

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, September 20, 1988.

The Board of Trustees of the University of Kentucky met at 1 p.m. Lexington time on Tuesday, September 20, 1988 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened

Mr. Robert T. McCowan, Chairman, called the meeting to order at 1 p.m., and the invocation was pronounced by Professor Betts.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Robert T. McCowan (Chairman), Mr. Ted B. Bates, Professor Raymond F. Betts, Mr. Albert G. Clay, Professor Mary Sue Coleman, Professor David R. Driscoll, Jr. Mr. Tracy Farmer, Mr. Lawrence E. Forgy, Jr., Mrs. Edythe Jones Hayes, Mr. Henry E. Hershey, Senator Walter D. Huddleston, Dr. Nicholas J. Pisacano, Mr. James A. Rose (James), Mr. James L. Rose (Jim), Judge Robert F. Stephens, Mr. Jerome A. Stricker, Mr. Billy B. Wilcoxson, and Judge Henry R. Wilhoit, Jr. Absent from the meeting were Governor Albert B. Chandler and Judge Julia K. Tackett. The University administration was represented by President David P. Roselle; Mr. Edward A. Carter, Vice President for Administration; Chancellors Peter P. Bosomworth, Art Gallaher and Charles T. Wethington; Dr. Wimberly C. Royster, Vice President for Research and Graduate Studies; Mr. Eugene R. Williams, Vice President for Information Systems; and Mr. John C. Darsie, Legal Counsel.

C. Nomination of Officers and Executive Committee

Under the Governing Regulations, officers of the Board and the Executive Committee are elected annually at the September meeting. Mr. McCowan, who had appointed a Nominating Committee comprised of Senator Huddleston (Chairman), Professor Coleman, Mrs. Hayes, Mr. Jim Rose, Mr. Wilcoxson, and Judge Wilhoit; called on Senator Huddleston to present the Committee's recommendations.

Senator Huddleston placed the following slate of officers for 1988-89 in nomination:

Robert T. McCowan, Chairman
Albert G. Clay, Vice Chairman
Edythe Jones Hayes, Secretary
John C. Darsie, Assistant Secretary

Since Mr. McCowan was being nominated for Chairmanship of the Board, he called on Mr. Farmer to assume the Chair. There being no nominations from the floor, Mr. Farmer moved acceptance of the nominations. The motion was voted on and passed unanimously. Mr. McCowan assumed the Chair and expressed appreciation to the members of the Board.

Senator Huddleston continued his report by placing in nomination for membership on the Executive Committee the following names:

Robert T. McCowan, Chairman
Albert Clay
Tracy Farmer
Jim Rose
Lawrence E. Forgy

Mr. McCowan called on Mr. Wilcoxson to Chair the motion. There being no nominations from the floor, on motion made by Judge Stephens, seconded by Professor Coleman, and carried, the slate was elected. Mr. McCowan resumed the Chair.

D. Committee Appointments

Mr. McCowan announced the following committee appointments for 1988-89:

Finance Committee

Lawrence E. Forgy, Jr., Chairman
Ted B. Bates
Albert G. Clay
Tracy Farmer
Walter D. Huddleston
Robert T. McCowan
Jim Rose
Billy B. Wilcoxson

Investment

Jerome A. Stricker, Chairman
Ted B. Bates
Albert G. Clay
Henry E. Hershey
Billy B. Wilcoxson
Henry R. Wilhoit, Jr.

Academic Affairs

Mary Sue Coleman, Chairman
Raymond F. Betts
David R. Driscoll, Jr.
Edythe Jones Hayes
Nicholas J. Pisacano
Robert F. Stephens
Julia K. Tackett
James A. Rose

Student Code

Julia K. Tackett, Chairman
Mary Sue Coleman
Edythe Jones Hayes
Nicholas J. Pisacano
Robert F. Stephens
James A. Rose

Hearing

Billy B. Wilcoxson, Chairman
Raymond F. Betts
David R. Driscoll, Jr.
Edythe Jones Hayes
Walter D. Huddleston
Henry R. Wilhoit, Jr.

Honorary Degrees

Walter D. Huddleston

E. Minutes Approved

On motion made by Mrs. Hayes, seconded by Mr. Forgy and passed, the Minutes of the August 16, 1988 meeting of the Board of Trustees were approved as written.

F. President's Report to the Trustees (PR 1)

President Roselle reviewed briefly some of the items in PR 1, calling particular attention to (1) the favorable report on the quality of new freshmen and a record high of 55,350 in enrollment with a growth in minority students and particular colleges, (2) the successful recruitment of a research organization, the Asphalt Institute, (3) Madisonville and Hopkins County citizens' gift to help build a new auditorium, (4) workshop on October 6 to increase awareness of minority issues at the University, (5) College of Fine Arts benefit performance featuring Roberta Peters on November 2 at the Singletary Center for the Arts, (6) 1988-89 United Way campaign underway with the University's goal being \$337,800, and (7) the visit of the Finance Committee of the Council on Higher Education on September 30 as part of a review of the formula by which higher education is to be funded in the Commonwealth.

President Roselle reported that the October Board luncheon will provide Board members an opportunity for contact with programs at the institution. He explained that the Board members will be divided among four different dining rooms and have lunch with college deans, members of the college faculty and students. This will provide the members an opportunity to learn first-hand about some of the activities of a specific college. He continued that if the Board finds this approach to be of interest and useful, such luncheons will be scheduled on a periodic basis.

President Roselle asked the members to be thinking about the possibility of "A Day on Campus." The Board members would be teamed with a student, faculty member or employee of the University and experience a day of their life on campus.

Mr. McCowan expressed his pleasure in the Asphalt Institute moving to the University and to Lexington. He stated that the Institute has a very fine reputation and has made important contributions to road building and other important things to the economy as well as the progress in the nation.

G. Personnel Actions (PR 2)

With President Roselle so recommending, on motion made by Mr. Farmer, seconded by Mr. Stricker and passed, the routine personnel actions in PR 2 were approved. (See PR 2 at the end of the Minutes.)

H. Amendment to the Governing Regulations (PR 3A)

President Roselle provided an explanation for the amendment to the Governing Regulations and recommended that it be approved. Mr. Jim Rose so moved. The motion was seconded by Mr. Forgy and carried. (See PR 3A at the end of the Minutes.)

I. 1988-89 Budget Revisions (PR 3B)

Mr. Stricker moved that the revisions in the 1988-89 budget be approved. The motion was seconded by Mr. Clay and passed. (See PR 3B at the end of the Minutes.)

J. Approval of Agreement Between University of Kentucky and University of Kentucky Student Agencies, Inc. (PR 5A)

President Roselle stated that FCR 5A is an annual agreement between the University of Kentucky and the University of Kentucky Student Agencies, Inc. and called on Dr. Jack Blanton for a report.

Dr. Blanton gave a brief report on the organization, reviewed some of its accomplishments, and reported on the successes of its former presidents. He informed the members that the organization is now housed in the College of Business and Economics and stated that the contract allows them to continue with their various enterprises.

Mr. McCowan stated that Mr. James A. Rose had passed out a paper about the organization's activity and asked Mr. Rose for any comments. Mr. Rose added that Student Agencies, Inc. provides many students the opportunity to find ways to finance their education and also enables them to remain on campus.

Mr. Stricker moved, and Mr. James Rose seconded, that the agreement in PR 5A be approved. The motion carried without dissent. (See PR 5A at the end of the Minutes.)

K. Naming of University Building (PR 6A)

With President Roselle so recommending, Dr. Pisacano moved that the name of the John S. Chambers Building be changed to the John S. Chambers Medical Plaza Annex. The motion, which was seconded by Judge Stephens, was voted on and carried. (See PR 6A at the end of the Minutes.)

L. Recognition of Visiting Rectors from Indonesia

President Roselle expressed his pleasure in welcoming and introducing the visiting rectors from Indonesia. He asked them to stand and be recognized, following which they were given a round of applause.

M. Board Appointments, McDowell Cancer Network (PR 6B)

President Roselle recommended that Ms. Martha Broadbent, Dr. John Cronin, Mr. Billy Joe Hall, and Judge Rebecca Overstreet be reappointed as non-faculty members, and that Dr. Philip DeSimone be reappointed as faculty member of the Board of Directors of the McDowell Network for terms to expire on September 30, 1991, and that Mrs. Marion S. Kenkel be appointed to serve a three-year term expiring on September 30, 1991. He stated that an additional faculty member would be appointed later. The motion, which was made by Dr. Pisacano and seconded by Mr. Wilcoxson, carried unanimously. (See PR 6B at the end of the Minutes.)

N. Patent Assignments (PR 8A)

On motion made by Professor Coleman, seconded by Dr. Pisacano and carried, the routine patent assignment in PR 8A was approved. (See PR 8A at the end of the Minutes.)

O. Report on Community College System

Mr. McCowan commented on the dramatic enrollment increase in the community colleges. He also noted the construction underway and called on Chancellor Wethington to give an update on the colleges.

Chancellor Wethington reported that enrollment in the colleges is up another 9% for the 1988 fall semester. He stated that more and more students are attending community colleges and that there has been a 33-35% increase over a three-year period. He reviewed the capital construction projects that were approved in the 1986 General Assembly session and reported that all projects were underway or completed at this point. He indicated that the administration is in the process of planning for new construction approved in the 1988 General Assembly, and this will allow new facilities at some of the colleges during the next biennium.

Mr. McCowan commended Chancellor Wethington on the outstanding job he is doing for the Community College System and the University. He spoke about the importance of the community colleges and stated that it encourages him to know that more people in the state are becoming interested in higher education.

Mr. Stricker stated that he started in a community college in Covington. He indicated that Northern Kentucky no longer has a community college, and it is really missed. He expressed appreciation to Mr. McCowan and the members for having had the August Board meeting in Northern Kentucky.

Mr. McCowan then recognized Professor Driscoll for the outstanding job he is doing at Jefferson Community College.

President Roselle reported that there must be a deposit for one-half of the first year's debt financing before the next buildings can be built. He stated that fundraising activities in the communities involved are underway, and the administration is proud of the community response to the efforts of the community college faculty and staff.

P. Capital Construction Report (FCR 1)

Mr. Forgy, Chairman of the Finance Committee, moved that the Capital Construction Report for the twelve months ending June 30, 1988 be adopted. The motion was seconded by Mr. Stricker, and it was so ordered. (See FCR 1 at the end of the Minutes.)

Q. Disposal of Personal Property (FCR 2)

On motion made by Mr. Forgy, seconded by Mr. Farmer and carried, the Board approved the disposition of surplus and obsolete equipment as recommended in FCR 2. (See FCR 2 at the end of the Minutes.)

Professor Betts pointed out that a piece of equipment being loaned to a professor at Western Kentucky for research was a nice act of intercollegiate spirit.

R. Acceptance of Audit Reports for the University of Kentucky for 1987-88 (FCR 3)

Mr. Forgy stated that FCR 3 is acceptance of the audit report for the close of the fiscal year and moved its adoption. The motion was voted on and carried. (See FCR 3 at the end of the Minutes.)

S. Report of Investments (ICR 1)

Mr. Hershey, Chairman of the Investment Committee, reported that the Committee had met and, therefore, recommends that the report of changes made in investments for the University and its Affiliated Corporations for the three months ended June 30, 1988 be accepted. The motion was seconded by Mr. Stricker and passed. (See ICR 1 at the end of the Minutes.)

T. Mr. Stricker Extends Invitation

Mr. Stricker extended an invitation to the Board to visit Cincinnati on September 26 and hear President Roselle speak to the alumni.

Mr. McCowan stated that President Roselle had been making a number of speeches throughout the state and praised him for the impression he is making on the people of the state.

U. Meeting Adjourned

There being no further business to come before the Board, the meeting adjourned at 1:45 p.m.

Respectfully submitted,

Edythe Jones Hayes
Secretary
Board of Trustees

(PR's 2, 3A, 3B, 5A, 6A, 6B, and 8A, FCR's 1, 2, and 3, and ICR 1 which follow are official parts of the Minutes of the Meeting.)

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Office of the President
September 20, 1988

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: (1) that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: September 20, 1988

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COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Transfer from Ashland Community College to
Hazard Community College

Elahjji, Salhin B., Instructor in the Community College
System, 8/4/88 through 6/30/89.

Transfer from Southeast Community College to
Lexington Community College

Tolliver, Delmar S., Instructor in the Community
College System, 8/1/88 through 6/30/89.

Ashland Community College

Coots, P. Kevin, Instructor in the Community College
System, 8/1/88 through 6/30/89.

Denham, Sharon, Instructor in the Community College
System, 8/1/88 through 6/30/89.

McElwaine, John, Instructor in the Community College
System, 8/1/88 through 6/30/89.

Silver, Judith B., Assistant Professor in the Community
College System, 8/1/88 through 6/30/89.

Smith, Laureen A., Instructor in the Community College
System, 8/1/88 through 6/30/89.

Elizabethtown Community College

Anderson, Becky C., Instructor in the Community College
System, 8/16/88 through 6/30/89.

Guarneros-Mata, Rene, Instructor in the Community
College System, 8/1/88 through 6/30/89.

King, Jo Ann S., Instructor in the Community College
System, 8/16/88 through 6/30/89.

Williams, Jeffrey D., Assistant Professor in the
Community College System, 8/1/88 through 12/31/88.

Hazard Community College

Grender, Arnemann R., Assistant Professor in the
Community College System, 8/1/88 through 6/30/89.

Prosperino, Paul A., Assistant Professor in the
Community College System, 8/1/88 through 6/30/89.

Hopkinsville Community College

Cavanah, Sandra W., Instructor in the Community College System, 8/1/88 through 6/30/89.
Larkin-Bussell, Vernell, Assistant Professor in the Community College System, 8/1/88 through 6/30/89.
Stahl, Anne, Instructor in the Community College System, 8/1/88 through 5/31/89.

Jefferson Community College

Cahill, Christopher, Instructor in the Community College System, 8/1/88 through 6/30/89.
Deines, Judith A., Instructor in the Community College System, 7/18/88 through 6/30/89.
Drake, Paul R., Instructor in the Community College System, 8/1/88 through 12/31/88.
Franz, Shirley, Instructor in the Community College System, 8/1/88 through 6/30/89.
Green, Cynthia K., Instructor in the Community College System, 8/1/88 through 6/30/89.
Hager, Kathy, Instructor in the Community College System, 8/1/88 through 6/30/89.
Holman, Gaye G., Instructor in the Community College System, 8/11/88 through 6/30/89.
Johnson, Mark D., Instructor in the Community College System, 8/1/88 through 6/30/89.
Jones, Linda S., Instructor in the Community College System, 8/1/88 through 6/30/89.
Jones, W. Richard, Instructor in the Community College System, 8/22/88 through 12/31/88.
Lambert, Thomas E., Instructor in the Community College System, 1/1/89 through 6/30/89.
LeMaster, Vicki, Instructor in the Community College System, 8/16/88 through 6/30/89.
Osborne, Sharon, Instructor in the Community College System, 8/1/88 through 6/30/89.
Stout, Sally, Instructor in the Community College System, 8/1/88 through 6/30/89.
Straub, Joan, Instructor in the Community College System, 8/17/88 through 6/30/89.
Wallingford, Linda D., Instructor in the Community College System, 8/22/88 through 12/31/88.

Lexington Community College

Barber, Cynthia E., Instructor in the Community College System, 8/1/88 through 6/30/89.
Delfino, John C., Instructor in the Community College System, 8/1/88 through 6/30/89.
East, Paul D., Instructor in the Community College System, 8/1/88 through 6/30/89.

Evans, Patricia H., Instructor in the Community College System, 8/1/88 through 12/31/88.
Gibbs, James W., Instructor in the Community College System, 8/1/88 through 6/30/89.
Hargus, Carla S., Instructor in the Community College System, 8/10/88 through 5/31/89.
Hollingsworth, Randolph, Instructor in the Community College System, 8/1/88 through 6/30/89.
Mowbray, Erla J., Assistant Professor in the Community College System, 8/1/88 through 6/30/89.
Olson-Biglieri, Joanne, Assistant Professor in the Community College System, 8/1/88 through 6/30/89.
Ott, John Stephen, Instructor in the Community College System, 8/1/88 through 6/30/89.
Spencer, Janella, Instructor in the Community College System, 8/5/88 through 5/31/89.
Wilson, Vicki K., Instructor in the Community College System, 8/1/88 through 5/31/89.

Madisonville Community College

Vander Ploeg, Scott D., Instructor in the Community College System, 8/23/88 through 6/30/89.

Maysville Community College

Hall, Cindy F., Instructor in the Community College System, 8/1/88 through 6/30/89.
Minter, Rhea G., Instructor in the Community College System, 8/1/88 through 6/30/89.
Sturdivant, Tyrone A., Instructor in the Community College System, 8/1/88 through 6/30/89.

Owensboro Community College

Ford, Constance, Instructor in the Community College System, 8/1/88 through 6/30/89.
Johnson, James, Instructor in the Community College System, 8/1/88 through 6/30/89.
Keeton, Nancy, Instructor in the Community College System, 8/1/88 through 6/30/89.
Ledford, Julia C., Instructor in the Community College System, 8/1/88 through 6/30/89.
Maltby, Marc S., Instructor in the Community College System, 8/1/88 through 6/30/89.
Mundell, Donald, Instructor in the Community College System, 8/1/88 through 6/30/89.

Paducah Community College

Bigham, Larry D., Instructor in the Community College System, 8/8/88 through 6/30/89.

Draper, William, Instructor in the Community College System, 8/1/88 through 6/30/89.
Reynolds, Delilah L., Instructor in the Community College System, 8/1/88 through 6/30/89.
Walker, Karen, Instructor in the Community College System, 8/1/88 through 6/30/89.

Prestonsburg Community College

Formsma, Gordon G., Instructor in the Community College System, 8/1/88 through 6/30/89.
Gibson, Paul J., Instructor in the Community College System, 8/1/88 through 6/30/89.
Jurich, Sheryl B., Instructor in the Community College System, 8/1/88 through 6/30/89.
Mahmoodi, Mahnaz, Instructor in the Community College System, 8/1/88 through 6/30/89.

Somerset Community College

DeBerry, John H., Assistant Professor in the Community College System, 8/1/88 through 6/30/89.
Mihankhah, Mihanpoor, Instructor in the Community College System, 8/1/88 through 6/30/89.

Southeast Community College

Lee, Dwight G., Assistant Professor in the Community College System, 8/9/88 through 6/30/89.
Mahan, Daniel M., Instructor in the Community College System, 8/1/88 through 6/30/89.
Schertz, Ann, Instructor in the Community College System, 8/1/88 through 6/30/89.
Vermillion, Jerry, Instructor in the Community College System, 8/1/88 through 6/30/89.
Wilder, Karen, Instructor in the Community College System, 8/1/88 through 6/30/89.
Zhang, Sha Li, Librarian IV in the Community College System, 8/15/88 through 6/30/89.

B. ADMINISTRATIVE APPOINTMENTS

Somerset Community College

Owen, James S., Associate Professor in the Community College System (with tenure), Acting President, 8/1/88 through 12/31/88.

C. REAPPOINTMENTS

Elizabethtown Community College

Buck, Ellen Pem, Instructor in the Community College System, 8/1/88 through 6/30/89.

Wang, Daniel, Instructor in the Community College System, 8/1/88 through 12/31/88.

Hazard Community College

Huber, Thomas J., Instructor in the Community College System, 8/1/88 through 6/30/89.

Reed, Ronald, Instructor in the Community College System, 8/1/88 through 6/30/89.

Madisonville Community College

Conti, Rose, Assistant Professor in the Community College System, 7/1/88 through 12/31/88.

D. PROMOTIONS WITHOUT TENURE

Owensboro Community College

Beck, Bruce, from Instructor in the Community College System, to Assistant Professor in the Community College System, 8/1/88 through 6/30/89.

E. TERMINAL REAPPOINTMENTS

Somerset Community College

Wahlman, Randy, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

F. LEAVES OF ABSENCE

Jefferson Community College

Clark, Jacquelyn, Professor in the Community College System (with tenure), sick leave with pay, 8/1/88 through 12/31/88.

Lexington Community College

Crowley, Katharine, Associate Professor in the Community College System (with tenure), sick leave with pay, 8/1/88 through 12/31/88.

Maysville Community College

Blakefield, Jeanette, Associate Professor in the
Community College System (with tenure), sabbatical
leave at full salary for the 1989 Spring Semester.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Amaral, Donna M., Assistant Extension Professor, Animal Sciences,
7/20/88 through 6/30/89.

College of Arts and Sciences

Rymond, Brian C., Assistant Professor, Biological Sciences, 8/16/88
through 6/30/90.

Staffeldt, Ross, Visiting Assistant Professor, Mathematics, 8/16/88
through 5/31/89.

Utikal, Klaus J., Assistant Professor, Statistics, 8/16/88 through
6/30/89.

College of Business and Economics

Fields, Lisa Paige, Instructor, Finance, 8/16/88 through 6/30/89.

College of Engineering

Yingling, Jon C., Assistant Professor, Mining Engineering, 8/16/88
through 6/30/89.

B. REAPPOINTMENT IN JOINT APPOINTMENT

College of Arts and Sciences

Norton, James C., Associate Professor (with tenure), Psychiatry,
College of Medicine, reappointed as Associate Professor
(without tenure), Psychology, effective 7/1/87.

C. CHANGES

College of Arts and Sciences

Li, Bing-An, Associate Professor (without tenure), Physics and
Astronomy, to change dates of appointment from 1/1/88 through
12/31/89 to 8/16/88 through 6/30/90.

D. RETIREMENTS

College of Business and Economics

Erwin, Otis H., District Director, Small Business Development Center, Center for Business Development, after 19 consecutive years of service under Section III.B of AR II-1.6-1, effective 9/30/88.

Vice Chancellor for Administration

Fulton, William H., Disabled Staff, Employee Benefits and Risk Management, after 42.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 9/30/88.

E. EARLY RETIREMENTS

Vice Chancellor for Administration

Gullett, Andrew J., Building Operator, Physical Plant Division, after 24 consecutive years of service, under Section III.A.2(b) of AR II-1.6-1, effective 8/19/88.

Hughes, John J., Custodial Worker IV, Auxiliary Services, Undergraduate Housing, after 21 consecutive years of service, under Section III.A.1(b) of AR II-1.6-1, effective 8/25/88.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Architecture

Bender, Larry, Instructor (part-time), 8/16/88 through 12/31/88.

Jolly, Charles A., Instructor (part-time), 8/16/88 through 12/31/88.

Kelly, Robert L., Assistant Professor (part-time), 8/16/88 through 12/31/88.

Lambson, Robin, Instructor (part-time), 8/16/88 through 5/15/89.

Pearson, Jeffrey T., Instructor (part-time), 8/16/88 through 12/31/88.

Plymale, Keith D., Assistant Professor (temporary), 8/16/88 through 5/15/89.

Rothblatt, Rob, Assistant Professor (temporary), 8/16/88 through 5/15/89.

College of Arts and Sciences

Battista, Phillip A., Instructor (part-time), Sociology, 8/16/88 through 12/31/88.

Cole, Karen, Instructor (part-time), German, 8/16/88 through 12/31/88.
Day, L. Edward, Instructor (part-time), Sociology, 8/16/88 through 5/31/89.
Ebaseh-Onofa, Ben O., Assistant Professor (temporary), Statistics, 8/16/88 through 12/31/88.
Farrall, Michael G., Instructor (part-time), Sociology, 8/16/88 through 12/31/88.
Haffey, Michael, Instructor (part-time), Sociology, 8/16/88 through 12/31/88.
McCreary, David R., Instructor (part-time), Political Science, 8/16/88 through 12/31/88.
Pierre, Michel, Visiting Professor, Mathematics, 1/1/89 through 5/31/89.
Reed, Donald R. C., Assistant Professor (temporary), Philosophy, 8/16/88 through 6/30/89.
Reeves, I.V., Jr., Assistant Professor, Military Science, 6/1/88 through 5/31/90.
Sears, Susan, Instructor (part-time), Philosophy, 8/16/88 through 12/31/88.
Workman, Katherine J., Assistant Professor (temporary), History, 8/16/88 through 5/15/89.

College of Business and Economics

Yunker, Katherine K., Instructor (part-time), Management, 9/1/88 through 4/30/89.

College of Communications

Kay, Steven A., Instructor (part-time), Communication, 8/15/88 through 12/31/88.
Nair, Murali, Instructor (part-time), Communication, 8/15/88 through 5/15/89.

College of Education

Boone, Harry N., Jr., Assistant Professor (temporary), Vocational Education, 8/15/88 through 5/15/89.

College of Engineering

Toombs, James E., Associate Professor (part-time), Civil Engineering, 8/16/88 through 12/31/88.

College of Fine Arts

Bloomfield, Phillip, Instructor (part-time), Art, 8/16/88 through 12/31/88.

Brock, Walter L., Instructor (part-time), Art, 8/16/88 through 12/31/88.
Greenberg, Nicholas, Instructor (part-time)*, Music, 8/16/88 through 5/15/89.
May, Robert C., Instructor (part-time), Art, 8/16/88 through 12/31/88.
Olsen, Andrea, Instructor (part-time), Art, 8/16/88 through 12/31/88.
Shepherd, Robert M., Instructor (part-time), Art, 8/16/88 through 12/31/88.
Wesby, Roger, Assistant Professor (temporary), Music, 8/16/88 through 5/15/89.

College of Home Economics

Burchfield, Meredith H., Instructor (part-time), Family Studies, 8/15/88 through 12/31/88.
Ramsey, Marianne P., Instructor (part-time), Human Environment: Design, 8/15/88 through 12/31/88.

College of Law

Elliott, Robert L., Associate Professor (part-time), 8/22/88 through 12/17/88.
Larson, Raymond M., Assistant Professor (part-time), 8/22/88 through 12/17/88.
Lee, Joe, Professor (part-time), 8/22/88 through 12/17/88.
Levy, Charlotte L., Instructor (part-time), 8/22/88 through 12/17/88.
Pfeiffer, Robert M., Assistant Professor (part-time), 8/22/88 through 12/17/88.
Savage, Joe C., Professor (part-time), 8/22/88 through 12/17/88.

B. ADMINISTRATIVE APPOINTMENTS

College of Agriculture

Taylor, Norman L., Professor (with tenure), Agronomy, appointed Acting Chairman, Agronomy, 8/1/88 through 6/30/89.

College of Engineering

Steele, Earl L., Professor (with tenure), Electrical Engineering, appointed Acting Chairman, Electrical Engineering, 8/15/88 through 6/30/89.

C. REAPPOINTMENTS

College of Architecture

Bennett, Stephen, Assistant Professor (part-time), 8/16/88 through 5/15/89.

*Special Title Series

Doble-Smith, Ellen, Assistant Professor (part-time), 8/16/88 through 5/15/89.
Gillig, Robert, Instructor (part-time), 8/16/88 through 5/15/89.
Hennessey, Leslie G., Assistant Professor (part-time), 8/16/88 through 5/15/89.
Ricci, Leonardo, Professor (part-time), 8/16/88 through 5/15/89.

College of Arts and Sciences

Adams, Todd L., Instructor (part-time), Philosophy, 8/16/88 through 12/31/88.
Blythe, Marsha J., Instructor (part-time), French, 8/16/88 through 12/31/88.
Coats, George W., Professor (part-time), Russian and Eastern Studies, 8/16/88 through 12/31/88.
Deo, Shripad D., Instructor (part-time), Sociology, 8/16/88 through 12/31/88.
Gregory, Howard, Instructor (part-time), French, 8/16/88 through 12/31/88.
Higginbotham, Jerome C., Instructor (part-time), Philosophy, 8/16/88 through 12/31/88.
Holbrook, Paul, Instructor (part-time), Philosophy, 8/16/88 through 12/31/88.
Janecek, Susan M., Instructor (part-time), Russian and Eastern Studies, 8/16/88 through 12/31/88.
Mullinax, Maureen C., Instructor (part-time), Sociology, 8/16/88 through 12/31/88.
Sorokin, Larisa, Instructor (part-time), Russian and Eastern Studies, 8/16/88 through 12/31/88.
Worley, Linda Kraus, Assistant Professor, German, 7/1/88 through 6/30/90.

College of Business and Economics

Johnson, Pamela, Instructor (part-time), Management, 9/1/88 through 4/30/89.
Wells, Jane B., Instructor (part-time), Accountancy, 8/16/88 through 5/15/89.

College of Communications

Herbener, Gerald F., Instructor (part-time), Communications, 8/15/88 through 12/31/88.

College of Education

Niquette, Garland F., Instructor (part-time), Educational and Counseling Psychology, 8/16/88 through 5/15/89.

College of Engineering

Yount, William R., Instructor, Electrical Engineering, 7/1/88 through 6/30/89.

College of Home Economics

Duncan, Ruth H. Instructor (part-time), Human Environment: Textiles, 8/15/88 through 12/31/88.

Hisel, Gary F., Assistant Professor, Human Environment: Design, 7/1/88 through 6/30/89.

Stone, Albert E., Assistant Professor, Human Environment: Design, 7/1/88 through 6/30/89.

College of Law

Hamblin, Pierce, Associate Professor (part-time), 8/22/88 through 12/17/88.

McCoy, R. Burl, Assistant Professor (part-time), 8/22/88 through 12/17/88.

D. PROMOTIONS WITHOUT TENURE

College of Business and Economics

Pakath, Ramakrishnan, from Instructor, Decision Science and Information Systems, to Assistant Professor, Decision Science and Information Systems, 8/1/88 through 6/30/89.

Scandura, Terri A., from Instructor, Management, to Assistant Professor, Management, 8/16/88 through 6/30/89.

E. CHANGES

College of Architecture

Chavance, Philippe, from Instructor (part-time), to Assistant Professor (temporary), 8/16/88 through 12/31/88.

F. LEAVES OF ABSENCE

College of Agriculture

Swanson, Louis E., Associate Professor (with tenure), Sociology, Sabbatical leave with full salary, 1/1/89 through 6/30/89.

College of Arts and Sciences

Bardo, Michael T., Associate Professor (with tenure), Psychology, Sabbatical leave with full salary, Spring Semester 1989.

Brunn, Stanley D., Professor (with tenure), Geography, Sabbatical leave with full salary, Spring Semester 1989.
Foreman, Walter C., Associate Professor (with tenure), English, Sabbatical leave with full salary, Spring Semester 1989.
Hendricks, Jon, Professor (with tenure), Sociology, Leave without pay, Fall Semester 1988 and Spring Semester 1989.
Impey, Michael H., Associate Professor (with tenure), Spanish and Italian, Sabbatical leave with full salary, Spring Semester 1989.
Pattengill, Merle D., Professor (with tenure), Chemistry, Sick leave with full salary, 5/16/88 through 8/10/88.
Rhodes, Susan, Assistant Professor, Political Science, Leave without pay, Spring Semester 1989.
Voss, Harwin L., Professor (with tenure), Sociology, Sabbatical leave with full salary, Spring Semester 1989.
Watt, David S., Professor (with tenure), Chemistry, to rescind Sick leave with full salary for 5/16/88 through 8/10/88.
Yates, Steven, Professor (with tenure), Chemistry, to change Sabbatical leave with half salary from Fall Semester 1988 and Spring Semester 1989 to Fall Semester 1989 and Spring Semester 1990.

College of Engineering

Kadaba, P. K., Professor (with tenure), Electrical Engineering, Sabbatical leave with full salary, Spring Semester 1989.

College of Fine Arts

Gray, Harold Ross, Jr., Associate Professor (with tenure)*, Music, Leave with partial pay, Fall Semester 1988 and Spring Semester 1989.

*Special Title Series

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Lewis, Sandra Kay, Assistant Professor*, Clinical Sciences, 9/1/88 through 6/30/89.

College of Dentistry

Belcher, Ervin C., Associate Professor (Clinical Title Series) (without tenure), Oral Health Practice, 7/1/88 through 6/30/89.

Peden, Lisa G., Assistant Professor, Oral Health Practice, 7/1/88 through 6/30/89.

Shellhart, William Craig, Assistant Professor, Oral Health Practice, 7/1/88 through 6/30/89.

College of Medicine

Baldwin, Larry B., Assistant Professor (Clinical Title Series), Ophthalmology, 7/1/88 through 6/30/89.

Connell, Cathleen M., Assistant Professor, Behavioral Science, joint appointment as Assistant Professor, Sanders-Brown Center on Aging, 6/1/88 through 6/30/89.

Epstein, Avrom D., Assistant Professor, Ophthalmology, joint appointment as Assistant Professor, Neurology, and joint appointment as Assistant Professor, Surgery, 7/1/88 through 6/30/89.

Graff, Wayne B., Assistant Professor, Anesthesiology, 7/11/88 through 6/30/89.

McConnell, John W., Assistant Professor, Medicine, 7/1/88 through 6/30/89.

Turker, Mitchell S., Assistant Professor, Pathology, joint appointment as Assistant Professor, Microbiology and Immunology, 7/1/88 through 6/30/89.

Wagner, Yvonne, Assistant Professor, Anesthesiology, joint appointment as Assistant Professor, Medicine, 8/1/88 through 6/30/89.

*Special Title Series

B. ADMINISTRATIVE APPOINTMENTS

College of Allied Health Professions

Bruckner, Geza, Associate Professor (with tenure), Clinical Sciences, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, appointed Chairman, Clinical Sciences, effective 7/1/88 through 6/30/92.

Gallicchio, Vincent S., Associate Professor (without tenure), Clinical Sciences, joint appointment as Associate Professor (without tenure), College of Medicine, Department of Microbiology and Immunology, and joint appointment as Associate Professor, Graduate Center for Toxicology, appointed Assistant Dean, effective 7/1/88 through 6/30/89.

Pfeifle, William G., Associate Professor (with tenure), Allied Health Education and Research, from Chairman, Allied Health Education and Research and Director, Division of Educational and Biomedical Resources, to Acting Chairman, Health Services, and Director, Division of Educational and Biomedical Resources, effective 7/1/88 through 6/30/89.

College of Pharmacy

Lubawy, William C., Professor (with tenure), appointed Associate Dean of Academic Affairs, effective 7/1/88.

C. JOINT APPOINTMENTS

College of Medicine

Hessel, Eugene A., Associate Professor* (with tenure), Anesthesiology, joint appointment as Associate Professor* (without tenure), Surgery, effective 7/1/88 through 6/30/89.

D. REAPPOINTMENTS

College of Dentistry

Green, Spalding W., Associate Professor (without tenure) (part-time), Oral Health Science, 7/1/88 through 6/30/89.

Knight, Judson, Associate Professor (without tenure) (part-time), Oral Health Practice, 7/1/88 through 6/30/89.

Lutz, Bernard, Associate Professor (without tenure) (part-time), Oral Health Practice, 7/1/88 through 6/30/89.

*Special Title Series

Lynch, Joseph R., Associate Professor (with tenure),
Community College System, joint appointment as
Assistant Professor (without tenure), Oral Health
Practice, 1/1/88 through 6/30/88.

College of Medicine

Mazloomdoost, Manoochehr, Associate Professor (without
tenure), Anesthesiology, 7/1/88 through 6/30/89.

McDaniel, William E., Clinical Associate Professor
(voluntary), Medicine, 7/1/88 through 6/30/91.

E. PROMOTIONS

College of Dentistry

Ferretti, Gerald A., Associate Professor* (with tenure),
Oral Health Practice, to Professor* (with tenure),
Oral Health Practice, effective 7/1/88.

Head, Mary Lou S., Assistant Professor (without tenure)
(part-time), Oral Health Practice, to Associate
Professor (without tenure) (part-time), Oral Health
Practice, effective 7/1/88 through 6/30/89.

Kleumper, M. Joan, Assistant Professor (without tenure)
(part-time), Oral Health Practice, to Associate
Professor (without tenure) (part-time), Oral
Health Practice, effective 7/1/88 through 6/30/89.

College of Medicine

Donaldson, Elvis S., Associate Professor (with tenure),
Obstetrics and Gynecology, to Professor (with tenure),
Obstetrics and Gynecology, effective 7/1/88.

Gilliam, M. Randolph, Clinical Associate Professor
(voluntary) (without tenure), Surgery, to Clinical
Professor (voluntary) (without tenure), Surgery,
effective 7/1/88 through 6/30/91.

F. REAPPOINTMENTS WITH PROMOTION

College of Dentistry

Collier, Wayne W., Associate Professor (without tenure)
(part-time), Oral Health Practice, to Professor
(without tenure) (part-time), Oral Health Practice,
effective 7/1/88 through 6/30/89.

Daugherty, Joe W., Associate Professor (without tenure) (part-time), Oral Health Practice, to Professor (without tenure) (part-time), Oral Health Practice, effective 7/1/88 through 6/30/89.

Hankla, John W., Assistant Professor (without tenure) (part-time), Oral Health Practice, to Associate Professor (without tenure) (part-time), Oral Health Practice, effective 7/1/88 through 6/30/89.

Lange, Karl W., Associate Professor (without tenure) (part-time), Oral Health Practice, to Professor (without tenure) (part-time), Oral Health Practice, effective 7/1/88 through 6/30/89.

G. CHANGES

College of Dentistry

Elwood, William K., Associate Professor (with tenure), College of Medicine, Anatomy, joint appointment as Associate Professor (without tenure), Oral Biology, change department name in joint appointment to Oral Health Science, effective 1/1/88.

H. CORRECTION IN MINUTES

College of Dentistry

Nicol, Bruce P., Clinical Instructor, Oral Health Practice, joint appointment as Clinical Assistant Professor, College of Medicine, Surgery. Note: to change department to Oral Health Science from Oral Health Practice as reported in the August 16, 1988 Minutes.

College of Medicine

Williams, Faren H., Assistant Professor (without tenure), Rehabilitation Medicine. Note: To change from sabbatical leave at half-salary from 5/30/88 to 7/8/88 to special leave with full-salary from 5/11/88 to 7/8/88 as reported in the March 1, 1988 and June 21, 1988 Minutes.

I. TERMINAL REAPPOINTMENTS

College of Medicine

Lightfoot, Robert W., Professor (without tenure), Medicine, 7/1/88 through 10/31/88.

J. RETIREMENTS

College of Medicine

Graven, Norma M., Staff Assistant IX, Medicine, after 16 consecutive years of service, under AR II-1.6-1 Section III.A.1(a), effective 9/30/88.

Support Units

Doss, James E., Painter (1st Class), Physical Plant Division, after 15 consecutive years of service, under AR II-1.6-1 Section III.B, effective 10/3/88.

Potter, James R., Electrician Supervisor, Physical Plant Division, after 32 consecutive years of service, under AR II-1.6-1 Section III.A.1(a), effective 9/16/88.

Vice, Lowell T., Manager III, Biomedical Instrumentation Education and Biomedical Resources, after 24 consecutive years of service, under AR II-1.6-1 Section III.B, effective 9/30/88.

University Hospital

Walters, Mary G., Custodial Supervisor I, Environmental Services, after 23.5 consecutive years of service, under AR II-1.6-1 Section III.A.2(b), effective 9/9/88.

K. POST-RETIREMENT APPOINTMENTS

College of Dentistry

Costich, Emmett R., Professor (without tenure) (part-time), Oral Health Practice, 7/1/88 through 6/30/89.

College of Medicine

Parks, Harold F., Professor (without tenure) (part-time), Anatomy and Neurobiology, 9/1/88 through 12/31/88.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Anderson, Jennifer J., Clinical Instructor (voluntary), Physical Therapy, 3/15/88 through 6/30/88 and reappoint as Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

Avers, Dale L., Clinical Instructor (voluntary), Physical Therapy, 4/15/88 through 6/30/88.

Balthrop, Wanda N., Clinical Instructor (voluntary), Clinical Sciences, 5/1/88 through 4/30/91.

Barbour, Elica H., Clinical Instructor (voluntary), Clinical Sciences, 5/1/88 through 4/30/91.

Benz, Laurence N., Clinical Instructor (voluntary), Clinical Sciences, 3/30/88 through 6/30/88.

Brown, Terrence C., Clinical Instructor (voluntary), Physical Therapy, 3/1/88 through 6/30/88 and reappoint as Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

Dahlinger, Rachel D., Clinical Instructor (voluntary), Physical Therapy, 5/15/88 through 6/30/88 and reappoint as Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

Glasgow, Susan C., Clinical Instructor (voluntary), Physical Therapy, 3/1/88 through 6/30/88.

Glauber, Susan M., Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

Haberkorn, Susan B., Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

Hampton, Cheri, Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

Hatfield, James D., Clinical Instructor (voluntary), Clinical Sciences, 5/1/88 through 4/30/91.

Henkel, Sister Lisa Marie, Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

Howard, Pamela C., Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

Hudson, Pamela M., Clinical Instructor (voluntary), Physical Therapy, 2/15/88 through 6/30/88.

Ketterer, Ellen J., Clinical Instructor (voluntary), Physical Therapy, 3/30/88 through 6/30/88.

Leonard, Nancy, Clinical Instructor (voluntary), Physical Therapy, 3/30/88 through 6/30/88 and reappoint as Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

Lethco, Helen R., Clinical Instructor (voluntary), Physical Therapy, 4/1/88 through 6/30/88 and reappoint as Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

Munson, Todd E., Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

Powelson, Jeffrey A., Clinical Instructor (voluntary), Physical Therapy, 3/15/88 through 6/30/88.

Preston, Linda T., Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

Preston, Rebecca M., Clinical Instructor (voluntary), Physical Therapy, 3/15/88 through 6/30/88.

Slaughter, Diane S., Clinical Instructor (voluntary),
Physical Therapy, 5/1/88 through 6/30/88 and reappoint
as Clinical Instructor (voluntary), Clinical Sciences,
7/1/88 through 6/30/91.
Smith, Danny D., Clinical Instructor (voluntary), Clinical
Sciences, 7/1/88 through 6/30/91.
Smith, Renee J., Clinical Instructor (voluntary),
Physical Therapy, 2/15/88 through 6/30/88.
Woo, Henry, Clinical Instructor (voluntary), Clinical
Sciences, 7/1/88 through 6/30/91.

College of Dentistry

Allen, William G., Clinical Instructor (voluntary), Oral
Health Science, 5/1/88 through 6/30/91.
Anderson, Barry, Clinical Instructor (voluntary), Oral
Health Science, 5/1/88 through 6/30/91.
Balshi, Thomas, Clinical Instructor (voluntary), Oral Health
Science, 5/1/88 through 6/30/91.
Barr, Elizabeth, Clinical Instructor (voluntary), Oral
Health Science, 5/1/88 through 6/30/91.
Bewley, Kenneth E., Clinical Instructor (voluntary), Oral
Health Science, 5/1/88 through 6/30/91.
Brown, Joseph J., Clinical Instructor (voluntary), Oral
Health Science, 5/1/88 through 6/30/91.
Butler, David S., Clinical Instructor (voluntary), Oral
Health Science, 5/1/88 through 6/30/91.
Davis, Mark, Clinical Instructor (voluntary), Oral Health
Science, 5/1/88 through 6/30/91.
Dixon, Mansfield, Clinical Instructor (voluntary), Oral
Health Science, 5/1/88 through 6/30/91.
Doran, Stephen N., Clinical Instructor (voluntary), Oral
Health Science, 5/1/88 through 6/30/91.
Goodman, Robert I., Clinical Instructor (voluntary), Oral
Health Science, 5/1/88 through 6/30/91.
Haney, Charlotte, Clinical Instructor (voluntary), Oral
Health Science, 5/1/88 through 6/30/91.
Hertzog, Charles, Clinical Instructor (voluntary), Oral
Health Science, 5/1/88 through 6/30/91.
Huey, Gaines, Clinical Instructor (voluntary), Oral Health
Science, 5/1/88 through 6/30/91.
Hutton, Jack, Clinical Instructor (voluntary), Oral Health
Science, 4/1/88 through 6/30/91.
Kenney, Charles W., Clinical Assistant Professor
(voluntary), Oral Health Practice, 5/1/88 through
6/30/91.
McKenna, Samuel J., Clinical Instructor (voluntary), Oral
Health Science, 5/1/88 through 6/30/91.
Moorhead, William J., Clinical Instructor (voluntary), Oral
Health Science, 5/1/88 through 6/30/91.

Ney, Marshall J., Clinical Instructor (voluntary), Oral Health Science, 4/1/88 through 6/30/91.
Riggs, Leon, Clinical Instructor (voluntary), Oral Health Science, 5/1/88 through 6/30/91.
Sharp, Larry B., Clinical Instructor (voluntary), Oral Health Science, 5/1/88 through 6/30/91.
Sparks, Robert, Clinical Instructor (voluntary), Oral Health Science, 5/1/88 through 6/30/91.
White, Keith, Clinical Instructor (voluntary), Oral Health Science, 5/1/88 through 6/30/91.

College of Medicine

Cummings, Michael L., Clinical Assistant Professor (voluntary), Family Practice, 7/1/88 through 6/30/91.
Finkelstein, Harvey I., Clinical Assistant Professor (voluntary), Diagnostic Radiology, 7/1/88 through 6/30/91.
Lloyd, Oliver H., Clinical Assistant Professor (voluntary), Emergency Medicine, 7/1/88 through 6/30/91.
Naik, Mahesh G., Clinical Assistant Professor (voluntary), Pediatrics, 5/1/88 through 6/30/91.
Polisetty, Rayudu B., Clinical Assistant Professor (voluntary), Diagnostic Radiology, 3/1/88 through 6/30/91.
Rice, Nelson T., Clinical Assistant Professor (voluntary), Diagnostic Radiology, 7/1/88 through 6/30/91.
Shelly, Elizabeth B., Clinical Instructor (voluntary), Obstetrics and Gynecology, 5/1/88 through 6/30/91.
Simpson, W. Ford, Clinical Instructor (part-time), Surgery, 7/1/88 through 6/30/89.
Wierzbicki, Jacek, Instructor, Radiation Medicine, 7/1/88 through 6/30/89.
Young, Thomas L., Clinical Instructor (voluntary), Pediatrics, 7/1/88 through 6/30/91.

College of Pharmacy

Cerzosie, Gloria J. Baker, Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Barnette, Jerry, Jr., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Bowman, David E., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Cooper, James D., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Dixon, William, Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Enzweiler, Mary E., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.

Evans, John B., III, Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Fitch, Jennifer C., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Hasty, Tina, Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Herald, Helen M., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Holdaway, David, Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Judy, Ronald, Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
King, Martha L., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Kovar, Dan R., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Lyons, Thomas G., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Mangino, Paul D., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Matyunas, Nancy J., Clinical Assistant Professor (voluntary), 5/1/88 through 6/30/91.
Medley, Glenn N., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Milam, John D., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Miller, Robert L., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Mobley, Carol, Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Moreland, Ronald, Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Oldham, Steven W., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Parker, Chester L., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Rains, Ronald M., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Rouse, Rhonda L., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Scott, Virginia G., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Sears, John D., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Slone, Patricia A., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Turner, Leonard E., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.
Weigel, Gerard A., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.

Wright, Ronald W., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.

Zachary, David A., Clinical Instructor (voluntary), 7/1/88 through 6/30/91.

B. JOINT APPOINTMENTS

College of Medicine

Joyce, Judith M., Assistant Professor (without tenure), Radiation Medicine, joint appointment as Assistant Professor (without tenure), Diagnostic Radiology, effective 7/1/88 through 6/30/89.

C. REAPPOINTMENTS

College of Allied Health Professions

Jerome, Thomas, Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

Puckett, Deborah H., Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.

College of Dentistry

Arnold, Charles, Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.

Arnold, Joy, Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.

Arvin, Howard, Clinical Assistant Professor (voluntary), Oral Health Practice, 1/1/88 through 6/30/91.

Baluyut, Arlene R., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.

Benson, Charles D., Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.

Bowden, Charles M., Clinical Assistant Professor (voluntary), Oral Health Science, joint appointment as Clinical Assistant Professor (voluntary), College of Medicine, Family Practice, 1/1/88 through 6/30/91.

Brown, Carrie B., Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.

Carroll, Daniel O., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.

Chandler, James A., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.

Combs, Sheila, Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.

Dalmasso, Antonio, Clinical Assistant Professor (voluntary), Oral Health Practice, 1/1/88 through 6/30/91.

Dalmasso, Matteo, Clinical Assistant Professor (voluntary), Oral Health Practice, 1/1/88 through 6/30/91.

Daniel, Harold T., Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.
Elvidge, Kevin L., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Erena, Greg, Assistant Professor (part-time), Oral Health Science, 7/1/88 through 6/30/89.
Faulkner, William B., Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.
Feck, Anthony S., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Forbess, Jackie B., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Freano, Marion D., Assistant Professor (part-time), Oral Health Science, 7/1/88 through 6/30/89.
Gray, John I., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Greer, James R., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Greer, Russell P., Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.
Harrison, Ershal, Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Henny, Paul A., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Henry, Robert G., Assistant Professor (part-time), Oral Health Science, 7/1/88 through 6/30/89.
Hicks, Madeline M., Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.
Higgins, Howard L., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Huffman, Eric F., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Jackson, Eli O., Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.
John, Winfield C., III, Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.
Jones, Stephen B., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Jordan, Bruce S., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Lasheen, Joe, Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Lee, William E., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Lemmerman, Keith J., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Manley, Daniel B., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
McCord, Gary L., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.

Moody, Carolyn, Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Morgan, William J., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Morrow, Len W., Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.
Parmley, Lloyd E., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Pearson, Cheryl A., Clinical Instructor (voluntary), Oral Health Science, 7/1/88 through 6/30/91.
Poindexter, J. Bernard, Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.
Rider, Steven J., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Robinette, Quentin T., Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.
Rodriguez, Carla M., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Rosenthal, Ira M., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Schell, Susan C., Instructor (part-time), Oral Health Science, 7/1/88 through 6/30/89.
Smith, Kenneth J., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Stansbury, Michael, Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Thomas, Mark V., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Travis, Randy T., Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.
Vose, Charles, Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Wehrman, Paul T., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Williams, Loren N., Assistant Professor (part-time), Oral Health Practice, 7/1/88 through 6/30/89.
Yozwiak, Raymond A., Clinical Instructor (voluntary), Oral Health Science, 1/1/88 through 6/30/91.

College of Medicine

Arnett, Charles F., Clinical Assistant Professor (voluntary), Family Practice, 7/1/88 through 6/30/91.
Combs, Greta L., Clinical Assistant Professor (voluntary), Family Practice, 7/1/88 through 6/30/91.
Daniel, William T., II, Clinical Assistant Professor (voluntary), Diagnostic Radiology, 7/1/88 through 6/30/91.
Johnson, Robert T., Clinical Assistant Professor (voluntary), Family Practice, 7/1/88 through 6/30/91.

Jokl, Ernst, M.B., Professor (Emeritus), College of Education, Department of Health, Physical Education, and Recreation, joint appointment as Clinical Professor (voluntary), Neurology, 7/1/88 through 6/30/91.

Marsh, John C., Clinical Assistant Professor (voluntary), Family Practice, 7/1/88 through 6/30/91.

Swartz, William T., Clinical Assistant Professor (voluntary), Surgery, 7/1/88 through 6/30/91.

College of Nursing

Jacoby, Delwin, Instructor*, 7/1/88 through 6/30/89.

Medical Center Library

Theirl, Edwina B., Librarian IV (without tenure), 7/1/88 through 6/30/89.

D. REAPPOINTMENTS WITH CHANGES

College of Allied Health Professions

Latuska, Diane, Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91, and to change name from Latuska, Diane to Borello-France, Diane, effective 7/1/88.

College of Medicine

Eckerline, Charles A., from Clinical Assistant Professor (part-time), Emergency Medicine, to Assistant Professor*, Emergency Medicine, 7/1/88 through 6/30/89.

Gates, William A., III, from Clinical Assistant Professor (voluntary), Diagnostic Radiology, to Assistant Professor (Adjunct Title Series), Diagnostic Radiology, 7/1/88 through 6/30/89.

E. PROMOTIONS

College of Medicine

Branco, Marcelo C., from Instructor, Medicine, to Assistant Professor (Clinical Title Series), Medicine, effective 7/1/88 through 6/30/89.

*Special Title Series

F. CHANGES

College of Dentistry

Ferguson, Terrell W., from Clinical Instructor (part-time),
Oral Health Practice, to Clinical Instructor
(voluntary), Oral Health Science, effective 7/1/88
through 6/30/91.

INFORMATION SYSTEMS

I. BOARD ACTION

A. RETIREMENTS

Office of Instructional Resources

Watts, Ruby M., Staff Assistant, Instructional Resources,
after 35.5 consecutive years of service, under AR II-1.6-1
Section III.A.2(b), effective 11/4/88.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Library

Aken, Robert A., Librarian III, Reference Librarian,
effective 8/1/88 through 6/30/89.

Marshall, Jerilynn A., Librarian IV, Reference Librarian,
effective 8/22/88 through 6/30/89.

B. ADMINISTRATIVE APPOINTMENTS

University Computing Center

Simpson, James M., Appointed Lead Systems Programmer,
effective 8/15/88.

RESEARCH AND GRADUATE STUDIES

I. BOARD ACTION

A. EARLY RETIREMENT

Tobacco and Health Research Institute

Gill, Sarah Mastin, Administrative Assistant III, Tobacco and Health Research Institute, after 18 consecutive years of service, under Section III.B of AR II-1.6-1, effective 9/30/88.

B. ADMINISTRATIVE APPOINTMENTS

Graduate Center for Toxicology

Tobin, Thomas, Professor (with tenure), Veterinary Science, College of Agriculture, appointed Director, Graduate Center for Toxicology, 8/15/88 through 8/14/92.

Office of the President
September 20, 1988

PR3A

Members, Board of Trustees:

AMENDMENT TO THE GOVERNING REGULATIONS

Recommendation: that the following amendment to the Governing Regulations of the University of Kentucky, which was received by the Board of Trustees for preliminary consideration on August 16, 1988, be approved.

Amendment -- Change Part X.B.2, second paragraph, concerning prior service and probationary periods, to read as follows:

(Note: Proposed additions are underlined; proposed deletions are bracketed.)

Probationary periods are applicable, however, to non-tenured appointments of faculty members on a full-time year-to-year basis in the regular, special title, extension, or librarian series of academic ranks and titles. Such non-tenured appointments may be for one year or for other stated periods, subject to renewal. The total non-tenure period, however, shall not exceed seven years, including previous full-time service with the rank of instructor or higher in other independently accredited institutions of higher learning, provided that in the case of a faculty member with more than three years in the academic profession, who is called from another independently accredited institution and appointed at the rank of associate professor or below, it may be required that the individual serve in a probationary status for a period not to exceed four years, even though thereby the individual's total non-tenure period in the academic profession is extended beyond seven years. However, in any case where a period of prior service of a prospective faculty member involves significantly different institutional objectives or significantly different professional activity, all or part of the period of prior service may be eliminated from consideration in determining the maximum non-tenure period [at] in the University System or the Community College System of the University of Kentucky. Except as provided in Part X.C.5, time spent on leave of absence shall count as probationary period service unless the University in granting the leave and the individual in accepting it agree to the contrary. An individual initially appointed to the rank of full professor may be given non-tenure status for a period not to exceed one year. An individual shall not remain at the rank of instructor in the University for more than three years. If, after that period, promotion to a higher rank cannot be justified, the individual's appointment [at] in the University System or the Community College System of the University of Kentucky shall not be renewed.

Background: Faculty members who come to the Lexington Campus and Medical Center sectors of University of Kentucky from community colleges other than those in the University of Kentucky Community College System are eligible to request a waiver of prior service if the position description under which they worked in their former institution was clearly different in expectations from those in place at the University of Kentucky. However, the University's present policy is that faculty members who are recruited by the Lexington Campus and Medical Center sectors from community colleges in the University of Kentucky Community College System are not eligible to request waiver of prior service at the community college even though their community college position descriptions may be identical to those of faculty members in community colleges outside the University of Kentucky Community College System.

The Lexington Campus and Medical Center are accredited together as an institution by the Commission on Colleges of the Southern Association of Colleges and Schools; however, each of the fourteen community colleges in the University of Kentucky Community College System is independently accredited as an institution by the same accrediting body. Since each college in the University of Kentucky Community College System is independently accredited by the Commission on Colleges of the Southern Association of Colleges and Schools, and since the University of Kentucky Community College System has different criteria for academic ranks and separate policies and procedures for appointment, promotion, and granting of tenure, it appears reasonable to consider the University of Kentucky University System (i.e., the Lexington Campus and Medical Center sectors) and each of the University of Kentucky community colleges as independent institutions for purposes of assessing the applicability and possible waiver of prior service.

This amendment is unanimously endorsed by the Chancellors of the Community College System, Lexington Campus, and Medical Center sectors and by the University Senate Council.

2287A

Action: Approved ☒ Disapproved ☐ Other ☐

Date: September 20, 1988

PR3B

Members, Board of Trustees:

1988-89 BUDGET REVISIONS
CENTRAL ADMINISTRATION

Recommendation: that the following revisions in the 1988-89 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Fund</u>			
1. Income Estimates			
Student Fees			
Registration Fees			
Summer Session - Community			
College System	960,100	977,100	17,000
University Extension			
- Community College System	1,216,600	1,312,200	95,600
Continuing Education Fees	4,567,900	4,617,900	50,000
Miscellaneous Fees	470,200	668,200	198,000
Gifts and Grants			
The Fund for Advancement of			
Education and Research in the			
UK Medical Center	1,131,100	1,477,700	346,600
Other Gifts and Grants	2,765,200	2,826,700	61,500
Sales and Services			
Departmental Sales and Services	10,908,200	10,946,800	38,600
			<u>\$ 807,300</u>
2. Expenditures			
Lexington Campus			
Academic Affairs			
College of Engineering			
Center for Robotics and			
Manufacturing Systems	3,206,100	3,236,100	30,000
Transportation Center	15,000	25,000	10,000
College of Fine Arts			
Center for the Arts	142,400	160,400	18,000

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures (continued)			
Lexington Campus			
Academic Affairs			
College of Home Economics			
Early Childhood Laboratory	79,200	62,000	(17,200)
Agricultural Experiment Station			
Agronomy	3,004,300	3,009,600	5,300
Academic Support			
Office of Vice Chancellor			
Admissions	703,500	853,500	150,000
Administration			
Business Services			
Equipment	50,000	54,500	4,500
Medical Center			
College of Dentistry			
Department of Oral Health			
Practice - Salary			
Supplementation	2,826,900	3,141,700	314,800
College of Medicine			
Magnetic Resonance Imaging			
and Spectroscopy	40,100	60,100	20,000
Medical Center Administration			
and Support			
Business Services			
Health Services - Salary			
Supplementation	1,828,800	1,860,600	31,800
Community College System			
Central Administration	1,317,500	1,367,500	50,000
Henderson	2,201,300	2,212,800	11,500
Hopkinsville	2,180,100	2,275,700	95,600
Paducah	3,484,700	3,501,700	17,000
Research and Graduate Studies			
Administration	482,000	489,000	7,000
Admissions	-0-	48,000	48,000
Appalachian Center	118,300	123,300	5,000
Center of Membrane Sciences	-0-	6,000	6,000
			<u>\$ 807,300</u>

3. Comments - The increase in summer session registration fees will support enrollment growth in the summer program for Paducah Community College. The increase in University Extension registration fees will support enrollment growth in the off-campus programs of Hopkinsville Community College. The net increase in continuing education registration fees will support new and the expansion of programs in the Center for Robotics and Manufacturing Systems, Transportation Center, and Research and Graduate Studies. The increase in miscellaneous fees resulting from application fees will support additional student service offerings in the University Admissions Office and the Research and Graduate Studies area. The increase in grants income from The Fund for Advancement of Education and Research in the University of Kentucky Medical Center will support the 1988-89 salary supplementation for the College of Dentistry and the University Health Service. The increase in other gifts and grants resulting from fixed price contracts will support programs in the Community College System. The net increase in departmental sales and services will support increased program activities in the Center for the Arts, Agronomy, Business Services, Magnetic Resonance Imaging and Spectroscopy, Center of Membrane Sciences, and the Appalachian Center, and the discontinuance of the Early Childhood Laboratory kindergarten program.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>Restricted Funds</u>			
1. Income Estimates	\$39,823,400	\$ 40,258,500	\$ 435,100
2. Expenditures			
Lexington Campus			
Academic Affairs			
College of Arts and Sciences			
Administration - Visitor			
Lecture Series in the			
Humanities	50,300	60,300	10,000
College of Communications			
Journalism	15,700	19,000	3,300
College of Engineering			
Energy Research Program	-0-	15,000	15,000
Mining Engineering	79,300	81,800	2,500
College of Fine Arts			
Administration	18,200	43,200	25,000
Agricultural Experiment Station			
Agronomy	715,100	749,600	34,500
Entomology	163,300	179,200	15,900
Plant Pathology	281,600	296,600	15,000
Agricultural Cooperative Extension			
Agricultural Engineering	-0-	6,400	6,400
Forestry	-0-	5,000	5,000

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures (continued)			
Lexington Campus			
Student Affairs			
Placement Service	3,600	5,100	1,500
Radio Free Lexington	-0-	7,500	7,500
Student Aid			
Gifts and Grants for Scholarships			
General Scholarships	1,477,800	1,479,800	2,000
Medical Center			
College of Allied Health Professions			
Department of Clinical Sciences	4,800	149,800	145,000
College of Dentistry			
Department of Oral Health Practice	234,700	237,700	3,000
College of Medicine			
Pharmacology	182,300	224,300	42,000
Medicine	2,316,400	2,416,400	100,000
Pathology	46,400	50,300	3,900
Psychiatry	67,000	72,700	5,700
Student Aid	567,900	612,400	44,500
Community College System			
Hazard	127,700	127,500	(200)
Henderson	197,400	236,300	38,900
Owensboro	31,500	33,000	1,500
Somerset	69,600	69,000	(600)
Southeast	18,300	15,200	(3,100)
Information Systems			
General Library	6,136,000	6,137,000	1,000
Research and Graduate Studies			
Appalachian Center	279,500	280,400	900
Tobacco and Health Research Center	3,600,000	3,509,000	(91,000)
			<u>\$ 435,100</u>

3. Comments - Income restricted for the purposes indicated above will fund the proposed additional program activities.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: September 20, 1988

Office of the President
September 20, 1988

PR 5 A

Members, Board of Trustees:

APPROVAL OF AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY
AND UNIVERSITY OF KENTUCKY STUDENT AGENCIES, INC.

Recommendation: that the Board of Trustees approve the attached agreement between the Board of Trustees of the University of Kentucky and University of Kentucky Student Agencies, Inc. for the period of July 1, 1988, through June 30, 1989. This contract was negotiated pursuant to the Board of Trustees action of May 4, 1982, establishing a "Student Service Program."

Background: The Board action on May 4, 1982, provided that:

"In furtherance of the purpose stated above, the President is authorized to negotiate and execute a contract, subject to approval of the Board of Trustees with an organizational entity qualified to carry out a program consonant with the Board's objectives."

The Board of Trustees has previously approved contracts for the years 1983-84, 1984-85, 1985-86, 1986-87, and 1987-88. The attached agreement conforms to the provisions of the May 4, 1982, Board policy and is in substance the same contract as that approved for the 1987-88 year.

Action taken: Approved ☒ Disapproved _____ Other _____

Date: September 20, 1988

STUDENT AGENCIES AGREEMENT
between
UNIVERSITY OF KENTUCKY BOARD OF TRUSTEES
and
UNIVERSITY OF KENTUCKY STUDENT AGENCIES, INC.

JUNE 1, 1988

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STUDENT SERVICES AGREEMENT

This is a Student Services Agreement (the "Agreement") between the University of Kentucky Board of Trustees (the "University") and University of Kentucky Student Agencies, Inc. ("UKSA") dated as of August 26, 1988.

Recitals

A. The University has observed the recent reduction in funds available from governmental sources for financial aid to students. Due to the need for alternative sources of financial support to enable students to finance their education, the University has adopted a policy statement that establishes a student services program which will provide both practical job experience and extra income for students at the University.

B. UKSA, a non-profit corporation which is a tax-exempt corporation as an educational organization under §501(c)(3) of the Internal Revenue Code of 1954, as amended, desires to operate a student services program that will fulfill its educational objectives.

C. The University recognizes UKSA as a "qualifying entity" as described in the policy statement adopted by the University establishing the student employment program.

D. The University and UKSA desire to enter into this Agreement, whereby UKSA will operate a student services program, in order to advance the goals set forth above.

SECTION 1

Definitions

As used in this Agreement, the following terms shall have the following meanings:

(a) "Campus" shall mean the University campus in Lexington, Kentucky including any dormitories, classrooms or other instructional facilities, student center, offices and any other areas over which the University has authority.

(b) "Coordinator" shall mean the full time staff member to be hired by UKSA who will act as the chief operating officer of UKSA.

(c) "Program" shall mean the student agencies program that was established by the University pursuant to a policy statement dated May 4, 1982.

(d) "University Contracts" shall mean any contracts for goods or services between the University and any other entity other than UKSA.

SECTION 2

Nature of Agreement

The University hereby gives UKSA full authority and the exclusive right to operate the Program and UKSA agrees to

operate the Program subject to the terms and conditions set forth in this Agreement.

SECTION 3

The Program

3.1 Scope. UKSA shall develop student employment opportunities for the Program that will aid students in financing their education. These jobs shall be designed to provide goods and services to the University community and to provide education related work experience for students.

3.2 Administration. UKSA shall assume all responsibility for the Program including the payment of wages to students and the payment of all expenses incurred in the operation of the Program. UKSA shall keep all books, records, and other documents which are necessary for the proper administration of the Program, and shall make necessary filings with federal, state, and local agencies.

3.3 Revenue. Any revenue from the operation of the Program which is in excess of wages and other operating expenses shall be used to increase the rate of wages paid to students and to explore and develop other employment opportunities. UKSA may invest available funds in short term securities from time to time at its discretion.

SECTION 4

Covenants of UKSA

4.1 Non-Profit Status. UKSA shall be organized and operated as a non-profit corporation pursuant to Chapter 273 of the Kentucky Revised Statutes at all times during the term of this Agreement.

4.2 Personnel. All officers, members, and employees of UKSA shall be University students except for the Coordinator who may or may not be a University student.

4.3 Wages. Wages paid by UKSA to its employees will be equal to or greater than Kentucky's minimum wage as set by Chapter 337 of the Kentucky Revised Statutes.

4.4 Prior Approval of Campus Services and Solicitation. UKSA shall secure prior written approval by the University Dean of Students for all services to be provided on Campus pursuant to the Program and for any solicitation on Campus.

4.5 Equal Opportunity. UKSA shall not discriminate against any student, or anyone with whom it does business, on the basis of race, color, religion, sex, national origin, age, or handicap.

4.6 Availability of Records. UKSA shall make available all of its books, records, and accounts for inspection and audit by representatives of the University within forty-eight hours of receiving written notice by the University.

4.7 Annual Audit. UKSA shall have an annual audit to be conducted by a firm of Certified Public Accountants approved in writing by the University. An audit report and any compliance letters shall be submitted to the University on request.

4.8 Fund Raising Efforts. UKSA shall coordinate all of its fund raising efforts through Dr. Blanton for University Relations.

4.9 Organizational Documents. UKSA shall not amend or otherwise alter its Articles of Incorporation or adopt any bylaw or other operating practice that would alter the character of UKSA without the prior written consent of the University.

4.10 Merger. UKSA shall not merge with any other corporation or convey any substantial portion of its assets to any other person, corporation, partnership, or other entity.

4.11 University Contracts. UKSA shall not provide any goods or services or take any action that would conflict or interfere with any University Contracts.

SECTION 5

Right to Bid on University Contracts

The University shall allow UKSA to submit bids on contracts for the furnishing of goods and services to the University.

SECTION 6

Indemnification

UKSA shall indemnify and hold the University harmless for the full amount of any loss, claim, damage, liability, or expense resulting to the University either directly or indirectly from (a) any breach of the covenants by UKSA set forth in this Agreement, and (b) any injury to any employee during the course of his or her employment with UKSA.

SECTION 7

Dissolution of UKSA

In the event of the dissolution of UKSA pursuant to Chapter 273 of the Kentucky Revised Statutes, the assets of UKSA shall be applied and distributed as follows:

(a) First, liabilities and obligations of UKSA shall be paid and discharged, or adequate provisions shall be made therefor,

(b) Second, assets held by UKSA upon a condition which occurs by reason of the dissolution, shall be returned, transferred, or conveyed in accordance with such condition,

(c) Third, the remaining assets of UKSA shall be transferred or conveyed to the University, or its successors, to be used for the financial aid of its students if the University, or its successor, qualifies as an instrumentality of the Commonwealth of Kentucky and an organization described in

Section 170(c) of the Internal Revenue Code of 1954, as amended. If the University, or its successor, does not so qualify, the remaining assets shall be distributed to some other organization or organizations that are organized exclusively for educational purposes under Section 501(c)(3) of the Internal Revenue Code of 1954, as amended.

SECTION 8

Miscellaneous

8.1 Entire Agreement. This Agreement incorporates the policy statement by the University of May 4, 1982 that established a student agencies program. The Agreement constitutes the entire agreement of the parties with respect to the subject matter hereof, and supersedes all prior understandings with respect to the subject matter hereof. No change, modification, addition or termination of this Agreement shall be enforceable unless in writing and signed by the party against whom enforcement is sought.

8.2 Notices. All notices and other communications required by Section 8.1, shall be in writing and shall be deemed to have been duly given if mailed by registered mail, return receipt requested, postage pre-paid, to the addresses set forth below or to such other address as to which a party has given the other parties written notice:

(a) If to the University:

Dean of Students
513 Patterson Office Tower
Lexington, Kentucky 40506

(b) If to UKSA:

Jeffrey A. Peterson
Room 109, Student Center
University of Kentucky
Lexington, KY 40506

with copy to:

Mr. C. Edward Glasscock
Brown, Todd & Heyburn
1600 Citizens Plaza
Louisville, Kentucky 40202

8.3 Headings. The headings used in this Agreement have been included solely for ease of reference and shall not be considered in the interpretation or construction of this Agreement.

8.4 Waiver. No waiver of any of the provisions hereof shall be effective unless in writing and signed by the party to be charged with such waiver. No waiver shall be deemed a continuing waiver in respect of any breach or default, whether of a similar or different nature, unless expressly stated in writing.

8.5 Severability. If any provision of this Agreement or its application shall be invalid, illegal or unenforceable in any respect, the validity, legality and enforceability of all other applications of that provision and of all other provisions and applications thereof shall not in any way be effected or impaired.

8.6 Governing Law. The validity, construction and interpretation of this Agreement shall be governed in accordance with the law of the Commonwealth of Kentucky.

8.7 Term. The term of this Agreement shall be from June 1, 1988 to June 1, 1989. This Agreement may be renewed on an annual basis upon the mutual agreement in writing of UKSA and the University.

IN WITNESS WHEREOF, the parties have executed four originals of this Agreement as of the date first set forth above, but actually on the dates set forth below.

UNIVERSITY OF KENTUCKY BOARD OF
TRUSTEES

By _____
President, University of
Kentucky

Date: _____

UNIVERSITY OF KENTUCKY STUDENT
AGENCIES, INC.

By James P. Lang
Dr. Lang, Chairman of the Board
Date 8/20/88

Office of the President
September 20, 1988

PR 6A

Members, Board of Trustees:

NAMING OF UNIVERSITY BUILDING

Recommendation: that the Board of Trustees approve the name "John S. Chambers Medical Plaza Annex" for the presently named "John S. Chambers Building."

Background: This building was recently expanded to house additional outpatient care services. These services are directly related to outpatient clinics already housed in this building and those in the Warren B. Wright Medical Plaza Building. These buildings are connected physically and operate under the same administration in order to maintain a unified outpatient services program. Increased patient flow has caused concern about facilitating the movement of clinic visitors from building to building. The "John S. Chambers Medical Plaza Annex" is a more appropriate name and will facilitate the flow of patient traffic to and through the buildings.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: September 20, 1988

REVISED

Office of the President
September 20, 1988

PR 6 B

Members, Board of Trustees:

BOARD APPOINTMENTS, MCDOWELL CANCER NETWORK

Recommendation: that Ms. Martha Broadbent, Dr. John Cronin, Mr. Billy Joe Hall, and Judge Rebecca Overstreet be reappointed as non-faculty members, and that Dr. Philip DeSimone be reappointed as faculty member of the Board of Directors of the McDowell Network for terms to expire on September 30, 1991, and that Mrs. Marion S. Kenkel be appointed to serve a three year term expiring on September 30, 1991.

Background: According to the Bylaws of the McDowell Cancer Network its Board of Directors shall consist of no fewer than 18 nor more than 24 voting members appointed by the President and approved by the Board of Trustees of the University of Kentucky. The above appointments will bring the total number of Directors to 23.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: September 20, 1988

Office of the President
September 20, 1988

PR 8A

Members, Board of Trustees:

PATENT ASSIGNMENTS
RESEARCH AND GRADUATE STUDIES

- I. Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following Letters Patent of the United States and the invention represented thereby: Kenji Okazaki, Robert J. DeAngelis and Charles E. Hamrin, Jr., Serial No. (to be assigned), filed (to be filed).

Background: Kenji Okazaki, Robert J. DeAngelis and Charles E. Hamrin, Jr. have invented certain improvements in METHOD OF PROCESSING SUPERCONDUCTING MATERIALS AND ITS PRODUCTS. They have assigned their right to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

- II. Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following Letters Patent of the United States and the invention represented thereby: Jesse Burton Douglass, Jr., Serial No. (to be assigned), filed (to be filed).

Background: Jesse Burton Douglass, Jr. has invented certain improvements in ORAL DRUG RETAINING DEVICE. He has assigned his right to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

- III. Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following Letters Patent of the United States and the invention represented thereby: Pankaja K. Kadaba, Serial No. (to be assigned), filed (to be filed).

Background: Pankaja K. Kadaba has invented certain improvements in 1-ARYL-5-(2-OXO-1-PYRROLIDINYL)-1,2,3-TRIAZOLINES AS NOVEL ANTICONVULSANTS. She has assigned her right to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action: Approved ☒ Disapproved ☐ Other ☐

Date: September 20, 1988

Office of the President
September 20, 1988

F C R I

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

RECOMMENDATION: that the capital construction report for the twelve months ending June 30, 1988, be accepted.

BACKGROUND: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the twelve months ending June 30, 1988, the University has:

1. Awarded twenty-eight (28) architect and engineer contracts.
2. Made thirty-six (36) amendments to architect and engineer contracts.
3. Made thirty-two (32) final payments for thirty-two (32) architect and engineer contracts.
4. Awarded or requisitioned for the award of seventy-five (75) construction contracts.
5. Made two hundred seventeen (217) change orders to construction contracts.
6. Made forty-nine (49) final payments for forty-nine (49) construction contracts.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: September 20, 1988

DIVISION OF DESIGN AND CONSTRUCTION
REPORT TO FINANCE COMMITTEE OF BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY
FOR THE PERIOD JULY 1, 1987 - JUNE 30, 1988

I. ARCHITECT & ENGINEER CONTRACTS

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT & ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
BOOKER & ASSOCIATES LEXINGTON	SHAWNEETOWN ROOF Project No. 444.0	\$ 159,350	\$ 16,831	7/31/87
ASSOCIATED DESIGNERS LEXINGTON	COOPERSTOWN ROOF Project No. 445.0	143,370	16,595	7/31/87
ROSS-FELDMAN LEXINGTON	STUDENT CENTER ROOFS FEASIBILITY STUDY/BALLROOM Project No. 527.0	75,000	20,575	7/31/87
CHRISMAN, MILLER, WOODFORD LEXINGTON	ANIMAL CARE RENOVATION Project No. 406.1	968,807	43,040	10/30/87
POTTS & POTTS LEXINGTON	TENNIS BUILDING - INTERIOR SPACE Project No. 510.0	100,000	12,000	10/30/87
JOHNSON/ROMANOWITZ LEXINGTON	HOSPITAL - 1ST FLOOR REFURBISHING Project No. 526.0	300,000	42,375	11/30/87
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BOOKSTORE EXPANSION Project No. 525.0	75,000	9,110	11/30/87
JOHNSON/ROMANOWITZ LEXINGTON	MEDICAL PLAZA OFFICE EXPANSION Project No. 519.0	127,000	15,715	11/30/87
CENTRAL ASSOCIATED ENGINEERS LEXINGTON	PHARMACY EQUIPMENT INSTALLATION Project No. 555.0	250,000	5,000	5/31/88
TATE-FITZSIMMONS ARCHITECTS NICHOLASVILLE	HOSPITAL CAFETERIA RENOVATION Project No. 304.7	553,650	40,420	6/30/88
TATE-FITZSIMMONS ARCHITECTS NICHOLASVILLE	HOSPITAL COFFEE SHOP RENOVATION Project No. 544.0	199,000	24,590	6/30/88
DAVID C. BANKS FRANKFORT	PER DIEM CONSULTANT ENVIRONMENTAL CONSULTANT	NOT APPLICABLE	120,000 *	6/30/88
DAVID C. BANKS FRANKFORT	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	NOT APPLICABLE	85,000 *	6/30/88
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL CONSULTING SERVICES	NOT APPLICABLE	85,000 *	6/30/88
W. H. BROWN & ASSOCIATES SHELBYVILLE	PER DIEM CONSULTANT CIVIL ENGINEERING SERVICES	NOT APPLICABLE	85,000 *	6/30/88
CHRISMAN, MILLER, WOODFORD LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	NOT APPLICABLE	120,000 *	6/30/88
FULLER, MOSSBARGER, SCOTT AND MAY LEXINGTON	PER DIEM CONSULTANT GEOLOGICAL/CIVIL ENGINEERING SERVICES	NOT APPLICABLE	85,000 *	6/30/88
GRW ENGINEERS, INC. LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEERING SERVICES	NOT APPLICABLE	85,000 *	6/30/88
KAISER-TAULBEE ASSOCIATES LEXINGTON	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL SERVICES	NOT APPLICABLE	85,000 *	6/30/88
LOSS CONTROL, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL CONSULTANT	NOT APPLICABLE	85,000 *	6/30/88
MCCOY & MCCOY, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL CONSULTANT	NOT APPLICABLE	120,000 *	6/30/88

*Maximum fee through 6/30/89 on an as needed basis.

**I. ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)**

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT & ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
MASON & HANGER ENGINEERING LEXINGTON	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL SERVICES	NOT APPLICABLE	\$ 85,000 *	6/30/88
PARROTT, ELY & HURT, INC. LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEERING SERVICES	NOT APPLICABLE	85,000 *	6/30/88
PEARSON, BENDER, JOLLY ARCH. LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	NOT APPLICABLE	85,000 *	6/30/88
SCHENCK & ASSOCIATES, INC. LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	NOT APPLICABLE	85,000 *	6/30/88
STAGGS & FISHER ENGINEERS LEXINGTON	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL SERVICES	NOT APPLICABLE	85,000 *	6/30/88
WHITE, WALKER & MOREYNOLDS LEXINGTON	PER DIEM CONSULTANT STRUCTURAL ENGINEERING SERVICES	NOT APPLICABLE	85,000 *	6/30/88
WITT & ASSOCIATES LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEERING SERVICES	NOT APPLICABLE	85,000 *	6/30/88

*Maximum fee through 6/30/89 on an as needed basis.

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
FULLER, MOSSBARGER, SCOTT & MAY LEXINGTON	PER DIEM CONSULTANT ENGINEERS	1	7/31/87	-0-
Nature of Change: To increase the maximum fee from \$15,000 to \$25,000 per project.				
Reason for Change: To allow services (subsurface investigations) to include a wider variety of conditions.				
CHRISMAN MILLER WOODFORD LEXINGTON	JOURNALISM RENOVATION Project No. 105.0	2	9/30/87	+ \$ 55,264
Nature of Change: Additional design services.				
Reason for Change: To cover the additional fee required to include the design work necessary to expand existing computer room for installation of a super computer.				
CHRISMAN MILLER WOODFORD LEXINGTON	JOURNALISM RENOVATION Project No. 105.0	3	5/31/88	- 8,530
Nature of Change: Reduce Phase C of Architect's contract.				
Reason for Change: Contract was amended to increase contract amount twice for the same purpose.				
SHERMAN/CARTER/BARNHART LEXINGTON	PARKING STRUCTURE #3 EXPANSION Project No. 109.0	4	10/30/87	+ 3,700
Nature of Change: Increase Phase C of the contract.				
Reason for Change: Additional contract administration is required because plantings that were planted in the fall are unacceptable and require replacement in the spring.				

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	MINING & MINERALS RESOURCE BLDG. Project No. 115.0	8	8/31/87	+ \$ 960
Nature of Change: To increase Phase C services (contract administration).				
Reason for Change: Resident inspector was granted a 5% cost of living increase.				
MCCOY & MCCOY, INC. MADISONVILLE	PER DIEM CONSULTANT ENVIRONMENTAL CONSULTANT	1	3/31/88	-0-
Nature of Change: 1. To increase the maximum fee for site investigations to \$60,000. 2. To decrease the rate for complete priority pollutant analysis from \$1,230.00 to \$900.00 per sample.				
Reason for Change: 1. Additional investigation required when more hazardous waste sites identified. Additional excavations & testing was required. 2. Due to increased capacity, consultant is able to charge a lower rate.				
SHEPHERD, CARTER, BARNHART LEXINGTON	PARKING STRUCTURE #3 EXPANSION Project No. 109.0	5	3/31/88	+ 7,059
Nature of Change: Increase Phase C services (contract administration).				
Reason for Change: Additional service required due to Contractor not completing the project within contract time frame.				
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	MINING AND MINERALS RESOURCE BLDG. Project No. 115.0	9	10/30/87	+ 5,050
Nature of Change: Increase Phase C services (contract administration).				
Reason for Change: Extend resident inspector services for two months.				
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	MINING AND MINERALS RESOURCE BLDG. Project No. 115.0	10	3/31/88	+ 10,460
Nature of Change: Increase Phase C services (contract administration).				
Reason for Change: Extend resident inspector services for three months.				
DCT DESIGN GROUP, LTD LEXINGTON	HOSPITAL INTERIOR DECOR THIRD FLOOR OBSTETRICS Project No. 129.6	1	2/29/88	+ 4,654
Nature of Change: To increase Phase B & Phase C.				
Reason for Change: Following completion of the design, the Fire Marshall requested that additional work be included. Changes had to be made in the design to respond to this request. The contractor failed to initiate the project on schedule, resulting in cancellation of the contract and rebidding of the work. This situation caused additional contract administration by the consultant.				
POTTS & POTTS ARCHITECTS LEXINGTON	CENTER FOR UNDERGRADUATE STUDIES IN THE HUMANITIES Project No. 218.2	1	10/30/87	+ 18,000
Nature of Change: Increase Phase A-2, Phase B and Phase C of the contract.				
Reason for Change: To increase the scope of the project and finalization of the budget.				

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
BICKEL GIBSON ARCHITECTS LOUISVILLE	MAXWELL H. GLUCK EQUINE RESEARCH CENTER Project No. 230.0	6	6/30/88	+ \$ 13,420
Nature of Change: Additional administration services.				
Reason for Change: Late delivery of Owner furnished equipment caused the contract construction period to be extended.				
OMNI/OMW LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.0	7	2/29/88	+ 54,903
Nature of Change: To increase Phase A, B & C.				
Reason for Change: The consultant was required to prepare additional bid packages for surgery & intensive care areas, parking garage and the heliport. Additional bids also require additional contract administration services.				
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	1	10/30/87	+ 2,716
Nature of Change: Increase Phase B of the contract.				
Reason for Change: Additional services required to make floor plan changes for the soils lab and mechanical/electrical changes on the chiller.				
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	2	10/30/87	+ 33,810
Nature of Change: Increase Phase C of the contract.				
Reason for Change: Employment of a resident inspector from Nov. 1, 1987 thru Dec. 31, 1988.				
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	3	3/31/88	+ 629
Nature of Change: Increase Phase B of the contract.				
Reason for Change: Additional services required in modifying documents to reflect the re-routing of gas, storm and sanitary sewer piping.				
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	KIRWAN/BLANDING TOWERS BRICK REPLACEMENT Project No. 423.1	2	5/31/88	+ 8,300
Nature of Change: Increase Phase C services.				
Reason for Change: The two dormitory towers were worked on during two separate construction periods, one year apart. The original fee was based on simultaneous construction periods. Additional services were required to allow for an increase in construction time.				
OMNI ARCHITECTS LEXINGTON	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	2	2/29/88	+ 52,042
Nature of Change: To increase Phase A and Phase B.				
Reason for Change: Scope of consultant services was increased to include partial design of two future buildings included in the Campus Master Plan but not in the initial phase of construction. This work was required prior to the initial construction phase of the project in order to more accurately complete the site work and utilities design so that present and future construction costs could be reduced. These services will also reduce the cost of future consultant services.				

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
OMNI ARCHITECTS LEXINGTON	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	3	5/31/88	+ \$ 6,195
Nature of Change: Additional services required.				
Reason for Change: Services required to cover Owner requested program change.				
SHERMAN, CARTER, BARNHART LEXINGTON	ROBOTICS CENTER Project No. 431.0	1	2/29/88	+ 35,805
Nature of Change: To increase Phase C.				
Reason for Change: Employee a Resident Inspector from February 1, 1988 to May 15, 1989.				
BOOKER ASSOCIATES, INC. LEXINGTON	HAGGIN HALL SHOWER REPAIRS Project No. 441.0	1	3/31/88	+ 28,156
Nature of Change: Increase Phase A, B & C of the contract.				
Reason for Change: Additional services are for the design of the remaining shower rooms in Haggin Hall (Phase II).				
ASSOCIATED DESIGNERS, INC. LEXINGTON	COOPERSTOWN ROOF REPLACEMENT Project No. 445.0	2	3/31/88	+ 13,777
Nature of Change: Increase Phase B and C of the contract.				
Reason for Change: The scope of consulting services has been revised to include the bidding and construction phases for roof repairs to facilities designed in Phase A & B.				
JOHNSON, DEPP & QUISENBERRY OWENSBORO	OWENSBORO COMMUNITY COLLEGE SITE SURVEY Project No. 461.0	2	9/30/87	+ 2,000
Nature of Change: Additional field work.				
Reason for Change: Change wording in deed description to set location of property line so that it follows location of new creek bed per agreement with affected common property owners and U.K.				
SHERMAN, CARTER, BARNHART LEXINGTON	HAZARD COMMUNITY COLLEGE TECHNICAL LEARNING RESOURCE BLDG. Project No. 469.0	2	9/30/87	+ 27,720
Nature of Change: To increase Phase C.				
Reason for Change: Employment of a resident inspector from Sept. 1, 1987 thru Aug. 31, 1988.				
ARRASMITH, JUDD, RAPP LOUISVILLE	PRESTONSBURG COMMUNITY COLLEGE TECHNICAL LEARNING RESOURCE BLDG. Project No. 471.0	2	9/30/87	+ 21,875
Nature of Change: To increase Phase C.				
Reason for Change: Employment of a resident inspector from Oct. 1, 1987 thru July 31, 1988.				
ARRASMITH, JUDD, RAPP LOUISVILLE	PRESTONSBURG COMMUNITY COLLEGE TECHNICAL LEARNING RESOURCE BLDG. Project No. 471.0	2	3/31/88	+ 1,527
Nature of Change: To increase Phase B.				
Reason for Change: Additional services required to satisfy electric service requirements for specific fixed furnishings provided by the University.				

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)

ARCHITECT & ENGINEER	PROJECT	CHANGE NUMBER	DATE TO LRC	AMOUNT
KNAPP, GIVENS, VEAZEY, SHOULDERS HENDERSON	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING Project No. 472.0	1	9/30/87	+ \$ 30,720
Nature of Change: To increase Phase B.				
Reason for Change: To provide for design services (not included in original scope of services) to allow the facility to be more easily expanded at a future date.				
KNAPP, GIVEN, VEAZEY, & SHOULDERS ARCHITECTS HENDERSON, KY	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. Project No. 472.0	2	2/29/88	+ 34,650
Nature of Change: To increase Phase C.				
Reason for Change: Employee a Resident Inspector from January 11, 1988 to April 12, 1989.				
KNAPP, GIVEN, VEAZEY, & SHOULDERS ARCHITECTS HENDERSON, KY	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. Project No. 472.0	3	6/30/88	+ 52,450
Nature of Change: Additional services required.				
Reason for Change: The project scope was increased by \$400,000; additional architectural services were required.				
BICKEL-GIBSON ARCHITECTS LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	1	12/29/87	+ 41,580
Nature of Change: To increase Phase C of the contract.				
Reason for Change: Employment of a resident inspector from Dec. 1987 thru May 1989.				
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BLDG. - DOWNTOWN PHASE Project No. 478.0	1	9/30/87	+ 9,893
Nature of Change: To increase Phase B and Phase C.				
Reason for Change: To include a portion of the design work originally planned for the second phase of the project.				
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BLDG. - DOWNTOWN PHASE Project No. 478.0	2	9/30/87	+ 1,511
Nature of Change: To increase Phase B.				
Reason for Change: Additional design work required to make program changes to Phase I of the project.				
PEARSON, BENDER, JOLLY LEXINGTON	HOSPITAL RENOVATION 2ND FLOOR CLINIC FOR SURGERY Project No. 495.0	1	3/31/88	+ 1,605
Reason for Change: Increase Phase C services (contract administration).				
Nature of Change: Extra work required due to contractor's time schedule problems. Job delays required Consultant to perform more inspections than those originally contracted.				
POTTS & POTTS ARCHITECTS LEXINGTON	TENNIS BUILDING - INTERIOR SPACE Project No. 510.0	1	3/31/88	+ 1,013
Nature of Change: Increase Phase B of the contract.				
Reason for Change: Additional services required due to design change of bleachers requested by the Athletics Department.				

**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)**

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
ROSS-FELDMAN ARCHITECTS LEXINGTON	STUDENT CTR. ROOF FEASIBILITY STUDY BALLROOM ROOFS DESIGN Project No. 527.0	1	5/31/88	- \$ 4,238

Nature of Change: Deletion of the mechanical & electrical work.

Reason for Change: The feasibility study revealed that mechanical & electrical modifications were not necessary on this project.

POTTS & POTTS ARCHITECTS LEXINGTON	PATTERSON OFFICE TOWER FIRE SAFETY IMPROVEMENTS Project No. 852.0	2	3/31/88	- 1,750
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Reason for Change: Increase Phase C of the contract.

Nature of Change: Service to include preparation of plans and specifications for a Halon system for the computer room on the sixth floor of Patterson Office Tower.

III. ARCHITECT & ENGINEER FINAL PAYMENTS

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	KIRWAN #1 & KIRWAN #2 ROOF REPAIRS Project No. 447.0	\$ 8,250.00	8/07/87
DAVID A. BANKS, ARCHITECT FRANKFORT	FARMHOUSE FRATERNITY FIRE SAFETY Project No. 870.0	6,000.00	9/11/87
DAVID A. BANKS, ARCHITECT FRANKFORT	KAPPA ALPHA FRATERNITY FIRE SAFETY Project No. 871.0	6,000.00	9/11/87
DAVID A. BANKS, ARCHITECT FRANKFORT	KAPPA SIGMA FRATERNITY FIRE SAFETY Project No. 872.0	6,000.00	9/11/87
DAVID A. BANKS, ARCHITECT FRANKFORT	PHI SIGMA KAPPA FRATERNITY FIRE SAFETY Project No. 873.0	6,000.00	9/11/87
DAVID A. BANKS, ARCHITECT FRANKFORT	LAMBDA CHI ALPHA FRATERNITY FIRE SAFETY Project No. 874.0	6,000.00	9/11/87
JOHNSON, DEPP & QUISENBERRY OWENSBORO	COMMUNITY COLLEGE SITE SURVEY - OWENSBORO Project No. 461.0	34,277.00	10/30/87
PEARSON, BENDER, JOLLY LEXINGTON	HOSPITAL RENOVATION PHASE I 2ND FLOOR CLINIC FOR SURGERY Project No. 495.0	24,660.00	1/26/88
HAWKINS, ARGABRITE & ASSOCIATES LOUISVILLE	STUDENT CENTER CAFETERIA RENOVATION Project No. 238.0	24,000.00	2/15/88
MASON AND HANGER, INC. LEXINGTON	AGRICULTURE SCIENCE NORTH GREENHOUSES Project No. 298.0	7,500.00	2/23/88
ARRASHITH, JUDD, RAPP, INC. LOUISVILLE	FOOTBALL TRAINING CENTER Project No. 211.0	327,512.00	3/11/88
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	HOSPITAL RENOVATION INTERIOR DECOR 4TH FLOOR PEDIATRICS Project No. 129.5	58,000.00	3/15/88
OMNI ARCHITECTS LEXINGTON	MEDICAL CENTER DIETARY TRAVEYOR REPLACEMENT	45,626.00	5/4/88

III. ARCHITECT & ENGINEER FINAL PAYMENTS
(CONTINUED)

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
PEARSON, BENDER, JOLLY LEXINGTON	HOSPITAL RENOVATION SECOND FLOOR SURGERY OFFICE Project 495.0	\$ 26,265.00	5/4/88
SHERMAN, CARTER, BARNHART LEXINGTON	PARKING STRUCTURE NO. 3 Project No. 109.0	174,733.00	5/24/88
G.R.W. ENGINEERS, INC. LEXINGTON	COMMONWEALTH STADIUM CONCRETE WATERPROOFING Project No. 175.1	70,838.00	6/21/88
SCRUGGS & HAMMOND, INC. LEXINGTON	GAINES CENTER LANDSCAPING Project No. 361.0	23,046.00	6/28/88
DAVID C. BANKS, ARCHITECT FRANKFORT	VARIOUS PROJECTS - PER DIEM ARCHITECTURAL SERVICES	5,298.25	6/30/88
DAVID C. BANKS, ARCHITECT FRANKFORT	VARIOUS PROJECTS - PER DIEM ENVIRONMENTAL CONSULTING SERVICES	24,621.75	6/30/88
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	VARIOUS PROJECTS - PER DIEM MECHANICAL/ELECTRICAL SERVICES	2,468.76	6/30/88
CHRISMAN, MILLER, WOODFORD, INC. LEXINGTON	VARIOUS PROJECTS - PER DIEM ARCHITECTURAL SERVICES	77,496.50	6/30/88
FULLER, MOSSHARGER, SCOTT & MAY LEXINGTON	VARIOUS PROJECTS - PER DIEM GEOLOGICAL/CIVIL ENGR. SERVICES	66,167.40	6/30/88
G.R.W. ENGINEERS LEXINGTON	VARIOUS PROJECTS - PER DIEM CIVIL ENGINEERING SERVICES	849.75	6/30/88
KAISER-TAULBEE ASSOCIATES, INC. LEXINGTON	VARIOUS PROJECTS - PER DIEM MECHANICAL/ELECTRICAL SERVICES	12,175.17	6/30/88
LOSS CONTROL, INC. LEXINGTON	VARIOUS PROJECTS - PER DIEM ENVIRONMENTAL SERVICES	14,199.25	6/30/88
MASON & HANGER LEXINGTON	VARIOUS PROJECTS - PER DIEM MECHANICAL/ELECTRICAL SERVICES	2,445.48	6/30/88
MCCOY & MCCOY LEXINGTON	VARIOUS PROJECTS - PER DIEM ENVIRONMENTAL SERVICES	59,410.05	6/30/88
PEARSON, BENDER & JOLLY LEXINGTON	VARIOUS PROJECTS - PER DIEM ARCHITECTURAL SERVICES	5,543.00	6/30/88
SCHENCK & ASSOCIATES LEXINGTON	VARIOUS PROJECTS - PER DIEM ARCHITECTURAL SERVICES	6,030.18	6/30/88
STAGGS & FISHER LEXINGTON	VARIOUS PROJECTS - PER DIEM MECHANICAL/ELECTRICAL SERVICES	16,923.50	6/30/88
WHITE, WALKER & MCREYNOLDS LEXINGTON	VARIOUS PROJECTS - PER DIEM STRUCTURAL ENGINEERING SERVICES	4,212.50	6/30/88
WITT & ASSOCIATES LEXINGTON	VARIOUS PROJECTS - PER DIEM CIVIL ENGINEERING SERVICES	19,100.10	6/30/88

IV. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
AKERS CONSTRUCTION, INC. PRESTONSBURG	PRESTONSBURG COMMUNITY COLLEGE EARTHWORK & MODIFICATION OF EXISTING UTILITIES #1 Project No. 471.0	\$ 84,800	7/20/87	4	\$ 84,800 - 103,250
BLUEGRASS PAVING LEXINGTON	COLLEGE VIEW PARKING LOT Project No. 512.0	97,740	7/21/87	4	97,740 - 123,764
CINCINNATI FIXTURES CINCINNATI, OH	STUDENT CENTER CAFETERIA RENOVATION Project No. 238.0	131,185	7/23/87	2	131,185 - 142,290
HINKLE CONSTRUCTION CO. PARIS	SOMERSET COMMUNITY COLLEGE PAVED PARKING LOT Project No. 466.0	145,083	7/23/87	3	145,083 - 154,717
MCKNIGHT CONSTRUCTION LONDON	UTILITY TUNNEL REPAIR Project No. 282.0	94,474	7/29/87	3	94,474 - 119,000
SPARKS-TERRELL COMPANY LEXINGTON	HOSPITAL EXPANSION PHARMACOLOGY/MEDICAL SCIENCES REHAB Project No. 304.2	283,999	8/06/87	5	283,999 - 350,995
CON-TRAX, INC. LEXINGTON	TENNIS BUILDING EXTERIOR RENOVATION Project No. 402.0	96,169	8/11/87	5	96,169 - 144,661
AKERS & AKERS, INC. DANA	HAZARD COMMUNITY COLLEGE TECHNICAL LEARNING RESOURCE BLDG. Project No. 469.0	2,334,000	8/24/87	4	2,334,000 - 2,997,400
GRIGGS ENTERPRISES LEXINGTON	MCVEY HALL HANDICAP ACCESS Project No. 502.0	125,431	8/24/87	2	125,431 - 129,655
DEBOON, INC. LEXINGTON	HOSPITAL EXPANSION PHASE II - TEMPORARY HELIPORT (UTILITY AND FENCING PACKAGE) Project No. 304.5	80,000	8/25/87	4	80,000 - 92,462
DENHAM-BLYTHE CO., INC. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE TECHNICAL LEARNING RESOURCE BLDG. Project No. 471.0	1,979,500	9/01/87	4	1,979,500 - 2,454,600
THE WAGNER CO. LEXINGTON	MCVEY HALL FIRE SAFETY Project No. 867.0	165,000	9/02/87	4	165,000 - 208,700
PIERCE-WILLIAMS & REED CO. HOPKINSVILLE	4-H MULTI-PURPOSE BLDG. @ LAWSON SPRINGS, KENTUCKY Project No. 404.0	117,287	9/03/87	2	117,287 - 122,999
JOE M. HAWKINS & CO., INC. LEXINGTON	HOSPITAL EXPANSION PHASE II ADDITION (OR & ICU MOCK-UP) Project No. 304.1	34,980	9/10/87	2	34,980 - 38,834
C.C. LEE CONSTRUCTION CO. LEXINGTON	AQUATICS FIELD FACILITY Project No. 419.0	183,677	9/11/87	5	183,677 - 199,950
LOUISVILLE PAVING CO. LOUISVILLE	RENOVATION OF TENNIS COURTS Project No. 530.0	76,302	9/14/87	1	76,302 -
THE WAGNER CO. LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	266,480	9/16/87	4	266,480 - 319,122
HAGERMAN PLUMBING & HEATING OWENSBORO	MADISONVILLE COOLING TOWER Project No. 476.0	74,093	9/23/87	2	74,093 - 75,400
HENDERSON ELECTRIC CO., INC. LEXINGTON	HOSPITAL EXPANSION PHASE II - ADDITION - BID PACKAGE #1 (GENERAL AND ELECTRICAL WORK) Project No. 304.1	299,478	9/24/87	4	299,478 - 327,492
LICHTFELD-MASSARO, INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II - ADDITION - BID PACKAGE #1 (GENERAL WORK) Project No. 304.1	925,000	9/24/87	3	925,000 - 995,000

IV. CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
LOYD EVANS MASONRY MURRAY	OWENSBORO COMMUNITY COLLEGE BID PACKAGE #2 WORK CATEGORY #2 Project No. 425.0	\$ 1,099,999	10/05/87	1	\$ 1,099,999
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	2,866,000	10/09/87	11	2,866,000 - 3,093,460
FINNEY COMPANY LEXINGTON	MINING & MINERALS CHILLED WATER LINE Project No. 115.4	106,098	10/14/87	3	106,098 - 118,000
GILPIN MASONRY LEXINGTON	GAINES CENTER LANDSCAPING Project No. 361.0	64,985	10/14/87	4	64,985 - 80,235
R & R ELECTRIC COMPANY LEXINGTON	CLIFTON-COLUMBIA PARKING LOT LIGHTS Project No. 506.0	15,700	10/16/87	4	15,700 - 20,400
THE WAGNER CO. LEXINGTON	HOSPITAL EXPANSION PHASE II TEMPORARY HELIPORT HANGER Project No. 304.5	68,730	10/20/87	5	68,730 - 107,400
WHEELER GENERAL CONTRACTOR INC. LEXINGTON	AMERICAN BUILDING FIRE SAFETY IMPROVEMENTS Project No. 376.0	141,296	10/22/87	2	141,296 - 164,046
JOE M. HAWKINS & CO., INC. LEXINGTON	AMERICAN BUILDING ANTHROPOLOGY RENOVATION Project No. 375.0	104,200	10/27/87	6	104,200 - 132,685
P & R ROOFING & SHEET METAL LEXINGTON	KIRWAN III & IV REPLACE ROOF Project No. 503.0	83,406	11/05/87	8	83,406 - 165,500
R & W CONSTRUCTION LOUISVILLE	AGRICULTURAL ENGINEERING BLDG. Project No. 475.0	8,390,000	11/06/87	6	8,390,000 - 9,987,000
SWANSON-MUNN EVANSVILLE, IN	HENDERSON COMMUNITY COLLEGE STUDENT CENTER EMERGENCY GENERATOR Project No. 455.0	9,799	11/06/87	3	9,799 - 10,452
H.G. WILSON & SONS LEXINGTON	FOOTBALL TRAINING FACILITY MANHOLE ADDITION Project No. 211.1	9,848	11/12/87	4	9,848 - 19,390
WHITE CONSTRUCTION CO. LEXINGTON	PATTERSON OFFICE TOWER FIRE SAFETY IMPROVEMENTS Project No. 852.0	1,023,000	11/16/87	7	1,023,000 - 1,415,000
ENGLE CONSTRUCTION CO. LEXINGTON	COOPERSTOWN ROOF BUILDINGS "B" AND "G" Project No. 445.0	149,279	11/17/87	3	149,279 - 168,250
R & W CONSTRUCTION LOUISVILLE	JEFFERSON COMMUNITY COLLEGE RENOVATION - PHASE I Project No. 478.0	362,000	11/25/87	7	362,000 - 422,000
WHEELER GENERAL CONTRACTOR, INC. LEXINGTON	COOPERSTOWN ROOF/BUILDING F Project No. 535.0	98,899	11/25/87	3	98,899 - 137,726
R & W CONSTRUCTION LOUISVILLE	ROBOTICS FACILITY Project No. 431.0	6,243,000	11/25/87	6	6,243,000 - 6,800,000
C.C. LEE CONSTRUCTION CO. LEXINGTON	MEDICAL CENTER DIETARY CONVEYOR REPLACEMENT Project No. 433.0	374,784	12/01/87	6	374,784 - 476,900
HOOT CONSTRUCTION PARIS	TENNIS BLDG. - INTERIOR SPACE Project No. 510.0	8,716	12/02/87	1	8,716
WOODFORD BUILDERS LEXINGTON	HOSPITAL RENOVATION INTERIOR DECOR 3RD FLOOR OBSTETRICS Project No. 129.6	209,700	12/03/87	7	209,700 - 297,700

IV. CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
ARC CONSTRUCTION EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE \$ 2,659,840 ACADEMIC/AUDITORIUM BUILDING Project No. 472.0		12/04/87	4	\$ 2,659,840 - 2,918,790
MIRACLE RECREATION EQUIPMENT LOUISVILLE	TENNIS BUILDING BLEACHER INSTALLATION Project No. 510.0	14,450	12/07/87	2	14,450 - 18,820
LOUISVILLE PAVING CO. TENNIS-TRAC SPORT SURFACES LOUISVILLE	TENNIS BUILDING COURT RESURFACING Project No. 540.0	6,730	12/22/87	1	6,730
RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACKAGE #3 WORK CATEGORY #1 - LANDSCAPING Project No. 425.0	36,800	2/03/88	6	36,800 - 42,967
RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACKAGE #3 WORK CATEGORY #2 - GENERAL WORK Project No. 425.0	387,000	2/03/88	2	387,000 - 399,000
JERRY GROT ELECTRIC LEXINGTON	CHEMISTRY-PHYSICS BLDG. FIRE SAFETY IMPROVEMENTS Project No. 855.0	117,867	2/19/88	8	117,867 - 171,231
LUTHER STONE CONSTRUCTION CO. HENDERSON	HENDERSON COMMUNITY COLLEGE SIGNAGE Project No. 465.0	22,213	2/24/88	3	22,213 - 47,800
JERRY GROT ELECTRIC LEXINGTON	SOUTH CAMPUS FIRE ALARM SYSTEMS Project No. 842.0	58,666	3/22/88	8	58,666 - 73,284
WAGNER COMPANY LEXINGTON	STUDENT CENTER INTERIOR RENOV. Project No. 524.0	27,852	3/29/88	6	27,852 - 36,525
FAYETTE ELECTRIC SERVICE LEXINGTON	FIRE SAFETY THREE BUILDINGS Project No. 881.0	16,259	4/1/88	6	16,259 - 28,000
HIBBITS CONSTRUCTION CO. LONDON	ASBESTOS REMOVAL STUDENT CENTER ROOF Project No. 562.0	124,900	4/19/88	1	124,990
JOE M. HAWKINS CO., INC. LEXINGTON	TENNIS BUILDING INTERIOR SPACE RENOVATION Project No. 510.0	20,450	4/25/88	6	20,450 - 33,250
HOOT CONSTRUCTION COMPANY PARIS	HOSPITAL EXPANSION PARKING STRUCTURE MODIFICATIONS Project No. 304.3	11,250	5/4/88	2	11,250 - 13,500
WHEELER GENERAL CONTRACTORS LEXINGTON	O'HARA-RICE HOUSE ROOF REPLACEMENT Project No. 550.0	124,500	5/6/88	3	124,500 - 148,700
ENGLE CONSTRUCTION CO. LEXINGTON	CAMEL HOUSE ROOF REPLACEMENT Project No. 551.0	76,890	5/6/88	3	76,890 - 80,200
WHITE CONSTRUCTION LEXINGTON	MEDICAL SCIENCE ANIMAL CARE RENOVATION Project No. 406.1	490,000	5/10/88	6	490,000 - 608,700
S. C. NEAL ELECTRICAL GEORGETOWN	PORTER BUILDING FIRE SAFETY Project No. 883.0	24,395	5/16/88	6	24,395 - 36,500
LAND DESIGN LEXINGTON	HOSPITAL EXPANSION HELIPORT - LANDSCAPING Project No. 304.5	3,562	5/20/88		3,562 -
C. C. LEE LEXINGTON	HAGGIN HALL SHOWERS PHASE II Project No. 559.0	157,656	6/2/88	4	157,656 - 166,907

IV. CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
EPPERSON ELECTRIC SOMERSET	SOMERSET COMMUNITY COLLEGE PARKING LOT LIGHTING Project No. 466.1	\$ 16,400	6/2/88	3	\$ 16,400 - 26,800
ENGLE CONSTRUCTION CO. LEXINGTON	SHAWNEETOWN ROOF REPLACEMENT BUILDINGS A & C Project No. 444.0	139,940	6/3/88	4	139,940 - 198,800
BRI-DEN CO., INC. FLATWOODS	STUDENT CENTER ROOFS/BALLROOM Project No. 527.0	53,800	6/7/88	3	53,600 - 94,500
HIBBITTS CONSTRUCTION LONDON	ASBESTOS REMOVAL ANIMAL CARE RENOVATION Project No. 406.2	74,900	6/13/88	3	74,900 - 112,581
MCNEESE CONSTRUCTION LEXINGTON	HOLMES HALL SUNDECK REPAIR Project No. 446.0	55,947	6/13/88	3	55,947 - 59,538
DOVER ELEVATOR LEXINGTON	MEDICAL CENTER REPLACE FOUR ELEVATORS Project No. 311.0	278,659	6/15/88	2	278,659 - 299,834
LUTHER STONE CONSTRUCTION HENDERSON	MADISONVILLE COMMUNITY COLLEGE MECHANICAL/ELECTRICAL CONNECTOR TUNNEL Project No. 558.0	68,029	6/16/88	2	68,029 - 82,940
ARROW ELECTRIC CO., INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK 2; WORK CAT. 13 - ELECTRICAL Project No. 304.1	2,834,700	6/23/88	5	2,834,700 - 3,632,500
MESSER CONSTRUCTION CINCINNATI, OH	HOSPITAL EXPANSION PHASE II BID PACK 2; WORK CAT. 1 - GENERAL Project No. 304.1	8,849,000	6/23/88	5	8,849,000 - 9,957,000
TRI-STATE ROOFING & SHEET METAL LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK 2; WORK CAT. 2 - SHEET METAL Project No. 304.1	429,400	6/23/88	1	429,400
BROWN SPRINKLER LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK 2; WORK CAT. 10 - FIRE PROTECTION Project No. 304.1	374,460	6/24/88	3	374,460 - 467,950
DANVILLE ACOUSTICS, INC. DANVILLE	HOSPITAL EXPANSION PHASE II BID PACK 2; WORK CAT. 4 - ACOUSTICAL CEILINGS Project No. 304.1	156,400	6/24/88	6	156,400 -
FRED CLEMENTS HVAC CO., INC. LEXINGTON	MEDICAL SCIENCE RESEARCH LAB DUCTWORK Project No. 310.1	26,203	6/27/88	3	26,203 - 40,600
MNR/WALLACE MECHANICAL DALLAS, TX	HOSPITAL EXPANSION PHASE II BID PACK 2; WORK CAT. 9 - MECHANICAL Project No. 304.1	5,248,000	6/28/88	2	5,248,000 - 5,482,000
CARPET DECORATORS, INC. CENTRAL CITY	HOSPITAL EXPANSION PHASE II BID PACK 2; WORK CAT. 5 RESILIENT FLOORING/CARPET Project No. 304.1	164,000	6/28/88	6	164,000 - 217,000
AMERICAN STEEL PRODUCTS LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK 2; WORK CAT. 14 - HOLLOW METAL Project No. 304.1	80,269	6/28/88	2	80,269 - 92,379

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
THE WAGNER CO. LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	1	12/10/87	+ \$ 2,463.30
Nature of Change:	1. Demolish wooden partitions. 2. Various electrical item changes. 3. Relocate conduit.			
Reason for Change:	1. As-Built drawings did not show the partitions. They must be removed to make way for new construction. 2. Unforeseen items uncovered during alteration work. 3. Unforeseen condition concealed by existing construction.			
THE WAGNER CO. LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	2	1/06/88	+ 3,270.93
Nature of Change:	Add door to Mechanical Room and provide additional 3/4" conduits with #18 for monitoring battery racks.			
Reason for Change:	Not included by consultant in contract but necessary for system operation.			
THE WAGNER CO. LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	3	1/06/88	+ 1,175.70
Nature of Change:	Provide additional conduit and wiring for Liebert unit.			
Reason for Change:	Conduit and wiring not furnished as part of contract for Liebert Equipment which was owner supplied.			
THE WAGNER COMPANY LEXINGTON	JOURNALISM/MCVEY HALL Project No. 105.1	4	1/15/88	+ 1,368.50
Nature of Change:	1. Build wall to hold electrical box in existing Computer room and paint. 2. Add glycol to the chilled water system. 3. Provide additional wiring for battery rack.			
Reason for Change:	1. Not provided for in Contract Documents but necessary for system to operate properly. 2. Required by manufacturer of equipment but not included in contract documents. 3. Wiring not included in original contract due to the addition of battery rack.			
THE WAGNER COMPANY LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	5	2/09/88	+ 1,253.50
Nature of Change:	1. Close openings between windows at ceiling first floor computer room. 2. Provide block under doorway, recess strike, batteries for Halon system. 3. Provide weatherstripping and door sweep for five doors.			
Reason for Change:	Changes are required to seal room for halon discharge and to maintain adequate concentration.			
THE WAGNER COMPANY LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	6	3/07/88	+ 938.40
Nature of Change:	Raise refrigerant lines at ceiling of computer room #3.			
Reason for Change:	Existing lines projected below new computer room ceiling and were not addressed in contract documents.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
THE WAGNER COMPANY LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	7	3/14/88	+ \$ 258.75
Nature of Change:	Raise existing 3/4" EMT conduit.			
Reason for Change:	Existing conduit projected below new computer room ceiling and was not addressed in contract documents.			
WAGNER COMPANY LEXINGTON	JOURNALISM/MCVEY PHASE II Project No. 105.1	8	4/22/88	- 260.82
Nature of Change:	Delete batteries for Halon System.			
Reason for Change:	Batteries to be provided under separate contract.			
CAREY CONSTRUCTION CO. LEXINGTON	PARKING STRUCTURE #3 SITE DEVELOPMENT Project No. 109.1	3	11/02/87	+ 3,119.31
Nature of Change:	1. Additional 1" asphalt overlay. 2. Construct new stone faced retaining wall. 3. Remove trench. 4. Construct walks at entrance to Parking Structure. 5. Relocate cul-de-sac. 6. Extend roof leader. 7. Connect existing roof leader. 8. Remove existing concrete walk. 9. Repair existing stone wall. 10. Remove existing C.I. curb box inlet.			
Reason for Change:	1. Traffic island was removed to improve maneuverability. 2. Built to provide roof coverage so an existing tree would not be disturbed. 3. Repairs to match project. 4. Constructed to facilitate foot traffic. 5. Street design changed. 6. Roof leader was not shown on plans. 7. Roof leader was not shown on plans. 8. The location was deemed unsafe. 9. Repaired wall where vehicle struck it. 10. Wrong inlet shown on survey.			
CAREY CONSTRUCTION LEXINGTON	PARKING STRUCTURE #3 SITE DEVELOPMENT Project No. 109.1	4	1/28/88	- 303.71
Nature of Change:	1. Restripe Virginia Avenue (extended) with yellow paint in lieu of white. 2. Delete aluminum posts and handrails at exterior steps to Research Bldg. No. 3 & provide painted steel handrails to match existing.			
Reason for Change:	1. Will allow for better traffic control. White lines were confusing. 2. Changed handrail to match existing railing in front of building.			
CAREY CONSTRUCTION LEXINGTON	PARKING STRUCTURE #3 SITE DEVELOPMENT Project No. 109.1	5	3/02/88	+ 1,490.96
Nature of Change:	Additional sodding and trees.			
Reason for Change:	A retaining wall was relocated causing the need for additional sod and trees to be relocated and/or added to the site.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
C.E. PENNINGTON CO. LEXINGTON	MINING & MINERALS RESOURCE BUILDING Project No. 115.0	11	9/23/87	+ 1,673.00
Nature of Change:	1. Replace existing brick curb inlet near corner of Clifton Avenue and Clifton Circle. Flowline of inlet to be on line with pipe installation. 2. Add 26 feet of 15" PVC pipe as shown on drawings. 3. Add storm manhole as shown on drawing.			
Reason for Change:	Due to site conditions, an addendum had to be issued to change location of pipe and manhole.			
C.E. PENNINGTON CO. LEXINGTON	MINING AND MINERALS RESOURCE BLDG. Project No. 115.0	12	11/06/87	+ 19,352.32
Nature of Change:	Supplemental bid package - assignment of subcontractor to general work contractor, C. E. Pennington per bid CCK-213-5.			
Reason for Change:	Assignments are made per the terms and conditions of CCK-117-5 for said work assignments.			
C.E. PENNINGTON CO. LEXINGTON	MINING AND MINERALS RESOURCE BLDG. Project No. 115.0	13	11/06/87	+ 40,594.40
Nature of Change:	Provide and install caulking for the horizontal joint under the coping stone.			
Reason for Change:	To provide a caulk joint at this location to keep building from leaking.			
C.E. PENNINGTON CO. LEXINGTON	MINING AND MINERALS RESOURCE BLDG. Project No. 115.0	14	12/07/87	+ 20,615.37
Nature of Change:	1. Furnish and install Tremco Temproof on floors. 2. Provide and install fire dampers and automatic sprinklers. 3. Furnish and install revolving dark room door. 4. Delete three light fixtures. 5. Undercut rock and refill with lean concrete.			
Reason for Change:	1. Waterproof flooring system. 2. Changes required by state regulatory agencies. 3. In order to provide a complete dark room. 4. Fixtures specified were found to be unnecessary. 5. Work due to unsound bearing conditions under a retaining wall.			
C.E. PENNINGTON CO. LEXINGTON	MINING AND MINERALS RESOURCE BLDG. Project No. 115.0	15	12/07/87	+ 16,915.00
Nature of Change:	1. Delete cores in lock cylinders. 2. Additional cost to include additional fire and domestic water equipment to serve building.			
Reason for Change:	1. Cores in lock cylinders to be furnished by the University. 2. Due to change in requirements from Kentucky American Water Co.			
C.E. PENNINGTON LEXINGTON	MINING & MINERALS RESOURCE BUILDING Project No. 115.0	16	2/09/88	+ 12,289.45
Nature of Change:	1. Additional concrete at first floor loading docks due to site condition. 2. Provide sod strip with five Boston Ivy plants.			
Reason for Change:	1. Extra due to the fact that the site plan showed the retaining wall on either side of the first floor loading docks being 58' apart when they are actually 62' apart. 2. Required for minor landscaping changes as described in drawing CA-48.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
C. E. PENNINGTON LEXINGTON	MINING & MINERALS RESOURCE BUILDING Project No. 115.0	17	3/07/88	+ \$ 20,178.25
Nature of Change:	1. Provide and install floor tile, base and stair treads in stairs a, b, and c. 2. Additional concrete curb at Clifton Circle. 3. Cost of renting a generator to proceed with elevator installation. 4. Additional hardware. 5. Replace 18 sq. yds of sidewalk and piping at Clifton Avenue. 6. Provide cooper ground conductor in existing wireways.			
Reason for Change:	1. The original stairs with the seal finish were slick, thus causing a safety hazard. 2. The curb elevation was too low to stop the water flow. 3. No provision in contract for sufficient temporary power prior to main electrical service being in place. 4. Required by State Fire Marshall. 5. Work was required because a storm sewer line was shown dead but actually in use. 6. Required to meet Electrical Code.			
C. E. PENNINGTON CO. LEXINGTON	MINING & MINERALS RESOURCE BUILDING Project No. 115.0	18	5/13/88	+ 1,434.00
Nature of Change:	Install one 16" x 16" motorized damper in the exhaust fan duct in the chemical storage area.			
Reason for Change:	Work was necessary in order to keep the Halon system functioning properly.			
GIVENS CONSTRUCTION LEXINGTON	MINES & MINERALS SITE DEVELOPMENT Project No. 115.3	1	3/02/88	+ 5,682.20
Nature of Change:	1. Remove and replace an existing section of sidewalk on the south side of the Faculty Club. 2. Remove small section of bituminous pavement to build up the south corner of the parking lot. 3. Install 100 l.f. concrete curb and gutter. 4. Remove existing light pole and fixture by south entrance to Faculty Club and install new light pole at left side of front entrance of Faculty Club.			
Reason for Change:	1. Removal and reinstallation was needed so that the transition in grade from the pedestrian mall to the Faculty Club could be accomplished more smoothly. 2. Required to allow proper drainage in corner of parking lot. 3. This contractor was requested to install curb and gutter to expedite the parking lot development. 4. Existing light pole and fixture did not match the new fixtures and was not required to illuminate the area.			
GIVENS CONSTRUCTION LEXINGTON	MINES & MINERALS SITE DEVELOPMENT Project No. 115.3	2	3/25/88	+ 474.95
Nature of Change:	Install two (2) temporary floodlights in parking lot.			
Reason for Change:	To secure area for use prior to installation of permanent unit.			
FINNEY COMPANY LEXINGTON	MINES & MINERALS CHILLED WATER LINES Project No. 115.4	1	1/28/88	+ 1,150.00
Nature of Change:	Waterproof the exterior surface of the concrete pit.			
Reason for Change:	Exterior surfaces of new tunnel had to be waterproofed to protect the interior from ground water.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
WOODFORD BUILDERS LEXINGTON	HOSPITAL RENOVATION INTERIOR DECOR, 4TH FLOOR PEDIATRICS Project No. 129.5	1	2/09/88	+ \$ 6,687.97
Nature of Change:	1. Relocate window in one patient room. 2. Remove existing non-insulated glass windows from I.C. unit. 3. Furr out two columns in H-412 and add gypsum board. 4. Provide and install 23 additional label holders. 5. Provide medical gas line pressure alarm panel in Pediatric Intensive Care. 6. Provide 120V emergency critical branch power connection from existing circuits to new medical gas line pressure alarm panel.			
Reason for Change:	1. Location of interior window interferes with Hill Rom over bed light, preventing mounting of light. 2. Added insulated glass with storm windows to provide temperature protection to critical patient care area. 3. Need to conceal plumbing pipes. 4. Program requirement that was not part of contract documents. 5. & 6. Required by the Division of Licensing and Regulation.			
WOODFORD BUILDERS LEXINGTON	HOSPITAL INTERIOR DECOR FOURTH FLOOR PEDIATRICS Project No. 129.5	2	3/14/88	+ 4,018.67
Nature of Change:	In lieu of two lighting switches shown, provide four "despard" type switches in the same 2-gang outlet box.			
Reason for Change:	Despard switches were needed to allow privacy for each patient in these two patient rooms.			
WOODFORD BUILDERS LEXINGTON	HOSPITAL INTERIOR DECOR 4TH FLOOR PEDIATRICS Project No. 129.5	3	4/20/88	+ 11,860.73
Nature of Change:	1. Revise the exhaust ductwork for the Pediatric Intensive Care as shown on drawings. 2. Install new 20 minute label wood door at opening no. 10. 3. Remove existing inswing door to H453A to make room more useable, rework frame and provide two outswinging wood doors with surface mounted flush bolts. 4. Provide and install surface mounted foot control valves on 6 sinks. 5. Extend new 3/4" medical air line from point of connection to existing 3/4" air line riser. 6. Install plugmold and outlet in Room H-473. 7. Add nurse call system in Room H464 and adjacent bathroom.			
Reason for Change:	1. Existing ductwork differed from the plans; therefore a larger fan was needed. 2. No existing door was available. Required by Housing, Building and Construction. 3. Plans showed an existing door swinging into a closet making the closet unuseable. 4. A fundamental necessity overlooked during programming. 5. A fundamental necessity overlooked during programming. 6. No outlet was available but required for user equipment. 7. Request of JCAH requirements.			
WOODFORD BUILDERS LEXINGTON	HOSPITAL INTERIOR RENOVATION 3RD FLOOR OBSTETRICS Project No. 129.6	1	4/25/88	+ 1,378.85
Nature of Change:	Replace one door with a one hour rated wood door in existing hollow metal frame. Provide all hardware, repair work; repair plaster, ceramic tile, and paint.			
Reason for Change:	Door needed to meet code.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
WOODFORD BUILDERS LEXINGTON	HOSPITAL INTERIOR RENOVATION 3RD FLOOR OBSTETRICS Project No. 129.6	2	4/25/88	+ \$ 292.10
Nature of Change:	Add door guards and an 8" plastic kick plate to three doors.			
Reason for Change:	Items omitted from contract documents.			
WOODFORD BUILDERS LEXINGTON	HOSPITAL INTERIOR RENOVATION 3RD FLOOR OBSTETRICS Project No. 129.6	3	4/29/88	+ 1,670.95
Nature of Change:	Center existing bed light fixtures in equal relationship to the Hill-Rom units. Provide new conduit from Hill-Rom to each relocated fixture. Intercept existing conduit from light fixture above ceiling and connect to headwall.			
Reason for Change:	Not all of the existing fixture boxes that were to be relocated would allow the new fixtures to be centered over the beds.			
WOODFORD BUILDERS LEXINGTON	HOSPITAL INTERIOR RENOVATION 3RD FLOOR OBSTETRICS Project No. 129.6	4	4/29/88	+ 594.55
Nature of Change:	Remove newly installed door frame and provide a "B" label double egress door unit.			
Reason for Change:	Change required to meet building code requirement not addressed during plan review.			
WOODFORD BUILDERS LEXINGTON	HOSPITAL INTERIOR RENOVATION 3RD FLOOR OBSTETRICS Project No. 129.6	5	5/24/88	+ 475.00
Nature of Change:	Additional reimbursement for plumbers.			
Reason for Change:	Contractor was unable to perform required work during scheduled utility shut downs due to emergency use of operating rooms.			
WOODFORD BUILDERS LEXINGTON	HOSPITAL INTERIOR RENOVATION 3RD FLOOR OBSTETRICS Project No. 129.6	6	6/13/88	+ 257.60
Nature of Change:	Wire existing over bed lights in lieu of purchasing factory-made plug-in connecting cables.			
Reason for Change:	Waiting for manufacturer to ship factory-made plug will delay project. Therefore, field wiring is necessary.			
WOODFORD BUILDERS LEXINGTON	HOSPITAL INTERIOR RENOV. 3RD FLOOR OBSTETRICS Project No. 129.6	7	6/13/88	+ 785.45
Nature of Change:	Delete the 4 x 4 wire way for the telephone system and install 2 1/2" and 2 inch conduit with six junction boxes.			
Reason for Change:	Code violation.			
WOODFORD BUILDERS LEXINGTON	HOSPITAL INTERIOR RENOVATION 3RD FLOOR OBSTETRICS Project No. 129.6	8	6/13/88	+ 276.00
Nature of Change:	Electrostatically paint three existing lockers.			
Reason for Change:	Hospital Planning requested this contractor to provide work so project could stay on schedule.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	16	8/28/87	+ \$ 10,487.20
Nature of Change:	Lean concrete placement in voids and additional soil and rock removal at footings and foundations.			
Reason for Change:	Unforeseen subsurface conditions encountered during excavation.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	17	11/20/87	+ 4,745.00
Nature of Change:	Provide miscellaneous pool tile, provide 2" water backflow preventer.			
Reason for Change:	Required by Fayette Co. Health Dept., (new code requirements).			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	18	2/16/88	+ 1,115.50
Nature of Change:	1. Add finishing hardware for openings 201A and 201B. 2. Change exit device on opening 119D and 142A from series 33 to 99 series. 3. Add dust proof strike to opening 199D and 142A. 4. Change exit device trim on opening 216A & 214A. 5. Add automatic door bottom to opening 215A.			
Reason for Change:	1. The door schedule calls for hollow metal door for Doors 201A and 201B. A hollow metal door is preferred at this location. The hardware schedule calls for these doors to have hardware by the aluminum door contractor. This error in coordination allowed neither hardware supplier to initially include this hardware in the original submittal. 2. Von Duprin Series 99 exit devices were substituted for Series 33 exit devices to match other hollow metal door hardware and to reduce the cost. 3. Dust proof strikes are to be provided by the hardware supplier per Specification Section 08710-7, line 26, at no additional cost. 4. Von Duprin hardware was to be substituted for Series 55 hardware providing comparable functions and trim. 5. Omitted during design; desirable to prevent odors from exiting filter room.			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	19	3/04/88	+ 3,830.30
Nature of Change:	Provide 3" back flow preventer.			
Reason for Change:	Required by Kentucky American Water Company.			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	20	3/22/88	+ 1,578.95
Nature of Change:	Revise fire protection vault and reroute conduit.			
Reason for Change:	Requirement of Kentucky American Water Company.			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	21	3/22/88	+ 883.20
Nature of Change:	Provide extra drywall work. Provide soffits from ceiling to underside of deck.			
Reason for Change:	Details were not defined on drawings.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	22	3/22/88	+ \$ 707.25
Nature of Change:	Extra masonry work required at one door.			
Reason for Change:	Frame used was not as wide as shown on original drawings.			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	23	4/4/88	+ 1,715.00
Nature of Change:	Rock removal.			
Reason for Change:	Steam lines could not be installed until rock was removed. Change made via unit prices from contract.			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	24	4/14/88	- 34,658.00
Nature of Change:	Delete pool plaster and pool floor Xypex. Add water inlet extensions and seal cracks in pool floor with hydraulic cement.			
Reason for Change:	It was decided that the University would have the pool finish installed as a separate contract.			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	25	4/14/88	+ 5,279.65
Nature of Change:	Provide piping for roof drains at Column #2.			
Reason for Change:	Roof drains were omitted from plumbing drawings.			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	26	4/14/88	+ 3,392.50
Nature of Change:	Provide PVC conduit and junction boxes.			
Reason for Change:	To provide cross pool competition (25 yards).			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	27	4/25/88	+ 276.00
Nature of Change:	Provide drywall encasement of ceiling beam in Stair #2.			
Reason for Change:	Required to meet code.			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	28	4/25/88	+ 1,500.75
Nature of Change:	Change electrical circuits feeding temperature control panels to emergency panel.			
Reason for Change:	The temperature control panels in upper level mechanical room should be connected to the emergency panel rather than the main power panel.			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	29	4/25/88	+ 836.05
Nature of Change:	Relocate condensate flow meter.			
Reason for Change:	To provide ease of maintenance and repairs.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	30	4/25/88	- \$ 464.71
Nature of Change:	Delete deck coating in Entries, Alcoves & Natatorium.			
Reason for Change:	The University requested deletion of deck coating and intends to take separate bids. This contractor's cost exceeds unit price cost x sq. footage formula and was unwilling to provide substantial backup information for the cost proposal.			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	31	5/9/88	+ 270.25
Nature of Change:	Lower four type "E" fixtures in Stairwell #2			
Reason for Change:	To avoid conflict with stair stringers.			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	32	5/18/88	+ 867.10
Nature of Change:	Provide water curtain at upper level observation area.			
Reason for Change:	Change required by Department of Housing, Building & Construction.			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	33	5/18/88	+ 1,117.80
Nature of Change:	Modify roof hatch supports in Natatorium and provide supports in the Electrical Room.			
Reason for Change:	Two design plan discrepancies involving structural framing around roof hatches.			
WILLIAM H. KELLY CO. NOVI, MI	COMMONWEALTH STADIUM WATERPROOFING Project No. 175.1	1	10/08/87	+ 8,000.00
Nature of Change:	Recoating application for ramp which was damaged by another contractor working in the same area.			
Reason for Change:	Ramp surface damaged by paint spills.			
STRUCK CONSTRUCTION CO. LOUISVILLE	FOOTBALL TRAINING CENTER Project No. 211.0	7	7/27/87	+ 5,111.26
Nature of Change:	1. Addition of wood trim at running track. 2. Revise shower plumbing wall to 6" stud. 3. Add wall and insulation over door. 4. Prep aluminum frames to receive Facilities Management sensors. 5. Add additional support for mechanical catwalk above racquetball courts.			
Reason for Change:	1. This change was necessary in order to conceal adjustment in the steel handrail connection. This connection was revised for structural reasons. 2. These walls had to be increased in width in order to house the plumbing properly. 3. This wall over the door was left off the drawings. It is above the exterior soffit and is needed to weathertight the vestibule. 4. The aluminum package was let and bid prior to the Management System being bid or even receiving confirmation it was to be included in the project. 5. Additional support necessary so that maintenance personnel could have access to equipment.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
STRUCK CONSTRUCTION CO. LOUISVILLE	FOOTBALL TRAINING CENTER Project No. 211.0	8	10/12/87	+ \$ 6,969.37
Nature of Change:	1. Revise concrete floor sealer. 2. Revise steel stair & track handrail. 3. Install backflow preventer.			
Reason for Change:	1. Change in material. 2. Structurally reinforce track handrail for impact. 3. To meet plumbing code.			
STRUCK CONSTRUCTION CO. LOUISVILLE	FOOTBALL TRAINING CENTER Project 211.0	9	11/11/87	+ 17,301.87
Nature of Change:	1. Paint 1" shim piece under lockers. 2. Add manhole for access to oil storage tank. 3. Add three smoke detectors. 4. Apply concrete rub instead of paint on exterior columns. 5. Delete relocation of water spicket at playing field. 6. Site concrete revisions. 7. Additional fuel oil. 8. Revise sprinkler heads in mechanical room. 9. Reverse chilled water lines. 10. Additional rock removal due to utility conflict. 11. Additional earth work for slab revision in front of Shively Center. 12. Removal of concrete window lip.			
Reason for Change:	1. Lockers were too tall and the base was removed; required painting. 2. Request of State Inspector. 3. Required by Fire Marshal. 4. Will save cost on maintenance. 5. Relocation was not necessary, work was deleted from contract. 6. Fire marshal required handicap ramp at parking lot. 7. The size of the fuel tank was changed, thus requiring more fuel to initially fill tank. 8. Required by Fire Marshal to meet code. 9. Existing intake and discharge was hooked up in reverse. 10. Drawings did not show electrical duct bank at right location. New utility lines had to be lowered. 11. Owner requested a walk be changed to a drive. 12. Window would not sit in place with lip in place.			
STRUCK CONSTRUCTION CO. LOUISVILLE	FOOTBALL TRAINING CENTER Project 211.0	10	12/10/87	+ \$ 32,795.10
Nature of Change:	1. Addition of expansion tank. 2. Addition of custom built locks. 3. Addition of shelf brackets. 4. Relocate thermostat and sensor in weight room. 5. Add 3 thresholds and one 5" cover at door. 6. Addition of clocks. 7. Addition of outlets. 8. Various changes in whirlpool electrical and filtration system. 9. Install threshold for whirlpool.			
Reason for Change:	1. Required by State Plumbing Field Inspector. 2. Simplified the keying for better security of lockers. 3. Necessary to hold the increased weight of the equipment purchased by owner. 4. Conflict with wall mounted weight equipment purchased by owner. 5. Omitted from drawing and necessary to complete project. 6. Provisions were made for electrical rough-ins; however, the clocks were not included in contract documents. 7. Outlets needed for user equipment. 8. Requested by Fayette County Health Department. 9. Requested by Fayette County Health Department.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
STRUCK CONSTRUCTION LOUISVILLE	FOOTBALL TRAINING CENTER Project No. 211.0	11	2/08/88	+ \$ 5,810.00
Nature of Change: Additional bond and insurance for work beyond original contract amount.				
Reason for Change: The contractor was not allowed by this contract any overhead and profit for any change order items during the normal established construction period. However, it allows reimbursement of legitimate expenses incurred due to any increase in construction. These expenses would be additional bond and insurance.				
R & W CONSTRUCTION LOUISVILLE	MAXWELL H. GLUCK EQUINE RESEARCH FACILITY Project No. 230.0	11	6/23/88	+ 39,879.38
Nature of Change: Additional compensation or two month delay.				
Reason for Change: Owner supplied equipment was late in arriving causing delays to Contractor; resulting in additional overhead costs to him.				
CINCINNATI FIXTURES CINCINNATI, OH	STUDENT CENTER CAFETERIA RENOVATION Project No. 238.0	1	2/19/88	+ 3,227.12
Nature of Change: 1. Two cases of lower wattage light bulbs to reduce heat in lights over deli area. 2. Vents for cabinets. 3. Two new tops in deli plus two butcher tops in condiment area per "Atlas" switch of equipment. 4. Re-fabrication of sneeze guard to Atlas equipment. 5. Relocate telephone panel from dumbwaiter area. 6. Install plumbing changes. 7. Additional electrical wiring to accommodate coke machines 8. Rubber corner guards for walls to prevent cafeteria carts from chipping tile. 9. Extension of roof fan and installation of venting. 10. Additional extension of roofing around range hood.				
Reason for Change: User-client generated the equipment change because the equipment did not function to serve their needs.				
WEBER & WEBER CONTRACTORS LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY FIRE SAFETY PHASE I Project No. 277.0	1	10/9/87	+ 2,926.04
Nature of Change: Remove additional asbestos, replace water damaged wood fiber roof, replace wood fiber tapered edge strip.				
Reason for Change: As-built conditions were slightly different than anticipated.				
LUTHER STONE CONST. HENDERSON	HENDERSON COMMUNITY COLLEGE ADMIN. BLDG. DOORS & PANIC HARDWARE Project No. 293.0	1	2/08/88	+ 1,331.00
Nature of Change: 1. Add eleven new door closures. 2. The time provided for completion in this contract is increased by 30 calendar days.				
Reason for Change: 1. Existing closures were not working properly due to normal wear and tear and old age. 2. For furnishing and installation of new closures.				

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
JOE M. HAWKINS & CO., INC. LEXINGTON	HOSPITAL EXPANSION PHASE II Project 304.1	1	12/08/87	+ \$ 385.60
Nature of Change:	Delete the following items from the surgery and ICU room and mock-up (2) deadlocks, (2) push plates, (2) pull plates, (1) kick plate, (1) armor plate.			
Reason for Change:	The above items could not be obtained within the short time frame allowed for this Project and the overall effectiveness of the mock-up was not compromised.			
LICHTEFELD-MASSARO LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK #1-GENERAL WORK Project No. 304.1	1	5/12/88	+ 28,590.59
Nature of Change:	Additional rock removal required.			
Reason for Change:	During rock excavation for foundation, footing and utility trench, more rock was removed than was allowed for in contract documents.			
HENDERSON ELECTRIC CO. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #1-ELECTRICAL WORK Project No. 304.1	1	6/14/88	- 278.25
Nature of Change:	1. Provide new electrical service to sump pump in existing steam manhole, just west of new utility tunnel. 2. Omit one light fixture on north side of drive. Fixture shall remain the property of the Owner.			
Reason for Change:	1. The actual location of the electric source for this sump pump was unknown by the University. During excavation the conduit was torn out and since it was beneath the new construction it had to be relocated to miss the construction area. 2. This fixture was included in Bid Package #2 and Hospital prefers Bid Pack #2 type fixture so as to match the fixtures being used along the front of the Hospital.			
HIBBITTS CONSTRUCTION LONDON	HOSPITAL EXPANSION MEDICAL SCIENCES REHABILITATION Project No. 304.2	1	11/02/87	+ 2,335.02
Nature of Change:	Remove additional asbestos pipe insulation, pipe fittings and transite board panels.			
Reason for Change:	Additional asbestos was located in field that had to be removed prior to other demolition work proceeding.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
SPARKS-TERRELL LUMBER LEXINGTON	HOSPITAL EXPANSION PHARMACOLOGY, 3RD & 6TH FLOOR RENOVATION Project No. 304.2	1	1/29/88	+ \$ 172.59
Nature of Change:	1. Paint concrete block walls at Room MN304. 2. Restore concrete block joints where exposed to view from removal of ductwork. 3. Remove 6 electrical floor pedestals and wiring back to panel. 4. Revise casework at MS310. 5. Trim and finish door frame from MS310 into MS312. 6. Clean ceramic tile and remove concrete patch. 7. Remove lead expansion shields in glazed tile wall. 8. Install new stainless steel sink at MS321. 9. Relocate existing gas line to allow ductwork installation. 10. Relocate electrical conduit for installation of ductwork.			
Reason for Change:	1. Due to removal of surface mounted electrical raceways, wall needed painting. 2. Ducts removed by this contract exposed concrete block which apparently were installed after installation of ductwork, resulting in unsealed joints. 3. Not shown on electrical drawings and uncovered when furniture/partition system removed. 4. Location of corridor door into room is not shown on original "As-Built's". Revised casework layout for this side of room. 5. Uncovered existing wood door frame after removal of wood paneling on walls. 6. Upon removal of existing carpet, room had existing ceramic tile and concrete patch over existing floor drain. 7. Floor to ceiling bookcases were anchored to wall with expansion shields and uncovered when previous occupant of room moved out. 8. Contractor lost the existing sinks to be re-installed. 9. Existing gas line obstructs the ductwork path. 10. Electrical work just recently installed weeks before by another project and in the way of ductwork path.			
SPARKS-TERRELL LEXINGTON	HOSPITAL EXPANSION PHARMACOLOGY 3RD & 6TH FLOOR Project No. 304.2	2	5/20/88	+ 541.95
Nature of Change:	Paint all walls in room MS665, in lieu of touch-up as specified.			
Reason for Change:	Existing wall cabinets removed and plumbing work completed in room necessitated the full painting of walls.			
LICHTEFELD-MASSARO LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE Project No. 304.3	2	10/30/87	+ 24,065.52
Nature of Change:	Provide 4 concrete foundation keys, clean out and re-work foundations, add drilled caisson to rock, deduct caisson test holes and earth excavation, place gravel and galvanized mesh at foundation.			
Reason for Change:	Additional work required to fine tune structural design to meet actual subsurface conditions.			
LICHTEFELD-MASSARO LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE Project No. 304.3	4	5/20/88	+ 1,491.46
Nature of Change:	Additional striping, lettering and signage.			
Reason for Change:	Signage was needed to eliminate driver confusion which was caused by what seemed to be not enough direction indicator arrows.			
LICHTEFELD-MASSARO LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE Project No. 304.3	5	6/23/88	+ 595.16
Nature of Change:	Install additional bollards around electrical transformer.			
Reason for Change:	Requested to provide additional protection to transformer.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MARRS ELECTRIC CO., INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE Project No. 304.3	2	9/25/87	+ \$ 896.27
Nature of Change: Additional labor and materials required to remove unsuitable soil.				
Reason for Change: Soil encountered during excavation was tested and found unsuitable for structural bearing.				
MARRS ELECTRIC CO., INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE Project No. 304.3	3	9/25/87	+ 680.00
Nature of Change: Change type of electrical meter.				
Reason for Change: Owner requested that meter be changed to meet current UK Standards.				
MARRS ELECTRIC CO., INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE Project No. 304.3	4	10/15/87	+ 1,029.00
Nature of Change: To change the size of underground electrical service conductors.				
Reason for Change: Service conductor sizes revised to correspond to main service switch size.				
MARRS ELECTRIC CO., INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE Project No. 304.3	5	11/18/87	- 233.00
Nature of Change: Relocate pad mounted transformer, relocate telephone manhole, omit light fixtures.				
Reason for Change: To provide internally lit signs, entrances and exits.				
MARRS ELECTRIC CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING GARAGE Project No. 304.3	6	1/15/88	+ 1,021.00
Nature of Change: Add VACOP IC mechanical remote control operator on two source switches of the vacuum switch in electrical manhole. These two operators are in addition to one installed for transformer circuit.				
Reason for Change: Physically impossible to manually operate.				
MARRS ELECTRICAL CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II-PARKING GARAGE Project No. 304.3	7	3/23/88	+ 908.44
Nature of Change: Change metering equipment.				
Reason for Change: Meter specified not compatible with UK's energy management system.				
MARRS ELECTRICAL CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II-PARKING GARAGE Project No. 304.3	8	3/23/88	+ 1,253.00
Nature of Change: Furnish and install iron sump pump discharge pipe from existing electrical manhole to the existing storm manhole.				
Reason for Change: Existing storm manhole system had been eliminated by a previous contractor.				

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
FRANTZ, INC. LEXINGTON	HOSPITAL EXPANSION PHASE II PARKING GARAGE Project No. 304.3	2	1/07/88	+ \$ 972.00
Nature of Change:	Rock allowance in Contract Documents of 10 cubic yards vs. actual quantity removed: 3.52 cubic yards at unit price of \$150 per cubic yard.			
Reason for Change:	Rock allowance included in Contract. That work is now complete.			
MARRS ELECTRIC CO., INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II MARKEY CANCER CENTER/4TH FLOOR CATEGORY NO. 4 - ELECTRICAL WORK Project No. 304.4	2	10/21/87	+ 208.15
Nature of Change:	Relocate drywall partition.			
Reason for Change:	Change is necessary to enlarge room for improved efficiency of use.			
MARRS ELECTRIC CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II MARKEY CANCER CENTER/4TH FLOOR CATEGORY NO. 4 - ELECTRICAL WORK Project No. 304.4	3	2/11/88	+ 2,203.48
Nature of Change:	1. Furnish and install a two-speed single winding variable torque starter for exhaust fan. 2. Furnish all labor and materials required to relocate existing overhead light fixtures. 3. Add 3 additional conductors per each 2-speed motor for the exhaust fans serving the fume hoods on the 4th floor.			
Reason for Change:	1. Fume hood was enlarged to an 8' hood thus requiring larger exhaust fan. 2. Existing fixtures needed to be relocated for the installation of new fume hood. 3. Contract documents only called for 3 wires per motor instead of the 6 per motor required.			
STRUCK CONSTRUCTION LOUISVILLE	HOSPITAL EXPANSION PHASE II MARKEY CANCER CENTER/4TH FLOOR Project No. 304.4	2	2/11/88	+ 3,108.00
Nature of Change:	Remove and reset 13 of the GFR panels on the exterior of the penthouse to obtain proper verticle joint of uniform width from top to bottom under the existing loading.			
Reason for Change:	The dead load of the GFR panels plus the roof loading proved to be less than original design criteria; therefore, the 5/16" camber was too great to allow the panels to settle such that the verticle joints would be of uniform width. Actual field trials indicated that 1/8" shim would provide the desired results.			
HUSSUNG MECHANICAL CONTR. LOUISVILLE	HOSPITAL EXPANSION PHASE II MARKEY CANCER CENTER/4TH FLOOR Project No. 304.4	3	9/25/87	+ 1,215.00
Nature of Change:	1. Furnish & install unistrut pipe supports at utility space between base cabinets at island peninsulas. 2. Provide one five gallon capacity chemical pot feeder in the basement mechanical room.			
Reason for Change:	1. Unistrut pipe supports, usually provided by casework manufacturer, were not included in the specification nor were they included in the plumbing specification. This contractor was requested to install supports. 2. This item was indicated on the drawings but not specifically mentioned in any of the specification sections. This contractor was requested to install.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
HISSUNG MECHANICAL CONT. LOUISVILLE	HOSPITAL EXPANSION PHASE II MARKEY CANCER CENTER/4TH FLOOR Project No. 304.4	4	2/11/88	+ \$ 960.00
Nature of Change:	Furnish all labor, material & equipment necessary to effect the proper connection, transition, sound trap removal and refabrication of ductwork to fit the new 8" long fume hood in rm. 431.			
Reason for Change:	Was not included in the proposal since no one knew there was a difference until the hood arrived and was being installed.			
THE WAGNER COMPANY LEXINGTON	HOSPITAL EXPANSION PHASE II TEMPORARY HELIPORT HANGER Project No. 304.5	2	6/22/88	+ 5,785.95
Nature of Change:	1. Regrade & install 8" of dense grade at two locations; remove 8' chain link fence, install new corner post in concrete, rotate fire hydrant 90°. 2. Extend landing pad in triangular shape and regrade slope of mill away from landing area.			
Reason for Change:	1. Allows improved access by fire fighting equipment and relocation of fuel truck parking. 2. To facilitate safe helicopter take-offs & landings. Pilots felt existing space too tight.			
THE WAGNER COMPANY LEXINGTON	MEDICAL SCIENCE RESEARCH LABS Project No. 310.0	1	11/18/87	+ 1,798.00
Nature of Change:	Add additional drywall and vinyl base, remove existing vinyl base, tuckpoint existing masonry and add CMU at enclosures.			
Reason for Change:	Existing conditions exposed during demolition did not match "As-built" drawing.			
THE WAGNER COMPANY LEXINGTON	MEDICAL SCIENCE RESEARCH LABS 3RD FLOOR RENOVATION Project No. 310.0	2	2/08/88	+ 1,705.64
Nature of Change:	1. Change specified 6'-8" height of doors to 7'-0". 2. Install 3 surface mounted load centers in lieu of using existing surface mounted panel. 3. Install rough opening for future door and frame. 4. Remobilization and labor for painting doors and frames (Item 1) and Corridor. 5. Relocate drain vent and gas piping to existing chase. 6. Relocate penthouse light fixture. 7. Delete specified foil faced insulation on ductwork. 8. Extend contract date to December 17, 1987 for Items 1 and 2 only.			
Reason for Change:	1. To make doors same height as all other doors in facility. 2. State Electrical Inspector's mandate for code required wiring clearance in panel interiors to accommodate specified circuits and future spare circuits. 3. To accommodate revised functional use of laboratories. 4. Installation of new doors and frames will occur after scheduled final completion date. Change will allow all work, except doors, frames and corridor painting, to proceed on schedule. 5. To accommodate plumbing vent and laboratory gasses in concealed location. 6. To allow straight run of fume hood duct thru roof and eliminate need for offset in duct. 7. Insulation of ductwork within laboratory space not required due to heat loss/gain from ductwork is to space being conditioned. 8. Ordering and shipping dates for doors and electrical panels will not allow completion of these items by the specified contract date. All other work to be complete as specified on 12/3/87.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
THE WAGNER COMPANY LEXINGTON	MED. SCIENCE RESEARCH LABS 3RD. FLOOR RENOVATION Project No. 310	3	4/13/88	+ \$ 1,538.70
Nature of Change:	1. Raise existing steam and chilled water piping in corridor to allow cross corridor ductwork to be installed in soffit. 2. Install 60 amp., 3 pole disconnect switch in alternate panel rather than panel specified.			
Reason for Change:	1. Existing location of steam and chilled water piping conflicts with proposed routing of fume hood and return air duct. 2. The existing spare panel space designated to be used for service in the renovation project was used by PPD for service to another part of the Building.			
THE WAGNER COMPANY LEXINGTON	MED. SCIENCE RESEARCH LABS 3RD. FLOOR RENOVATION Project No. 310	4	6/29/88	+ 1,966.40
Nature of Change:	1. Simplex, Inc. to install & certify connections to the existing fire alarm system. 2. Emergency installation of telephone wiring & conduit to replace in slab conduit severed by core drilling of existing concrete floor. 3. Recess specified electrical panel in masonry wall.			
Reason for Change:	1. Allowed certification of project fire alarm system required for occupancy and allowed existing system warranty to continue. 2. Existing conduit was concealed in slab, not shown on drawings. 3. Safety precaution.			
CAREY CONSTRUCTION LEXINGTON	V.A. HOSPITAL ACCESS ROAD Project No. 324.0	4	11/05/87	- 4,430.00
Nature of Change:	1. Deleted header curb, concrete sidewalk, pavement and mass grading. 2. Additional lane striping, arrows and stop bar.			
Reason for Change:	1. Deletion of work due to project boundary overlaps created by construction of V.A. addition. 2. To create visible road markings.			
PACK'S, INC. MOREHEAD	MEDICAL CENTER WALKWAY ROOF REPLACEMENT Project No. 326.0	1	9/23/87	+ 5,311.81
Nature of Change:	1. Provide aluminum angle flashing along roof perimeter & at windows. 2. Delete base flashing receiver along right side of canopy. 3. Replace deteriorated blocking. 4. Install 1/2" of fiberboard to metal decking through walkway. 5. Raise perimeter of canopy opposite drains by installing shims at support columns.			
Reason for Change:	1. Aluminum flashing in certain areas could not be removed as drawings indicated. 2. Flashing revised to provide an improved flashing detail at roof perimeter. 3. Existing wood blocking had deteriorated and could not be reused. 4. Required to provide clearance for existing electrical conduit installed on top of metal decking and below roof. 5. Needed to prevent water from ponding.			
GILPIN MASONRY LEXINGTON	GAINES CENTER LANDSCAPING Project No. 361.0	1	1/11/88	+ 619.78
Nature of Change:	Furnish and install 4 feet high wire construction fence with related equipment rental necessary to complete demolition which had to be postponed.			
Reason for Change:	Temporary fence was not in contract. This fence was used to protect visitors from the surrounding construction.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
GILPIN MASONRY LEXINGTON	GAINES CENTER LANDSCAPING Project No. 361.0	2	3/10/88	+ \$ 1,029.49
Nature of Change:	Demolition and removal of debris, new concrete footer, concrete block work and iron hand railing at porch of structure.			
Reason for Change:	To fully enclose and underpin opening beneath porch resulting from relocation of existing steps.			
GILPIN MASONRY LEXINGTON	GAINES CENTER LANDSCAPING Project No. 361.0	3	3/18/88	+ 2,484.22
Nature of Change:	Remove existing concrete curb below sidewalk level, install removeable bollard, extend sod to edge of existing sidewalk, install new curbcuts and concrete apron from walk to street level.			
Reason for Change:	To provide pedestrian access from Maxwell Street to parking lot at rear of building.			
JOE M. HAWKINS & CO. LEXINGTON	AMERICAN BUILDING ANTHROPOLOGY RENOVATION Project No. 375.0	1	3/08/88	+ 3,236.93
Nature of Change:	1. Relocation of stairwell, increase footing sizes, and extend stairwell ceiling. 2. Relocation and alteration required due to the discovery of a concealed storm line carrying water from roof drains.			
Reason for Change:	Changes required due to unforeseen difficulties encountered during excavation of stairwell.			
JOE HAWKINS & CO. LEXINGTON	AMERICAN BUILDING ANTHROPOLOGY RENOVATION Project No. 375.0	2	5/16/88	+ 366.44
Nature of Change:	Install additional wire mesh on storage doors.			
Reason for Change:	To prevent operation of door hardware from outside of partitioned areas.			
WHEELER GENERAL CONT. LEXINGTON	AMERICAN BUILDING FIRE SAFETY IMPROVEMENTS Project No. 376.0	1	2/16/88	+ 4,093.00
Nature of Change:	1. Split existing sprinkler pipe and feed to existing lines on either side of Stair #2. 2. Change hollow metal door frames from 14 to 16 gauge. 3. Request for ten day extension on contract, new contract date 2/28/88. 4. Request for six day extension on contract, new contract date of 3/6/88, and lump sum proposal for additional work at Stair #1.			
Reason for Change:	1. Location of stair #2 shown on architectural drawing was in error. 2. Long lead time in delivery of 14 gauge door frames would have delayed the project an unacceptable length of time. 3. Construction delayed due to review of soil conditions by consultant. 4. Unknown grade beam and concealed saturated soils required additional construction time to correct before stairwell could be constructed.			
BROCK EXCAVATING CORBIN	SOUTHEAST COMMUNITY COLLEGE Project No. 379.0	1	1/18/88	+ 620.00
Nature of Change:	1. Extend parking lot. 2. Add additional 1" thickness at entrance of parking lot.			
Reason for Change:	1. To eliminate small strip of grass between lot and ditches for ease of maintenance. 2. Per request of State Department of Highways.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
HOPKINS COUNTY ASPHALT, INC. MADISONVILLE	MADISONVILLE COMMUNITY COLLEGE REPLACE CONCRETE CONCOURSES Project No. 387.0	1	7/28/87	+ \$ 6,428.84
Nature of Change:	Install waterproof membrane to existing structural slab of both concrete concourses.			
Reason for Change:	After removing existing concourse topping, existing waterproof membrane was found to be peeling and cracking over at least 50% of concourse area. New membrane should be installed to replace the initial integrity of this material.			
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	3	12/17/87	+ 2,852.00
Nature of Change:	Provide new utility pole.			
Reason for Change:	To provide continuous electrical service without outage to Shawneetown.			
WHITE CONSTRUCTION LEXINGTON	AG. REGULATORY SERVICES Project No. 395.0	4	4/23/88	+ 15,675.34
Nature of Change:	1. Replace storm sewer manhole. 2. Additional rock excavation for caissons.			
Reason for Change:	1. Existing manhole too small to accommodate sewer line specified. 2. Required to achieve acceptable bearing conditions.			
WHITE CONSTRUCTION LEXINGTON	AG. REGULATORY SERVICES Project No. 395.0	5	5/23/88	+ 4,049.67
Nature of Change:	3% mark up on assigned subcontractor for Honeywell Controls.			
Reason for Change:	The mark up allotment was not included in the bid.			
WHITE CONSTRUCTION LEXINGTON	AG. REGULATORY SERVICES Project No. 395.0	6	6/10/88	- 235.75
Nature of Change:	1. Tap existing low pressure gas main in lieu of high pressure gas main as specified. 2. Delete 250' of 8" cast iron sewer piping under the entry to the loading dock.			
Reason for Change:	1. Change was requested by Physical Plant Division. If connection was made to the high pressure gas line, PPD would require a concrete block building instead of specified bollards around the regulator for protection. Considering the cost, it was felt that the extra excavation to tap onto the low pressure line would not be as costly as the installing the concrete block building if tapping into high pressure line. 2. The existing sewer line is vitreous clay pipe & Consulting Engineers felt that it should be replaced with cast iron pipe, which would require an offset, to prevent possible failure. PPD requested the cast iron pipe be deleted and no work be done to the sewer line under the entry.			
WHITE CONSTRUCTION LEXINGTON	AG. REGULATORY SERVICES Project No. 395.0	7	6/17/88	+ 4,771.35
Nature of Change:	1. Provide & install 2" high support rail at each face of raised shelves. 2. Provide two 2" conduits from electrical manhole to the mechanical room.			
Reason for Change:	1. Necessary for support of raised shelves. 2. Necessary for low voltage power to manhole.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
CON-TRAX, INC. LEXINGTON	TENNIS BUILDING EXTERIOR RENOVATION Project No. 402.0	1	12/16/87	+ \$ 4,300.00
Nature of Change: Repair of depressed area in existing metal panel system and revised contract completion date.				
Reason for Change: Original building defect would be made visible by addition of new finish material. Therefore, additional material was needed to fill void.				
CON-TRAX, INC. LEXINGTON	TENNIS BUILDING EXTERIOR RENOVATION Project No. 402.0	2	5/25/88	+ 402.50
Nature of Change: Additional lighting for installation under the canopy.				
Reason for Change: Provide additional lighting directly over each door to maintain the required emergency lighting.				
PIERCE, WILLIAMS & REED, INC. HOPKINSVILLE	4-H MULTI PURPOSE BUILDING DAWSON SPRINGS, KY Project No. 404.1	1	5/9/88	-0-
Nature of Change: Extend completion date by 39 calendar days.				
Reason for Change: Delay in receiving approval to begin construction from Housing, Building & Construction.				
COOPER & COOPER PAINTING, INC. LEXINGTON	LEXINGTON COMMUNITY COLLEGE CLASSROOM RENOVATION Project No. 418.0	1	8/31/87	- 129.44
Nature of Change: Delete 4" rubber base and rubber skirtbase at stairs.				
Reason for Change: Rubber base & skirtbase would not fill gap left at edge of existing carpet and wall. Existing wallcovering was carried from the wall to the floor and when replaced, left a gap at the intersection of those two planes.				
CON-TRAX, INC. LEXINGTON	AQUATIC FIELD FACILITY Project No. 419.0	1	4/6/88	+ 4,050.47
Nature of Change: Install expansion tank for hot water; relocate 2" backflow preventor and extend drain from backflow preventor to floor drain.				
Reason for Change: Changes required by the Kentucky American Water Company and the Fayette County Health Department.				
LICHTEFELD-MASSARO, INC. LOUISVILLE	KIRWAN TOWER BRICK REPLACEMENT Project No. 423.0	2	7/22/87	- 2,693.00
Nature of Change: Delete 4" wide wire wall reinforcement.				
Reason for Change: Consultants, Masonry Contractor and University concurred that this was not necessary. After existing brick veneer was removed, it was determined that the wall reinforcement would not be required when new brick veneer was installed.				
LICHTEFELD-MASSARO, INC. LOUISVILLE	KIRWAN TOWER BRICK REPLACEMENT Project No. 423.0	3	9/14/87	+ 7,006.67
Nature of Change: 1. Furnish new relief angles to match existing. 2. Furnish 3,150 lineal feet of 4" x 4" steel shims. 3. Anchor four existing conduits to full height of building.				
Reason for Change: 1. New angles were required due to poor condition of existing. 2. Shims required to compensate for inconsistency of floor slabs elevation. 3. Change required to secure conduit found loose after brick veneer removed.				

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LICHTEFELD-MASSARO LOUISVILLE	KIRWAN TOWER BRICK REPLACEMENT Project No. 423.0	4	6/13/88	- \$ 1,076.25
Nature of Change:	Credit for 1,435 ledge brick which exceeded the allowance.			
Reason for Change:	Contractor used 1,435 special brick over and above the amount the University was to supply.			
HAGERMAN PLUMBING OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACKAGE 2, WORK CATEGORY #4 - PLUMBING Project No. 425.0	1	1/22/88	+ 775.39
Nature of Change:	1. Add one floor drain. 2. Change three plumbing fixtures. 3. Delete floor drain and add shower base.			
Reason for Change:	1. Required by State Plumbing Inspector. 2. In order to prevent any misunderstanding by the Fire Marshall or Building Inspector, it was felt that since these rest rooms in question are not intended, nor required, to be designated as handicap restrooms, that we should use a regular water closet rather than the handicap water closet. This should eliminate any questions or discussions at final inspection. 3. Required for sanitary and health reasons.			
HAGERMAN PLUMBING & HEATING OWENSBORO	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY #4 - PLUMBING Project No. 425.0	2	2/29/88	+ 8,402.32
Nature of Change:	1. Furnish and install utility trenches in the Technical Education Building and the Science Building. 2. Depress the floor slab elevation in 3 room of Humanities Building. 3. Delete drinking fountain inset in Computer Rooms. 4. Delete two area drains; add two floor drains and two down spout collectors in the Humanities Building.			
Reason for Change:	1. & 2. Required by user for teaching and research labs and computer area. 3. To provide additional space in the Computer Rooms. 4. The area way is not the lowest exterior point which is actually the floor slab at the bottom of the steps. Therefore floor drains are required to prevent this area from flooding into the Lecture Classroom.			
HAGERMAN PLUMBING OWENSBORO	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY #4 - PLUMBING Project No. 425.0	3	6/10/88	+ 6,119.67
Nature of Change:	Add dark room sinks in LRC. Add opening in wall in LRC between two rooms.			
Reason for Change:	Academic curriculum definition requires the utilization of dark room sinks. These items were added to Bid Pack 2 in lieu of Bid Pack 3 for expediting rough-in installation.			
HAGERMAN PLUMBING OWENSBORO	OWENSBORO COMMUNITY COL. WORK CATEGORY #3-H.V.A.C. Project No. 425.0	1	2/29/88	+ 231.00
Nature of Change:	Modify HVAC to two rooms in Administration Building. Remove dividing masonry wall and provide enlarged room.			
Reason for Change:	User requested room change to accommodate new occupant. Thus requiring HVAC modifications. This was changed to allow for a conference area in director's office.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
HAGERMAN PLUMBING OWENSBORO	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY #3 - H.V.A.C. Project No. 425.0	2	6/10/88	+ \$ 1,585.45
Nature of Change:	Add exhaust air capabilities to LRC room L205. Delete return air capabilities to same. Modify AHU as required. Exhaust system is to have night setback capabilities.			
Reason for Change:	The chemicals and process being utilized in photography & printing require that the air in this room be exhausted and not returned back to the building HVAC.			
RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACKAGE 2, WORK CATEGORY #1 - GENERAL Project No. 425.0	1	1/22/88	+ 26,208.00
Nature of Change:	Furnish all labor, materials, and equipment necessary to provide the sub-base gravel and roadwork, final grading around building, grading between roads and parking lots, remove existing road and the seeding of these areas.			
Reason for Change:	The work is being added to this contract and at the same time is being deleted from Bid Pack #1, WC #1, for the exact same price. This is necessary to allow Bid Pack #1 contractor to complete his work and leave the project without having to return. This places all responsibility for finished conditions within these areas on the same contractor.			
RUSHER CONST. OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACKAGE 2, WORK CATEGORY #1 - GENERAL Project No. 425.0	2	4/6/88	+ 38,474.20
Nature of Change:	1. Add skylight in LRC building. 2. Modify two rooms in Administration Building to provide enlarged room. 3. Add PVC pipe cover for bid pack 2. 4. Interior finish changes.			
Reason for Change:	1. Change requested by the Community College to provide natural light to the 1st and 2nd floor core areas. 2. Room modifications requested to accommodate new occupant. 3. Drawing showed concrete column wrap, but wrap had been omitted; PVC pipe cover needed to accomplish the desired results. 4. Numerous changes made in an effort to reduce the masonry cost.			
RUSHER CONST. OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACKAGE 2, WORK CATEGORY #1 - GENERAL Project No. 425.0	3	6/10/88	+ 3,402.02
Nature of Change:	1. Add 25 lintels per changes from drywall to masonry. 2. Provide type "N" aluminum window frame with 1" Solex glass in lieu of type "W" hollow metal frame with 1/4" plate glass on the South elevation of the Administration Building.			
Reason for Change:	1. To eliminate drywall heads above the doors that were in masonry walls. This will keep the appearance at all doors consistent throughout the campus. 2. The aluminum frame with Solex glass will match the other windows in this building and will be consistent with the windows throughout the campus.			
KY-IND FIRE PROTECTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY 5-FIRE PROTECTION Project No. 425.0	1	3/6/88	+ 404.00
Nature of Change:	1. Revise sprinkler piping and add additional sprinkler heads to cover addition of skylight. 2. Furnish and install additional wall mounted fire extinguishers.			
Reason for Change:	1. To meet code requirements. 2. Requested by Garry Beach, to meet code requirements.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LEONARD JOHNSON ELECTRIC SERVICE GLASGOW	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY #6-ELECTRICAL Project No. 425.0	1	2/29/88	+ \$ 6,568.55
Nature of Change:	1. Relocate the emergency generator and electrical service transformer. 2. Change wall outlets to floor outlets.			
Reason for Change:	1. Original plans called for heavy landscaping to hide the Maintenance Building; however, the landscaping budget has been reduced. Changing location of these mechanical items is much more compatible with the overall site plan for the Campus and closer to paved areas for ease of maintenance. 2. Outlets specified for glass block walls were deemed not practical and floor mounted outlets would be much more durable.			
LEONARD JOHNSON ELECTRIC SERVICE GLASGOW	OWENSBORO COMMUNITY COLLEGE BID PACKAGE #2, WORK CATEGORY #6-ELECTRICAL Project No. 425.0	2	6/10/88	+ 3,104.55
Nature of Change:	1. LRC doors to receive base bid hardware. Electrical Contractor to furnish and install master panel, zone switches, zone cards power supply, etc. 2. Change all 250V and 220V presently shown on Bid Pack 3 documents to 208V.			
Reason for Change:	1. Community College requires this area to be supervised during normal hours and to be monitored for security and safety reasons during all other time. 2. Casework requirements were not finalized when Bid Pack 2 was bid. Additional electrical requirements have been defined and this will coordinate the electrical package with regards to the casework.			
HARTZ CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	1	1/25/88	+ 24,561.48
Nature of Change:	1. Add 6 fire hydrants and increase water line to maintenance building from 2" to 4". 2. Eliminate plumbing chase wall conflict. 3. Remove latent soil below Science bldg. 4. Provide additional footings. 5. Add CMU required water pipe and valves. 6. Add concrete encasement of two steel columns. 7. Add required footings under projection room. 8. Add 7 valves and valve boxes in fire line. 9. Delete the removal of temporary parking. 10. Delete the sub-base gravel on roadways, final grading around buildings and between roads and parking lots, remove existing road and the seeding of these areas. 11. Provide waterproofing of below grade masonry at Maintenance bldg.			
Reason for Change:	1. This item was removed from Bid Pack #3 prior to bidding and added by Change Order to Bid Pack #1 in order to expedite delivery and installation of the underground utilities. 2. Upon review of Bid Pack #2 documents, the University requested all commodes to be wall hung rather than floor mounted and this required larger chases to accommodate the carriers. 3. During excavation for the Science Bldg. a large area of latent soil was uncovered. This material was not suitable bearing for foundations and upon recommendation of the Geotechnical Engineers it had to be removed and replaced with approved soil. The footings and foundations had to be extended and enlarged to facilitate the new building. 4. These footings were supposed to be added by addendum, however due to the timing in getting the addendum to contractors, this item was not included. 5. Additional pipe and valves added in order to meet the design standards of CMU. 6. Fire Marshall required proper fire protection for free standing columns. 7. Projection room was added by request of the University after Bid Package 1 was bid.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
HARTZ CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	1 continued	1/25/88	+ \$ 24,561.48
Reason for Change: (continued)	8. Required by State Fire Marshall to meet Kentucky Building Code. 9. Construction timing has been such that the gravel base for the permanent parking area can be utilized for temporary parking thereby negating the requirement to remove. 10. The above work is being taken out of Bid Pack I and added to Bid Pack II at the same price in order to allow the Bid Pack I contractor to complete his work and leave the project without having to return. This places all responsibility for finished conditions within these areas on the same contractor. 11. Waterproofing of the concrete block wall is required where finish grade is above the floor slab in order to seal out moisture.			
HARTZ CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY #1 - GENERAL WORK Project No. 425.0	2	3/14/88	+ 14,321.56
Nature of Change:	1. Furnish and install utility trenches in the Technical Education Building and the Science Building. 2. Depress the floor slab elevation in 3 room of Humanities Building. 3. Remove existing block foundation at block walls; repour floor slabs to cover space as required.			
Reason for Change:	1. & 2. Required by user for teaching and research labs and computer area. 3. These walls were put in to obtain the required sound rating between mechanical rooms and habitable spaces.			
MODERN WELDING CO. OWENSBORO	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY #2 - STRUCTURAL STEEL Project No. 425.0	1	3/14/88	+ 6,334.00
Nature of Change:	1. Furnish and install utility trenches in the Technical Education Building and the Science Building. 2. Depress the floor slab elevation in 3 room of Humanities Building.			
Reason for Change:	1. & 2. Required by user for teaching and research labs and computer area.			
LLOYD EVANS MASONRY MURRAY	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY #2 - MASONRY Project No. 425.0	1	3/14/88	+ 9,214.50
Nature of Change:	1. Remove dividing masonry wall and provide enlarged room. 2. Add brick wall, block wall and sound batts at mechanical room wall locations.			
Reason for Change:	1. User requested room change to accommodate new occupant. 2. To obtain the required sound rating between mechanical rooms and habitable spaces.			
R & W CONSTRUCTION LOUISVILLE	ROBOTICS FACILITY Project No. 431.0	2	3/16/88	+ 402.50
Nature of Change:	Substitute larger telephone box in lieu of telephone box specified.			
Reason for Change:	Due to size of telephone box specified not being large enough to make up wires for Daryoush Marefat.			
R & W CONSTRUCTION LOUISVILLE	ROBOTICS FACILITY Project No. 431.0	3	3/16/88	+ 1,969.00
Nature of Change:	Install temporary piping and siamese connection at south side of Anderson Hall.			
Reason for Change:	Fire Department required siamese connection located beyond construction limits for better fire truck accessibility.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
R & W CONSTRUCTION LEXINGTON	ROBOTICS FACILITY Project No. 431.0	4	5/10/88	- \$ 4,970.00
Nature of Change:	Delete reinforcing mesh from light weight insulating concrete at roof. Provide expanded polystyrene roof insulation in lieu of extruded polystyrene insulation as specified.			
Reason for Change:	Reinforcing not required when light weight insulating concrete placed on concrete slabs. Extruded insulation not manufactured with keying holes for use as roof deck under light weight insulation concrete.			
R & W CONSTRUCTION LEXINGTON	ROBOTICS FACILITY Project No. 431.0	5	5/24/88	+ 667.50
Nature of Change:	1. Install four sheets of fire retardant treated plywood at existing telephone room. 2. Install 1/4" monsonite, R-11 batt insulation and framing over inside face of existing windows of the Engineering Quadrangle Building.			
Reason for Change:	1. Requested from Communication Department for installation of telephone terminals. 2. Requested by Civil Engineering to reduce construction noise through existing windows.			
R & W CONSTRUCTION LEXINGTON	ROBOTICS FACILITY Project No. 431.0	6	5/24/88	+ 806.00
Nature of Change:	Install 200 amp safety switch, wire and conduit; frame and expand metal guard on D.C. generator unit.			
Reason for Change:	Electrical Inspector required additional work after D.C. generator was relocated in Mechanical Room.			
R & W CONSTRUCTION LEXINGTON	ROBOTICS FACILITY Project No. 431.0	7	5/24/88	+ 647.00
Nature of Change:	Provide temporary repairs to existing sanitary sewer line at existing manhole.			
Reason for Change:	Existing sanitary line was found to have broken pipe allowing raw sewage to leach into ground. Temporary repair was requested by UK Representative.			
R & W CONSTRUCTION LEXINGTON	ROBOTICS FACILITY Project No. 431.0	8	5/24/88	+ 2,257.00
Nature of Change:	1. Remove existing concrete encased communication duct and install new duct at lower elevation and connect to new communications manhole. 2. Provide and install 1 1/4" sch. 40 PVC discharge line from communications manhole sump pump to existing storm inlet.			
Reason for Change:	1. Depth of existing duct was unknown until excavation was done for manhole. 2. Discharge line was not shown on contract drawings.			
R & W CONSTRUCTION LEXINGTON	ROBOTICS FACILITY Project No. 431.0	9	5/24/88	+ 1,141.00
Nature of Change:	Provide labor & materials to install new concrete pipe supports for the 18" chilled water piping in the utility tunnel.			
Reason for Change:	Existing pipe in tunnel was installed such that the new 18" chilled water pipe was too close to tunnel walls and would not allow existing pipe supports to be used.			
R & W CONSTRUCTION LEXINGTON	ROBOTICS FACILITY Project No. 431.0	10	6/6/88	+ -0-
Nature of Change:	Add unit price for 3000 PSI concrete.			
Reason for Change:	No unit price for 3000 PSI concrete submitted on Form of Proposal.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
R & W CONSTRUCTION LEXINGTON	ROBOTICS FACILITY Project No. 431.0	11	6/16/88	+ \$ 6,133.00
Nature of Change:	Provide labor and materials to connect new steam and condensate pipes to existing piping at revised location in existing steam manhole. Insulate existing and new steam pipe with calcium silicate insulation.			
Reason for Change:	Excavation uncovered existing (unknown) electrical concrete encased duct bank where original connection to existing steam and condensate was to have been made. Therefore, new pipe had to extend into steam manhole to make connection.			
C. C. LEE, INC. LEXINGTON	MEDICAL CENTER DIETARY TRAYVEYOR REPLACEMENT Project No. 433.0	1	5/10/88	- 659.00
Nature of Change:	1. Relocate 1" telephone conduit in elevator shaft at divider beams at 5th, 6th, 7th & 8th floors. 2. Credit for elimination of labor & material for plumbing work at elevator pit. 3. Credit for leaving two trayveyor doors on 3rd thru 8th floors and down trayveyor structural tower.			
Reason for Change:	1. Unforeseen condition, conduit hidden inside channel divider beam. 2. Contractor did not encounter pipes when digging elevator pit. 3. Owner requested doors to remain to provide access to shaft and use of tower for attaching future mechanical and electrical work.			
ENGLE CONSTRUCTION LEXINGTON	HAGGIN HALL SHOWER REPAIRS Project No. 441.0	1	12/07/87	+ 2,830.00
Nature of Change:	Remove and replace four additional damaged steel floor joists.			
Reason for Change:	The existing floor joists could not be inspected prior to removal of the existing floor.			
ENGLE CONSTRUCTION LEXINGTON	HAGGIN HALL SHOWER REPAIR Project No. 441.0	2	2/17/88	+ 1,251.20
Nature of Change:	1. Add one gate valve in hot water supply runoff to shower rinser. 2. Add one gate valve in hot water recirculating line from shower rinser. 3. Add one gate valve in cold water supply.			
Reason for Change:	The new valves replaced existing valves that were leaking. The existing valves were replaced to eliminate future problems and building shutdowns.			
EAGLE ROOFING INC. LEXINGTON	PATTERSON HALL DORMITORY ROOF & GUTTER REPLACEMENT Project No. 442.0	1	10/16/87	+ 1,900.00
Nature of Change:	Add metal trim at bottom edge of existing metal fascia.			
Reason for Change:	To increase the height of the old fascia to match the height of the new fascia where old and new meet at brick walls.			
EAGLE ROOFING SOUTH POINT, OH	PATTERSON HALL ROOF & GUTTER REPLACEMENT Project No. 442	2	5/23/88	+ 530.00
Nature of Change:	Adjustment of contract amount for allowances included in contract.			
Reason for Change:	Additional tuck-pointing of masonry and cornice framing required.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
LANDMARK NORTH CAROLINA CORP. WORTHINGTON, OH	BOYD HALL ROOF & GUTTER REPLACEMENT Project No. 443.0	1	9/04/87	+ \$ 5,402.00
Nature of Change:	1. Remove additional portions of existing brick chimney. Cap chimney below cornice line and construct additional cornice & built-in gutter. 2. Replace gutters and shingles on bridge connecting Boyd & Patterson Halls.			
Reason for Change:	1. Improve function of gutter and downspout system. 2. Upgrade all roofs and gutters to same quality, style and color.			
LANDMARK NORTH CAROLINA CORP. WORTHINGTON, OH	BOYD HALL ROOF & GUTTER REPLACEMENT Project No. 443.0	2	10/09/87	+ 1,447.00
Nature of Change:	Additional wood framing required to attach and support new cornice trim material.			
Reason for Change:	When old cornice was removed it was found that it was insufficiently supported by existing framing.			
LANDMARK NORTH CAROLINA CORP. WORTHINGTON, OH	BOYD HALL ROOF & GUTTER REPLACEMENT Project No. 443.0	3	6/28/88	- 1,209.07
Nature of Change:	Deduct monies to cover water damages while contractor was reroofing Boyd Hall Dormitory.			
Reason for Change:	Mutual consent of Owner and Contractor for settlement of water damages to Boyd Hall Dormitory.			
ENGLE CONSTRUCTION CO. LEXINGTON	COOPERSTOWN ROOF BUILDINGS B & G Project No. 445.0	1	2/29/88	-0-
Nature of Change:	Extend completion date by forty-four (44) calendar days.			
Reason for Change:	Delays caused by inclement weather.			
ENGLE CONSTRUCTION LEXINGTON	COOPERSTOWN ROOF BUILDINGS B & G Project No. 445.0	2	4/21/88	+ 2,208.16
Nature of Change:	1. Extend storm drain line to future downspout at low roof. 2. Connect downspout at S.W. corner of Building "F" to storm sewer in lieu of splash on grade. 3. Replace section of damaged sidewalks.			
Reason for Change:	1. Provide for future roof drainage. 2. Provide for proper drainage away from building. 3. Provide for safe pedestrian travel.			
MARR MASONRY, INC. LOUISVILLE	HOLMES HALL MASONRY REPAIR Project No. 450.0	1	8/06/87	+ 3,332.00
Nature of Change:	Recaulk vertical joint between stone trim and brick.			
Reason for Change:	Poor condition of existing caulk was not known until construction began.			
TANNER ELECTRICAL CONTRACTOR CYNTHIANA, KY	PRESTONSBURG COMMUNITY COLLEGE PIKE BLDG. EMERGENCY GENERATOR Project No. 456.0	1	11/06/87	+ 2,594.00
Nature of Change:	Replace existing automatic transfer switch.			
Reason for Change:	Existing transfer switch was defective.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
CHESTER-O'DONLEY & ASSOCIATES PADUCAH	HOPKINSVILLE COMMUNITY COLLEGE AIR CONDITIONING REPLACEMENT Project No. 460.0	1	8/21/87	+ \$ 5,865.00
Nature of Change:	Revise condenser enclosure wall, i.e., extend retaining wall, new concrete footing and 5'-6" high brick wall with concrete coping.			
Reason for Change:	Mechanical equipment was unsightly and detracted from primary entrance to campus and required screening.			
HINKLE CONTRACTING BURNSIDE	SOMERSET COMMUNITY COLLEGE PARKING LOT Project No. 466.0	1	2/05/88	- 7,374.00
Nature of Change:	1. Delete 60 lf. header curb. 2. Add 68 lf. curb and gutter. 3. Delete 4,262 s.y. sodding; add seeding and reshaping.			
Reason for Change:	1. By deleting curb additional parking spaces were obtained. 2. Curb added to prevent parking on grass. 3. Season when work was complete was more conducive to seeding than sod.			
WHITE & CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	1	2/16/88	+ 24,566.00
Nature of Change:	Remove trench rock by hand and machine for mechanical and electrical work. Remove mass and trench rock by machine for building construction.			
Reason for Change:	Work consists of unscheduled rock for trench and general excavation.			
WHITE & CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 468.0	2	3/02/88	+ 5,173.00
Nature of Change:	1. Provide 300 PSI valve in existing manhole. 2. Provide Amtrol #AST-20 expansion tank. 3. Increase manhole cover from 22" to 32" diameter.			
Reason for Change:	1. Valve specified did not meet University Standards. 2. Tank specified did not meet Health Department regulations. 3. Manhole cover specified did not meet University Standards.			
WHITE & CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	3	4/19/88	+ 790.00
Nature of Change:	Provide 8' x 8' plywood on three walls of Room 113A and 2" x 1/4" ground bars.			
Reason for Change:	Provide surface upon which to mount electrical, telephone, fire alarm panels and to keep metal panels from being in direct contact with masonry walls.			
WHITE & CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	4	5/12/88	+ 5,416.00
Nature of Change:	Rock removal.			
Reason for Change:	Rock encountered when excavating manholes for electrical and telephone manholes.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
WHITE & CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	5	5/20/88	+ \$ 380.00
Nature of Change:	Remove six 500 MOM load break elbows to install six doughnut type C.T.'s in lieu of snap-on type specified.			
Reason for Change:	Request made for doughnut type current transformers in lieu of those specified because of the need to be waterproof.			
WHITE & CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	6	5/26/88	+ 2,073.00
Nature of Change:	1. Substitute modified bitumen flashing on low parapet walls in lieu of copper counterflashing. 2. Provide 48 Yale locksets in lieu of latchsets specified.			
Reason for Change:	1. Architect & Roofing Manufacturer recommended change since finished product will be equal or better than that specified, at less cost. 2. University requested change to locksets in lieu of latchsets specified.			
WHITE & CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	7	6/10/88	+ 2,590.00
Nature of Change:	Provide double detector check valve assembly in lieu of detector check valve and water check valve as shown. Provide tamper switches on all gate valves and electric connection from fire alarm system to tamper switches.			
Reason for Change:	Required by Kentucky American Water Company to meet their current standards.			
AKERS & AKERS DANA	HAZARD COMMUNITY COLLEGE TECHNICAL/LEARNING RESOURCE BLDG. Project No. 469.0	1	1/15/88	- 1,778.23
Nature of Change:	1. Credit for substitution of Schedule 40 in lieu of Schedule 80 p.v.c. 2. Add plumbing fixture. 3. Credit to use existing cooling tower in lieu of rental unit.			
Reason for Change:	1. To expedite job. 2. Plumbing fixture was inadvertently omitted during final design drawings. 3. Existing tower was found to be usable, so rental unit was not needed.			
BOXLEY CONSTRUCTION CO., INC. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	1	9/25/87	+ 9,212.50
Nature of Change:	1. Change two doors to double egress type doors and frames; providing exit signs on bridge side at each door. 2. Provide smoke detectors that are connected to several doors. 3. Provide panic hardware on two doors. 4. Change roof framing above elevator hoistway. 5. Provide an insulated hollow metal transom panel in one door in lieu of louver. 6. Provide a valve in the new 6" water main where new line connects to existing line.			
Reason for Change:	1.-5. Changes required to meet Kentucky Building Code Compliance. 6. The addition of a water valve will aid in the maintenance of the facility.			
BOXLEY CONSTRUCTION CO., INC. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	2	9/25/87	+ 25,506.00
Nature of Change:	Provide four additional underground 4" PVC communication ducts.			
Reason for Change:	Required for communications systems to be connected to the Administration Building.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	3	11/05/87	+ \$ 1,152.00
Nature of Change:	Furnish and install a combination computer and telephone outlet and connect to communications board.			
Reason for Change:	Change made to accommodate owner purchased equipment.			
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	4	12/15/87	+ 5,934.50
Nature of Change:	Additional concrete required to install building footings at correct elevation.			
Reason for Change:	Unsuitable soil encountered at footing locations. Concrete was used to fill voids and provide required bearing capacity.			
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	5	1/07/88	+ 586.00
Nature of Change:	Furnish and install additional concrete masonry unit wall at south wall of Dressing Room 227.			
Reason for Change:	Wall necessary to enclose ductwork.			
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 470.0	6	3/02/88	+ 869.00
Nature of Change:	Seal mechanical chase openings with two layers of 5/8" firecode drywall on metal studs.			
Reason for Change:	To comply with Kentucky Building Code requirements.			
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 470.0	7	3/02/88	+ 5,308.93
Nature of Change:	1. Regrade and sod hillside area west of Academic/Technical Building. 2. Furnish and install additional materials for making high voltage connections. 3. Rework structural steel roof beam along elevator shaft wall.			
Reason for Change:	1. To eliminate an erosion and maintenance problem. 2. Required for high voltage connection from existing switch to the new building. 3. To eliminate interference with elevator equipment and to comply with Kentucky Building Code requirements.			
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 470.0	8	3/24/88	+ 1,700.00
Nature of Change:	Make six mullions at two (2) window openings in lobby area, and provide steel reinforcement in two mullions adjacent to grid line.			
Reason for Change:	To provide for an expansion joint at connection of aluminum storefront members with masonry walls.			
DENHAM-BLYTHE CO. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE LEARNING RESOURCE BUILDING Project No. 471.0	1	11/18/87	-0-
Nature of Change:	Extend construction completion date 14 days to allow compacted earth fill to settle for two additional weeks.			
Reason for Change:	Soils engineer observed that over burden had not completely settled, therefore placement of foundations had to be delayed.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
DENHAM-BLYTHE CO. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE LRC/TECHNICAL BUILDING Project No. 471.0	2	2/25/88	+ \$ 4,393.00
Nature of Change:	Change electrical panelboard from 16 circuit to 30 circuit; 60 amp feed to 100 amp feed. Change 64 circuit double tub to two 42 circuit panelboards. Provide new 150 amp circuit. Provide additional floor outlet; revise receptacles, communications and computer outlets.			
Reason for Change:	Changes required to accommodate library equipment.			
DENHAM-BLYTHE CO. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE LRC/TECHNICAL BUILDING Project No. 471.0	3	3/02/88	- 310.00
Nature of Change:	1. Delete Fritz tile base; install rubber base. 2. Add Fritz tile floor in the elevator.			
Reason for Change:	1. Fritz tile base is no longer manufactured. 2. To give coordination of floor and to improve quality of floor in the elevator.			
DENHAM-BLYTHE CO. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE LRC/TECHNICAL BUILDING Project No. 471.0	4	4/19/88	- 267.50
Nature of Change:	1. Combine rooms 116 and 117 into one room. 2. Revise Door 111A from wood to hollow metal. 3. Modify door frame 112C to accommodate rolling door detail. 4. Add "B" label to door 221A. 5. Revise rolling door finish from clear aluminum to bronze finish.			
Reason for Change:	1. Additional space needed for user equipment to be located in room (computer room). 2. To provide additional security. 3. Field modifications required to permit rolling door submitted to operate properly. 4. Change needed to meet building code requirements. 5. Finish of door revised to match finishes on mechanical grilles & louvers.			
DENHAM-BLYTHE CO. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE LRC/TECHNICAL BUILDING Project No. 471.0	5	5/20/88	+ 2,858.00
Nature of Change:	1. Provide one expansion tank. 2. Add 37 lay-in return air grills.			
Reason for Change:	1. The expansion tank is a retro-active code requirement. 2. The Mechanical designer assumed grills would be specified with the lighting fixtures. Lighting specification omitted grills.			
DENHAM-BLYTHE CO. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE LRC/TECHNICAL BUILDING Project No. 471.0	6	5/20/88	+ 7,450.00
Nature of Change:	Add 300' of 3" diameter conduit, encased in concrete, to connect new electrical transformer with existing system.			
Reason for Change:	Utility Company made the suggestion for connecting to existing system.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
DENHAM-BLYTHE CO. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE LRC/TECHNICAL BUILDING Project No. 471.0	7	5/27/88	+ \$ 2,702.00
Nature of Change:	Remove five existing trees.			
Reason for Change:	Field condition to coordinate with new landscape layout.			
R & W CONSTRUCTION CO. LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	1	11/06/87	+ 396,953.00
Nature of Change:	Supplemental bid package - assignment of subcontractor to general work contractor, R & W Construction per bid CCK-241-7.			
Reason for Change:	Assignments are made per the terms and conditions of CCK-239-7 for said work assignments.			
R & W CONSTRUCTION LOUISVILLE	AG. ENGINEERING BUILDING Project No. 475.0	2	6/13/88	+ 15,675.90
Nature of Change:	1. Install a temporary water line to serve the Greenhouse to the east of the project site. Cap existing lines. Upon completion of permanent service the temporary line shall be disconnected and the permanent line capped. 2. Modify route of new sanitary sewer line. 3. Provide geotechnical testing for all column footing foundation elements.			
Reason for Change:	1. Unusually shallow depth of existing water line crossing the construction site does not allow tractor to proceed with site development and building construction while maintaining water service to existing buildings. 2. Sewer line route change needed to miss existing trees which are to be saved. 3. Plans did not address requirement of test holes in footings. Therefore, testing was added.			
HAGERMAN PLUMBING & HEATING OWENSBORO	MADISONVILLE COMMUNITY COLLEGE ACADEMIC BLDG. COOLING TOWER REPLACEMENT Project No. 476.0	1	6/14/88	+ 980.00
Nature of Change:	Furnish and install 8" check valve, flanges, etc. in the supply line from the tower.			
Reason for Change:	Needed to control water low for the prevention of tower overflow.			
R & W CONSTRUCTION LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BLDG. RENOVATION Project No. 478.0	1	4/11/88	+ 133.98
Nature of Change:	Provide & install additional ceiling access panel to exhaust fans located above restroom area.			
Reason for Change:	Existing ceiling access panel allowed access to only one of the bathroom fans due to a support installed on the toilet partitions. An additional access panel is necessary for maintenance of other fan.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
R & W CONSTRUCTION LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BLDG. RENOVATION PROJECT NO. 478.0	2	4/29/88	- \$ 245.42
Nature of Change:	1. Delete new pipe chase. 2. Delete ceiling for first floor restroom. 3. Delete new water meter. 4. Add actuators to VAV boxes. 5. Install new faucet on Lounge sink.			
Reason for Change:	1. Existing conditions conflicted with location. Able to use an existing chase. 2. Contractor was able to perform work without removing ceiling. 3. Not required for new service. 4. Omitted from contract documents. 5. Omitted from contract documents.			
R & W CONSTRUCTION LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BLDG. RENOVATION Project No. 478.0	3	5/20/88	+ 5,188.40
Nature of Change:	Furnish and install architectural track for draperies and re-hang existing drapes.			
Reason for Change:	Architectural tracks were not included in contract and Community College System requested existing draperies be re-hung.			
R & W CONSTRUCTION LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BLDG. RENOVATION Project No. 478.0	4	6/2/88	+ 545.39
Nature of Change:	1. Lower paper towel dispenser/waste receptacles in men's and women's restrooms. 2. Provide and install four wall bumpers in Corridor 200A at swinging gate.			
Reason for Change:	1. Required by State Building Inspector. 2. To prevent damage to adjacent drywall partitions by swinging gates.			
C.C. LEE, INC. LEXINGTON	HOSPITAL RENOVATION 2ND FLOOR SURGERY OFFICES Project No. 495.0	1	7/27/87	+ 5,227.00
Nature of Change:	Build two-hour fire separation wall from top of existing concrete block partitions to underside of concrete deck.			
Reason for Change:	Fire Marshal requirement. (Condition was concealed before beginning construction.)			
C.C. LEE, INC. LEXINGTON	HOSPITAL RENOVATION, SECOND FLOOR SURGERY OFFICES Project No. 495.0	2	11/04/87	- 3,000.00
Nature of Change:	Assessment of liquidated damages.			
Reason for Change:	Contractor required 15 days more to complete project than the contract allowed.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
C.C. LEE, INC. LEXINGTON	HOSPITAL RENOVATION 2ND FLOOR SURGERY OFFICES Project No. 495.0	3	2/04/88	- \$ 355.04
Nature of Change:	1. Clean room C-101E and replacing ceiling tile. 2. Align ten locksets. 3. Provide lighter gage door frames. 4. Install outlet for copier. 5. Change lights from 1X4 to 2X4 and exchange lenses. 6. Repaint damaged door frames by carpet installers.			
Reason for Change:	1. Contractor for this project had leak on second floor causing water to travel between second and first floors and damaging ceiling tile on first floor. Med Ctr. PPD was summoned to make repairs to ceiling tile. Contractor for project was back charged for these damages. 2. Contractor had been advised that the hospital had to occupy this space and had been told to correct locksets and he failed to do so. Med Ctr. PPD was requested to make corrections. Contractor was back charged for these damages. 3. Contractor could not obtain specified door frames without delaying project, therefore change to lighter gage frames was approved. 4. Architect was unaware at time of design type of copier the University was going to purchase. 5. New ceiling grid system would not permit use of old light fixtures. 6. UK carpet installers damaged door frames during carpet installation.			
GRIGGS ENTERPRISES LEXINGTON	MCVEY HALL HANDICAP ACCESS Project No. 502.0	1	1/07/88	+ 17,728.50
Nature of Change:	Construct masonry elevator shaft in lieu of drywall and metal stud; provide electrical changes.			
Reason for Change:	Electrical and masonry work required due to unforeseen conditions encountered during demolition which was not included in the contract.			
GRIGGS ENTERPRISES, INC. LEXINGTON	MCVEY HANDICAP ACCESS Project No. 502.0	2	6/16/88	+ 483.00
Nature of Change:	Provide fissued mineral board exposed grid ceiling in Vestibule 340, enclosing ceiling work already existing. Include one recessed light fixture.			
Reason for Change:	Original intent was to leave ceiling exposed. New work in the area required that a ceiling and light be installed for a finished appearance.			
DEERINGER & SON, INC. LEXINGTON	CENTRAL FACILITIES ROOF REPLACEMENT Project No. 505.0	1	5/18/88	- 475.00
Nature of Change:	Credit for 2" x 4" and 2" x 6" blocking.			
Reason for Change:	100 l.f. of each type of blocking did not need replaced.			
R & R ELECTRIC LEXINGTON	CLIFTON/COLUMBIA LIGHTING Project No. 506.0	1	2/09/88	+ 280.00
Nature of Change:	Change 30 amp three pole disconnect, 30 amp contactor and associated wiring to 60 amp, two pole disconnect, two pole contactor and associated wiring.			
Reason for Change:	Changed design to accommodate available electrical power from Kentucky Utilities.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
CHUCK LINDSTROM & ASSOC. LINCOLN, IL	SHIVELY BASEBALL FIELD LIGHTING Project No. 508.0	1	11/06/87	+ \$ 2,124.99
Nature of Change:	1. Furnish and install eight additional light fixtures. 2. Add/replace three damaged supports and associated hardware.			
Reason for Change:	1. Contractor incorrectly placed several lighting towers resulting in uneven light distribution on playing field. Additional light fixtures corrected this condition. 2. The University was involved in assembly of towers and we found three damaged pieces. We checked freight lines when they were unloaded and noticed no damage and knew of no other group to attribute damage to.			
HOOT CONSTRUCTION PARIS	TENNIS FACILITY DOOR INSTALLATION Project No. 510.0	1	3/23/88	+ 975.00
Nature of Change:	Replace hollow metal door, straighten frame, and change existing hardware, paint to match existing.			
Reason for Change:	A new door was damaged and had to be replaced.			
MIRACLE RECREATION EQUIPMENT COMPANY LOUISVILLE	TENNIS BUILDING - BLEACHER INSTALLATION Project No. 510.0	1	4/13/88	+ 400.00
Nature of Change:	Furnish and install kickplate at bottom of first step of bleachers.			
Reason for Change:	To avoid loose tennis balls from rolling under the bleachers.			
HOOT CONSTRUCTION PARIS	TENNIS BUILDING - DOOR INSTALLATION Project No. 510.0	2	4/29/88	+ 525.00
Nature of Change:	1. Install vinyl covered foam safety pad at corner of door assembly. 2. Modify steel handrail at side door.			
Reason for Change:	1. To provide added safety for sport participants. 2. To provide added safety for those using adjacent basketball courts.			
BLUEGRASS PAVING LEXINGTON	COLLEGE VIEW PARKING LOT Project No. 512.0	1	2/08/88	+ 7,399.82
Nature of Change:	1. Additional asphalt required. 2. Add header curb along College View Drive. 3. Remove and replace sidewalk along College View Drive. 4. Add asphalt curb around Coliseum entrance and other locations. 5. Add bituminous surface for leveling and wedging Coliseum lot entrance. 6. Delete sod and use seed instead.			
Reason for Change:	1. Needed to smooth out a localized difference in elevation. 2. Discrepancy in amount designed and amount needed. 3. Hazardous sidewalk replaced. 4. Curb was used to protect light pole and to keep cars off grass areas. 5. Existing entrance too steep to match new lot. 6. Time of year more conducive to seed than sod.			
COOPER & COOPER PAINTING LEXINGTON	COMMONWEALTH STADIUM/STRUCTURAL STEEL LIGHT POLES PAINTING Project No. 529.0	1	10/16/87	- 8,000.00
Nature of Change:	Deduct cost of repair damage caused by contractor on this project to Commonwealth Stadium Waterproofing project #175.1.			
Reason for Change:	It was mutually agreed between Cooper & Cooper and Kelly Company to transfer funds required to repair damage from this project to Commonwealth Stadium Waterproofing project.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
WHEELER GENERAL CONTRACTOR LEXINGTON	COOPERSTOWN ROOF BUILDING F Project No. 535.0	1	2/29/88	-0-
Nature of Change: Extend completion date by twenty-two (22) calendar days.				
Reason for Change: Delays caused by inclement weather.				
WHEELER GENERAL CONTRACTOR LEXINGTON	COOPERSTOWN ROOF BUILDING "F" Project No. 535.0	2	5/16/88	+ \$ 667.88
Nature of Change: Extend storm drain line to point at low roof to connect to future downspout.				
Reason for Change: Provide for future roof drainage connection.				
WHEELER GENERAL CONTRACTOR LEXINGTON	COOPERSTOWN ROOF BUILDING "F" Project No. 535.0	3	5/16/88	-0-
Nature of Change: Increase completion time by fourteen calendar days.				
Reason for Change: Adverse weather.				
LOUISVILLE PAVING CO. LOUISVILLE	TENNIS BUILDING COURT RESURFACING Project No. 540.0	1	6/29/88	- 368.00
Nature of Change: Deduction for replacement of hollow metal door, straightening frame, change over existing hardware, replace sweep & paint to match existing.				
Reason for Change: This work was completed by Hoot Construction under Project 510.0. Work must be deducted from Louisville Paving.				
JERRY GROT ELECTRIC LEXINGTON	SOUTH CAMPUS FIRE ALARMS Project No. 842.0	2	6/22/88	+ 66.00
Nature of Change: Replace fire alarm manual station near first floor entrance to Funkhouser Building.				
Reason for Change: Drawings indicated removal only. Unit had to be replaced.				
HEPBURN ELECTRIC CO. LEXINGTON	MEDICAL SCIENCE WING SMOKE DETECTION Project No. 853.0	3	2/09/88	+ 5,601.46
Nature of Change: 1. Remove one existing pull station, one existing 120 volt horn, six heat detectors and related miscellaneous conduit & wire. 2. Reconnect flow switch to new fire alarm system. 3. Move speaker in M100 and install heat detector in M118. 4. Remove 12 existing smoke detectors & replace with heat detectors. 5. Remove heat detectors. 6. Lower smoke detectors to new ceiling.				
Reason for Change: 1. Additional alarm equipment on ground floor. 2. Required to connect flow switches found during construction that were not shown on existing plans. 3. Relocation due to renovation. 4. Replacing smoke detectors with heat detectors in rooms with high temperature or humidity conditions. 5. These were labs when project started. Due to delays they are changed to offices. Offices require smoke detectors rather than heat detectors. 6. Relocated due to renovation.				

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
HEPBURN ELECTRIC CO. LEXINGTON	DENTAL SCIENCE WING SMOKE DETECTION Project No. 854.0	2	2/09/88	+ \$ 344.20
Nature of Change: Rewire six existing smoke detectors to operate on new simplex system.				
Reason for Change: Detectors had to be rewired to make the system operate properly.				
THE WAGNER COMPANY LEXINGTON	McVey Hall Fire Safety Project No. 867.0	1	1/06/88	+ 50.00
Nature of Change: Provide one additional dump of dumpster.				
Reason for Change: Because PPD filled the dumpster.				
THE WAGNER COMPANY LEXINGTON	MCVEY HALL FIRE SAFETY Project No. 867.0	2	3/07/88	+ 395.60
Nature of Change: Relocation of sprinkler branch lines.				
Reason for Change: Sprinklers could not be installed as shown on documents because of revisions made to third floor plan by PPD.				
THE WAGNER CO. LEXINGTON	MCVEY HALL FIRE SAFETY Project No. 867.0	3	6/13/88	- 135.00
Nature of Change: Delete installation of hollow metal frame, door and hardware for door 312. Turn material over to the University.				
Reason for Change: University revised plans for work in the area. New door not required.				
THE WAGNER CO. LEXINGTON	MCVEY HALL FIRE SAFETY Project No. 867.0	4	6/13/88	+ 362.25
Nature of Change: Furnish & install ceiling tile and grid on third floor.				
Reason for Change: Acoustical ceiling for small corridor area was not included in specifications.				
HIBBITTS CONSTRUCTION CO. LONDON	KAPPA SIGMA FIRE SAFETY Project No. 872.0	1	9/10/87	+ 117.36
Nature of Change: Remove asbestos pipe insulation by glovebag method. Seal exposed ends of pipe insulation at wall openings. Tape type covering.				
Reason for Change: To enable the fire safety project contractor to tap into the cold water line for new sprinkler system and finish installing fire rated drywall.				
HIBBITTS CONSTRUCTION CO. LONDON	PHI SIGMA KAPPA FIRE SAFETY Project No. 873.0	1	9/10/87	+ 149.31
Nature of Change: Remove asbestos pipe insulation by glovebag method. Seal exposed ends of pipe insulation at wall openings. Tape type covering.				
Reason for Change: To enable the fire safety project contractor to tap into the cold water line for new sprinkler system and finish installing fire rated drywall.				
HIBBITTS CONSTRUCTION CO. LONDON	LAMBDA CHI ALPHA FIRE SAFETY Project No. 874.0	1	9/10/87	+ 157.92
Nature of Change: Remove asbestos pipe insulation by glovebag method. Seal exposed ends of pipe insulation at wall openings. Tape type covering.				
Reason for Change: To enable the fire safety project contractor to tap into the cold water line for new sprinkler system and finish installing fire rated drywall.				

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
C. C. LEE, INC. LEXINGTON	EXPANSION OF DESIGN & CONSTRUCTION Project No. 907.0	2	3/03/88	+ \$ 935.00

Nature of Change: 1. Change light weight concrete block to 3 hour rated concrete block.
2. Overcharge of liquidated damages.

Reason for Change: 1. Required to meet Kentucky Building Code.
2. Originally assessed 87 days - adjusted to 73 days.

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS.

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
DEERINGER & SON LEXINGTON	KIRWAN #1 & KIRWAN #2 ROOF REPAIRS Project No. 447.0	\$ 102,363.00	7/15/87
CENTRAL KENTUCKY GLASS CO. CAMPBELLSVILLE	LEXINGTON COMMUNITY COLLEGE WINDOW REPLACEMENT Project No. 323.0	67,886.00	8/01/87
COOPER & COOPER PAINTING LEXINGTON	LEXINGTON COMMUNITY COLLEGE TIERED CLASSROOM RENOVATION Project No. 418.0	9,610.56	9/09/87
COOPER & COOPER PAINTING LEXINGTON	STUDENT CENTER INTERIOR RENOVATION Project No. 524.0	3,500.00	9/21/87
CHESTER O'DONLEY PADUCAH	HOPKINSVILLE COMMUNITY COLLEGE ADMIN. BLDG. AIR COND. REPLACEMENT Project No. 460.0	76,842.00	9/28/87
PERRY CONSTRUCTION CO., INC. LEXINGTON	ENGINEERING CHEMICAL/GAS STORAGE BUILDING Project No. 467.0	39,529.00	9/30/87
AMERICAN INSULATED STRUCTURES, INC. CINCINNATI, OH	ASBESTOS - MCVEY HALL Project No. 483.0	57,015.89	10/01/87
RELOO ELECTRIC CORPORATION LOUISVILLE	JEFFERSON COMMUNITY COLLEGE HARTFORD TOWER SPRINKLERS (BASEMENT) Project No. 275.0	7,780.00	10/21/87
CAREY CONSTRUCTION LEXINGTON	BUS ROUTE IMPROVEMENTS Project No. 452.0	22,150.00	10/29/87
WEBER & WEBER GENERAL CONTRACTORS LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY FIRE SAFETY PLAN PHASE I Project No. 277.0	146,259.00	11/03/87
JOE M. HAWKINS & COMPANY LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.1	34,594.40	11/30/87
C.C. LEE, INC. LEXINGTON	DESIGN & CONSTRUCTION RENOVATION PHASE II Project No. 907.0	43,524.00	11/30/87
CAREY CONSTRUCTION COMPANY LEXINGTON	V.A. HOSPITAL CONNECTOR ROAD Project No. 324.0	150,678.82	12/07/87
FLOOR PRO, INC. LOUISVILLE	SOUTHEAST COMMUNITY COLLEGE FALKENSTINE ROOF REPAIR Project No. 359.0	44,111.00	12/08/87

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
*WESTFIELD INSURANCE COMPANY WESTFIELD CENTER, OH	DAWSON SPRINGS 4-H SWIMMING POOL REPLACEMENT Project No. 344.0	\$ 173,400.00	1/5/88
TRI-STATE ROOFING & SHEET METAL CO. LEXINGTON	RESEARCH #3 ROOF REPAIRS Project No. 459.0	111,300.00	2/2/88
MCNEESE CONSTRUCTION COMPANY LEXINGTON	ANIMAL CARE FACILITY MS318 Project No. 259.2	31,299.00	2/17/88
LUTHER STONE CONSTRUCTION CO., INC. HENDERSON	HENDERSON COMMUNITY COLLEGE DOORS & PANIC HARDWARE Project No. 293.0	20,299.00	2/29/88
C.C. LEE, INC. LEXINGTON	HOSPITAL RENOVATION PHASE I SECOND FLOOR CLINIC FOR SURGERY Project No. 495.0	178,298.96	3/1/88
CINCINNATI FLXTURES, INC. CINCINNATI, OH	STUDENT CENTER CAFETERIA RENOVATION Project No. 238.0	134,411.46	3/15/88
C.C. LEE, INC. LEXINGTON	DESIGN AND CONSTRUCTION RENOVATION Project No. 907.0	48,109.00	3/15/88
ABELL ELEVATOR LOUISVILLE	HOSPITAL EXPANSION PARKING STRUCTURE Project No. 304.3	99,700.00	3/22/88
ENGLE CONSTRUCTION CO. LEXINGTON	HAGGIN HALL SHOWER REPAIR Project No. 441.0	96,662.20	3/25/88
BLUEGRASS PAVING & EXCAVATION CORP. LEXINGTON	COLLEGE VIEW PARKING LOT Project No. 512.0	104,140.00	3/27/88
LIGHTFIELD-MASSARO LOUISVILLE	PARKING STRUCTURE NO. 3 Project No. 109.0	1,743,007.61	4/6/88
MARRS ELECTRICAL CO., INC. LOUISVILLE	HOSPITAL EXPANSION MARKEY 4TH FLOOR RENOVATION Project No. 304.4	232,678.39	4/12/88
HUSSUNG MECHANICAL CONTRACTOR LOUISVILLE	HOSPITAL EXPANSION MARKEY 4TH FLOOR RENOVATION Project No. 304.4	740,966.00	4/12/88
STRUCK CONSTRUCTION CO. LOUISVILLE	HOSPITAL EXPANSION MARKEY 4TH FLOOR RENOVATION Project No. 304.4	735,527.73	4/12/88
SOOTY'S CONTRACTING, INC. ELIZABETHTOWN	ELIZABETHTOWN COMMUNITY COLLEGE PARKING LOT PAVING & LIGHTING Project No. 122.0	165,246.00	4/13/88
B & B ELECTRIC, INC. LEXINGTON	LEXINGTON CAMPUS EXTERIOR LIGHTING Project No. 355.0	13,432.73	4/14/88
TANNER ELECTRICAL CONTRACTOR CYNTHIANA	FRESTONSBURG COMMUNITY COLLEGE EMERGENCY GENERATOR Project No. 456.0	15,492.80	4/25/88
R & R ELECTRIC COMPANY STANTON	CLIFTON/COLUMBIA AVENUES LIGHTING Project No. 506.0	15,980.00	5/12/88
KIRK & BLUM MANUFACTURING CO. LEXINGTON	MEDICAL CENTER UPGRADE FUME HOODS PHASE II Project No. 200.0	169,565.0	5/16/88
JOE M. HAWKINS & CO., INC. LEXINGTON	HOSPITAL RENOVATION SECOND FLOOR PATIENT CARE Project No. 349.0	166,796.50	5/16/88
FRANTZ, INC. LEXINGTON	HOSPITAL EXPANSION PARKING STRUCTURE Project No. 304.3	72,673.00	5/17/88

*Bonding company for Midwestern, Inc. who defaulted on contract.

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
HOOT CONSTRUCTION COMPANY PARIS	TENNIS FACILITY DOOR INSTALLATION Project No. 510.0	\$ 10,216.00	5/23/88
WHEELER GENERAL CONTRACTOR LEXINGTON	COOPERSTOWN ROOF REPLACEMENT BUILDING F Project No. 535.0	99,566.88	5/23/88
ENGLE CONSTRUCTION COMPANY LEXINGTON	COOPERSTOWN ROOF REPLACEMENT BUILDINGS B & G Project No. 445.0	151,467.16	5/26/88
MINTER ROOFING CO., INC. BENTON	PADUCAH COMMUNITY COLLEGE L. R. C. BUILDING ROOF REPLACEMENT Project No. 176.0	74,789.00	5/26/88
WILLIAM H. KELLY COMPANY LEXINGTON	COMMONWEALTH STADIUM CONCRETE WATERPROOFING Project No. 175.1	449,000.00	5/31/88
PIERCE, WILLIAMS & REED, INC. HOPKINSVILLE	MULTI-PURPOSE BUILDING DAWSON SPRINGS 4-H CAMP Project No. 404.0	117,286.60	6/7/88
FINNEY COMPANY, INC. LEXINGTON	MINING & MINERALS CHILLED WATER LINES Project No. 115.4	107,248.00	6/15/88
BUFCO CORPORATION EVANSVILLE, IN	HENDERSON COMMUNITY COLLEGE ADMINISTRATION BLDG. SMOKE DETECTION Project No. 285.0	11,750.00	6/16/88
SPARKS-TERRELL LUMBER CO. LEXINGTON	HOSPITAL EXPANSION PHARMACOLOGY 3RD & 6TH FLOOR RENOVATION Project No. 304.2	283,629.64	6/21/88
POWERS ELEVATOR COMPANY LEXINGTON	PATTERSON OFFICE TOWER FIRE SAFETY IMPROVEMENTS Project No.	41,871.00	6/22/88
PACK'S, INC. MOREHEAD	MEDICAL CENTER WALKWAY ROOF REPLACEMENT Project No. 326.0	24,197.81	6/23/88
GILPIN MASONRY CONSTRUCTION, INC. LEXINGTON	GAINES CENTER LANDSCAPING Project No. 361.0	69,118.49	6/23/88
BROCK EXCAVATING, INC. CORBIN	SOUTHEAST COMMUNITY COLLEGE PARKING LOT Project No. 379.0	119,444.00	6/26/88
MARRS ELECTRICAL CO., INC. LOUISVILLE	HOSPITAL EXPANSION PARKING STRUCTURE Project No. 304.3	257,801.71	6/30/88

Office of the President
September 20, 1988

FCR 2

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of surplus and obsolete equipment as described in Exhibit I.

Background: KRS 164A.575 requires that the governing board sell or otherwise dispose of all personal property which is not needed by the institution. The Board will be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: September 20, 1988

Exhibit I

UNIVERSITY OF KENTUCKY

SALE OF RESEARCH EQUIPMENT

INSTITUTION: Washington University

TYPE OF DISPOSAL: Sale at a Fixed Price of \$10,000.00

REASON: This equipment was listed as trade-in on the purchase of new equipment; however, the vendor chose not to take possession. The equipment is highly specialized and would bring very little at surplus auction.

ITEMS: FACS Analyzer System
Property #418827 & #418828
Serial #2006A

LOAN OF RESEARCH EQUIPMENT

INSTITUTION: Western Kentucky University

TYPE OF DISPOSAL: Loan

REASON: This piece of research equipment is surplus to the needs of the University. Dr. Thomas Coohill, Head of the Physics Department at Western Kentucky University, has a distinct need and use for the piece of equipment. The equipment is highly specialized and would bring very little at surplus auction.

ITEMS: Dye Laser System
Property #B030278
Serial #057090

Office of the President
September 20, 1988

FCR 3

Members, Board of Trustees:

ACCEPTANCE OF AUDIT REPORTS
FOR THE UNIVERSITY OF KENTUCKY FOR 1987-88

Recommendation: that the Board of Trustees accept the University of Kentucky financial statements for the year ended June 30, 1988, consisting of the Consolidated Balance Sheet, Consolidated Statement of Changes in Fund Balances, Consolidated Statement of Current Funds Revenues, Expenditures and Other Changes, Summary of Significant Accounting Policies, Notes to the Financial Statements, and a Cash Reconciliation of amounts on deposit with the Kentucky State Treasurer.

Background: The Finance Committee of the University of Kentucky has reviewed the consolidated financial statements for the year ended June 30, 1988, which have been audited by Coopers and Lybrand, Certified Public Accountants. The consolidated financial statements are presented on a comparative basis for fiscal years 1986-87 and 1987-88, and include the financial operations of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Humanities Research Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., University of Kentucky Center on Aging Foundation, Inc., and Health Care Collection Service, Inc.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: September 20, 1988

To the Board of Trustees of the
University of Kentucky

and

the Secretary of Finance and
Administration Cabinet of the
Commonwealth of Kentucky

We have audited the accompanying consolidated balance sheet of the University of Kentucky (an agency of the Commonwealth of Kentucky) and affiliated corporations as of June 30, 1988, and the related consolidated statements of changes in fund balances and current funds revenues, expenditures, and other changes for the year then ended. We previously audited and reported upon the consolidated financial statements of the University for the year ended June 30, 1987, which condensed statements are presented for comparative purposes only. These financial statements are the responsibility of the University's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the consolidated financial position of the University of Kentucky and affiliated corporations as of June 30, 1988, and the consolidated changes in fund balances and current funds revenues, expenditures, and other changes for the year then ended in conformity with generally accepted accounting principles.

Coopers & Lybrand

Lexington, Kentucky
August 26, 1988

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
JUNE 30, 1988
with comparative totals at June 30, 1987
(in thousands)

	1988					1987
	Current Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS						
Cash and cash equivalents	\$ 93,022	\$ 2,538	\$ 316	\$ 89,338	\$ 185,214	\$ 213,020
Notes, loans, and accounts receivable (less bad debt allowances of \$5,009 in 1988 and \$5,261 in 1987)	41,515	13,790		893	56,198	45,805
Investments	41,454		53,686	65,390	160,530	160,679
Property, plant, and equipment				706,542	706,542	510,574
Inventories and other	8,750				8,750	8,674
Total Assets	\$ 184,741	\$ 16,328	\$ 54,002	\$ 862,163	\$1,117,234	\$1,038,852
LIABILITIES AND FUND BALANCES						
Liabilities						
Accounts payable	\$ 12,308		\$ 4	\$ 3,876	\$ 16,188	\$ 11,441
Accrued expense	14,701			3,675	18,376	17,191
Employee withholdings and deposits	9,532				9,532	9,625
Other liabilities	980				980	692
Liability for self insurance	9,096				9,096	7,220
Deferred income	7,587				7,587	6,779
Bonds payable				253,154	253,154	259,410
Capitalized lease obligation				30,197	30,197	34,267
Total Liabilities	54,204		4	290,902	345,110	346,625
Interfund Balances	6,517			(6,517)		
Fund Balances						
Current-Unrestricted						
Working capital	40,913				40,913	32,510
Future operations	34,238				34,238	26,991
Funded hospital depreciation	13,000				13,000	13,000
Other	6,393				6,393	8,384
Current-Restricted	29,476				29,476	22,233
Loan						
Unrestricted		\$ 95			95	94
Restricted		3,490			3,490	3,538
U.S. Government		12,743			12,743	12,402
Endowment						
True			28,864		28,864	22,814
Term			710		710	525
Quasi			24,424		24,424	23,153
Plant						
Unrestricted allocated for designated projects				44,002	44,002	48,591
Restricted				35,574	35,574	34,958
Net investment in plant				498,202	498,202	443,034
Total Fund Balances	124,020	16,328	53,998	577,778	772,124	692,227
Total Liabilities and Fund Balances	\$ 184,741	\$ 16,328	\$ 54,002	\$ 862,163	\$1,117,234	\$1,038,852

The accompanying summary of significant accounting policies and notes are an integral part of the consolidated financial statements.

CONSOLIDATED STATEMENT OF CHANGES IN FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE YEAR ENDED JUNE 30, 1988
(in thousands)

	1988				
	Current Funds	Loan Funds	Endowment Funds	Plant Funds	Totals
REVENUES AND OTHER ADDITIONS					
Unrestricted current fund revenues	\$ 470,440				\$ 470,440
Gifts	17,789	\$ 43	\$ 6,210	\$ 12,293	36,335
Endowment and investment income	3,980	524	892	11,592	16,988
Endowment gains and losses			152		152
Grants and contracts	55,022	462		1,482	56,966
Plant facilities transferred to investment in plant (including \$18,805 charged to current expenditures)				38,494	38,494
Retirement of indebtedness				10,027	10,027
Other sources				17	17
Total Revenues	547,231	1,029	7,254	73,905	629,419
EXPENDITURES AND OTHER DEDUCTIONS					
Unrestricted current fund expenditures	419,931				419,931
Restricted current fund expenditures	67,091				67,091
Plant facilities transferred to investment in plant				19,468	19,468
Non-capitalized expenditures				7,397	7,397
Retirement of indebtedness				9,757	9,757
Interest on indebtedness				17,956	17,956
Disposal of plant facilities				7,067	7,067
Other deductions		770		85	855
Total Expenditures	487,022	770		61,730	549,522
TRANSFERS AMONG FUNDS					
Mandatory					
Debt service					
Educational and general	(18,716)			18,716	
Hospital and clinics	(1,602)			1,602	
Auxiliary enterprises	(2,168)			2,168	
Matching grant	23	(23)			
Restricted	(21)	21			
Retirement of indebtedness	42			(42)	
Total Mandatory Transfers	(22,442)	(2)		22,444	
Net Increase Before Non-Mandatory Transfers	37,767	257	7,254	34,619	79,897
Non-Mandatory					
To loans		37	(37)		
To plant					
Educational and general	(10,830)			10,830	
Hospital	(3,116)			3,116	
Auxiliary enterprises	(2,630)			2,630	
To endowment	(289)		289		
Total Non-Mandatory Transfers	(16,865)	37	252	16,576	
Net increase for the year	20,902	294	7,506	51,195	79,897
Fund Balances, June 30, 1987	103,118	16,034	46,492	526,583	692,227
Fund Balances, June 30, 1988	\$ 124,020	\$ 16,328	\$ 53,998	\$ 577,778	\$ 772,124

The accompanying summary of significant accounting policies and notes are an integral part of the consolidated financial statements.

CONSOLIDATED STATEMENT OF CURRENT FUNDS
REVENUES, EXPENDITURES AND OTHER CHANGES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE YEAR ENDED JUNE 30, 1988
with comparative totals for June 30, 1987
(in thousands)

	1988			1987
	Unrestricted	Restricted	Total	Total
REVENUES				
Educational and general				
Student tuition and fees	\$ 54,970		\$ 54,970	\$ 48,342
Government appropriations				
Federal	13,362		13,362	13,876
State	223,970		223,970	199,348
County	3,493		3,493	3,575
Government grants and contracts				
Federal	222	\$ 37,487	37,709	34,884
State	169	14,480	14,649	14,223
Private gifts and grants	777	12,979	13,756	10,799
Indirect cost reimbursement	7,142		7,142	6,800
Endowment income	669	2,652	3,321	3,710
Investment income	9,252	554	9,806	9,534
Sales and services	14,818		14,818	13,221
Other sources	308	155	463	531
Total educational and general	329,152	68,307	397,459	358,843
Hospital and clinics	102,173		102,173	90,289
Auxiliary enterprises	39,115	35	39,150	37,126
Total Revenues	470,440	68,342	538,782	486,258
EXPENDITURES				
Educational and general				
Instruction	131,863	8,130	139,993	131,476
Research	29,107	32,846	61,953	50,292
Public service	38,249	7,680	45,929	44,989
Libraries	9,590	54	9,644	10,262
Academic support	22,776	1,917	24,693	23,504
Student services	13,695	290	13,985	12,550
Institutional support	20,761	453	21,214	19,620
Operation and maintenance	25,719	60	25,779	26,595
Student aid	6,461	14,887	21,348	18,676
Other expenses	105	26	131	171
Total educational and general	298,326	66,343	364,669	338,335
Hospital and clinics	88,577		88,577	72,320
Auxiliary enterprises	33,028	748	33,776	30,415
Total Expenditures	419,931	67,091	487,022	441,070
MANDATORY TRANSFERS				
Debt service				
Educational and general	17,268	1,448	18,716	13,397
Hospital and clinics	1,602		1,602	1,385
Auxiliary enterprises	2,168		2,168	2,013
Other	153	(197)	(44)	24
Total Expenditures and Mandatory Transfers	441,122	68,342	509,464	457,889
NON-MANDATORY TRANSFERS				
General fund	(2)	2		
To plant fund				
Educational and general	9,855	975	10,830	8,670
Hospital and clinics	3,118		3,116	4,945
Auxiliary enterprises	2,630		2,630	3,534
To endowment fund - educational and general	60	229	289	2,432
Excess restricted receipts over transfer to revenues		(8,449)	(8,449)	(2,494)
Total Expenditures and Transfers	456,781	61,099	517,880	474,976
Net Increase in Fund Balances	\$ 13,659	\$ 7,243	\$ 20,902	\$ 11,282

The accompanying summary of significant accounting policies and notes are an integral part of the consolidated financial statements.

UNIVERSITY OF KENTUCKY

SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Scope of Statements

The consolidated financial statements of the University of Kentucky include the operations of the University and its affiliated corporations as follows: The University of Kentucky Research Foundation; The Fund for Advancement of Education and Research in the University of Kentucky Medical Center; University of Kentucky Athletic Association; Health Care Collection Service, Inc.; University of Kentucky Mining Engineering Foundation, Inc.; University of Kentucky Business Partnership Foundation, Inc.; University of Kentucky Equine Research Foundation, Inc.; University of Kentucky Humanities Foundation, Inc.; and Center on Aging Foundation, Inc.

Accrual Basis

The financial statements of the University have been prepared on the accrual basis of accounting, except that costs of an unfunded pension plan liability are expensed when paid to retirees (see Note 7).

Current Funds

The statement of current funds revenues, expenditures, and other changes is a summary of financial activities of current funds related to the current reporting period.

To the extent that current funds are used to finance plant asset acquisitions, the amounts are accounted for as (1) expenditures, in the case of normal replacement of movable equipment and library books; (2) mandatory transfers, in the case of required provisions for debt amortization and interest; and (3) transfers of a nonmandatory nature for all other cases.

The Board allocates unrestricted current fund balances for specific purposes. These purposes include (a) the working capital needs of the University (including the hospital, the housing and dining system, and other auxiliary enterprises); (b) certain unrestricted income from private sources; (c) budget appropriations for future operating purposes; and (d) funded depreciation for the hospital.

Fund Accounting

The University maintains its accounts and reports its financial transactions in accordance with the principles of fund accounting. This is the procedure by which resources for various purposes are classified for accounting and reporting purposes into funds that are in accordance with activities or objectives specified and funds that have similar characteristics are combined for reporting purposes into fund groups.

Within each fund group, fund balances restricted by outside sources are so indicated and are distinguished from unrestricted funds allocated to specific purposes by action of the Board of Trustees. The Board retains full control over allocated fund balances to use in achieving its institutional purposes.

Restricted gifts, grants, appropriations, endowment income, and other restricted resources are accounted for in the appropriate restricted funds. Restricted current funds are reported as revenues and expenditures when expended for current operating purposes.

Endowment funds are subject to the restrictions of gift instruments requiring in perpetuity that the principal be invested permanently and only the income be utilized by the donee. Term endowment funds are similar to endowment funds except that upon the passage of a stated period of time or the occurrence of a particular event, all or part of the principal may be expended as well. Quasi-endowment funds are established by the governing board for the same general purposes as endowment funds, and any portion may be expended.

Ordinary income derived from investments, notes receivable, and the like, is accounted for in the fund owning the assets, except that income derived from investments of endowments and similar funds and the majority of current restricted funds is accounted for in the fund to which the income is designated.

All unrestricted revenue is accounted for in the unrestricted fund.

Investments

Investments are stated at cost or, in the case of gifts, at market value at the date of donation.

Inventories

Inventories are stated principally at the lower of average cost or market.

Liability for Self-Insurance

The University self-insures certain employee benefits to the extent not covered by insurance carriers. Current fund expenditures include claims paid by the University and an estimated liability for asserted claims at the end of the year.

The University and its agents are insured against medical malpractice by a combination of self-insurance, and an excess liability fund established by the Commonwealth of Kentucky. As of June 30, 1988, the University has a malpractice liability reserve of \$7,029,762. To the extent judgments might exceed the reserve and excess liability insurance coverages, the Commonwealth of Kentucky has made provision for such excesses.

The University has established a 501(c)(9) trust with the Commerce National Bank, Lexington, as trustee, to administer a self-funded long-term disability income program. The University remits premiums to the Trustee for purposes of paying claims and establishing necessary reserves.

Land, Buildings, and Equipment-Depreciation

Land, land improvements, buildings and equipment are stated at cost at date of acquisition or, in the case of gifts, at fair market value at date of gift except for the following: (1) The University has title to certain tracts of land which were either donated or purchased at a nominal price with no book value assigned to these properties; and (2) buildings acquired prior to June 30, 1958, were recorded at appraised value of \$33,000,000 at that date. In conformity with college and university accounting practices, no provision is made for depreciation of buildings and equipment.

Other

Other significant accounting policies are set forth in the financial statements and notes thereto.

NOTES TO CONSOLIDATED FINANCIAL STATEMENTS

1. Investments

A comparison of the carrying value and approximate market value of the University's investments is shown below:

	<u>Carrying Value</u>	<u>Approximate Market Value</u>
June 30, 1988		
Type of investment		
United States Government	\$ 98,630,395	\$ 98,957,251
State and municipal bonds	217,447	217,447
Corporate bonds	16,717,127	16,422,321
Certificates of deposit	30,038,085	30,038,085
Common stocks	6,044,332	7,258,670
Real property and mineral rights	14,699	14,699
Money market	8,861,806	8,861,806
Other	5,549	5,549
	-----	-----
	\$160,529,440	\$161,775,828
	=====	=====
Fund Group-		
Current unrestricted	\$ 9,328,841	\$ 9,335,165
Current restricted	32,124,642	32,108,062
Endowment	53,686,421	55,024,257
Plant	65,389,536	65,308,344
	-----	-----
	\$160,529,440	\$161,775,828
	=====	=====

Investments of \$40,569,331 are held by the University or its agents in the University's name and \$119,960,109 of investments are held by the University trustees.

The University has cash or cash equivalents deposited in local bank accounts of which \$514,075 are insured by FDIC and \$54,422,780 are in U. S. Government/Agency repurchase agreements.

2. Investment in Plant

The major components of investment in plant as of June 30, 1988 are as follows:

Land and improvements	\$ 33,955,495
Buildings and structures	405,661,023
Equipment and library books	220,300,443
Construction in progress	46,624,819

	\$706,541,780
	=====

3. Bonds Payable

Bonds payable at June 30, 1988, consisted of the following:

	<u>Outstanding</u>	<u>Current Maturities 1988-89</u>
Lexington Campus:		
Consolidated Educational Buildings Revenue Bonds, Series B-J, and refunding issues G, H, I, issued 1960 to 1987 maturities to 2007, interest rates 3.0% to 8.45%	\$139,520,000	\$4,480,000
Consolidated Housing and Dining System Revenue Bonds, Series A-L, issued 1965 to 1986, maturities to 2018, interest rates 2.75% to 7.0%	30,710,000	1,267,000
Other, issued 1955 to 1968, maturities to 2004, interest rates 3.0% to 5.4%	449,000	49,000
Hospital Revenue Bonds, Series A, issued 1986, maturities to 2006, interest rates 6.2% to 8.0%	21,000,000	-0-
Community Colleges:		
Consolidated Educational Buildings Revenue Bonds, Series A-F, and refunding issue D, issued 1969 to 1987, maturities to 2009, interest rates 3.0% to 7.5%	61,475,000	1,570,000
Total	\$253,154,000 =====	\$7,366,000
Interest		
Fully funded issues		3,391,607
Other issues		12,881,488
Total debt service for 1988-89		\$23,639,095 =====

The aggregate amounts of maturities for the next five years are as follows:

	<u>Year ended June 30</u>				
	<u>1989</u>	<u>1990</u>	<u>1991</u>	<u>1992</u>	<u>1993</u>
Fully funded issues	\$ 217,000	\$ 231,000	\$ 244,000	\$ 263,000	\$ 281,000
Other issues	7,149,000	7,591,000	8,672,000	9,208,000	9,796,000
Total	\$7,366,000	\$7,822,000	\$8,916,000	\$9,471,000	\$10,077,000
	=====	=====	=====	=====	=====

Certain of these bond issues are fully funded by investments totaling \$37,665,000 at June 30, 1988 which are on deposit with the bond trustees. Income on these investments will be allocated to pay current indebtedness.

Revenues from student housing and dining facilities, certain other auxiliary enterprises, hospital and student fees are pledged for the retirement of the bonds.

4. Capital Lease Obligations

Plant assets acquired by capitalized leases include the football stadium (\$10,992,000), the energy management system (\$1,837,000), and various items of equipment (\$30,770,000) which are included in the plant funds.

The minimum future lease payments on the capital lease obligations, which are principally with the Commonwealth of Kentucky, are as follows:

(In Thousands)	
1989	\$ 6,026
1990	5,921
1991	5,926
1992	5,963
1993	5,956
1994-1997	4,924
Total	34,716
Less: Amount representing interest	(4,519)
Present value of net minimum lease payment	\$30,197

5. Funds Held in Trust by Others

The University is the income beneficiary of various trusts which are held and controlled by independent trustees. For the years ended June 30, 1988 and 1987, the University received from these trusts income amounting to \$779,192 and \$854,137, respectively. The University has no equity interest in the principal of the trusts which, accordingly, is recorded at a nominal value of \$1 each. The income received from these funds is recorded in the current unrestricted fund for \$296,564 and in the current restricted fund for \$482,628.

6. Pension Plans

Regular full-time employees, including faculty, are participants in the University of Kentucky Retirement Plan. The University of Kentucky Retirement Plan consists of five groups as follows:

Group I	Established July 1, 1964, for faculty and certain administrative officials.
Group II	Established July 1, 1971, for staff members in the clerical, technical and service categories.
Group III	Established July 1, 1972, for staff members in the managerial, professional and scientific categories.
Group IV	Established January 1, 1973, for staff members having U.S. Civil Service Retirement entitlement.
Group V	Established July 1, 1987, for staff members covered under the Federal Employees Retirement System that replaced Civil Service (those whose employment began during the period from January 1, 1984 to March 31, 1987). Staff members whose employment began after March 31, 1987 are under one of the above University of Kentucky Retirement Plans.

Participation in the University of Kentucky Retirement Plan in groups I, II, and III is mandatory for all faculty and staff members age 30 and older who have completed one year of service. Participation is voluntary to age 30 (after completion of one year of service). Participation in groups IV and V is voluntary.

The University of Kentucky has authorized three retirement plan carriers, effective July 1, 1988, as follows:

Teachers Insurance and Annuity Association/College
Retirement Equities Fund (TIAA/CREF)
Fidelity Institutional Services Company
Twentieth Century Investors, Inc.

Under these fully funded group retirement plans, the University and plan participants make annual contributions to provide fully vested retirement benefits to employees upon retirement. The University's share of costs for these plans was \$17,074,224 and \$15,166,689 for 1988 and 1987, respectively. The University makes retirement contributions to the U. S. Civil Service for certain Cooperative Extension Service Employees in the College of Agriculture. These contributions amounted to \$1,245,401 and \$1,107,407 for 1988 and 1987, respectively.

In addition to retirement benefits provided from the group retirement plans, the University provides supplemental retirement income benefits to certain eligible employees in each of the retirement groups (see Note 7).

7. Minimum Annual Retirement Benefits and Supplemental Retirement Income

Employees in retirement groups I, II and III who were age 40 or older prior to the date of establishment of each group plan, and who were employed by the University prior to that date, qualify for the minimum annual retirement benefit provisions of the retirement plan.

Benefits for these eligible employees are based upon a percentage, determined through years of service, of the participant's annual salary in the last year of employment prior to retirement. Retirement benefits as determined are funded by each individual retiree's accumulation in the group retirement plan, with the balance, if necessary, provided by the University as supplemental retirement income.

The costs of supplemental retirement income benefits are not accrued but are recognized when payments are made to retirees. Supplemental retirement benefit payments were \$3,981,000 for 1988 and \$3,784,439 for 1987. The projected estimate of annual payments for supplemental retirement benefits for each of the next five fiscal years is as follows:

1989	\$3,955,000
1990	3,958,000
1991	3,954,000
1992	3,932,000
1993	3,906,000

The Legislature of the Commonwealth of Kentucky has appropriated funds to the University for the payment of supplemental retirement income benefits since adoption of the group retirement plans, and is expected to continue this practice; however, the Constitution of the Commonwealth of Kentucky prohibits the commitment of future revenues beyond the end of the current biennium. Accordingly, the University does not recognize the liability for supplemental retirement income benefits during the service life of covered employees, but recognizes its costs when funds are appropriated by the Legislature and payments are made.

The University intends to continue paying supplemental retirement income benefits contingent upon the Legislature continuing to appropriate funds required to make these payments.

The latest actuarial valuation was prepared as of June 30, 1988 by TIAA. The actuarial present value of vested and non-vested accumulated supplemental retirement income benefits as determined by this valuation, utilizing an assumed rate of return of 8%, was \$37,068,000 (\$36,378,000 vested and \$690,000 non-vested) as of June 30, 1988.

8. Health Insurance Benefits for Retirees

The University provides health care benefits to retirees who have minimum of fifteen years service. The University recognizes the cost of providing those benefits by expensing the insurance premiums in the year paid. These premiums were \$574,973 for the year ended June 30, 1988.

9. Construction Commitments

Estimated cost to complete construction under contract at June 30, 1988 is approximately \$41,230,000. Such construction is principally financed by Commonwealth of Kentucky appropriations and long-term bonds payable.

10. Contingencies

The University is defendant in several lawsuits; however, University officials are of the opinion, based on advice of in-house legal counsel, that the ultimate outcome of all litigation will not have a material effect on the future operations or financial position of the University beyond the amounts already provided.

11. Pledges

Pledges from The University of Kentucky Fellows totaling approximately \$5,200,000, primarily for various restricted uses, are expected to be collected over the next ten years. Pledges totaling \$512,000 have been made for construction of the football sports complex and are payable over the next two years. Also, deferred gifts through insurance, known bequests and irrevocable trusts in which the University has a remainder interest are estimated to be at least \$19,000,000.

Pledges to the affiliated corporations are as follows: University of Kentucky Business Partnership Foundation, Inc., \$786,000 expected to be collected over the next three years; University of Kentucky Equine Research Foundation, Inc., \$2,536,000 expected to be collected over the next six years.

It is not practical to estimate the net realizable value of such pledges and deferred gifts.

12. Grants and Contracts Awarded

At June 30, 1988, grants and contracts awarded to the University and the University of Kentucky Research Foundation, but not expended, amounted to \$59,958,000, and are not shown in these financial statements.

13. Reclassifications

Certain account classifications for fiscal 1987 have been reclassified to reflect comparability with account classifications adopted for fiscal 1988 with no effects on previously reported fund balances.

To the Board of Trustees of the
University of Kentucky

and

the Secretary of Finance and
Administration Cabinet of the
Commonwealth of Kentucky

In connection with our audit of the financial statements of the University of Kentucky (an agency of the Commonwealth of Kentucky) for the year ended June 30, 1988, we have also audited the accompanying reconciliation of appropriations and trust and revolving funds, as reported on the University of Kentucky's financial statements, with unexpended balances reported by the Commonwealth Department of Finance as of June 30, 1988. This reconciliation is the responsibility of the University's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the accompanying schedule presents fairly the reconciliation of appropriations and trust and revolving funds with unexpended balances reported by the Commonwealth Department of Finance as of June 30, 1988.

Coopers + Lybrand

Lexington, Kentucky
August 26, 1988

UNIVERSITY OF KENTUCKY
Reconciliation of Appropriations and Trust and Revolving Funds
With Unexpended Balances Reported by the Commonwealth Department of Finance

As of June 30, 1988

	<u>Mines and Minerals Trust Funds</u>	<u>Trust and Revolving Funds</u>	<u>Tobacco Research Trust Funds</u>	<u>Unexpended Plant Funds</u>	<u>Retirement of Indebtedness Funds</u>	<u>Bond Revenue Accounts</u>	<u>Medical Malpractice</u>	<u>General Appropriation Funds</u>
Total								
Cash balances reported on University of Kentucky financial statements as of June 30, 1988, representing cash on deposit with State Treasurer and unexpended balances of state appropriations	\$142,270,859	\$1,901,680	\$ 4,541,920	\$595,297	\$74,327,294	\$50,074	\$57,136,215*	\$3,718,379*
								-0-
Cash balances held as temporary investments by the Commonwealth Department of Finance	(72,941,198)			(72,941,198)				
Net amount of items in transit between the Commonwealth Department of Finance and the University of Kentucky	37,701,952	29,895,796	106,813	4,135,764			3,563,579	-0-
Unexpended balances as reported by the Commonwealth Department of Finance as of June 30, 1988	\$107,031,613	\$1,901,680	\$34,437,716	\$702,110	\$ 5,521,860	\$50,074	\$60,699,794	\$3,718,379
								-0-

*Invested but not reflected on the Commonwealth's records

Bond Revenue Accounts \$54,268,003
Medical Malpractice \$ 3,788,000

Office of the President
September 20, 1988

ICR I

Members, Board of Trustees:

REPORT OF INVESTMENTS

Recommendation: that the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the three months ended June 30, 1988 be accepted.

Background: A part of the Board policy regarding investments is a provision that a quarterly report be submitted by the Investment Committee of the Board. The attached reports reflect the purchases and sales made during the Second Quarter of 1988, for the University of Kentucky Athletic Association, the Fund for Advancement of Education and Research in the University of Kentucky Medical Center, the University of Kentucky, the University of Kentucky Research Foundation, the University of Kentucky Business Partnership Foundation, the University of Kentucky Mining Engineering Foundation, the University of Kentucky Equine Research Foundation, and the University of Kentucky Humanities Foundation.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: September 20, 1988

University of Kentucky
Statement of Portfolio Changes
Short Term Current Fund Investments
For the Three Months Ended June 30, 1988

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Amount of Purchase</u>
Certificate of Deposit #7761	06/23/88	01/03/90	8.16%	\$ 6,000,000
Federal National Mortgage Association	06/27/88	06/26/89	7.75%	6,000,000
Total Purchases				<u>\$ 12,000,000</u>
 <u>Maturities/Sales:</u>				
Certificate of Deposit #7649	04/29/87	04/28/88	7.36%	\$ 5,000,000
Certificate of Deposit #33946	11/03/86	05/03/88	6.15%	4,000,000
Federal Home Loan Bank	04/16/87	05/25/88	7.00%	10,000,000
Total Maturities / Sales				<u>\$ 19,000,000</u>

University of Kentucky
And Affiliated Corporations
Statement of Portfolio Changes for the Regular Endowment Pool
For the Three Months Ended June 30, 1988

<u>Purchases/Additions:</u>		
<u>No. of Shares</u>	<u>Security</u>	<u>Amount of Purchase</u>
832,770.22	Thoroughbred Group Prime Obligations - D	\$ 832,770.22
5,650	American Tel & Teleg Co.	148,969.23
12,140	Empresa	153,830.44
8,990	PSI Holdings Inc.	105,409.06
2,800	Service Corporation Intl.	65,065.37
1,660	Sovran Financial Corporation	54,997.29
340	Ual Corporation	27,082.30
170,000	U.S. Treasury Bonds, 10.75%, 2/15/2003	196,403.13
60,000	U.S. Treasury Notes, 8.875%, 11/15/97	59,793.75
46,000	U.S. Treasury Stripped Interest Security	23,920.00
2,430	United Telecommunications	74,385.94
	Total - Purchases/Additions	<u>\$1,742,626.73</u>

University of Kentucky
And Affiliated Corporations
Statement of Portfolio Changes for the Regular Endowment Pool
For the Three Months Ended June 30, 1988

Sales/Conversions:

<u>No. of Shares</u>	<u>Security</u>	<u>Net Proceeds from Sales</u>	<u>Book Value</u>	<u>Gain or (Loss)</u>
1,097,224.66	Thoroughbred Group Prime Obligations - D	\$ 1,097,224.66	\$ 1,097,224.66	\$ 0.00
1,330	Empresa	18,220.39	16,345.70	1,874.69
2,260	Federal Express Corporation	98,566.10	149,978.72	(51,412.62)
65,000	Rockwell International Corporation	57,113.55	59,390.50	(2,276.95)
200	Syntex Corporation	8,286.68	3,645.00	4,641.68
680	Ual Corporation	62,434.83	50,682.38	11,752.45
100,000	U.S. Treasury Note, 8.25%, 5/15/88	100,000.00	97,421.87	2,578.13
43,000	U.S. Treasury Stripped Interest Sec.	24,080.86	22,915.13	1,165.73
100	Woolworth, F. W. Co.	5,459.81	2,357.50	3,102.31
	Total - Sales/Conversions	\$ 1,471,386.88	\$ 1,499,961.46	(\$28,574.58)

University of Kentucky
And Affiliated Corporations
Statement of Portfolio Changes for the Fixed Income Fund
For the Three Months Ended June 30, 1988

Purchases/Additions

<u>No. of Shares</u>	<u>Security</u>	<u>Amount of Purchase</u>
3,371,186.91	Thoroughbred Group Prime Obligations - D	\$ 3,371,186.91
2,000,000	Repurchase Agreement, 1st Ntl Lvl, 6.65%, 5/18/88	2,000,000.00
500,000	U.S. Treasury Notes, 8.375%, 11/15/92	496,250.00
500,000	U.S. Treasury Notes, 9.5%, 11/15/95	512,343.75
1,000,000	U.S. Treasury Notes, 7.25%, 11/15/96	893,437.50
585,000	U.S. Treasury Notes, 8.875%, 11/15/97	582,989.06
515,000	U.S. Treasury Notes, 8.125%, 2/15/98	500,032.82
565,000	U.S. Treasury Security Stripped Interest Payment, 11/15/95	293,800.00
	Total - Purchases/Additions	<u>\$ 8,650,040.04</u>

Sales/Maturities:

<u>No. of Shares</u>	<u>Security</u>	<u>Net Proceeds from Sales</u>	<u>Book Value</u>	<u>Gain or (Loss)</u>
3,117,638.40	The Thoroughbred Group Prime Obligations - D	\$3,117,638.40	\$ 3,117,638.40	\$ 0.00
2,000,000	Repurchase Agreement, 1st Ntl Lvl, 6.65%, 5/18/88	2,000,000.00	2,000,000.00	0.00
660,000	Rockwell International Corp.	579,922.20	603,042.00	(23,119.80)
525,000	U.S. Treas Sec Stripped Int Paym, 11.25%, 2/15/95	294,010.50	279,777.75	14,232.75
	Total - Sales/Maturities	<u>\$5,991,571.10</u>	<u>\$ 6,000,458.15</u>	<u>\$ (8,887.05)</u>

University of Kentucky
And Affiliated Corporations
Statement of Portfolio Changes for the Money Market Fund
For the Three Months Ended June 30, 1988

Purchases:

<u>No. of Shares</u>	<u>Security</u>	<u>Amount of Purchase</u>
215,249.75	Thoroughbred Group Prime Obligations - D	\$ 215,249.75

Net Sales:

<u>No. of Shares</u>	<u>Security</u>	<u>Net Proceeds from Sales</u>	<u>Book Value</u>
38,983.64	Thoroughbred Group Prime Obligations - D	\$ 38,983.64	\$ 38,983.64

University of Kentucky
Statement of Portfolio Changes for the Intercollegiate Athletics Fund
For the Three Months Ended June 30, 1988

Purchases:

<u>No. of Shares</u>	<u>Security</u>	<u>Amount of Purchase</u>
558,912.59	The Thoroughbred Group Prime Obligations - D	\$ 558,912.59
430,000	U.S. Treasury Bonds, 10.75%, 2/15/2003	496,784.37
265,000	U.S. Treasury Notes, 8.875%, 11/15/97	264,089.06
257,000	U.S. Treas Stripped Int Paym, 11/15/95	133,640.00
	Total - Purchases	\$ 1,453,426.02

Sales:

<u>No. of Shares</u>	<u>Security</u>	<u>Net Proceeds from Sales</u>	<u>Book Value</u>	<u>Gain or (Loss)</u>
651,714.58	The Thoroughbred Group Prime Obligations - D	\$ 651,714.58	\$ 651,714.58	\$ 0.00
8,000	Alaska St Hsg Fin Corp.	8,000.00	8,490.00	(490.00)
300,000	Rockwell International Corp.	263,601.00	274,110.00	(10,509.00)
350,000	U.S. Treasury Notes, 11.875%, 10/15/89	371,820.31	348,546.90	23,273.41
239,000	U.S. Treas Stripped Int Paym, 11.25%, 2/15/95	133,844.78	127,365.49	6,479.29
	Total - Sales	\$ 1,428,980.67	\$ 1,410,226.97	\$ 18,753.70