professor, joint appointment as Research Professor, Graduate Center for Toxicology, 4/1/02 through 6/30/02.

Morgan, Michael E., College of Pharmacy, Associate Research Professor, 7/1/02 through 6/30/03.

Xiang, Tian-Xiang, College of Pharmacy, Research Professor, 7/1/02 through 6/30/03.

G. RETIREMENTS

*Special Title series

Collins, Patricia, College of Allied Health Professions, Associate Professor* (with tenure), Clinical Sciences, after 31 years, 10 months of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/02.

H. EARLY RETIREMENTS

Kier, Judith A., College of Medicine, Staff Nurse I, Pediatrics, after 29 years, 6 months of consecutive service, and a total of 31 years, 6 months, under AR II-1.6-1, Section III.A.2, effective 3/29/02.

Lockard, Lois M., College of Dentistry, Account Clerk II, Patient Records, after 18 years, 5 months of consecutive service, and a total of 24 years, 6 months, under AR II-1.6-1, Section III.A.2, effective 6/4/02.

Stotz, Shirley L., University Hospital, Account Clerk Supervisor, General Accounting, after 23 years, 11 months of consecutive service, and a total of 24 years, 6 months, under AR II-1.6-1, Section III.A.2, effective 5/31/02.

I. POST RETIREMENTS

Brock, Linda, University Hospital, Patient Services Coordinator (part-time), Women's Care, 3/25/02 through 6/30/02.

Dahlstrom, Peggy, University Hospital, Registered Nurse (part-time), Children's Hospital, 3/25/02 through 6/30/02.

Lieber, Arthur, College of Medicine, Professor (part time), Diagnostic Radiology, 7/1/02 through 6/30/03.

Seeley, Ellsworth C., College of Medicine, Professor (part time), Family Practice, 7/1/02 through 6/30/03.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Abbottsmith, Charles W., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 5/1/02 through 6/30/03.

Al-Khatib, Ahmed, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 5/1/02 through 6/30/03.

Belieau, Patrice, College of Medicine, Assistant Professor (voluntary), Family Practice, 5/1/02 through 6/30/03.

Bruner, John S., College of Medicine, Assistant Professor (voluntary), Family Practice, 5/1/02 through 6/30/03.

Carney, Brian T., College of Medicine, Assistant Professor (voluntary), Surgery, 5/1/02 through 6/30/03.

Choo, Joseph K., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 5/1/02 through 6/30/03.

*Special Title series

Clarke, Gregory B., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 5/1/02 through 6/30/03.

Cole, Kelly K., College of Medicine, Assistant Professor (voluntary), Family Practice, 5/1/02 through 6/30/03.

Combs, Pamela A., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 5/1/02 through 6/30/03.

Johnstone, John M., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 5/1/02 through 6/30/03.

Luellen, Stephanie M., College of Medicine, Assistant Professor (voluntary), Pediatrics, 5/1/02 through 6/30/03.

Musick, David W., College of Medicine, Assistant Professor (voluntary), Physical Medicine and Rehabilitation, 5/1/02 through 6/30/03.

Neal, Jeffrey, College of Medicine, Assistant Professor (voluntary), Family Practice, 5/1/02 through 6/30/03.

North, Jason, College of Pharmacy, Assistant Professor (voluntary), 5/1/02 through 6/30/03.

Ray, Charles G., College of Medicine, Assistant Professor (voluntary), Surgery, 5/1/02 through 6/30/03.

Runyon, John P., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 5/1/02 through 6/30/03.

Sahai, Madhu, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 5/1/02 through 6/30/03.

Young, John, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 5/1/02 through 6/30/03.

B. ADMINISTRATIVE APPOINTMENTS

Hayes, Kimberly, College of Medicine, Physician Assistant, Kentucky Clinic South, effective 3/25/02.

Waters, Karen, College of Medicine, Department Administrator III, Markey Cancer Center, effective 3/18/02.

C. REAPPOINTMENTS

Adams, Aimee G., College of Pharmacy, Assistant Adjunct Professor, joint appointment as Assistant Adjunct Professor, College of Medicine, Department of Internal Medicine, 7/1/02 through 6/30/03.

Adams, Val R., College of Pharmacy, Assistant Professor*, 7/1/02 through 10/22/02.

Akers, Wendell S., College of Pharmacy, Assistant Professor*, 7/1/02 through 6/30/03.

Anderson, Steven A., College of Dentistry, Assistant Professor (part time), Oral Health Science, joint appointment as Assistant Professor (part time), College of Medicine, Department of Family Practice, 7/1/02 through 6/30/03.

*Special Title series

Arosarena, Oneida A., College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Barnes, Gregory N., College of Medicine, Assistant Professor, Neurology, joint appointments as Assistant Professor, Molecular and Cellular Biochemistry and Pediatrics, 7/1/02 through 6/30/03.

Barnes, Karen J., College of Medicine, Assistant Professor (part time), Family Practice, 7/1/02 through 6/30/03.

Barnhill, John, College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/02 through 6/30/03.

Barnum, Nancy C., College of Nursing, Lecturer, 7/1/02 through 6/30/03.

Beebe, Leslie M., College of Nursing, Instructor (part time), 7/1/02 through 6/30/03.

Bennett, John M., College of Medicine, Assistant Professor (part time), Family Practice, 7/1/02 through 6/30/03.

Bogardus, Amy J., College of Dentistry, Assistant Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

Bohanon, Perry K., College of Nursing, Lecturer, 7/1/02 through 6/30/03.

Botts, Sheila, College of Pharmacy, Clinical Assistant Professor, 7/1/02 through 6/30/03.

Brill, Yolanda M., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.

Burt, John B., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/02 through 6/30/03.

Bush, Joilyn D., College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Butler, Karen M., College of Nursing, Lecturer, 7/1/02 through 6/30/03.

Cerel, Sylvia L., College of Medicine, Assistant Professor (part time), Family Practice, 7/1/02 through 6/30/03.

Charoglu, Constatine P., College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Chauhan, Ashok, College of Medicine, Assistant Research Professor, Neurology, 7/1/02 through 6/30/03.

Chlebowy, Diane O., College of Nursing, Lecturer, 7/1/02 through 6/30/03.

Clifford, Timothy M., College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.

Costich, Julia F., College of Allied Health Professions, Assistant Research Professor, Health Services, joint appointment as Assistant Research Professor, College of Medicine, Kentucky School of Public Health, 7/1/02 through 6/30/03.

Crouch, Larry, College of Allied Health Professions, Assistant Adjunct Professor, Health Services, 7/1/02 through 6/30/03.

Cunningham, Larry L., College of Dentistry, Assistant Professor, Oral Health Science, 7/1/02 through 6/30/03.

Dale, Thomas A., College of Medicine, Assistant Adjunct Professor, Family Practice, 7/1/02 through 6/30/03.

*Special Title series

Darbee, Joan C., College of Allied Health Professions, Assistant Professor, Rehabilitation Sciences, 7/1/02 through 6/30/03.

Dassow, Paul L., College of Medicine, Assistant Professor*, Family Practice, joint appointment as Assistant Professor*, Preventive Medicine and Environmental Health, 7/1/02 through 6/30/03.

Davis, George A., College of Pharmacy, Assistant Professor*, 7/1/02 through 6/30/03.

Dawson, Dolph, College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.

Dean, Melvin W., College of Dentistry, Assistant Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

de Leeuw, Reny, College of Dentistry, Assistant Professor, Oral Health Science, 7/1/02 through 6/30/03.

DeLetter, Mary C., College of Nursing, Assistant Professor (part time), 7/1/02 through 6/30/03.

De Oliveira, Marcos, College of Pharmacy, Assistant Professor, 7/1/02 through 6/30/03.

Diebold, Claudia M., College of Nursing, Lecturer, 7/1/02 through 6/30/03.

Divine, Holly S., College of Pharmacy, Clinical Assistant Professor, 7/1/02 through 6/30/03.

Douglas, William I., College of Medicine, Assistant Professor*, Surgery, joint appointment as Assistant Professor*, Pediatrics, 7/1/02 through 6/30/03.

English, Mary L., College of Allied Health Professions, Assistant Professor*, Rehabilitation Sciences, 7/1/02 through 6/30/03.

Fernandez, Elisa C., College of Nursing, Lecturer, 7/1/02 through 12/31/02.

Ferraris, Suellen P., College of Medicine, Assistant Professor (part time), Surgery, 7/1/02 through 6/30/03.

Flannery, Maureen A., College of Medicine, Clinical Instructor, Family Practice, 7/1/02 through 6/30/03.

Fraley, Christian S., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/02 through 6/30/04.

Gaines, Krista L., College of Medicine, Assistant Professor (part time), Pediatrics, 7/1/02 through 6/30/03.

Godlaski, Theodore M., College of Medicine, Assistant Research Professor, Psychiatry, 7/1/02 through 6/30/03.

Gonsalves, Wanda C., College of Medicine, Assistant Professor*, Family Practice, 7/1/02 through 6/30/03.

Green, Dwaine K., College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.

Guttmann, Rodney, College of Medicine, Assistant Professor, Physiology, 7/1/02 through 6/30/03.

Hamm, Virginia M., College of Medicine, Assistant Adjunct Professor, Behavioral Science, 7/1/02 through 6/30/03.

Hampton, Debra C., College of Nursing, Instructor (part time), 7/1/02 through 6/30/03.

*Special Title series

Hardy, Peter A., Graduate School, Assistant Research Professor, Biomedical Engineering, 7/1/02 through 6/30/03.

Harrison, Ershal, College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.

Higgins, Howard L., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.

Hightower, Edward, College of Medicine, Assistant Professor (part time), Diagnostic Radiology, 7/1/02 through 6/30/03.

Hiller, Matthew L., College of Medicine, Assistant Research Professor, Psychiatry, joint appointment as Assistant Research Professor, College of Social Work, 7/1/02 through 6/30/03.

Hosey, Robert G., College of Medicine, Assistant Professor*, Family Practice, 7/1/02 through 6/30/03.

Ison, Thomas G., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/02 through 6/30/03.

Jahania, Mohammed S., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/02 through 6/30/03.

Jay, Susan M., College of Pharmacy, Lecturer (part time), 7/1/02 through 5/15/03.

Kazakova, Stefka S., College of Dentistry, Assistant Professor (part time, temporary), Oral Health Practice, 7/1/02 through 6/30/03.

Khan, Taqi F., College of Medicine, Clinical Instructor, Surgery, 7/1/02 through 6/30/03.

Killip, Shersten, College of Medicine, Clinical Instructor, Family Practice, 7/1/02 through 6/30/03.

Kim, Kyung Bo, College of Pharmacy, Assistant Professor, 7/1/02 through 6/30/03.

Kitzman, Patrick H., College of Allied Health Professions, Assistant Professor, Rehabilitation Sciences, 7/1/02 through 6/30/03.

Komanduri, Krishna V., College of Medicine, Clinical Assistant Professor, Radiation Medicine, joint appointment as Clinical Assistant Professor, College of Allied Health Professions, Department of Clinical Sciences, 7/1/02 through 6/30/03.

Kudrimoti, Mahesh R., College of Medicine, Assistant Professor*, Radiation Medicine, 7/1/02 through 6/30/03.

Lane, Matthew T., College of Pharmacy, Clinical Assistant Professor, 7/1/02 through 6/30/03.

Lawless, Laura N., College of Dentistry, Assistant Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

Lawrence, Steven J., College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Lawton, Jeffrey N., College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Lear, Linda A., College of Medicine, Assistant Professor (part time), Pediatrics, 7/1/02 through 6/30/03.

*Special Title series

Lemmerman, Keith J., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.

Lester, Harold D., College of Dentistry, Assistant Professor*, Oral Health Science, 7/1/02 through 6/30/03.

Lewis, Daniel A., College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.

Linville, Linda H., College of Medicine, Assistant Adjunct Professor, Behavioral Science, 7/1/02 through 6/30/03.

Lomax, Kevin C., College of Medicine, Assistant Research Professor, Kentucky School of Public Health, 7/1/02 through 6/30/03.

Love, Margaret M., College of Medicine, Assistant Research Professor, Family Practice, joint appointment as Assistant Research Professor, Kentucky School of Public Health, 7/1/02 through 6/30/03.

Lovitz, Wanda, College of Nursing, Lecturer, 7/1/02 through 6/30/03.

Lowry, Regina C., College of Nursing, Lecturer, 7/1/02 through 6/30/03.

Madaleno, James A., College of Allied Health Professions, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/02 through 6/30/03.

Mair, Scott D., College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Malik, Uzma, College of Medicine, Assistant Professor*, Radiation Medicine, 7/1/02 through 6/30/03.

Mao, Catherine D., Graduate School, Assistant Professor, Nutritional Sciences, 7/1/02 through 6/30/03.

Martin, Craig A., College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.

Mattacola, Carl G., College of Allied Health Professions, Assistant Professor, Rehabilitation Sciences, 7/1/02 through 6/30/03.

Mattingly, Michelle L., College of Medicine, Clinical Assistant Professor, Neurology, 7/1/02 through 6/30/03.

McCollum, Betsy D., College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.

McMahan, Matthew E., College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.

Meece, Fred E., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.

Mendiondo, Marta S., College of Medicine, Assistant Research Professor, Kentucky School of Public Health, 7/1/02 through 6/30/03.

Millheim, Eric, College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.

Mirani, Scott C., College of Medicine, Clinical Assistant Professor, Physical Medicine and Rehabilitation, 7/1/02 through 6/30/03.

Moore, Angela R., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/02 through 6/30/03.

Moore, Thomas S., College of Medicine, Assistant Professor (part time), Surgery, 7/1/02 through 6/30/03.

*Special Title series

Mueggenburg, Kay, College of Nursing, Assistant Professor*, 7/1/02 through 6/30/03.

Mullen, Vincent J., College of Medicine, Assistant Professor*, Psychiatry, 7/1/02 through 6/30/03.

Mumper, Russell J., College of Pharmacy, Assistant Professor, 7/1/02 through 6/30/03.

Murphy, Debra A., College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.

Napier, Sharon M., College of Medicine, Assistant Professor (part time), Ophthalmology, 7/1/02 through 6/30/03.

Narayan, Prakash, College of Medicine, Assistant Research Professor, Surgery, 7/1/02 through 6/30/03.

Nicholas, Amy, College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.

Nowak-Rapp, Margaret, College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.

O'Donnell, Helen M., College of Medicine, Assistant Professor (part time), Physical Medicine and Rehabilitation, 7/1/02 through 6/30/03.

Oakley, Robert S., College of Pharmacy, Assistant Professor (part time), 7/1/02 through 6/30/03.

Parmley, Lloyd E., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.

Patterson, John A., College of Medicine, Assistant Professor (part time), Family Practice, 7/1/02 through 6/30/03.

Pena, Elpidio d., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, joint appointment as Assistant Professor*, Internal Medicine, 7/1/02 through 6/30/03.

Post, Ginell R., College of Pharmacy, Assistant Professor, joint appointment as Assistant Professor, Graduate School, Graduate Center for Toxicology, 7/1/02 through 6/30/03.

Pu, Lee L., College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Pulliam, Joseph F., College of Medicine, Assistant Professor, Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.

Pulmano, Calixto, College of Medicine, Assistant Professor (part time), Diagnostic Radiology, joint appointment as Assistant Professor (part time), Neurology, 7/1/02 through 6/30/03.

Queen, Susan A., College of Allied Health Professions, Assistant Professor, Rehabilitation Sciences, 7/1/02 through 6/30/03.

Rafferty-Ferrell, Leslie, College of Pharmacy, Assistant Professor (part time), 7/1/02 through 5/31/03.

Ramaiah, Chand, College of Medicine, Clinical Assistant Professor, Surgery, 7/1/02 through 6/30/03.

Reddy, Kunam, College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Riel-Romero, Rosario M., College of Medicine, Clinical Assistant Professor, Neurology, joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.

Romanelli, Frank, College of Pharmacy, Assistant Professor*, joint appointment as Assistant Professor*, College of Allied Health Professions, Department of Clinical Sciences, 7/1/02 through 6/30/03.

Ryan, Melody, College of Pharmacy, Assistant Professor*, joint appointment as Assistant Professor*, College of Medicine, Department of Neurology, 7/1/02 through 6/30/03.

Sabet, Arman, College of Medicine, Assistant Professor*, Neurology, 7/1/02 through 6/30/03.

Samayoa, Luis M., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.

Schoeneberger, Marlies L., College of Medicine, Assistant Adjunct Professor, Behavioral Science, 7/1/02 through 6/30/03.

Schooler, Mary, College of Nursing, Instructor (part time), 7/1/02 through 6/30/03.

Schroeder, Valerie A., College of Medicine, Assistant Professor*, Pediatrics, 7/1/02 through 6/30/03.

Sellitti, Marguerite A., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/02 through 6/30/03.

Shackleford, Diane, College of Pharmacy, Clinical Assistant Professor, 7/1/02 through 6/30/03.

Shashidhar, Harohalli R., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.

Shaw, Pamela P., College of Dentistry, Assistant Adjunct Professor, Oral Health Science, 7/1/02 through 6/30/03.

Sievers, Lou P., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.

Sinha, Partha, College of Medicine, Assistant Professor*, Diagnostic Radiology, 7/1/02 through 6/30/03.

Skelton, Judith, College of Dentistry, Assistant Professor*, Oral Health Science, 7/1/02 through 6/30/03.

Smith, Courtney, College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.

Snider, Lyle B., College of Allied Health Professions, Assistant Professor*, Health Services, 7/1/02 through 6/30/03.

Spring, Paul M., College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

St. Clair, William H., College of Medicine, Assistant Professor, Radiation Medicine, 7/1/02 through 6/30/03.

Stewart, Jimmie, College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.

Stinchcomb, Audra L., College of Pharmacy, Assistant Professor, 7/1/02 through 6/30/03.

Sugarman, Jacqueline M., College of Medicine, Assistant Professor (part time), Pediatrics, 7/1/02 through 6/30/03.

Swope, Marian, College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/02 through 6/30/03.

Talwalkar, Vishwas R., College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Teaster, Pamela B., College of Medicine, Assistant Professor, Kentucky School of Public Health, 7/1/02 through 6/30/03.

Thomas, Mark V., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/02 through 6/10/03.

Thompson, John A., College of Dentistry, Assistant Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

Thompson, Rebecca R., College of Nursing, Instructor (part time), 7/1/02 through 6/30/03.

Tiggelaar, John M., College of Pharmacy, Assistant Professor (part time), 7/1/02 through 6/30/03.

Tisdall, Ouida F., College of Medicine, Assistant Professor (part time), Diagnostic Radiology, 7/1/02 through 6/30/03.

Tolnai, Edit, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.

Tran, Paulo E., College of Dentistry, Assistant Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

Tsuei, Betty, College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Tyas, Suzanne L., College of Medicine, Assistant Professor, Kentucky School of Public Health, 7/1/02 through 6/30/03.

Uhl, Timothy L., College of Allied Health Professions, Assistant Professor, Rehabilitation Sciences, 7/1/02 through 6/30/03.

Wahle, John P., College of Dentistry, Assistant Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

Wallace, Carmel, College of Medicine, Assistant Adjunct Professor, Pediatrics, 7/1/02 through 6/30/03.

Webster, Keith J., College of Allied Health Professions, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/02 through 6/30/03.

Wells, Raymond D., College of Medicine, Assistant Professor (part time), Family Practice, 7/1/02 through 6/30/03.

Welsh, J. Darlene ., College of Nursing, Lecturer, 7/1/02 through 6/30/03.

Wheeler, Rebecca T., College of Dentistry, Assistant Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

Wiemann, Alfred H., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.

Wilkinson, Alan D., College of Dentistry, Assistant Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

Williams, Loren N., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.

*Special Title series

Winstead, P. S., College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.
Wojtowicz, Geralyn M., College of Allied Health Professions, Assistant Professor*, Rehabilitation Sciences, 7/1/02 through 6/30/03.
Wright, Heather H., College of Allied Health Professions, Assistant Professor, Rehabilitation Sciences, 7/1/02 through 6/30/03.
Wrightson, Alan S., College of Medicine, Assistant Professor*, Family Practice, 7/1/02 through 6/30/03.
Wycoff, Robert M., College of Medicine, Assistant Professor (part time), Family Practice, 7/1/02 through 6/30/03.
Yates, Walter F., College of Medicine, Assistant Professor (part time), Pediatrics, 7/1/02 through 6/30/03.

D. TERMINAL REAPPOINTMENTS

Cecil, James C., College of Dentistry, Assistant Research Professor, Oral Health Science, 7/1/02 through 6/30/03.
Danaher, Robert J., College of Dentistry, Assistant Research Professor, Oral Health Practice, 7/1/02 through 6/30/03.
Hagerman, Ellen C., College of Allied Health Professions, Clinical Assistant Professor, Rehabilitation Sciences, 7/1/02 through 6/30/03.
Kesavalu, Lakshmyya, College of Dentistry, Assistant Research Professor, Oral Health Science, 7/1/02 through 6/30/03.
Marra, Michelle T., College of Pharmacy, Assistant Research Professor, 7/1/02 through 6/30/03.
Pedigo, Nancy G., College of Medicine, Assistant Research Professor, Molecular and Biomedical Pharmacology, 7/1/02 through 6/30/03.
Terry, Alison M., College of Allied Health Professions, Clinical Assistant Professor, Rehabilitation Sciences, 7/1/02 through 6/30/03.

*Special Title series

PR 3

Office of the President
April 30, 2002

Members, Board of Trustees:

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendment to the Governing Regulations of the University of Kentucky be received for preliminary consideration and, at the next regular meeting of the Board of Trustees provided at least one month has elapsed, be included on the agenda for action.

Background:

At the Board's retreat last July, President Todd suggested the Board review its committee structure and charges. Mr. Miles reestablished the Ad Hoc Committee on Board Structure in December with the purpose of reviewing the configuration, charges, and responsibilities of the Board's committees and recommending appropriate changes. The committee has had extensive discussion on the Board committee charges, has consulted with the President, and is recommending these changes.

Note: The locations of proposed changes are indicated by a vertical mark in the margin. Proposed additions are underlined; proposed deletions are lined through.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

PART II

GOVERNANCE OF THE UNIVERSITY OF KENTUCKY

The governance of the University of Kentucky is vested by law in the Board of Trustees. (KRS 164.131) Within the limits set by the State Constitution and the federal and state laws,* the Board of Trustees is the final authority in all matters affecting the institution, and it exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments. The Board has the same powers with respect to the community colleges that it has to the University of Kentucky in general. (KRS 164.595)

These Governing Regulations of the University of Kentucky describe the composition, powers, and duties of the Board of Trustees of the University of Kentucky, as defined by Kentucky Statutes, and establish policies and procedures for the performance of its functions. In the Governing Regulations, the Board of Trustees has delegated certain responsibilities to the President and the University Senate in order to provide for the responsible and efficient administration of the University System and the accomplishment of its goals. As the chief administrative officer of the University, the President is authorized by the Board to promulgate the Administrative Regulations and the Human Resources Policy and Procedure Manual which provide interpretation and implementation of these Governing Regulations and of the Minutes of the Board and which delineate policies within the sphere of delegated responsibility. The University Senate is authorized to issue rules implementing the responsibility delegated to it in these Governing Regulations. Likewise, the Senate of the Community College System is authorized to issue rules implementing the responsibility delegated to it in these Governing Regulations.

Where appropriate, the detailed rules and regulations appearing in the Administrative Regulations, the Human Resources Policy and Procedure Manual, the Rules of the University Senate, and the Rules of the Senate of the Community College System should refer to the source or sources in the Governing Regulations or Minutes of the Board, so that interested persons seeking guidance will find it necessary to consult only the Administrative Regulations, the Human Resources Policy and Procedure Manual, and the Rules of the University Senate or the Rules of the Senate of the Community College System.

* The Council on Postsecondary Education in Kentucky (KRS 164.020) has powers and duties that relate to the governance of the University of Kentucky. These powers and duties are listed in the Appendix to these regulations.

A. Board of Trustees

1. Definition

The Board of Trustees is a body corporate, under the name of Board of Trustees of the University of Kentucky, with the usual corporate powers, and possesses all the immunities, rights, privileges, and franchises usually attaching to the governing bodies of educational institutions. It may receive, hold, and administer, on behalf of the University, subject to the conditions attached, all revenues accruing from endowments, appropriations, allotments, grants or bequests, and all types of property. (KRS 164.160)

No member of the administrative staff of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two faculty members. (KRS 164.131)

No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (45A.340(7))

No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)

All necessary expenses incurred by the Trustees in traveling to and from and while attending meetings of the Board of Trustees are designated to be paid out of the funds of the University. (KRS 164.170)

2. Membership

The Board of Trustees of the University of Kentucky consists of sixteen members appointed by the Governor, two members of the faculty of the University System who shall have the right to vote on all matters except that of faculty compensation, one member of the University System nonteaching personnel, and one member of the student body of the University of Kentucky. The terms of the appointed members shall be for six years and until their successors are appointed and qualified, except the initial appointments shall be as follows: two members shall serve one-year terms; two shall serve two-year terms, one of whom shall be a graduate of the University; three shall serve three-year terms; three shall serve four-year terms, one of whom shall be a graduate of the University; three shall serve five-year terms and three shall serve six-year terms, one of whom shall be a graduate of the University. Three of the appointments shall be graduates of the University and may include one graduate of the institution who resides outside the Commonwealth; three shall be representative of agricultural interests; and ten shall be

other distinguished citizens representative of the learned professions. The Governor shall make the appointments so as to reflect proportional representation on the Board of the two leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.131)

Each graduate member is appointed by the Governor from a list of three names submitted by the alumni of the University according to rules established by the Board of Trustees. (KRS 164.131; KRS 164.140)

The two faculty members representing the University System of the University of Kentucky shall be members of the faculty of the University System of the rank of assistant professor or above, elected by secret ballot by all faculty members of the rank of assistant professor or above in the University System. Faculty members shall serve for terms of three years and until their successors are elected and qualified. They are eligible for re-election but are ineligible to continue to serve as members of the Board if they cease to be members of the faculty of the University System. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections. The authority to develop procedures for the election of faculty members of the University System to serve as members of the Board in accordance with the provisions of KRS 164.131 is hereby delegated to the University Senate.

Staff members eligible to vote and eligible to serve as an elected member of the Board of Trustees shall be those regular, full-time staff of the University of Kentucky, as defined in Staff Personnel Policy and Procedure Administrative Regulations, Number 4.0: Employee Status, excluding the president, vice presidents, academic deans and academic department chairpersons. The staff member shall represent all nonteaching university employees. The staff member shall be elected by secret ballot by the nonteaching employees. The staff member shall serve a term of three (3) years and until a successor is elected and qualified. The staff member shall be eligible for reelection, but a staff member who ceases being an employee of the university shall not be eligible to continue to serve as a member of the board. Elections to fill vacancies shall be for the unexpired term and shall be held in the same manner as provided for the original election. The authority to develop procedures for the election of a staff member of the University System to serve as a member of the Board in accordance with the provisions of KRS 164.131 is hereby delegated to the Senior Vice President for Administration.

The student member shall be the president of the student body of the University during the appropriate academic year and may be an out-of-state resident if applicable. If the student member does not maintain the position as student body president or the status of a full-time student at any time during that academic year, a special election shall be held to select a full-time student. The student member shall serve for a term of one year beginning with the first meeting of the fiscal year which contains that academic year. (KRS 164.131)

The number of student and employee members elected to the University of Kentucky Board of Trustees shall not exceed four. (KRS 164.131)

3. Meetings

The Board of Trustees must hold at least four regular meetings per year. Dates designated by law are: the Tuesday preceding the regular annual Commencement of the University; the third Tuesday in September; the second Tuesday in December; and the first Tuesday in April. Special meetings may be called by the chairperson or by any three members upon giving ten days' written notice to each member of the Board. The business to be transacted at special meetings shall be specified in the notice of the meeting. All meetings are to be held on the campus of the University unless otherwise specified by a majority vote of the Board. A majority of the voting members of the Board constitutes a quorum. (KRS 164.170)

The Board of Trustees may adjourn any regular or called meeting to any date that it may set for such adjournment. Any meeting of the Board, if a quorum is not present, may be adjourned by the members attending to a time when a quorum shall be present.

The meetings of the Board of Trustees are required to be open to the public. The Board is required to arrange for a stenographic transcript of each of its meetings to be prepared and filed, to send an agenda for each of the meetings to each member of the Board, and to make a copy available to the press. (KRS 164.170)

The President of the University shall attend all meetings of the Board of Trustees and of its Executive Committee.

4. Officers

The chairperson, vice chairperson, secretary, and assistant secretary of the Board of Trustees shall be elected annually at the September meeting of the Board. The Chairperson of the Board of Trustees shall be limited to no more than four consecutive terms as Chair. In the absence of the chairperson, the vice chairperson presides. The secretary keeps the Minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the Minutes in accordance with instructions from the Board. The secretary is responsible for the maintenance of an official revised copy of these Governing Regulations and for the publication of revisions from time to time. The assistant secretary is empowered to perform the duties of the secretary when the secretary is not present on the Lexington campus of the University and at such other place or places as are required for the efficient performance of the secretary's duties. The assistant secretary need not be a member of the Board of Trustees.

5. Committees

STANDING COMMITTEES:

The purpose of standing and special committees is to assist the Board in its oversight role by gathering information, discussing, and providing advice on policy proposals prior to their coming before the entire Board. Membership of standing committees shall include at least five members of the Board including the committee chair and shall be appointed by the Chairperson of the Board soon after the election of officers in September. ~~The Academic Affairs, Student Affairs, and Human Resources committees shall not be chaired by faculty, staff, or student trustees.~~ The Academic Affairs Committee shall not be chaired by either faculty trustee, the Student Affairs Committee shall not be chaired by the student trustee nor shall the Human Resource Committee be chaired by the staff trustee.

A. EXECUTIVE COMMITTEE

The Board of Trustees annually elects an Executive Committee of five members, which has the powers that the Board delegates to it as spelled out in KRS 164.190. This election shall be held at the September meeting of the Board. Vacancies may be filled at any meeting of the Board. ~~The Board designates one member of the Committee to serve as its chairperson.~~ The Chair of the Board of Trustees shall also serve as Chair of the Executive Committee. In general, the Committee exercises oversight of the financial and business interests of the University and possesses the same powers as the Board during the periods between meetings of the full Board.

Meetings may be held as necessary at the call of the chairperson. The secretary of the Board of Trustees acts as secretary, *ex officio*, of the Executive Committee. The President of the University shall attend all meetings.

The Executive Committee is required to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee. The authority of the Board to amend the action of the Executive Committee does not extend to the rejection of any valid or authenticated expenditure of money by the Executive Committee. (KRS 164.190) The Executive Committee shall serve as a hearing panel in the event of a faculty, student or employee appeal coming to the Board. The Executive Committee shall also serve as a hearing committee in the event of a community member or group desiring to address the Board. (AR II-1.0-4)

The Executive Committee shall serve as the performance review committee for the President, setting and reviewing goals each fiscal year. The Executive Committee shall involve the entire Board in this evaluation process.

B. ACADEMIC AFFAIRS

Shall review recommendations to the Board ~~from the President~~ on policy matters pertaining to the academic mission of the University in teaching, research, and public service as

well as academic freedom and tenure and shared governance. Will review proposals from the President to ensure the academic programs are consistent with the University's mission, that resources are available to achieve academic priorities as set forth in the Strategic Plan, that academic programs are appropriate for its student needs and that the University has a system in place for assessing the effectiveness of its academic programs. Will review new program proposals and changes in the academic units to ensure the program proposals and changes will effectively carry out the academic mission and provide information to the Board if needed when new programs or changes in academic units are recommended to the Board for approval. Shall review the list of candidates for academic degrees and provide information to the Board if needed when degree candidates are recommended for approval.

C. STUDENT AFFAIRS

Shall accept and review recommendations from students, faculty and administrators ~~which the President submits~~ regarding revisions of the Code of Student Conduct. Shall review recommendations ~~from the President~~ concerning the general welfare of students and the adoption of rules, procedures, rights, and responsibilities governing non academic relationships between the University and its students.

D. HUMAN RESOURCES

Shall review recommendations ~~from the President~~ to the Board regarding policies pertaining to employee benefits, rights and privileges requiring Board approval. ~~Shall serve as a hearing panel in the event of a faculty, student or employee appeal coming to the Board.~~

E. UNIVERSITY RELATIONS

Shall review recommendations ~~from the President~~ relating to the economic, social, and public policy environments within which the University operates that directly affect the University. Shall review policies or policy proposals ~~from the President~~ regarding marketing and development as well as public, governmental, and alumni relations.

F. INVESTMENT

Under delegation from the Board and consistent with KRS 164A.550 through 164A.630, the Investment Committee shall be responsible for review and oversight of the endowment investment programs of the University and its affiliated corporations. This responsibility includes: formulating and reviewing investment policies; appointing, monitoring and evaluating investment managers and consultants; and reviewing and approving plans for the general management of the endowment funds of the University.

The Investment Committee Chairperson will report to the Board ~~at least annually after each meeting of the Committee~~ on the performance results of endowment investments. Policies of the Committee are implemented by the Office of Controller and Treasurer in carrying out the day-to-day operations of the University's endowment funds.

G. FINANCE

Shall ensure the financial stability and long-term economic health of the University by monitoring the financial operations of the University and its affiliated corporations and making appropriate recommendations to the Board to ensure achievement of the University's mission. Consistent with KRS 164A.550 through 164A.630, the Committee maintains oversight responsibility for the financial management of the University in the areas of accounting, auditing, payroll, purchasing, capital construction, real property and affiliated corporations. In particular, the Committee shall: oversee the budgets of the University, reviewing and recommending to the Board on the annual Operating and Capital Budgets; ensure that accurate and complete financial records are maintained by reviewing and recommending to the Board on annual financial reports and related recommendations from the Audit Subcommittee, interim financial reports, capital construction reports, long-term debt obligations, gifts to the University of \$100,000 or more, leases over \$30,000 per year, disposition of property, sale of assets, and financial transactions not provided for in the annual operating budget.

The Audit Subcommittee shall recommend to the Finance Committee on the appointment of the external auditors, receive and review the annual reports from the external auditors and make recommendations to the Finance Committee concerning these reports.

H. NOMINATING

Shall nominate officers of the Board and members of the Executive Committee. Shall review recommendations from the President concerning the appointment of Trustees to the boards and committees of the University and its affiliated entities which require Board approval. Trustee representation.

I. SPECIAL COMMITTEES

Special committees may be established and appointed at any time by the Chairperson of the Board and with such charge as the Board Chair may determine. They shall carry out their duties as specified and report to the Board. They will function until discharged. Membership will include Trustees and may also include persons who are not Board members.

6. Powers and Responsibilities

The powers and responsibilities of the Board of Trustees include the following:

It may establish proper regulations for the governance of the University and the physical training, military or otherwise, of the students. It may authorize the suspension and dismissal of students for neglect or violation of the regulations or for other conduct prejudicial to the character and welfare of the University. (KRS 164.200)

It may determine from time to time the colleges, schools, divisions, departments, bureaus, and offices which shall comprise the University within the scope of the Acts of Congress approved July 2, 1862, and Acts supplementary thereto, and the relation which each division shall sustain to each other division and to the whole. It may devise, allot, and arrange the distribution of divisions with the designation appropriate for each, and devise the means required for their effective instruction, administration, and governance. (KRS 164.210)

It may appoint a president, professors, assistants, tutors and other personnel and determine the compensation, duties, and official relations of each. In appointments of presidents, professors and instructors no preference may be shown to any religious denomination.* It is required to provide compensation for all positions created and filled by the Board. It may provide for employee retirement benefits and such other employee benefits as are related to the respective employments and services furnished. Retirement plans may include, but without limitation, the retirement ages, the benefits of employees including group insurance, annuities, establishment of a trust fund or funds, and, the amounts to be paid or contributed by employees and the amounts to be paid or contributed by the University of Kentucky, and other appropriate terms and provisions with respect thereto. (KRS 164.220) It is further designated as an independent agency and instrumentality of the Commonwealth and given exclusive jurisdiction of the appointments, qualifications, salaries and compensation payable out of the State Treasury or otherwise, promotions, and retirement programs described. (KRS 164.225)

It has full power to suspend or remove any of the officers, teachers, professors, or agents that it is authorized to appoint, except that no president, professor, or teacher may be removed except for incompetence, neglect of or refusal to perform duties, or for immoral conduct, and then only after ten days' notice in writing stating the nature of the charges preferred, and after the individual has been given an opportunity to make defense before the Board by counsel or otherwise and to introduce testimony which shall be heard and determined by the Board. (KRS 164.230)

It may grant degrees to graduates of the University, prescribe conditions upon which postgraduate honors may be obtained, and confer such honorary degrees upon the recommendation of the faculty of the University as it deems proper. (KRS 164.240)

It is required to make a full report to the General Assembly, within the first month of each regular session, of the condition and operation of the University since the date of the previous report, with such recommendations concerning the University as are deemed necessary. (KRS 164.250)

It may acquire additional lands or other property or material for the purpose of expanding its plant and extending its usefulness. When unable to contract with the owner of land or other property or material necessary for the purposes of the University, it may acquire the same by condemnation proceedings in the manner provided in KRS 416.010 to 416.080. (KRS 164.260)

* See Parts X.A and XII.A

It may dispose of real estate held by the Commonwealth for the use or benefit of the University or the Kentucky Agricultural Experiment Station as authorized in KRS 164.270 and KRS 45.360.

7. Order of Business

The order of business at meetings of the Board of Trustees shall be as follows:

- Call to Order
- Invocation
- Roll Call
- Reading and Approval of Minutes with Necessary Modifications
- Report of the President
- Consideration of President's Recommendations for Action
- Consideration of President's Discussion Items
- Reports of Committees
- Other Business
- Adjournment

General parliamentary rules shall be observed in conducting the business of the Board of Trustees except as these may be modified by rules and regulations adopted by the Board.

B. Community College Board of Directors

(1) There shall be a board of directors for each community college under the Kentucky Community and Technical College System, except as provided in KRS 165.160.

PR 4

Office of the President
April 30, 2002

Members, Board of Trustees:

VICE PRESIDENT FOR INSTITUTIONAL RESEARCH, PLANNING AND EFFECTIVENESS

Recommendation: that the Board of Trustees approve the appointment of Dr. Connie Ray as Vice President for Institutional Research, Planning and Effectiveness, a new position in the administrative organization, which will replace the position of Vice Provost for Planning and Institutional Effectiveness, effective May 1, 2002. This position will have leadership responsibility for coordinating university-wide institutional research and effectiveness activities.

Background: In fall 1998, Dr. James Nichols, a nationally recognized institutional effectiveness consultant, conducted an extensive evaluation of the University's institutional research and effectiveness (IR/IE) program, and as a result, recommended the centralization of the IR/IE offices distributed across the University. His recommendation was validated by a recommendation in the recently completed SACS Self-Study, which stated, "The Study recommends that these efforts be coordinated in a single institution-wide office rather than divided between the Medical Center and Lexington Campus as is done currently. "

Furthermore, as the University considers the recommendations of the Top 20 Task Force and the Futures Task Force, the time is right to establish a central institutional research and effectiveness office that can work efficiently to meet university-wide needs for the evaluation of progress and productivity.

The centralization of institutional research and effectiveness efforts will benefit the planning, budgeting and evaluation process of the University of Kentucky, and help the institution achieve its goals.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 5

Office of the President
April 30, 2002

Members, Board of Trustees:

ESTABLISHMENT OF THE POSITION OF VICE PRESIDENT FOR ACADEMIC OUTREACH AND PUBLIC SERVICE

Recommendation: that a new administrative position, Vice President for Academic Outreach and Public Service, be established effective July 1, 2002.

Background: With this administrative change, the University of Kentucky will pursue a set of higher purposes whereby our faculty, staff, and students extend their knowledge and deploy their talents in service to society. The Vice President for Academic Outreach and Public Service will be responsible for developing and directing service learning and volunteer opportunities for students; coordinating a variety of faculty outreach and service programs on behalf of communities throughout Kentucky and the region; promoting the University's efforts to develop and evaluate potential solutions to some of the state's most persistent problems in the areas of education, health, community vitality, and economic development; and securing additional funding for outreach and service projects at the University. The position will report directly to the President of the University.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 1

Office of the President
April 30, 2002

Members, Board of Trustees:

CANDIDATES FOR DEGREES
UNIVERSITY SYSTEM

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the University Senate.

Background: Since the Commencement exercises are held immediately following completion of examinations, it is not possible for the University Registrar to certify satisfactory completion of requirements prior to Commencement exercises for those candidates who complete their requirements in May. The candidates who completed their requirements in August 2001 and December 2001 were approved by the Board of Trustees at earlier meetings.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

OFFICE OF UNDERGRADUATE ADMISSIONS AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES – MAY 2002

<u>Graduate Degrees:</u>		<u>Undergraduates (cont'd)</u>		<u>Undergraduates (cont'd)</u>	
Ph.D.	169	B.S.AGR.	12		
D.M.A.	5	B.S.A.S.	33	Fine Arts	
Ed.D.	14	B.S.F.S.	2	B.A.	47
Ed.S.	14	B.S.FOR.	12	B.F.A.	17
M.A.	67	B.S.L.A.	17	B.M.	17
M.A.EDU	41	B.S.NRC.	17	B.M.E.D.U.	21
M.A.IDM	1	B.S.P.S.S.	20		
M.A.MAT	2	TOTAL	185	TOTAL	102
M.B.A.	36			Human Environmental Sciences	
M.E.	2	Allied Health		B.A.ID.	21
M.F.A.	1	B.H.S.	104	B.S.DIE.	16
M.H.A.	11			B.S.FAM.	28
M.H.P.	5	TOTAL	104	B.S.F.C.S.E.	7
M.M.	13			B.S.H.M.	17
M.P.A.	15	Architecture		B.S.HN	2
M.P.H.	19	B.ARCH.	57	B.S.MAT.	17
M.R.C.	2				
M.S.	123	TOTAL	57	TOTAL	108
M.S.ACC.	9			Nursing	
M.S.B.E.	8	Arts and Sciences		B.S.N.	43
M.S.CHE.E.	1	B.A.	413		
M.S.CIV.E.	12	B.S.	159	TOTAL	43
M.S.C.D.	17				
M.S.EDU.	67	TOTAL	572	Social Work	
M.S.E.E.	5			B.A.S.W.	47
M.S.FAM.S.	4	Business and Economics			
M.S.FOR.	1	B.B.A.	386	TOTAL	47
M.S.IDM	3	B.S.A.	88		
M.S.L.S.	30	B.S.B.E.	22		
M.S.MAEN.	1			<u>Lexington Community College:</u>	
M.S.MA.SE.	4	TOTAL	496	A.A.	136
M.S.ME.	15			A.S.	82
M.S.N.S.	2	Comm. & Information Studies		A.A.S.	247
M.S.NUR.	29	B.A.	134		
M.S.P.H.	6	B.S.	83	TOTAL	465
M.S.P.T.	1				
M.S.R.M.P.	2	TOTAL	217		
M.S.V.EDV.	10			Graduate Degrees	849
M.S.W.	82	Education		Professional Degrees	329
		B.A.EDU.	158	Undergraduate Degrees	2314
		B.S.EDU.	6	Associate Degrees	465
TOTAL	849				
		TOTAL	164	TOTAL	3957
<u>Professional Degrees:</u>					
DMD	49	Engineering			
J.D.	105	B.S.AGR.E.	8		
Pharm. D.	87	B.S.CHE.E.	22		
M.D.	88	B.S.CIV.E.	50		
		B.S.C.S.	47		
TOTAL	329	B.S.E.E.	28		
		B.S.ME.	6		
<u>Undergraduates:</u>		B.S.MECH.E.	53		
Agriculture		B.S.MINE.	5		
B.S.A.B.	22				
B.S.A.E.	40	TOTAL	219		
B.S.A.E.C.L.	10				

AACR 2

Office of the President
April 30, 2002

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve the change in the name of the College of Allied Health Professions to the College of Health Sciences.

Background: In the spring of 2001, a proposal to begin a discussion regarding a name change for the College of Allied Health Professions was submitted to the College of Allied Health Professions Faculty Council. The name change is appropriate and timely given that the words “allied” and “professions” no longer adequately describe the mission of the college. This change in name will broaden the scope of the college to include an increased focus on the scientific base, as well as the traditional “professions” part of the disciplines.

The proposal has been approved by the faculty in the College; recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and approved by the University Senate. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 3

Office of the President
April 30, 2002

Members, Board of Trustees:

ESTABLISHMENT OF BACCALAUREATE DEGREE PROGRAM IN
THE COLLEGE OF ARTS AND SCIENCES

Recommendation: that the Board of Trustees approve the establishment of a B.S. degree and B.A. degree in Mathematical Economics in the College of Arts and Sciences.

Background: The multidisciplinary program in Mathematical Economics will prepare students for a career in the highly technical business environment or for further study in graduate school.

There is substantial demand for people with technical and quantitative skills in the service sector of the economy. This program will train people to fill that demand much as engineering programs train students to fill the demand in manufacturing and construction sectors of the economy. This program will be evaluated by tracking placement success of graduates both in business and post-graduate education.

The primary issue and trend motivating the initiation of this program is the rapid development of the need for sophisticated modeling techniques in business. This is a result of the increased complexity of the business and economic environment that has evolved with broader markets. The management of business in the environment requires mathematical, statistical, and economic methods that are capable of handling complex systems with precision.

This will be a joint program between the Departments of Mathematics and Economics.

This program has the approval of the Undergraduate Council and Senate Council, and has completed the forty-five day review by the Council on Postsecondary Education. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 4

Office of the President
April 30, 2002

Members, Board of Trustees:

MERGER OF FOREIGN LANGUAGE DEPARTMENTS

Recommendation: that the Board of Trustees approve the merger of the Departments of German Studies, French, Russian and Eastern Studies, and Classics into a single Department of Modern and Classical Languages, Literatures, and Cultures.

Background: In recent years, the Council on Postsecondary Education has closely scrutinized the UK foreign language programs, along with all other foreign language units in the Commonwealth whose degree programs have been placed in CPE Category 2 (“the program should be continued but altered in some form”). The CPE measures “degree productivity” as the five-year average of degrees produced, with established thresholds of twelve degrees per year at the BA/BS level, seven per year at the MA/MS level, and five per year at the Ph.D. level. None of the degree programs administered by the four departments to be merged have come close to meeting the established thresholds.

The original productivity measure did not adequately take into account the full range of faculty teaching services. The University and CPE are working on an alternative productivity measure that will be calculated on the basis of student credit-hour production rather than on number of degrees, and will be calculated at the department rather than the program level.

The proposed merger ensures the budgetary viability of the units, facilitates the training of foreign language instructors, facilitates the more widespread adoption of “best practices” in the classroom, frees up more faculty time for research and teaching, simplifies the lines of communication, preserves the integrity of well-established degree programs and ensures the future vitality of well-established degree programs.

The proposal has been approved by the faculty in the College; recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and approved by the University Senate. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 5

Office of the President
April 30, 2002

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve the change in the name of the Department of Spanish and Italian to the Department of Hispanic Studies.

Background: The proposed title better reflects the extensive scholarly and pedagogical work the faculty members undertake in areas of the Spanish-speaking world beyond the Iberian Peninsula. This new department title also reflects trends in scholarship over the last decade, as traditional literary studies have tended toward a broader approach generally described under the rubric of “cultural studies.”

The proposal has been approved by the faculty in the College; recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and approved by the University Senate. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 6

Office of the President
April 30, 2002

Members, Board of Trustees:

ESTABLISHMENT OF A NEW DEPARTMENT

Recommendation: that the Board of Trustees approve the establishment of a Department of Community and Leadership Development in the College of Agriculture.

Background: The Department of Community and Leadership Development will bring together rural social scientists within the College of Agriculture with a primary focus on interdisciplinary activities in community, organizational and leadership development. By reconfiguring existing college faculty and staff resources into a new Department of Community and Leadership Development, the faculty associated with this new department will be able to address the strategic goals of the College of Agriculture, the University of Kentucky, and the Commonwealth more effectively. This restructuring will position the University to be at the forefront of research, instruction and outreach in community and leadership development.

This proposal has been approved by the faculty in the College; recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and approved by the University Senate. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 1

Office of the President
April 30, 2002

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR NINE MONTHS ENDED
MARCH 31, 2002

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the nine months ended March 31, 2002.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of March 31, 2002, the University had realized income of \$1,000,340,000 representing 77% of the 2001-02 estimate of \$1,291,038,000. Expenditures and commitments total \$920,825,000 or 71% of the approved budget of \$1,291,038,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____



*Consolidated Financial
Statements*

For the nine months ended March 31, 2002

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
MARCH 31, 2002
with comparative totals for March 31, 2001
(in thousands)

	2001-02					2000-01	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 140,563	\$ 8,927	\$ 5,039	\$ 44	\$ 219,226	\$ 373,799	\$ 335,419
Notes, loans, and A/R (less bad debt allowances of \$36,371)	112,004	25,798	22,476	1,916	3,885	166,079	202,537
Investments	32,746	130,611		447,534	47,504	658,395	624,188
Property, plant, and equipment					1,541,989	1,541,989	1,413,651
Inventories and other	14,104	40				14,144	14,072
Total Assets	\$ 299,417	\$ 165,376	\$ 27,515	\$ 449,494	\$ 1,812,604	\$ 2,754,406	\$ 2,589,867
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 25,975	\$ 4,075	\$ 69		\$ 3,113	\$ 33,232	\$ 31,984
Employee withholdings and deposits		22,223				22,223	20,282
Other liabilities	5,487	3,128		\$ 5,909	2,653	17,177	17,927
Liability for self insurance	54,058					54,058	54,928
Deferred income	16,546	18,746				35,292	34,495
Bonds payable					227,301	227,301	242,916
Capitalized lease obligation					45,773	45,773	43,438
Total Liabilities	102,066	48,172	69	5,909	278,840	435,056	445,970
Interfund Balances	(57,936)	60,984		13,157	(16,205)		
Net deferred revenues and appropriated fund balances	91,316	(2,759)				88,557	94,573
Fund Balances							
Current unrestricted							
Working capital	156,051					156,051	167,211
Future operating purposes	6,674					6,674	3,457
Other	1,246					1,246	538
Current restricted		58,979				58,979	50,272
Loan			27,446			27,446	26,541
True endowments				357,520		357,520	317,497
Term endowments				5,073		5,073	4,891
Quasi endowments				67,835		67,835	73,959
Plant							
Retirement of indebtedness					29,998	29,998	34,787
Renewal and replacement					78,219	78,219	47,559
Allocated for designated projects					201,603	201,603	231,352
Net investment in plant					1,240,149	1,240,149	1,091,260
Total Fund Balances	163,971	58,979	27,446	430,428	1,549,969	2,230,793	2,049,324
Total Liabilities and Fund Balances	\$ 299,417	\$ 165,376	\$ 27,515	\$ 449,494	\$ 1,812,604	\$ 2,754,406	\$ 2,589,867

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2002

with comparative totals for March 31, 2001

(in thousands)

		2001-02				2000-01	
		Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund							
(1)	State appropriations	\$ 322,211	\$ 322,211	\$ 239,228	74	\$ 230,649	75
(2)	Student fees	129,369	130,406	126,519	97	114,253	94
(3)	County appropriations	8,271	8,925	6,434	72	5,997	73
(4)	Endowment income	835	835	615	74	614	70
(5)	Investment income	11,245	11,245	7,848	70	11,362	95
(6)	Non governmental grants and contracts	80,721	80,772	51,417	64	51,735	63
(7)	Grants, donations, pledges						
	Affiliated corporations	15,668	15,683	16,335	104	9,878	69
	Other	7,363	7,913	5,361	68	5,694	76
(8)	Sales and services	28,703	29,097	25,060	86	23,075	82
(9)	Fund balance	20,561	20,115	20,116	100	21,292	100
(10)	Total General Fund	624,947	627,202	498,932	80	474,550	79
(11)	Auxiliary Enterprises	41,123	41,134	38,244	93	33,984	88
Restricted Funds							
(12)	Federal appropriations	16,235	16,115	11,977	74	11,539	69
(13)	Other	82,369	83,053	53,768	65	46,759	64
(14)	Affiliated Corporations	207,717	207,710	160,279	77	134,648	78
(15)	Hospital						
	Revenues	315,824	315,824	237,140	75	246,822	84
(16)	Fund balance						
Total Revenues and							
(17)	Appropriated Fund Balances	<u>\$ 1,288,215</u>	<u>\$ 1,291,038</u>	<u>\$ 1,000,340</u>	77	<u>\$ 948,302</u>	79

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2002

with comparative totals for March 31, 2001
(in thousands)

	2001-02				2000-01	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 266,054	\$ 261,834	\$ 180,390	69	\$ 179,322	71
(2) Research	187,395	185,008	130,594	71	113,139	71
(3) Public service	163,173	164,190	116,691	71	113,357	74
(4) Academic support	76,897	79,733	59,005	74	49,847	67
(5) Student services	19,543	24,150	15,030	62	14,527	72
(6) Institutional support	51,306	52,548	32,704	62	33,857	65
(7) Student financial aid	56,324	55,906	56,614	101	47,320	93
(8) Operation and maintenance	47,835	48,570	32,858	68	31,273	68
(9) Mandatory transfers (debt service)	21,190	21,190	21,190	100	18,390	93
(10) Hospital	316,860	316,260	205,052	65	196,648	67
(11) Auxiliary enterprises	81,638	81,649	70,699	87	64,853	82
(12) Total Expenditure by Program	<u>\$ 1,288,215</u>	<u>\$ 1,291,038</u>	<u>\$ 920,825</u>	71	<u>\$ 862,533</u>	72

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2002

with comparative totals for March 31, 2001
(in thousands)

	2001-02				2000-01	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 722,838	\$ 712,644	\$ 521,087	73	\$ 492,490	74
(14) Operating expenses	490,304	502,246	337,567	67	308,383	67
(15) Mandatory transfers (debt service)	32,857	32,857	25,729	78	20,179	66
(16) Capital outlay	42,216	43,291	36,442	84	41,481	110
(17) Total Expenditure by Category	<u>\$ 1,288,215</u>	<u>\$ 1,291,038</u>	<u>\$ 920,825</u>	71	<u>\$ 862,533</u>	72

**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2002**

with comparative totals for March 31, 2001

(in thousands)

	<u>2001-02</u>	<u>2000-01</u>
(1) Realized Revenues	\$ 980,224	\$ 927,011
Appropriated Fund Balances		
(2) Current Unrestricted Fund	\$ 20,116	21,292
(3) University Hospital	<u> </u>	<u> </u>
(4) Total Appropriated Fund Balances	<u>20,116</u>	<u>21,292</u>
(5) Total Revenues and Appropriated Fund Balances	1,000,340	948,303
(6) Expenditures/Encumbrances	\$ 920,825	862,533
(7) Less: Reserve for Encumbrances	<u>(9,043)</u>	<u>(8,803)</u>
(8) Total Expenditures	<u>911,782</u>	<u>853,730</u>
(9) Net Deferred Revenues and Appropriated Fund Balances	<u>\$ 88,557</u>	<u>\$ 94,573</u>

FCR 2

Office of the President
April 30, 2002

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending March 31, 2002, be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

NOTE: This FCR contains additional documentation. To obtain a complete copy, please contact
Pam Lowery
Capital Project Management
225 Peterson Service Building
UK Campus 0005
859-257-5911

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 3

Office of the President
April 30, 2002

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

A new lease between the University of Kentucky (Farm Analysis) and Christian County Agricultural Extension Foundation, Inc., 2850 Pembroke Road, Hopkinsville, Kentucky, for space located at 2850 Pembroke Road, Hopkinsville, Kentucky, effective April 17, 2002 through June 30, 2004, for the use of 2,070 square feet of office space, at an annual rate of \$22,770.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Cooperative Extension Service) and Molly Ershig, 200 South Green Street, Henderson, Kentucky, for space located at 409 South Green Street, Henderson, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 1,050 square feet of office space, at an annual rate of \$9,975.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Center for Business Development) and Lexington Public Library, 140 East Main Street, Lexington, Kentucky, for space located at 140 East Main Street, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 4,170 square feet of office space, at an annual rate of \$16,467.73, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Cooperative Extension Service) and Farm Credit Services, PO Box 699, Shelbyville, Kentucky, for space located on Highway 53, South, Shelbyville, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 360 square feet of office space, at an annual rate of \$2,340.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Cooperative Extension Service) and The Commonwealth Group, %George Harman, 206 Harman Drive, Hodgenville, Kentucky, for space located at 615 North Mulberry Street, Elizabethtown, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 556 square feet of office space, at an annual rate of \$4,800.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Cancer Program) and Saindon & Saindon Family Dentistry, 501 College Street, Somerset, Kentucky, for space located at 501 College Street, Somerset, Kentucky, effective July, 2002 through June 30, 2003, for the use of

903 square feet of office space, at an annual rate of \$6,000.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Behavioral Science) and Joseph Hodskins, %J. M. Crawford & Assoc., 131 Prosperous Place, Unit 18-A, Lexington, Kentucky, for space located at 1401 Nicholasville Road, Lexington, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 2,400 square feet of office space, at an annual rate of \$23,226.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (College of Architecture) and Lexington-Fayette Urban County Government, 200 East Main Street, Lexington, Kentucky, for space located at 216 East Main Street, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 2,850 square feet for a design studio, at an annual rate of \$15,000.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Injury Prevention) and City of Ferguson, %Donald Gibson, PO Box 24, Ferguson, Kentucky, for space located at 2620 South Highway 27, Ferguson, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 200 square feet of office space, at an annual rate of \$2,400.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Transportation) and Imperial-Waller, LLC, 343 Waller Avenue, Lexington, Kentucky, for space located at 343 Waller Avenue, Suite 300, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 2,438 square feet of office space, at an annual rate of \$27,427.50, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Homeplace) and Kentucky Appalachian Foundation, 1401 Winchester Street, Ashland, Kentucky, for space located at 1401 Winchester Street, Ashland, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 2,028 square feet of office space, at an annual rate of \$12,000.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Small Business Development) and Betty Krausman, 349 Easter Circle, Radcliff, Kentucky, for space located at 1105 Juliana Court, Elizabethtown, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 1,000 square feet of office space, at an annual rate of \$10,000.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Center for Prevention Research) and Margetis Investments, 2460 North High Street, Columbus, Ohio, for space located at 2465 North High Street, Columbus, Ohio, effective July 1, 2002 through June 30, 2003, for the use of 472 square feet of office space, at an annual rate of \$3,480.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Homeplace) and Morris & Esther Thompson, 111 House Avenue, Manchester, Kentucky, for space located at 100 Railroad

Avenue, Manchester, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 1,850 square feet of office space, at an annual rate of \$13,320.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Center for Drug & Alcohol Research) and Ronald M. Thomas, 452 Drakesborough, Bowling Green, Kentucky, for space located at 622 State Street, Bowling Green, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 1,100 square feet of office space, at an annual rate of \$7,200.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (College of Agriculture) and Kevil Farm Estate, 671 Cadiz Street, Princeton, Kentucky, for space located on Hopkinsville Street, Princeton, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of sixty (60) acres of land, at an annual rate of \$12,000.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Homeplace) and Phillip Lucas, 1371 Highway 52, West, Beattyville, Kentucky, for space located at 139 East Main Street, Beattyville, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of office space, at an annual rate of \$3,600.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Mechanical Engineering) and Kentucky Technology, Inc., PO Box 24138, Lexington, Kentucky, for space located in the ASTeCC Building, University of Kentucky Campus, Lexington, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 131 square feet of laboratory space, at an annual rate of \$2,089.92, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Cancer Program) and Hospice of Hope, Inc., 909 Kenton Station, Maysville, Kentucky, for space located at 909 Kenton Street, Maysville, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 528 square feet of office space, at an annual rate of \$6,864.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Center for Drug & Alcohol Research) and Montgomery County Fiscal Court, 44 West Main Street, Mt. Sterling, Kentucky, for space located at 44 West Main Street, Mt. Sterling, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 892 square feet of office space, at an annual rate of \$8,251.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Center for Drug & Alcohol Research) and Baxter Bledsoe, 408 North Main Street, London, Kentucky, for space located at 333 North Main Street, London, Kentucky, effective July 1, 2002 through June 20, 2004, for the use of 643 square feet of office space, at an annual rate of \$9,600.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Interdisciplinary Human Development Institute) and Henry Cravens & Rudy Bicknell, %Cravens Properties, 651 Perimeter Drive, Lexington, Kentucky, for space located at 333 Waller Avenue, Lexington, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 2,019 square feet of office space, at an annual rate of \$23,218.50, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Homeplace) and Harlan County Library, 107 North Third Street, Harlan, Kentucky, for space located at 313 East Central Avenue, Harlan, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 2,300 square feet of office space, at an annual rate of \$6,000.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Medical Center Development) and Imperial-Waller, LLC, 343 Waller Avenue, Lexington, Kentucky, for space located at 343 Waller Avenue, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 2,144 square feet of office space, at an annual rate of \$24,120.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Agronomy Department) and Wilbert Perkins, 256 Perkins Lane, Frankfort, Kentucky, for space located on Route 1, Frankfort, Kentucky, effective May 1, 2002 through September 30, 2002, for the use of 4.3 acres of land for crop experimentation, at an annual rate of \$4,500.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Center for Applied Energy Research) and David & Ann Myers, 940 Town Branch Road, Jeffersonville, Kentucky, for space located on Hope Creek Road, Jeffersonville, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of two acres of land for water run-off studies, at an annual rate of \$1,000.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Real Property Division) and Associates Warehouse, GFV, 251 Price Road, Lexington, Kentucky, for space located at 251 Price Road, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use 5,800 square feet of storage space, at an annual rate of \$13,118.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Agricultural Economics) and Lynn Habacker, 657 Lone Oak Road, Paducah, Kentucky, for space located at 657 Lone Oak Road, Suite 2, Paducah, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 1,140 square feet of office space, at an annual rate of \$9,690.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Geological Survey) and Henderson Mini Warehouse, 401 Hoffman Drive, Henderson, Kentucky, for space located at 401 Hoffman Drive, Henderson, Kentucky, effective July 1, 2002 through June 30, for the use of 300 square feet of storage space, at an annual rate of \$960.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Homeplace) and CDRV Realty, 126 Roberts Circle, Pikeville, Kentucky, for space located at 320 South Mayo Trail, Pikeville, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 900 square feet of office space, at an annual rate of \$7,200.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Cancer Program) and Paul W. Blair, 233 East Main Street, Morehead, Kentucky, for space located at 233 East Main Street,

Morehead, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 674 square feet of office space, at an annual rate of \$5,400.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (College of Architecture) and FBM Properties, 333 Guthrie Street, Louisville, Kentucky, for space located at 507 South Third Street, Louisville, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 2,150 square feet for a design studio, at an annual rate of \$17,200.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Geological Survey) and Donald Harrison, 1307 Judson Place, Henderson, Kentucky, for space located at 119 ½ North Main Street, Henderson, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 2,200 square feet of office space, at an annual rate of \$5,100.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 4

Office of the President
April 30, 2002

Members, Board of Trustees:

APPROVAL OF LEASES

Recommendation: that the Vice President for Fiscal Affairs be authorized to negotiate and execute the following:

A renewal lease between the University of Kentucky (Center on Aging) and Limestone Square, LLC, 129 Transcript Avenue, Lexington, Kentucky, for space located at 915 South Limestone, Lexington, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 2,407 square feet of office space, at an annual rate of \$31,280.50.

A renewal lease between the University of Kentucky (General Medicine/Continuous Quality Improvement) and Limestone Square, LLC, 129 Transcript Avenue, Lexington, Kentucky, for space located at 915-919 South Limestone, Lexington, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 5,476 square feet of office space, at an annual rate of \$72,574.00.

A renewal lease between the University of Kentucky (Communication Disorders) and South Broadway, LLC, %Isaac Commercial Properties, Inc., 870 Corporate Drive, Lexington, Kentucky, for space located at 1070 South Broadway, Lexington, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 5,062 square feet of office space, at an annual rate of \$59,984.70.

A renewal lease between the University of Kentucky (Patient Accounts) and CHM Property Partners, 3120 Wall Street, Lexington, Kentucky, for space located at 2200 Regency Road, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 9,375 square feet of office space, at an annual rate of \$118,125.00.

A renewal lease between the University of Kentucky (Information Management) and CHM Property Partners, 3120 Wall Street, Lexington, Kentucky, for space located at 2224 Regency Road, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 9,376 square feet of office space, at an annual rate of \$118,137.60.

A renewal lease between the University of Kentucky (Hospital Accounting/Managed Care) and CHM Property Partners, 3120 Wall Street, Lexington, Kentucky, for space located at 191 Lowry Lane, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 7,800 square feet of office space, at an annual rate of \$98,280.00.

A renewal lease between the University of Kentucky (Rehabilitation Medicine) and Kentucky Easter Seal Society, DBA: Cardinal Hill Hospital, 2050 Versailles Road, Lexington, Kentucky, for space located at 2050 Versailles Road, Lexington, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 4,000 square feet of clinic space, at an annual rate of \$60,000.00.

A renewal lease between the University of Kentucky (Cancer Information Services) and Southcreek Park Partnership, 175 East Main Street, Lexington, Kentucky, for space located at 2365 Harrodsburg Road, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 6,558 square feet of office space, at an annual rate of \$99,943.92.

A renewal lease between the University of Kentucky (College of Pharmacy) and Huguenard, LLC, 2355 Huguenard Drive, Lexington, Kentucky, for space located at 2351 Huguenard Drive, Lexington, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 4,100 square feet of office space, at an annual rate of \$61,000.00.

A renewal lease between the University of Kentucky (Lexington Community College) and LEX/101, LLC, 250 West Main Street, Lexington, Kentucky, for space located at 2659-2667 Regency Road, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 21,780 square feet of office/classroom space, at an annual rate of \$196,020.00.

A renewal lease between the University of Kentucky (Department of Anthropology) and Carl White, 4840 Waterside Drive, Lexington, Kentucky, for space located at 1020 Export Street, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 21,600 square feet of office/classroom space, at an annual rate of \$85,320.00.

A renewal lease between the University of Kentucky (University Press) and Capstone Distribution Center, %Langley Properties, 300 West Vine Street, Lexington, Kentucky, for space located at 2100-A Capstone Drive, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 15,000 square feet of office/warehouse space, at an annual rate of \$90,000.00.

A renewal lease between the University of Kentucky (Markey Cancer Center) and Southcreek Park Partnership, 175 East Main Street, Lexington, Kentucky, for space located at 2365 Harrodsburg Road, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 4,683 square feet of office space, at an annual rate of \$74,509.50.

A renewal lease between the University of Kentucky (Behavioral Science) and Norma W. Curless, %Harman Investment Properties, 3470 Blazer Parkway, Lexington, Kentucky, for space located at 465 East High Street, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 3,229 square feet of office space, at an annual rate of \$44,947.68.

A renewal lease between the University of Kentucky (School of Public Health) and Southcreek Park Partnership, 175 East Main Street, Lexington, Kentucky, for space located at 2365 Harrodsburg Road, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 2,447 square feet of office space, at an annual rate of \$40,376.00.

A renewal lease between the University of Kentucky (Emergency Medicine/Preventive Medicine) and Whitmer Properties, P.O. Box 22159, Lexington, Kentucky, for space located at 1141 Red Mile Road, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 16,094 square feet of office space, at an annual rate of \$130,039.52.

A renewal lease between the University of Kentucky (Drug & Alcohol Research/Institute on Women and Substance Abuse) and Red Mile Realty Partners, P. O. Box 22159, Lexington, Kentucky, for space located at 1151 Red Mile Road, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 4,698 square feet of office space, at an annual rate of \$37,959.84.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases in excess of \$30,000 be approved by the Board of Trustees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 5

Office of the President
April 30, 2002

Members, Board of Trustees:

MANDATORY REGISTRATION FEE SCHEDULE – 2002-03

Recommendation: that the Board of Trustees approved the following Mandatory Registration Fee Schedule for 2002-03, including the changes outlined below. This schedule will remain in effect until changes are approved by the Board.

	Semester Full-Time Fee*	8-Week Summer Session Full-Time Fee**	Part-Time and 4-Week Interession Fee Per Credit Hour
Dentistry Students***	281.25		
Medicine Students****	276.25		
All Other Students	247.25	75.40	13.40

*For fee purposes, twelve credit hours or its equivalent constitutes a full load for undergraduate students, nine hours for graduate students, and ten hours for law students.

**For fee purposes, six credit hours or its equivalent constitutes a full load for undergraduate students and five hours for graduate and law students.

***Student Health coverage is provided year-round, spreading the additional costs over the two semesters.

****Student Health coverage is provided for the full academic year, spreading the additional costs over the two semesters.

Background: Changes in the Mandatory Registration Fee Schedule include the following: a \$15.00 increase per semester for full-time students for the student technology fee. The increase will be used to provide expanded computing and data services to students including an increase in the number of labs, student computing seats, and expansion of electronic storage and wireless access. In accordance with policy, the fee increase will be prorated for 8-week summer school students (\$9.00) and for part-time and four-week summer school students (\$1.50 per credit hour).

The mandatory fees are charged to all students enrolled on the Lexington Campus, in the Medical Center and at Lexington Community College in accordance with their status as full-time or part-time students. Students taking all their courses in off-campus locations outside of Fayette and its contiguous counties may have these fees waived. However, if a student eligible for this waiver wants to participate in activities supported by these fees, the student must pay the total mandatory fee.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 6

Office of the President
April 30, 2002

Members, Board of Trustees:

ROOM AND BOARD RATES 2002-2003 SCHOOL YEAR

Recommendation: that the room and board rates for University Housing and Dining Services be adjusted as follows to become effective the Fall semester 2002.

<u>Charges for Residence Halls</u>	<u>Present Rate 2001-2002</u>	<u>Proposed Rate 2002-2003</u>	<u>Dollar Increase</u>
Residence Halls-Housing & Dining (1) Greg Page Apts., Fraternities House	\$ 3,980	\$ 4,050	\$ 70
– Housing Only	\$ 2,691	\$ 2,745	\$ 54
Additional Housing Fee (2) Jewell Hall, Blanding I, Kirwan II	\$ 108	\$ 108	\$ --
Per-Diem Housing Rate (3)	\$ 10	\$ 10	\$ --

<u>Notes</u>	<u>01-02</u>	<u>02-03</u>	<u>Increase</u>
1) Housing Fee	\$ 2,600	\$ 2,652	\$ 52
Dining Card	\$ 1,380	\$ 1,398	\$ 18

- 2) Jewell Hall & Blanding I will remain open during all stated academic recesses of the University between August 17, 2002 and May 10, 2003 to accommodate students participating in the International Program, as well as students who require housing during recesses. Kirwan II will be operated as a “Wellness Hall,” with special equipment, programming and instruction.
- 3) The housing rate is for both semesters of the academic year. This per-diem rate is established for occupancy of halls that are not normally open during stated academic recesses (Thanksgiving, Christmas and Spring Break) of the University. Students must secure special permission to remain in housing during these periods.

I. Undergraduate Housing

A. Residence Halls

	Present Rate <u>01-02</u>	Proposed Rate <u>02-03</u>	<u>Increase</u>
Residence Halls-Per Academic Year	\$ 2,600	\$ 2,652	\$ 52

B. Greg Page Undergraduate and Fraternities House

	Present Rate <u>01-02</u>	Proposed Rate <u>02-03</u>	<u>Increase</u>
Housing Only per Academic Year	\$ 2,691	\$ 2,745	\$ 54

II. Apartment Housing (Rates to be effective July 1, 2002)

A. Greg Page Stadium View Family Apartments (Monthly Rates)

<u>Apartment Type</u>	Present Rate <u>01-02</u>	Proposed Rate <u>02-03</u>	<u>Increase</u>
Two Bedroom	\$ 635	\$ 635	\$ 0

B. Cooperstown (Monthly Rates – Non-Air Conditioned)

<u>Apartment Type</u>	Present Rate <u>01-02</u>	Proposed Rate <u>02-03</u>	<u>Increase</u>
Efficiency	\$ 381	\$ 381	\$ 0
One Bedroom	\$ 478	\$ 478	\$ 0

C. Cooperstown – Shawneetown (Monthly Rates – Air Conditioned)

<u>Apartment Type</u>	Present Rate <u>01-02</u>	Proposed Rate <u>02-03</u>	<u>Increase</u>
Efficiency	\$ 403	\$ 403	\$ 0
One Bedroom	\$ 500	\$ 500	\$ 0
Two Bedroom	\$ 545	\$ 545	\$ 0

II. Apartment Housing (continued)

D. Commonwealth Village (Monthly Rates)

<u>Apartment Type</u>	<u>Present Rate 01-02</u>	<u>Proposed Rate 02-03</u>	<u>Increase</u>
Efficiency	\$ 403	\$ 403	\$ 0
One Bedroom	\$ 500	\$ 500	\$ 0

E. Linden Walk/Rose Lane (Monthly rates)

<u>Apartment Type</u>	<u>Present Rate 01-02</u>	<u>Proposed Rate 02-03</u>	<u>Increase</u>
Efficiency	\$ 403	\$ 403	\$ 0

F. German House (Monthly rates)

<u>Apartment Type</u>	<u>Present Rate 01-02</u>	<u>Proposed Rate 02-03</u>	<u>Increase</u>
One Bedroom	\$ 440	\$ 440	\$ 0

III. Summer School Housing (Rates to be effective Summer 2003)

	<u>Present Rate 01-02</u>	<u>Proposed Rate 02-03</u>	<u>Increase</u>
<u>8-Week Session</u>			
Single Occupancy	\$ 854	\$ 880	\$ 26
Double Occupancy	\$ 692	\$ 712	\$ 20
<u>4-Week Session</u>			
Single Occupancy	\$ 427	\$ 440	\$ 13
Double Occupancy	\$ 346	\$ 354	\$ 8
<u>6-Week Session</u>			
Single Occupancy	\$ 641	\$ 660	\$ 19
Double Occupancy	\$ 519	\$ 534	\$ 15

IV. Daily Conference & Guest Rates (Rates to be effective Summer 2003)

<u>Daily Rate</u>	<u>Present Rate 01-02</u>	<u>Proposed Rate 02-03</u>	<u>Increase</u>
Single Room	\$ 37	\$ 37	\$ 0
Double Room	\$ 22	\$ 22	\$ 0
Pre-College Age with Linen	\$ 16	\$ 16	\$ 0
Pre-College Age without Linen	\$ 12	\$ 12	\$ 0

Background: The increases in housing rates are necessary to cover increased wage and operating expenses projected in the 2002-2003 school year.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 7

Office of the President
April 30, 2002

Members, Board of Trustees:

UK COLDSTREAM RESEARCH CAMPUS EXTENSION
OF MINI CAMPUS INTO LOTS 21 AND 22

Recommendation: that the Administration be authorized to extend the mini campus to lots 21 and 22 (14 acres).

Background: Currently the mini campus consists of lots 17 and 18 (13 acres). The mini campus is master planned for seven (7) buildings with a total of approximately 103,000 s.f. Presently, six (6) of the buildings are complete. Construction on the seventh (7th) building is expected to begin in the fall of 2002. Expansion into lots 21 and 22 is currently master planned for an additional nine (9) buildings with a total of approximately 144,000 s.f. Infrastructure will be the responsibility of the lessee.

There are fifteen (15) companies located in the mini campus with over 135 employees. The entire development at Coldstream features nineteen (19) companies with over 735 employees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 8

Office of the President
April 30, 2002

Members, Board of Trustees:

AUTHORIZATION TO SELL PROPERTIES
ON SOUTH UPPER AND LAWRENCE STREET

Recommendation: that the Board of Trustees authorize the Senior Vice President for Administration to sell properties known and designated as 537-555-573 South Upper Street and 622-626 Lawrence Street, Lexington, Kentucky, to WML Properties, LLC and Doonbeg, LLC for a total sale price of \$875,000.00; further, that the Senior Vice President for Administration be authorized to execute any and all documents required for such sale.

Background: This sale price is based upon appraisals valuing the properties on South Upper Street and Lawrence Street at a total of \$875,000.00. The properties on South Upper Street formally housed the University's Printing Services and the properties on Lawrence Street have been used for Real Property storage and maintenance.

Simultaneous with the sale of properties on South Upper Street and Lawrence Street to Doonbeg, LLC and WML Properties, LLC, the University will acquire property at 630-640 South Broadway from Central District, Inc. and EK Properties, Inc.

This property is surplus to the University's needs and would be more suitable for some other use consistent with the University's interests.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 9

Office of the President
April 30, 2002

Members, Board of Trustees:

AUTHORIZATION TO PURCHASE 630-640 SOUTH BROADWAY

Recommendation: that the Board of Trustees authorize the Senior Vice President for Administration to accept an assignment by WML Properties, LLC and Doonbeg, LLC, of their contract to purchase property at 630-640 South Broadway, Lexington, Kentucky, from Central District, Inc. and EK Properties, Inc. for the total purchase price of \$1,500,000.00; further, that the Senior Vice President for Administration be authorized to execute any and all documents required for such purchase.

Background: The assignment by WML Properties, LLC and Doonbeg, LLC to the University will permit the University to acquire the property at 630-640 South Broadway for the appraised value of \$1,500,000.00. The University proposes to use this property for office space.

Simultaneous with the purchase of properties at 630-640 South Broadway from Central District, Inc. and EK Properties, Inc., the University will sell properties on South Upper Street and Lawrence Street to Doonbeg, LLC and WML Properties, LLC.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 10

Office of the President
April 30, 2002

Members, Board of Trustees:

2001-02 Budget Revisions

Recommendation: that the following revisions to the 2001-02 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
State Appropriation			
Regular Appropriation	\$322,210,600	\$303,913,200	\$(18,297,400)
Student Fees			
General			
Regular-Lexington			
Community College	10,027,300	10,427,300	400,000
Self-Supporting			
Noncredit Fees	8,472,600	8,318,700	(153,900)
Other Fees	2,193,800	2,209,300	15,500
Gifts, Grants, Contracts			
The Fund for Advancement			
Of Education and Research in			
The Medical Center	6,543,200	13,273,200	6,730,000
Gifts Donations, Pledges	15,171,100	15,396,400	225,300
Sales and Services			
Departmental Sales			
and Services	29,146,200	26,274,400	(2,871,800)
Fund Balances	20,560,900	26,569,300	6,008,400
Hospital	315,824,000	333,361,000	17,537,000
			\$ 9,593,100
2. Expenditures			
Medical Center			
College of Dentistry			
Office of Administrative			
Affairs	\$ 1,367,300	\$ 1,382,800	\$ 15,500
College of Medicine			
Emergency Medicine	1,727,500	1,567,500	(160,000)
Internal Medicine	13,323,500	13,328,500	5,000
Off-Site Clinics	6,977,200	4,186,500	(2,790,700)
Office of Academic Affairs	803,500	808,500	5,000
Administrative and Support			
Chancellor/Other Support			
Services			
Performance Improvement	335,700	255,700	(80,000)
Academic Support			
Medical Center Library	3,081,100	3,076,100	(5,000)

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. GENERAL FUND (continued)			
2. Expenditures (continued)			
Provost			
College of Engineering			
Administration	\$ 1,818,700	\$ 1,844,000	\$ 25,300
Civil Engineering	2,378,300	2,478,300	100,000
Mechanical Engineering	2,345,400	2,445,400	100,000
Lexington Community College			
College-Wide Administration	2,337,700	2,286,400	(51,300)
General Instruction	11,252,800	11,652,800	400,000
University-Wide	22,900,700	17,393,000	(5,507,700)
Hospital	314,480,900	332,017,900	17,537,000
			<u>\$ 9,593,100</u>

3. Comments - The University of Kentucky state appropriation is being reduced in the current year as described below:

- a. A reduction in State Appropriation in the amount of \$6,008,400 (University System) is being handled in the current year by utilization of prior year balances. The amount of \$51,300 (Lexington Community College) is being addressed through a reduction in the college reserves for general instruction.
- b. The amount of \$2,507,700 is funding that was originally appropriated for facilities planned to come on line in 2001-02. Since the facilities are opening later than expected, the funds are not needed for that purpose during the current year.
- c. A reduction in State Appropriation in the amount of \$9,730,000 is being offset in part by an intergovernmental transfer (IGT). The State of Kentucky has obtained approval from the Federal government for additional funding for increased costs associated with providing medical services to Medicaid recipients in a teaching setting. The amount of \$6,730,000 increase in gifts, grants and contracts from the Medical Center Fund will provide the offset for the reduction in state funds. The remaining \$3,000,000 will require a reduction in University-wide reserves.

The increase in regular student fees is due to enrollment growth in Spring 2002 in Lexington Community College and will support general instruction. The decrease in noncredit fees reflects the closing of the Emergency Medical Service Center, and an unanticipated reduction in The Medical Center Expectations Training Program. The increase in other fees will support programs in the College of Dentistry.

The increase in gifts, grants, and contracts reflects the IGT offset for the reduction in state funds (\$6,730,000) and will also support the joint engineering programs between the University of Kentucky and Western Kentucky University.

Programs to be supported by increased departmental sales and services include new contract agreements in the College of Medicine. Decreases in departmental sales and services are due to the dissolution of the Health Associates of Kentucky; decreased demand for Medical Center Inter-Library loan services and the closing of the Emergency Medical Service Education Center.

The increase in the hospital will support hospital operations and facility renovations.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>RESTRICTED FUNDS</u>			
1. Income Estimates	\$ 99,167,900	\$100,258,400	\$ 1,090,500
2. Expenditures			
Senior Vice President - Administration			
Fiscal Affairs and Information			
Technology			
Human Resource Services	-0-	120,000	120,000
Medical Center			
College of Dentistry			
College-Wide	397,300	442,300	45,000
College of Pharmacy			
College-Wide	589,200	709,200	120,000
Provost			
Libraries	3,326,100	4,131,600	805,500
			\$ 1,090,500
3. <u>Comments</u> - Income restricted for the purposes indicated above will fund the proposed additional program activities.			
C. <u>AUXILIARY FUND</u>			
1. Income Estimate	\$ 41,133,600	\$ 41,161,900	\$ 28,300
2. Expenditures			
Medical Center			
College of Dentistry			
Dental Clinics	559,500	587,800	28,300
3. <u>Comments</u> - The increase in auxiliary enterprises will support programs in the College of Dentistry.			
D. <u>AFFILIATED CORPORATIONS</u>			
1. Income Estimates	\$207,709,900	\$213,069,500	\$ 5,359,600
2. Expenditures			
Athletics Association	40,515,000	42,386,000	1,871,000
Equine Research Foundation	16,700	3,505,300	3,488,600
3. <u>Comments</u> - The increase in the Athletics Association is due to additional balances from the Blue/White fund and more ticket sales and will support capital projects and general operations in the University of Kentucky Athletics Association. The increase in Equine Research Foundation is due to two quasi-endowments and a gift and will support the renovation of the Gluck Equine Building.			

Action taken:



Approved

☐ Disapproved

☐ Other

FCR 11

Office of the President
April 30, 2002

Members, Board of Trustees:

COLDSTREAM RESEARCH CAMPUS GROUND LEASE FIRST ALLIANCE CORPORATION

Recommendation: that the Administration be authorized to execute a ground lease between the University of Kentucky and approximately one acre on Lot 21 of the Coldstream Research Campus for the purpose of developing a 16,500 square foot commercial building to house First Alliance Corporation.

Background: First Alliance Corporation was organized on February 16, 1993 for the purpose of forming, owning and managing life insurance companies.

KEY TERMS OF GROUND LEASE

PARTIES

Lessor - University of Kentucky

Lessee – First Alliance Corporation

TERM OF GROUND LEASE

Not to exceed 75 years

SIZE OF PARCEL

Lot 21, approximately 1 acre; as shown on the Coldstream Research Campus Development Plan.

GROUND RENT

Until the last day of the calendar month in which commencement of construction of the improvements has occurred, the annual rent to be paid by the lessee shall be at the rate of \$300.00 per month.

After commencement of construction of the improvements has occurred, Lessee will pay Lessor monthly at the rate of \$1,500 per acre per year (annual lease payment \$18,000) (Value per acre \$200,000 times 1 = \$200,000 x 9%=\$18,000).

Rent increases or decreases by 25% of the Consumer Price Index every five years.

OPTION TO PREPAY RENT

At any time during the initial five-year period, Lessee will have the option of prepaying all of the annual rent due for the initial term and thereby extinguishing its obligation to pay annual rent by paying Lessor the sum of \$200,000 (approximately 1 acre times \$200,000/acre). Lessor shall be entitled to retain any monthly lease payments made to the date of prepayment.

DESIGN GUIDELINES

To ensure a quality project and compatibility with the University's long-term development concepts for the Coldstream Research Campus, the lease requires Lessee to comply with Architectural and Landscape Guidelines and be granted final approval by the Coldstream design review committee for its proposed infrastructure, building design and landscaping.

UNSUBORDINATED LEASE

The University's interest in the property or the Lease will not be subordinated.

INFRASTRUCTURE

The University of Kentucky will provide utilities to the site line; the lessee is responsible for road and parking infrastructure.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____