

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Friday, June 27, 1980

The Board of Trustees of the University of Kentucky met at 2 p.m. (Eastern Daylight Time) on Friday, June 27, 1980 in the Board Room on the 18th Floor of the Patterson Office Tower on the Lexington campus with the following members answering the call of the roll: Mr. William B. Sturgill, Chairman, Professor Michael Adelstein, Governor Albert Benjamin Chandler, Mrs. Betty Pace Clark, Mr. Albert G. Clay, Mr. Tracy Farmer, Mrs. Edythe J. Hayes, Mrs. Sally Hermansdorfer, Dr. David A. Hull, Mr. Thomas B. Kessinger, Mr. W. Terry McBrayer, Mr. Frank Ramsey, Mr. Homer W. Ramsey, Professor Constance Wilson, and Dr. John R. Woodyard. Absent from the meeting were: Mr. William R. Black, Mr. George W. Griffin, and Mr. W. B. Terry. The University administration was represented by President Otis A. Singletary; Vice Presidents Jack C. Blanton, Peter P. Bosomworth, Donald B. Clapp, Lewis W. Cochran, Raymond R. Hornback, John T. Smith, M. Stanley Wall, and Robert G. Zumwinkle; Mr. John C. Darsie, General Counsel; and Mr. Edward A. Carter, Budget Director.

A. Meeting Opened and Oath of Office Administered to Mrs. Hayes and Mr. Sturgill

Mr. Sturgill, Chairman, called the meeting to order at 2:12 p.m. Following the invocation pronounced by Professor Wilson, the Chairman requested Mr. John Darsie, General Counsel, to administer the oath of office to the following:

Mrs. Edythe J. Hayes, appointed by Governor John Y. Brown, Jr. to replace Mr. L. D. Gorman for a term expiring May 1, 1984.

Mr. William B. Sturgill, reappointed by Governor Brown for a term expiring May 1, 1984.

The Chairman noted that the two additional members appointed by Governor Brown, Mr. A. Steven Miles and Mr. W. T. Young, Sr., would take the oath of office at the September meeting of the Board of Trustees. Mr. Brad Sturgeon, the newly elected Student Government president, will also take the oath of office at the meeting in September.

The Secretary called the roll and, a quorum being present, the meeting was declared officially open for the conduct of business at 2:15 p.m.

B. Minutes Approved

The Minutes of the May 6, 1980 meeting of the Board of Trustees were approved without objection.

C. Nominating Committee to be Appointed

Mr. Sturgill indicated he would appoint, through correspondence, a nominating committee and request that they submit a slate of officers of the Board and members of the Executive Committee to be presented to the Board at the September meeting.

D. President's Report to the Trustees (PR 1)

After noting that copies of his monthly report to the Trustees had been distributed, President Singletary recommended that the report be received and filed. Without objection, it was so ordered.

E. Recommendations of the President (PR 2)

President Singletary recommended that PR 2, consisting of (1) the organization of the division structure of Southeast Community College, (2) the reappointment of Mr. Leroy Miles as a member of the FUND Board of Directors for an additional two-year term ending June 30, 1982, (3) the acceptance of the estate of Mrs. Marguerite Simpson, and (4) routine personnel appointments and/or staff changes, be approved. Without discussion, on motion by Mr. Clay, seconded by Mr. Homer Ramsey and passed, PR 2 was approved. (See PR 2 at the end of the Minutes.)

F. Supplemental Recommendations of the President (PR 3A)

In addition to the routine personnel matters contained in PR 3A, President Singletary called attention to the special exception to the Retirement Resolution which would correct a possible inequity with respect to retirement benefits for the named personnel, all in the College of Agriculture, growing out of mixed entitlements to University-TIAA/CREF - Civil Service retirement benefits. Mrs. Hermansdorfer moved that PR 3A be approved. Her motion was seconded by Governor Chandler and passed without dissent. (See PR 3A at the end of the Minutes.)

G. Updated and Editorially Revised Governing Regulations (PR 3B)

President Singletary recommended that the Board of Trustees approve the updated and editorially revised Governing Regulations which contain (1) all amendments approved by the Board through June 27, 1980, (2) editorially modified wording eliminating the use of pronouns such as he and him, and (3) a new and useful index. On motion by Mrs. Clark, seconded by Professor Wilson and passed, the proposed amendments to the Governing Regulations were adopted. (See PR 3B at the end of the Minutes.)

H. Appointment of Director of Tobacco and Health Research Institute (PR 3C)

President Singletary indicated his pleasure in recommending the appointment of Dr. Gary L. Huber as Director of the Tobacco and Health Research Institute, effective July 1, 1980. Noting a considerable search had been conducted, President Singletary said Dr. Huber was also a candidate for this position several years ago when another search was conducted. The University, however, was unable to recruit him at that time. Dr. Huber is currently the Director of the Smoking and Health Research Program at Harvard. He was unable to be present for the meeting today; however, he has met with the Tobacco Board and made a fine presentation. President Singletary feels the Board of Trustees will see a significant forward motion in the Institute under the leadership of Dr. Huber, and said he was delighted to add a scientist of considerable stature, such as Dr. Huber, to the University staff.

On motion by Mr. Clay, seconded by Mr. Kessinger and passed, Dr. Gary L. Huber was appointed Director of the Tobacco and Health Research Institute, effective July 1, 1980. (See PR 3C at the end of the Minutes.)

I. Appointment of Vice President for Academic Affairs (PR 3D)

Noting that PR 3D contained another very important staff appointment, President Singletary said it was his pleasure to recommend to the Board of Trustees the appointment of Dr. Art Gallaher as Vice President for Academic Affairs, effective July 1, 1981. Dr. Lewis Cochran has announced his retirement, effective June 30, 1981, and because of the nature and size, and complexity, of this position, President Singletary said he felt it desirable to have someone appointed now who could be put on special assignment in that office for a transitional year. Dr. Gallaher has been at the University of Kentucky since 1963 and has served as Dean of the College of Arts and Sciences since 1972. He also holds appointments as Professor in the Departments of Anthropology and Behavioral Science. He has a Ph.D. degree in Social Anthropology from the University of Arizona; has published many books and articles; and has remained active in teaching during his term as Dean. President Singletary then introduced Dr. Gallaher, and said he looked forward to having him as a member of the official family.

With President Singletary so recommending, on motion by Mr. Homer Ramsey, seconded by Professor Adelstein and passed unanimously, Dr. Art Gallaher was named Vice President for Academic Affairs, effective July 1, 1981. (See PR 3D at the end of the Minutes.)

J. Amendments to the Bylaws of the Council of Supervisors of the University Hospital and Appointment of Members to the Council of Supervisors (PR 3E)

Without discussion, on motion by Mrs. Hayes, seconded by Mr. McBrayer and passed, the amendments to the Bylaws of the Council of Supervisors of the University Hospital and appointment of members to the Council of Supervisors as recommended in PR 3E were approved. (See PR 3E at the end of the Minutes.)

K. Budget Revisions for 1979-80 (PR 4)

At President Singletary's suggestion, Mr. Clay moved that the revisions in the 1979-80 budget as recommended in PR 4 be authorized and approved. His motion was seconded by Mr. Kessinger and passed without dissent. (See PR 4 at the end of the Minutes.)

L. Proposed Amendments to the Governing Regulations (PR 5)

President Singletary recommended that the amendments to the Governing Regulations which had been received and tabled at the May meeting of the Board be removed from the table and approved. On motion by Mr. Frank Ramsey, seconded by Dr. Woodyard and passed, the proposed amendments to the Governing Regulations were approved. (See PR 5 at the end of the Minutes.)

M. Associate Degree Programs in the Community College System (PR 6)

Noting that Board of Trustees approval is required prior to submission to the Council on Higher Education, President Singletary recommended that the Board approve for submission to the Council an associate degree program in Accounting Technology for the Madisonville Community College and an associate degree program in Data Processing Technology for the Elizabethtown Community College.

On motion by Mr. Frank Ramsey, seconded by Mr. Farmer and carried, the associate degree programs in the Community College System as recommended in PR 6 were approved for transmission to the Council on Higher Education. (See PR 6 at the end of the Minutes.)

N. Change in Name of Department of Oral Surgery to Department of Oral and Maxillofacial Surgery (PR 7)

President Singletary recommended that the name of the Department of Oral Surgery, College of Dentistry, be changed to the Department of Oral and Maxillofacial Surgery. This change is being made in order to reflect more accurately the scope of education content and the nature of services provided. Mr. Homer Ramsey moved approval of the recommendation. His motion was seconded by Mrs. Hermansdorfer and passed without dissent. (See PR 7 at the end of the Minutes.)

O. Restoration to Regular Faculty Status (PR 8)

President Singletary recommended restoration to regular faculty status of the five faculty members listed in PR 8 who were previously retired by Board action on April 3, 1979. These five faculty members were approved for retirement prior to the change in retirement from age 65 to age 70. They have expressed a desire to continue employment after June 30, 1980. On motion by Mr. Clay, seconded by Governor Chandler and passed, PR 8 was approved. (See PR 8 at the end of the Minutes.)

P. Approval of Agreement Between University of Kentucky and Kentucky Medical Services Foundation, Inc. (PR 9)

President Singletary recommended approval of the agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc., which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978. A copy of the agreement has been attached and the terms are essentially the same as those in the agreement approved by the Board on June 28, 1979 for the period July 1, 1979 through June 30, 1980. Dr. Hull moved approval. His motion was seconded by Professor Adelstein and passed without dissent. (See PR 9 at the end of the Minutes.)

Q. Capital Construction Projects (PR 10)

President Singletary recommended that the Board of Trustees approve for submission to the Council on Higher Education five capital construction projects: (1) Mining Laboratory renovation; (2) Medical Center chiller; (3) installation of chill water lines; (4) Fine Arts roof replacement, and (5) Elizabethtown Student Center roof repairs. Funding for the Mining Laboratory and Medical Center chiller will come from available fund balances. Funding for the chilled water lines will come from appropriated renovation funds. The Fine Arts and Elizabethtown roof repairs are already funded and authority is being requested to transmit them to the Council on Higher Education. Mr. Homer Ramsey moved approval of the recommendation. His motion was seconded by Mrs. Clark and passed without dissent. (See PR 10 at the end of the Minutes.)

R. Operating Budget for 1980-81 (PR 11)

At the suggestion of Mr. Sturgill, President Singletary said he would present the Operating Budget for 1980-81 as the last item on the agenda.

S. Proposed Amendments of the Governing Regulations (PR 12)

President Singletary indicated that the proposed amendments to the Governing Regulations as recommended in PR 12 had the approval of the President of Student Government and the Student Government Senate. The proposed amendments would change the name of University of Kentucky Student Government to University of Kentucky Student Association. He then recommended the proposed amendments be received for consideration and put on the agenda for Board action at its next regular meeting. On motion by Professor Adelstein, seconded by Mr. Frank Ramsey and passed, it was so ordered. (See PR 12 at the end of the Minutes.)

T. Interim Financial Report (FCR 1)

Mr. Clay, Chairman of the Finance Committee, reported the committee met on the morning of June 27 to consider the items to be presented to the Board of Trustees at its meeting. The committee found the University to be "on target" and Mr. Clay moved that the Interim Financial Report for the ten months ending April 30, 1980 be accepted. The report reflects that the income figure is 92 percent of the annual income estimate and the expenditures are 78 percent of the expenditure authority approved by the Board. His motion was seconded by Mr. Kessinger and passed without dissent. (See FCR 1 at the end of the Minutes.)

U. Use of Fraternity Reserve (FCR 2)

Mr. Clay moved that the Vice President for Business Affairs and Treasurer be authorized and directed to request the Trustee of University of Kentucky Student Housing Bonds of 1964 to make available the sum of \$19,512.00 from the "Maintenance Reserve Account" of said Bonds for the purpose of paying the cost of installing fire protection equipment, making roof repairs and paving a parking lot for the Phi Kappa Tau Fraternity House, and for paving the parking lot and installing fire protection equipment for the Sigma Nu Fraternity House. His motion was seconded by Mr. Kessinger and passed without dissent. (See FCR 2 at the end of the Minutes.)

V. Use of Fraternity Reserve (FCR 3)

Noting that FCR 3 was a recommendation similar to FCR 2, Mr. Clay then moved that the Vice President for Business Affairs and Treasurer be authorized and directed to request the Trustee of University Housing Bonds of 1960 to make available the sum of \$14,670.00 from the "Maintenance Reserve Account" of said Bonds for the purpose of paying the cost of installing fire protection equipment for the Sigma Chi Fraternity and Alpha Gamma Rho Fraternity. Mr. Clay's motion was seconded by Mr. McBrayer and passed without dissent. (See FCR 3 at the end of the Minutes.)

W. Contracts with Administrative Officers

In conclusion, Mr. Clay said that the Finance Committee wished to add a recommendation not included on the agenda. He then moved that the Chairman of the Board be authorized to execute contracts with the administrative officers providing for the terms and conditions of their employment for 1980-81. On motion duly made, seconded, and carried, it was so ordered.

X. Operating Budget for 1980-81 (PR 11)

In opening his budget presentation, President Singletary called attention to the \$275,707,500 Operating Budget for 1980-81 as being the largest budget ever presented to a Board. This size is a reflection of both growth and inflation. State appropriations make up approximately 50 percent of the total income amount. The remainder comes from tuitions and fees, federal appropriations, gifts and grants, and income from endowments, investments, auxiliary services and the University Hospital. President Singletary indicated his pleasure in submitting a budget which addresses, in a realistic manner, the salary problem of this institution. He said the cost-of-living and merit dollars, plus some additional catch-up dollars in the appropriation, have helped to make it possible for the University to correct a deplorable situation that has been described to the Board from time to time. Out of an \$18.9 million increase in general fund budgeted expenditures for 1980-81, \$14 million will go toward increasing faculty and staff salaries and employee benefits.

Through a series of charts, President Singletary showed the history over the last several years of salaries for Lexington campus faculty, Community College faculty, non-academic employees and county agents relative to the respective benchmark salaries for each group. Information provided also indicated that based on University projections of salaries for those benchmarks, and based on the plan for UK salaries in this biennium, University salaries would be at or near the median of its benchmark institutions in the second year of the biennium. President Singletary also presented charts which itemized the major categories of budget increases in expenditures and one which itemized the basic categories of budget increases in income.

President Singletary expressed the gratitude of the University to the Council on Higher Education, the Department of Finance, and the Governor for their very positive response to the University's request.

President Singletary concluded his remarks by saying this is basically a budget where the new money has gone into salaries. He said there is virtually no new program development and very little in the way of expansion and improvement of existing programs.

There being no further comments, Mr. Sturgill called for a motion. Governor Chandler moved that the recommendation in PR 11 relative to adoption of the Operating Budget for 1980-81 be approved. His motion was seconded by Mr. Kessinger and the 1980-81 Operating Budget was adopted with all present voting "aye." (See PR 11 at the end of the Minutes.)

Y. Dr. John R. Woodyard and Professor Michael Adelstein Recognized

The Chairman recognized Dr. Woodyard and Professor Adelstein who were attending their last meeting as members of the Board of Trustees. Mr. Sturgill thanked Dr. Woodyard and Professor Adelstein for their support and fine and valuable service they have given to the Board and to him personally in his efforts as Chairman of the Board.

Dr. Woodyard thanked the members of the Board, and the press, for the very enjoyable and rewarding eight years he has served. He thanked the Board for their forbearance and expressed his regret that he had not had more time to devote to the Board.

Professor Adelstein made a statement about his six years on the Board which included his observations concerning the faculty and the state of the University. Included in his remarks were his perceptions on the high quality of the faculty and the standards that are used to ensure the continued quality of the faculty at the University. He then introduced Professor William Wagner, who will be replacing Professor Adelstein as faculty Trustee. Professor Adelstein concluded by thanking the members for their support and for the exhilarating and exciting experience of his six years on the Board of Trustees.

The Chairman again thanked both Dr. Woodyard and Professor Adelstein for their fine and valuable contributions to the Board and to the University.

Z. Meeting Adjourned

There being no further business to come before the meeting, on motion duly made, seconded and carried, the meeting adjourned at 3:10 p.m.

Respectfully submitted,

Betty Pace Clark, Secretary
Board of Trustees

(PR's 2, 3A, 3B, 3C, 3D, 3E, 4, 5, 6, 7, 8, 9, 10, 11, and 12; and FCR's 1, 2 and 3 which follow are official parts of the Minutes of the Meeting.)

Office of the President
June 27, 1980

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Organization of the Division Structure of Southeast Community College

Recommendation: that the basic organization of the educational units of the Southeast Community College System shall be: a) Division of Biological Sciences and Related Technologies; b) Division of Physical Sciences and Related Technologies; c) Division of English, Humanities, Social Sciences and Related Technologies.

Background: The Governing Regulations provide that the unit of the Community College System for Instruction and Community Service in related fields of learning is the Division. The basic organization of educational units of the Southeast Community College at the present are: a) Division of Natural Sciences and Related Technologies; b) Division of Social Sciences and Related Technologies.

Due to the growth of the college and the addition of new programs, the faculty believe that three Divisions will provide a better balance in the size of the units and should provide interrelationship between the general academic areas and the technological areas.

II. FUND Board Appointment

Recommendation: that approval be given to the reappointment of Mr. Leroy Miles as a member of the FUND Board of Directors for an additional two-year term beginning July 1, 1980 and ending June 30, 1982.

Background: The Board of Trustees must approve all appointments to the Board of Directors of The Fund for the Advancement of Education and Research in the University of Kentucky Medical Center. Mr. Miles has been an effective and valuable member of this Board and, in order to continue to receive the benefit of his advice and counsel, his reappointment is requested.

III. Acceptance of Estate of Mrs. Marguerite Simpson

Recommendation: that the University of Kentucky Board of Trustees accept the \$135,000 in receipt, and all further distributions from the estate of Mrs. Marguerite Simpson.

Background: The University is currently in receipt of \$135,000 and anticipates additional income amounting to approximately \$330,000 from the estate of Mrs. Marguerite Simpson. Mrs. Simpson has directed that these funds be held as an endowment and that income therefrom be used for the benefit of the Donovan Program. Under the policy adopted by the Board of Trustees on November 19, 1965, gifts in excess of \$100,000 are required to be accepted by the Board.

IV. Appointments and/or Other Staff Changes

Recommendations: (1) that approval be given to the appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

A. ACADEMIC APPOINTMENTS

DIVISION OF COLLEGES

College of Architecture

Hoppner, Peter J., Visiting Associate Professor, European Workshop,
5/5/80 through 7/15/80.

Ricci, Leonardo, Visiting Professor (temporary), European Workshop,
5/1/80 through 7/31/80.

College of Arts and Sciences

Bohning, James, Visiting Professor, Chemistry, 8/16/80 through
5/31/81.

Heard, Elizabeth A., Visiting Associate Professor (part-time),
Mathematics, 8/16/80 through 5/15/81.

College of Business and Economics

Hemming, Tom, Visiting Associate Professor, Business Administration,
8/16/80 through 5/15/81.

College of Communications

Schulte, Theodore E., Associate Professor in Advertising*, School
of Journalism, 8/16/80 through 5/15/81.

College of Engineering

Foree, Edward G., Adjunct Associate Professor, Civil Engineering,
8/16/80 through 5/15/81.

Graduate School

Harrison, Steadman D., Jr., Associate Professor, Center for Toxicology,
7/1/80 through 6/30/81.

*Special Title Series

MEDICAL CENTER

College of Dentistry

Ferretti, Gerald A., Assistant Professor*, Pediatric Dentistry, and Director, Hospital Dental Program, 7/1/80 through 6/30/81.
Wiley, Ronald L., Visiting Professor, Physiology and Biophysics, 6/1/80 through 8/15/80.

B. ADMINISTRATIVE APPOINTMENTS

DIVISION OF COLLEGES

College of Agriculture

Hays, Virgil W., Professor (with tenure), and Chairman, Animal Sciences, reappointed Chairman, Animal Sciences, 7/1/80 through 6/30/86.

College of Arts and Sciences

Bacdayan, Albert, Associate Professor (with tenure), Anthropology, named Chairman, Anthropology, 7/1/80 through 6/30/84.
Duncan, Philip, Professor (with tenure), and Chairman, French Language and Literature, reappointed Chairman, French Language and Literature, 7/1/80 through 6/30/84.
Reedy, Daniel, Professor (with tenure), and Chairman, Spanish and Italian Languages and Literatures, reappointed Chairman, Spanish and Italian Languages and Literatures, 7/1/80 through 6/30/84.
Sutton, Willis A., Professor (with tenure), and Chairman, Sociology, reappointed Chairman, Sociology, 7/1/80 through 6/30/84.
Thompson, Joe A., Associate Professor (with tenure), and Chairman, History, reappointed Chairman, History, 7/1/80 through 6/30/84.
Wagner, William F., Professor (with tenure), and Chairman, Chemistry, reappointed Chairman, 7/1/80 through 6/30/84.

College of Communications

Palmgreen, Philip, Associate Professor (with tenure), and Acting Chairman, Communication, named Chairman, Communication, 7/1/80 through 6/30/84.

College of Education

Bruce, Herbert, Jr., Professor* (with tenure), Vocational Education, named Chairman, Vocational Education, 7/1/80 through 6/30/83.
Garrett, Hubert Leon, Professor (with tenure), and Chairman, Health Physical Education, and Recreation, reappointed Chairman, Health Physical Education, and Recreation, 7/1/80 through 6/30/81.

College of Engineering

Drnevich, V. P., Professor (with tenure), Civil Engineering, named Chairman, 7/1/80 through 6/30/84.

*Special Title Series

College of Fine Arts

Buttram, Joe B., Professor of Music Education* (with tenure), and Director, School of Music, reappointed Director, School of Music, 7/1/80 through 6/30/84.

Graduate School

Leach, Wesley B., from Senior Professional Associate, University of Kentucky Research Foundation, to Associate Executive Director, University of Kentucky Research Foundation, effective 5/9/80.

MEDICAL CENTER

College of Medicine

Frazier, Donald T., Professor, Physiology and Biophysics, named Chairman, Physiology and Biophysics, 7/1/80 through 6/30/86.
Goetz, Bernard A., Jr., named Associate Dean for Planning, Medicine, effective 7/15/80.

University Hospital

Gelarden, Thomas E., named Associate Executive Director Ambulatory Care, effective 2/3/80

COMMUNITY COLLEGE SYSTEM

Elizabethtown Community College

Holbert, Linda Nell, Professor in the Community College System (with tenure), and Division Chairman, reappointed Division Chairman, 7/1/80 through 6/30/83.

Jefferson Community College

Miller, Margaret, Associate Professor in the Community College System, (with tenure), named Division Chairman, 7/1/80 through 6/30/83.

C. NON-ACADEMIC APPOINTMENTS

MEDICAL CENTER

College of Dentistry

Ferretti, Gerald A., Assistant Professor, Pediatric Dentistry, named Director, Hospital Dental Program, 7/1/80 through 6/30/81.

D. JOINT APPOINTMENTS

DIVISION OF COLLEGES

College of Arts and Sciences

DeWalt, Billie R., Associate Professor (with tenure), Anthropology, and Associate Professor, Department of Sociology, College of Agriculture, effective 7/1/80.

*Special Title Series

MEDICAL CENTER

College of Medicine

Berger, Philip K., Associate Professor (with tenure), Community Medicine, and Associate Professor, Department of Business Administration, College of Business and Economics, 7/1/80 through 6/30/81.
Carpenter, Richard W., Associate Clinical Professor (voluntary), Medicine, and Associate Clinical Professor (voluntary), Community Medicine, 12/1/79 through 6/30/82.
Markesbery, William, Professor (with tenure), Pathology, and Professor, Neurology, and Professor, Anatomy, effective 7/1/80

E. REAPPOINTMENTS

DIVISION OF COLLEGES

College of Architecture

Hoppner, Peter J., Visiting Associate Professor (temporary), 8/16/80 through 5/15/81.

College of Business and Economics

Crouse, Gilbert L., Visiting Associate Professor, Economics, 8/16/80 through 12/31/80.
Masten, John T., Visiting Professor, Business Administration, 7/1/80 through 6/30/81.

College of Home Economics

Garstka, John, Associate Professor, Human Environment: Design and Textiles, 7/1/80 through 6/30/81.

MEDICAL CENTER

College of Dentistry

Beckner, Archie W., Associate Clinical Professor (voluntary), Periodontics, 7/1/80 through 6/30/83.
Newsome, Oakie G., Associate Professor (part-time), Periodontics, 7/1/80 through 6/30/81.
Trinler, Daniel R., Associate Professor (part-time), Periodontics, 7/1/80 through 6/30/81.

College of Medicine

Belin, Robert, Clinical Professor (part-time), Surgery (Pediatric), 7/1/80 through 6/30/81.
Carpenter, Richard W., Associate Clinical Professor (voluntary), Medicine, 7/1/79 through 11/30/79.
Garden, John W., Associate Clinical Professor (part-time), Ophthalmology, 7/1/80 through 6/30/81.
Kielar, Richard M., Associate Clinical Professor (part-time), Ophthalmology, 7/1/80 through 6/30/81.
Leavell, Ullin W., Clinical Professor (part-time), Medicine, 7/1/80 through 6/30/81.

Mayer, Lloyd D., Clinical Professor (part-time), Medicine, 7/1/80 through 6/30/81.
Mersack, Ira P., Associate Clinical Professor (part-time), Medicine, 7/1/80 through 6/30/81.
Offutt, William N., Associate Clinical Professor (part-time), Ophthalmology, 7/1/80 through 6/30/81.
Sigda, Frederick John, Associate Clinical Professor (voluntary), Medicine, 7/1/79 through 6/30/82.
Sklar, Allen L., Associate Professor (Adjunct Series), Family Practice, 7/1/80 through 6/30/81.
Stambaugh, James L., Associate Clinical Professor (part-time), Ophthalmology, 7/1/80 through 6/30/81.
Wallace, Gary R., Associate Clinical Professor (part-time), Ophthalmology, 7/1/80 through 6/30/81.
Wood, William J., Associate Clinical Professor (part-time), Ophthalmology, 7/1/80 through 6/30/81.

College of Nursing

DeSantis, Lydia, Associate Clinical Professor (voluntary), 7/1/80 through 6/30/81.
Hudelson, Irene M., Associate Clinical Professor (voluntary), 7/1/80 through 6/30/81.

College of Pharmacy

Goldberg, Arthur H., Associate Adjunct Professor (voluntary), 7/1/80 through 6/30/83.
Levine, Seymour, Adjunct Professor (voluntary), 7/1/80 through 6/30/83.
Mlodozieniec, Arthur R., Associate Adjunct Professor (voluntary), 7/1/80 through 6/30/83.
Shirkey, Harry C., Adjunct Professor (voluntary), 7/1/80 through 6/30/83.
Tilbury, Roy, Adjunct Professor (voluntary), 7/1/80 through 6/30/83.

F. TENURE

MEDICAL CENTER

College of Medicine

James, Eric, from Associate Professor (without tenure), Biochemistry, to Associate Professor (with tenure), Biochemistry, effective 6/1/80.

G. PROMOTIONS

DIVISION OF COLLEGES

College of Agriculture

Blevins, Robert L., from Associate Professor (with tenure),
Agronomy, to Professor (with tenure), Agronomy, effective
7/1/80.

Hicks, Clair L., from Assistant Professor (without tenure), Animal
Sciences, to Associate Professor (with tenure), Animal Sciences,
effective 7/1/80.

College of Architecture

Roccanova, Anthony, from Assistant Professor (without tenure), to
Associate Professor (with tenure), effective 7/1/80.

College of Arts and Sciences

Baer, Michael A., from Associate Professor (with tenure), Political
Science, to Professor (with tenure), Political Science, and
Professor, James W. Martin Graduate Center for Public
Administration, Graduate School, effective 7/1/80.

Clark, Jimmy D., from Assistant Professor (without tenure), Biological
Sciences, to Associate Professor (with tenure), Biological
Sciences, effective 7/1/80.

Harris, Lawrence A., from Associate Professor (with tenure),
Mathematics, to Professor (with tenure), Mathematics,
effective 7/1/80.

Lacy, William B., from Assistant Professor (without tenure),
Sociology, to Associate Professor (with tenure), Sociology,
and Associate Professor, Department of Sociology, College of
Agriculture, effective 7/1/80.

Lindquist, Anders, from Associate Professor (with tenure), Mathematics,
to Professor (with tenure), effective 7/1/80.

Rowland, Daniel Bruce, from Assistant Professor (without tenure),
History, to Associate Professor (with tenure), effective 7/1/80.

College of Business and Economics

Vandaniker, Relmond P., from Associate Professor (with tenure),
Accounting, to Professor (with tenure), Accounting, effective
7/1/80.

Wallace Marc J., Jr., from Associate Professor (with tenure), Business
Administration, to Professor (with tenure), Business Administration,
effective 7/1/80.

College of Education

Nance, James E., from Assistant Professor in the Applied Physical Educa-
tion* (with tenure), Health, Physical Education and Recreation, to
Associate Professor in the Applied Physical Education* (with tenure),
Health, Physical Education and Recreation, effective 7/1/80.

College of Law

Weinberg, Harold R., from Associate Professor (with tenure), to
Professor (with tenure), effective 7/1/80.

*Special Title Series

Libraries

Cooper, William, Jr., from Librarian III (without tenure), Special Collections, to Librarian II (with tenure), Special Collections, effective 7/1/80.
Harders, Faith L., from Librarian III (without tenure), Director's Office to Librarian II, (with tenure), Director's Office, effective 7/1/80.
Kennedy, Barbara Gail, from Librarian III (without tenure), Acquisitions, to Librarian II (with tenure), Acquisitions, effective 7/1/80.

University Extension

Davis, Charles L., from Assistant Professor of Political Science (without tenure), U.K. Center at Fort Knox, to Associate Professor of Political Science (with tenure), U.K. Center at Fort Knox, effective 7/1/80.
Greasley, Philip A., from Assistant Professor (without tenure), U.K. Center at Fort Knox, to Associate Professor in English, (with tenure), U.K. Center at Fort Knox, effective 7/1/80.

MEDICAL CENTER

College of Allied Health Professions

Clark, Daniel A., from Assistant Professor* (without tenure), Allied Health Education and Research, to Associate Professor* (with tenure), Allied Health Education and Research, effective 7/1/80.
Seabolt, John, from Assistant Professor* (without tenure), Medical Technology, to Associate Professor* (with tenure), Medical Technology, effective 7/1/80.

College of Dentistry

Kraal, Jan, from Associate Professor (with tenure), Periodontics, to Professor, (with tenure), Periodontics, effective 7/1/80.
Tuncay, Orhan, from Assistant Professor (without tenure), Orthodontics, to Associate Professor (with tenure), Orthodontics, effective 6/1/80.

College of Medicine

Debrand, Maria, from Assistant Professor* (without tenure), Anesthesiology, to Associate Professor* (with tenure), Anesthesiology, effective 6/1/80.
Fletcher, James W., from Associate Professor (with tenure), Pharmacology, to Professor (with tenure), Pharmacology, effective 7/1/80.
Lambson, Roger O., from Associate Professor* (with tenure), Anatomy, to Professor* (with tenure), Anatomy, effective 7/1/80.

*Special Title Series

Medical Center Library

Baldini, Bernadette, from Librarian III (without tenure), to Librarian II (with tenure), effective 7/1/80.
Pray, Edna R., from Librarian II (with tenure), to Librarian I (with tenure), effective 7/1/80.

College of Nursing

Gaunders, Beatrice, from Assistant Professor* (without tenure), to Associate Professor* (with tenure), effective 7/1/80.

College of Pharmacy

Hurley, Laurence, from Associate Professor (with tenure), to Professor (with tenure), effective 7/1/80.

COMMUNITY COLLEGE SYSTEM

Ashland Community College

Colebank, Kenneth, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/80.
Frailie, Donald L., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/80.
Sowards, John B., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/80.

Elizabethtown Community College

Lee, Harry G., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with Tenure), effective 7/1/80.
Parroff, Velma LaDrewe, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/80.
Utey, Mary M., from Associate Professor in the Community College System (with tenure) to Professor in the Community College System (with tenure), effective 7/1/80.

Hazard Community College

Cornett, Mildred E., from Librarian III in the Community College System (without tenure), to Librarian II in the Community College System (with tenure), effective 7/1/80.
Crowe, Richard C., Jr., from Assistant Professor in the Community College System (without tenure) and Division Chairman, to Associate Professor in the Community College System (with tenure), and Division Chairman, effective 7/1/80.

*Special Title Series

Henderson Community College

Gibson, Kenneth R., from Assistant Professor in the Community College System (without tenure) and Assistant Director for Academic Affairs to Associate Professor in the Community College System (with tenure), and Associate Director for Academic Affairs, effective 7/1/80.

Hopkinsville Community College

Marshall, Fred III, from Assistant Professor in the Community College System (without tenure), and Division Chairman, to Associate Professor in the Community College System (with tenure), and Division Chairman, effective 7/1/80.

Jefferson Community College

Dye, Sarah, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System, (with tenure), effective 7/1/80.

Moore, Joyce W., from Librarian II in the Community College System (with tenure), to Librarian I in the Community College Ssystem (with tenure), effective 7/1/80.

Parke, Mary E., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/80.

Rayburn, Gloria, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/80.

Wilkerson, Floyd T., from Assistant Professor in the Community College System (without tenure), and Division Chairman, to Associate Professor in the Community College System (with tenure), and Division Chairman, effective 7/1/80.

Young, Eliza M., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/80.

Madisonville Community College

Sinha, Jabil R., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/80.

Watkins, Clyde E., Jr., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/80.

Maysville Community College

Berry, Robert K., from Associate Professor in the Community College System (with tenure), and Division Chairman, to Professor in the Community College System (with tenure), and Division Chairman, effective 7/1/80.

Thornton, James S., from Assistant Professor in the Community College System (without tenure), and Chairman, Division of the Arts, Humanities and Related Technologies, to Associate Professor in the Community College System (with tenure), and Chairman, Division of the Arts, Humanities and Related Technologies, effective 7/1/80.

Paducah Community College

Adams, Neil D., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/80.

Boyarski, Jennore, from Librarian II in the Community College System (with tenure), to Librarian I in the Community College System (with tenure), effective 7/1/80.

Dowdy, Larry, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/80.

Maley, Donald, from Assistant Professor in the Community College System (without tenure), and Division Chairman, to Associate Professor in the Community College System (with tenure), and Division Chairman, effective 7/1/80.

McClure, Donald A., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/80.

Prestonsburg Community College

Allen, Robert R., from Associate Professor in the Community College System (with tenure), and Assistant Director for Academic Affairs, to Professor in the Community College System (with tenure), and Associate Director for Academic Affairs, effective 7/1/80.

Fuller, Hugh Kenneth, from Assistant Professor in the Community College System (without tenure) to Associate Professor in the Community College System (with tenure), effective 7/1/80.

Salmons, Mazola L., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/80.

Somerset Community College

Clark, Billy C., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/80.

Miller, James P., from Librarian II in the Community College System (without tenure), to Librarian I in the Community College System (with tenure), effective 7/1/80.

Orwin, Donald F., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/80.

Southeast Community College

Carr, Myrtle Anne, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/80.

Sellars, Charles W., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/80.

H. CHANGE

MEDICAL CENTER

College of Dentistry

Graves, Richard, from Associate Professor (without tenure), Community Dentistry, to Associate Research Professor, (without tenure), Community Dentistry, 7/1/80 through 6/30/81.

College of Medicine

Blues, Ann G., from Acting Executive Director, Ephraim McDowell Community Cancer Network, Inc., to Acting Deputy Director, Ephraim McDowell Community Cancer Network, Inc., effective 6/1/80.

College of Dentistry

Starnback, Howard K., from Associate Professor * (part-time), Orthodontics, to Associate Clinical Professor (voluntary), Orthodontics, 7/1/80 through 6/30/83.

College of Pharmacy

DeLuca, Patrick P., from Professor (with tenure), and Assistant Dean, to Professor (with tenure), and Associate Dean, effective 7/1/80.

Lesshafft, Charles T., Jr., from Professor* (with tenure), and Assistant Dean for Instruction, to Professor* (with tenure), and Associate Dean for Professional Instruction, effective 7/1/80.

I. RETIREMENTS

DIVISION OF COLLEGES

College of Education

Lamar, Carl F., Professor, Vocational Education, after 26 consecutive years of service, effective 6/30/80.

SUPPORT UNITS

Vice President for Business Affairs

Hill, Thelma P., Disability Account - Employee Benefits and Risk Management, after 16.6 consecutive years of service, effective 7/31/80.

*Special Title Series

Mitchell, William C., Utility Worker, Physical Plant Division,
after 19.6 consecutive years of service, effective 8/1/80.
Turner, Frank F., Custodial Worker III, Auxiliary Services,
Undergraduate Housing, after 16 consecutive years of service,
effective 6/30/80.

J. EARLY RETIREMENTS

DIVISION OF COLLEGES

Graduate School

Wilson, Edward N., Senior Geologist, Kentucky Geological Survey,
after 20.7 consecutive years of service, effective 6/30/80.

MEDICAL CENTER

College of Medicine

McCool, Dorothy M., Administrative Assistant, Pathology, after
16 consecutive years of service, effective 7/8/80.

COMMUNITY COLLEGE SYSTEM

Elizabethtown Community College

Beeler, Linda H., Office Assistant III, after 15 consecutive years
of service, effective 8/9/80.

SUPPORT UNITS

Vice President for Business Affairs

Long, J. H., Shop Helper, Paint Shop, Physical Plant Division,
after 34.5 consecutive years of service, effective 9/1/80.
Marcum, Clarence A., Assistant Director, Physical Plant Division,
after 17 consecutive years of service, effective 6/30/80.

K. POST-RETIREMENT APPOINTMENTS

DIVISION OF COLLEGES

College of Education

Burnett, Collins, Professor (with tenure), Higher Education,
7/1/80 through 6/30/81.

College of Engineering

Stewart, Orville W., Professor (with tenure), Mechanical Engineering,
7/1/80 through 6/30/81.

ADMINISTRATIVE ACTION

L. ACADEMIC APPOINTMENTS

DIVISION OF COLLEGES

College of Agriculture

Southerland, Robert J., Assistant Professor, Horticulture and
Landscape Architecture, 6/1/80 through 6/30/81.

College of Architecture

Slutzky, Robert, Visiting Instructor (part-time), 6/1/80 through
7/31/80.

Wujcik, Leonard F., Assistant Professor, 8/16/80 through 5/15/81.

College of Arts and Sciences

Athanasopoulos, Ioannis, Assistant Professor, Mathematics, 8/16/80
through 5/15/82.

Bolick, Teresa L., Assistant Professor, Psychology, 8/16/80 through
5/15/81.

Cohen, Marcus S., Assistant Professor, Mathematics, 8/16/80 through
5/15/82.

Cooke, Robert Allan, Visiting Assistant Professor (temporary),
Philosophy, 8/16/80 through 5/15/81.

Frank, Daniel H., Visiting Assistant Professor, Philosophy, 8/16/80
through 5/15/81.

Hanson, Barbara A., Assistant Professor, Biological Sciences,
8/16/80 through 5/15/81.

Jelen, Ted G., Visiting Assistant Professor, Political Science,
8/16/80 through 5/15/81.

Klein, Vivian S., Visiting Assistant Professor, Mathematics, 8/16/80
through 5/15/81.

Lee, Carl W., Assistant Professor, Mathematics, 8/16/80 through
5/15/83.

Lorch, Robert F., Jr., Assistant Professor, Psychology, 8/16/80 through
5/15/81.

Lutz, James M., Visiting Assistant Professor, Political Science,
8/16/80 through 5/15/81.

Makowski, Armand, Visiting Instructor, Mathematics, 8/16/80 through
5/15/81.

Miller, George J., Visiting Assistant Professor, Philosophy,
8/16/80 through 5/15/81.

Miniowitz, Ruth, Visiting Assistant Professor, Mathematics,
8/16/80 through 5/15/81.

Parekh, Hemaxini, Visiting Assistant Professor (temporary), Chemistry,
8/16/80 through 5/15/81.

Prescott, Laurence E., Assistant Professor, Spanish and Italian
Languages and Literatures, 8/16/80 through 5/15/82.

Rymph, David B., Adjunct Assistant Professor (part-time), Anthropology,
8/16/80 through 5/15/82.

Selegue, John P., Assistant Professor, Chemistry, 8/16/80 through
5/15/82.

Sprechini, Gene D., Visiting Assistant Professor, Statistics, 8/16/80
through 5/15/81.

College of Business and Economics

Bretschneider, Stuart, Assistant Professor, Business Administration, and Assistant Professor, James W. Martin Center for Public Administration, Graduate School, 8/16/80 through 5/15/81.
Freeman, James W., Assistant Professor, Business Administration, 8/16/80 through 5/15/81.
Hensel, Paul J., Instructor, Business Administration, 1/1/81 through 5/15/81.
Holman, Larry A., Instructor, Business Administration, 8/16/80 through 5/15/81.
Schmutte, James, Instructor, Accounting, 8/16/80 through 5/15/81.
Silver, John Lew, Assistant Professor, Economics, 8/16/80 through 5/15/81.
Sypher, Beverly D., Instructor (half-time), Business Administration, 8/16/80 through 5/15/81.

College of Communications

Long, John F., Visiting Instructor, Communication, 8/16/80 through 5/15/81.
Sypher, Howard E., Assistant Professor, Communication, 8/16/80 through 5/15/81.

College of Education

Anglin, Gary, Assistant Professor, Curriculum and Instruction, 8/16/80 through 5/15/81.
Nelli, Elizabeth, Assistant Professor and Assistant Dean, Curriculum and Instruction, 7/1/80 through 6/30/82.
Wolery, Mark R., Assistant Professor, Special Education, 8/16/80 through 5/15/81.

College of Fine Arts

Blair, Rhonda, Instructor, Theatre Arts, 8/16/80 through 5/15/81.
Gray, Harold Ross, Jr., Instructor of Applied Music*, School of Music, 8/16/80 through 5/15/81.
Monsen, Ronald Peter, Assistant Professor of Music (Applied)*, School of Music, 8/16/80 through 5/15/81.

College of Law

Thomas, Reginald, Assistant Professor, 8/16/80 through 5/15/81.
Underwood, Richard H., Assistant Professor, 8/16/80 through 5/15/81.

Libraries

Courtney, John Michael, Visiting Librarian IV, Special Collections, 5/1/80 through 4/30/81.

Undergraduate Studies

Jones, Mary S., Instructor, Honors Program and Instructor, Department of Art, College of Fine Arts, 8/16/80 through 5/15/81.

*Special Title Series

MEDICAL CENTER

College of Allied Health Professions

Ackerman, Steven, Clinical Instructor (voluntary), Allied Health Education and Research, 2/1/80 through 6/30/81.
Barlow, Ron, Clinical Instructor (voluntary), Allied Health Education and Research, 2/1/80 through 6/30/81.
Brown, William, Clinical Instructor (voluntary), Physical Therapy, 3/14/80 through 6/30/81.
Chambers, Chevalier, Visiting Lecturer, Allied Health Education and Research, 5/12/80 through 6/6/80.
Dineen, John, Clinical Instructor (voluntary), Allied Health Education and Research, 2/15/80 through 6/30/81.
Ferguson, Susan K., Instructor, Clinical Nutrition, 5/1/80 through 6/30/81.
Gaffield, Susan, Clinical Instructor (voluntary), Allied Health Education and Research, 2/1/80 through 6/30/81.
Graul, William, Clinical Instructor (voluntary), Allied Health Education and Research, 2/1/80 through 6/30/81.
Hall, Mary A., Clinical Instructor (voluntary), Allied Health Education and Research, 2/15/80 through 6/30/81.
Harris, David, Clinical Instructor (voluntary), Allied Health Education and Research, 2/1/80 through 6/30/81.
Howard, Charles, Clinical Instructor (voluntary), Allied Health Education and Research, 2/15/80 through 6/30/81.
Koff, Ronald, Clinical Instructor (voluntary), Allied Health Education and Research, 2/1/80 through 6/30/81.
Kursave, Nancy, Visiting Instructor, Allied Health Education and Research, 5/12/80 through 6/6/80.
Larsen, Ferris, Clinical Instructor (voluntary), Allied Health Education and Research, 2/15/80 through 6/30/81.
Maddiwar, Gangadhar, Clinical Instructor (voluntary), Allied Health Education and Research, 2/1/80 through 6/30/81.
Miller, Jon William, Clinical Instructor (voluntary), Allied Health Education and Research, 2/1/80 through 6/30/81.
Nelson, G. Dudley, Clinical Instructor (voluntary), Allied Health Education and Research, 2/15/80 through 6/30/81.
Roe, Joseph, Clinical Instructor (voluntary), Allied Health Education and Research, 2/15/80 through 6/30/81.
Sprenger, Cynthia, Clinical Instructor (voluntary), Physical Therapy, 2/29/80 through 6/30/81.
Tapp, John, Clinical Instructor (voluntary), Allied Health Education and Research, 2/15/80 through 6/30/81.
Wier, Kenneth, Clinical Instructor (voluntary), Allied Health Education and Research, 2/15/80 through 6/30/81.
Wolverton, Kemp, Clinical Instructor (voluntary), Allied Health Education and Research, 2/15/80 through 6/30/81.

College of Dentistry

Berry, David W., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Blevins, Thomas J., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Breeding, Larry C., Assistant Professor, Oral Diagnosis and Oral Medicine, 7/1/80 through 6/30/81.

Button, Scott D., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Caskey, Paula P., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Chisholm, Fleming C., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Clark, Brent G., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Clyde, James S., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Dean, Melvin W., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Gabbard, Elmer T., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Gellin, Robert G., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Hardison, James D., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Jasper, Samuel J., Jr., Assistant Professor, Periodontics, 7/1/80 through 6/30/81.
Kimmel, Nedra, Assistant Professor, Restorative Dentistry, 7/1/80 through 6/30/81.
King, B. E., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Mayer, Lee S., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Miller, Joseph B., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Mirrieless, Robert A., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Parker, William T., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Roseberry, Joseph D., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Thompson, John A., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Turner, Dan K., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.
Williams, Charles H., Jr., Clinical Instructor (voluntary), Community Dentistry, 6/1/80 through 6/30/83.

College of Medicine

Bean, James R., Assistant Professor, Surgery (neurosurgery), 7/1/80 through 6/30/81.
Blackburn, William E., Assistant Clinical Professor (voluntary), Family Practice, 6/1/80 through 6/30/82.
Blum, Richard A., Assistant Professor*, Pediatrics, 7/1/80 through 6/30/81.
Caldrony, Ralph D., Assistant Professor*, Medicine, 7/1/80 through 6/30/81.
Capparell, Robert, Instructor (temporary), Medicine, 7/1/80 through 6/30/81.
Coyer, Bruce H., Assistant Clinical Professor (voluntary), Medicine, 5/1/80 through 6/30/82.
Fitzgerald, Karen, Assistant Clinical Professor (voluntary), Diagnostic Radiology, 7/1/80 through 6/30/82.

Flanigan, Robert C., Assistant Professor, Surgery (urology), 6/1/80 through 6/30/81.
Foley, William S., Assistant Clinical Professor (voluntary), Family Practice, 6/1/80 through 6/30/82.
Gold, David, Assistant Professor, Pathology, 7/1/80 through 6/30/81.
Guiler, J. Michael, Clinical Instructor (voluntary), Obstetrics and Gynecology, 7/1/80 through 6/30/82.
Kenady, Daniel C., Assistant Professor, Surgery, 7/1/80 through 6/30/81.
Koch, Michael H., Clinical Instructor (part-time), Medicine (endocrinology), 7/1/80 through 6/30/81.
Lastimosa, Augusto Cezar Baxa, Assistant Professor, Neurology, 6/1/80 through 6/30/81.
Leigh, Margaret W., Assistant Professor*, Pediatrics, 7/15/80 through 6/30/81.
Loh, Fang-Kum, Assistant Professor, Diagnostic Radiology, 6/9/80 through 6/30/81.
Nelson, Milton O., Jr., Assistant Professor*, Medicine, 7/1/80 through 6/30/81.
Pavlik, Edward J., Assistant Research Professor, Obstetrics and Gynecology, 7/1/80 through 6/30/81.
Piascik, Michael T., Assistant Professor, Pharmacology, 7/1/80 through 6/30/81.
Rush, Michael E., Assistant Professor, Anatomy, 6/1/80 through 6/30/81.
Scheff, Stephen W., Assistant Professor, Anatomy, 7/1/80 through 6/30/81.
Serwint, Michael S., Assistant Professor*, Medicine, 7/1/80 through 6/30/81.
Stelling, Carol Boyer, Assistant Professor, Diagnostic Radiology, 6/15/80 through 6/30/81.
Stelling, Michael W., Assistant Professor, Pediatrics, 6/16/80 through 6/30/81.
Stevens, Richard K., Assistant Professor*, Diagnostic Radiology, 6/9/80 through 6/30/81.
Sweeney, Garnett J., Assistant Clinical Professor (voluntary), Family Practice, 6/1/80 through 6/30/82.
Walker, Richard F., Assistant Professor, Anatomy, 7/1/80 through 6/30/81.

College of Pharmacy

Nerstad, Darrel O., Assistant Clinical Professor, 4/1/80 through 6/30/81.

COMMUNITY COLLEGE SYSTEM

Lexington Technical Institute

Alexander, Otmer, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Beam, Carolyn, Instructor in the Community College System, 8/1/80 through 6/30/81.

*Special Title Series

Davis, Kathleen C., Instructor in the Community College System,
8/1/80 through 6/30/81.
Maggard, Robert, Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.
Perry, Kenneth L., Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.
Robertson, Marshall, Instructor in the Community College System,
7/1/80 through 6/30/81.
Walker, Robert A., Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.
Waterman, Marilyn, Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.

Jefferson Community College

Wilkey, Billy G., Instructor in the Community College System,
8/1/80 through 6/30/81.

M. ADMINISTRATIVE APPOINTMENTS

DIVISION OF COLLEGES

College of Agriculture

Coughenour, C. Milton, Associate Professor (with tenure), Sociology,
and Associate Chairman, Sociology, reappointed Associate
Chairman, Sociology, 7/1/80 through 6/30/84.

College of Arts and Sciences

Birge, Wesley J., Professor (with tenure), School of Biological
Sciences, named Acting Director, Thomas Hunt Morgan School
of Biological Sciences, 7/1/80 through 6/30/81.

College of Business and Economics

Madden, John L., Associate Professor (with tenure), Economics, and Acting
Director, Center for Labor Education, reappointed Acting Director,
Center for Labor Education, 7/1/80 through 6/30/81.

College of Communications

Drennon, Herbert N., Associate Professor (with tenure), Department
of Political Science, College of Arts and Sciences, and
Acting Dean, College of Communications, reappointed Acting
Dean, College of Communications, 7/1/80 through 6/30/81.

College of Education

Nelli, Elizabeth, Assistant Professor, Curriculum and Instruction,
named Assistant Dean, Education, 7/1/80 through 6/30/82.

College of Engineering

Back, Lyle N., Assistant Professor (with tenure) and Assistant
Dean for Instruction, named Associate Dean for Undergraduate
Affairs and Admissions, effective 7/1/80.

Graduate School

Ehmann, William D., Professor (with tenure), Chemistry, named Associate Dean for Research, Graduate School, effective 7/1/80 (half-time).

MEDICAL CENTER

College of Dentistry

Gellin, Milton E., Professor (with tenure), Pediatric Dentistry, named Acting Chairman, Pediatric Dentistry, 5/1/80 through 12/31/80.

N. JOINT APPOINTMENTS

DIVISION OF COLLEGES

College of Arts and Sciences

Berry, William D., Assistant Professor, Political Science, joint appointment as Assistant Professor, Graduate School, Martin Graduate Center for Public Administration, 7/1/80 through 6/30/81.

Somers, Margaret L., Visiting Assistant Professor in English Communications*, English, joint reappointment as Visiting Assistant Professor in English Communications*, College of Engineering, Administration, 7/1/80 through 6/30/81.

MEDICAL CENTER

College of Medicine

DeKosky, Steven T., Assistant Professor, Neurology, joint appointment as Assistant Professor, Anatomy, 7/1/80 through 6/30/81.

Harris, Bill H., Assistant Clinical Professor (voluntary), Medicine, joint appointment as Assistant Clinical Professor (voluntary), Family Practice, 6/1/80 through 6/30/82.

Hurlocker, James K., Assistant Clinical Professor (voluntary), Obstetrics and Gynecology, joint appointment as Assistant Clinical Professor (voluntary), Community Medicine, 12/1/79 through 6/30/82.

Jasper, Patrick L., Clinical Instructor (voluntary), Pediatrics, joint appointment as Clinical Instructor (voluntary), Community Medicine, 12/1/79 through 6/30/82.

Perrine, John D., Assistant Clinical Professor (voluntary), Medicine, joint appointment as Assistant Clinical Professor (voluntary), Family Practice, 5/1/80 through 6/30/82.

Roberts, Lon Edward, Assistant Clinical Professor (voluntary), Family Practice, joint appointment as Assistant Clinical Professor (voluntary), Community Medicine, 12/1/79 through 6/30/82.

Underwood, William L., Assistant Clinical Professor (voluntary), Pediatrics, joint appointment as Assistant Clinical Professor (voluntary), Family Practice, 5/1/80 through 6/30/82.

Wakefield, Donald L., Assistant Clinical Professor (voluntary), Medicine, joint appointment as Assistant Clinical Professor (voluntary), Family Practice, 6/1/80 through 6/30/82.

O. NON-ACADEMIC APPOINTMENTS

DIVISION OF COLLEGES

College of Business and Economics

Visconti, Edward B., Management Specialist II (International Trade Consultant), Office for Research, effective 5/16/80.

College of Engineering

Bowman, Donald A., Bio-Medical Engineering Lab Supervisor, Wenner-Gren Research Laboratory, effective 5/1/80.

Graduate School

Supplee, Jack, Jr., Director of Research Administration Division, University of Kentucky Research Foundation, effective 5/9/80.

P. REAPPOINTMENTS

DIVISION OF COLLEGES

College of Agriculture

Bailey, Ernest F., Jr., Assistant Professor, Veterinary Science, 7/1/80 through 6/30/81.

Brown, Grayson C., Assistant Professor, Entomology, 7/1/80 through 6/30/81.

Flashman, Robert H., Assistant Extension Professor*, Home Economics Extension, 7/1/80 through 6/30/81.

Forester, Janice Darlene, Assistant Extension Professor*, Home Economics Extension, 7/1/80 through 6/30/81.

Potter, Daniel A., Assistant Professor, Entomology, 7/1/80 through 6/30/81.

Townsend, Lee H., Jr., Assistant Extension Professor*, Entomology, 7/1/80 through 6/30/81.

College of Architecture

Deamer, Margaret, Assistant Professor, 7/1/80 through 6/30/83.

Vuyosevich, Robert Dell, Assistant Professor, 7/1/80 through 6/30/83.

Wilson, Thomas C., Visiting Assistant Professor (temporary), 8/1/80 through 12/31/81.

College of Arts and Sciences

Anderson, Earlo, Visiting Instructor (part-time), Mathematics, 7/1/80 through 6/30/81.

Birkner, Michael J., Visiting Instructor, History, 7/1/80 through 6/30/81.

Bryant, Carol Anne, Adjunct Assistant Professor, Anthropology, 8/16/80 through 5/15/82.

Burkhardt, Robert J., Visiting Assistant Professor, Philosophy, 8/16/80 through 5/15/81.

Clay, Brenda Johnson, Adjunct Assistant Professor, Anthropology, 7/1/80 through 6/30/82.

*Special Title Series

Cromley, Robert G., Assistant Professor, Geography, 7/1/80 through 6/30/81.
Davis, Richard G., Adjunct Assistant Professor, Psychology, 7/1/80 through 6/30/81.
Dey, Dipak K., Visiting Assistant Professor, Statistics, 8/16/80 through 5/31/81.
Dillehay, Tom D., Assistant Professor (temporary), Anthropology, 8/16/80 through 5/31/81.
Friberg, Justin C., Assistant Professor, Geography, 7/1/80 through 6/30/81.
Green, William C., Visiting Assistant Professor (part-time), (temporary), Political Science, 8/16/80 through 5/31/81.
Levitin, Annany, Visiting Assistant Professor (temporary), Mathematics, 8/16/80 through 6/30/81.
Subbaswamy, K. R., Assistant Professor, Physics and Astronomy, 7/1/80 through 6/30/81.

College of Business and Economics

Alvis, John M., Instructor, Accounting, 7/1/80 through 6/30/81.
Baldwin, William T., Assistant Professor (part-time), Economics, 8/16/80 through 12/31/80.
Bjorklund, Richard, Assistant Professor, Business Administration, 7/1/80 through 6/30/81.
Carpenter, Michael D., Assistant Professor, Business Administration, 7/1/80 through 6/30/81.
Chen, C. Richard, Instructor, Accounting, 7/1/80 through 6/30/81.
Clark, Myrtle W., Assistant Professor, Accounting, 7/1/80 through 6/30/81.
Clark, Stephen A., Assistant Professor, Economics, 7/1/80 through 6/30/81.
Edward, Robert T., Instructor, Business Administration, 7/1/80 through 6/30/81.
Fackler, James S., Assistant Professor, Economics, 7/1/80 through 6/30/81.
Fay, Charles H., Assistant Professor, Business Administration, 7/1/80 through 6/30/81.
Fulks, Daniel L., Assistant Professor, Accounting, 7/1/80 through 6/30/81.
Gray, C. Michael, Assistant Professor, Business Administration, 7/1/80 through 6/30/81.
Gray, Yvonne L. Rucker, Instructor, Accounting, 7/1/80 through 6/30/81.
Hammond, Jesse C., Instructor, Accounting, 7/1/80 through 6/30/81.
Holmes, James R., Assistant Professor, Accounting, 7/1/80 through 6/30/81.
Hotelling, Harold, Assistant Professor, Business Administration, 7/1/80 through 6/30/81.
Krentler, Kathleen A., Instructor, Business Administration, 7/1/80 through 12/31/80.
Lang, James R., Assistant Professor, Business Administration, 7/1/80 through 6/30/81.
Levin, Jeffrey E., Assistant Professor, Economics, 7/1/80 through 6/30/81.
Lynch, Lawrence K., Visiting Assistant Professor (part-time), Economics, 8/16/80 through 12/31/80.

Mann, Ronald A., Assistant Professor (part-time), Economics,
8/16/80 through 12/31/80.
Marino, Kenneth E., Assistant Professor, Business Administration,
7/1/80 through 6/30/81.
Maris, Terry L., Assistant Professor, Business Administration,
7/1/80 through 6/30/81.
Marxsen, William B., Assistant Professor, Economics, 7/1/80 through
6/30/81.
McMillin, William Douglas, Assistant Professor, Economics, 7/1/80
through 6/30/81.
Milward, H. Brinton, Assistant Professor, Business Administration,
joint appointment as Assistant Professor, Graduate School, Martin
Graduate Center for Public Administration, 7/1/80 through 6/30/81.
Nickless, Pamela J., Assistant Professor, Economics, 7/1/80
through 6/30/81.
O'Connor, P. J., Assistant Professor, Business Administration,
7/1/80 through 6/30/81.
Pescatrice, Donn R., Assistant Professor (part-time), Economics,
8/16/80 through 12/31/80.
Richardson, Gregory, Assistant Professor, Economics, 7/1/80 through
6/30/81.
Sullivan, Gary L., Assistant Professor, Business Administration,
7/1/80 through 6/30/81.
Webster, Allen L., Assistant Professor (part-time), Economics,
8/16/80 through 12/31/80.
Welch, Robert L., Assistant Professor, Economics, 7/1/80 through
6/30/81.

College of Communications

Applegate, James, Assistant Professor, Communication, 7/1/80 through
6/30/81.
Housel, Thomas J., Assistant Professor, Communication, 7/1/80
through 6/30/81.
Kirkhorn, Michael J., Assistant Professor-Journalistic Practice*,
School of Journalism, 7/1/80 through 6/30/81.
Orndorff, Robert L., Assistant Professor-Journalistic Practice*,
School of Journalism, 7/1/80 through 6/30/81.
Rayburn, J. D., Assistant Professor, School of Journalism, 7/1/80
through 6/30/81.
Waldhart, Enid S., Assistant Professor as Director of Undergraduate
Studies*, Communication, 7/1/80 through 6/30/81.

College of Education

Angelo, Richard, Assistant Professor, Social and Philosophical
Studies, 7/1/80 through 6/30/82.
Bickel, Frank, Assistant Professor, Curriculum and Instruction,
7/1/80 through 6/30/82.
Bressan, Elizabeth, Assistant Professor, Health, Physical Education,
and Recreation, 7/1/80 through 6/30/82.
Brooks, Kenneth, Assistant Professor, Administration and Supervision,
7/1/80 through 6/30/82.
DeMers, Stephen T., Assistant Professor, Educational Psychology and
Counseling, 7/1/80 through 6/30/82.

*Special Title Series

Dickens, John, Assistant Professor, Vocational Education, 7/1/80 through 6/30/82.
Dworkin, James P., Assistant Professor, Special Education, 7/1/80 through 6/30/82.
Guskey, Thomas R., Assistant Professor, Educational Psychology and Counseling, 7/1/80 through 6/30/82.
Hesseldenz, Jon, Adjunct Assistant Professor, Higher Education, 7/1/80 through 6/30/81.
Hiebert, Elfrieda, Assistant Professor, Curriculum and Instruction, 7/1/80 through 6/30/82.
Litchfield, Carolyn, Assistant Professor, Vocational Education, 7/1/80 through 6/30/81.
Lunney, Gerald, Adjunct Assistant Professor, Higher Education, 7/1/80 through 6/30/81.
Mann, Ralph, Assistant Professor, Health, Physical Education, and Recreation, 7/1/80 through 6/30/82.
Richardson, Glenn, Assistant Professor, Health, Physical Education, and Recreation, 7/1/80 through 6/30/82.
Simpson, Kawanna, Assistant Professor, Vocational Education, 7/1/80 through 6/30/81.
Stockham, David, Adjunct Assistant Professor, Higher Education, 7/1/80 through 6/30/81.
Willett, Ann, Assistant Professor, Vocational Education, 7/1/80 through 6/30/82.
Wilson, Angene, Assistant Professor, Curriculum and Instruction, 7/1/80 through 6/30/81.
Witten, Barbara J., Instructor, Educational Psychology and Counseling, 7/1/80 through 6/30/81.

College of Engineering

Dyer, Stephen A., Assistant Professor, Electrical Engineering, 7/1/80 through 6/30/82.
Peck, Robert E., Assistant Professor, Mechanical Engineering, 7/1/80 through 6/30/82.

College of Home Economics

Hoskin, Sharla Jean, Assistant Professor, Human Environment: Design and Textiles, 7/1/80 through 6/30/81.
Ketch, Karen, Instructor-In Business*, Human Environment: Design and Textiles, 7/1/80 through 6/30/81.
Kimsey, Peggy, Assistant Professor, Family Studies, 7/1/80 through 6/30/81.
Lafferty, Helen, Assistant Professor, Human Environment: Design and Textiles, 7/1/80 through 6/30/81.
Lamar, Deborah, Assistant Professor, Human Environment: Design and Textiles, 7/1/80 through 6/30/81.
Lane, Ralph H., Assistant Professor, Nutrition and Food Science, 7/1/80 through 6/30/81.
McMahon, Pamela, Instructor-Coordinated Undergraduate Program in Dietetics*, Nutrition and Food Science, 7/1/80 through 6/30/81.
Newburg, David S., Assistant Professor, Nutrition and Food Science, 7/1/80 through 6/30/81.

*Special Title Series

Noble, Janet, Assistant Professor, Nutrition and Food Science,
7/1/80 through 6/30/81.
Paynter, Mary Ann, Assistant Professor, Family Studies, 7/1/80
through 6/30/81.
Schram, Vicki, Assistant Professor, Family Studies, 7/1/80 through
6/30/81.
Wesley, Myrna, Assistant Professor-In Business*, Nutrition and
Food Science, 7/1/80 through 6/30/81.

Libraries

High, Cathy, Librarian IV, Business and Economics Information Center,
7/1/80 through 6/30/81.
Holden, Kathy, Librarian IV, Government Publications, 7/1/80 through
6/30/81.
Sexton, Ebba Jo, Visiting Librarian IV, Cataloging, 7/1/80 through
6/30/81.

College of Social Work

Byars, Laretta F., Instructor, 7/1/80 through 6/30/81.

MEDICAL CENTER

College of Allied Health Professions

Alberhasky, Timothy, Assistant Professor*, Physical Therapy, 7/1/80
through 6/30/81.
Bailey, Delpha, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Black, Cathy, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Blankenship, Keith, Clinical Instructor (voluntary), Physical
Therapy, 7/1/80 through 6/30/81.
Brown, Gary, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Casanova, Carmen, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Clark, Sherry, Assistant Professor*, Physical Therapy, 7/1/80
through 6/30/81.
Click, James, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Combs, Glen E., Assistant Professor*, Allied Health Education and
Research, 7/1/80 through 6/30/81.
Cox, Bonnie, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Cromwell, Frances, Assistant Clinical Professor (voluntary),
Physical Therapy, 7/1/80 through 6/30/81.
Dombek, Robert H., Clinical Instructor (voluntary), Physical
Therapy, 7/1/80 through 6/30/81.
Drake, Joseph, Assistant Clinical Professor (voluntary), Physical
Therapy, 7/1/80 through 6/30/81.
Edinger, Patricia, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.

*Special Title Series

Fabrizio, Frederick L., Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Fitzgerald, Owen R., Assistant Professor*, Clinical Pastoral Counseling, 7/1/80 through 6/30/81.
Flanary, Phillip G., Clinical Instructor (voluntary), Medical Technology, 7/1/80 through 6/30/81.
Gorham, Beth M., Clinical Instructor (voluntary), Medical Technology, 7/1/80 through 6/30/81.
Gosney, Brenda, Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Hay, Carolyn Sue, Clinical Instructor (voluntary), Community Health, 7/1/80 through 6/30/81.
Hensley, Janice, Assistant Professor*, Clinical Nutrition, 7/1/80 through 6/30/81.
Howard, Ed, Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Hurst, Janice B., Clinical Instructor (voluntary), Community Health, 7/1/80 through 6/30/81.
Jones, Ann P., Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Jordan, Nancy, Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Lamping, Sister Mary Jean Claire, Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Lange, Don, Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Levy, Frances, Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Lloyd, Brenda, Instructor (part-time), Medical Technology, 7/1/80 through 6/30/81.
Lowenthal, Stuart, Assistant Clinical Professor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Lyons, Lynne, Assistant Professor*, Medical Technology, 7/1/80 through 6/30/81.
Marion, Rodger, Assistant Professor*, Allied Health Education and Research, 7/1/80 through 6/30/81.
Marsh, Harry A., Clinical Instructor (voluntary), Community Health, 7/1/80 through 6/30/81.
Martin, Mary E., Assistant Clinical Professor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Mason, Betty Ann, Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
McKeon, Robert J., Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Melton, Leslie, Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Miller, J. Mike, Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Montgomery, Carole, Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Mugford, James, Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
O'Daniel, William E., Jr., Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Odum, Junior, Assistant Clinical Professor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.
Patton, Marilee, Clinical Instructor (voluntary), Physical Therapy, 7/1/80 through 6/30/81.

*Special Title Series

Pessolano, Nancy, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Puckett, Deborah, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Raymond, Marilyn, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Reed, Linda, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Robillard, Helen, Instructor, Allied Health Education and Research,
7/1/80 through 12/31/80.
Sauer, Jane, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Scruggs, Samuel, Assistant Clinical Professor (voluntary), Physical
Therapy, 7/1/80 through 6/30/81.
Shackleford, Ellen, Assistant Clinical Professor (voluntary),
Medical Technology, 7/1/80 through 6/30/81.
Smythe, Karen Lee, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Snowden, Bill, Assistant Clinical Professor (voluntary), Physical
Therapy, 7/1/80 through 6/30/81.
Spence, James W., Jr., Clinical Instructor (voluntary), Physical
Therapy, 7/1/80 through 6/30/81.
Stevens, Jeffery, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Tharp, Harry, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Weitlauf, David T., Clinical Instructor (voluntary), Physical
Therapy, 7/1/80 through 6/30/81.
Wells, Joberta, Clinical Instructor (voluntary), Medical Technology,
7/1/80 through 6/30/81.
Wells, Marcy, Clinical Instructor (voluntary), Physical Therapy,
7/1/80 through 6/30/81.
Williams, Merideth, Clinical Instructor (voluntary), Physical
Therapy, 7/1/80 through 6/30/81.
Wilson, Albert L., Clinical Instructor (voluntary), Community
Health, 7/1/80 through 6/30/81.

College of Dentistry

Armstrong, Smith R., III, Assistant Professor*, Community Dentistry,
and Assistant Professor*, Department of Family Practice, College
of Medicine, 7/1/80 through 6/30/81.
Berkowitz, Jackie, Assistant Clinical Professor (voluntary), Orthodontics,
7/1/80 through 6/30/83.
Cayton, John W., Clinical Instructor (voluntary), Community Dentistry,
7/1/80 through 6/30/83.
Damm, Douglass D., Assistant Professor, Oral Pathology, 7/1/80 through
6/30/81.
Dowdy, Donald B., Clinical Instructor (voluntary), Community Dentistry,
7/1/80 through 6/30/83.
Emrich, Charles L., Instructor (part-time), Periodontics, 7/1/80
through 6/30/81.
Gruelle, Terry V. Assistant Professor (part-time), Orthodontics,
7/1/80 through 6/30/81.
Head, Marylou S., Assistant Professor (part-time), Restorative
Dentistry, 7/1/80 through 6/30/81.
Higgins, Howard, Assistant Professor (part-time), Restorative
Dentistry, and Assistant Professor (part-time), Community
Dentistry, 7/1/80 through 6/30/81.

*Special Title Series

Kenney, Charles, Assistant Clinical Professor (voluntary),
Orthodontics, 7/1/80 through 6/30/83.
Kemper, John T., Jr., Assistant Professor, Restorative Dentistry,
7/1/80 through 6/30/81.
Lemmerman, Keith, Assistant Professor (part-time), Periodontics,
7/1/80 through 6/30/81.
Lenox, John A., Assistant Clinical Professor (voluntary), Periodontics,
7/1/80 through 6/30/83.
Logan, Theodore E., Assistant Clinical Professor (voluntary),
Prosthodontics, 7/1/80 through 6/30/83.
McElfresh, Monte, Clinical Instructor (voluntary), Community Dentistry,
7/1/80 through 6/30/83.
Meece, Fred E., Clinical Instructor (voluntary), Community Dentistry,
7/1/80 through 6/30/83.
Meyer, Edward A., Assistant Professor, Restorative Dentistry,
7/1/80 through 4/30/81.
Neeley, Wendell W., Assistant Professor (part-time), Restorative
Dentistry, 7/1/80 through 6/30/81.
Obray, Bruce, Assistant Professor*, Restorative Dentistry, 7/1/80
through 12/31/80.
Perkins, T. Scott, Clinical Instructor (voluntary), Community Dentistry,
7/1/80 through 6/30/83.
Reid, Stephen L., Assistant Professor*, Community Dentistry, 7/1/80
through 6/30/81.
Robinson, Arnold C., Clinical Instructor (voluntary), Community
Dentistry, 7/1/80 through 6/30/83.
Savage, Paul J., Clinical Instructor (voluntary), Community Dentistry,
7/1/80 through 6/30/83.
Smith, Kenneth J., Clinical Instructor (voluntary), Community
Dentistry, 7/1/80 through 6/30/83.
Steckler, Munro, Clinical Instructor (voluntary), Pediatric Dentistry,
7/1/80 through 6/30/83.
Stovall, Jerry L., Assistant Professor (part-time), Periodontics,
7/1/80 through 6/30/81.
Sutherland, James, Clinical Instructor (voluntary), Community
Dentistry, 7/1/80 through 6/30/83.
Timmons, James H., Assistant Professor, Restorative Dentistry,
7/1/80 through 6/30/81.
Turner, Erwin G., Assistant Professor (part-time), Restorative
Dentistry, and Assistant Professor (part-time), Pediatric
Dentistry, 7/1/80 through 6/30/81.
Webster, David B., Assistant Professor, Community Dentistry,
7/1/80 through 6/30/81.
Wheatley, Bonnie D., Assistant Professor (part-time), Orthodontics,
7/1/80 through 6/30/81.
Wheeler, Arlis W., Clinical Instructor (voluntary), Community
Dentistry, 7/1/80 through 6/30/83.
Williams, Thomas M., Assistant Professor (part-time), Restorative
Dentistry, 7/1/80 through 6/30/81.
Witt, David, Clinical Instructor (voluntary), Community Dentistry,
7/1/80 through 6/30/83.
Yozwiak, Raymond A., Assistant Professor (part-time), Orthodontics,
7/1/80 through 6/30/81.

College of Medicine

Barron, Michael P., Clinical Instructor (part-time), Medicine,
7/1/80 through 6/30/81.

*Special Title Series

Cramer, John R., Assistant Clinical Professor (part-time),
Anesthesiology, 7/1/80 through 6/30/81.

Palace, Peggy B., Assistant Clinical Professor (part-time),
Pediatrics, and Assistant Clinical Professor (part-time),
Obstetrics and Gynecology, 7/1/80 through 6/30/81.

Flueck, James A., Assistant Professor, Medicine, 7/1/80 through
6/30/81.

Gerson, Kenneth L., Assistant Clinical Professor (part-time),
Pediatrics, 7/1/80 through 6/30/81.

Guthrie, Gordon P., Assistant Professor, Medicine, 7/1/80 through
6/30/81.

Kistler, Lane A., Assistant Professor, Medicine, 7/1/80 through
6/30/81.

Kraman, Stephen, Assistant Professor, Medicine, 7/1/80 through
6/30/81.

Leichter, Steven B., Assistant Professor, Medicine, 7/1/80 through
6/30/81.

Loy, Millard C., Assistant Clinical Professor (voluntary), Family
Practice, 7/1/80 through 6/30/82.

McDonagh, Dennis, Assistant Professor, Medicine, 7/1/80 through
6/30/81.

Meegan, William J., Assistant Clinical Professor (part-time),
Pediatrics, 7/1/80 through 6/30/81.

Natarajan, Gangaiah, Assistant Professor, Medicine (cardiology),
7/1/80 through 6/30/81.

Parsley, Barry N., Instructor (part-time), Medicine, 7/1/80 through
6/30/81.

Pauly, Thomas H., Clinical Instructor (part-time), Pediatrics,
7/1/80 through 6/30/81.

Pulito, Andrew R., Assistant Clinical Professor (part-time),
Surgery (pediatric), 7/1/80 through 6/30/81.

Reddy, Chandupatla P., Assistant Professor, Medicine, 7/1/80
through 6/30/81.

Rehm, Stanley R., Assistant Professor, Medicine, 7/1/80 through
6/30/81.

Riegler, Sandra L. Assistant Professor*, Medicine, and Assistant
Professor*, Allied Health Education and Research, College of
Allied Health Professions, 7/1/80 through 6/30/81.

Saykaly, Ronald J., Assistant Clinical Professor (part-time),
Medicine, 7/1/80 through 6/30/81.

Scherl, Eileen R., Assistant Clinical Professor (part-time)*, Medicine,
7/1/80 through 6/30/81.

Slack, John D., Assistant Professor, Medicine (cardiology), 7/1/80
through 6/30/81.

Smith, William E., Clinical Instructor (part-time), Medicine
(Emergency Medicine), 5/31/80 through 6/15/80.

Suruda, Anthony J., Assistant Clinical Professor (part-time),
Medicine, 7/1/80 through 6/30/81.

Thornton, Nancy H., Instructor, Medicine, 7/1/80 through 6/30/81.

Weber, Fredrick L., Assistant Professor, Medicine, 7/1/80 through
6/30/81.

Wheeler, Max E., Assistant Clinical Professor (voluntary), Family
Practice, 7/1/80 through 6/30/82.

Wolf, Betty I, Assistant Clinical Professor (part-time)*, Pediatrics,
7/1/80 through 6/30/81.

Yarborough, Barry E., Instructor, Medicine, 7/1/80 through 6/30/81.

Yoneda, Justine, Assistant Professor*, Radiation Medicine, 7/1/80
through 6/30/81.

*Special Title Series

Medical Center Library

Barnett, Alice Lynne, Librarian IV, 7/1/80 through 6/30/81.
Boewe, Karl-Heinz W., Librarian III, 7/1/80 through 6/30/81.
Lucas, Barbara A., Librarian IV, 7/1/80 through 6/30/81.

College of Nursing

Asriel, Margaret, Clinical Instructor (voluntary), 7/1/80 through 6/30/81.
Black, Agnes, Assistant Clinical Professor (voluntary), 7/1/80 through 6/30/81.
Castle, Annette, Clinical Instructor (voluntary), 7/1/80 through 6/30/81.
Combs, Phyllis, Clinical Instructor (voluntary), 7/1/80 through 6/30/81.
Dozier, Suzanne, Assistant Clinical Professor (voluntary), 7/1/80 through 6/30/81.
Hynson, Nancy, Clinical Instructor (voluntary), 7/1/80 through 6/30/81.
Lillard, Callista, Assistant Clinical Professor (voluntary), 7/1/80 through 6/30/81.
Martin, Sally, Clinical Instructor (voluntary), 7/1/80 through 6/30/81.
McKinley, Jean, Clinical Instructor (voluntary), 7/1/80 through 6/30/81.
Tarkington, Evelyn, Assistant Clinical Professor (voluntary), 7/1/80 through 6/30/81.

College of Pharmacy

Bourne, David W., Assistant Professor, 7/1/80 through 6/30/81.
Brislin, John M., Clinical Instructor (voluntary), 7/1/80 through 6/30/83.
Cone, Edward J., Assistant Adjunct Professor (voluntary), 7/1/80 through 6/30/81.
Easley, Albert G., Clinical Instructor (voluntary), 7/1/80 through 6/30/83.
Hume, James W., Clinical Instructor (voluntary), 7/1/80 through 6/30/83.
McMakin, William, Clinical Instructor (voluntary), 7/1/80 through 6/30/83.
Sallengs, David T., Clinical Instructor (voluntary), 7/1/80 through 6/30/83.
Thompson, Mary Ann, Clinical Instructor (voluntary), 7/1/80 through 6/30/83.

COMMUNITY COLLEGE SYSTEM

Ashland Community College

Adams, Marcella Jo, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Adkins, Jimmie, Instructor in the Community College System, 7/1/80 through 6/30/81.
Adkins, Nancy Sturgill, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Campbell, Kenneth D., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Christian, Naomi S., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Conley, Richard R., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Falconer, David, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Graham, Carolyn S., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Keeton, Janet, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Kitchen, Janie R., Assistant Professor in the Community College System, and Coordinator of Nursing Program, 7/1/80 through 6/30/81.
Patterson Vicki Leigh, Instructor in the Community College System, 7/1/80 through 6/30/81.
Smith, Sandra Mitchell, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Turner, Howard B., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Virgin, Mary Louise, Instructor in the Community College System, 7/1/80 through 6/30/81.

Elizabethtown Community College

Buckles, Adrian Dale, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Elmore, Jimmy A., Instructor in the Community College System, 7/1/80 through 6/30/81.
Green, Gary Martin, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Judd, Mildred L., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Maples, James A., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Murley, James I., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Owsley, Wanda Diane, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Perkins, Marolyn S., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Thompson, Ann B., Librarian III in the Community College System, 7/1/80 through 6/30/81.
Whelan, M. Shannon, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Williams, Janice J., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Hazard Community College

Campbell, Robert E., Assistant Professor in the Community College, 7/1/80 through 6/30/81.
Eason, Alyce, Instructor in the Community College System, 7/1/80 through 6/30/81.

Garrison, Martha Taylor, Instructor in the Community College System, 7/1/80 through 6/30/81.
Lynes, Billie, Instructor in the Community College System, 7/1/80 through 6/30/81.
Mahoney, John Thomas, Assistant Professor in the Community College System, and Division Chairman, 7/1/80 through 6/30/81.
Maxwell, Wesley David, Instructor in the Community College System, 7/1/80 through 6/30/81.
Nagda, Durga S., Instructor in the Community College System, 7/1/80 through 6/30/81.
Taylor, Sandra Elaine, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Henderson Community College

Alexander, Dorothy, Instructor in the Community College System, 7/1/80 through 6/30/81.
Christian, John T., Instructor in the Community College System, 7/1/80 through 6/30/81.
Cousert, David C., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Edwards, Jane S., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Heath, Debra P., Instructor in the Community College System, 7/1/80 through 6/30/81.
Hughes, Kathy L., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Meyer, Mona Lynn, Librarian IV in the Community College System, 7/1/80 through 6/30/81.
Tammes, B. Beth, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Thomas, Stephen R., Instructor in the Community College System, 7/1/80 through 6/30/81.
Walker, Marianne, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Hopkinsville Community College

Adams, Mary Helen, Instructor in the Community College System, 7/1/80 through 6/30/81.
Atkins, Cynthia F., Librarian III in the Community College System, 7/1/80 through 6/30/81.
Duncan, Terry, Instructor in the Community College System, 7/1/80 through 6/30/81.
Hoover, Donald Lee, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Lewis, Robert H., Instructor in the Community College System, 7/1/80 through 6/30/81.
Moreton, Libby A., Instructor in the Community College System, 7/1/80 through 6/30/81.
Morris, Edward J., Instructor in the Community College System, 7/1/80 through 6/30/81.
Murray, Josephine I., Instructor in the Community College System, 7/1/80 through 6/30/81.

Jefferson Community College

Anderson, Lee Roy, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Aponte, Catherine, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Barthle, Damian, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Baughman, James, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Benz, Linda, Instructor in the Community College System, 7/1/80 through 6/30/81.
Bloyd, Deborah, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Brooks, David, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Bush, Cynthia T., Instructor in the Community College System, 7/1/80 through 6/30/81.
Cabell, Bobbye G., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Cleveland, Alice, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Cleveland, V. Bess, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Davis, Helen, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Edwards, Carolyn, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Fowler, Joseph L., Jr., Instructor in the Community College System, 7/1/80 through 6/30/81.
Hanel, John, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Hemdahl-Owen, Ann, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Henry, Betty, Instructor in the Community College System, 7/1/80 through 6/30/81.
Hillenbrand, Catherine, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Hollinden, Richard, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Hoover, Nancy L., Instructor in the Community College System, 7/1/80 through 6/30/81.
James, Alice H., Instructor in the Community College System, 7/1/80 through 6/30/81.
James, Muriel, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Jones, Charlene, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Kimble, Charles, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Lawrence, Robert R., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Layne, Carol S., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Lewis, Carol M., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
McCombs, Charles, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Nankivell, James Benjamin, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Newberry, Anthony, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Nguyen, Hai, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Parker, William, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Peccioni, Frank T., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Robinson, Wendell P., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Spears, Sandra, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Terhune, Jerry D., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Werle, Richard L., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Lexington Technical Institute

Barnes, Robert L., Instructor in the Community College System, 7/1/80 through 6/30/81.
Boyatt, Iva D., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Carpenter, Gail V., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Collier, Susan C., Instructor in the Community College System, 7/1/80 through 6/30/81.
Connell, James J., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Curtis, Catherine S., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Davis, Melissa, Instructor in the Community College System, 7/1/80 through 6/30/81.
Fine, Bettye, Instructor in the Community College System, 7/1/80 through 6/30/81.
Hisel, Gary F., Instructor in the Community College System, 7/1/80 through 6/30/81.
Isert, Joseph W., Jr., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Maggard, Sarah H., Instructor in the Community College System, 7/1/80 through 6/30/81.
McAdams, Luann, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
McDermott, Pat, Assistant Professor in the Community College, 7/1/80 through 6/30/81.
McGuire, Rachael, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Rizk, Carol A., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Roberts, Francis A., III, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Sanders, Jacqueline B., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Sathaye, Shashi A., Instructor in the Community College System,
7/1/80 through 6/30/81.
Smith, Michael L., Instructor in the Community College System,
7/1/80 through 6/30/81.
Spears, Eula, Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.
Strauss, Kenneth T., Assistant Professor in the Community
College System, 7/1/80 through 6/30/81.
Twehues, Daniel L., Assistant Professor in the Community
College System, 7/1/80 through 6/30/81.
Wasielewski, Ronald, Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.

Madisonville Community College

Koon, Danny Lee, Instructor in the Community College System,
and Division Chairman, 7/1/80 through 6/30/81.
Lee, Charlotte J., Assistant Professor in the Community College
System, and Assistant Director for Instruction, 7/1/80
through 6/30/81.
Ramsden, Patricia, Assistant Professor in the Community College
System, and Division Chairman, 7/1/80 through 6/30/81.
Rhoads, Judith L., Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.
Smith, Loretta, Librarian IV in the Community College System,
7/1/80 through 6/30/81.
Stumpf, Arthur D., Assistant Professor in the Community College
System, and Director, 7/1/80 through 6/30/81.
Vail, James A., Instructor in the Community College System, 7/1/80
through 6/30/81.
Walker, Donald C., Instructor in the Community College System,
7/1/80 through 6/30/81.

Maysville Community College

Easton, Kathy J., Instructor in the Community College System,
7/1/80 through 6/30/81.
Hammond, Robert E., Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.
Klee, John R., Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.
Owen, Nancy J., Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.
White, Mary Carol, Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.

Paducah Community College

Crisp, Jayne C., Instructor in the Community College System,
7/1/80 through 6/30/81.
Doom, Donnie N., Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.
Dyson, Audree K., Instructor in the Community College System,
7/1/80 through 6/30/81.

Hennessy, James V., Assistant Professor in the Community College System, and Associate Director for Academic Affairs, 7/1/80 through 6/30/81.
Irby, Betsy C., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Jones, Florence, Instructor in the Community College System, 7/1/80 through 6/30/81.
Kursave, Nancy, Instructor in the Community College System, 7/1/80 through 6/30/81.
Lee, Dwight G., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Parker, Charles D., Instructor in the Community College System, 7/1/80 through 6/30/81.
Spry, Ronnie O., Instructor in the Community College System, 7/1/80 through 6/30/81.
Webb, Jill, Instructor in the Community College System, 7/1/80 through 6/30/81.
Woodward, Yvonne J., Instructor in the Community College System, 7/1/80 through 6/30/81.

Prestonsburg Community College

Archer, Vickey C., Instructor in the Community College System, 7/1/80 through 6/30/81.
Butts, Michele T., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Cantrell, Etta L., Instructor in the Community College System, 7/1/79 through 6/30/81.
Chaffins, Ronnie, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Fish, Celia A., Instructor in the Community College System, 7/1/80 through 6/30/81.
Little, Glennis S., Instructor in the Community College System, 7/1/80 through 6/30/81.
Nutter, Susan S., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Somerset Community College

Abbott, Martha, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
DeBerry, John H., Assistant Professor in the Community College System, and Associate Director, Academic Affairs, 7/1/80 through 6/30/81.
Helenic, Martin J., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Herring, Leola B., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Mounce, Karen G., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
McNeil, Virginia, Assistant Professor in the Community College System, and Coordinator, Associate Degree Nursing Program, 7/1/80 through 6/30/81.
Patton, Rodney L., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Rogers, Daniel W., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Tate, Roger D., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Wilson, Howard Brent, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Southeast Community College

Blevins, Vivian, Assistant Professor in the Community College System, and Associate Director for Instruction, 7/1/80 through 6/30/81.

Boggs, Steven Parker, Librarian IV in the Community College System, 7/1/80 through 6/30/81.

Borntrager, Milton, Instructor in the Community College System, 7/1/80 through 6/30/81.

Collins, Rodney, Instructor in the Community College System, 7/1/80 through 6/30/81.

Irvin, Ronnie G., Instructor in the Community College System, 7/1/80 through 6/30/81.

Jestes, Marilyn G., Instructor in the Community College System, 7/1/80 through 6/30/81.

Lee, Paul E., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Morris, Patricia Ann, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Pack, Carol L., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Roth, LuElla LaVerne, Instructor in the Community College System, 7/1/80 through 6/30/81.

Sundy, Carolyn, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Wigginton, Mark A., Instructor in the Community College System, 7/1/80 through 6/30/81.

Q. PROMOTIONS (WITHOUT TENURE)

DIVISION OF COLLEGES

College of Arts and Sciences

Berry, William D., from Instructor, Political Science to Assistant Professor, Political Science, 5/1/80 through 6/30/81.

College of Business and Economics

Webb, Michael, from Instructor, Economics, to Assistant Professor, Economics, 7/1/80 through 6/30/81.

MEDICAL CENTER

College of Allied Health Professions

Sherer, Dale, from Instructor, Clinical Nutrition, to Assistant Professor*, Clinical Nutrition, 7/1/80 through 6/30/81.

Harmon, Gordon, from Instructor (part-time), Community Medicine, to Assistant Adjunct Professor (part-time), Community Medicine, 7/1/80 through 6/30/81.

*Special Title Series

COMMUNITY COLLEGE SYSTEM

Ashland Community College

Cyrus, Jack R., from Instructor in the Community College System,
to Assistant Professor in the Community College System,
7/1/80 through 6/30/81.

Elizabethtown Community College

Buckles, Diane E., from Instructor in the Community College
System, to Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.

Harris, Robert L., from Instructor in the Community College
System, to Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.

Novak, Robert E., from Instructor in the Community College
System, to Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.

Simpson, Martha J., from Instructor in the Community College
System, to Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.

Hazard Community College

Godsey, Marda Lee, from Instructor in the Community College
System, to Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.

Willenbrink, Robert H., Jr., from Instructor in the Community
College System, to Assistant Professor in the Community
College System, 7/1/80 through 6/30/81.

Henderson Community College

Finney, Clara LaVerne, from Instructor in the Community College
System, to Assistant Professor in the Community College System,
7/1/80 through 6/30/81.

Garrett, Phyllis, from Instructor in the Community College System,
to Assistant Professor in the Community College System, 7/1/80
through 6/30/81.

Strawn, Anthony A., from Instructor in the Community College System,
to Assistant Professor in the Community College System, 7/1/80
through 6/30/81.

Hopkinsville Community College

Carter, David, from Instructor in the Community College System,
to Assistant Professor in the Community College System,
7/1/80 through 6/30/81.

Felton, Kevin E., from Instructor in the Community College System,
to Assistant Professor in the Community College System,
7/1/80 through 6/30/81.

Liles, Betty P., from Instructor in the Community College System,
to Assistant Professor in the Community College System,
7/1/80 through 6/30/81.

Sanders, Mary E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Shehan, Thomas G., Jr., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Vick, David O., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Walston, Larry L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Jefferson Community College

Boor, Brenda, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Bryant, Nowell, Jr., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Bush, Sherman L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Kelly, Alan, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Schiff, Elaine, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Steger, Randy, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Wright, Margie, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Lexington Technical Institute

Beatty, Eunice A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Weeks, Betty B., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Maysville Community College

Foster, Peggy Bertram, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Slamer, Robert M., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.
Webb, Patricia J., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Madisonville Community College

Moore, Judith, from Instructor in the Community College System,
to Assistant Professor in the Community College System,
7/1/80 through 6/30/81.

Paducah Community College

Beyer, Carol A., from Instructor in the Community College System,
to Assistant Professor in the Community College System, 7/1/80
through 6/30/81.

Prestonsburg Community College

Bottoms, Jenny M., from Instructor in the Community College System,
to Assistant Professor in the Community College System,
7/1/80 through 6/30/81.

Garrett, Clara N., from Instructor in the Community College System,
to Assistant Professor in the Community College System,
7/1/80 through 6/30/81.

Somerset Community College

Bell, Susan Hart, from Instructor in the Community College System,
to Assistant Professor in the Community College System,
7/1/80 through 6/30/81.

Boggs, Bob R., from Instructor in the Community College System, to
Assistant Professor in the Community College System, 7/1/80 through
6/30/81.

Merrill, Stephen M., from Instructor in the Community College System,
to Assistant Professor in the Community College System,
7/1/80 through 6/30/81.

Rogers, Deborah L., from Instructor in the Community College System,
to Assistant Professor in the Community College System,
7/1/80 through 6/30/81.

Whitehead, Sharon F., from Instructor in the Community College System,
to Assistant Professor in the Community College System,
7/1/80 through 6/30/81.

Southeast Community College

Simpson, Lillian Faye, from Instructor in the Community College
System, to Assistant Professor in the Community College
System, 7/1/80 through 6/30/81.

R. CHANGE

DIVISION OF COLLEGES

College of Agriculture

Nielsen, Mark T., Assistant Professor, Agronomy, 5/15/80 through
6/30/81. (Note: Previously approved beginning date - 6/1/80 -
changed to 5/15/80)

MEDICAL CENTER

College of Allied Health Professions

Grimsley, Sandra, changed from Clinical Instructor (voluntary), Allied Health Education and Research, to Visiting Lecturer, Allied Health Education and Research, 5/12/80 through 6/6/80.
Mullins, Sally, from Clinical Instructor (voluntary), Allied Health Education and Research, to Visiting Lecturer, Allied Health Education and Research, 5/12/80 through 6/6/80.
Pfeifle, William, from Media Development Specialist, Center for Learning Resources, to Assistant Professor*, Allied Health Education and Research, 7/1/80 through 6/30/81.
Wright, Cynthia, from Clinical Instructor (voluntary), Allied Health Education and Research, to Visiting Lecturer, Allied Health Education and Research, 5/12/80 through 6/6/80.

College of Dentistry

Kaplan, Alan L., from Assistant Professor (Adjunct Series), Community Dentistry, and Coordinator, Dental Health Delivery, Research and Development, to Assistant Research Professor, Community Dentistry, 7/1/80 through 11/30/81.
Murray, Bruce, from Research Scientist, Community Dentistry, to Assistant Research Professor, Community Dentistry, 7/1/80 through 6/30/81.

College of Medicine

Biddle, Robert A., from Assistant Clinical Professor (voluntary), Family Practice, to Assistant Clinical Professor (part-time), Family Practice, 7/15/80 through 6/30/81.
Bowden, Charles Markham, Jr., from Assistant Clinical Professor (part-time), Anesthesiology, and Assistant Clinical Professor (part-time), Department of Community Dentistry, College of Dentistry, to Assistant Clinical Professor (voluntary), Department of Community Dentistry, College of Dentistry, 7/1/80 through 6/30/83.
O'Neill, James E., from Assistant Clinical Professor (part-time), Obstetrics and Gynecology, to Assistant Clinical Professor (voluntary), Obstetrics and Gynecology, 7/1/80 through 6/30/82.
Overman, Timothy L., from Assistant Professor*, Pathology, and Assistant Professor*, Department of Medical Technology, College of Allied Health Professions, to Assistant Professor (Adjunct Series), Pathology, 7/1/80 through 6/30/81.
Riley, Christine, from Assistant Professor, Diagnostic Radiology, to Assistant Clinical Professor (voluntary), Diagnostic Radiology, 7/1/80 through 6/30/82.
Schmidt, Joseph, from Assistant Clinical Professor (part-time), Anesthesiology, to Assistant Clinical Professor (voluntary), Anesthesiology, 7/1/79 through 6/30/82.

College of Pharmacy

Anderson, Gary L., from Research Scientist, to Assistant Research Professor, 2/1/80 through 6/30/81.
Triplett, John W., from Research Scientist, to Assistant Professor (part-time), 6/1/80 through 6/30/81.

*Special Title Series

COMMUNITY COLLEGE SYSTEM

Jefferson Community College

O'Daniel, Carolyn from Assistant Professor in the Community College System, Lexington Technical Institute, to Assistant Professor in the Community College System, Jefferson Community College, 7/1/80 through 6/30/81.

S. TERMINAL REAPPOINTMENTS

DIVISION OF COLLEGES

College of Arts and Sciences

Bodine, Jay P., Assistant Professor, Germanic Languages and Literatures, 7/1/80 through 6/30/81.
Flynn, David S., Assistant Professor, Physics and Astronomy, 8/16/80 through 6/30/81.
Grobman, Neil R., Assistant Professor, English, 7/1/80 through 12/31/81.
Jenkins, Craig A., Instructor, Physics and Astronomy, 8/16/80 through 5/15/81.
Margretta, William R., Assistant Professor, English, 1/1/81 through 12/31/81.

College of Business and Economics

Boyett, Arthur S., Instructor, Accounting, 7/1/80 through 6/30/81.
Brown, Gary D., Assistant Professor, Economics, 7/1/80 through 6/30/81.
Freeman, Gary R., Instructor, Accounting, 7/1/80 through 6/30/81.
Nunley, Terry J., Instructor, Accounting, 7/1/80 through 6/30/81.

College of Education

Bannister, Judy, Instructor, Health, Physical Education and Recreation, 7/1/80 through 6/30/81.
Harkless, Necia, Associate Extension Professor*, Curriculum and Instruction, 7/1/80 through 6/30/81.
Jackson, Terry Wayne, Instructor (part-time), Vocational Education, 8/16/80 through 5/15/81.
Ricks, Dottie, Instructor, Health, Physical Education and Recreation, 7/1/80 through 6/30/81.

College of Home Economics

Lane, April Ross, Assistant Professor-Preschool Education*, Family Studies, 7/1/80 through 6/30/81.

College of Social Work

Brodrick, Mead Alexander, Visiting Instructor, 7/1/80 through 12/31/80.
McCullagh, James G., Assistant Professor*, 7/1/80 through 6/30/81.
Raffoul, Paul R., Assistant Professor*, 7/1/80 through 6/30/81.
Walls, David S., Assistant Professor*, and Assistant Professor*, Department of Sociology, College of Arts and Sciences, 7/1/80 through 6/30/81.

*Special Title Series

COMMUNITY COLLEGE SYSTEM

Henderson Community College

Klinck, Dana S., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Jefferson Community College

Caley, Kathryn, Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Lexington Technical Institute

Leonard, Cynthia W., Assistant Professor in the Community College System, 7/1/80 through 10/9/80.

Rupured, Arthur, Jr., Assistant Professor in the Community College System, 7/1/80 through 6/30/81.

Works, Robert E., Assistant Professor in the Community College System (part-time), 7/1/80 through 6/30/81.

T. SPECIAL ASSIGNMENTS

DIVISION OF COLLEGES

College of Arts and Sciences

Roland, Charles P., Professor (with tenure), History, 7/1/81 through 6/30/82. (Note: to accept an appointment as the Harold Keith Johnson Professor of Military History, Army War College, Military History Institute, Pennsylvania)

College of Business and Economics

Ecton, W. W., Professor (with tenure), Accounting, and Dean, 1/1/80 through 6/30/81.

U. LEAVES OF ABSENCE

DIVISION OF COLLEGES

College of Agriculture

Hartman, John, Associate Extension Professor* (with tenure), Plant Pathology, Sabbatical leave with full salary, 10/1/80 through 3/31/81, Leave without pay, 4/1/81 through 6/30/81.

College of Architecture

Etlin, Richard, Assistant Professor, Leave without pay, 7/1/80 through 6/30/81.

McManus, Shauna, Assistant Professor, Leave without pay, 8/1/80 through 12/31/81.

*Special Title Series

College of Arts and Sciences

Dillehay, Ronald C., Professor (with tenure), Psychology,
Sabbatical leave with half-salary, 7/1/80 through
6/30/81.

Eberhart, Carl A., Professor (with tenure), Mathematics,
Sabbatical leave with full-salary, 7/1/80 through
12/31/80.

Evans, Lawrence C., Associate Professor (with tenure), Mathematics,
Leave without pay, 7/1/80 through 6/30/81.

Evans, Robert O., Professor (with tenure), English, Leave without pay,
7/1/80 through 12/31/80.

Goodwyn, Wayne, Associate Professor (with tenure), Mathematics,
Leave without pay, 7/1/80 through 6/30/81.

Howard, Don, Assistant Professor, Philosophy, Leave without pay,
8/16/80 through 5/15/81.

Lindquist, Anders, Associate Professor (with tenure), Mathematics,
Sabbatical leave with half-salary, 7/1/80 through 6/30/81.

Meijer, Willem, Associate Professor (with tenure), School of Biological
Sciences, Sabbatical leave with half-salary, 1/1/81 through 12/31/81.

Sabharwal, P. S., Professor (with tenure), School of Biological
Sciences, Sabbatical leave with full-salary, 7/1/80 through
12/31/80.

Scarborough, John S., Professor (with tenure), History, Sabbatical
leave with full-salary, 1/1/81 through 6/30/81.

Schankula, Henry A. S., Associate Professor (with tenure), Philosophy,
Leave without pay, 7/1/80 through 12/31/80.

Straley, Joseph P., Associate Professor (with tenure), Physics
and Astronomy, Leave without pay, 7/1/80 through 6/30/81.

Troland, Thomas H., Assistant Professor, Physics and Astronomy,
Leave without pay, 8/16/80 through 12/31/80.

College of Business and Economics

Christian, Virgil, Professor (with tenure), Economics, Cancel Leave
without pay, 7/1/80 through 12/31/80 as approved by the Board
of Trustees on 5/6/80.

Motley, Brian, Associate Professor (with tenure), Economics, Leave
without pay, 7/1/80 through 6/30/81.

College of Fine Arts

Miller, Mina F., Assistant Professor, School of Music, Leave without
pay, 7/1/80 through 12/31/80.

College of Law

Campbell, Rutheford B., Professor (with tenure), Leave without pay,
8/16/80 through 5/15/81.

College of Library Science

McConnell, Anne Y., Associate Professor-School Librarianship*,
Sabbatical leave with full-salary, 1/1/81 through 6/30/81.

Rogers, JoAnn V., Associate Professor (with tenure), Sabbatical
leave with full-salary, 1/1/81 through 6/30/81.

*Special Title Series

MEDICAL CENTER

College of Dentistry

Obray, Bruce, Assistant Professor*, Restorative Dentistry, Sick leave, 4/1/80 through 9/30/80.

College of Medicine

Austin, James A., Associate Professor* (with tenure), Obstetrics and Gynecology, Sick leave, 4/22/80 through 7/22/80.

Scherl, Eileen R., Assistant Clinical Professor* (part-time), Medicine, Maternity leave, 6/16/80 through 7/10/80, and Leave without pay, 7/11/80 through 12/31/80.

Thornton, Nancy H., Instructor, Medicine, Leave without pay, 5/1/80 through 5/30/81.

Powell, Deborah E., Professor (with tenure), Pathology, Maternity leave, 6/5/80 through 7/16/80.

College of Nursing

Zeeger, Louise J., Associate Professor* (with tenure), Leave with pay, 9/15/80 through 10/8/80.

COMMUNITY COLLEGE SYSTEM

Elizabethtown Community College

Todd, Nellie F., Associate Professor in the Community College System (with tenure), Leave without pay, 7/1/80 through 6/30/81.

Jefferson Community College

Bechtel, Carter, Associate Professor in the Community College System (with tenure), Leave without pay, 1/1/81 through 6/30/81.

Krantz, Phyllis J., Associate Professor in the Community College System (with tenure), Sabbatical leave with half-salary, 7/1/80 through 6/30/81.

Newberry, Anthony, Assistant Professor in the Community College System, Leave without pay, 7/1/80 through 6/30/81.

Ronniger, Phillip, Associate Professor in the Community College System (with tenure), Sabbatical leave with half-salary, 7/1/80 through 6/30/81.

Lexington Technical Institute

Shear, Donald, Professor in the Community College System (with tenure), Cancel Sabbatical leave with half-salary, 8/16/80 through 5/15/81, approved by the Board of Trustees 8/24/79.

Prestonsburg Community College

Fish, Celia A., Instructor in the Community College System, Leave without pay, 7/1/80 through 6/30/81.

*Special Title Series

Southeast Community College

Roth, LaVerne, Instructor in the Community College System, Leave without pay, 7/1/80 through 6/30/81.

Sundy, Carolyn, Assistant Professor in the Community College System, Leave without pay, 7/1/80 through 6/30/81.

Action taken: Approved XX Disapproved _____ Other _____

Date: June 27, 1980

Office of the President
June 27, 1980

PR 3A

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Appointments and/or Other Staff Changes

Recommendations: (1) that approval be given to the appointments and other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

ADMINISTRATIVE ACTION

A. RETIREMENTS

COMMUNITY COLLEGE SYSTEM

Ashland Community College

Davidson, Louise F., Librarian I in the Community College System, after 13 consecutive years of service, effective 6/30/80.

Henderson Community College

Carrico, Sister Angela, Associate Professor in the Community College System, after 9.5 consecutive years of service, effective 6/30/80.

II. Special Exception to Retirement Resolution

Recommendation: that, notwithstanding the provision of AR II-8.0-4 (effective September 18, 1979) the formula for the computation of supplemental retirement income for the following individuals: Allen, S.Q., Fuqua, J.E., Herron, J.W., Miller, H.F., Tuttle, J.W., and Varney, W.Y. be as follows:

Fixed Annual Supplemental Retirement Income	equals	Computed ¹ Minimum Annual Retirement Benefit	less	Computed ² Annual Benefit from Civil Service Retirement Plan
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¹Computed as explained in Section III.B.1

²Computed on the basis of assumption in Section III.B.5. (b)

Background: This action, which has application only to the employees named above, is necessary to correct a possible inequity with respect to retirement benefits for the named personnel growing out of mixed entitlements to University - TIAA/CREF - Civil Service retirement benefits. All of the personnel involved are employees in the College of Agriculture.

Action taken: Approved XX Disapproved Other

Date: June 27 , 1980

Office of the President
June 27, 1980

PR 3B

Members, Board of Trustees:

UPDATED AND EDITORIALY REVISED GOVERNING REGULATIONS

Recommendation: That updated, editorially revised University of Kentucky Governing Regulations, dated June 1980, be received and approved.

Background: The updated, editorially revised Governing Regulations under consideration contain (1) all amendments approved by the Board through June 27, 1980, (2) editorially modified wording eliminating the use of pronouns such as he and him, and (3) a new and useful index.

Action: Approved xx Disapproved _____ Other _____
Date: June 27, 1980

GOVERNING REGULATIONS
of the
UNIVERSITY OF KENTUCKY

June 1980

CONTENTS

I.	The University of Kentucky (Definition)	1
A.	University System of the University of Kentucky	1
	Statutes	1
	Recognized	1
	Agricultural Extension and Experiment Work	1
	Colleges Maintained	1
	Programs, Requirements	2
B.	Community College System of the University of Kentucky	3
II.	Governance of the University of Kentucky	4
	General Statement	4
A.	Board of Trustees	5
	1. Definition	5
	2. Membership	5
	3. Meetings	7
	4. Officers	7
	5. Committees	8
	6. Powers and Responsibilities	8
	7. Order of Business	10
B.	Community College Advisory Boards	11
III.	The President of the University	13
IV.	The University Senate (University System)	15
V.	The Senate of the Community College System	19
VI.	The University Assembly	22
VII.	University Organization	23
	General Statement	23
A.	Educational Organization	23
	1. Definition	23
	2. Academic Ranks	24
	3. The Graduate Faculty (University System)	25
	4. Faculties of Colleges (University System)	26
	5. Faculties of Schools (University System)	27
	6. Faculties of Departments (University System)	28
	7. Staffs of Interdisciplinary Research Institutes (University System)	29

8.	Faculty Organization in the Community	
	College System	29
	a. Faculties of Colleges	29
	b. Faculty Assemblies	30
	c. Faculties of Divisions	30
9.	Student Participation	31
B.	Administrative Organization	31
	1. Definition	31
	2. Dean of the Graduate School and Coordinator of Research	32
	3. Dean of Undergraduate Studies	32
	4. Deans of the Colleges (University System)	33
	5. Directors of Schools (University System)	33
	6. Department Chairmen (University System) .	34
	7. Chairmen of Interdisciplinary Instructional Programs (University System)	35
	8. Directors of Community Colleges	35
	9. Division Chairmen (Community College System)	37
VIII.	Appointments	38
A.	Administrative Appointments	38
	1. Regular Appointments	38
	2. Acting Appointments	39
	3. Search Committees	39
	4. Terms of Chairmen	40
	a. Department Chairmen (University System)	40
	b. Chairmen of Interdisciplinary Instructional Programs (University System)	40
	c. Division Chairmen (Community College System)	41
B.	Academic Appointments, Reappointments, Promotions, and Granting of Tenure	41
IX.	Reviews of Educational Units	42
X.	Regulations Affecting Academic Employment	43
A.	Merit as the Basis for Appointment	43
B.	Tenure	43
	1. Types of Appointment	43
	2. Probationary Periods	45
	3. Appointment Record	46
	4. Notification of Non-Renewal of Appoint- ment: Non-Tenured Appointments	46

5.	Termination of Appointment	47
a.	Reasons for Termination	47
b.	Procedure	47
c.	Suspensions	48
6.	Academic Freedom of Non-Tenured Faculty	49
7.	Resignation	49
8.	Administrative Personnel	50
9.	Graduate Student Academic Staff	50
10.	Opportunities for Hearings	50
11.	Change of Assignment	51
C.	Conditions of Employment	51
1.	Term of Appointment	51
2.	Vacation Leave	51
3.	Professional Practices	51
a.	General Policies	51
b.	Physicians' Services Plan	52
c.	Dentists' Services Plan	53
4.	Patent Policies	53
5.	Leaves of Absence	54
a.	Sabbatical Leave	54
b.	Other Leaves with Pay	56
(1)	Sick Leave	56
(2)	Miscellaneous	56
c.	Leave without Pay	56
6.	Faculty Members as Candidates for Degrees	56
7.	Faculty Members as Scholars and Citizens	56
8.	Retirement	57
9.	Social Security	57
10.	Group Insurance	58
11.	Workmen's Compensation	58
12.	Total Disability Insurance	58
XI.	Student Affairs	59
A.	University of Kentucky Student Government	59
B.	Student-University Relationships	59
XII.	Miscellaneous	61
A.	Equal Opportunity	61
B.	Solicitation of Funds	61
C.	Campus Sales	61
D.	Use of University Facilities	61
E.	Motor Vehicle Traffic and Parking Regulations	62
F.	Representation and Attendance at Meetings	62
G.	Order of Communication and Reports	63

XIII. University of Kentucky Development Council	64
XIV. Amendments	65
Appendix: Powers and Duties of Council on Higher Education	66
Index	68

PART I

THE UNIVERSITY OF KENTUCKY (DEFINITION)

The University of Kentucky consists of two parts: (1) the University System and (2) the Community College System.

A. University System of the University of Kentucky

The term "University System of the University of Kentucky" refers to the institution described in the following statutes:

164.100 UNIVERSITY OF KENTUCKY RECOGNIZED. The University of Kentucky, located at Lexington, is recognized as established and maintained. It is the institution that was founded under the land grant of 1862 by the Congress of the United States under the corporate designation and title of "Agricultural and Mechanical College of Kentucky." The university shall be maintained by the state with such endowments, incomes, buildings and equipment as will enable it to do work such as is done in other institutions of corresponding rank, both undergraduate and postgraduate, and embracing the work of instruction as well as research.

164.110 ACTS ASSENTING TO ACTS OF CONGRESS FOR AGRICULTURAL EXPERIMENT WORK CONTINUE IN FORCE AND APPLY TO UNIVERSITY OF KENTUCKY. All Acts of the General Assembly giving assent to Acts of Congress providing aid for agricultural and mechanical colleges and for agricultural extension and experimental work, shall, unless heretofore repealed, remain in force and apply to the University of Kentucky. The revenue arising from such Acts of Congress shall continue to be made available to the University of Kentucky for its use in accordance with the provisions of such Acts. All regulations made by the Board of Trustees of the Agricultural and Mechanical College for its government, in accordance with Acts of the General Assembly, shall continue in force and apply to the government of the University of Kentucky, except to the extent set out in this chapter or specifically repealed.

164.120 COLLEGES MAINTAINED; WHAT CONSTITUTES UNIVERSITY OF KENTUCKY. The University of Kentucky includes the following colleges and schools: the College of Arts and Science, the College of Agriculture, the College of Engineering, the College of Law, the College of Education, the College of Commerce and the Graduate School. The colleges, schools, divisions, departments, bureaus and offices now established and maintained or which in the future may be established by the Board of Trustees of the university shall constitute the University of Kentucky. The branches of learning required by the Act of Congress approved July 2, 1862, shall continue to

be integral and indispensable courses of instruction at the university.*

164.125 UNIVERSITY PROGRAMS, REQUIREMENTS.

- (1) The University of Kentucky shall provide:
 - (a) Baccalaureate programs of instruction;
 - (b) Upon approval of the Council on Higher Education, master degree programs, specialist degree programs above the master degree level, and joint doctoral programs in cooperation with other state-supported institutions of higher education in the state;
 - (c) Upon approval of the Council on Higher Education, doctoral and post-doctoral programs and professional instruction including law, medicine, dentistry, education, architecture, engineering and social professions.
- (2) The University of Kentucky shall be the principal state institution for the conduct of statewide research and statewide service programs and shall be the only institution authorized to expend State General Fund appropriations on research and service programs of a statewide nature financed principally by state funds. As applied in this section, research and service programs of a statewide nature shall be programs requiring the establishment and operation of facilities or centers outside of the primary service area of the institution.
- (3) The University of Kentucky is authorized to provide programs of a Community College nature in its own community comparable to those listed for the Community College System, as provided by this chapter. (1966, C 6, s 3)

* The College of Commerce has been renamed the College of Business and Economics (February 18, 1966) and, in accordance with this statute, the Colleges of Pharmacy (July 1, 1947), Medicine (June 1, 1954), Dentistry (May 28, 1956), Nursing (May 28, 1956), Architecture (July 1, 1964), Allied Health Personnel (January 1, 1966; renamed Allied Health Professions, January 20, 1967), Home Economics (July 1, 1967), Library Science (April 2, 1968), Social Professions (June 24, 1968; renamed Social Work, May 6, 1980), Communications (May 4, 1976), and Fine Arts (May 4, 1976) have been established).

B. Community College System of the University of Kentucky

The University of Kentucky Community College System consists of a system of community colleges located in various parts of the state at points established by statute. (KRS 164.591; 164.593) KRS 164.580 directs that each community college shall provide: (1) a general two-year academic curriculum with credits transferable to two-year and four-year colleges and universities; (2) technical and semi-professional programs of two years or less; and (3) within a two-year college curriculum, courses in general education, not necessarily intended for transfer nor technically oriented.

The Community College System also includes the Lexington Technical Institute operated as authorized by KRS 164.125.

PART II

GOVERNANCE OF THE UNIVERSITY OF KENTUCKY

The governance of the University of Kentucky is vested by law in the Board of Trustees. (KRS 164.130) Within the limits set by the State Constitution and the federal and state laws,* the Board of Trustees is the final authority in all matters affecting the institution, and it exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments. The Board has the same powers with respect to the community colleges that it has to the University of Kentucky in general. (KRS 164.595)

These Governing Regulations of the University of Kentucky describe the composition, powers, and duties of the Board of Trustees of the University of Kentucky, as defined by Kentucky Statutes, and establish policies and procedures for the performance of its functions. In the Governing Regulations, the Board of Trustees has delegated certain responsibilities to the President and the University Senate in order to provide for the responsible and efficient administration of the University System and the accomplishment of its goals. It has likewise delegated certain responsibilities to the President and the Senate of the Community College System with respect to the operation of the University of Kentucky Community College System. As the chief administrative officer of the University, including the Community College System, the President is authorized by the Board to promulgate the Administrative Regulations and the Personnel Policy and Procedure Manual which provide interpretation and implementation of these Governing Regulations and of the Minutes of the Board and which delineate policies within the sphere of delegated responsibility. The University Senate is authorized to issue rules implementing the responsibility delegated to it in these Governing Regulations. Likewise, the Senate of the Community College System is authorized to issue rules implementing the responsibility delegated to it in these Governing Regulations.

* The Council on Higher Education in Kentucky (KRS 164.010) has powers and duties that relate to the governance of the University of Kentucky. These powers and duties are listed in the Appendix to these regulations.

Where appropriate, the detailed rules and regulations appearing in the Administrative Regulations, the Personnel Policy and Procedure Manual, the Rules of the University Senate, and the Rules of the Senate of the Community College System should refer to the source or sources in the Governing Regulations or Minutes of the Board, so that interested persons seeking guidance will find it necessary to consult only the Administrative Regulations, the Personnel Policy and Procedure Manual, and the Rules of the University Senate or the Rules of the Senate of the Community College System.

A. Board of Trustees

1. Definition

The Board of Trustees is a body corporate, under the name of Board of Trustees of the University of Kentucky, with the usual corporate powers, and possesses all the immunities, rights, privileges, and franchises usually attaching to the governing bodies of educational institutions. It may receive, hold, and administer, on behalf of the University, subject to the conditions attached, all revenues accruing from endowments, appropriations, allotments, grants or bequests, and all types of property. (KRS 164.160)

No member of the Board of Trustees or its administrative staff may be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the three faculty members. (KRS 164.130)

No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board. (KRS 164.150)

All necessary expenses incurred by the Trustees in traveling to and from and while attending meetings of the Board of Trustees are designated to be paid out of the funds of the University. (KRS 164.170)

2. Membership

The Board of Trustees of the University of Kentucky consists of sixteen competent citizens of Kentucky appointed by the Governor, three members of the teaching faculty of the University (two from the University System and one from the Community College System) who shall have the right to vote on all matters except that of faculty compensation, and one member of the student body of the University of Kentucky. The initial terms of the appointed members shall be for four years and until their successors are appointed and qualify. Whenever terms expire after July 14, 1980, the terms of members appointed by the Governor to fill the vacancies shall be for six years. Three of the appointed members shall be

representative of agricultural interests, three shall be alumni of the University, and ten shall be other distinguished citizens of the Commonwealth representative of the learned professions. The Governor shall so make the appointments as to divide the representation on the Board as equally as possible between the two leading political parties of Kentucky. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.130 as amended by the General Assembly in 1972 and 1980)

Each alumni member is appointed by the Governor from three persons required to be citizens of Kentucky and nominated by the alumni by a procedure prescribed by the Board of Trustees. (KRS 164.130; KRS 164.140)

The two faculty members representing the University System of the University of Kentucky shall be teaching or research members of the faculty of the University System of the rank of assistant professor or above, elected by secret ballot by all faculty members of the rank of assistant professor or above in the University System. Faculty members shall serve for terms of three years and until their successors are elected and qualify. They are eligible for re-election but are ineligible to continue to serve as members of the Board if they cease to be members of the teaching staff of the University System. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections. The authority to develop procedures for the election of faculty members of the University System to serve as members of the Board in accordance with the provisions of KRS 164.130 is hereby delegated to the University Senate.

The one faculty member representing the Community College System of the University of Kentucky shall be a teaching member of the faculty at one of the community colleges in the Community College System, elected by secret ballot by members of the community college faculties. The community college faculty member shall serve for a term of three years and until a successor is elected and qualified. No community college shall have a faculty representative on the Board for more than one term in succession. The community college faculty representative shall be ineligible to continue to serve as a member of the Board if that individual ceases to be a member of the teaching faculty at one of the community colleges. An election to fill a vacancy for an unexpired term shall be held in the same manner as for the original election. The authority to develop procedures for the election of a faculty member of the Community College System to serve as a member of the Board in accordance with the provisions of KRS 164.130 is hereby delegated to the Senate of the Community College System.

The student member is the president of the student body of the University except that, if the president of the student body is not a full-time student who maintains permanent residency in the Commonwealth of Kentucky, a special election must be held to select a full-time student who does maintain permanent residency in this Commonwealth as the student member. (KRS 164.130)

The number of faculty and student members elected to the University of Kentucky Board of Trustees shall not exceed four. (KRS 164.130 as amended by the 1980 General Assembly)

3. Meetings

The Board of Trustees must hold at least four regular meetings per year. Dates designated by law are: the Tuesday preceding the regular annual Commencement of the University; the third Tuesday in September; the second Tuesday in December; and the first Tuesday in April. Special meetings may be called by the chairman or by any three members upon giving ten days' written notice to each member of the Board. The business to be transacted at special meetings must be specified in the notice of the meeting. All meetings are to be held on the campus of the University unless otherwise specified by a majority vote of the Board. A majority of the voting members of the Board constitutes a quorum. (KRS 164.170)

The Board of Trustees may adjourn any regular or called meeting to any date that it may set for such adjournment. Any meeting of the Board, if a quorum is not present, may be adjourned by the members attending to a time when a quorum shall be present.

The meetings of the Board of Trustees are required to be open to the public. The Board is required to arrange for a stenographic transcript of each of its meetings to be prepared and filed, to send an agenda for each of the meetings to each member of the Board, and to make a copy available to the press. (KRS 164.170)

The President of the University shall attend all meetings of the Board of Trustees and of its Executive Committee.

4. Officers

The chairman, vice chairman, secretary, and assistant secretary of the Board of Trustees shall be elected annually at the September meeting of the Board. In the absence of the chairman, the vice chairman presides. The secretary keeps the Minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the Minutes in accordance with instructions from the Board. The secretary is responsible for the maintenance of an official

revised copy of these Governing Regulations and for the publication of revisions from time to time. The assistant secretary is empowered to perform the duties of the secretary when the secretary is not present on the Lexington campus of the University and at such other place or places as are required for the efficient performance of the secretary's duties. The assistant secretary need not be a member of the Board of Trustees.

5. Committees

The Board of Trustees annually elects an Executive Committee of five members, which has the powers that the Board delegates to it. (KRS 164.190) This election shall be held at the September meeting of the Board. Vacancies may be filled at any meeting of the Board. The Board designates one member of the Committee to serve as its chairman. In general, the Committee exercises oversight of the financial and business interests of the University and possesses the same powers as the Board during the periods between meetings of the full Board.

Ordinarily, the Executive Committee meets at least once each month, except for the months in which regular meetings of the Board of Trustees are held. Special meetings may be held as necessary on the call of the chairman. The secretary of the Board of Trustees acts as secretary, ex officio, of the Executive Committee. The President of the University shall attend all meetings.

The Executive Committee is required to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee. The authority of the Board to amend the actions of the Executive Committee does not extend to the rejection of any valid or authenticated expenditure of money by the Executive Committee. (KRS 164.190)

The Board of Trustees may appoint special committees at such times and for such purposes as it deems desirable.

6. Powers and Responsibilities

The powers and responsibilities of the Board of Trustees include the following:

It may establish proper regulations for the governance of the University and the physical training, military or otherwise, of the students. It may authorize the suspension and dismissal of students for neglect or violation of the regulations or for other conduct prejudicial to the character and welfare of the University. (KRS 164.200)

It may determine from time to time the colleges, schools, divisions, departments, bureaus, and offices which shall comprise the University within the scope of the Acts of Congress approved July 2, 1862, and Acts supplementary thereto, and the relation which each division shall sustain to each other division and to the whole. It may devise, allot, and arrange the distribution of divisions with the designation appropriate for each, and devise the means required for their effective instruction, administration, and governance. (KRS 164.210)

It may appoint a president, professors, assistants, tutors and other personnel and determine the compensation, duties, and official relations of each. In appointments of presidents, professors and instructors no preference may be shown to any religious denomination.* It is required to provide compensation for all positions created and filled by the Board. It may provide for employee retirement benefits and such other employee benefits as are related to the respective employments and services furnished. Retirement plans may include, but without limitation, the retirement ages, the benefits of employees including group insurance, annuities, establishment of a trust fund or funds, and, the amounts to be paid or contributed by employees and the amounts to be paid or contributed by the University of Kentucky, and other appropriate terms and provisions with respect thereto. (KRS 164.220) It is further designated as an independent agency and instrumentality of the Commonwealth and given exclusive jurisdiction of the appointments, qualifications, salaries and compensation payable out of the State Treasury or otherwise, promotions, and retirement programs described. (KRS 164.225).

It has full power to suspend or remove any of the officers, teachers, professors, or agents that it is authorized to appoint, except that no president, professor, or teacher may be removed except for incompetency, neglect of or refusal to perform duties, or for immoral conduct, and then only after ten days' notice in writing stating the nature of the charges preferred, and after the individual has been given an opportunity to make defense before the Board by counsel or otherwise and to introduce testimony which shall be heard and determined by the Board. (KRS 164.230)

* See Parts X.A and XII.A

It may grant degrees to graduates of the University, prescribe conditions upon which postgraduate honors may be obtained, and confer such honorary degrees upon the recommendation of the faculty of the University as it deems proper. (KRS 164.240)

It is required to make a full report to the General Assembly, within the first month of each regular session, of the condition and operation of the University since the date of the previous report, with such recommendations concerning the University as are deemed necessary. (KRS 164.250)

It may acquire additional lands or other property or material for the purpose of expanding its plant and extending its usefulness. When unable to contract with the owner of land or other property or material necessary for the purposes of the University, it may acquire the same by condemnation proceedings in the manner provided in KRS 416.010 to 416.080. (KRS 164.260)

It may dispose of real estate held by the Commonwealth for the use or benefit of the University or the Kentucky Agricultural Experiment Station as authorized in KRS 164.270 and KRS 45.360.

The Board of Trustees has the same powers with respect to the community colleges that it has to the University of Kentucky in general. It is directed to designate each community college with a name that includes the words "Community College." It is directed to encourage and is permitted to accept donations of lands or funds or both to be used in the acquisition, construction or operation of community colleges. It is authorized to commemorate donations from private persons or corporations with suitable memorials. It is authorized to accept federal grants to be used in the acquisition, construction or operation of community colleges. (KRS 164.595)

The Board approves the establishment of associate degree and certificate programs in the Community College System together with the requirements for the degrees and certificates. It awards associate degrees and certificates upon the recommendation of the Senate of the Community College System.

7. Order of Business

The order of business at meetings of the Board of Trustees shall be as follows:

Call to Order
Invocation
Roll Call

Reading and Approval of Minutes with Necessary Modifications
Report of the President
Consideration of President's Recommendations for Action
Consideration of President's Discussion Items
Reports of Committees
Other Business
Adjournment

General parliamentary rules shall be observed in conducting the business of the Board of Trustees except as these may be modified by rules and regulations adopted by the Board.

B. Community College Advisory Boards

The community college advisory boards advise on matters of the two-year professional-technical programs and local community educational needs. The statute under which these advisory boards were established follows:

164.600 ADVISORY BOARD; MEMBERSHIP; EXPENSES.

(1) There shall be a local advisory board for each community college. Each local advisory board shall serve in an advisory capacity to the Board of Trustees and the head of the community college on the operation of the community college.

(2) Each local advisory board shall consist of nine members, seven of whom shall be appointed by the Governor. The other two local advisory board members shall be one non-voting member of the teaching faculty and one non-voting member of the student body. An appointed member's term is four years; however, when appointing the initial members of a local advisory board, the Governor may appoint some members for terms of less than four years.

(3) The faculty member shall be on the teaching faculty of the community college and shall be elected by secret ballot by all full-time faculty members of the community college. Faculty members shall serve for terms of three years and until their successors are elected and qualified. Faculty members shall be eligible for re-election, but they shall be ineligible to continue to serve as members of the local advisory boards if they cease to be members of the teaching staff of their respective community colleges. Elections to fill vacancies for any unexpired terms shall be held in the same manner as provided for the original elections.

(4) The student member shall be the president of the student body of the community college. If the president of the student body is not a full-time student who maintains permanent residency in the Commonwealth of Kentucky, a special election shall be held to select a full-time student who does maintain permanent residency in this Commonwealth as the student member.

(5) Local advisory board members shall receive no compensation for their services, but shall be paid for their actual and necessary expenses. (1970, C 140, s 1)

PART III

THE PRESIDENT OF THE UNIVERSITY

The President is the chief executive officer of the University, including the Community College System, and has full authority and responsibility over the administration of the academic, business, and fiscal operations of the University. It is the duty of the President to make recommendations relating to the general policies of the institution and to the maintenance of coordination among its several functions. It is also the President's duty, directly or through the various University officers, to supervise and administer all phases of the University's operations, both business and academic, including all departments, divisions, and colleges, and to perform all other administrative functions, whether expressly enumerated herein or not, necessary or appropriate for the effective operation of the University.

The President is responsible for the preparation of the biennial budget request and the annual budget for approval by the Board of Trustees and for the administration of the annual budget after approval by the Board. In the administration of the annual budget, the President shall exercise authority delegated by the Board for the transfer of funds within major fund groups, provided that all such transfers of funds shall be reported to the Board.

The President may delegate any of the President's assigned authorities or responsibilities to another person in the Office of the President, or to any other member of the administrative staff or faculty of the University. The President shall, however, have the prior consent of the Board of Trustees before delegating major areas of authority or responsibility.

The vice presidents, deans, directors, and all other subordinate administrative officers shall be subject to the supervision and direction of the President.

Subject to the regulations of the Board of Trustees, the President officially speaks for the University before all federal, state, and local government offices, boards, and agencies and is the non-voting representative of the University on the Council on Higher Education.

The President is chairman of the University Senate and an ex officio member of the various college faculties and may call meetings of the University Senate, the University Assembly, and any college faculty.

The President is responsible for fostering and promoting instruction, research, and service as the primary functions of

the University. In this connection the President may make policy recommendations to the Board of Trustees, the University Senate, and the Senate of the Community College System, which are recognized as the primary educational policy-forming agencies of the University. The President must, of necessity, in the day-by-day direction of University affairs, interpret existing policies and, in some degree, establish new policy with respect to both educational and business and financial matters. Such policy determination shall be within the scope of the President's authority.

While responsible for the enforcement of the rules and regulations of the Board of Trustees, the University Senate, and the Senate of the Community College System, the President shall provide also for the application of these rules and regulations to individual cases. The President may suspend in a particular case under extraordinary circumstances a rule of the University Senate or the Senate of the Community College System, except rules concerning admission and the number of credits and quality points required for graduation.

The President shall serve as the official medium of communication between the Board of Trustees, on the one hand, and the University Senate, the Senate of the Community College System, administrative officers, individual members of the faculty and staff, student organizations, and students on the other. In this connection it shall be the President's responsibility to transmit to the Board all formal communications from the University Senate, the Senate of the Community College System, and the Student Government along with the viewpoints of the body submitting a communication, including any minority opinions, and the President's own recommendations for action.

Incident to the administration of the University, the President may utilize subordinate administrative officers as appropriate. The President may appoint, or have appointed, temporary or standing advisory or administrative committees and, with the approval of the Board of Trustees, may establish academic and/or administrative councils.

In the event of a vacancy in the office of President or disability of the President, the Vice President for Administration shall exercise the functions of the President in the absence of the appointment of an Interim President by the Board of Trustees. If the Board finds it desirable to appoint an Interim President, it shall seek advice from a joint Board-Faculty committee if such has been constituted to recommend the appointment of a President or, if the committee has not been constituted, from the University Senate Council.

PART IV

THE UNIVERSITY SENATE (UNIVERSITY SYSTEM)

The University Senate shall be composed of both elected and ex officio membership.

The elected membership shall number 185, of which 160 members shall represent the faculty and 25 members shall represent the student body.

The 160 elected faculty members shall be apportioned each spring among the colleges and the University Libraries according to the following two equally weighted factors based on data for the preceding fall semester: (1) the number of full-time teaching and/or research faculty, except those appointed in the research title or visiting series, with the rank of assistant professor or higher in the college or the University Libraries; and (2) the number of full-time students enrolled in the college, computed so that students enrolled in the Graduate School shall be assigned to the college in which they are pursuing their studies. Each elected faculty member shall serve for a term of three years and shall be eligible for re-election for a second consecutive term but ineligible for further re-election until one year has elapsed. If a faculty member of the Senate should at any time during a term become ineligible to serve (e.g., by reason of assuming an administrative title, resigning, or taking official leave which precludes attendance), the administrative head of the group represented shall declare a vacancy and designate that member from the eligible faculty who at the last election received the next highest vote to serve for the duration of the elected faculty member's ineligibility.

The elected student membership shall consist of and represent the members of the full-time student body in the various colleges of the University System. Each college shall be allotted at least one student representative. The remaining student membership positions shall be apportioned among the colleges on the basis of relative student populations of those colleges for the school year in which the student membership elections are held. Students with no declared major shall be represented through the College of Arts and Sciences. Yearly adjustments shall be made to keep apportionment equitable, with the elected student membership maintained at 25. Each elected student member shall be a junior, senior, graduate or professional student, shall not be on either academic or disciplinary probation, shall serve for a term of one year, and shall be eligible for re-election as often as the individual remains a full-time undergraduate, graduate, or professional student in the University System. If a student should at any time become ineligible to serve (e.g., by relinquishing status as a full-time student, being placed on academic probation or violating the Senate attendance regulations), the administrative head of the group represented

shall declare a vacancy and designate that member from the eligible student body who at the last election received the next highest vote to serve for the duration of the elected student member's ineligibility.

The ex officio voting membership shall include the Vice President for Academic Affairs, Vice President for the Medical Center, Vice President for the Community College System, Dean of the Graduate School and Coordinator of Research, Dean of Undergraduate Studies, deans of the colleges, the Director of Libraries, and the president of the student body.

The ex officio non-voting membership shall include the President, all other vice presidents, Dean of Admissions and Registrar, Dean of University Extension, Dean of Students, Professor of Military Science, Professor of Aerospace Science, and, if they are not already elected members of the Senate, the faculty members of the Board of Trustees, the Academic Ombudsman, and the chairmen of the several standing University Senate Committees, including Senate advisory committees. Other ex officio members may be added by the University Senate Council for the purpose of supplying information and viewpoints on problems considered by the Senate. Ex officio members shall enjoy all the privileges of the elected membership except the right to vote.

The Senate is authorized to develop rules for the conduct of its functions, copies of these rules being furnished to the President and the Board of Trustees. It may perform its functions directly, through the Senate Council*, through standing or special committees which it may appoint or authorize for appointment, or through delegation of authority and responsibility to the faculties of the Graduate School or the colleges. Standing committees of the Senate, responsible to the Senate, shall be appointed by the Senate Council unless other methods of appointment have been authorized by the Senate.

The Senate also may establish Senate advisory committees which shall be responsible, in an advisory capacity, to the President or other administrative officer(s) or administrative unit(s). These committees also shall be responsible to the Senate for reporting upon issues which bear upon the functions of the Senate and for making recommendations for action. Senate advisory committees shall be appointed by the President from nominations made by the Senate Council or following consultation with the Council.

* Members of the Senate Council will remain members of the Senate until the end of their terms on the Council. They will not be counted as a part of the quota of the administrative unit that they have represented nor of the maximum membership of the Senate after the expiration of their elected memberships.

The President shall be the chairman of the Senate and shall be the presiding officer except as the President may delegate this function. The Dean of Admissions and Registrar shall serve as ex officio secretary. A member of the voting University faculty (not necessarily a member of the Senate), appointed by the Senate Council, shall serve as parliamentarian. The sergeant-at-arms, appointed by the Senate Council, shall be a member of the voting University System faculty who is not a member of the Senate.

The Senate shall hold regular monthly meetings during the academic year when classes are in session and, after adequate notice by its secretary, special meetings on the call of the President or the Senate Council or on the written request of ten (10) members.

Any member of the administrative, instructional, or research staff may attend a meeting of the Senate as a visitor and request the privilege of the floor.

The functions of the University Senate shall include the following:

- Determine the broad academic policies of the University System and make regulations to implement these policies.

- Approve all new academic programs, curricula, and courses offered in the University System.

- Adopt policies for the University System academic calendar and approve the annual calendar as prepared by the Dean of Admissions and Registrar.

- Recommend to the President on the establishment, alteration, and abolition of educational units in the University System.

- Advise the President or the President's designated officer on the planning for physical facilities and staff when these may affect the attainment of educational objectives of the University System.

- Advise the President or the President's designated officer, through appropriate committees, on criteria for appointments, reappointments, promotions, and granting of tenure in the University System.

- Determine the conditions for admission and for degrees, other than honorary degrees, in the University System.

- Recommend to the President all candidates for degrees in the University System.

Beyond those listed in the above, the Senate shall have no management or administrative functions either in itself or through the instrumentality of its committees.

The University Senate may address the President or, through the President, the Board of Trustees, regarding any University matter.

PART V

THE SENATE OF THE COMMUNITY COLLEGE SYSTEM

The Senate of the Community College System shall be composed of elected, ex officio, and appointed members.

The elected faculty membership shall consist of and represent proportionately the full-time faculty in the various community colleges and the technical institute, except that no college or the technical institute shall have fewer than three senators. Moreover, each community college and the technical institute shall elect an additional senator for each twenty-five (or major fraction thereof) full-time faculty members above the first twenty-five. At least one of the three senators shall have responsibility in the occupational program area and at least one shall have responsibility in the general education area, except for the senators from the technical institute. The faculty of each community college and the technical institute shall nominate candidates for senator(s) and elect the senator(s) by secret ballot during the month of April preceding the term of office beginning on August 15. Each elected senator shall serve for a term of two years and shall be eligible for re-election for a second consecutive term but ineligible for further re-election until one year has elapsed.

The ex officio membership shall include the Vice President for the Community College System, the Assistant Vice President for the Community College System, the chairman of each advisory committee to the Senate, and the president of the student body at each community college and technical institute. The term of office for a student member shall be one year. An advisory committee chairman's term as senator shall be coterminous with that individual's term as chairman of the committee. Ex officio members shall enjoy all the privileges of the elected membership.

The appointed membership shall consist of three community college or technical institute directors and three other administrators, each of whom shall be appointed by the Vice President for the Community College System. In addition, any member of the Community College Council appointed by the Vice President for the Community College System to correct an imbalance in the elected senators in terms of professional areas of specialization shall be a member of the Senate. The term of office and eligibility for reappointment for an appointed senator shall be the same as for an elected senator. Appointed members shall enjoy all the privileges of the elected membership.

In order that there be a continuity of membership, the present members of the Community College Council shall serve as senators until the expiration of their current terms. The Vice President for the Community College System shall establish a procedure for initially electing or appointing some senators for other than

two-year terms so that the terms of approximately one-half of the senators shall expire during each succeeding year.

A vacancy in an unexpired term on the Senate shall be filled in a manner consistent with the original selection process applicable to that member's category. Service of one year or more in an unexpired term shall constitute a full term.

Consistent with these regulations, the Senate of the Community College System is authorized to develop regulations for the conduct of its functions, which shall be known as the Rules of the Senate of the Community College System. Copies of these regulations shall be furnished the President and the Board of Trustees. It may perform its functions directly, through the Community College Council, or through standing, special, or advisory committees which it may appoint or authorize for appointment. The Vice President for the Community College System shall appoint the members of standing committees from the eligible members of the Senate; i.e., those not serving on the Community College Council. The advisory committees to the Senate of the Community College System (other than the Committee on Privilege and Tenure) shall be appointed by the co-chairmen of the Community College Council.

The Community College Council shall be composed of one duly elected senator from each college or the technical institute to serve a two-year term; two students elected by and from the student senators to serve a one-year term; one director designated by and from the directors who have been appointed to the Senate, for a one-year term; the Vice President for the Community College System and the Assistant Vice President for the Community College System, who shall serve as ex officio members; and the chairmen of the standing committees, who shall serve as ex officio members. All Council members must be taken from the membership of the Senate. A Council member's term may not exceed that individual's term as a senator. In the event there is an imbalance in the duly elected senators in terms of professional area of specialization, the Vice President for the Community College System is authorized to appoint to the Community College Council a maximum of three additional faculty members who shall become members of the Senate.

The Vice President for the Community College System and a person elected from and by the membership of the Community College Council shall serve as co-chairmen of the Community College Council.

The Vice President for the Community College System shall be chairman of the Senate of the Community College System and shall serve as presiding officer for all meetings except as the Vice President may delegate this function.

The Senate shall meet annually in regular session at a time and place designated in a call by the Vice President for the

Community College System. Special sessions may be called by the President, by the Vice President for the Community College System, or by a petition from at least fifteen (15) senators to the Vice President for the Community College System.

Full-time and part-time faculty, advisory board members, Community College System staff members, and Community College System students may attend Senate and/or Council meetings and request the privilege of the floor. They shall not have the right to vote.

The functions of the Senate of the Community College System shall include the following:

- Approving academic policies and curricula for the Community College System.

- Approving courses and other academic offerings in the Community College System not offered in the University System.

- Approving policies and regulations governing admission, classification, probation, and dismissal of Community College System students.

- Approving an academic calendar generally consistent with the University System calendar.

- Recommending to the University Senate on courses offered in the Community College System which are also offered in the University System.

- Recommending to the Vice President for the Community College System on criteria for faculty appointments, reappointments, promotions, and granting of tenure in the Community College System.

- Recommending to the President all candidates for degrees and certificates from the community colleges and the technical institute. The Senate may delegate this function to the faculties of the community colleges and the technical institute.

Beyond those listed above, the Senate of the Community College System shall have no management or administrative functions either in itself or through the instrumentality of its committees.

The Senate of the Community College System may address the following regarding any matter of concern to the University: the Vice President for the Community College System; the President, through the Vice President; and the Board of Trustees, through the Vice President and the President.

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PART VI

THE UNIVERSITY ASSEMBLY

The University Assembly shall consist of all members of the faculties of the University System and the Community College System, including emeritus members, and all members of the administrative staff who perform functions directly supporting academic affairs. It shall meet in special session on the call of the President, the University Senate, or the Senate of the Community College System. The President of the University or the President's authorized representative shall preside. The Dean of Admissions and Registrar shall be secretary of the Assembly and shall be responsible for adequate notice of meetings, including a statement of subject or subjects to be discussed.

The Assembly may express its views on any matter affecting the University to the University Senate, to the Senate of the Community College System, to the President or, through the President, to the Board of Trustees.

PART VII

UNIVERSITY ORGANIZATION

For the purpose of administering the various programs of the University, there shall be established educational and administrative units within the University. All units of the University shall be established, altered, or abolished only on vote of the Board of Trustees. For matters having to do with the organization of the University as it affects academic policies, the Board relies upon the advice of the University Senate and the Senate of the Community College System along with that of the President. It relies upon the advice of the President concerning administrative organization and powers and responsibilities of the officers of the University.

The educational-administrative organization of the University shall be such as to minimize duplication of effort and to enable the University to operate as a single, closely integrated institution, not as a loose association of colleges and departments. Barriers between educational and administrative units shall not be allowed to interfere with the academic purposes of the institution.

A. Educational Organization

1. Definition

The basic organization of the educational units of the University System shall be departments, schools, institutes, and colleges.

The unit of the University System for instruction, research, and extension in a defined field of learning is the department, school, or college. All courses shall be given by the regular instructional units. However, interdisciplinary instructional programs may be established to utilize courses and faculty from different departments, schools, and colleges. The chief administrative officer of a department or interdisciplinary instructional program is a chairman.

The term "school" is used to refer to: (1) Graduate School; and (2) an administrative unit within a college which is under the general direction of the faculty of that college. The chief administrative officer of a school that is an administrative unit within a college is an associate dean or director.

The Honors Program is an educational unit which is equivalent to a department and administratively responsible to the Dean of Undergraduate Studies. Its chief administrative officer is a director who is equivalent to a department chairman.

A graduate center is an educational unit of an interdisciplinary or multidisciplinary nature, which is equivalent to a department, and is located administratively in the Graduate School. It is associated exclusively with graduate programs and their attendant courses and research. Its faculty have primary appointments within a college or, in some cases, within the center.

An institute is an educational unit established for the administration of interdisciplinary programs which are primarily research in nature. The term "center" may, in some cases, be used as a synonym for research institute. Such an educational unit is administratively responsible to the Dean of the Graduate School and Coordinator of Research unless the administrative responsibility specifically has been delegated otherwise. The chief administrative officer of an institute is a director.

In some cases, the terms "bureau" and "office" may be used for units with some research functions, especially when these are not intercollege or interschool in nature.

A college is a major educational unit of the University. Its chief administrative officer is a dean.

The basic organization of the educational units of the Community College System shall be divisions and colleges.

The unit of the Community College System for instruction and community service in related fields of learning is the division. The chief administrative officer of a division is a chairman.

The individual community colleges and the Lexington Technical Institute are the major educational units of the Community College System. The chief administrative officer of a community college or the Lexington Technical Institute is a director.

2. Academic Ranks

Academic ranks in the University System shall consist of instructor, assistant professor, associate professor, professor, and other ranks that are fully or partially equivalent to these recognized ranks.

Academic ranks in the Community College System shall include Instructor in the Community College System, Assistant Professor in the Community College System, Associate Professor in the Community College System, Professor in the Community College System, and other ranks that are fully or partially equivalent to these recognized ranks.

Ranks and special titles and a description of the qualifications for each shall be established by the President after consultation with appropriate administrative and faculty groups, including the Senate Council and/or the Community College Council. Likewise, emeritus ranks for retired administrative and academic personnel and the rights of holders of emeritus titles shall be established by the President after consultation with the Senate Council and/or the Community College Council. The establishment of new ranks and major changes in criteria for ranks shall have the approval of the Board of Trustees.

3. The Graduate Faculty (University System)

The Graduate Faculty shall consist of the Dean of the Graduate School, associate and/or assistant deans of the Graduate School, members, and associate members.

Graduate Faculty members must possess the following qualifications:

A doctor's degree or its equivalent in scholarly reputation;

The rank of assistant professor (or equivalent) or higher;

Scholarly maturity and professional productivity as demonstrated by publications, editorial services, research surveys, creative work, patents, and research in progress at the time of appointment;

Definite interest in graduate work and the willingness to participate in the graduate program.

Membership in the Graduate Faculty is conferred upon recommendation of the Dean of the Graduate School and approval of the President. The recommendations of the Dean of the Graduate School are made following review by the Graduate Council of the qualifications of the persons proposed for membership by the college deans, department chairmen, and directors of graduate study.

Associate and other classes of members in the Graduate Faculty or in other faculty bodies or councils of the Graduate School may be appointed by the Dean of the Graduate School or elected, with appropriate duties and privileges as provided by the rules of the Graduate School and approved by the University Senate.

Members of the Graduate Faculty shall have assignments of duties that take into account the time necessary for graduate teaching, productive research, and service in the direction of graduate research and preparation of theses.

The Graduate Faculty shall have jurisdiction over all programs leading to graduate degrees within the limits established by the Board of Trustees and the policies and rules of the University Senate. It is the responsibility of the Graduate Faculty to safeguard, promote, and assist in the development of research in all fields. The Graduate Faculty shall make recommendations to the University Senate on academic matters and to the President or an officer designated by the President on administrative matters.

The Graduate Faculty may perform its functions directly, through the Graduate Council, or through standing or special committees which it may appoint or authorize for appointment. The Graduate Council shall have the authority and responsibilities delegated to it by the Dean of the Graduate School, the Graduate Faculty, and the University Senate.

4. Faculties of Colleges (University System)

The faculty of a college shall consist of its dean, assistant and/or associate deans, and full-time faculty personnel having the rank of assistant professor, associate professor or professor in the regular, special title, or extension series. Membership, with or without voting privileges, also may be extended by a college faculty to any other person assigned to it for administrative work, teaching or research. An individual may be assigned to more than one faculty; in this instance, one assignment shall be designated by the President or other appropriate officer (X.B.1) as the primary one.

The faculty shall hold regularly scheduled meetings. In addition, it shall meet in special session on the call of the President of the University or the dean of the college, or at the request of a prescribed number of its membership. Each college faculty shall establish the quorum for the transaction of business. Copies of minutes of college faculty meetings shall be made available to all members of the faculty of the college.

Consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the University Senate, the faculty of each college shall establish its own rules, including a committee or council structure necessary for the performance of the faculty's functions. Copies of the rules of the faculty and a description of its committee structure shall be made available to its members and a copy filed with the secretary of the University Senate.

Within the limits established by the regulations of the University and the policies and rules of the University Senate, the faculty of a college shall determine the educational policies of that college. It shall make recommendations to the University Senate on such matters as

require the final approval of that body, and it may make recommendations on other matters to the University Senate, to the President, or to other administrative officials. The academic or scholastic requirements of a college may exceed, but not be lower than, those established for the University System as a whole by the University Senate or the Graduate Faculty. Any such differences in standards must be approved by the University Senate.

The faculty of a college may delegate by rule a defined part of the determination of its educational policies to an assembly of the college, which shall consist of the faculty and designated student representatives. The number of students voting and the method of selecting these students shall be determined by the rules of the college.

In addition to the functions and responsibilities described above, the faculty of a college without departments shall have any other functions and responsibilities which are delegated to a departmental faculty as set forth in Part VII.A.6.

5. Faculties of Schools (University System)

The faculty of a school shall consist of the dean of the college of which it is an administrative unit, the associate dean or director who is the chief administrative officer of the school, and the members of the faculty of the college who have been assigned duties in the school. It also shall include members of the faculties of other colleges who have joint appointments in the school or departments of the school. (The faculty of a college is defined in Part VII.A.4 of these regulations.) In addition, membership, with or without voting privileges, may be extended by the school faculty to any other person assigned to the school for administrative work, teaching or research.

Within the limits established by the regulations of the Board of Trustees, the policies and rules of the University Senate, and the policies and rules of the faculty of the college of which it is a unit, the faculty of a school shall determine the educational policies of the school. It shall be responsible for functions and duties assigned to it by the faculty of the college. For these purposes, it shall establish its own rules and determine its own committee structure. Copies of the rules and a description of its committee structure shall be made available to its members and a copy filed with the college of which it is a unit. It shall make recommendations to the faculty of the college on matters which require the approval of that body. It may make recommendations on other matters to the dean or other administrative officers of the college. The academic or scholastic requirements of a school may exceed, but not be lower than, those established by the college faculty.

Copies of the minutes of school faculty meetings shall be made available to all members of the faculty of the school.

In addition to the functions and responsibilities described above, the faculty of a school without departments shall have any other functions and responsibilities which are delegated to a department faculty as set forth in Part VII.A.6.

6. Faculties of Departments (University System)

The faculty of a department shall consist of a chairman and the members of the department who are members of the faculty of the school and/or college of which the department is a part. (The faculties of a college and a school are defined in Parts VII.A.4 and VII.A.5, respectively.) In addition, membership, with or without voting privileges, may be extended by the department faculty to any other member of the department faculty or staff or to any person assigned to it for administrative work, teaching, research or service.

The department faculty has jurisdiction, with the approval of the dean and the President, over matters concerning its internal policies, insofar as these do not conflict with those of other departments or with the rules of the University Senate or the faculties of the school or college of which the department is a part. It should hold regularly scheduled meetings and is authorized to establish rules for procedure and a committee structure to deal with matters over which it has jurisdiction, copies being filed with the secretary (secretaries) of the school and/or college of which the department is a member. It has primary responsibility for the development of policies on such matters as academic requirements, courses of study, class schedules, graduate and research programs, and service functions. Jointly with the department chairman, it should establish: (1) procedures to be used within the department in making recommendations on the appointment of new members of the department, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, and the granting of tenure; and (2) the procedures to be used within the department in the faculty performance evaluations and in the preparation of budget requests.

The department chairman shall preside over all departmental faculty meetings, except as the chairman may delegate this function, and shall be an ex officio member of all departmental committees. Copies of minutes of departmental faculty meetings shall be made available to all members of the faculty of the department.

The academic or scholastic requirements of a department may exceed, but not be lower than, those of the school and/or college of which the department is a part.

7. Staffs of Interdisciplinary Research Institutes
(University System)

The staff of an interdisciplinary research institute shall consist of: (1) regular faculty members on a joint appointment basis; (2) non-faculty employees appointed for the duration of a specific project; and (3) a director who shall also be a member of an academic department.

Academic rank shall not be conferred by an interdisciplinary research institute nor tenure acquired solely through service in such a unit.

An interdisciplinary research institute shall be administratively responsible to the Dean of the Graduate School and Coordinator of Research unless specifically designated otherwise. A faculty advisory committee shall be appointed for each research institute by the officer to whom the unit is administratively responsible.

8. Faculty Organization in the Community College System

a. Faculties of Colleges

The faculty of a community college shall consist of all professional, instructional, library, and administrative personnel. An individual may have appointments to more than one faculty; in such instance, one appointment shall be designated by the appropriate administrative officer (X.B.1) as the primary one. The director of the college shall serve as chairman of the faculty.

The faculty shall hold regularly scheduled meetings. In addition, it shall meet in special session on the call of the President, the Vice President for the Community College System, or the director of the college, or at the request of a prescribed number of its membership. Each college faculty shall establish the quorum for the transaction of business. Copies of minutes of college faculty meetings shall be made available to all members of the faculty of the college.

Consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System, the faculty of each community college shall establish its own rules, including an organizational structure necessary for the performance of the faculty's functions. Copies of the rules of the faculty and a description of the faculty's committee structure shall be made available to faculty members and a copy filed with the Office of the Vice President of the Community College System.

Within the limits established by the regulations of the University and the Rules of the Senate of the Community College System, the faculty of a college shall determine the educational policies of that college. It shall make recommendations to the Senate of the Community College System on matters which require the approval of that body, and it may make recommendations on other matters to the Senate of the Community College System, to the Vice President for the Community College System, or to the President.

b. Faculty Assemblies

The faculty of a community college may organize a Faculty Assembly for the purpose of making recommendations relating to the professional welfare of its members. It shall prepare a set of rules which shall describe its organization and procedures for the performance of its functions. Full-time faculty members devoting more than fifty percent of their effort to teaching, librarianship, and counseling are eligible for membership in the Faculty Assembly. Other members of the faculty may become members upon invitation of a majority of the members of the Assembly.

The rules of the Faculty Assembly of a community college shall be consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System and shall be adequate to allow the Assembly to perform its responsibilities. Copies of the rules shall be submitted to the director of the college, the Community College Council, and the Vice President for the Community College System for review before being made operational.

c. Faculties of Divisions

The faculty of a division shall consist of a chairman and the members of the division who are members of the faculty of the community college.

The division faculty, with the approval of the director and the Vice President for the Community College System, has the responsibility for the internal policies of the division, insofar as these policies do not conflict with those of other divisions or with the Rules of the Senate of the Community College System. It should hold regularly scheduled meetings and is authorized to establish rules for procedure and a committee structure to deal with matters for which it has responsibility. It should establish with the division chairman and the director of the college procedures to be used within the division in advising on the appointment of new members of the division, promotions, reappointments, terminal

appointments, decisions not to reappoint, post-retirement appointments, and the granting of tenure, and the procedures to be used on the division level in faculty performance evaluations and in the preparation of budget requests.

The division chairman shall preside over all division faculty meetings, except as the division chairman may delegate this function, and shall be an ex officio member of all division committees. Copies of minutes of division faculty meetings shall be made available to all members of the faculty of the division and the director of the college.

9. Student Participation

Rules of procedure in educational units of the University shall provide for participation of students in the development of educational policies.

B. Administrative Organization

1. Definition

The administrative organization of the University is determined by the educational organization of the University and the teaching, research, service, and other functions of the University. Each administrative officer, other than the President, shall be responsible to the President, directly or through one or more superior officers, for the efficient operation of the organizational unit or functions for which the administrative officer is responsible. The administrative organization is established by the President with the approval of the Board of Trustees. It may be expected to change with increasing size and diversity in functions of the University. The duties of administrative officers reporting directly to the President shall be those delegated by the President and described in the Administrative Regulations. Each administrative officer is expected to recommend to the appropriate next superior officer the administrative organization necessary to carry out assigned duties. The positions of academic deans, directors, and department and division chairmen, with descriptions of major duties assigned, are described in these Governing Regulations. Major changes in administrative organization must be approved by the Board of Trustees.

Each administrative officer is authorized to establish administrative and/or advisory committees to aid in the performance of assigned functions.

2. Dean of the Graduate School and Coordinator of Research

The Dean of the Graduate School and Coordinator of Research is chairman of the Graduate Faculty and the Graduate Council. Under the broad direction of the President and the Vice President for Academic Affairs, the Dean provides general planning, guidance, and review for all of the University's endeavors in graduate instruction and research and bears responsibility for the total research activity of the University, including the University of Kentucky Research Foundation, and for the coordination of research and graduate education. The Dean has direct administrative responsibility for the interdisciplinary research institutes, except for those for which responsibility specifically has been delegated otherwise. The Dean appoints associate members of the Graduate Faculty and recommends the appointment of regular members for the approval of the President. The Dean recommends to the appropriate academic vice president on the following actions affecting faculty members who are or may be involved in research and graduate programs of the University: initial appointments of associate professors and professors; promotions of assistant professors and associate professors; and granting of tenure. The Dean also recommends on budgets as these may affect research and graduate programs and shall have the same authority and responsibilities as those of a dean of a college in the administration of educational units that might be transferred to or developed under the Office of the Dean of the Graduate School.

The President, Vice President for Academic Affairs, and the Dean of the Graduate School and Coordinator of Research shall be ex officio members of all committees of the Graduate School.

3. Dean of Undergraduate Studies

The Dean of Undergraduate Studies has the function, under the purview of the Vice President for Academic Affairs, of integrating and strengthening those aspects of undergraduate education of common interest to the appropriate colleges in the University System. The Dean serves as chairman of the Undergraduate Council and administers offices and programs designed to provide more effective instructional resources. The Dean also has general responsibility for those undergraduate programs of a University-wide nature, such as the Honors Program and the Undergraduate Research and Creativity Program. In the administration of educational units that might be transferred to, or developed under, the Office of the Dean of Undergraduate Studies, the Dean shall have the same authority and responsibilities as those of a dean of a college. The Dean also recommends to the Vice President for Academic Affairs on the following actions affecting faculty members in the Division of Colleges who are or may be involved in undergraduate programs: initial

appointment of associate professors and professors; promotions of assistant professors and associate professors; and granting of tenure.

4. Deans of the Colleges

A dean is the executive officer of a college. It is the dean's function to see that the Governing Regulations, the Administrative Regulations, the Rules of the University Senate, and the rules of the college faculty are enforced. The dean is the chairman of the college faculty and an ex officio member of all college committees. The dean is charged with overseeing the educational work of the college and its efficient conduct and management in all matters not specifically charged elsewhere. The dean is responsible for the curricula of the college, for the quality of instruction given therein, for the assignment of duties to all personnel, and for the service provided by the faculty of the college, individually and as a whole. The dean shall review faculty performance evaluations submitted by the department chairmen and shall be responsible for recommendations on salaries, salary changes, appointments, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, promotions, and granting of tenure for members of the college or for ultimate action thereon when such authority has been delegated by the vice president to whom the dean reports or by the President. The dean shall submit the budget request for the college and administer the budget when it is approved.

Further administrative responsibilities may be delegated to the dean by the vice president to whom the dean reports or by the President. These responsibilities may vary from college to college.

The dean shall speak for the college. In the event that the dean believes it necessary to depart from recommendations of the college faculty, the dean shall communicate the college faculty's recommendation as well as the dean's recommendation and notify the faculty of such action.

In addition to the roles and responsibilities described above, the dean of a college without departments shall have any other roles and responsibilities which are delegated to a department chairman as set forth in Part VII.B.6.

5. Directors of Schools (University System)

The director of a school (associate dean) serves as chairman of the faculty of the school in the performance of its assigned functions and is an ex officio member of all committees of the school.

The director's administrative responsibilities shall be those delegated by the dean of the college of which the school is a part.

The director shall speak for the school. In the event that the director believes it necessary to depart from the recommendations of the school faculty, the director shall communicate the school faculty's recommendation as well as the director's recommendation and notify the faculty of such action.

In addition to the roles and responsibilities described above, the director of a school without departments shall have any other roles and responsibilities which are delegated to a department chairman as set forth in Part VII.B.6.

6. Department Chairmen (University System)

The department chairman serves as chairman of the department faculty in the development by the department of policies on such matters as academic requirements, courses of study, class schedules, graduate and research programs, and service functions. The chairman presides at all department meetings, except as the chairman may delegate this function, and is an ex officio member of all department committees. The chairman has administrative responsibility for implementing the department's program within the limits established by the regulations of the University, the policies of the University Senate, and the rules of the college and of any school of which it is a part.

The department chairman is responsible for recommendations on the appointment of new members of the department, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, and the granting of tenure. Procedures and criteria used in preparing recommendations shall be those established by the University, the college, and the department faculty. As a minimum, the procedures must include consultation with all tenured members of the department and with all full-time, non-tenured faculty members (except those appointed in the research title or visiting series) with the actual or equivalent rank of assistant professor or higher who have been members of the department for two years, except as noted below. All recommendations on matters listed above, excluding reappointments and post-retirement appointments, shall include the written judgment of each consulted member of the department along with the recommendation of the chairman. The following exceptions may be made: (1) faculty members need not be consulted on recommendations for promotion affecting members with equivalent or higher rank, except that all faculty members with tenure shall be consulted on recommendations for granting of tenure; (2) faculty members without tenure need not be consulted on recommendation for

granting of tenure; (3) the right to make recommendations on temporary appointments and/or appointments at the assistant professor level or below may be delegated, with these appointments to be reviewed by the tenured faculty of the department during the second semester of the first year of appointment; and (4) in a large and diverse department, upon prior recommendation by the department faculty and approval of the President, consultation with faculty members may be restricted to those associated with the concerned, previously-defined academic division or program area in the department.

The department chairman is responsible for the periodic evaluation of department members by procedures and criteria established by the University, the college, and the department faculty.

The department chairman submits the budget request for the department and administers the budget after its approval. The chairman also is responsible for making recommendations on salaries and salary changes.

In connection with the above major administrative functions, the chairman shall seek the advice of members of the department, individually or as a group, or of advisory committees that the chairman may appoint.

The chairman shall speak for the department. In the event that the chairman believes it necessary to depart from the opinion of the department faculty, the chairman shall communicate the department faculty opinion as well as the chairman's recommendation, stating reasons for differing from the department faculty opinion, and notify the department faculty of such action.

7. Chairmen of Interdisciplinary Instructional Programs

The chairman of an interdisciplinary instructional program shall be a member of one of the academic departments participating in the program.

The chairman shall be responsible to the dean(s) of the college(s) in which the program is located and advise the dean(s) on staff and other needs of the program in connection with budget planning. The chairman shall rely upon the advice of a committee of interested faculty drawn from the departments offering the courses composing the curriculum.

8. Directors of Community Colleges

The director of a community college is the chief administrative officer of the college. Under the general direction of the Vice President for the Community College System, the director is charged with general responsibility

for the overall administration of the college. It is the director's function to see that the Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System are enforced. The director is the chairman of the college faculty and an ex officio member of all college committees. Along with the college faculty, the director is responsible for the development and implementation of an instructional program commensurate with the purposes of a comprehensive community college. The director is responsible for the curricula of the college, for the quality of instruction given therein, for the assignment of duties to all persons, and for the service provided by the faculty of the college, individually and as a whole. The director shall utilize, through the Office of the Vice President for the Community College System, the provisions for liaison with colleges and departments of the University System for advisory assistance and services relating to the instructional program.

The director shall review and finalize the performance evaluations recommended by the division chairmen or other administrative personnel of the college.

The director shall be responsible without delegation for recommendations on salaries, salary changes, appointments, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, promotions, and granting of tenure for members of the college or for ultimate action thereon when such authority has been delegated to the director, by the Vice President for the Community College System or by the President.

With regard to students, the director is charged with administering the requirements and regulations of the community college concerning the admission, probation, and dismissal of students.

The director shall be responsible for the preparation of the budget request for the college, for the business and financial operation of the college, either assumed or delegated, and for the maintenance and operation of the physical plant of the college.

It is the director's responsibility to relate the college program to the community through relationships with the advisory board, through appropriate use of public information media, and by appropriate relationships with community groups and individuals. The director personifies the University in the community and shall endeavor to further constructive relations between college and community.

9. Division Chairmen (Community College System)

The division chairman serves as chairman of the division faculty in the development by the division of policies in regard to such matters as the implementation and revision of programs and courses. The division chairman assists the director, or the director's delegate, in the preparation of the class and teaching schedule for the division. The division chairman presides over all division meetings, except as the division chairman may delegate this function, and is an ex officio member of all division committees. The division chairman has administrative responsibility for implementing the division's program within the limits established by the regulations of the University and the Community College System, the policies of the Senate of the Community College System, and the rules and regulations of the community college.

The division chairman shall be responsible for advising the director on the appointment of new members of the division, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, and the granting of tenure, after consultation with the tenured faculty of the division.

The division chairman shall be responsible for the periodic evaluation of members of the division by procedures and criteria established by the University, the Community College System, and the community college and division faculties. The division chairman shall make recommendations to the director, who shall make final decisions about such evaluations.

The division chairman shall be responsible for preparing for the director, or the director's delegate, the budget request for the division. The division chairman also is responsible for advising the director, or the director's delegate, as to salaries and salary changes for members of the division.

In connection with the division chairman's major administrative functions, the chairman shall seek the advice of members of the division, individually or as a group, or of advisory committees that the chairman may appoint.

The division chairman speaks for the division. In the event that the chairman believes it necessary to depart from the opinion of the divisional faculty, the chairman must communicate the divisional faculty opinion as well as the chairman's recommendation or advice, stating reasons for differing from the divisional faculty opinion, and notify the divisional faculty of such action.

PART VIII
APPOINTMENTS

A. Administrative Appointments

1. Regular Appointments

The President of the University is appointed by the Board of Trustees with the advice of a joint committee of the Board and faculty. The committee shall consist of four members of the Board appointed by its chairman; three members of the full-time teaching and/or research faculty of the University System, selected by a procedure determined by the University Senate; and one member of the full-time teaching faculty of the Community College System, selected by a procedure determined by the Community College Council. The committee shall provide opportunity for discussion between representative administrative, faculty, and student groups and prospective presidential candidates.

The vice presidents, the chief administrative officers of educational units (deans, directors, and chairmen), and every administrative and professional employee of the University who is to report directly to the President or whose position is at Level 16 or above in the Administrative and Professional Classification System shall be appointed by the Board of Trustees, acting upon recommendation of the President. Except in the cases of those individuals who report directly to the President, the President shall seek recommendations from an appointee's superior officer prior to recommending an appointment or reappointment by the Board of Trustees. Non-faculty employees other than those described above may be appointed by the President upon recommendation of the appropriate person or persons superior in rank to the appointee. The President shall report all appointments at Levels 12 through 15 in the Administrative and Professional Classification System to the Board of Trustees. The President may delegate the power to appoint personnel whose appointments are not made by the Board of Trustees to other appropriate administrative officers.

If the Board does not accept the recommendation of the President with respect to an appointment, it shall call upon the President to make another recommendation. Likewise, the President may refuse to approve a recommendation but, in each such case, the President shall ask for a new recommendation. The same principle shall apply at all levels of the administrative organization.

2. Acting Appointments

When vacancies occur in administrative positions other than the presidency, the President may appoint acting officers to fill the positions until it is possible to make regular appointments by the established procedure. When the officer is one who does not report directly to the President, the President shall request a recommendation from that officer's superior. If the officer administers a college, school, department, or a community college, the President also shall request a recommendation from a committee which includes faculty representation from that unit. Acting appointments shall be for a maximum term of one year; however, reappointment may be made after a satisfactory review. All appointments of acting officers shall be reported to the Board of Trustees.

With the approval of the next higher administrative level, a regular administrative officer may designate a temporary officer to serve in an acting capacity for a period not to exceed four months while the regular officer is away from campus on University business or approved leave.

3. Search Committees

Recommendations on the appointment of the chief administrative officers of all educational units of the University shall be made after advice from search committees.

When vacancies in administrative positions are anticipated, search committees shall be appointed sufficiently in advance of the vacancy to avoid the appointment of an acting administrator. When unanticipated vacancies occur, search committees shall be appointed as soon as the established procedures for appointment can be carried out.

Search committees for deans of colleges shall be appointed by the President after consultation with the appropriate vice president and the Senate Council.

Search committees for directors of schools shall be appointed by the deans of the colleges after consultation with the appropriate faculty bodies within the colleges.

Search committees for chairmen of academic departments shall be appointed by the deans of the colleges after consultation with (1) the associate dean or director of the school within the college if the department is in such a school; (2) the faculty of the department; (3) the Dean of Undergraduate Studies; and (4) the Dean of the Graduate School and Coordinator of Research if the department is involved in a graduate program.

Search committees for directors of interdisciplinary institutes shall be appointed, after consultation with the Graduate Council, by the Dean of the Graduate School and Coordinator of Research or other specific officer to whom administrative responsibility has been delegated.

A search committee for a director of a community college shall be appointed by the Vice President for the Community College System after consultation with the faculty of the community college.

Search committees for chairmen of divisions in community colleges shall be appointed by the directors of the colleges after consultation with at least the tenured faculty members of the concerned divisions.

Search committees shall operate under procedures prescribed by the President after consultation with the Senate Council or the Community College Council.

Recommendations of the search committee shall be transmitted to the President through the normal administrative channels, along with the recommendation of the administrative officer responsible for the appointment. Recommendations on the appointment or reappointment of a chairman of an academic department or division shall be accompanied by written statements from at least the tenured members of the department or division.

4. Terms of Chairmen

a. Department Chairmen

The term of a department chairman's appointment shall be four years, except in the Colleges of Agriculture, Allied Health Professions, Dentistry, Home Economics, Medicine, Nursing, and Pharmacy where it shall be six years. Ordinarily, a department member will be asked to serve as chairman for only one term. A chairman may be reappointed, however, when the faculty advisory committee appointed to review the work of the department (AR II-1.0-6) finds that the particular circumstances and needs of the department make such a reappointment desirable. Reappointment beyond the second term may occur under exceptional circumstances when it is deemed to be in the best interests of the University. This practice may vary from discipline to discipline.

B. Chairmen of Interdisciplinary Instructional Programs

Insofar as applicable, the rules for the appointment and reappointment of a department chairman shall apply also to the appointment and reappointment of a chairman of an interdisciplinary instructional program.

c. Division Chairmen (Community College System)

The division chairman shall be appointed for a term of three years and normally shall serve for no more than two consecutive terms. Reappointment beyond the second term may occur under exceptional circumstances when it is deemed to be in the best interest of the community college and has been recommended by a faculty advisory committee.

B. Academic Appointments, Reappointments, Promotions, and Granting of Tenure

All appointments and promotions of faculty to positions which involve (1) an actual or equivalent academic rank of associate professor or professor, (2) tenure, or (3) an initial salary above \$30,000 shall be made by the Board of Trustees, acting upon recommendation of the President. Appointments and promotions of faculty to positions which involve an actual or equivalent academic rank below associate professor and an initial salary not exceeding \$30,000 may be finally approved by the President who shall report such actions (except those relating to graduate teaching and research assistants) to the Board of Trustees. The President may delegate the power to make appointments and promotions that do not require approval by the Board. The President, following consultation with the Senate Council or the Community College Council as pertinent, shall establish such review systems as are appropriate to assist the President, or other appropriate administrative officers designated by the President, in making informed judgments concerning academic appointments, promotions, and granting of tenure.

PART IX

REVIEWS OF EDUCATIONAL UNITS

The work of each educational unit in the University* and of its chief administrative officer shall be reviewed at regular intervals by an ad hoc committee. The review periods shall normally be from five to seven years, except (1) for educational units where the review period shall depend upon the term of office of the chairman, the reviews being made so that the results are available when decisions to appoint or reappoint chairmen are to be made, and (2) for units headed by acting administrators where the review period shall be one year. A review of the work of any educational unit at other than the regular interval may be initiated by the chief administrative officer of the unit, by an administrative officer responsible for the unit, or by the President. Ordinarily such a review shall be initiated if requested by a majority of the members of the unit.

Procedures for appointment of review committees and for conduct of reviews, which have been established by the President after consultation with appropriate administrative and faculty groups including the Senate Council or the Community College Council, are described in the Administrative Regulations (AR II-1.0-6). Such review committees shall be formed principally from faculty personnel, and shall normally include members internal and external to the unit being reviewed, and may include members external to the University.

* Includes departments, schools, graduate centers, institutes, interdisciplinary units, colleges, individual community colleges, and the Lexington Technical Institute.

PART X

REGULATIONS AFFECTING ACADEMIC EMPLOYMENT

A. Merit As the Basis for Appointment

All appointments shall be made strictly on the basis of merit. An applicant for a position shall not be discriminated against because of sex, race, ethnic origin, national origin, color, creed, religion, age, or political belief. Additionally, the University shall not discriminate against any applicant for employment because of physical or mental handicap in regard to any position for which the applicant for employment is qualified.

Members of the Board of Trustees, except those elected to the Board as faculty representatives or as a student representative, and relatives by blood or marriage of any member of the Board of Trustees are ineligible for appointment to any position in the University. No relative by blood or marriage of the President or the vice presidents exercising University-wide jurisdiction shall be employed in a position which carries responsibility for a part of the academic program of the University. Waiver of the above regulation may be permitted by the Board of Trustees on a stated temporary basis, not to exceed two years, when it is otherwise impossible practicably to fill a position with a fully qualified person. The same individual shall not be eligible for reappointment under the terms of this exception unless approval is given by the Board of Trustees. No relative by blood or marriage of any administrative officer of the University, or of any member of the University faculty holding the rank of associate professor or higher, may be appointed to any position in the University over which the related officer or staff member exercises supervisory or line authority. Employment of persons related by blood or marriage within the same department or division shall be approved specifically by the President. "Relatives by blood or marriage," as used above, include parents and children, husbands and wives, brothers and sisters, brothers- and sisters-in-law, mothers- and fathers-in-law, uncles, aunts, nieces and nephews, and step-relatives in the same relationships.

B. Tenure

1. Types of Appointment

Faculty appointments shall be of three basic types: (1) non-tenured appointments; (2) tenured appointments; and (3) post-retirement appointments.

There are two types of non-tenured appointments. One type of non-tenured appointment is that in which a faculty member is ineligible for tenure as a consequence of having been appointed: (1) in the research, adjunct, visiting, or voluntary series of academic ranks and titles; or (2) on a part-time or temporary basis. An appointment on a temporary basis involves an individual who has assigned duties and responsibilities which should be completed within one year or less.

A second type of non-tenured appointment is that in which a faculty member is appointed on a full-time, year-to-year basis in the regular, special title, extension, or librarian series of academic ranks and titles. In such an appointment, the faculty member does not have tenure but may be considered for tenure if recommended for such by the appropriate University administrators.

A tenured appointment is one in which a faculty member is on full-time continuous appointment.

The term "joint appointment" shall apply in any case in which an individual holds two or more intra-University faculty appointments. In such an instance, one of the appointments shall be designated as the primary appointment by the dean of the college if the individual's appointments are associated with educational units in the same college, by the appropriate academic vice president if the appointments are with educational units in different colleges but in the same academic sector (i.e., Division of Colleges, Medical Center, or Community College System), or by the President if the appointments are with educational units in different academic sectors. The constituent appointments relating to a joint appointment may be processed simultaneously or at different times; however, each appointment should be processed independently and considered on its own merits. An individual's academic rank, though usually the same, may differ in the constituent appointments. The possibility of tenure applies only to the primary appointment. In case of termination of the non-primary appointment(s) leaving only the primary appointment for a full-time faculty member, the individual's assignment associated with the primary appointment shall become full-time.

Post-retirement appointments are of two types. One type is that in which retired employees may be appointed to perform duties for which fee schedules have been established and approved by the President of the University.

A second type of post-retirement appointment may be used in a very limited number of cases, when it is essential to a University program or office or when it is otherwise impossible practicably to fill a position with a fully qualified person. Such a part-time or full-time appointment

is restricted to former employees who have elected to retire but have not reached the mandatory retirement age, requires specific prior approval by the Board of Trustees, and is made for a period not to exceed one year.

More detailed information on the various types of appointments is provided in the Administrative Regulations (AR II-1.0-1, AR II-5.0-2, and AR II-8.0-4)

2. Probationary Periods

Probationary periods (or maximum non-tenure periods) are not applicable in cases where faculty members are appointed (1) in the research, adjunct, visiting, or voluntary series of academic ranks and titles, or (2) on a part-time or temporary basis. In all such appointments, faculty members are ineligible for tenure.

Probationary periods are applicable, however, to non-tenured appointments of faculty members on a full-time year-to-year basis in the regular, special title, extension, or librarian series of academic ranks and titles. Such non-tenured appointments may be for one year or for other stated periods, subject to renewal. The total non-tenure period, however, shall not exceed seven years, including previous full-time service with the rank of instructor or higher in other institutions of higher learning, provided that in the case of a faculty member with more than three years in the academic profession, who is called from another institution and appointed at the rank of associate professor or below, it may be required that the individual serve in a probationary status for a period not to exceed four years, even though thereby the individual's total non-tenure period in the academic profession is extended beyond seven years. However, in any case where a period of prior service of a prospective faculty member involves significantly different institutional objectives or significantly different professional activity, all or part of the period of prior service may be eliminated from consideration in determining the maximum non-tenure period at the University of Kentucky. Except as provided in Part X.C.7, time spent on leave of absence shall count as probationary period service unless the University in granting the leave and the individual in accepting it agree to the contrary. An individual initially appointed to the rank of full professor may be given non-tenure status for a period not to exceed one year. An individual shall not remain at the rank of instructor in the University for more than three years. If after that period, promotion to a higher rank cannot be justified, the individual's appointment at the University shall not be renewed.

Following appropriate probationary periods not exceeding in duration those described above, all persons of associate professor or higher rank shall be given tenure or their

appointments shall not be renewed; all persons of assistant professor rank (or equivalent as adjudged by the President) shall be promoted to associate professor with tenure or their appointments shall not be renewed. Established appointment, promotion, and review procedures shall be followed in making these decisions.

Existing tenure appointments are not affected by any of the foregoing regulations.

3. Appointment Record

The precise terms and conditions covering each appointment shall be stated in writing on an official appointment record. Notice of reappointment shall be in the possession of the appropriate administrative officers and the appointee at least three months before the renewed appointment begins, when possible, or at the earliest date feasible in view of budget considerations. It shall be the responsibility of the Vice President for Administration to insure compliance with this regulation.

4. Notification of Non-Renewal of Appointment: Non-Tenured Appointments

Part-time, visiting, or temporary short-term appointments with explicit terminal dates of one academic year or less terminate at the expiration of the term without notice.

For those employed year-to-year on a fiscal or academic year basis, notification of non-renewal of appointment at the end of the first year of service shall be given not later than March 1 if the appointment expires at the end of that year or three months in advance if the one-year appointment terminates during the academic year. Notification of non-renewal of appointment at the end of the second year of service shall be given no later than December 15 if the appointment expires at the end of the year or six months in advance if the appointment expires during the year. Notification of non-renewal of appointment after more than two years of service shall be given at least 12 months before expiration of appointment. Notice of non-renewal of appointment of those on post-retirement appointment shall be not less than six months.

Any related appeal(s) through administrative channels and/or the Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System must be initiated in writing by the concerned faculty member within 60 days after being notified in writing by the dean or director about non-renewal of appointment.

5. Termination of Appointment

a. Reasons for Termination

Except in cases of financial emergency, the termination of a tenure appointment or the dismissal of a person prior to the expiration of a non-tenure appointment shall be, in accordance with KRS 164.230, only for reasons of incompetency, neglect of or refusal to perform duties, or for immoral conduct.

In the instance of termination because of a financial emergency, the faculty member may have the issues reviewed by the Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System with the right of appeal to the President and the Board of Trustees. The faculty member shall be given notice as soon as possible and never less than 12 months' notice. The released faculty member's place shall not be filled by a replacement within a period of two years, unless the released faculty member has been offered reappointment and a reasonable time within which to accept or decline it.

b. Procedure

Dismissal of a faculty member with continuous tenure or of a non-tenured faculty member before the end of a specified term of appointment shall be preceded by discussions between the faculty member and the appropriate administrative officer or officers looking toward a mutual settlement. In the event of failure to arrive at an agreed upon settlement, the appropriate academic vice president shall be responsible for the preparation of a reasonably particularized statement of charges which shall be furnished to the faculty member and the Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System. The committee shall make an informal investigation for the purpose of attempting to effect an adjustment and, in the case of failure, shall recommend to the President whether, in its opinion, dismissal proceedings should be undertaken. Its opinion shall not be binding upon the President.

If the President initiates dismissal proceedings, the concerned individual shall have the right to be heard initially by the Hearing Committee (Privilege and Tenure) of the University Senate or the Senate of the Community College System.

The faculty member shall be informed in writing by the President regarding specific charges at least twenty days prior to the hearing. At least seven days prior to

the hearing, the faculty member shall answer the charges in writing. The faculty member may waive the hearing. If the faculty member waives the hearing but denies the charges or asserts that the charges do not support a finding of adequate cause, the hearing tribunal shall evaluate all available evidence and base its recommendation upon the evidence in the record.

The committee, in consultation with the President and the faculty member, shall exercise its judgment as to whether the hearing shall be public or private. During the proceedings the faculty member shall be permitted to have an academic advisor and counsel of personal choice. At the request of either party or the hearing committee, a representative of a responsible educational association shall be permitted to attend the proceedings as an observer. A full stenographic record of the hearing or hearings shall be taken and made available to the parties concerned. The burden of proof that adequate cause exists rests with the institution and shall be satisfied only by clear and convincing evidence in the record considered as a whole. If the faculty member's competence is in question, the testimony should include that of qualified faculty members from this and/or other institutions of higher education.

Upon the conclusion of the hearing, the committee shall report to the President that adequate cause for dismissal has, or has not, been established by the evidence in the record. It may, in addition, recommend that, although adequate cause for dismissal has been established, an academic penalty less than dismissal would be more appropriate, giving supporting reasons for the recommendation. If the President rejects the report, the President shall provide reasons in writing to the committee and to the faculty member and provide an opportunity for response before transmitting the case to the Board of Trustees.

A decision adverse to the faculty member may be made only after an opportunity has been given for an additional hearing before the Board of Trustees as required by KRS 164.230. The Board shall either sustain the recommendations of the committee and the President or return the proceedings to the President and the committee with specific objections. The committee shall then reconsider, taking into account the stated objections and receiving new evidence if necessary. The Board of Trustees shall make the final decision after a study of the committee's reconsideration.

c. Suspensions

Until the final decision on termination of an appointment has been reached, the faculty member shall be suspended, or assigned to other duties in lieu of suspension, only if immediate harm to the faculty member or others is threatened by the faculty member's continuance. Before suspending a faculty member, pending an ultimate determination of the individual's status through the hearing procedure, the President shall consult with the Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System. Salary shall continue during the period of suspension.

6. Academic Freedom of Non-Tenured Faculty

If faculty members on non-tenured appointments or faculty members on post-retirement appointments allege that decisions not to reappoint them were caused by considerations violative of academic freedom, or that they were given less advance notice than specified in these regulations, they must present their allegations in writing to the Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System within 60 days after being notified in writing by the deans or directors of the decisions not to reappoint. Allegations so presented shall be given preliminary considerations by the appropriate Advisory Committee on Privilege and Tenure, which shall seek to settle the matter by informal methods. The faculty members' statements shall be accompanied by statements that they agree to the presentation, for the consideration of the committee, of such reasons and evidence as the University may allege in support of its decisions. If the difficulty is unresolved at this stage and if the committee so recommends, the procedures set forth in 5-b shall be applied, except that the faculty members making the complaints are responsible for stating the grounds upon which they base their allegations, and the burden of proof shall rest upon them. If prima facie cases are established via these procedures in favor of the faculty members, it becomes incumbent upon the persons who made the decisions not to reappoint to come forward with evidence in support of those decisions.

7. Resignation

Notice of resignation should be given early enough to obviate serious inconvenience to the University. If faculty members desire to terminate existing appointments or to decline renewals in the absence of notices of non-renewal, they shall give written notice of not less than three months if their rank is instructor or assistant professor, and not less than four months if their rank is higher, before the end of their duties during an academic year (exclusive of a summer session) or thirty days after receiving notification of the terms of their appointments for the coming year, whichever

date occurs later. However, they may properly request a waiver of this requirement in cases of hardship or in situations where they would otherwise be denied substantial professional advancement.

8. Administrative Personnel

Administrative personnel who hold academic rank are subject to the foregoing regulations in their capacity as faculty members. Where administrators allege that considerations violative of academic freedom significantly contributed to decisions to terminate their appointments to administrative posts or not to reappoint them, they shall be entitled to the same procedures as those for non-tenured faculty who have alleged violation of academic freedom.

9. Graduate Student Academic Staff

In no case shall an appointment of a graduate or teaching assistant be terminated before the end of the period of appointment without the individual's being provided with an opportunity to be heard before the University Senate Advisory Committee on Privilege and Tenure.

Likewise, a graduate or teaching assistant, who has established a prima facie case to the satisfaction of the committee that a consideration violative of academic freedom significantly contributed to the individual's non-reappointment, shall be given a statement of reasons by those responsible for the non-reappointment and an opportunity to be heard by a University Senate Hearing Committee (Privilege and Tenure).

10. Opportunities for Hearings

In no case shall a member of an academic staff, who is not otherwise protected by the preceding regulations which relate to dismissal proceedings, be dismissed (termination before the end of a period of appointment) without having been provided with a statement of reasons and an opportunity to be heard before the Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System.

Likewise, a member of an academic staff who establishes a prima facie case to the satisfaction of the committee that a consideration violative of academic freedom significantly contributed to the member's non-reappointment shall be given a statement of reasons by those responsible for the non-reappointment and an opportunity to be heard by the Hearing Committee (Privilege and Tenure) of the University Senate or the Senate of the Community College System.

11. Change of Assignment

When it is in the best interests of the institution, and if the professional status of an individual is not seriously jeopardized thereby, a change in the duties assigned to an individual may be made without such a change of assignment being regarded as a violation of the individual's tenure rights.

C. Conditions of Employment

1. Terms of Assignment

Academic assignments are made on academic year, ten-month, and twelve-month bases.

2. Vacation Leave

All full-time faculty members on a ten-month or twelve-month assignment basis shall be entitled to one month of vacation leave with pay during an assignment period. Vacation leave cannot be accumulated from one assignment period to another, except to the extent that a faculty member on a twelve-month assignment basis may, with advance approval of the dean or director of the college, take vacation either during the assignment period in which the vacation is earned or within the first two months thereafter. All members of the teaching faculty shall be in actual attendance at least until after Commencement and until all reports have been made, and at least three days prior to the first day of registration for the fall semester unless for special reasons special leave is approved.

Full-time faculty members employed on an academic year basis shall be on assignment from August 16 through May 15 and are expected to be normally available for participation in appropriate academic activities during this period. To insure opportunities for vacations, faculty members employed on this basis shall be limited to a maximum of two and one-half months of employment in activities funded by the University or its affiliated corporations during the summer period (May 16 through August 15).

3. Professional Practices

a. General Policies

During their annual assignment period (whether academic year, ten months or twelve months), faculty and research staff members are expected to serve the University. It is assumed that all persons on the academic staff will be interested primarily in the work of their respective educational units, giving their chief efforts to the promotion of that work.

A member of the University's academic staff shall be permitted to engage, as an expert, in professional work outside the University and to receive pay for this activity, provided that such does not interfere with the proper performance of University assignments. Each faculty member shall be expected to exercise sound judgment in requesting approval of outside assignments, keeping in mind with utmost care the matter of conflict of interest. In considering such requests, the chief administrative officers of the educational units shall be guided by criteria through which performance of duty within a faculty member's rank is judged, as well as by the relevant terms of employment.

In general, any outside assignment demanding more than purely spare-time effort must be substantially justified in terms of the contribution its performance can bring to the faculty member's pursuit of teaching, research, and service.

All proposals to undertake outside assignments shall be made in writing to the chairmen whose recommendations shall be forwarded to the respective deans or directors and thence to the appropriate vice president for final action. Such proposals shall indicate the nature of the work to be performed, the estimated amount of time involved, the duration of the assignment, and the scale of reimbursement. These proposals shall be made a matter of record by the appropriate vice president, who shall transmit quarterly to the President of the University full information about those that have received approval and those that have been denied. The President, in turn, shall report periodically to the Board of Trustees.

b. Physicians' Services Plan

Full-time physicians of the clinical faculty who opt to remain under the Physicians' Services Plan shall deposit in The Fund for the Advancement of Education and Research in the University of Kentucky Medical Center all fees which they may receive from professional activities conducted as a part of the official Medical Center program, including all salary money received from other sources, such as the Veterans Administration. Income from publications and honoraria for lectures or other activities which are not a part of the Medical Center program are excluded from this Plan but remain subject to policies and regulations of the Board of Trustees.

As a condition of employment, physicians who are full-time faculty members of the College of Medicine shall not maintain offices outside the facilities of the

Medical Center or engage in the practice of medicine outside the approved programs of the Medical Center, except in infrequent and special circumstances as in emergencies and in other situations where provision of services is required for professional ethics. With the approval of the dean, however, exceptions may be made when the nature of the assignments and the duties of faculty members require their location in a non-Medical Center setting for the discharge of their University responsibilities.

c. Dentists' Services Plan

Dentists with full-time appointments in the College of Dentistry shall deposit in the Dentists' Services Account of The Fund for the Advancement of Education and Research in the University of Kentucky Medical Center all fees from services that are incident to the care of patients and to all other activities which are a part of the Medical Center program. Income from publications and honoraria for lectures or other activities which are not a part of the Medical Center program are excluded from this Plan but remain subject to the policies and regulations of the Board of Trustees.

As a condition of employment, dentists who are full-time faculty members in the College of Dentistry shall not maintain offices outside the facilities of the Medical Center or engage in the practice of dentistry outside of the approved programs in the Medical Center, except in infrequent and special circumstances as in emergencies and in other situations where provision of services is required by professional ethics. With the approval of the dean, however, exceptions may be made when the nature of the assignments and the duties of faculty members require their location in a non-Medical Center setting for the discharge of their University responsibilities.

Documents detailing the administration of the Physicians' Services Plan and the Dentists' Services Plan have been approved by the Board of Trustees and appear as a part of the Administrative Regulations. (AR II-4.0-1, AR II-4.0-2, AR II-4.0-3, AR II-4.0-4)

4. Patent Policies

As a general policy, patent rights resulting from activities using staff time, materials, equipment, and facilities of the University shall be owned and controlled by the University. Any staff member making a patentable discovery with the use of such time, materials, equipment, and/or facilities shall assign personal patent rights to the University, or its

designate, but shall have an interest and share in the returns. (The term "staff" is here interpreted as including any member of the faculty, staff or student body of the University.) Except as otherwise agreed, patent rights resulting from sponsored research shall be owned and controlled by the University. Any agreement with a sponsor pertaining to patent rights and the assignment thereof shall be made in advance of the research.

The interests of the University and a member or members of its staff in a patent shall be determined by a Standing Committee on Patents appointed by the President on the basis of principles recommended by the President and adopted by the Board of Trustees.

A document detailing the administration of patent policies has been approved by the Board of Trustees and appears as a part of the Administrative Regulations (AR II-2.0-3).

5. Leaves of Absence

a. Sabbatical Leave

The purpose of sabbatical leave is to provide opportunities for study, research, creative effort, improvement of teaching capabilities and methods, and related travel in order that the quality of each recipient's service to the University may be enhanced.

Faculty members who have full-time non-tenured or tenured appointments in the regular, special title, extension, or librarian series with the rank of assistant professor or higher, or of equivalent rank for this purpose as determined by the President, are eligible for leaves of absence after six years of continuous service in the rank of instructor or higher at the University, or for leaves of absence under a different option after three years of continuous service. All such leaves of absence shall be approved by the President or the President's designated representative.

After six years of continuous eligible service, an individual may apply for one year's leave (academic year for appointees on academic year or ten-month assignments) at one-half salary or six months' leave (academic semester for appointees on academic year or ten-month assignments) at full salary. After three years of continuous eligible service, an appointee may apply for six months' leave (academic semester for appointees on academic year or ten-month assignments) at one-half salary. "Continuous service" is interrupted by

a sabbatical leave; that is, no service prior to a sabbatical leave may be credited toward eligibility for future sabbatical leave. Leaves of absence without pay are not normally credited toward eligibility for sabbatical leave. However, exception may be made when the leave enhances the value of the individual to the University, e.g., a leave to accept a fellowship or a grant, service for professional organizations, and so forth. In no case shall the leave of absence without pay be considered as an interruption of continuous service.

Sabbatical leave shall not be used as a means of augmenting personal income. A recipient may not accept gainful employment during a sabbatical leave (for an individual on an academic year assignment basis, this does not include the period of May 16 through August 15; for an individual on a ten-month assignment basis, this does not include the period of vacation and the two months outside the assignment period each fiscal year; for an individual on a twelve-month assignment basis, this does not include the one-month vacation period) except as follows:

A fellowship, grant-in-aid, or government-sponsored exchange lectureship may be accepted for the period of the leave if acceptance will promote the purpose of the leave and is approved by the President or the President's designated representative.

For those on one-year leave, additional salary not to exceed one-half of the regular academic salary may be accepted for (1) work performed during the sabbatical leave on research projects administered by the University with funds from government or private grants or contracts when the terms of the grant or contract authorize the usage of such funds, and when the work to be performed is significantly related to the studies planned for the leave; (2) work on a research grant at another university; (3) research work in a government laboratory; or (4) work as a research professor or associate in another university. Any arrangements pursuant to this paragraph must be approved by the President or the President's representative.

In neither of the above instances shall a recipient's total income attributable to a sabbatical leave project exceed the individual's regular academic salary. Sabbatical leave shall be granted with the understanding that the recipient, following the leave of absence, will continue service at the University for at least one academic year.

b. Other Leaves with Pay

(1) Sick Leave

Sick leave may be granted to academic appointees by the President. Group I personnel, as defined in the retirement program, who are totally disabled are entitled to six months' sick leave with pay after which the Total Disability Benefits Plan, for which premiums are paid by the University, becomes operative. Sick leave with pay for more than six months requires approval by the Board of Trustees.

(2) Miscellaneous

The President may grant leaves of absence with pay for a period not to exceed thirty days for the purpose of permitting an appointee to attend a professional meeting, serve temporarily with an outside agency, serve in the military forces of the United States, or for other good cause. Such leaves of absence with pay for more than thirty days require approval of the Board of Trustees.

c. Leave without Pay

Upon the recommendation of the appropriate administrative officers and approval of the President, a member of the faculty or staff may be given a leave of absence without pay. Ordinarily such leave shall not be granted for a period in excess of one year (12 months). However, such leave may be extended on application and approval by the appropriate administrative officers. The best interests of the University shall be a major consideration in granting an extension of any such leave.

6. Faculty Members As Candidates for Degrees

Members of the faculty, except those in the Community College System, having a rank higher than that of instructor may not be considered as candidates for degrees in the discipline in which they are employed or as candidates for degrees above the master's degree at the University.

7. Faculty Members As Scholars and Citizens

It is the policy of the University to maintain and encourage within the law full freedom of inquiry, discourse, teaching, research, and publication and to protect members of the academic staff against influences, from inside or outside the University, which will restrict them in the exercise of these freedoms in their areas of scholarly interest. Teachers and students shall be permitted and encouraged to investigate any

theory, challenge any premise, engage in political and social debate, and to express their dissent, without jeopardy to their academic careers, provided their behavior is not in violation of the law and does not interfere with the normal operation of the educational programs of the University.

In their roles as citizens, faculty members have the same freedoms as other citizens, without institutional censorship or discipline, although they should be mindful that accuracy, forthrightness, and dignity befit their association with the University and their positions as scholars. When faculty members speak or write as citizens, they should indicate that they are not speaking for the University.

Like other citizens, faculty members are free to engage in political activities so far as they are able to do so within the law consistent with their obligations as teachers and scholars. When necessary, leaves of absence without pay may be given for the duration of an election campaign or a term of office, provided that there has been timely application for leave and that the requested leave is limited to a reasonable period of time. The terms of a leave of absence for this purpose shall be set forth in writing. The leave shall not affect unfavorably the tenure status of a faculty member, except that the time spent on such leave from academic duties will not count as probationary service unless agreed to otherwise.

Elective and appointive part-time services on boards and commissions to which members of the University staff are prepared to provide exceptional services shall be governed by policies on professional practice (X.C.3).

8. Retirement

The University of Kentucky Retirement Plan (September 18, 1979) represents a consolidation of the original Retirement Resolution (April 7, 1964) and its numerous subsequent amendments into a unified statement of University policy and guidelines on retirement of employees in Groups I, II, III, and IV. The University of Kentucky Retirement Plan, which has been adopted by the Board of Trustees and may be amended only by that body, is presented in its entirety in the Administrative Regulations (AR II-8.0-4).

9. Social Security

All employees of the University of Kentucky except those in Civil Service are covered by the Old-Age and Survivors Insurance of the National Social Security Program and are thus eligible for benefits in accordance with the governing legislation. Each eligible employee of the University must, if the employee has not previously done so, secure a Social Security card and supply to the Office of the Vice President

for Business Affairs and Treasurer a completed Treasury Form W-4 with the employee's Social Security number and other information necessary for withholding insurance contributions.

10. Group Insurance

Regular full-time faculty and staff who are employed by the University prior to their attaining age 60 are insured under the Basic Life Insurance Program for \$5,000. This insurance may be increased optionally to a total of one and one-half (1.5) or two (2) times the employee's basic annual salary. The premium for the basic insurance is paid by the University, whereas that for the optional increase in insurance is paid by the employee.

Regular full-time faculty and staff who are employed by the University after they attain age 60 are insured under the Basic Life Insurance Program for \$2,000, with the premium for this basic coverage being paid by the University. No optional increase in this insurance is permitted under the group insurance plan.

In addition, all regular full-time employees are encouraged to participate in the University's basic hospital-medical, major medical, and accident insurance programs which also may include coverage for dependents.

11. Workmen's Compensation

A University employee sustaining an on-the-job injury or developing a job-related illness is covered by provisions of the Kentucky Workmen's Compensation Act.

12. Total Disability Insurance

After the completion of one year of employment at the University, regular full-time faculty and staff other than those covered by Civil Service are covered by the Total Disability Insurance Program. This program provides income protection through insurance coverage with TIAA and, in some cases, supplemental benefits by the University. The University pays the full cost of this program.

PART XI
STUDENT AFFAIRS

A. University of Kentucky Student Government

The University of Kentucky Student Government is recognized by the Board of Trustees, administration, and the University Senate as the official student body authority in University matters to insure a maximum of self-government and to create mutual respect and liaison between students and the faculty and administration. It shall provide services to the students, faculty, and administration of the University and represent student opinion to the faculty, administration, and Board of Trustees. It may have responsibilities delegated to it by the President, the Office of the Vice President for Student Affairs, and/or the University Senate.

The President of the University of Kentucky Student Government shall be considered to be the president of the student body of the University specified in KRS 164.130.

The University of Kentucky Student Government shall be representative of the student body of the University System of the University of Kentucky. It is authorized to develop rules for its composition and procedures which are consistent with rules and regulations of the University. Copies of these rules shall be supplied to all members of the student body of the University System, the Secretary of the University Senate, the Vice President for Student Affairs, the President of the University, and the Secretary of the Board of Trustees.

For purposes of budget and other matters relating to finance, the University of Kentucky Student Government is responsible to an administrative officer designated by the President.

The Board of Trustees also authorizes the development of student governments for the Community College System and/or individual community colleges.

The student governments in both the University and the Community College Systems shall adhere to all rules and regulations adopted by the Board of Trustees.

B. Student-University Relationships

The non-academic relationships between University System students on the Lexington campus and Lexington Technical Institute students and the University are covered in the document entitled, Student Rights and Responsibilities. Part I. Code of Student Conduct: Rules, Procedures, Rights and Responsibilities Governing Non-Academic Relationships, which

has been adopted by the Board of Trustees and may be amended only by that body.

The academic relationships between University System students and the University are incorporated in the document entitled, Student Rights and Responsibilities. Part II. Selected Rules of the University Senate Governing Academic Relationships. The rules in Part II have been adopted and may be amended by the University Senate.

Copies of the document, Student Rights and Responsibilities. Parts I and II, shall be made available to all students in the University System and the Lexington Technical Institute. Registration by the student constitutes acceptance of these student-University relationships. In no case, however, shall this preclude legitimate efforts to obtain amendments to this basic document covering these relationships.

The non-academic relationships between Community College System students, excepting Lexington Technical Institute students, and the University are covered in the document entitled, Code of Student Conduct: Rules, Procedures, and Responsibilities, which has been adopted by the Board of Trustees and may be amended only by that body. The academic relationships between Community College System students, including Lexington Technical Institute students, and the University are defined in the Rules of the Senate of the Community College System (Section I, Student Academic Affairs). These rules have been adopted and may be amended by the Senate of the Community College System.

Copies of the documents discussed in the preceding paragraph shall be made available to all Community College System students, including Lexington Technical Institute students. Registration by the student constitutes acceptance of these student-University relationships. In no case, however, shall this preclude legitimate efforts to obtain amendments to these documents covering these relationships.

Maximum efforts should be made by administrative officers, student government organizations, the University Senate, and the Senate of the Community College System to maintain liaison through advisory and coordinating committees concerning student-University relationships.

PART XII
MISCELLANEOUS

A. Equal Opportunity

Equal opportunities shall be provided for all persons throughout the University in recruitment, appointment, promotion, payment, training, and other employment practices without regard to sex, race, ethnic origin, national origin, color, creed, religion, age, or political belief. Further, the University shall not discriminate against any employee or applicant for employment because of physical or mental handicap in regard to any position for which the employee or applicant for employment is qualified.

The President is responsible for the development of an affirmative action program by which full implementation of this policy can be effected in the University.

B. Solicitation of Funds

The United Way of the Bluegrass is designated as the sole agency authorized to conduct on-campus solicitation of contributions from faculty and staff members in the University System. The University offers a payroll deduction plan to United Way of the Bluegrass contributors. Other agencies may be authorized by the Dean of Students to use the University mail service for solicitation.

The Community College System is authorized to develop comparable regulations for the control of solicitation of funds on the campuses of the community colleges.

C. Campus Sales

Solicitations for subscriptions, sales of merchandise of any kind whatsoever, publications, or services upon University property other than by the regularly authorized stores, food service places, departments, or divisions of the University are prohibited except upon written permission of the Dean of Students.

Any person violating these provisions shall be subject, upon proper notice, to eviction from the University property.

D. Use of University Facilities

Kentucky Revised Statutes 164.160 and 164.200 respectively grant to the Board of Trustees the power to receive, hold, and administer, on behalf of the University, all types of property and to establish proper regulations for the government of same.

By virtue of the above, University of Kentucky facilities shall be used only for educational, cultural or charitable purposes, or other purposes as determined by the President or by the administrative officer to whom the President has delegated this responsibility in accordance with the regulations of the University, the Rules of the University Senate, and the Rules of the Senate of the Community College System.

Specifically, University facilities shall not be used for meetings, conventions, rallies, or any campaign activities that would further the interests of a political party or of a candidate or candidates for public office. This is not to be construed as prohibiting meetings of registered student political organizations which are open in attendance only to students, faculty, and staff of the University and which are not open to the general public. Further, the University may from time to time invite candidates for public office to speak at University convocations.

"Political party" is defined as a voluntary association of persons formed and organized for the purpose of nominating or electing candidates for public office. This definition includes, but is not limited to, the meaning of "political party" as defined in KRS 119.010.

In accordance with the Constitution of the Commonwealth of Kentucky, the decisions of the United States Supreme Court, and the opinions of the Office of the Attorney General of the Commonwealth of Kentucky, University facilities shall not be used to advance or inhibit religion.

E. Motor Vehicle Traffic and Parking Regulations

Pursuant to the authority conferred upon the Board of Trustees by KRS 164.200, the Board delegates to the President of the University the responsibility for the development and enforcement of regulations for the control of motor vehicle traffic and parking on University property, such property to be defined as all real property owned or occupied and exclusively operated by the University.

F. Representation and Attendance at Meetings

In order that the University may be properly represented at the various academic gatherings or functions in which it is invited from time to time to participate, the President selects members of the faculty to represent the institution.

In addition, all persons on the faculty are urged to become members and to participate in meetings of national, international, regional, and state organizations and associations in their own fields. Funds are set aside to defray the expenses in whole or in part of those who are

authorized to attend meetings by the President or other appropriate administrative officers.

G. Order of Communication and Reports

All members of the faculty and staff of the University shall have full rights of communication with administrative officers of the University and the Board of Trustees through established administrative channels. Official recommendations and communications from any member of the University faculty or staff shall be sent by the individual to the individual's immediate superior. The superior administrative officer shall, when so requested, transmit such recommendations or communications, with the officer's own comments and recommendations thereon, to the next higher officer.

All members of the faculty and staff of the University shall be entitled to appeal any decision affecting terms of their employment by the University through regularly established channels.

This rule shall in no way limit the President in communicating with members of the faculty, nor shall it prevent communication between members of the faculty, staff, administrative officers, and the Board of Trustees for purposes other than the submission of official recommendations and communications.

PART XIII

UNIVERSITY OF KENTUCKY DEVELOPMENT COUNCIL

The University of Kentucky Development Council shall serve in an advisory capacity to the President and, through the President, to the Board of Trustees for all private fund development for the entire University. The Council shall consist of not more than 300 members.

The membership may include: men and women, alumni and non-alumni, residents of Kentucky and non-residents. Qualifications for membership are: (1) positions of leadership in their chosen communities whether regional, state, national or professional; (2) a commitment to the University of Kentucky's aspiration to develop into one of the nation's strongest universities; and (3) a willingness to support this aspiration.

The University of Kentucky Development Council shall be headed by a Board of Directors consisting of up to 30 members. The Chairman of the Board of Trustees, the President of the University, the Vice President for University Relations, and the Director of Development shall serve as ex officio members of the Council's Board of Directors. The elected members of the Board of Directors shall include at least fourteen alumni and at least two members of the Board of Trustees.

PART XIV
AMENDMENTS

These Governing Regulations may be amended at any regular or special meeting of the Board of Trustees provided at least one month has elapsed between proposal of the amendment(s) and final passage. The President, or the University Senate or the Senate of the Community College System, or the Student Government or the Inter-Community College Student Council, through the President, may recommend amendments of the Governing Regulations to the Board. The President, the University Senate and/or the Senate of the Community College System, and the Student Government and/or the Inter-Community College Student Council, as appropriate, shall have an opportunity to recommend on all amendments before final action by the Board.

As the basic law of the University, these Governing Regulations supersede any previously approved rules or regulations in conflict therewith. Matters not specifically covered in the Governing Regulations, the Administrative Regulations, the Personnel Policy and Procedure Manual, the Rules of the University Senate, or the Rules of the Senate of the Community College System are governed by administrative interpretation.

APPENDIX

COUNCIL ON HIGHER EDUCATION

164.020. POWERS AND DUTIES OF COUNCIL. The Council on Higher Education in Kentucky shall:

(1) Engage in analyses and research to determine the overall needs of higher education in the Commonwealth.

(2) Develop and transmit to the Governor comprehensive plans for public higher education which meet the needs of the Commonwealth. The plans so developed shall conform to the respective functions and duties of the state colleges and universities, the community colleges, and the University of Kentucky as provided by statute. The Council shall for all purposes of federal legislation relating to planning be considered the "single state agency" as that term may be used in such federal legislation; provided, however, that when such federal legislation requires additional representation on any such "single state agency" the Council on Higher Education shall establish such advisory groups as may be necessary to satisfy such federal legislative or regulatory guidelines.

(3) Determine the amount of entrance and/or registration fees and approve the qualifications for admission to the public institutions of higher education. In determining the entrance and/or registration fees for non-Kentucky residents, the Council shall consider the fees required of Kentucky students by institutions in adjoining states, the resident fees charged by other states, the total actual per student cost of training in the institutions for which the fees are being determined, and the ratios of Kentucky students to non-Kentucky students comprising the enrollments of the respective institutions, and such other factors as the Council may in its sole discretion deem pertinent.

(4) Consider the requirements and review the budget requests, which shall be prepared in the manner and submitted by the institutions to the Council by dates prescribed by the Council, of the institutions of higher education as to their appropriate level of support considering the functions of the institutions and their respective needs. The individual institutions' budget requests, including tuition and registration fee schedules for all categories of students, along with Council recommendations shall be submitted to the Governor through the Executive Department for Finance and Administration not later than November 15 of each odd-numbered year.

(5) Review and approve all capital construction projects the cost of which exceeds one hundred thousand dollars (\$100,000) approved by the governing boards of the state-supported institutions of higher education prior to the institutions' submission of such projects to the Executive Department for

Finance and Administration and/or State Property and Buildings Commission.

(6) Require reports from the executive officer of each institution it deems necessary for the effectual performance of its duties.

(7) Publish annually a report of the educational and financial affairs of the institutions and disseminate other information relating to higher education.

(8) Define and approve the offering of all higher education associate, baccalaureate, graduate, and professional degree or certificate programs in the state-supported higher education institutions; review proposals and make recommendations to the Governor regarding the establishment of new state-supported community colleges and new four-year colleges. Provided, nothing in KRS 164.010, 164.020, 164.125, and 164.290 to 164.295 shall be construed to grant the Council on Higher Education authority to disestablish or eliminate any college of law which became part of the state system of higher education through merger with a state college.

(9) Approve the teacher education programs in the public institutions.

(10) Elect a chairman annually from the voting membership and appoint an executive director and such staff as may be needed and fix their compensation without limitation of the provisions of KRS Chapter 18 and KRS 64.640 subject to the approval of the Commissioner of Personnel and the Secretary of the Executive Department for Finance and Administration.

(11) Constitute the representative agency of the Commonwealth in all matters of higher education of a general and statewide nature which are not otherwise delegated to one or more institutions of higher learning. Such responsibility may be exercised through appropriate contractual relationships with individuals or agencies located within or without the Commonwealth. The authority includes but is not limited to contractual arrangements for programs of research, specialized training, and cultural enrichment.

INDEX: UNIVERSITY OF KENTUCKY GOVERNING REGULATIONS

	<u>Section</u>	<u>Page</u>
Academic appointments, promotions, etc. approval of	VIII.B.	41
Academic assignment periods	X.C.1.	51
Academic freedom		
allegations of violations		
by administrators with academic rank	X.B.8.	50
by non-tenured faculty	X.B.6.	49
University policy on	X.C.7.	56
Academic ranks		
establishing qualifications for	VII.A.2.	24
in Community College System	VII.A.2.	24
in University System	VII.A.2.	24
Acting administrative officers	VIII.A.2.	39
Administrative organization, definition of	VII.B.1.	31
<u>Administrative Regulations</u> , promulgation of	II.	4
Advisory Boards, Community Colleges	II.B.	11
Affirmative action program	XII.A.	61
Amendments to		
<u>Governing Regulations</u>	XIV.	65
<u>Student Rights and Responsibilities</u>		
Part I. Student Code	XI.B.	59
Part II. Senate Rules	XI.B.	60
Student Code (Community College System)	XI.B.	60
Appointment of		
acting administrators	VIII.A.2.	39
administrators and professional staff	VIII.A.1.	38
faculty	VIII.B.	41
Interim President	III.	14
Joint Board-Faculty Committee	VIII.A.1.	38
members of Graduate Faculty	VII.A.3.	25
President	VIII.A.1	38
Appointment record	X.B.3	46
Assemblies		
college assembly (University System)	VII.A.4.	27
faculty assembly (Community College System)	VII.A.8.b.	30
University Assembly	VI.	22

Assignment periods	X.C.1.	51
Attendance and representation at meetings	XII.F.	62
Board of Trustees		
approval of		
Academic appointment, promotions, etc.	VIII.B.	41
administrative and staff appointments	VIII.A.1.	38
amendments to <u>Governing Regulations</u>	XIV.	65
major changes in administrative organization	VII.B.1.	31
student codes	XI.B.	60
committees	II.A.5.	8
definition	II.A.1.	5
meetings	II.A.3.	7
membership	II.A.2.	5
officers	II.A.4.	7
order of business	II.A.7.	10
powers and responsibilities	II.A.6.	8
Bureau, as organizational unit	VII.A.1.	24
Campus Sales	XII.C.	61
Center, as interdisciplinary research institute	VII.A.1.	24
Chairmen of departments (University System)		
reappointment	VIII.A.4.a.	40
role, authority, and responsibilities	VII.B.6.	34
search committees for	VIII.A.3.	39
term of appointment	VIII.A.4.a.	40
Chairmen of divisions (Community College System)		
reappointment	VIII.A.4.c.	41
role, authority, and responsibilities	VII.B.9.	37
search committees for	VIII.A.3.	40
term of appointment	VIII.A.4.c.	41
Chairmen of interdisciplinary instructional programs (University System)		
reappointment	VIII.A.4.b.	40
role, authority, and responsibilities	VII.B.7.	35
term of appointment	VIII.A.4.b.	40
Change of assignment	X.B.11.	51
Code of Student Conduct		
Community College System	XI.B.	60
University System	XI.B.	59
College assembly (University System)	VII.A.4.	27

College (Community College System)		
definition as organizational unit	VII.A.1.	24
director of	VII.B.8.	35
faculty of	VII.A.8.a.	29
College (University System)		
dean of	VII.B.4.	33
definition as organizational unit	VII.A.1.	24
faculty of	VII.A.4.	26
Communication, channels for	XII.G.	63
Community College Advisory Boards	II.B.	11
Community College Council		
authority to act for Senate	V.	20
of the Community College System		
advisory role on		
academic ranks and titles	VII.A.2.	25
procedures for review		
of educational units	IX.	42
review system for appointments,		
promotions, and granting of tenure	VIII.B.	41
composition	V.	20
Community College System		
academic ranks in	VII.A.2.	24
definition	I.B.	3
faculty organization in	VII.A.8.	29
programs	I.B.	3
Senate	V.	19
Consulting (see Outside assignments)		
Council on Higher Education	Appendix	66
Deans of colleges (University System)		
appointment of	VIII.B.	41
role, authority, and responsibilities	VII.B.4.	33
search committees for	VII.A.3.	39
Dean of Admissions and Registrar		
as secretary of		
University Assembly	VI.	22
University Senate	IV.	17
Dean of the Graduate School and Coordinator		
of Research		
role, authority, and responsibilities	VII.B.2.	32
Dean of Undergraduate Studies		
role, authority, and responsibilities	VII.B.3.	32
Dentists' Services Plan	X.C.3.c.	53

Department (University System)		
chairman of	VII.B.6.	34
definition as organizational unit	VII.A.1.	23
faculty of	VII.A.6.	28
Department chairmen (University System)		
appointment of	VIII.A.1.	38
role, authority, and responsibilities	VII.B.6.	34
search committees for	VIII.A.3.	39
term of appointment	VIII.A.3.	39
Development Council (University of Kentucky)	XIII.	64
Directors of community colleges		
appointment of	VIII.A.1.	38
role, authority, and responsibilities	VII.B.8.	35
search committees for	VIII.A.3.	40
Director of Honors Program	VII.A.1.	23
Directors of interdisciplinary research institutes		
appointment of	VIII.A.1.	38
search committees for	VIII.A.3.	40
Directors of schools (University System)		
appointment of	VIII.A.1.	38
role, authority, and responsibilities	VII.B.5.	33
search committees for	VIII.A.3.	39
Dismissal, definition of	X.B.5.a.	47
Division chairmen (Community College System)		
appointment of	VIII.A.1.	38
role, authority, and responsibilities	VII.B.9.	37
search committees for	VIII.A.3.	40
term of appointment	VIII.A.4.c.	41
Division (Community College System)		
chairman of	VII.B.9.	37
definition as organizational unit	VII.A.1.	24
faculty of	VII.A.8.c.	30
Educational Units		
Board approval pertinent to	VII.	23
definitions of	VII.A.1.	23
list of	IX.	42
review of	IX.	42
Employment of relatives	X.A.	43
Equal opportunity	XII.A.	61

Executive Committee (Board of Trustees) membership, meetings, authority, and responsibilities	II.5.	8
Facilities, University, use of	XII.D.	61
Faculties		
Graduate Faculty	VII.A.3.	25
of colleges (Community College System)	VII.A.8.a.	29
of colleges (University System)	VII.A.4.	26
of departments (University System)	VII.A.6.	28
of divisions (Community College System)	VII.A.8.c.	30
of interdisciplinary research institutes (University System)	VII.A.7.	29
of schools (University System)	VII.A.5.	27
Faculty appointments, promotions, etc. approval of	VIII.B.	41
Faculty assemblies (Community College System)	VII.A.8.b.	30
Faculty members as		
candidates for degrees	X.C.6.	56
members of boards or commissions	X.C.7.	57
scholars and citizens	X.C.7.	56
Financial emergency	X.B.5.a.	47
Governance of the University of Kentucky	II.	4
<u>Governing Regulations</u>		
amendment of	XIV.	65
description of	II.	4
Graduate Center	VII.A.1.	24
Graduate Council authority and responsibilities	VII.A.3.	26
Group insurance	X.C.10.	58
Hearing Committee (Privilege and Tenure) opportunities for hearings in case of allegations of violation of academic freedom	X.B.6.	49
	X.B.8.	50
dismissal of academic staff	X.B.10	50
dismissal of faculty	X.B.5.b.	47
termination of graduate student staff	X.B.9.	50

Honors Program		
definition as organizational unit	VII.A.1.	23
under Dean of Undergraduate Studies	VII.B.3.	32
director of	VII.A.1.	23
Institute (University System)		
definition as organizational unit	VII.A.1.	24
director of	VII.A.7.	29
staff of	VII.A.7.	29
Insurance		
group	X.C.10.	58
total disability	X.C.12.	58
Interdisciplinary research institute (see Institute)		
Interim President	III.	14
Joint appointments	X.B.1.	44
Joint Board-Faculty Committee to recommend on appointment of President	III. VIII.A.1.	14 38
Leaves of absence		
applicability of leave time to probationary period	X.B.2.	45
leave without pay	X.C.5.c.	56
miscellaneous leaves	X.C.5.b.(2).	56
sabbatical leave	X.C.5.a.	54
sick leave	X.C.5.b.(1).	56
Leave without pay	X.C.5.c.	56
Merit as basis for appointment	X.A.	43
Miscellaneous leaves of absence	X.C.5.b.(2).	56
Motor vehicle traffic and parking regulations	XII.E.	62
Nepotism (see Employment of relatives)		
Non-renewal of appointment, notice of	X.B.4.	46
Non-tenured appointments	X.B.1.	44
Notification of		
non-renewal of appointment	X.B.4.	46
reappointment	X.B.3.	46
resignation	X.B.7.	49
Office, as organizational unit	VII.A.1.	24

Order of communication and reports	XII.G.	63
Outside assignments	X.C.3.a.	52
Overload (see Outside assignments)		
Patent policies	X.C.4.	53
<u>Personnel Policy and Procedure Manual</u> promulgation of	II.	4
Physicians' Services Plan	X.C.3.b.	52
Political activities of faculty	X.C.7.	57
Post-retirement appointments	X.B.1.	44
President of Student Government	XI.A.	59
President of the University		
appointment	VIII.A.1.	38
appointment of University Senate advisory Committees	IV.	16
attendance at meetings of Board of Trustees and its Executive Committee	II.A.3.	7
authority and responsibilities	III.	13
promulgations of <u>Administrative Regulations</u> and <u>Personnel Policy and Procedure</u> Manual	II.	4
role in termination procedures	X.B.5.b.	47
Privilege and Tenure Committee, considerations by or consultation with, on allegations of violations of academic freedom	X.B.6.	49
	X.B.8.	50
suspension of faculty	X.B.5.c.	49
termination for cause	X.B.5.b.	47
termination on basis of financial emergency	X.B.5.a.	47
Probationary periods		
applicability and non-applicability to non-tenured appointments	X.B.2.	45
applicability of time spent on leave	X.B.2.	45
waiver of prior service	X.B.2.	45
Professional practices		
Dentists' Services Plan	X.C.3.c.	53
general practices	X.C.3.a.	51
Physicians' Services Plan	X.C.3.b.	52
Relatives, employment of	X.A.	43

Reports, channels for communication	XII.G.	63
Representation and attendance at meetings	XII.F.	62
Resignation	X.B.7.	49
Retirement	X.C.8.	57
Reviews of educational units	IX.	42
Sabbatical leave	X.C.5.a.	54
School (University System)		
definition as organizational unit	VII.A.1.	23
director of	VII.B.5.	33
faculty of	VII.A.5.	27
Search committees	VIII.A.3.	39
Senate Council		
authority to act for University Senate	V.	16
nominations for Senate Advisory Committees	V.	16
advisory role on		
academic ranks and titles	VII.A.2.	25
procedures for reviews of		
educational units	IX.	42
procedures for search committees	VIII.A.3.	39
review systems on appointments,		
promotion, and granting of tenure	VIII.B.	41
Senate of the Community College System		
authorization to develop rules	II.	4
functions	V.	21
meetings	V.	20
membership	V.	19
Service on boards and commissions	X.C.7.	57
Sick leave	X.C.5.b.(1).	56
Social Security	X.C.9.	57
Solicitation of funds	XII.B.	61
Student codes		
Community College System	XI.B.	60
University System	XI.B.	59
Student Government, University of Kentucky	XI.A.	59
Student governments (Community College System)		
authority to establish	XI.A.	59

Student participation in		
college assembly (University System)	VII.A.4.	27
Community College Council	V.	20
development of educational policies	VII.A.9.	31
Senate of the Community College System	V.	19
University Senate	IV.	15
Student-University relations		
Community College System	XI.B.	60
University Senate	XI.B.	59
Suspension	X.B.5.c.	49
Temporary appointments	X.B.1.	44
Tenured appointments	X.B.1.	44
Termination of appointment		
procedure	X.B.5.b.	47
reasons for termination	X.B.5.a.	47
suspension prior to final decision	X.C.5.c.	49
Term (or period) of appointment		
acting administrators	VIII.A.2.	39
chairmen of interdisciplinary		
instructional programs	VIII.A.4.b.	40
department chairmen (University System)	VIII.A.4.a.	40
division chairmen (Community		
College System)	VIII.A.4.c.	41
Total disability insurance	X.C.12.	58
University Assembly		
membership, officers, and functions	VI.	22
University facilities, use of	XII.D.	61
University of Kentucky Development Council	XIII.	64
University organization, general comments on	VII.	23
University Senate		
authorization to develop rules	II.	4
functions	IV.	17
meetings	IV.	17
membership	IV.	15
University Senate Council (see Senate Council)		
University System		
definition	I.A.	1
programs, requirements	I.A.	2
Use of University facilities	XII.D.	61

Vacation leave	X.C.2.	51
Vice President for Administration functioning for the President	III.	14
responsibility for appointment records and notices of reappointment	X.B.3.	46
Vice President for the Community College System as chairman of the Senate of the Community College System	V.	20
Waiver of prior service	X.B.2.	45
Workmen's compensation	X.C.11.	58

Office of the President
June 27, 1980

PR 3c

Members, Board of Trustees:

Appointment of Director of Tobacco and Health Research Institute

Recommendation: that Dr. Gary L. Huber be appointed Director of the Tobacco and Health Research Institute, effective July 1, 1980.

Background: Dr. Gary Huber, who is being recommended for the Directorship of the Tobacco and Health Research Institute, is currently the Director of the Smoking and Health Research Program at Harvard Medical School, Harvard University. He received M.D. and M.S. degrees from the University of Washington. He has held positions with the Harvard Medical School, Boston City Hospital, U.S. Public Health Service, Beth Israel Hospital and Mount Auburn Hospital, Boston, Massachusetts. In addition to serving as Director of the Smoking and Health Program at Harvard, he has been Chief of the Respiratory Diseases Unit, Director of the Thoracic Clinic at Beth Israel Hospital, Chief of the Division of Respiratory Diseases, Thorndike Memorial Laboratory and Harvard Medical Unit, and he holds a faculty appointment in the Department of Medicine at Harvard Medical School.

He has served extensively on national committees for the National Institutes of Health, American College of Chest Physicians, Heart Association, and Lung Association.

Dr. Huber became involved in tobacco-related research because of his earlier and broader interest in host defenses of the lung, and the interaction of those defenses with environmental factors that potentially lead to disease. He has made many contributions to research in the health sciences with particular recent success in stereology and quantitative morphology where the mathematical and basic sciences can be integrated and applied to important health issues, experimentally.

The University is most fortunate to obtain a person of Dr. Huber's stature and scholarly achievements as Director of its Tobacco and Health Institute.

Action taken: Approved XX Disapproved Other
Date: June 27, 1980

Office of the President
June 27, 1980

PR 30

Members, Board of Trustees:

Appointment of Vice President for Academic Affairs

Recommendation: that Dr. Art Gallaher, Jr. be appointed Vice President for Academic Affairs, effective July 1, 1981.

Background: Dr. Gallaher will be replacing Dr. Lewis Cochran who has announced his retirement effective June 30, 1981. Dr. Gallaher's appointment is being made at this time to permit him to spend most of the 1980-81 year working with Dr. Cochran and becoming familiar with the operation of this complex office.

Dr. Gallaher has been at the University of Kentucky since 1963 and has served as Dean of the College of Arts and Sciences since 1972. Prior to his appointment as Dean, Dr. Gallaher served as Chairman of the Department of Anthropology and Deputy Director and Acting Director of the Center for Developmental Change. He also holds appointments as Professor in the Departments of Anthropology and Behavioral Science.

He has a Ph.D. degree in Social Anthropology from the University of Arizona and the B.A. and M.A. degrees in Anthropology from the University of Oklahoma.

He has published many books and articles and has remained active in teaching even during his term as Dean. He has also been active in his national academic association and served as President of the Society for Applied Anthropology.

Action taken: Approved XX Disapproved _____ Other _____

Date: June 27, 1980

Office of the President
June 27, 1980

PR 3E

Members, Board of Trustees:

AMENDMENTS TO THE BYLAWS OF THE
COUNCIL OF SUPERVISORS OF THE UNIVERSITY HOSPITAL
AND APPOINTMENT OF MEMBERS TO THE COUNCIL OF SUPERVISORS

Recommendation:

1. That the Board approve amendments to the Bylaws of the Council of Supervisors which:
 - (a) Would increase the size of the Council from thirteen members to twenty members by adding one additional member from the Board of Trustees, five additional public members, and one additional member by virtue of his University appointment as Vice President for Administration.
 - (b) Would more clearly delineate the terms of appointment.
 - (c) Would change the number of Supervisors necessary to recommend removal action from nine to fourteen.
2. That the following individuals be appointed to the Council of Supervisors for the University Hospital.

Public Members

Smith Broadbent	1 year term
William Butts, Ph.D.	2 year term
Terry Caudill	1 year term
John Crockett	1 year term
George Grider	2 year term
Joy Hembree	3 year term

Dr. Donald Clapp would also be appointed as a member by virtue of his position as Vice President for Administration.

Background:

Expansion of the membership of the Council of Supervisors has been proposed through appropriate channels as a means for providing greater representation of the people who are served by the hospital. In addition, new members should help to promote the programs of the hospital and encourage public support and confidence.

The originally adopted procedures for the appointment process do not clearly delineate when members' terms commence and when appointments are necessary. The proposed amendments should serve to correct this deficiency.

The increase in the number of Supervisors necessary to recommend removal of a Supervisor has been made to retain the basic two-third requirement which was reflected in the originally adopted Bylaws.

A copy of the amendments is attached hereto.

Recommendation number 2 will serve to fill the vacancies created by expanding the membership of the Council.

Action taken: Approved XX Disapproved Other
Date: June 27, 1980

PROPOSED AMENDMENTS TO:

BYLAWS OF THE
COUNCIL OF SUPERVISORS
UNIVERSITY OF KENTUCKY HOSPITAL

Additions to the existing Bylaws are shown by
underlining and deletions are shown by brackets.

ARTICLE I: COUNCIL OF SUPERVISORS

Section 1. Council of Supervisors. The body participating in the governance of the University of Kentucky Hospital (hereafter called Hospital) shall be known as the Council of Supervisors of University of Kentucky Hospital (hereafter called Supervisors). There shall be [thirteen (13)] twenty (20) Supervisors appointed by the Board of Trustees: [three (3)] four (4) [of the] members of the Board of Trustees of the University of Kentucky, one of whom shall be designated as Chairman of the Supervisors, two (2) members of the Medical Staff recommended by the Hospital Clinical Board, [four (4)] nine (9) public members recommended by the President, one of whom shall be a student of the University of Kentucky, and [four (4)] five (5) members by virtue of their University appointment - the Vice President for the Medical Center, the Vice President for Business Affairs, the Vice President for Administration, the Dean of the College of Medicine and the Hospital Director.

[The term of office of Trustee members, the public members and the Medical Staff members shall be for a period of three years from the date of appointment, except that members

appointed to the initial Council of Supervisors, shall, as near as practicable, be appointed one-third to one year terms, one-third to two-year terms, and one-third to three year terms. No Supervisor in these member categories shall serve longer than two successive terms.]

The terms of appointment of Trustee members, the public members (except the student member), and the Medical Staff members shall be for three years commencing on July 1 in the year of appointment and until their successors are appointed. Five of the aforementioned members shall be appointed in one year, five of the aforementioned members shall be appointed in a second year, and four of the aforementioned members shall be appointed in a third year in order to achieve "staggered" terms of office. The term of appointment for the student member shall be for one year commencing on July 1 in the year of appointment and until his/her successor is appointed. Any vacancy shall be filled for the unexpired term of the original appointee. No appointed member from any of the aforementioned categories shall serve longer than two successive full terms.

In addition to the Supervisors, the Associate Dean for Clinical Affairs shall participate in all supervisor deliberations and exercise the authority of a Supervisor, including voting privileges, when representing the Dean at Supervisor meetings.

Section 6. Removal. The Supervisors may recommend to the Board of Trustees that a Supervisor be removed or suspended. This action may be taken at any meeting by a vote of [nine] fourteen of the Supervisors, provided that the affected Supervisor has been given at least ten (10) days written notice of such intended action and has been advised of the basis for the action. The Supervisor affected shall have the right to be heard and explain to the Supervisors why he or she should not be removed or suspended. Recommendations for suspension or removal by the Supervisors shall be made directly to the Board of Trustees but shall not be effective until approved by the Board of Trustees.

Office of the President
June 27, 1980

PR 4

Members, Board of Trustees:

1979-80 BUDGET REVISIONS

Recommendation: that the following revisions in the 1979-80 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Fund</u>			
1. Income Estimates			
Student Fees			
Registration Fees			
Community College			
System	\$ 4,827,000	\$ 4,832,000	\$ 5,000
Summer Session			
Main Campus	4,462,900	4,467,100	4,200
Community College			
System	1,087,400	1,091,300	3,900
Continuing Education			
Fees	2,200,700	2,226,900	26,200
Miscellaneous Fees	139,400	144,600	5,200
Federal Appropriations			
Vocational Education	727,200	730,700	3,500
Sales and Services			
Departmental Sales and			
Services	4,265,700	4,301,500	35,800
Hospital Income	31,696,100	32,521,100	825,000
			<u>908,800</u>
2. Expenditures			
Academic Affairs			
College of Architecture			
Instruction	541,700	546,900	5,200
College of Arts and			
Sciences			
Language Conference	7,500	12,500	5,000
College of Business and			
Economics			
Center for Labor Education			
and Research	232,600	247,600	15,000
College of Education			
Vocational Education -			
Teacher Education	544,800	548,300	3,500

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures (cont'd)			
Academic Affairs			
College of Library Science	408,100	413,100	5,000
Administration and Support			
University Press	607,700	619,100	11,400
Undergraduate Studies			
Instructional Resources	479,200	479,900	700
University Extension			
Council on Aging	54,900	60,500	5,600
Office for Inter- national Programs	178,500	182,900	4,400
Community College System			
Elizabethtown Community College	1,247,900	1,251,800	3,900
Lexington Technical Institute	1,251,700	1,258,200	6,500
Madisonville Community College	856,900	857,500	600
Paducah Community College	1,451,000	1,453,000	2,000
Somerset Community College	1,219,100	1,222,100	3,000
Offcampus Instruction	105,500	110,500	5,000
Student Aid			
Community College Scholarships	70,400	77,400	7,000
Medical Center			
University Hospital	38,662,300	39,487,300	825,000
			<u>908,800</u>

3. Comments - An increase in federal appropriations will fund a budget increase for the College of Education's vocational education program. Additional continuing education fees will support program increases for the College of Library Science, the Center for Labor Education and Research, Madisonville Community College and the Council on Aging. Budget increases for Elizabethtown Community College, Offcampus Instruction in the Community College System, the Office for International Programs, and the College of Architecture College European Workshop Program will be supported by additional student fee income. An increase in sales of publications by the University Press will fund the budget change for the unit. An increase in photographic services income will support the budget increase for the Office of Instructional Resources. Additional dental

3. Comments (cont'd)

hygiene fees generated by the programs at Somerset and Paducah Community Colleges will fund the increased expenditures for these programs. Budget increases for the College of Arts and Sciences' Language Conference, the Office for International Programs will be supported by increased departmental sales and services. The Community College Scholarships' increase will be funded by the increase in vending commission income. The University Hospital budget increase will be supported by additional hospital and clinics fees. The increase is required as result of an increase in the level of services and associated costs over original budget.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>Restricted Funds</u>			
1. Income Estimates	\$17,041,100	\$17,063,900	\$22,800
2. Expenditures			
Academic Affairs			
College of Arts and Sciences			
Geology	29,300	36,400	7,100
College of Engineering			
Engineering Mechanics	32,800	33,300	500
College of Law			
Administration	7,000	7,500	500
Community College System			
Madisonville Community College	21,000	23,700	2,700
University Wide			
Council on Aging			
Memorial Fund	-0-	1,100	1,100
Honors Program	-0-	900	900
General Library	50,400	60,400	10,000
			<u>22,800</u>
3. <u>Comments</u> - Income restricted for the purpose indicated above will fund the proposed additional expenditures.			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
C. <u>Auxiliary Services</u>			
1. Income Estimates	\$18,998,500	\$19,008,500	\$10,000
2. Expenditures			
Community College System			
Ashland Community College	134,700	144,700	10,000
3. <u>Comments</u> - The proposed expenditure increases will be supported by additional income generated by the respective auxiliary operations.			
D. <u>Contracts and Grants Awards Received</u>			
			<u>Amount</u>
Academic Affairs			
College of Arts and Sciences			
Chemistry			
Selective Accumulation of Porphyrins in Tumors (T0151)			
			\$ 3,500
College of Engineering			
Metallurgical Engineering			
Thermochemical Processing of the Aluminum Alloys (T0140)			
			15,000
Agricultural Experiment Station			
Agronomy			
Germplasm Screening for the Length of the Grain Filling Period (T0138)			
			11,000
Varieties and Production Practices for Narrow Row and Solid Seeded Soybeans (T0137)			
			9,000
Administration and Support			
Graduate School			
Archeological Survey - Muhlenberg and Ohio Counties (T0139)			
			4,500
Cultural Resources Assessment - Proposed Ghent Transmission Line (T0154)			
			28,000
Cultural Resources Assessment - Proposed J.K. Smith Power Station (T0153)			
			6,200
Medical Center			
College of Dentistry			
Community Dentistry			
Effects of Expanded Function Dental Auxiliaries in Dental Practice in Kentucky (T4007)			
			241,100

Page Five

D. Contracts and Grants Awards Received (cont'd)

	<u>Amount</u>
Medical Center (cont'd)	
College of Medicine	
Biochemistry	
Faculty Research Award (T0152)	26,000
Physiology	
Renal Hormones, Function and Metabolism (T0144)	7,500
Medicine	
Pharmacokinetic Imaging with Thallium-201 (T0141)	12,100
Effect of Hypoxia and Anoxia (T0142)	8,000
Stimulants of Cyclic AMP (T0143)	8,000
Drug-induced Pulmonary Disease (T0146)	4,000
Study of B-2 Microglobulin	2,000
Pathology	
HLA Antigens in Patients with Carcinoma (T0147)	500
Effect of Four Electromagnetic Fields (T0148)	1,800
College of Pharmacy	
Pharmacy	
Hepatocarcinogenesis (T0150)	4,500
	<u>392,700</u>

Action Taken: Approved XX Disapproved _____ Other _____

Date: June 27, 1980

Office of the President
June 27, 1980

PR 5

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments to the Governing Regulations of the University of Kentucky which were received and tabled at the May 6, 1980 meeting of the Board of Trustees be removed from the table and approved.

(Note: Proposed additions are underlined; proposed deletions are bracketed.)

First Amendment--Change the current second paragraph of Part II,A,1 to read:

No member of the Board of Trustees or its administrative staff may be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment or services, with the exception of compensation to the [two] three faculty members.
(KRS 164.130)

Background: KRS 164.130 was amended during the 1980 Session of the Kentucky General Assembly to provide for the addition of a member of the teaching faculty of the Community College System to the University of Kentucky Board of Trustees. This amendment to KRS 164.130 becomes effective after July 14, 1980.

Second Amendment--Change the current Part II,A,2 to read:

2. Membership

The Board of Trustees of the University of Kentucky consists of sixteen competent citizens of Kentucky appointed by the Governor, [two] three members of the teaching faculty of the University (two from the University System and one from the Community College System) who shall have the right to vote on all matters except that of faculty compensation, and one member of the student body of the University of Kentucky. The initial terms of the appointed members shall be for four years and until their successors are appointed and qualify. Whenever terms expire after July 14, 1980, the terms of members appointed by the Governor to fill the vacancies shall be for six years. [Four of the sixteen appointed members shall be appointed each year.]

Three of the appointed members shall be representative of agricultural interests, three shall be alumni of the University, and ten shall be other distinguished citizens of the state representative of the learned professions. The Governor shall so make the appointments as to divide the representation on the Board as equally as possible between the two leading political parties of this state. Appointments to fill vacancies shall be made for the unexpired term in the same manner as provided for the original appointments. (KRS 164.130 as amended by the [1972] General Assembly in 1972 and 1980)

Each alumni member is appointed by the Governor from three persons required to be citizens of Kentucky nominated by the alumni by a procedure prescribed by the Board of Trustees. (KRS 164.130; KRS 164.140)

The two faculty members representing the University System of the University of Kentucky must be teaching or research members of the faculty of the University System [of Kentucky] of the rank of assistant professor or above, elected by secret ballot by all faculty members of the rank of assistant professor or above in the University System. Faculty members serve for terms of three years and until their successors are elected and qualify. They are eligible for reelection, but are ineligible to continue to serve as members of the Board if they cease to be members of the teaching staff of the University System. Elections to fill vacancies are for the unexpired term and are held in the same manner as for the original elections. The authority to develop procedures for the election of faculty members of the University System to serve as members of the Board in accordance with the provisions of KRS 164.130 is hereby delegated to the University Senate [(University System)].

The one faculty member representing the Community College System of the University of Kentucky shall be a teaching member of the faculty at one of the community colleges in the Community College System, elected by secret ballot by members of the community college faculties. The community college faculty member shall serve for a term of three years and until a successor is elected and qualified. No community college shall have a faculty representative on the Board for more than one term in succession. The community college faculty representative shall be ineligible to continue to serve as a member of the Board if that individual ceases to be a member of the teaching faculty at one of the community colleges. An election to fill a vacancy for an unexpired term shall be held in the same manner as for the original election. The authority to develop procedures for the election of a faculty member of the Community College System to serve as a member of the Board in accordance with the provisions of KRS 164.130 is hereby delegated to the Senate of the Community College System.

The student member is the president of the student body of the University except that, if the president of the student body is not a full-time student who maintains permanent residency in the Commonwealth of Kentucky, a special election must be held to select a full-time student who does maintain permanent residency in this Commonwealth as the student member. (KRS 164.130)

The number of faculty and student members elected to the University of Kentucky Board of Trustees shall not exceed four. (KRS 164.130 as amended by the 1980 General Assembly)

Background: Three amendments to KRS 164.130 which were made during the 1980 Session of the Kentucky General Assembly become effective after July 14, 1980. One amendment provides for the addition of a member of the teaching faculty of the Community College System to the University of Kentucky Board of Trustees. A second amendment establishes a limit in that the number of faculty and student members elected to the University of Kentucky Board of Trustees shall not exceed four. The third amendment extends from four to six years the terms of Board of Trustees members appointed by the Governor to fill vacancies created through terms expiring after July 14, 1980. The proposed changes in Part II,A,2 bring the University of Kentucky Governing Regulations into conformity with these amendments to KRS 164.130.

Action: Approved xx Disapproved _____ Other _____

Date: June 27, 1980

Office of the President
June 27, 1980

PR 6

Members, Board of Trustees:

ASSOCIATE DEGREE PROGRAMS IN THE
COMMUNITY COLLEGE SYSTEM

Recommendation: that the Board of Trustees authorize for submission to the Council on Higher Education an associate degree program in Accounting Technology for the Madisonville Community College and an associate degree program in Data Processing Technology for the Elizabethtown Community College.

Background: The Council on Higher Education is empowered to define and approve all higher education associate degree programs.

The colleges have worked with the local advisory board and other groups in determining the needs for each program.

Approval of the Board of Trustees is required prior to submission to the Council on Higher Education.

Action taken: Approved XX Disapproved Other

Date: June 27, 1980.

Office of the President
June 27, 1980

PR 7

Members, Board of Trustees:

CHANGE IN NAME OF DEPARTMENT OF ORAL SURGERY
TO DEPARTMENT OF ORAL AND MAXILLOFACIAL SURGERY

Recommendation: That the name of the Department of Oral Surgery, College of Dentistry, be changed to the Department of Oral and Maxillofacial Surgery

Background: This recommendation is based upon comparable name changes in the state, regional, and national organizations, including the specialty's certifying board. These changes, in turn, were the result of the action of the American Dental Association's House of Delegates in 1973, which amended the dental profession's Principles of Ethics to permit members to present themselves to the public as oral and maxillofacial surgeons. The change will permit the Department to reflect more accurately the scope of educational content and the nature of the services provided. It will also bring the Department into conformity with the character and scope of training experiences required for an American Dental Association accredited residency for the specialty.

Action: Approved xx Disapproved _____ Other _____
Date: June 27, 1980.

Office of the President
June 27, 1980

PR 8

Members, Board of Trustees:

RESTORATION TO REGULAR FACULTY STATUS

Recommendation: That the following five faculty members previously retired by Board action on April 3, 1979, effective June 30, 1979, be restored to regular faculty status effective July 1, 1980:

Brown, William R., Professor, Department of
Geology, College of Arts and Sciences
Dutt, Raymond H., Professor, Department of
Animal Sciences, College of Agriculture
Field, Thomas P., Professor, Department of
Geography, College of Arts and Sciences
Hargreaves, Mary W. M., Professor, Department
of History, College of Arts and Sciences
Oberst, Paul, Professor, College of Law

Background: University faculty whose normal retirement year was the end of the fiscal year 1978-79 were permitted to continue employment on a post retirement basis with the understanding any change in University of Kentucky retirement regulations for faculty subsequent to June 30, 1979, would be made available to them. The University Board acted in September, 1979, to establish the end of the age 70 fiscal year as the mandatory retirement age for faculty as well as staff. These five faculty members previously retired and continued employment on a post retirement basis. They have expressed a desire to continue employment after June 30, 1980. Therefore, the purpose of this recommendation is to cancel the April 3, 1979, retirement action and restore the five to regular faculty status. Board action will be required in the future when these faculty members, in fact, do retire.

Action: Approved xx Disapproved Other

Date: June 27, 1980

Office of the President
June 27, 1980

PR 9

Members, Board of Trustees:

Approval of Agreement Between University of Kentucky and
Kentucky Medical Services Foundation, Inc.

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978.

Background: The Board action on June 20, 1978 provided that:

"The President is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan."

Kentucky Medical Services Foundation, Inc. meets the "criteria" specified under Section III A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20 Board resolution and specifically satisfied the "conditions" set forth in Section III B.

The terms of the attached document are essentially the same as those in the agreement approved by the Board on June 28, 1979 for the period July 1, 1979 through June 30, 1980. The document has been updated to reflect dates in the new contract period, revise the dollar amounts due the University under Section 5, C and D, change the division of the Academic Enrichment Fund in Section 6, A and B, and provide for quarterly rather than monthly reports in Section 12. The dollar amounts have been revised to reflect the results of a cost study and increased costs for 1979-80. The amount for professional liability insurance has been reduced from \$180,000 to \$136,000 and the amount for expenses attendant to the production of clinical income has been increased from \$1,140,000 to \$1,308,000.

Action taken: Approved XX Disapproved _____ Other _____
Date: June 27, 1980

THIS AGREEMENT made and entered into this _____ day of _____, 1980, by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as the Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. A substantial number of University's faculty in its College of Medicine have heretofore executed agreements with Foundation, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members who

produce income are permitted, through the medium of the Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, in addition to its previously adopted Physicians Service Plan, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978; said action is hereby incorporated by reference as if set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978.

B. "Clinical income" means (i) all payments for professional services rendered to or with respect to patients whether denominated as diagnosis, treatment, evaluation, or consultation and whether said payments be characterized as fees, retainers, or otherwise, and (ii) all payments whether direct or in kind, (excluding actual travel costs) for oversight or administration of, or consultation with, facilities, programs, or other operations providing care, services or evaluation relating to patients, and (iii) witness fees or other payments relating to depositions or other evaluations in the capacity of an expert witness. Provided, however, that nothing contained herein shall be deemed

to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, The Fund for Advancement of Education in the University of Kentucky Medical Center and the Foundation.

Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to bill the amount of duly established charges for professional services to parties determined to be responsible for payment of such charges, with the exception of the billing and collection of the professional component of combined charges for institutional (hospital and clinical) and professional services. University hereby agrees to bill and collect said professional component of combined charges in accordance with University policies and procedures and to remit monthly to Foundation the amount collected which is attributable to the professional component of said combined charges. The determination of the amount collected which is attributable to professional components of such charges will be in accordance with the existing

basis and method utilized by University for such determination.

Section 5. REIMBURSEMENT OF UNIVERSITY

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. To provide for said reimbursement, Foundation agrees that it will, on or before the first working day of each month in which reimbursable expenses are due as provided hereinafter, deposit the funds necessary to make such payments in a separate account maintained by it and that funds held in the said account will be invested only in accord with the provisions of Section 16 hereof.

C. Foundation agrees to pay to University, from said account, as reimbursement for the provision of professional liability insurance, the sum of One Hundred Thirty-Six Thousand (\$136,000.00) Dollars in twelve (12) equal monthly installments, the first said installment being due and payable on July 31, 1980 and monthly thereafter until June 30, 1981.

D. Foundation agrees to pay to University, from said account, as reimbursement for the remainder of the expenses attendant to the production of clinical income, University's actual annual costs, not to exceed the sum of One Million Three Hundred Eight Thousand (\$1,308,000.00) Dollars. Payments hereunder shall be made in twelve (12) monthly installments of One Hundred Nine Thousand (\$109,000.00) dollars each on the dates provided in paragraph C. hereinabove.

E. Foundation and University agree to jointly develop a methodology during the term of this contract to determine the actual cost to University attendant to the production of clinical income.

F. In addition to the above, Foundation agrees that it will reimburse University for all "plan income", as that term is defined in paragraph II, C., 2 of the plan, paid to faculty by University.

Section 6. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, Foundation agrees that it will pay to The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (hereinafter Fund), an amount equal to five (5%) percent of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund with ten (10) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. hereinabove, an additional amount equal to five (5%) percent of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes in his sole discretion.

Section 7. PARTICULAR COVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on

June 19, 1978, have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants that it will not engage in the practice of medicine, nor will it solicit, administer, receive, perform or accept any gift, grant, devise, bequest, contract or other arrangement with or from any governmental unit, entity, or subdivision or any person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not merge with any other corporation or convey any substantial portion of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obligations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefor; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its suc-

cessor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c)(3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501 (c)(3) of the Internal Revenue Code of 1954, as amended.

Section 8. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the practice agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department in which a majority of the faculty members have executed practice agreements, that new members of its faculty execute said practice agreement in form identical to said agreements heretofore executed or as the form of same may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not holding membership in the plan", nor will it permit persons not members to serve on its Board of Directors, nor will it provide any benefit or

other thing of value to any person or entity not a member of the plan except for (i) termination benefits to former members as provided by action of Foundation's Board of Directors or (ii) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities.

D. Foundation agrees that it will strictly enforce the terms of its practice agreements, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

Section 9. PERSONNEL

The parties recognize the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1973, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary to permit said Foundation to bill and collect professional fee income and to administer its funds in accord with the terms of the geographic full-time plan. Such personnel will not be provided

office space at the University Medical Center.

B. Other personnel

During the term of this contract Foundation agrees that it will not employ persons to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements between the parties whereby University will employ personnel for such service and/or research duties with reimbursement of salary, fringe benefits and other direct costs by Foundation. Where such personnel are employed for service in a specific department or unit, employment will not occur unless (i) the department or unit where service is to be performed has consented in writing to said appointment, (ii) the Dean of the College of Medicine has approved the employment and the job description related thereto and (iii) funds for the salary, fringe benefits, and other costs related to said employee are either on hand or reasonably anticipated in the departmental or unit budget.

C. Equal opportunity-affirmative action

Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age or handicap. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising; lay-off or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin,

age or handicap. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University including the individual members thereof, officers, agents and employees of the University by reason of any action at law or equity or by reason of any administrative proceeding initiated by any person arising out of any such employment action or practice of Foundation, including the payment of attorney fees and other costs of such action or proceeding.

Section 10. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978 require that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide Ambulatory Floors 2, 3, 4 and 5 of University Hospital, together with the Ophthalmology Clinic, the Family Practice Clinic and the Emergency Room (exclusive of faculty offices and classroom space contained therein) and other space officially designated for the conduct of the patient care operation

With respect to any other facilities which University may from time to time provide to Foundation, Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same.

Section 11. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of

University programs, are and shall remain the property of University and that Foundation has no right or property interest therein. Provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Provided, further, that University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by University as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payors as the basis for payment for services.

Section 12. INSPECTION AND AUDIT

Foundation agrees that, in accord with paragraph III, B., 1. of the action of University's Board of Trustees dated June 20, 1978, its books, records and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

The parties agree that the University's Internal Auditors will conduct an audit of Foundation's operations and accounts for the period ending June 30, 1980, and such other audits including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, and the terms of the departmental agreements referred to in Section 17 hereof, as may be specified by the University of Kentucky Vice President for Business Affairs and Treasurer, and that Foundation's agents and employees will cooperate to the fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants mutually agreeable to the parties will be employed by the Foundation to perform an independent audit of

the Foundation's books, records and accounts for the year ended June 30, 1981.

Foundation agrees that it will furnish to University the following reports:

Annually

Audited Financial Statements, to include,

- . Opinion of independent Certified Public Accountants
- . Balance Sheet
- . Statement of Changes in Fund Balances
- . Statement of Current Revenues and Expenditures
- . Supplementary Schedules

Quarterly

Financial Statements, to include:

- . Balance Sheet
- . Statement of Operations
- . Gross Billings
- . Collections
- . Financial Allowances
- . Bad Debts

Aging Trial Balance of Accounts Receivable, by:

- . Patient Liability
- . Third party payor

Reports of Delinquent Account Activity, to include:

- . Referrals
- . Collections
- . Close and returns

Section 13. DEFAULT

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in

any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

Section 14. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941, or other University funds or funds provided through any policy or policies of insurance maintained for said purpose.

Section 15. APPLICATION OF INCOME

University agrees that it will not, during the operation of this contract, cause charges for services rendered after July 1, 1973 by members of the faculty who have executed Practice

Agreements and Assignments to be deposited in the accounts of the Fund for Advancement of Education and Research in the University of Kentucky pursuant to the provisions of University of Kentucky Administrative Regulation AR II-4.0-1; deposits of such charges attributable to faculty who have not executed said Practice Agreements and Assignments shall continue pursuant to said regulation.

Section 16. MANAGEMENT AND INVESTMENT POLICIES .

The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of professional income and the provision of a sum equal to ten (10%) percent of Foundation's gross income for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred in billing and collecting said income and providing for the central administration of Foundation.

Accordingly, Foundation agrees that it will deposit the funds necessary to meet its obligations for reimbursement of the University and the provision of the aforementioned academic enrichment fund in a separate account as received and that the funds in said account shall be invested only in the following: (1) direct obligations of the United States Treasury; (2) other appropriate securities issued by federal agencies (including the Federal National Mortgage Association, the Export Import Bank, Federal Intermediate Credit Bank, Federal Home Loan Banks, Federal Land Banks); and (3) certificates of deposit collateralized by direct obligations of the federal government, federal agency obligations which qualify for direct investment under this recommendation, general obligations of the Commonwealth of Kentucky or obligations of the University of Kentucky. Foundation shall be entitled to the investment income derived from

said account.

Section 17. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation agrees that it will, after making provision for: (i) the costs of its administration and costs relating to billing and collection, (ii) reimbursement of University expenses pursuant to Section 5 hereof and (iii) the College of Medicine Academic Enrichment Fund pursuant to Section 6 hereof, maintain its accounts in such a manner that income attributable to the professional clinical activities of each of the following departments or divisions shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

Anesthesiology
Diagnostic Radiology
Emergency Medicine
Family Practice
Medicine
Neurology
OR-CYN
Ophthalmology
Pathology
Pediatrics
Radiation Medicine
Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time, as provided in said agreements, with the approval of the Dean of the College of Medicine.

Section 18. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Dean, College of Medicine
BN 140 - University Hospital
University Medical Center
600 Rose Street
Lexington, Kentucky 40506

In the case of Foundation to:

Kentucky Medical Services Foundation, Inc.
140 Southland Drive
Lexington, Kentucky 40503

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

Section 19. TERM

The term of this agreement shall begin on July 1, 1980 and end on June 30, 1981.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES
THE UNIVERSITY OF KENTUCKY

By: _____
President
University of Kentucky

ATTEST: _____

KENTUCKY MEDICAL SERVICES
FOUNDATION, INC.

By: _____

ATTEST: _____

Office of the President
June 27, 1980

PR 10

Members, Board of Trustees:

CAPITAL CONSTRUCTION PROJECTS

Recommendation: that the Board of Trustees approve for submission to the Council on Higher Education five capital construction projects. These projects are: 1) Mining Laboratory Renovation; 2) Medical Center Chiller; 3) Chill Water Line Installation; 4) Fine Arts Building - Roof Replacement; and 5) Elizabethtown Student Center - Roof Repairs.

Background: 1) The Mining Laboratory was included in the 1979-84 Facilities Renovation Plan approved by the Board of Trustees. The renovation is necessary to correct safety deficiencies, make the facility usable by handicapped and to provide usable space for the Mining Engineering program. Project cost is estimated at \$1,000,000.

2) The Medical Center chiller project was previously approved and funded at an estimated cost of \$860,000. The estimated cost of the project is now \$1,360,000, a \$500,000 increase over current level of funding.

3) The installation of chill water lines from the Lafferty Hall tunnel would provide chill water to the Mining Lab, Journalism Building and McVey Hall. The water lines would be large enough for future connections to Pence, Kastle and Chemistry-Physics Buildings. The estimated project cost is \$200,000.

4) The Fine Arts roof replacement is due to normal deterioration of the existing roof. The estimated cost of the project is \$150,000.

5) The Elizabethtown roof repairs are due to normal deterioration of the existing roof. The estimated cost of the project is \$110,100.

Funding for the Mining Lab and Medical Center chiller will come from available fund balances. Funding for the chill water lines will come from appropriated renovation funds. The Fine Arts and Elizabethtown roof repairs projects are already funded and we seek only authority to transmit them to the Council on Higher Education.

Action taken: Approved XX Disapproved _____ Other _____

Date: June 27, 1980

Office of the President
June 27, 1980

PR 11

Members, Board of Trustees:

OPERATING BUDGET FOR 1980-81

Recommendation: that the 1980-81 Operating Budget as submitted, including the authorization set forth in the letter of transmittal be approved and ordered recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures and accepted as a basis of maximum expenditures for the year 1980-81. The Board of Trustees or the Executive Committee is empowered to make such changes in the budget as may from time to time be deemed necessary upon recommendation of the President.

Background: the 1980-81 Operating Budget of the University of Kentucky is presented for approval by the Board of Trustees. Copies of the budget document have been made available to members of the Board.

Note: The budget document is not included in the Minutes of the meeting but a copy is included in the official files of the Board of Trustees

Action: Approved xx Disapproved _____ Other _____

Date: June 27, 1980

Office of the President
June 27, 1980

PR 12

Members, Board of Trustees:

PROPOSED AMENDMENTS OF THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments of the Governing Regulations of the University of Kentucky be received for preliminary consideration and, at the next regular or special meeting of the Board of Trustees provided at least one month has elapsed, be included on the agenda for action.

(Note: Proposed additions are underlined; proposed deletions are bracketed.)

First Amendment -- Change the title and first four paragraphs of Part XI.A (page 59) to read:

A. University of Kentucky Student [Government] Association

The University of Kentucky Student [Government] Association is recognized by the Board of Trustees, administration, and the University Senate as the official student body authority in University matters to insure a maximum of self-governance and to create mutual respect and liaison between students and the faculty and administration. It shall provide services to the students, faculty, and administration of the University and represent student opinion to the faculty, administration, and the Board of Trustees. It may have responsibilities delegated to it by the President, the Office of the Vice President for Student Affairs, and/or the University Senate.

The President of the University of Kentucky Student [Government] Association shall be considered to be the president of the student body of the University specified in KRS 164.130.

The University of Kentucky Student [Government] Association shall be representative of the student body of the University System of the University of Kentucky. It is authorized to develop rules for its composition and procedures which are consistent with rules and regulations of the University. Copies of these rules shall be supplied to all members of the student body of the University System, the Secretary of the University Senate, the Vice President for Student Affairs, the President of the University, and the Secretary of the Board of Trustees.

For purposes of budget and other matters relating to finance, the University of Kentucky Student [Government] Association is responsible to an administrative officer designated by the President.

Background: This change has been recommended by the University of Kentucky Student Government. The current name of this official student body authority does not properly reflect the student body authority's function, which is the advocacy of student interest and opinion rather than the regulation of student life. Accuracy is not the only reason for this proposed change; the word "government" has negative connotations to many students. The resulting erroneous attitude keeps many students from supporting Student Government.

Second Amendment -- Change the first paragraph of Part XIV (page 65) to read:

These Governing Regulations may be amended at any regular or special meeting of the Board of Trustees provided at least one month has elapsed between proposal of the amendment(s) and final passage. The President, or the University Senate or the Senate of the Community College System, or the Student [Government] Association or the Inter-Community College Student Council, through the President, may recommend amendments of the Governing Regulations to the Board. The President, the University Senate and/or the Senate of the Community College System, and the Student [Government] Association and/or the Inter-Community College Student Council, as appropriate, shall have an opportunity to recommend on all amendments before final action by the Board.

Background: Same as for first amendment.

Action taken: Approved XX Disapproved _____ Other _____

Date: June 27, 1980

Office of the President
June 27, 1980

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the ten months ending April 30, 1980, be accepted.

Background: The April 30, 1980, report reflects income of \$229,980,648 for the period of the report; the income figure is 92 percent of the annual income estimate of \$249,087,422.

The expenditures and commitments represent a total of \$193,259,691 for this period of ten months, or 78 percent of the expenditure authority of \$249,087,422 approved by the Board.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1980.

University of Kentucky
Balance Sheet
April 30, 1980
With Comparative Figures for 1978-79

ASSETS

	<u>1979-1980</u>	<u>1978-1979</u>
Current Funds:		
Unrestricted:		
Cash in banks:		
Depository funds	\$ 6,048,133	\$ 115,170
Imprest funds		3,733
Cash on deposit with State Treasurer:		
Trust and agency funds	10,882,688	8,776,567
General fund appropriation	<u>6,417,339</u>	<u>12,438,610</u>
Total cash	<u>23,348,160</u>	<u>21,334,080</u>
Due from other funds and affiliated corporations	4,976,715	2,690,749
Accounts receivable	1,131,065	1,409,290
Notes receivable	71,190	72,094
Investment-short term	16,010,881	15,851,264
Inventories	4,126,096	3,513,003
Unrealized income (Statement 3)	<u>6,880,425</u>	<u>7,954,816</u>
Total unrestricted	<u>56,544,532</u>	<u>52,825,296</u>
Restricted:		
Cash in banks	1,280,889	1,114,286
Imprest funds	28,271	35,814
Cash on deposit with State Treasurer	<u>1,500,546</u>	<u>1,869,048</u>
Total cash	<u>2,809,706</u>	<u>3,019,148</u>
Petty cash advances	273,264	238,395
Travel advances	252,594	220,870
Accounts receivable	16,995	7,037
Accrued interest receivable	656,906	285,498
Investments:		
Short-term (Schedule 1)	19,258,265	8,610,000
Other	<u>149,708</u>	<u>232,236</u>
Unrealized income:		
Restricted (Statement 3)	3,656,668	3,525,947
Tobacco Research Trust Fund (Statement 2)	<u>1,600,490</u>	<u>1,503,792</u>
Total restricted	<u>28,674,596</u>	<u>17,642,923</u>

University of Kentucky
Balance Sheet
April 30, 1980
With Comparative Figures for 1978-79

LIABILITIES AND FUND BALANCES

	<u>1979-1980</u>	<u>1978-1979</u>
Current Funds:		
Unrestricted:		
Outstanding encumbrances	\$ 5,577,232	\$ 5,296,973
Accounts payable-county funds	28,565	55,586
Accrued expenses	853,000	891,000
Advance from state for imprest cash fund	1,300,000	1,300,000
Deferred income	60,643	40,643
Accrued vacation	2,825,000	2,600,000
Unencumbered balance, departmental appropriations (Statement 4)	36,733,919	33,957,675
Fund balances:		
Allocated for working capital	<u>9,166,173</u>	<u>8,684,019</u>
Total unrestricted	<u>56,544,532</u>	<u>52,825,296</u>
Restricted:		
General Fund collections	5,027,504	3,835,559
Outstanding encumbrances	642,908	454,587
Due to other funds and affiliated corporations	8,555,824	1,616,445
Advance from state for imprest cash fund	50,000	50,000
Deferred income	984	
Refundable deposits	62,751	63,611
Outstanding check liability	45,258	95,475
Unencumbered balance, departmental appropriations (Statement 4)	5,611,631	3,959,048
Unencumbered balance, Tobacco Research Trust Fund		
Appropriation (Statement 4)	1,869,979	2,076,383
Fund balances:		
Restricted fund (Statement 2)	5,873,866	4,931,374
Tobacco Research Trust Fund-unallocated funds (Statement 2)	<u>933,891</u>	<u>560,441</u>
Total restricted	<u>28,674,596</u>	<u>17,642,923</u>

University of Kentucky
Balance Sheet
April 30, 1980
With Comparative Figures for 1978-79

ASSETS

	<u>1979-1980</u>	<u>1978-1979</u>
Hospital and clinics:		
Due from other funds and affiliated corporations	7,058,015	4,785,419
Accounts receivable, net of allowance for doubtful account of \$8,855,413 in 1980 and \$3,887,061 in 1979	10,018,686	9,030,351
Inventories	488,964	698,388
Unrealized income (Statement 3)	<u>4,384,777</u>	<u>4,183,331</u>
Total hospital and clinics	<u>21,950,442</u>	<u>18,697,489</u>
Housing and dining system:		
Cash in bank	383,598	128,186
Cash on deposit with State Treasurer	1,224,415	705,223
Accounts receivable	258,065	269,517
Investments-short term	1,307,199	1,839,878
Inventories	1,069,140	1,218,332
Prepaid expenses	37,927	41,588
Unrealized income (Statement 3)	<u>1,396,138</u>	<u>836,315</u>
Total housing and dining system	<u>5,676,482</u>	<u>5,039,039</u>
Auxiliary enterprises:		
Cash in banks	106,255	2,931
Cash on deposit with State Treasurer	<u> </u>	<u>392,963</u>
Total cash	<u>106,255</u>	<u>395,894</u>
Accounts receivable	38,405	16,274
Notes receivable	87,000	87,000
Inventories	537,540	474,524
Unrealized income (Statement 3)	<u>1,188,276</u>	<u>1,234,428</u>
Total auxiliary enterprises	<u>1,957,476</u>	<u>2,208,120</u>
TOTAL CURRENT FUNDS	<u>\$ 114,803,528</u>	<u>\$ 96,412,867</u>

University of Kentucky
Balance Sheet
April 30, 1980
With Comparative Figures for 1978-79

LIABILITIES AND FUND BALANCES

	<u>1979-1980</u>	<u>1978-1979</u>
Hospital and clinics:		
Outstanding encumbrances	229,624	700,407
Accounts payable	2,132,634	
Accrued vacation	470,000	430,000
Unexpended balance, departmental appropriation (Statement 4)	8,624,685	9,412,363
Fund balances-allocated for working capital (Statement 2)	<u>10,493,499</u>	<u>8,154,719</u>
Total hospital and clinics	<u>21,950,442</u>	<u>18,697,489</u>
Housing and dining system:		
Accrued expenses	247,551	843,762
Accrued wages	116,767	77,944
Accrued vacation	60,000	55,000
Accounts payable	163,841	218,624
Due to other funds and affiliated corporations	1,307,257	939,013
Refundable deposits	105,096	53,565
Deferred income	1,005,280	932,347
Unexpended balance, departmental appropriation (Statement 4)	2,321,476	1,630,404
Fund balances:		
Allocated for future operating purposes (Statement 2)	<u>349,214</u>	<u>288,380</u>
Total housing and dining system	<u>5,676,482</u>	<u>5,039,039</u>
Auxiliary enterprises:		
Outstanding encumbrances	274,667	471,636
Due to other funds and affiliated corporations	189,653	17,647
Unencumbered balance, departmental appropriation (Statement 4)	666,041	616,925
Fund balances-allocated:		
Working capital	686,487	484,562
Future operating purposes	140,628	617,350
Total fund balances (Statement 2)	<u>827,115</u>	<u>1,101,912</u>
Total auxiliary enterprises	<u>1,957,476</u>	<u>2,208,120</u>
TOTAL CURRENT FUNDS	<u>\$ 114,803,528</u>	<u>\$ 96,412,867</u>

University of Kentucky
Balance Sheet
April 30, 1980
With Comparative Figures for 1978-79

ASSETS

	<u>1979-1980</u>	<u>1978-1979</u>
Loan Funds:		
Cash in bank	\$ 763,973	\$ 739,706
Notes receivable, net allowance for doubtful accounts of \$1,043,572 in 1980 and \$725,947 in 1979	10,974,728	10,645,657
Due from other funds and affiliated corporations	4,672	26,045
Due from United Student Aid loan fund	2,000	2,000
TOTAL LOAN FUNDS	<u>\$ 11,745,373</u>	<u>\$ 11,413,408</u>
Endowment Funds:		
Due from other funds and affiliated corporations	\$ 4,847,178	\$ 2,079
Investments		4,316,282
TOTAL ENDOWMENT FUNDS	<u>\$ 4,847,178</u>	<u>\$ 4,318,361</u>
Plant Funds:		
Unexpended:		
Cash on deposit with State Treasurer:		
Trust and agency	\$ 8,630,424	\$ 5,161,509
Capital and construction funds	8,224,276	16,818,157
Total cash on deposit with State Treasurer	<u>16,854,700</u>	<u>21,979,666</u>
Due from other funds and affiliated corporations	2,618,268	1,411,327
Due from Federal Government	339,473	595,679
Construction in progress	14,819,407	18,359,440
Funded future construction	24,767,218	24,390,672
Total unexpended plant funds	<u>59,399,066</u>	<u>66,736,784</u>

University of Kentucky
Balance Sheet
April 30, 1980
With Comparative Figures for 1978-79

LIABILITIES AND FUND BALANCES

	<u>1979-1980</u>	<u>1978-1979</u>
Loan Funds:		
Federal sponsored loan funds:		
Federal Government	\$ 8,867,772	\$ 8,803,206
University matching funds	1,003,167	988,698
Accumulated interest income	<u>1,092,047</u>	<u>962,620</u>
Total sponsored loan funds	<u>10,962,986</u>	<u>10,754,524</u>
University loan funds:		
University student aid funds	87,084	87,854
University special student loan funds	693,303	569,030
United Student Aid loan funds	<u>2,000</u>	<u>2,000</u>
Total University loan funds	<u>782,387</u>	<u>658,884</u>
TOTAL LOAN FUNDS	<u>\$ 11,745,373</u>	<u>\$ 11,413,408</u>
Endowment Funds:		
Fund balances	<u>\$ 4,847,178</u>	<u>\$ 4,318,361</u>
TOTAL ENDOWMENT FUNDS	<u>\$ 4,847,178</u>	<u>\$ 4,318,361</u>
Plant Funds:		
Unexpended:		
Outstanding encumbrances	\$ 2,892,364	\$ 13,415,761
Accounts payable	623,000	8,367,167
Bonds payable	4,730,000	9,975,633
Appropriations-unencumbered balance	16,581,004	34,978,223
Fund balances allocated for construction-renovation	<u>34,572,698</u>	<u>66,736,784</u>
Total unexpended plant funds	<u>59,399,066</u>	<u>104,472,568</u>

University of Kentucky
Balance Sheet
April 30, 1980
With Comparative Figures for 1978-79

ASSETS

	<u>1979-1980</u>	<u>1978-1979</u>
Renewal and replacements:		
Cash on deposit with Trustees	25,886	19,535
Cash on deposit with State Treasurer	138,757	296,940
Due from other funds and affiliated corporations	307,304	
Investments held by Trustees	<u>1,065,275</u>	<u>982,266</u>
Total renewal and replacements	<u>1,537,222</u>	<u>1,298,741</u>
Retirement of indebtedness:		
Cash on deposit with State Treasurer	50,000	50,000
Cash on deposit with Trustees	1,251	70,341
Investments held by Trustees	<u>46,623,606</u>	<u>46,621,402</u>
Total retirement of indebtedness	<u>46,674,857</u>	<u>46,741,743</u>
Investment in plant:		
Land	20,335,589	17,139,529
Buildings	253,348,433	234,175,877
Equipment	<u>87,916,869</u>	<u>78,556,139</u>
Total investment in plant	<u>361,600,891</u>	<u>329,871,545</u>
TOTAL PLANT FUNDS	<u>\$ 469,212,036</u>	<u>\$ 444,648,813</u>
Agency Funds:		
Cash	\$ 627,911	\$ 548,398
Due from other funds and affiliated corporations	48,644	
Accounts receivable	587	
Investments short-term (Schedule 1)	<u>15,000</u>	<u>15,000</u>
TOTAL AGENCY FUNDS	<u>\$ 692,142</u>	<u>\$ 563,398</u>

University of Kentucky
Balance Sheet
April 30, 1980
With Comparative Figures for 1978-79

LIABILITIES AND FUND BALANCES

	<u>1979-1980</u>	<u>1978-1979</u>
Renewal and replacements:		
Due to other funds and affiliated corporations		
Outstanding encumbrances	8,989	75,920
Fund balances:		181,350
Restricted (Schedule 4)	825,525	822,350
Unrestricted (Schedule 4)	702,708	219,121
Total fund balances	<u>1,528,233</u>	<u>1,041,471</u>
Total renewal and replacements	<u>1,537,222</u>	<u>1,298,741</u>
Retirement of indebtedness:		
Bonds payable (Schedule 3)	32,782,000	32,962,000
Fund balances (Schedule 3)	13,892,857	13,779,743
Total retirement of indebtedness	<u>46,674,857</u>	<u>46,741,743</u>
Investment in plant:		
Due to other funds and affiliated corporations		
Bonds payable	381,000	98,259,833
Lease purchase obligations	104,356,500	5,155,000
Net investment in plant	5,000,000	226,456,712
Total investment in plant	<u>361,600,891</u>	<u>329,871,545</u>
TOTAL PLANT FUNDS	<u>\$ 469,212,036</u>	<u>\$ 444,648,813</u>
Agency Funds:		
Outstanding encumbrances	\$ 2,350	\$ 366
Due to other funds and affiliated corporations		145,681
Funds held in custody for others	689,792	417,351
TOTAL AGENCY FUNDS	<u>\$ 692,142</u>	<u>\$ 563,398</u>

University of Kentucky
Statement of Changes in Fund Balances-Current Funds
Ten Months Ended April 30, 1980

	Unrestricted Allocated	Restricted	Tobacco Research Trust Fund	Hospital and Clinics	Housing Dining System Funds for Future Operating Purposes	Auxiliary Enterprises
Fund Balances July 1, 1979	\$ 16,031,558	\$ 5,873,866	\$ 933,891	\$10,493,499	\$ 349,214	\$1,232,115
Realized income	168,395,289	7,700,545	2,838,399	34,300,212	13,375,150	3,371,053
Unrealized income	6,880,425	3,656,668	1,600,490	4,384,777	1,396,138	1,188,276
Total estimated income	175,275,714	11,357,213	4,438,889	38,684,989	14,771,288	4,559,329
Less fund balance appropriated	6,865,387					405,000
Net current estimated income	168,410,327	11,357,213	4,438,889	38,684,989	14,771,288	4,154,329
Total available	184,441,885	17,231,079	5,372,780	49,178,488	15,120,502	5,386,444
Current year expenditures/ encumbrances	138,541,795	5,745,582	2,568,910	30,060,304	12,449,812	3,893,288
Unencumbered balances, appropriations	36,733,919	5,611,631	1,869,979	8,624,685	2,321,476	666,041
Total authorized appropriations	175,275,714	11,357,213	4,438,889	38,684,989	14,771,288	4,559,329
Fund Balances April 30, 1980	\$ 9,166,171	\$ 5,873,866	\$ 933,891	\$10,493,499	\$ 349,214	\$ 827,115

Statement 3

University of Kentucky
Summary of Current Revenues and Appropriated Balances
Ten Months Ended April 30, 1980
With Comparative Figures for 1978-79

Fund and Source:	1979-80			1978-79		
	Estimated for the Year	Realized to Date	% Realized to Date	Estimated for the Year	Realized to Date	% Realized to Date
Unrestricted:						
Student fees	\$ 22,956,349	\$ 22,376,663	97	\$21,451,356	\$ 20,937,028	98
Federal appropriations	12,070,252	10,238,234	85	11,177,069	9,147,434	82
County appropriations	2,183,349	1,572,883	72	1,920,474	1,245,054	65
Endowment and trust fund income	168,900	211,380	125	177,900	151,312	85
Investment income	3,235,000	3,476,529	107	1,835,000	1,683,508	92
Gifts and grants:						
Affiliated corporations	3,386,234	333,795	10	4,420,738	162,123	4
Other funds	375,000	235,384	63	375,000	203,135	54
Other	1,582,894	1,373,723	87	1,670,740	1,114,705	67
Sales, services, other income	5,544,938	4,813,216	87	4,904,657	4,561,636	93
Subtotal	51,502,916	44,631,807	87	47,932,934	39,205,935	82
State appropriations	116,907,411	116,898,095	100	106,085,655	106,857,838	100
Fund balance appropriated	6,865,387	6,865,387	100	8,387,967	8,387,967	100
Total unrestricted	175,275,714	168,395,289	96	162,406,556	154,451,740	95
Restricted	11,357,213	7,700,545	68	8,083,201	4,557,254	56
Tobacco Research Trust Fund:						
Receipts	4,438,889	2,838,399	64	4,360,000	2,856,208	66
Fund balances appropriated				517,892	517,892	100
Total Tobacco Research Trust Fund	4,438,889	2,838,399	64	4,877,892	3,374,100	69
Hospital and Clinics:						
State appropriations	6,988,889	6,978,469	100	7,017,145	6,323,238	90
Hospital earned income- net	31,696,100	27,321,743	86	28,229,050	24,739,626	88
Total Hospital and Clinics	38,684,989	34,300,212	89	35,246,195	31,062,864	88
Housing and Dining System	14,771,288	13,375,150	91	12,794,945	11,958,630	93
Auxiliary Enterprises	4,559,329	3,371,053	74	3,847,500	2,613,072	68
TOTAL CURRENT FUNDS AND APPROPRIATED BALANCES	\$249,087,422	\$229,980,648	92	\$227,256,289	\$208,017,660	92

University of Kentucky
Summary of Current Funds Expenditures
Ten Months Ended April 30, 1980
With Comparative Figures for 1978-79

Fund or Function:	1979-80			1978-79		
	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date
Unrestricted:						
Department of instruction and research	\$72,386,519	\$58,322,539	81	\$65,592,606	\$53,189,876	81
Summer session-1979	1,378,304	1,127,319	82	1,272,375	1,041,066	82
Organized activities	1,827,902	1,529,578	84	1,740,233	1,262,812	73
Organized research	16,126,950	13,107,945	81	14,540,608	12,200,862	84
Libraries	5,670,734	4,929,361	87	5,257,527	4,485,336	85
Extension and public service	21,161,051	17,176,273	81	18,817,264	14,999,281	80
Maintenance and operation of plant	14,786,682	11,676,530	79	13,480,131	10,685,773	79
Renovation, office furniture, etc.	5,242,560	2,175,714	42	5,326,753	3,380,279	63
General administration	7,875,605	5,888,044	75	7,747,693	5,204,989	67
Student services	5,088,695	4,085,940	80	4,704,869	3,806,576	81
Staff benefits	7,520,710	4,193,060	56	7,752,832	3,726,609	48
General institutional services	8,126,866	6,913,575	85	7,608,448	6,610,425	87
Service enterprises	219,909	276,177	126	238,369	250,657	105
Debt service cost	5,925,500	5,399,432	91	5,925,500	5,423,827	92
Student aid	1,888,832	1,740,308	92	1,874,466	1,756,113	94
Reserves	48,895			526,882	425,000	81
Total unrestricted	175,275,714	138,541,795	79	162,406,556	128,449,481	79

University of Kentucky
Summary of Current Funds Expenditures
Ten Months Ended April 30, 1980
With Comparative Figures for 1978-79

Fund or Function:	1979-80			1978-79		
	Appropriation for the Year	Expended to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended to Date	% Expended Encumbered to Date
Restricted:						
Department of Instruction and Research	\$ 2,740,563	\$ 1,156,395	42	\$ 3,388,279	\$ 1,731,522	51
Organized activities	153,069	78,244	51	154,800	103,966	67
Organized research	4,020,196	2,043,922	51	790,334	291,922	37
Libraries	55,942	10,175	18	57,977	14,181	24
Extension and public service	577,983	266,463	46	222,249	42,357	19
General institutional services	696,125	437,984	63	793,923	543,999	69
Student aid	3,113,335	1,752,399	56	1,875,591	1,396,206	74
Reserves				800,048		
Total restricted	<u>11,357,213</u>	<u>5,745,582</u>	<u>51</u>	<u>8,083,201</u>	<u>4,124,153</u>	<u>51</u>
Tobacco Research Trust Fund	<u>4,438,889</u>	<u>2,568,910</u>	<u>58</u>	<u>4,877,892</u>	<u>2,801,509</u>	<u>57</u>
Hospital and Clinics	<u>38,684,989</u>	<u>30,060,304</u>	<u>78</u>	<u>35,246,195</u>	<u>25,833,832</u>	<u>73</u>
Housing and Dining System	<u>14,771,288</u>	<u>12,449,812</u>	<u>84</u>	<u>12,794,945</u>	<u>11,164,541</u>	<u>87</u>
Auxiliary Enterprises	<u>4,559,329</u>	<u>3,893,288</u>	<u>85</u>	<u>3,847,500</u>	<u>3,230,575</u>	<u>84</u>
TOTAL CURRENT FUND EXPENDITURES	<u>\$ 249,087,422</u>	<u>\$ 193,259,691</u>	<u>78</u>	<u>\$ 227,256,289</u>	<u>\$ 175,604,091</u>	<u>77</u>

University of Kentucky
Schedule of Short Term Investments
April 30, 1980

Restricted Current Fund:

Government securities:

FHLB, 6.70%, 11/25/80
U. S. Treasury Bills, 15.58%, 1/29/81
U. S. Treasury Notes, 7.875%, 5/15/86

Total government securities

	Maturity Value	Cost
\$ 125,000	\$ 125,000	
2,290,000	1,998,265	
485,000	<u>485,000</u>	
2,900,000		<u>2,608,265</u>

Certificates of deposit:

Bank of Commerce & Trust Company, 10.25%, 5/09/80
Bank of Commerce & Trust Company, 12.95%, 7/18/80
Bank of Commerce & Trust Company, 10.26%, 8/11/80
Second National Bank & Trust Company, 11.25%, 9/15/80
Bank of Lexington, 11.55%, 10/06/80
Bank of Commerce & Trust Company, 11.76%, 12/01/80
Bank of Commerce & Trust Company, 12.525%, 12/22/80
Bank of Commerce & Trust Company, 13.26%, 2/26/81
Bank of Lexington, 13.26%, 2/26/81
First Security National Bank & Trust Company, 16.01%, 4/10/81

Total certificates of deposit

TOTAL RESTRICTED FUNDS

1,500,000	1,500,000
2,000,000	2,000,000
750,000	750,000
2,000,000	2,000,000
1,500,000	1,500,000
300,000	300,000
2,500,000	2,500,000
3,200,000	3,200,000
1,000,000	1,000,000
1,900,000	<u>1,900,000</u>
16,650,000	<u>16,650,000</u>
\$ 19,550,000	<u>\$ 19,258,265</u>

Agency Funds:

Government securities:

U. S. Treasury Notes, 7.875%, 5/15/86

TOTAL AGENCY FUNDS

\$ 15,000	\$ 15,000
\$ 15,000	<u>\$ 15,000</u>

University of Kentucky
Schedule of Retirement of Indebtedness Funds
For the Ten Months Ended April 30, 1980

Source of Funds:	Estimated	Realized	% Realized
Unrestricted current funds	\$ 5,925,500	\$ 5,399,432	91
Housing and dining system	1,474,692	1,806,791	123
Auxiliary enterprises current funds	170,959	167,730	98
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	\$ 7,571,151	\$ 7,373,953	98

Debt Service:	Appropriated	Expended	% Expended
Unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 506,775	\$ 64,398	13
Consolidated Educational Buildings-Series B	468,807	70,135	15
Consolidated Educational Buildings-Series C	234,496	49,420	21
Consolidated Educational Buildings-Series D	2,445,140	1,063,646	44
Consolidated Educational Buildings-Series E	271,512	139,525	51
Consolidated Educational Buildings-Series F	565,063	243,543	43
Community Colleges Educational Buildings-Series A	846,525	354,112	42
Community Colleges Educational Buildings-Series B	197,350	57,675	29
Community Colleges Educational Buildings-Series C	389,832	145,910	37
Subtotal	5,925,500	2,188,364	37
Housing and Dining System Revenue Bonds-Series A-I	1,474,692	1,858,370	126

University of Kentucky
Schedule of Retirement of Indebtedness Funds
For the Ten Months Ended April 30, 1980

Auxiliary Enterprises:

Chi Omega	2,725	2,613	96
Alpha Delta Pi	12,733	12,237	96
Dorms A-F	39,195	38,040	97
Dorms G-L	50,598	50,505	100
Phi Delta Theta	8,320	8,050	97
Delta Delta Delta	4,850	4,630	95
Alpha Tau Omega	8,500	8,248	97
Housing Bonds of 1960	13,750	13,532	98
Student Housing Bonds of 1964	30,288	29,875	99
Subtotal	170,959	167,730	98
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	\$ 7,571,151	\$ 4,214,464	56

University of Kentucky
Schedule of Sinking Funds
April 30, 1980

<u>Bond Issue with Reserves Fully Funded:</u>	<u>Total</u> <u>Sinking Fund</u>	<u>Bonds</u> <u>Payable</u>	<u>Fund Balances</u>
Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 1,803,925		\$1,803,925
Consolidated Educational Buildings Project and Interest Sinking Fund:			
Series A	1,004,822		1,004,822
Series B	972,528		972,528
Series C	386,981		386,981
Series D	3,435,166		3,435,166
Series E	391,743		391,743
Series F	1,124,251		1,124,251
Student Housing Bond and Interest Sinking Fund of 1964	110,666		110,666
Community College Educational Buildings Project Bond and Interest			
Sinking Fund:			
Series A	1,307,167		1,307,167
Series B	314,487		314,487
Series C	592,346		592,346
Student Housing Bond and Interest Sinking Fund of 1960	78,480		78,480
Dormitory Revenue Bonds of 1956	50,000		50,000
Total Bond Issues with Reserves Fully Funded	11,572,562		11,572,562
<u>Bond Fully Funded by Escrow Accounts:</u>			
Keeneland, Cooperstown and Student Union Future Bond and Interest Fund	2,219,221	1,782,000	437,221
Consolidated Educational Series D Refunding Issue:			
Bonds Payable	31,000,000	31,000,000	
Premium on Sale of Bonds	839,150		839,150
Amortization of Discount on Investment	2,482,500		2,482,500
Investment Income	9,840,278		9,840,278
Interest Paid	(11,278,854)		(11,278,854)
Total Series D Refunding Issue	32,883,074	31,000,000	1,883,074
TOTAL SINKING FUNDS	\$46,674,857	\$32,782,000	\$13,892,857

University of Kentucky
Schedule of Renewal and Replacement
For the Ten Months Ended April 30, 1980

	Restricted	Unrestricted	Total Fund Balances
Repair and Maintenance Reserves:			
Housing and Dining System	\$ 750,000	\$ 240,456	\$ 990,456
Student Housing Buildings of 1964	45,525		45,525
Student Housing Buildings of 1960	30,000	13,835	43,835
Other Auxiliary Enterprises		11,237	11,237
Total repair and maintenance reserves	<u>825,525</u>	<u>265,528</u>	<u>1,091,053</u>
Renewal and Replacement Funds:			
Housing and Dining Funds		315,497	315,497
Other Auxiliary Enterprises		121,683	121,683
Total renewal and replacement funds		<u>437,180</u>	<u>437,180</u>
GRAND TOTAL	<u>\$ 825,525</u>	<u>\$ 702,708</u>	<u>\$ 1,528,233</u>

Office of the President
June 27, 1980

FCR 2

Members, Board of Trustees

USE OF FRATERNITY RESERVE

Recommendation: that the Vice President for Business Affairs and Treasurer be authorized and directed by the Board of Trustees to request the Trustee of University of Kentucky Student Housing Bonds of 1964 to make available the sum of \$19,512.00 for the purpose of paying the cost of installing fire protection equipment required by the State Fire Marshall, making roof repairs and paving a parking lot. The cost for roof repairs and fire protection equipment for the Phi Kappa Tau Fraternity House located at 687 Woodland Avenue will be \$8,987.00. The cost for paving the parking lot and fire protection equipment for the Sigma Nu Fraternity House located at 422 Rose Lane will be \$10,525.00.

Background: This Bond issue provides for the establishment of a "Maintenance Reserve Account" which may be drawn and used by the Board for the purpose of repairs. As of May 31, 1980 this account had a balance of \$45,575.00.

Action: Approved xx Disapproved Other

Date: June 27, 1980.

Office of the President
June 27, 1980

FCR 3

Members, Board of Trustees

USE OF FRATERNITY RESERVE

Recommendation: that the Vice President for Business Affairs and Treasurer be authorized and directed by the Board of Trustees to request the Trustee of University Housing Bonds of 1960 to make available the sum of \$14,670.00 from the "Maintenance Reserve Account" of said Bonds for the purpose of paying the cost of installing fire protection equipment required by the State Fire Marshall. The cost will be \$8,295.00 for the Sigma Chi Fraternity located at 704 Woodland Avenue and \$6,375.00 for the Alpha Gamma Rho Fraternity located at 700 Woodland Avenue.

Background: This Bond issue provides for the establishment of a "Maintenance Reserve Account" which may be drawn and used by the Board for the purpose of repairs. As of May 31, 1980 this account had a balance of \$39,149.00.

Action: Approved xx Disapproved Other

Date: June 27 , 1980.