

AGENDA

Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
March 6, 2007
18th Floor Patterson Office Tower

Roll Call

Approval of Minutes - (Consent)

Minutes – January 23, 2007
Minutes – Special Called Meeting February 10, 2007

President's Report and Action Items

- PR 1 President's Report to the Trustees
Report on IRIS Project – Dr. Phyllis Nash
College of Pharmacy Report – Dean Kenneth Roberts
- PR 2 Personnel Actions (Consent)
- PR 3 University Staff Emeritus Be Conferred upon Brenda J. Greiner
- PR 4 Appointment of Board of Directors University of Kentucky Gluck Equine Research Foundation, Inc.
- PR 5 University Research Professorships
- PR 6 Naming of Building – Joe Craft Center
- PR 7 Conditions of Merit for Honorary Degrees
- PR 8 Honorary Degree Recipients

Academic Affairs Committee Report

- AACR 1 Candidates for Degrees – Bluegrass Community and Technical College
- AACR 2 Creation of the Department of Neurosurgery
- AACR 3 Proposed Amendments to Administrative Regulation: Non-Resident Fee Committee

Finance Committee Report

- FCR 1 Paul W. Chellgren Pledge (Consent)

- FCR 2 Gifts and Pledges to the Deloitte Professorship Endowment (Consent)
FCR 3 Gifts and Pledges to the Earth and Environmental Sciences Endowed Alumni
Professorship (Consent)
FCR 4 Gifts and Pledges to the J. Gary Maynard Professorship in Periodontology and Oral
Health Research (Consent)
FCR 5 Physical Therapy Richard McDougall Alumni Professorship Endowment Fund
(Consent)
FCR 6 Gifts and Pledges to the John R. Mink Chair for Pediatric Dentistry and Oral Health
Research (Consent)
FCR 7 Anonymous Pledges to the Multicultural Studies Endowment in the Center for
Research on Violence Against Women (Consent)
FCR 8 Anonymous Gift to the Pharmaceutical Science Professorship and Research
Endowment (Consent)
FCR 9 Gifts and Pledges to the Marie Rich Endowed Professorship (Consent)
FCR 10 The Shumate Family Foundation Gift and Pledge (Consent)
FCR 11 David B. Stevens, M.D. Gift (Consent)
FCR 12 Toyota Motor Engineering and Manufacturing North America, Inc. Pledge (Consent)
FCR 13 Gifts and Pledges to the Emery A. Wilson M.D. Dean's Chair (Consent)
FCR 14 Acceptance of Interim Financial Report for the University of Kentucky for the Six
Months Ended December 31, 2006
FCR 15 Capital Projects
FCR 16 Appointment of Successor Trustee
FCR 17 Patent Assignment Report
FCR 18 Capital Construction Report
FCR 19 Proposed Amendments to Administrative Regulation: Policy for Disposition of
Personal Property

Investment Committee Report

Student Affairs Committee Report

University Hospital Committee Report

Other Business

Athletic Association Board of Directors Meeting Report -- Dermontti Dawson

Adjourn

Office of the President
March 6, 2007

1. UK Alumni Association Names Six as 'Great Teachers' for 2007

Six University of Kentucky professors have been honored for their excellence in the classroom as the UK Alumni Association announces the recipients of its 2007 Great Teacher Awards. The 2007 recipients are: Jeffrey Osborn, Arts and Sciences; Jeffrey Okeson, Dentistry; Kim Woodrum, Arts and Sciences; Russell Mumper, Pharmacy; Jerry Skees, Agriculture; and John Watkins, Public Health and Arts and Sciences. Started in 1961, the Great Teacher Award is the oldest continuous award to recognize teaching at UK. The nominations are made by students. Selection of the award recipients is made by the UK Alumni Association Great Teacher Awards Committee, in cooperation with student organizations Omicron Delta Kappa and Mortar Board. Great Teacher Award recipients each receive a citation, an engraved plaque, and a \$2,000 cash award. The recipients were honored at the Great Teacher Award reception and dinner at the Hyatt Regency in Lexington on February 20.

2. Kentucky's Athletes Score Second Place on SEC Fall Honor Roll

Fifty UK student-athletes won placement on the Southeastern Conference Fall Academic Honor Roll, ranking second among the league's 12 schools. South Carolina led the SEC with 51 student-athletes on the list. The 2006 SEC Fall Academic Honor Roll is based on grades from the 2006 spring, summer, and fall semesters.

The Wildcats on the SEC Fall Sports Honor Roll are:

- Football:
Michael Aitcheson, senior, Social Work;
Patrick Barnette, senior, Communications;
Terry Clayton, senior, Kinesiology;
Jason Dickerson, senior, Biology;
Dallas Greer, senior, Secondary Education-English;
Bradley Hart, sophomore, Finance;
Jon Housley, junior, Pre-Pharmacy;
Hayden Lane, Graduate School, History;
Rocco Maragas, senior, Integrated Strategic Communication;
Timothy Masthay, sophomore, Economics;
Robert McAtee, junior, Sociology;
Martin McPherson, senior, Agricultural Communications;
Lamar Mills, Graduate School, Patterson School of Diplomacy and International Commerce;
Austin Moss, sophomore, Marketing;
Thomas Richey, sophomore, Kinesiology;
Michael Schwindel, sophomore, Mechanical Engineering;

Brian Scott, senior, Geology;
 Travis Slaydon, senior, Agricultural Communications;
 Jacob Tamme, Graduate School, Integrated Strategic Communication;
 Durrell White, senior, Agricultural Communications;
 Trai Williams, senior, Agricultural Communications; and
 Wesley Woodyard, junior, Social Work;

- Men's soccer:
 Andrew Alexander, sophomore, Finance;
 Mathew Baum, senior, Management;
 Patrick Conyea, sophomore, Management;
 Bradley Frederick, sophomore, Biology;
 Joseph Grigsby, senior, Economics;
 Ryan Jones, senior, Mechanical Engineering;
 Nathan Li, junior, Kinesiology;
 Matthew McAlpine, senior, Journalism;
 Adam Midkiff, senior, Finance;
 Aaron Sheffield, senior, Foreign Language and International Economics-Russian;
 Matthew Troop, sophomore, Management; and
 Masumi Turnbull, sophomore, Pre-Physical Therapy;
- Women's soccer:
 Kelsey Fenix, junior, Kinesiology;
 Sarah Gaunt, junior, Management;
 Cheryl Gilligan, sophomore, Marketing;
 Tara Herold, sophomore, undergraduate studies;
 Nicola Holdsworth, sophomore, Psychology;
 Megan Jones, junior, Accounting;
 Kristin Kover, sophomore, Merchandising, Apparel and Textiles;
 Ashley Menick, junior, Elementary Education;
 Kate Rowlands, junior, Elementary Education;
 Laura Speer, sophomore, Pre-Physical Therapy; and
 Rachel Ulrich, sophomore, Anthropology;
- Volleyball:
 Kathleen Bowler, junior, Elementary Education;
 Tess Edwards, sophomore, Communication;
 Julie Gagnon, senior, Elementary Education;
 Melissa Popp, senior, Marketing; and
 Erin Turner, sophomore, Pre-Physical Therapy.

3. Gatton College Alumni Hall of Fame Inducts Two New Members

The UK Gatton College of Business and Economics Alumni Hall of Fame inducted its two newest members in a ceremony on February 9, in the atrium of the college on the UK campus. Inducted were the managing director and head of investment banking with Navigant Capital Advisors, LLC, a NASD broker-dealer, Gerald R. Benjamin; and the executive

director of God's Pantry Food Bank in Lexington, Marian F. Guinn. They make up the 14th class of inductees to the college's hall of graduates who have distinguished themselves both in their professional careers and in civic and community leadership.

4. UK Symphony Orchestra Lands Recording Contract

The UK Symphony Orchestra under the direction of John Nardolillo has landed a recording contract on the strength of UK's talented student musicians, recent CD recordings and Nardolillo's leadership. UK has become one of only a few college orchestras in the nation, even the world, to have been signed to a recording contract with Naxos, the world's largest classical label. Music for UK's first recording for the classical label was presented at the UK Symphony Orchestra's concert on February 9, in the Singletary Center for the Arts Concert Hall. The concert by the UK Symphony Orchestra featured music from Concerto Competition winners at UK, as well as composer George Frederick McKay's ballet score, *Epoch*. The UK Concerto Competition winners performed a horn concerto by Strauss and a saxophone concerto by Tomasi. A performance by the orchestra of this piece will be recorded as part of Naxos' American Masters Series. The recording contract was offered to UK Symphony Orchestra upon suggestion of McKay's son Fred, a fan of Nardolillo, and the strength of the group's work on its "Music of the Horse" CD, recorded last year for Keeneland. UK Symphony Orchestra will also be part of a spring CD release, as legendary folk singer Arlo Guthrie releases his CD recordings with the group from his concert at UK last spring.

5. Fossil Fuel Consortium Wins \$1 Million Defense Department Contract

The Consortium for Fossil Fuel Science, a College of Engineering research center with participants from UK, West Virginia University, University of Utah, University of Pittsburgh, and Auburn University, has received \$1 million from the Department of Defense through the U.S. Army National Automotive Center for the first year of a three-year military fuels research contract. The program will develop new technology for the production of improved battlefield fuels (jet and diesel fuel) from domestic resources, particularly coal, using fuel synthesis methods based on C1 chemistry. It is anticipated that total funding for the three-year program will be approximately \$4 million.

6. UK Alumnus Derek Keeling Makes the Finals for Lead in *Grease*

Derek Keeling, a UK alumnus, has made the finals of NBC's new reality television competition *Grease: You're the One that I Want*. Keeling, who earned his bachelor's degree in theatre, is one of six finalists for the lead of Danny Zuko in the Broadway musical revival of *Grease* to open in July 2007.

7. Center for Manufacturing Joins Group to Drive Kentucky's Economic Growth

The UK Center for Manufacturing has joined with industry and business groups, state agencies, and other Kentucky colleges and universities to form an Advanced Manufacturing Work Group. The group's purpose is to help drive the Commonwealth's current and future economic development by targeting advanced manufacturing as a crucial engine for growth and competitive advantage. Group members are combining resources and coordinating their

efforts in order to attract federal economic development funding; to increase science, technology, mathematics and engineering (STEM) majors; to make worker training more flexible, accessible and industry-driven; and to show Kentuckians that manufacturing is an exciting high-paying career field and a vital part of their economy as well. The group's vision and mission were presented to a broad audience of more than 500 Kentucky manufacturers at "Prosperous Kentucky 2007," the statewide manufacturing summit hosted by The Kentucky Association of Manufacturers February 12 in Frankfort. Attendees heard leaders from state government, industry and academia present ideas on how to protect and nurture Kentucky's manufacturing industry.

8. English Professor Jane Gentry Vance Is Named Kentucky's Poet Laureate

English and Honors Program Professor Jane Gentry Vance has been named the next poet laureate of Kentucky. Vance, whose most recent book of poems is titled *Portrait of the Artist as a White Pig*, will be installed in a ceremony in the Capitol Rotunda in Frankfort April 20. She will serve for two years as the 23rd poet laureate of the state.

9. UK Hosts Meeting for National Leaders in Aging Research

The National Institute on Aging (NIA) and the National Center on Complementary and Alternative Medicine (NCCAM) will hold a directors meeting on aging research on Thursday, March 8 in the Main Building's Lexmark Public Room. The meeting will emphasize grant support mechanisms and new science initiatives. NIA and NCCAM staff will describe initiatives designed to recruit and keep emerging investigators in aging and complementary and alternative medicine research, with an emphasis on Alzheimer's disease research. NIA and NCCAM are also interested in addressing needs and barriers to research careers for students, junior faculty, and investigators from underserved and underrepresented groups. The meeting is designed for faculty and students with an interest in aging, Alzheimer's disease, complementary and alternative medicine research, and health disparities among older adults as well as for individuals interested in developing the careers investigators with interest in the underserved and underrepresented of our population. Dr. William R. Markesbery, director of the UK Sanders-Brown Center on Aging and the Alzheimer's Disease Research Center, is the local host.

10. UK Students Urged to Complete National Survey on Student Engagement

About 6,000 freshmen and seniors at the University of Kentucky recently received an e-mailed invitation from President Lee T. Todd, Jr. to participate in the National Survey of Student Engagement (NSSE). NSSE developers say the results provide an estimate of how undergraduates spend their time and what they gain from attending college. A number of research projects have shown a link between student engagement and academic success, as measured by grades and retention. College administrators can use the data to identify aspects of the undergraduate experience – both inside and outside the classroom – that can be improved through changes in policies and practices more consistent with good practices in undergraduate education, according to the NSSE Web site. Administered every two years at UK since 2001, the nationwide survey is designed to obtain information "about student participation in programs and activities that institutions provide for their learning and personal development," according to NSSE. The survey was first administered in a 1999

pilot project at about 70 colleges and universities. To date, roughly 1,000 different colleges have participated in NSSE. This year, students at all public universities in Kentucky will have the opportunity to complete the national survey.

11. Children's Author Kevin Henkes Delivers Special Lecture

Children's author and illustrator Kevin Henkes delivered the 2007 May Hill Arbuthnot Honor Lecture on Sunday, March 4, in UK's Memorial Hall. Henkes has won numerous awards including the 2005 Caldecott Medal, awarded to the artist of the most distinguished American picture book for children published in the United States. He is best known for the irrepressible mouse heroine of *Lilly's Purple Plastic Purse* (Greenwillow, 1996). His lecture was sponsored by the McConnell Center for the Study of Youth Literature at UK's School of Library and Information Science and funded by the Association for Library Service to Children.

12. Kentucky Journalism Hall of Fame Announces Newest Inductees

Four journalists and a journalism educator will be inducted into the Kentucky Journalism Hall of Fame on Tuesday, April 10, at the Radisson Plaza hotel in Lexington. The five will be inducted into the Hall of Fame at a luncheon ceremony sponsored by the UK Journalism and Telecommunications Alumni Association. The same day, the UK School of Journalism and Telecommunications will hold its 30th annual Joe Creason Lecture at 6 p.m. in the Singletary Center for the Arts Auditorium. This year's Creason Lecture will be given by photojournalist Molly Bingham. The 2007 Hall of Fame inductees are the late Ron Boone, a long-time radio news reporter in Elizabethtown; Nancy Green, vice president of circulation for Lee Enterprises Inc. and publisher of the Waterloo-Cedar Falls (Iowa) *Courier*, and a former UK student publications adviser; Ron Jenkins, long-time editor of *The (Henderson) Gleaner*; Glen Kleine, founder of Eastern Kentucky University's journalism program; and Ken Kurtz, former news director at television station WKYT in Lexington.

13. Kernel Wins Kentucky Press Association's Top College Award

The Kentucky Kernel was the winner of the 2006 General Excellence Award for college and university newspapers in the Kentucky Press Association's annual competition. It is the third straight year the *Kernel* has won the top award in the student newspaper category. The *Kernel* took first-place honors in 13 of the 27 categories, including a sweep of the top three prizes in the categories of photo essay and business/agribusiness story. *The Kernel* and *Kernel* staffers won 39 awards out of 83 given in the college/newspaper category.

14. Students Dance Non-stop to Raise Money for Pediatric Oncology Clinic

Student volunteers for DanceBlue, the annual dance marathon that raises money for the Golden Matrix Fund, which supports the UK Pediatric Oncology Clinic held their event last weekend at Memorial Coliseum. Anyone interested in donating to DanceBlue and the UK Pediatric Oncology Clinic is encouraged to do so by visiting www.danceblue.org and clicking the "Donate to DanceBlue" secure link. All donations are handled directly through the UK Development Office and are tax deductible.

15. Major Journalists Participate in Richard Wilson Symposium

Two award-winning reporters who oversee coverage of the White House, a Kentucky newspaper editor who covered the Watergate scandal in Washington 30 years ago, and a recent graduate who interned in Washington were the featured panelists for the fifth annual Richard Wilson Journalism Alumni Symposium Feb. 7. Terence Hunt, William Neikirk and Carl West, all Kentucky natives and members of the Kentucky Journalism Hall of Fame, and 2005 graduate Steve Ivey participated in the program, "Dateline Washington." Susan Straub, a former reporter and editor who is the communications director for Lexington Mayor Jim Newberry, moderated the program. Hunt is the chief White House correspondent for the Associated Press. Neikirk is the chief Washington correspondent for the *Chicago Tribune*. West is the editor of the *Frankfort State Journal*, a position he has held since 1979.

16. UK, Central Kentucky Blood Center Win Drive against Arkansas

Central Kentucky Blood Center and its partner, the University of Kentucky, out-collected Community Blood Center of the Ozarks and the University of Arkansas in the 13th annual Game for Life Blood Drive. The contest pitted the two blood centers and universities against each other in a three-day drive to see who could collect the greatest number of donations. The traveling trophy was awarded to CKBC because it had a higher percentage of donors when applied to the total enrollment at UK. The two blood centers staged the contest to overcome low blood supplies brought on by a combination of bad weather and the flu. Hospital blood transfusions have also been outpacing blood collections recently.

17. UK Art Museum Hosts Major Exhibition of Color Photos from 1930s, 1940s

The UK Art Museum opened a major exhibition of rare color photography called "Bound for Glory: America in Color 1939-43" on January 21. The photographs from the 1930s and 1940s were taken by leading members of the legendary Farm Security Administration photography unit. "Bound for Glory," which runs through April 15, was organized by the Library of Congress and has embarked on a five-city tour that takes the show throughout the United States and to Amsterdam.

18. UK Stuckert Career Center Assistant Director Wins Documentary Award

The National Educational Telecommunications Association (NETA) recently awarded UK employee Christine Amerman the 2007 Best Biography Documentary Award for her PBS documentary "Life with Passion: Raising Egyptian-Arabian Horses." The documentary is a beautifully told story intertwining the rich heritage of Egyptian-Arabian horses with the lives of the two women who raise them on the world-famous farm Arabians LTD., in Waco, Texas. Amerman directed and produced the 30-minute documentary to air on Central Texas PBS-affiliate KWBU while a work-study master's student at Baylor University. Since its broadcast, the documentary has garnered much attention, including winning a Telly last fall in the highly competitive documentary category. The Telly Award is the premier award honoring outstanding local, regional, and cable television commercials and programs, as well as the finest video and film productions. As an assistant director at UK's James W. Stuckert Career Center, Amerman works with communications students, encouraging them to gain valuable experience while still in school.

19. Spring Issue of *Odyssey* Highlights UK's Research on Ending Oil Dependence

The spring edition of *Odyssey*, UK's research magazine, shows how UK is targeting our country's oil addiction by playing up Kentucky's agricultural strengths—turning timber waste and crop residues into fuel. UK researchers are creating a more stable form of bio-oil, designing new catalysts to produce premium biodiesel, targeting a new way to make ethanol with cornfield leftovers, packaging fine coal and sawdust together in a potent briquette, and looking for cheap ways to capture solar energy with devices spray painted onto rocks or tents. *Odyssey* also features an all-star team of cancer researchers that have conducted three landmark trials in the past 20 years, studies that have resulted in a new standard of care for patients with metastatic cancer. The magazine is available in print and online at www.research.uky.edu/odyssey/.

20. Niles Center for American Music Opens Series with 'Visions of Kyrgyzstan'

The John Jacob Niles Center for American Music opened its Niles Gallery Series with "Visions of Kyrgyzstan," which explored the culture of the small central Asian country. UK professors who traveled to the country to share American studies with the Kyrgyz people reflected on their visit and lessons they learned abroad. The concert and talk on February 2 was free and open to the public. Six members of UK's faculty presented, including, Stan Brunn, geography; Alan DeYoung, education policy studies and evaluation, as well as sociology; Donald Gross, political science; Robert Olson, history; Karen Mingst, political science and Patterson School of Diplomacy and International Commerce; and Ron Pen, musicology in the School of Music and director of the Niles Center.

21. High School Juniors, Seniors Invited to Health Professions Summer Camps

Two University of Kentucky summer camps open to rising high school juniors and seniors are recruiting participants. The camps, for students interested in health careers, are part of the UK Area Health Education Center's (AHEC) Health Careers Program. AHEC is taking applications for its six-week summer enrichment camp that will be held at UK June 10 through July 20. The program will give qualified high school juniors insight into college life; classes in biology, chemistry, and anatomy; a look at various health careers; and a connection to lifelong friends from across Kentucky.

AHEC also is taking applications for its two-week Health Researchers Youth Academy that will be held at UK July 8 through July 20. The program will give high school juniors and seniors exposure to research careers while learning about research methodology, areas of research, and basic science concepts. The UK Area Health Education Center has received \$300,000 in state funding for the biennium for the AHEC Health Careers Program. The purpose of the program is to increase the number of disadvantaged and under-represented students who successfully pursue educational programs and careers in the health professions.

22. UK Art Museum Presents Lecture by *Life* Photojournalist Larry Towell

The UK Art Museum's Robert C. May Lecture Series presented a free public lecture by photojournalist Larry Towell on January 19. Towell is an accomplished photographer whose work has been published in such popular publications as *The New York Times* and *Life*.

magazine. The lecture discussed his work and included original music and poetry. Towell was accompanied by harmonica player Mike Stevens, a regular with the Grand Ole Opry.

23. Singletary Center to be Featured on Yellow Pages Cover

The Singletary Center for the Arts has been selected for the cover of the 2007-08 edition of the AT&T Real Yellow Pages. Delivery of the directory began in January. AT&T estimates more than 280,000 copies of the directory will be delivered to area homes and businesses.

24. UK Libraries Joins Effort to Develop Search Engine Interface

UK Libraries has joined Innovative Interfaces, Inc. in developing Encore, a next-generation library interface that expands UK Libraries' current search-engine capabilities and makes for an easier searching experience for students and faculty. UK joins 13 other partners that are engaged in development partnerships to create this new library technology product. Encore will become available to the UK community in 2007.

25. Student Awards and Achievements

Megan Boehnke, Journalism and Telecommunications and *Kernel* editor, won first place in the 47th annual William Randolph Hearst Foundation's Editorial/Columns of Opinion writing competition. Megan won for her November 17 *Kernel* editorial, "Remember the Lives but Ask Why They Were Lost," a piece on her decision to write and publish the controversial *Kernel* story on the role of alcohol in the deaths of several UK students this past fall. Her win means she will have the opportunity to compete in the Hearst National Writing Championship in San Francisco in June. She received a \$2,000 scholarship for her win.

Vanessa Hunn, Center for Poverty Research postdoctoral student, received the Council on Social Work Education's national Postdoctoral Fellowship in Mental Health and Substance Abuse Services Research funded by the Substance Abuse and Mental Health Services Administration. Hunn's fellowship runs through August 2007. The funding will help advance her research, "Depression, Self-Efficacy, Income, and Child Outcomes in African American Welfare Recipients."

Ashley S. Westerman, Political Science and Journalism, and Walter T. Mattox, Communication, have begun a chapter of STAND at the University of Kentucky. The STAND Coalition, a student anti-genocide coalition and its partner, the Genocide Intervention Network, share a vision of the world in which the international community protects civilians from genocidal violence. This coalition was born to fight the stop of genocide in Darfur, Sudan, and STAND is devoted to creating a sustainable student organization that actively fights genocide. Westerman and Mattox were inspired to start the group after Nick Clooney spoke on the issue last semester. Buck Ryan, Journalism and Telecommunications, is the faculty advisor.

26. Faculty and Staff Awards and Achievements

Jonathan Cardi, Law, has been invited to present a proposed amendment to the Copyright Act at the Modest Proposals Conference being held next month at Cardozo Law

School in New York. He also is co-editing a book titled *Race, Psychology, and the Law* that is being published by the New Press. He is writing a chapter for the book as well.

Roberta Harding, Law, has been invited to present “Empirical Studies, Race, Racism, and the Criminal Adjudication Process” at the Fordham Law School on April 3, 2007. Other speakers in this lecture series will include members of the law faculties at Yale, Columbia, and New York University.

Richard Labunski, Journalism and Telecommunications, had an essay on the significance of James Madison’s first election to Congress published in the *Washington Post*. The essay, “How Virginia Saved America, and Why February 2 Should Be a Holiday,” appeared in the *Post*’s Outlook section on February 4. Labunski is also the author of *James Madison and the Struggle for the Bill of Rights* (Oxford University Press, 2006).

David Mannino, Preventive Medicine and Environmental Health, has been named the recipient of the 2007 Sheldon Siegel Memorial Lectureship. The honorary lectureship is presented by the American Academy of Allergy, Asthma, and Immunology (AAAAI) in recognition of his outstanding service to the specialty and science of allergy and immunology. Mannino was recognized for the lectureship in San Diego during the AAAAI annual meeting in late February.

Hatim Omar, UK HealthCare Pediatrics, was chosen as a Sexual Assault Awareness Month “SAAMy Award” winner for 2007. The SAAMy Award is given by the Kentucky Association of Sexual Assault Programs to honor those who have made outstanding contributions and who have pioneered Kentucky’s movement against sexual violence.

Melanie D. Otis, Social Work, and her colleague Andrew Grogan-Kaylor, an assistant professor at the University of Michigan, recently published research titled “The Predictors of Parental Use of Corporal Punishment” in the January issue of the journal *Family Relations: Interdisciplinary Journal of Applied Family Studies*. The research studied what factors, independent of others, predict whether or not a parent is likely to use spanking as a punishment.

Lori Ringhand, Law, was invited to serve on the planning committee for the Association of American Law Schools 2008 Conference on Constitutional Law. This committee is chaired by Harvard Law Professor Mark Tushnet.

Carrie Saunders, Adoption Support for Kentucky (ASK) at the UK College of Social Work Training Resource Center, received an Excellence in Service Award from the Kentucky Department for Community Based Services at Kentucky’s first Adoption Conference last fall. Saunders was presented with the award for her work in promoting and supporting Kentucky’s adoptive families.

Robert Schwemm, Law, had legal research cited in the *New York Times*, “Fair Housing, Free Speech, and Choosy Roommates” on January 22, 2007.

PR 2

Office of the President
March 6, 2007

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Executive Vice President for Finance and Administration

Hall, Leo, Grounds Supervisor, Employee Benefits, after 43 years, 9 months of consecutive service, under AR II-1.6-1, Section III.B, effective 2/28/07.

Vice President for Facilities Management

Clem, Harold, Parking Control Attendant, Parking and Transportation, after 5 years, 8 months of consecutive service, under AR II-1.6-1, Section III.B, effective 1/2/07.

B. EARLY RETIREMENTS

Vice President for Facilities Management

Aubrey, Donald F., Electrician III, Physical Plant Division, after 30 years, 2 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 4/2/07.

Bradley, Thurnie D., Heating/Cooling Plant Supervisor Senior, Physical Plant Division, after 40 years, 6 months of consecutive service under AR II-1.6-1, Section III.A.2, effective 3/30/07.

Brumagen, William, Maintenance Technician II, Physical Plant Division, after 41 years, 7 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 3/30/07.

Majors, Leon, Plumber I, Physical Plant Division, after 26 years, 2 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/19/07.

Turner, Donald, Grounds Worker III, Physical Plant Division, after 15 years, 11 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 2/9/07.

Associate Vice President for External Affairs

Leet, Hal, Radio Announcer, WUKY, after 23 years, 2 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 2/28/07.

Associate Vice President for Campus Services

Rash, Allene, Real Property Manager, Campus Services, after 37 years, 8 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 2/28/07.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Callahan, Leigh Ann, College of Medicine, Associate Professor (without tenure), Internal Medicine, 1/15/07 through 6/30/07.
- Cambi, Franca, College of Medicine, Associate Professor (with tenure), Neurology, and joint appointment as Associate Professor (without tenure), Anatomy and Neurobiology, appointed to the Spinal Cord and Brain Injury Chair No. 5, 1/1/07 through 6/30/09.
- Castellanos, Ana L., College of Medicine, Clinical Assistant Professor, Internal Medicine, 1/1/07 through 6/30/07.
- Granger, Don T., College of Medicine, Clinical Associate Professor, Pediatrics, 1/8/07 through 6/30/07.
- Labianca, Giuseppe J., College of Business and Economics, Associate Professor (with tenure), Management, effective 8/16/06.
- McGarry, Ronald C., College of Medicine, Clinical Associate Professor, Radiation Medicine, 12/11/06 through 6/30/11.
- McGrath, Patrick C., College of Medicine, Professor (with tenure), Surgery, appointed to the Ward O. Griffen Endowed Chair in Surgery, 1/1/07 through 6/30/11.
- Mehra, Ajay, College of Business and Economics, Associate Professor (with tenure), Management, effective 8/16/06.
- O'Dell, Kelvin B., College of Arts and Sciences, Professor (without tenure), Aerospace Studies, 7/1/07 through 6/30/10.
- Rymond, Brian, College of Arts and Sciences, Professor (with tenure), Biology, appointed to the Jack and Linda Gill Arts and Sciences Research Excellence Fund Professorships, 7/1/07 through 6/30/12.
- Sikora, Marek, College of Arts and Sciences, Professor (visiting), Physics and Astronomy, 1/2/07 through 5/30/07.
- Supinski, Gerald, College of Medicine, Professor (without tenure), Internal Medicine, 1/15/07 through 6/30/07.

B. ADMINISTRATIVE APPOINTMENTS

- Arnold, Cecil B., College of Fine Arts, Professor (with tenure), Music, reappointed Director, Music, 7/1/07 through 6/30/11.
- Clayton, Thomas, College of Arts and Sciences, Associate Professor (with tenure), English, appointed Chair, English, 7/1/07 through 6/30/10.
- Jones, Nancy C., College of Fine Arts, Associate Professor* (with tenure), Theatre, appointed Chair, Theatre, 7/1/07 through 6/30/11.

*Special Title Series

Kurzynske, Janet S., Agriculture, Associate Extension Professor (with tenure), Nutrition and Food Science, appointed Chair, Nutrition and Food Science, 12/21/06 through 12/20/12.

Randall, Marcus E., College of Medicine, Professor (with tenure), Radiation Medicine, and joint appointment as Professor (without tenure), Clinical Sciences, College of Health Sciences, appointed Chair, Radiation Medicine, 10/1/06 through 9/30/11.

C. REAPPOINTMENTS

Gatrad, Abdul R., College of Health Sciences, Professor (voluntary), Clinical Sciences, 7/1/06 through 6/30/11.

Kleinert, Harold, College of Education, Associate Adjunct Professor, Special Education and Rehabilitation Counseling, 1/1/07 through 6/30/07.

Moreno, Pedro R., College of Medicine, Associate Professor (part-time), Internal Medicine, 7/1/06 through 6/30/07.

D. PROMOTIONS

Ambati, Jayakrishna, College of Medicine, from Associate Professor (with tenure), Ophthalmology and Visual Sciences, and joint appointment as Associate Professor (without tenure), Physiology, to Professor (with tenure), Ophthalmology and Visual Sciences, and joint appointment as Professor (without tenure), Physiology, effective 7/1/07.

Glaser, Paul E., College of Medicine, from Assistant Professor, Psychiatry, and joint appointments as Assistant Professor, Anatomy and Neurobiology and Assistant Professor, Pediatrics, to Associate Professor (with tenure), Psychiatry, and joint appointments as Associate Professor (without tenure), Anatomy and Neurobiology and Associate Professor (without tenure), Pediatrics, effective 7/1/07.

Riggle, Ellen, College of Arts and Sciences, from Associate Professor (with tenure), Political Science, to Professor (with tenure), Political Science, effective 7/1/06.

Shankar, T. R. Uday, College of Medicine, from Assistant Professor (voluntary), Family and Community Medicine, to Associate Professor (voluntary), Family and Community Medicine, 7/1/06 through 6/30/11.

Wala, Elzbieta, College of Medicine, from Assistant Research Professor, Anesthesiology, to Associate Research Professor, Anesthesiology, 7/1/07 through 6/30/08.

E. CHANGES

Main, John A., College of Engineering, from Associate Professor (with tenure), Mechanical Engineering, to Associate Professor (temporary), Mechanical Engineering, 7/1/06 through 8/31/06.

Mannino, David M., College of Medicine, from Associate Professor (without tenure), Internal Medicine, and joint appointments as Associate Professor (without tenure), Preventive Medicine and Environmental Health, College of Public Health and Associate Professor (without tenure), Epidemiology, College of Public Health, to Associate Professor (without tenure), Preventive Medicine and Environmental

Health, College of Public Health, and joint appointments as Associate Professor (without tenure), Internal Medicine, College of Medicine and Associate Professor (without tenure), Epidemiology, 11/1/06 through 6/30/07.

Peterson, Charlotte A., College of Health Sciences, from Professor (without tenure), Rehabilitation Sciences, to Professor (with tenure), Rehabilitation Sciences, appointed to the Joseph Hamburg Professorship in Rehabilitation Services, 7/1/06 through 6/30/11.

Scheurich, Neil E., College of Medicine, from Associate Professor* (with tenure), to Associate Professor (part-time), Psychiatry, College of Medicine, 7/1/07 through 6/30/08.

Talbert, Jeffery C., Graduate School, from Associate Professor (with tenure), Martin School of Public Policy and Administration, to Associate Professor (with tenure), College of Pharmacy, effective 3/1/07.

F. RETIREMENTS

Anderson, Dinah, College of Social Work, Program Coordinator II, after 12 years and 5 months of consecutive service, under AR II-1.6-1, Section III.B, effective 1/31/07.

Brown, David R., College of Medicine, Scientist IV, Physiology, after 20 years and 6 months of consecutive service, under AR II-1.6-1, Section III.B, effective 1/12/07.

Hull, Larry D., College of Arts and Sciences, Electronic Technician III, Psychology, after 40 years and 6 months of consecutive service, under AR II-1.6-1, Section III.B, effective 1/19/07.

G. EARLY RETIREMENTS

Dillon, Patricia, College of Agriculture, Programmer Systems Analyst, Entomology, after 19 years and 3 months of consecutive service, under AR II-1.6-1, Section III. A.2, effective 1/3/07.

Kennedy, M. Jean, College of Medicine, Department Administrator III, Family Practice, after 20 years and 8 months of consecutive service, and a total of 29 years of service, under AR II-1.6-1, Section III. A.2, effective 3/14/07.

Stablein, Rachel, College of Medicine, Senior Mammography Technologist, Diagnostic Radiology, after 32 years and 8 months of consecutive service, under AR II-1.6-1, Section III. A.2, effective 1/26/07.

Thomas, Sherry B., College of Nursing, Administrative Assistant, after 22 years and 6 months of consecutive service, and a total of 22 years and 10 months of service, under AR II-1.6-1, Section III. A.2, effective 12/29/06.

H. POST-RETIREMENT APPOINTMENTS

Allen, David M., College of Arts and Sciences, Professor (part-time), Statistics, 1/1/07 through 5/15/07.

Atkinson, George, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 3/1/07 through 4/30/07.

*Special Title Series

Blanton, Jack, Graduate School, Professor (part-time), Martin School of Public Policy and Administration, 8/15/06 through 5/15/07.
Brown, Charles R., College of Medicine, Scientist IV (part-time), Physiology, 2/1/07 through 6/30/07.
Campbell, William R., College of Arts and Sciences, Associate Professor (part-time), English, 1/1/07 through 5/15/07.
Cox, Raymond, College of Arts and Sciences, Associate Professor (part-time), Mathematics, 1/1/07 through 5/15/07.
Donohew, Robert L., College of Communications and Information Studies, Professor (part-time), Communication, 7/1/06 through 6/30/07.
Greenway, John L., College of Arts and Sciences, Associate Professor (part-time), Honors Program, 8/15/06 through 5/15/07.
Howard, Henry, College of Arts and Sciences, Professor (part-time), Mathematics, 1/1/07 through 5/15/07.
Kelley, George, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 3/1/07 through 2/28/08.

I. PHASED RETIREMENT APPOINTMENTS

Buxton, Jack W., Agriculture, Associate Professor (with tenure), Horticulture, 7/1/07 through 6/30/10.
Rapp, Robert P., College of Pharmacy, Professor* (with tenure), and joint appointment as Professor* (without tenure), Surgery, College of Medicine, to change from 7/1/06 through 6/30/09, to 7/1/07 through 6/30/10.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Abner, Erin L., College of Arts and Sciences, Instructor (part-time), Statistics, 1/1/07 through 5/15/07.
Arnold, Elizabeth, College of Fine Arts, Instructor (part-time), Music, 7/1/06 through 6/30/07.
Begg, Philip A., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 11/1/06 through 6/30/11.
Bishop, Gregory L., College of Arts and Sciences, Instructor (temporary), English, 1/1/07 through 5/15/07.
Blackburn, Teena H., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/07 through 5/15/07.
Blankenship, Robert M., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 12/15/06 through 6/30/11.
Childs, Sharon, College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/07 through 6/30/07.
Clark, Ashley L., College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/07 through 6/30/07.
Clements, Linda, College of Nursing, Instructor (part-time), 1/10/07 through 6/30/07.

*Special Title Series

Dassow, Jeanie D., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 12/1/06 through 6/30/11.

Dean, Guy, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 11/1/06 through 6/30/11.

DeMoll, Louis E., College of Arts and Sciences, Adjunct Instructor, Biology, 1/1/07 through 5/15/07.

Drumm, Jennifer, College of Nursing, Instructor (part-time), 1/10/07 through 6/30/07.

Duncan, James D., College of Medicine, Instructor (voluntary), Internal Medicine, 11/1/06 through 6/30/11.

Dunkle-Blatter, Stephanie E., College of Medicine, Assistant Professor (voluntary), Surgery, 1/1/07 through 6/30/11.

Durham, Jeffery L., College of Design, Instructor (part-time), Interior Design, 1/1/07 through 6/30/07.

Dziegielewska, Dominika, College of Business and Economics, Instructor (part-time), Economics, 1/1/07 through 6/30/07.

Fletcher, Adrian M., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 12/1/06 through 6/30/11.

Froula, Anna K., College of Arts and Sciences, Instructor (part-time), English, 1/1/07 through 5/15/07.

Glassmeyer, Sarah L., Libraries, Librarian IV, 12/1/06 through 6/30/08.

Gonzalez del Pozo, Jorge, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/07 through 5/15/07.

Graham, David L., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/07 through 6/30/11.

Graham, Janet P., College of Fine Arts, Instructor (part-time), Music, 1/1/07 through 6/30/07.

Hartman, Chas, College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/1/07 through 6/30/07.

Hautala, Keith L., College of Arts and Sciences, Instructor (part-time), English, 1/1/07 through 5/15/07.

Henrickson, Robert C., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/07 through 5/15/07.

Hickman, Robbin, College of Health Sciences, Assistant Professor*, Rehabilitation Sciences, 8/15/06 through 6/30/07.

Holbrook, Mary, College of Business and Economics, Instructor (part-time), Accountancy, 1/1/07 through 6/30/07.

Horn, John M., College of Medicine, Instructor (voluntary), Internal Medicine, 11/1/06 through 6/30/11.

Hussaini, Syed R., College of Arts and Sciences, Adjunct Instructor, Chemistry, 1/1/07 through 5/15/07.

Ji, Xinying, College of Medicine, Assistant Research Professor, Internal Medicine, 1/8/07 through 6/30/07.

Kalra, Deepak, College of Health Sciences, Instructor (voluntary), Clinical Sciences, 11/1/06 through 6/30/11.

Kassis, Taufik, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 1/1/07 through 6/30/11.

*Special Title Series

Kavarana, Minoo N., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 11/1/06 through 6/30/11.

Keenum, Amy J., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 12/1/06 through 6/30/11.

Keller, Dorothy J., College of Medicine, Assistant Professor (part-time), Psychiatry, 4/1/07 through 6/30/07.

Laub, Daniel, College of Business and Economics, Instructor (part-time), Economics, 1/1/07 through 6/30/07.

Lindstrom, Adam F., College of Arts and Sciences, Instructor (part-time), Statistics, 1/1/07 through 5/15/07.

Macaulay, Tracy, College of Pharmacy, Assistant Adjunct Professor, 1/1/07 through 6/30/07.

Massey, Nathan H., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 11/1/06 through 6/30/11.

Mirza, Tariq, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 12/1/06 through 6/30/11.

Moran, Daniel J., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 11/1/06 through 6/30/11.

Nickell, Debra F., College of Health Sciences, Lecturer, Clinical Sciences, 8/1/06 through 6/30/07.

Overstreet, David S., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 11/1/06 through 6/30/11.

Owczarzak, Jill T., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/07 through 5/15/07.

Park, Jong P., College of Fine Arts, Assistant Professor (visiting), Art, 1/1/07 through 6/30/07.

Ramsby, Phillis H., College of Arts and Sciences, Instructor (part-time), English, 1/1/07 through 5/15/07.

Rosenblum, Richard S., College of Medicine, Assistant Professor (voluntary), Surgery, 1/1/07 through 6/30/11.

Sajiki, Atsuko, College of Arts and Sciences, Instructor (temporary), Modern and Classical Languages, Literatures, and Cultures, 8/16/06 through 6/30/07.

Shoptaw, James H., Jr., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 11/1/06 through 6/30/11.

Smith, Shawn J., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.

Stoops, William W., College of Medicine, Assistant Research Professor, Behavioral Science, 3/1/07 through 6/30/07.

Thomas, Geraint, College of Health Sciences, Instructor (voluntary), Clinical Sciences, 11/1/06 through 6/30/11.

Vance, Debra A., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/1/07 through 6/30/07.

Weeter, Joanne, College of Design, Instructor (part-time), Historic Preservation, 1/1/07 through 6/30/07.

Yarberry, Tara, College of Nursing, Instructor (part-time), 1/10/07 through 6/30/07.

B. ADMINISTRATIVE APPOINTMENTS

Donohue, Kevin D., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, appointed Acting Chair, Electrical and Computer Engineering, 2/1/07 through 6/30/07.

Gibson, Vicki, College of Medicine, Nurse Anesthetist, Anesthesiology, effective 1/17/07.

Gross, Donald, College of Arts and Sciences, Professor (with tenure), Political Science, appointed Acting Chair, Political Science, 1/9/07 through 6/30/07.

Kurzysnske, Janet S., Agriculture, Associate Extension Professor (with tenure), Family and Consumer Science, appointed Acting Chair, Nutrition and Food Science, 7/15/06 through 12/20/06.

Lawson, Lonnie, College of Medicine, Nurse Anesthetist, Anesthesiology, effective 1/17/07.

Lee, Michael, Educational Partnerships, LSAMP Director, Partnership Institute for Mathematics, effective 2/1/07.

Leep, David, College of Arts and Sciences, Professor (with tenure), Mathematics, appointed Acting Chair, Department of Mathematics, 1/9/07 through 6/30/07.

Meadows, William, College of Medicine, Physician Assistant Senior/Surgical, Pediatrics, effective 2/4/07.

Ponomareva, Lasrissa, College of Medicine, Scientist III, Physiology, effective 1/5/07.

C. JOINT APPOINTMENTS

Gordon, John, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), Obstetrics and Gynecology, College of Medicine, 1/3/07 through 6/30/11.

Sweeney, William F., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), Obstetrics and Gynecology, College of Medicine, 1/2/07 through 6/11/11.

D. REAPPOINTMENTS

Ahmed, Adel M., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 1/1/07 through 5/15/07.

Alexandru, Andrei, College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 1/1/07 through 5/15/07.

Arrington, Tamara B., College of Communications and Information Studies, Adjunct Instructor, Communication, 1/1/07 through 6/30/07.

Barajas-Gutierrez, Leticia, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/07 through 5/15/07.

Barnett, Carol J., College of Social Work, Adjunct Instructor, 8/16/06 through 6/30/07.

Berres, Peter N., College of Arts and Sciences, Instructor (part-time), Political Science, 1/1/07 through 5/15/07.

Blankenship, Cary W., College of Arts and Sciences, Instructor (part-time), History, 1/1/07 through 5/15/07.

Blount, Stephen C., College of Arts and Sciences, Instructor (temporary), English, 1/1/07 through 5/15/07.

Bower, Walter H., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/07 through 5/15/07.

Brenner, Emily, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.

Buck, Patrick L., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/07 through 5/15/07.

Burk, Charles, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.

Butler, Daniel F., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/06 through 6/30/11.

Campbell, Todd, College of Arts and Sciences, Instructor (part-time, temporary), English, 1/1/07 through 5/15/07.

Catlin, Michelle R., College of Arts and Sciences, Instructor (part-time), English, 1/1/07 through 5/15/07.

Chrostowsky, Marybeth L., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/07 through 5/15/07.

Clark, Amy C., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/07 through 5/15/07.

Clarke, Benita J., College of Arts and Sciences, Adjunct Instructor, Hispanic Studies, 1/1/07 through 5/15/07.

Clepper, Marta L., College of Arts and Sciences, Instructor (part-time), Earth and Environmental Sciences, 1/1/07 through 5/15/07.

Cohen, John, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.

Crutcher, Kathryn S., College of Arts and Sciences, Instructor (part-time), English, 1/1/07 through 5/15/07.

Cruz-Morgado, Luciano E., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/07 through 5/15/07.

Dahl, Alan, College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/07 through 5/15/07.

Davidson, Freddia G., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/16/07 through 6/30/07.

Davin, Diana J., College of Social Work, Instructor (part-time), 1/1/07 through 6/30/07.

Day, Richard, College of Education, Instructor (part-time), Educational Leadership Studies, 1/1/07 through 6/30/07.

Dean, Johnie, College of Fine Arts, Instructor (part-time), Music, 7/1/06 through 6/30/07.

DeCroo, Jacqueline C., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/07 through 5/15/07.

DeMichele, Matthew, College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/07 through 5/15/07.

Edin, Linda, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/07 through 6/30/07.

Fairfield, James C., College of Arts and Sciences, Instructor (part-time), English, 1/1/07 through 5/15/07.

Feinberg, Kelly J., College of Arts and Sciences, Instructor (temporary), English, 1/1/07 through 5/15/07.

Ferguson, Elizabeth M., College of Arts and Sciences, Instructor (part-time), Chemistry, 1/1/07 through 5/15/07.

Fielding, Jami L., College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/07 through 6/30/07.

Fulbrook, Denise, College of Arts and Sciences, Assistant Professor, English, 7/1/06 through 6/30/08.

Gabhart, Mitchell, College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/07 through 5/15/07.

Gardner, Melissa E., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 1/1/07 through 6/30/07.

Gassaway, Linda, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/07 through 6/30/07.

Gassett, Jonathan W., Agriculture, Assistant Adjunct Professor, Forestry, 7/1/06 through 6/30/07.

Gaur, Rajesh, College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/07 through 6/30/07.

Gibbs, William, College of Arts and Sciences, Instructor (part-time), History, 1/1/07 through 5/15/07.

Glixon, Beth, College of Fine Arts, Instructor (part-time), Music, 7/1/06 through 6/30/07.

Goan, Melanie, College of Arts and Sciences, Instructor (part-time), History, 1/1/07 through 5/15/07.

Godbey, Matthew W., College of Arts and Sciences, Instructor (temporary), English, 1/1/07 through 5/15/07.

Guarnieri, Karen, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.

Hale, Frank, College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/07 through 5/15/07.

Hall, Jennifer G., College of Social Work, Adjunct Instructor, 1/15/07 through 6/30/07.

Hamlin, William, College of Arts and Sciences, Instructor (part-time), English, 1/1/07 through 5/15/07.

Hammond, Angela D., College of Fine Arts, Instructor (part-time), Music, 7/1/06 through 6/30/07.

Heaberlin, John C., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/07 through 5/15/07.

Henke, Kevin R., College of Arts and Sciences, Instructor (part-time), Earth and Environmental Sciences, 1/1/07 through 5/15/07.

Hensley, Hunter C., College of Fine Arts, Instructor (part-time), Music, 7/1/06 through 6/30/07.

Higgs, Calvin T., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures and Cultures, 1/1/07 through 5/15/07.

Holbrook, Paul E., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/07 through 5/15/07.

Hood, James, College of Arts and Sciences, Instructor (part-time), History, 1/1/07 through 5/15/07.

Jackson, Jim, College of Education, Instructor (part-time), Educational Leadership Studies, 1/1/07 through 6/30/07.

Kamlager, Carolee, College of Social Work, Instructor (part-time), 8/16/06 through 6/30/07.

Karimian, Cyrus D., College of Arts and Sciences, Instructor (part-time), Political Science, 1/1/07 through 5/15/07.

Karp, Margaret B., College of Fine Arts, Instructor (part-time), Music, 7/1/06 through 6/30/07.

Kerley, Donna M., College of Education, Instructor (part-time), Educational Leadership Studies, 1/1/07 through 6/30/07.

Kersting, Carol M., College of Nursing, Instructor (voluntary), 7/1/06 through 6/30/11.

Kretschmer, Emily H., College of Arts and Sciences, Instructor (temporary), English, 1/1/07 through 5/15/07.

Leech, Janie W., College of Arts and Sciences, Instructor (part-time), Geography, 1/1/07 through 5/15/07.

Leedham, Cynthia, College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/07 through 5/15/07.

Leonard, Andrew J., College of Fine Arts, Instructor (part-time), Music, 7/1/06 through 6/30/07.

Loughlin, Michael L., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/07 through 5/15/07.

Luft, Christine, College of Arts and Sciences, Instructor (part-time), English, 1/1/07 through 5/15/07.

Marczinski, Cecile A., College of Arts and Sciences, Instructor (part-time), Psychology, 1/1/07 through 5/15/07.

Mayer, Danny, College of Arts and Sciences, Instructor (part-time), English, 1/1/07 through 5/15/07.

McClellan, Gregory L., College of Social Work, Instructor (part-time), 1/15/07 through 6/30/07.

McEachin, Neal, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.

McFarland, Martha, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.

McNees, Karen, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.

McRaven, Deborah K., College of Arts and Sciences, Instructor (part-time), History, 1/1/07 through 5/15/07.

Merriman, Scott, College of Arts and Sciences, Instructor (part-time), History, 1/1/07 through 5/15/07.

Miller, Jennifer B., College of Arts and Sciences, Instructor (part-time), Political Science, 1/1/07 through 5/15/07.

Miraztchijska, Aelita T., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/07 through 5/15/07.

Moss, Marvin, College of Arts and Sciences, Instructor (part-time), Mathematics, 1/1/07 through 5/15/07.

Muntz, Charlie, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/07 through 6/30/07.

Myers, Lindsay L., College of Arts and Sciences, Instructor (temporary), Modern and Classical Languages, Literatures and Cultures, 1/1/07 through 5/15/07.

Necamp, Samantha V., College of Arts and Sciences, Instructor (temporary), English, 1/1/07 through 5/15/07.

Norman, Charlene, College of Arts and Sciences, Instructor (part-time), Mathematics, 1/1/07 through 5/15/07.

O'Connor, Sandra F., College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/07 through 6/30/07.

Olsen, Karl P., College of Fine Arts, Instructor (part-time), Music, 7/1/06 through 6/30/07.

Omlor, Dan, College of Arts and Sciences, Instructor (part-time, temporary), English, 1/1/07 through 5/15/07.

Osland, Lisa, College of Fine Arts, Instructor (part-time), Music, 7/1/06 through 6/30/07.

Parker, James S., College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/07 through 6/30/07.

Pena Rivera, Carlos A., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/07 through 5/15/07.

Peshkopia, Ridvan, College of Arts and Sciences, Instructor (part-time), Political Science, 1/1/07 through 5/15/07.

Portier-Caldwell, Helene, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/07 through 5/15/07.

Puerto, Jose J., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/07 through 5/15/07.

Reese, Christopher L., College of Arts and Sciences, Instructor (part-time), English, 1/1/07 through 5/15/07.

Rosenbaum, Ned, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/07 through 5/15/07.

Sabate-Llobera, Nuria, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/07 through 5/15/07.

Saffari, Kay, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/07 through 5/15/07.

Sageser, Vicki, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.

Saunders, Carrie S., College of Social Work, Instructor (part-time), 1/15/07 through 6/30/07.

Schadler, Aric, College of Arts and Sciences, Instructor (part-time), Statistics, 1/1/07 through 5/15/07.

Shumer, Daniel, College of Arts and Sciences, Instructor (part-time, temporary), English, 1/1/07 through 5/15/07.

Simon, Rachel A., College of Arts and Sciences, Instructor (part-time), English, 1/1/07 through 5/15/07.

Smith, Michelle, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.

Smith, Richard, College of Arts and Sciences, Instructor (part-time), English, 1/1/07 through 5/15/07.

Smoot, Richard C., College of Arts and Sciences, Instructor (part-time), History, 1/1/07 through 5/15/07.

Sopronenko, Igor V., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/07 through 5/15/07.

Stamps, Kathie E., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/16/07 through 6/30/07.

Stevenson, J. Erin, College of Social Work, Instructor (part-time), 8/16/06 through 6/30/07.

Taylor, Carol, College of Social Work, Adjunct Instructor, 1/15/07 through 6/30/07.

Thompson, Timothy, College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/07 through 5/15/07.

Ubelhor, Anthony E., College of Arts and Sciences, Instructor (part-time, temporary), English, 1/1/07 through 5/15/07.

Utari, Wini P., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/07 through 5/15/07.

Vance, Sandra M., College of Arts and Sciences, Instructor (part-time), History, 1/1/07 through 5/15/07.

Vanderpool, Betty, College of Arts and Sciences, Instructor (part-time), Mathematics, 1/1/07 through 5/15/07.

Voskresensky, Anna, College of Arts and Sciences, Adjunct Instructor, Modern and Classical Languages, Literatures, and Cultures, 1/1/07 through 5/15/07.

Wagner, Gretchen, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.

Walls, Danny, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.

Warren, Rebecca L., College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/07 through 6/30/07.

Wegrzyn, Joseph, College of Arts and Sciences, Instructor (part-time), English, 1/1/07 through 5/15/07.

Wellman, Stephen M., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/07 through 5/15/07.

Wertzler, Jon, College of Business and Economics, Instructor (part-time), Management, 1/1/07 through 6/30/07.

Wigginton, Andrew J., College of Arts and Sciences, Instructor (part-time), Biology, 1/1/07 through 5/15/07.

Wilke, David G., College of Arts and Sciences, Instructor (temporary), English, 1/1/07 through 5/15/07.

Wilson, Richard G., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/16/07 through 6/30/07.

Wood, Mark F., College of Arts and Sciences, Instructor (part-time, temporary), English, 1/1/07 through 5/15/07.

Wray, Amanda B., College of Arts and Sciences, Instructor (temporary), English, 1/1/07 through 5/15/07.

Zerpa, Levis, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/07 through 5/15/07.

E. CHANGES

- Ades, Harriet, College of Arts and Sciences, from Instructor (temporary), Chemistry, to Instructor (part-time), Chemistry, 1/1/07 through 5/15/07.
- Cardiff, Cheryl, College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 1/1/07 through 5/15/07.
- Ellis, Steven L., College of Arts and Sciences, from Instructor (part-time), Physics and Astronomy, to Adjunct Instructor, Physics and Astronomy, 1/1/07 through 5/15/07.
- Fields, Jim, College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 1/1/07 through 5/15/07.
- Fitzpatrick, Sarah, College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 1/1/07 through 5/15/07.
- Halladay, Keith E., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 1/1/07 through 5/15/07.
- Harden, David P., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 1/1/07 through 5/15/07.
- Harris, David C., Research, from Geologist IV, Kentucky Geological Survey, to Section Head, Kentucky Geological Survey, effective 2/1/07.
- Horvath, Ivan, College of Arts and Sciences, from Adjunct Instructor, Physics and Astronomy, to Instructor (part-time), Physics and Astronomy, 1/1/07 through 5/15/07.
- Johnson, James A., College of Arts and Sciences, from Instructor (part-time), English, to Adjunct Instructor, English, 1/1/07 through 5/15/07.
- Li, Mindi, College of Pharmacy, from CPST Laboratory Validation Scientist, Center for Pharmaceutical Science and Technology, to CPST cGMP Laboratory Manager, Center for Pharmaceutical Science and Technology, effective 2/5/07.
- McIntyre, Jonathan, Research, from Geologist III, Kentucky Geological Survey, to Geologist IV, Kentucky Geological Survey, effective 2/1/07.
- Moosnick, Nora R., College of Arts and Sciences, from Assistant Professor (visiting), Sociology, to Instructor (part-time), Sociology, 1/1/07 through 5/15/07.
- O'Hara, Michael, College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 1/1/07 through 5/15/07.
- Painter, Travis A., College of Health Sciences, from Lecturer, Clinical Sciences, to Assistant Professor (part-time), Clinical Sciences, 7/1/06 through 6/30/07.
- Riddell, Vicki, College of Health Sciences, from Administrative Support Associate I, to Administrative Staff Officer III, effective 12/5/06.
- Rosdatter, Paula E., College of Arts and Sciences, from Instructor (part-time), Philosophy, to Instructor (temporary), Philosophy, 1/1/07 through 5/15/07.
- Sandmeyer, Robert D., College of Arts and Sciences, from Instructor (part-time), Philosophy, to Instructor (temporary), Philosophy, 1/1/07 through 5/15/07.
- Spradling, Jeff A., College of Arts and Sciences, from Instructor (part-time), English, to Adjunct Instructor, English, 1/1/07 through 5/15/07.
- VanSickels, Mary, College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 1/1/07 through 5/15/07.

Wheeler, David R., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 1/1/07 through 5/15/07.
Woods, Dorothy K., College of Arts and Sciences, from Instructor (part-time), Honors Program, to Instructor (part-time), History, 1/1/07 through 5/15/07.
Wyse, Joseph W., College of Pharmacy, from CPST Director Associate and General Manager, Center for Pharmaceutical Science and Technology, to CPST Managing Director, Center for Pharmaceutical Science and Technology, effective 2/8/07.
Xun, Chang-Qing, College of Medicine, from Assistant Professor, Internal Medicine, to Assistant Research Professor, Internal Medicine, 11/1/06 through 6/30/07.

F. LEAVES OF ABSENCE

Baxter, Anthony Q., College of Engineering, Associate Professor (with tenure), Computer Science, Leave without pay, 1/1/07 through 6/30/07.
Blomquist, Glenn C., College of Business and Economics, Professor (with tenure), Economics, and joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, Sabbatical leave at half salary, 7/1/07 through 6/30/08.
Case, Donald O., College of Communications and Information Studies, Professor (with tenure), Library and Information Science, Sabbatical leave at full salary, 1/1/08 through 6/30/08.
Childers, Terry, College of Business and Economics, Professor (with tenure), Management, Sabbatical leave at half salary, 7/1/07 through 6/30/08.
Danner, Fred, College of Education, Professor (with tenure), Educational and Counseling Psychology, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
Ederington, Josh, College of Business and Economics, Associate Professor (with tenure), Economics, Sabbatical leave at half salary, 1/1/08 through 6/30/08.
Fackler, James, College of Business and Economics, Professor (with tenure), Economics, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
Ferrier, Walter, College of Business and Economics, Associate Professor (with tenure), Management, Sabbatical leave at full salary, 1/1/08 through 6/30/08.
Finney, L. N., College of Arts and Sciences, Professor (with tenure), English, Scholarly fellowship leave, 7/1/07 through 6/30/08.
Lederer, Albert, College of Business and Economics, Professor (with tenure), Management, Sabbatical leave at full salary, 1/1/08 through 6/30/08.
Lord, Charles H., College of Fine Arts, Associate Professor (with tenure), Music, Leave without pay, 7/1/07 through 6/30/08.
Minier, Jenny A., College of Business and Economics, Associate Professor (with tenure), Economics, Sabbatical leave at half salary, 1/1/08 through 6/30/08.
Moser, Debra K., College of Nursing, Professor (with tenure), Sabbatical leave at full salary, 7/1/07 through 12/31/07.
Proctor, Jane, College of Agriculture, County Extension Agent, Cooperative Extension Service, Educational leave with full salary, 6/15/07 through 12/14/07.
Reed, Deborah B., College of Nursing, Associate Professor (with tenure), and joint appointment as Associate Professor (without tenure), Preventive Medicine and

Environmental Health, College of Public Health, Sabbatical leave at full salary, 1/1/08 through 5/31/08.

Stone, Dan, College of Business and Economics, Professor (with tenure), Accountancy, Sabbatical leave at full salary, 1/1/08 through 6/30/08.

Toma, Mark, College of Business and Economics, Associate Professor (with tenure), Economics, Sabbatical leave at full salary, 1/1/08 through 6/30/08.

Truszczynski, Mirosław, College of Engineering, Professor (with tenure), Computer Science, Sabbatical leave at full salary, 7/1/07 through 12/31/07.

G. RESIGNATIONS

Falluji, Nezar M., College of Medicine, Clinical Instructor, Internal Medicine, effective 12/31/06.

Greenlee, Brian A., College of Medicine, Assistant Professor*, Psychiatry, effective 3/31/07.

Howard, Georgette, College of Pharmacy, Associate Professor (with tenure), Pharmaceutical Sciences, effective 1/1/07.

Kale, Amit, College of Engineering, Assistant Research Professor, Computer Science, effective 1/25/07.

Keller, Dorothy J., College of Medicine, Assistant Clinical Professor, Psychiatry, effective 3/31/07.

Main, John A., College of Engineering, Associate Professor (with tenure), Mechanical Engineering, effective 8/31/06.

McQueen, Sharon, College of Communications and Information Studies, Assistant Professor, Library and Information Science, effective 6/30/07.

Ramsingh, Parshan S., College of Medicine, Associate Clinical Professor, Diagnostic Radiology, effective 2/28/07.

Ross, Stuart A., College of Medicine, Associate Professor (with tenure), Pediatrics, effective 1/9/07.

Sabet, Arman, College of Medicine, Assistant Professor*, Neurology, effective 8/31/06.

Shah, Paulomi R., College of Dentistry, Assistant Clinical Professor, Oral Health Science, effective 5/18/07.

Subramanian, Kumar, College of Dentistry, Assistant Professor*, Oral Health Practice, effective 1/31/07.

Sullivan, Jennifer, College of Dentistry, Assistant Clinical Professor, Oral Health Practice, effective 5/31/07.

Van Dyke, Eileen, College of Health Sciences, Associate Professor* (with tenure), Clinical Sciences, effective 6/30/07.

*Special Title Series

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. RETIREMENTS

Balcom, Rose, Nurse Clinical, Endoscopy, after 14 years and 10 months of consecutive service, and a total of 15 years of service, under AR II-I.6-1, Section III.B, effective 1/19/07.

Horn, Phyllis, Staff Development Manager, Nursing Practice, after 22 years and 3 months of consecutive service, under AR II-I.6-1, Section III.B, effective 3/2/07.

B. EARLY RETIREMENTS

Blake, Barbara, Nurse Clinical, Children's Hospital, after 18 years and 3 months of consecutive service, and a total of 24 years and 5 months of service, under AR II-1-6-1, Section III.A.2, effective 1/1/07.

II. ADMINISTRATIVE ACTIONS

A. ADMINISTRATIVE APPOINTMENTS

Merrell, Mary L., University Hospital, Nursing, Patient Care Manager for 6 South and 7 East, effective 2/4/07.

B. CHANGES

Montgomery, John, from Technical Project Specialist III to Information Technology Manager III, UK Healthcare Information Technology, effective 1/7/07.

PR 3

Office of the President
March 6, 2007

Members, Board of Trustees:

UNIVERSITY STAFF EMERITUS BE CONFERRED UPON
BRENDA J. GREINER

Recommendation: that Ms. Brenda J. Greiner be named University Staff Emeritus in recognition of her many years of service, dedication, and loyalty to the University of Kentucky.

Background: Ms. Greiner began her career in the Office of the Controller on June 17, 1963 and retired effective December 31, 2006 as the Senior Administrative Staff Officer in the Office of the Treasurer. During her service of 43 plus years, Ms. Greiner continuously gained in experience and knowledge with increasing responsibilities providing direct support to the Controller and the Treasurer and their entire staffs.

Ms. Greiner faithfully dedicated her successful career to the University of Kentucky and was a principal resource to the entire campus with her detailed knowledge of policies and business processes. Ms. Greiner was well known as the example of a professional Business Officer eager to share her knowledge and expertise with others. Her willingness to help in any way earned her the highest respect from all areas of campus.

Over the years, Ms. Greiner faithfully executed her duties and responsibilities and always exceeded the expectations of her position. Her dedicated years of service, generosity, and kindness have made her one of the most loyal and deserving employees to be conferred the honor of University Staff Emeritus by the Board of Trustees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 4

Office of the President
March 6, 2007

Members, Board of Trustees:

APPOINTMENT OF BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY GLUCK EQUINE RESEARCH FOUNDATION, INC.

Recommendation: that approval be given to the appointment of Tom Goncharoff, Robert C. Strout, and Art Zubrod to the Board of Directors of the University of Kentucky Gluck Equine Research Foundation for four-year terms, ending December 31, 2010.

Background: The Nominating Committee of the University of Kentucky Gluck Equine Research Foundation, which includes the dean of the College of Agriculture, recommended these appointments to the provost. These recommended appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation and Bylaws for the University of Kentucky Gluck Equine Research Foundation approved by the Board of Trustees at its meeting on September 18, 1984.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Bios for Board of Directors
University of Kentucky Gluck Equine Research Foundation, Inc.

Tom Goncharoff. Mr. Goncharoff is active in the thoroughbred and quarter horse industries as manager of R. D. Hubbard's equine interests and farms. Presently, he is building a farm in New Mexico that will be a quarter horse and thoroughbred operation. He is the former farm/racing manager for Crystal Springs Farm in Paris, Kentucky. Crystal Springs campaigned such stakes horses as Gentlemen (Arg.), Talloires, Fit to Lead, Fire the Groom, Ledger Cat, Exclusive Partner, Corwyn Bay, Mistico, Survive, and Invited Guest, among others. Before joining Crystal Springs, he managed Vessels Stallion Farm in California, a leading quarter horse breeding and racing operation.

Robert C. Stout. Dr. Stout, executive director of the Kentucky Office of the State Veterinarian, joined the Kentucky Department of Agriculture in 2003 in a homeland security position to keep the public informed about biosecurity issues and disaster preparedness through presentations and training sessions. He served as secretary-treasurer of the Kentucky Veterinary Medical Association for 12 years and served on its disaster preparedness committee. Dr. Stout is a graduate of the University of Kentucky's College of Agriculture and the veterinary school at Ohio State University. He has been an equine and cattle practitioner in the Versailles area since 1974.

Art Zubrod. Mr. Zubrod's saddlebred interests began when his family moved to the Rock Creek area in Louisville. He worked as a groom and trainer for several stables before opening a public stable in 1972. In 1976, the standardbred industry beckoned. Mr. Zubrod is currently general manager of Brittany Farms, which he has managed with his wife since 1983. He is a member of the United States Trotting Association's Breeders Committee, is on the Board of Directors of the American Saddlebred Horse Association, has served on the Mare Reproductive Loss Syndrome Investigative Committee, owns Fair Island Farm (having bred 2002 United States Trotting Association's Horse of the Year, Real Desire), and has served as board president of Providence Montessori School.

PR 5

Office of the President
March 6, 2007

Members, Board of Trustees:

UNIVERSITY RESEARCH PROFESSORSHIPS

Recommendation: that the Board of Trustees approve the naming of Professors Jayakrishna Ambati, Department of Ophthalmology and Visual Science; Richard Kryscio, Department of Statistics; Peter Little, Department of Anthropology; and Peter Nagy, Department of Plant Pathology as University Research Professors for 2007-2008.

Background: In April 1976, the University Senate recommended to the president the establishment of one-year University Research Professorships and that priority be given to the goal of establishing permanent Research Professorships as funds became available. At its meeting in May 1976, the University of Kentucky Research Foundation voted to support one-year Research Professorships to be activated in the fall of 1977. The Board of Trustees named the first Research Professors at its meeting on March 8, 1977.

The purpose of the University Research Professorships is to enhance and encourage scholarly research productivity, to provide an opportunity for concentrated research effort for selected faculty members, to recognize outstanding research achievement by members of its faculty, to emphasize its research function, and to publicize its research accomplishments in order that the research function of the university be appropriately recognized and understood.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 6

Office of the President
March 6, 2007

Members, Board of Trustees:

NAMING OF BUILDING – JOE CRAFT CENTER

Recommendation: that the Board of Trustees approve naming the new basketball practice facility the Joe Craft Center.

Background: Joseph W. Craft III was born in Hazard, Kentucky and graduated from Hazard High School in 1968. He received his Bachelor of Science in Accounting degree and a Juris Doctor from the University of Kentucky.

“I’m from Kentucky, so I understand that the University of Kentucky athletic program means a great deal to the people of the state,” Craft said. “Athletics plays a big part in the development of young people, and I believe strongly in the work currently being done by the coaches, staff, and student-athletes at UK.”

Mr. Craft developed a friendship with Orlando “Tubby” Smith while Coach Smith was at the University of Tulsa. The two have remained friends throughout the years. Mr. Craft is the largest donor to the University of Kentucky athletic program.

The Committee on Naming University Buildings has reviewed the request and found it to be in compliance with university policy AR II-1.1-6 and unanimously recommended approval of this name change. Athletics Director Mitch Barnhart supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 7

Office of the President
March 6, 2007

Members, Board of Trustees:

CONDITIONS OF MERIT FOR HONORARY DEGREES

Recommendation: that the Board of Trustees approve the Conditions of Merit for Honorary Degrees as approved and recommended by the University Faculty.

Background: Pursuant to Kentucky Revised Statutes 164.240 and Governing Regulation IV.A, the elected Faculty Senators approved a statement of policy on the conditions of merit for the awarding of honorary degrees and are recommending Board approval of the Conditions of Merit for Honorary Degrees.

The Conditions of Merit for Honorary Degrees is attached.

Action taken: ☐ Approved ☐ Disapproved ☒ Other Withdrawn

5.4.2.4 Conditions of Merit for Honorary Degrees

A. Principles

In awarding Honorary Degrees, the University accomplishes several purposes: It pays tribute to those whose life and work exemplify professional, intellectual, or artistic achievement. It recognizes and appreciates those who have made significant contributions to society, the state, and the University. It highlights the diverse ways in which such contributions can be made. And it sends a message that principles, values, and contributions are important. Well-chosen honorees affirm and dignify the University's own achievements and priorities.

Honorary degrees may be conferred upon those who have achieved distinction through outstanding intellectual or creative achievements, or through outstanding leadership in education, business, public service or other appropriate sectors of society.

B. Conditions

1. The honorary degree shall be awarded to recognize only exceptional accomplishments as outlined in the above principles. The nominee's special achievement or contribution to society shall be the fundamental consideration and shall be evaluated without regard to the nominee's attainment of influential position or financial status.
2. The nominee shall have gained distinction worthy of recognition extending well beyond his/her own field of endeavor and geographical area of activity.
3. Although it is recognized that it is desirable for the nominee to have a tie to the University of Kentucky or the Commonwealth of Kentucky, such a connection shall not be a requirement.
4. As long as the nominee clearly meets the criteria, his/her selection shall not be affected by the number of previous similar honors received.
5. Former faculty and staff of the University of Kentucky shall meet the same criteria as other nominees; current faculty and staff are not eligible.
6. Elected or appointed officials of the Commonwealth of Kentucky shall not be eligible as honorary degree candidates during their terms of office.

PR 8

Office of the President
March 6, 2007

Members, Board of Trustees:

HONORARY DEGREE RECIPIENTS

Recommendation: that the Board of Trustees approve awarding the degree of Honorary Doctor of Letters to Nicholas J. (Nick) Clooney, Honorary Doctor of Letters to Juanita W. Fleming, and Honorary Doctor of Letters to Virginia Gaines Fox, as approved and recommended by the University Faculty.

Background: Pursuant to the Conditions of Merit for Honorary Degrees, the University Joint Committee on Honorary Degrees has recommended to the elected Faculty Senators that the specified honorary degree be awarded to Nicholas J. (Nick) Clooney, Juanita W. Fleming, and Virginia Gaines Fox. The elected Faculty Senators have approved the recommendation.

Biographical sketches of the recipients are attached.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Nicholas J. (Nick) Clooney
2007 Nominee for Recipient of Honorary Doctor of Letters

A native Kentuckian, Nick Clooney was born in Maysville, Kentucky in 1934 where he was raised along with his two sisters, Rosemary and Betty Clooney. Mr. Clooney began his career at age 16, when he took a job at a Maysville radio station. This was the start of a lengthy and distinguished career in television journalism as reporter, anchor, managing editor, and news director in Cincinnati, Salt Lake City, Buffalo, and Los Angeles.

After his early start, Mr. Clooney moved his career from the radio to television and print media. Mr. Clooney ventured into print journalism in 1989 by authoring columns on politics, American history, travel, cinema, and social activism for the *Cincinnati Post* and the *Kentucky Post* three times a week. Mr. Clooney is the author of two books and is credited by his son George Clooney as the inspiration for George's recent film, *Good Night and Good Luck*. Over the years, Mr. Clooney has received numerous awards for his journalistic work. He was installed into the Kentucky Journalism Hall of Fame and the Ohio Broadcasters Hall of Fame and was named among "the best in the business of television news" by *Washington Review of Journalism*.

With respect to educating future journalists, Mr. Clooney has traveled the country to make presentations to students on the power and responsibility of journalists in a democracy. Mr. Clooney was very much affected by Edward R. Murrow and the importance of integrity in reporting the news. Mr. Clooney tells students to ask tough questions and ask hard enough to get to the truth; he emphasizes checking facts and being consistently accurate. Mr. Clooney has also been very active at KET, hosting several documentaries.

Recently, Mr. Clooney has devoted much time and effort reporting on the genocide in the Darfur region of Sudan. After having visited the Darfur refugee camps to collect information from the survivors, Mr. Clooney has worked tirelessly on behalf of the refugees to bring about public awareness of the plight of the people of Darfur in order to create pressure for a political resolution to the crisis there.

In view of his distinguished career in print, television, and radio journalism and his devotion to the plight of the people of Darfur, Nick Clooney is recommended as a recipient of the 2007 Honorary Doctor of Letters degree.

Virginia Gaines Fox
2007 Nominee for Recipient of Honorary Doctor of Letters

A seventh generation Kentuckian, Virginia Fox was born in Campbellsville, Kentucky. During her long and distinguished career, Fox has made great contributions to the education of the citizens of the Commonwealth of Kentucky. She has served many years in administrative positions at Kentucky Educational Television (KET) and served most recently as the Secretary for Kentucky's Education Cabinet.

Ms. Fox received her Bachelor's degree in elementary education from Morehead State University in 1961 and later earned a Master's degree in library science at UK in 1969. Ms. Fox has worked in a variety of education-related fields, including as an elementary school teacher, elementary school librarian, and a university instructor, before she began her long association with Kentucky Educational Television. At KET Ms. Fox has served in numerous positions, including Director of Education/Programming, Deputy Executive Director, Executive Vice President of the KET Foundation, President of the KET Endowment, the Chief Operating Officer of KET, and Executive Director and CEO of KET.

One of highlights of Ms. Fox's career in public broadcasting has been the development of distance learning programs in order to bring educational opportunities to underserved students. She is founder of the Satellite Educational Resource Consortium (SERC) (the first public broadcaster/Department of Education interstate consortium for distance learning) and created the first National ITV Satellite Schedule (NISS) serving over 23 million students annually.

Ms. Fox is the recipient of many awards and honors. She received the Corporation for Public Broadcasting Lifetime Achievement Award in 2002. She received the Appalachian Woman of the Year award in 1995. She was granted the Best of Kentucky 2006 Technology Leadership Award, the Learning and Liberty Award in 2004 presented by the National School Public Relations Association, and numerous other awards and several honorary doctorates.

Ms. Fox was the first and at the time the only female CEO of a national organization in public broadcasting. She was also the founding director of the Organization of State Broadcasting Executives. In addition, Ms. Fox was the first public broadcasting representative selected by the Association of Independent Video and Filmmakers for the (congressionally created) Independent Television Service Board.

On the basis of her lengthy and extensive career in public television and her creativity in distance learning, Virginia Fox is recommended as a recipient of the 2007 Honorary Doctor of Letters degree.

Juanita W. Fleming
2007 Nominee for Recipient of Honorary Doctor of Letters

Juanita Fleming faced many challenges as an African American woman in the academe. Her scholarly accomplishments, integrity, and high standards propelled her into the President's Cabinet at UK, where she served as the first female and first African American member. Dr. Fleming has held a variety of administrative and scholarly positions during her career. She most recently served as Provost and Vice President for Academic Affairs at Kentucky State University.

After being appointed to the UK faculty in 1969, Juanita Fleming became the first African American woman to become tenured and promoted to professor in the College of Nursing. She went on to become Assistant Dean and Director of Graduate Education for the College of Nursing and the Associate Vice Chancellor for Academic Affairs in the UK Medical Center. She was honored as an American Council on Education Fellow for Academic Administration in 1977. During 1991-2001 she served as Special Assistant to the UK President for Academic Affairs.

Dr. Fleming consistently assumed leadership roles within the UK community, working for diversity by serving on innumerable university committees, including the Medical Center Cultural Diversity Committee, the university-wide Equal Opportunity Panel, and the Search Committee for the Vice Chancellor for Minority Affairs. Her commitment to excellence and diversity in healthcare and education continued after she retired from UK, when she agreed to co-chair the Board of Directors of the Kentucky Institute of Medicine, an organization formed in 2005 with the goal of providing the best advice concerning health and health care policy to the Commonwealth's leaders and the public.

As a scholar, Dr. Fleming has produced over 24 books and book chapters, over 50 refereed articles, and many other publications in the healthcare area. She has been the recipient of numerous internal and external grants and funding to study health issues in children with disabilities. She is recognized nationally and internationally as an expert in the field of diversity in nursing and nursing education.

The recipient of many honors, Dr. Fleming was named an American Academy of Nursing Fellow, received both the Outstanding Alumnus and Hall of Fame awards from Hampton University, the Lifetime Achievement Award from the Association of Black Nursing Faculty, and in 2004, the "Living Legend" award from the American Academy of Nurses for her contributions to the nursing profession and higher education.

In light of Dr. Juanita Fleming's lengthy career devoted to increasing diversity in the medical field and her continuous service to medical institutions and institutions of higher education, Dr. Fleming is recommended as a recipient of the 2007 Honorary Doctor of Letters degree.

AACR 1

Office of the President
March 6, 2007

Members, Board of Trustees:

CANDIDATES FOR DEGREES
BLUEGRASS COMMUNITY AND TECHNICAL COLLEGE

Recommendation: that the president be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the Kentucky Community and Technical College System (KCTCS) Board and the University of Kentucky Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: On July 1, 2004, a Memorandum of Agreement among the University of Kentucky, the Kentucky Community and Technical College System, and Lexington Community College (LCC) pursuant to House Joint Resolution 214 was approved. The agreement states that LCC students who were or are officially enrolled on or before September, 2004, in associate degree programs approved by the UK Board of Trustees and who complete the associate degree program on or before August 31, 2010, shall have their degrees conferred by the UK Board of Trustees.

Following the passage of that agreement, the Lexington Community College name has been changed to the Bluegrass Community and Technical College.

For details on degree candidate listings,
please contact

Jacquie Hager
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 2

Office of the President
March 6, 2007

Members, Board of Trustees:

CREATION OF THE DEPARTMENT OF NEUROSURGERY

Recommendation: that the Board of Trustees approve the creation of the Department of Neurosurgery by separating the current Division of Neurosurgery from the Department of Surgery, effective July 1.

Background: This proposal received initial impetus from the strategic planning process initiated by the executive vice president for health affairs, resulting in a recommendation that Neurosciences be a focus of clinical expansion and that the creation of a freestanding department of neurosurgery was material to that expansion. An ad hoc committee appointed by the dean of the College of Medicine to examine the status of the Division of Neurosurgery recommended that the college proceed with the internal process of creating the Department of Neurosurgery, taking cognizance of the impact on the Department of Surgery.

When last surveyed, 82 percent of U.S. allopathic medical schools had freestanding departments of neurosurgery. Given that this is the standard, the importance to the College of Medicine in joining these ranks centers largely around the ability to recruit academic neurosurgical faculty and leadership. Faculty and future chairs will have the expectation in considering positions at the University of Kentucky that programming and priorities will be theirs to develop in conjunction with college and university leadership, rather than through the filter of a parent surgical department. The college, UK HealthCare, and the university must be competitive in its structure when competing for the best neurosurgical faculty.

The proposed change has been approved by the faculty in the department and the Faculty Council of the College of Medicine; reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and recommended by the University Senate. The provost and the dean of the College of Medicine of the university support this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 3

Office of the President
March 6, 2007

Members, Board of Trustees:

PROPOSED AMENDMENTS TO ADMINISTRATIVE REGULATION:
NON-RESIDENT FEE COMMITTEE

Recommendation: that the Board of Trustees approve the attached revisions to Administrative Regulation III-1.1-4, Non-Resident Fee Committee. The proposed revisions are intended to make the Administrative Regulation more consistent with regulations promulgated by the Council on Postsecondary Education (CPE) and with current university organization.

Background: Kentucky Revised Statute 164.020(8) requires the Council on Postsecondary Education to determine tuition and approve the minimum qualifications for admission to a state-supported postsecondary education institution and authorizes CPE to set different tuition rates for residents of Kentucky and for nonresidents.

Kentucky Revised Statute 164.030 requires the governing board of each of the state postsecondary educational institutions to make changes in the rules and regulations affecting their schools as are necessary to carry out the rulings of the Council on Postsecondary Education in regard to entrance fees and qualifications for admission.

Kentucky Administrative Regulation 13 KAR 2:045, promulgated by the Council on Postsecondary Education, establishes the procedure and guidelines for determining the residency status of a student who is seeking admission to a state-supported postsecondary institution, or a student who is enrolled and seeks a change in his or her residency classification.

A revised copy of AR III-1.1-4 is attached. Language being deleted is marked through and printed in blue and language being inserted is underlined and printed in red. Noted revisions to the regulation are as follows:

- The title of the committee is changed from “Non-Resident Fee Committee” to “Residency Review Committee” to conform to the regulations of the Council on Postsecondary Education and to clarify the committee's purpose.
- Two of the four required committee members are changed from ex-officio members to at-large members of the “faculty and staff” to allow the provost

UNIVERSITY OF KENTUCKY ADMINISTRATIVE REGULATIONS	IDENTIFICATION AR III-1.1-4		PAGE 1
	DATE EFFECTIVE <u> / /07 </u>	SUPERSEDES REGULATION DATED 6/15/89 3/19/92 9/23/04 6/11/96 9/21/99 <u>12/13/05</u>	

NON-RESIDENT FEE COMMITTEE RESIDENCY REVIEW COMMITTEE

(Approved By the Board of Trustees)

I. Introduction

The University is required to determine, pursuant to criteria established by 13 KAR 2:045 each student's residency status for purposes of tuition. 13 KAR 2:045 also requires the University to provide for an administrative appeals process to consider student appeals of a residency determination, designate a residency appeals officer, and establish a residency review committee to consider appeals of residency determinations by the residency appeals officer.

II. Application for Change of Residency

A. Application for change of residency classification by a student enrolled in or an applicant to a program at the University of Kentucky shall be made in writing to the Residency Officer in the Office of the Assistant Provost for Enrollment Management for initial consideration.

B. The Residency Officer, a member of the Office of the Assistant Provost for Enrollment Management, is selected by the Assistant Provost for Enrollment Management and will serve as the Residency Officer as part of his or her job description.

C. Residency determinations shall be based on regulations promulgated by the Council on Postsecondary Education.

D. The Assistant Provost for Enrollment Management will not participate in the initial determination by the Residency Officer. The decision of the Residency Officer, if adverse to the applicant, may be appealed to the ~~Non-Resident Fee Committee~~ Residency Review Committee.

III. Residency Review Committee – Authority, Membership, and Quorum

A. The Residency Review Committee shall consider appeals from students whose requests for change in residency status have been denied by

~~the Residency Officer. Residency appeals shall be based on regulations promulgated by the Council on Postsecondary Education.~~

B. The Committee shall have authority to establish procedural rules, consistent with Governing Regulations and approved by the Provost and to schedule meetings. ~~The Committee shall have authority to adopt by-laws, establish procedural rules, and to schedule meetings.~~

C. The Non-Resident Fee Committee ~~Residency Review Committee~~ is appointed by the President of the University of Kentucky and reports to the Provost.

D. The Committee shall be comprised of four members:

1. Two members shall be recommended by the Provost ~~the from among the faculty and staff. Associate Provost for Academic Affairs, the Assistant Provost for Enrollment Management (who may not also be the is not the Residency Officer).~~

2. One ~~one~~ faculty member shall be recommended ~~nominated~~ by the University Senate. ~~and one student.~~ The University Senate shall recommend ~~will forward a list of~~ three (3) faculty members to the President, who will select a member of the Committee from among those named on this list.

3. One member shall be a student.

E. The chair shall be designated by the Provost from the Committee members. ~~Faculty and staff~~ ~~The faculty members normally shall have a three-year appointment. The student member shall have a one-year appointment. Members may serve consecutive terms.~~

F. Three members shall be necessary for Committee action, and three members shall constitute a quorum. A majority of the Committee members present shall be necessary for any decision.

IV. Residency Review Committee - Procedures

A. The Provost shall provide professional and administrative support for the Residency Review Committee, including but not limited to recording and preserving the minutes of Committee meetings, processing applications on appeal, and presenting the applications at Committee meetings.

B. A student may be invited to attend, or a ~~A~~ student may request the opportunity to attend, a meeting of the ~~Non-Resident Fee Committee~~ Residency Review Committee that is considering his or her case by contacting the Chair of the Committee.

C. ~~If invited, the student may bring one advisory to the meeting, but the student shall present his or her case. The role of the advisor shall be limited to providing advice to the student. Even if accompanied by an advisor, a student shall present his or her case and personally respond to inquiries from the Committee. In consideration of the limited role of an advisor and of the compelling interest of the University to expeditiously conclude the matter, a Committee meeting shall not, as a general practice, be delayed due to the unavailability of an advisor.~~

D. The Residency Review Committee shall base its decision on regulations promulgated by the Council on Postsecondary Education. The applicant shall be notified in writing as to the Committee's decision on the applicant's appeal.

E. If the applicant considers the decision of the Committee to be unsatisfactory, the applicant may request a formal hearing by contacting the Chair of the ~~Non-Resident Fee Committee~~ Residency Review Committee in writing within fourteen (14) days after receipt of the written notification.

V. Formal Residency Appeals Hearings

A. The Provost is authorized to appoint or employ a hearing officer to preside over formal residency hearings and shall arrange a formal hearing on a timely basis. The formal hearing shall include guarantees of due process including the right of a student to be represented by legal counsel and the right of the student to present information and testimony in support of a claim of Kentucky residency. The Hearing Officer shall have the authority to establish procedural rules for hearings. The Residency Office shall have the right to present information to the Hearing Officer.

B. The Hearing Officer shall make a recommendation to the Provost. The Provost shall decide the appeal and notify the student in writing.

C. The Office of Legal Counsel shall advise the Residency Officer and the ~~Non-Resident Fee Committee~~ Residency Review Committee, on legal matters, including but not limited to interpretation of the regulations promulgated by the Council on Postsecondary Education, procedural questions, and applicable laws, cases, and regulations, and shall represent the University in formal hearings.

D. The ~~Non-Resident Fee Committee~~ Residency Review Committee shall submit an annual report on its activities to the Provost.

AR III-1.1-4
12/13/05
Page 4

References: KRS 164.020(8); KRS 164.030; 13 KAR 2:045

more flexibility in appointing the committee and to conform to current university organization.

- Formatting changes are needed to update the regulation and make it consistent with other university Administrative Regulations.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 1

Office of the President
March 6, 2007

Members, Board of Trustees:

PAUL W. CHELLGREN PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$500,000 from Paul W. Chellgren of Villa Hills, Kentucky, to add to the existing Chellgren Fund for Excellence in the Office of the Provost and submit this pledge for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Paul Chellgren, a 1964 graduate of the Gatton College of Business and Economics, is the retired Chairman and CEO of Ashland, Inc. Mr. Chellgren has been a long-time and generous supporter of UK and is a former member of the Board of Trustees. He has been inducted into the UK Hall of Distinguished Alumni and the Gatton College of Business and Economics' Hall of Fame. The initial pledge of \$1.5 million for the Chellgren Fund for Excellence Fund was accepted by the Board of Trustees on January 11, 2005. The Fund will provide a chair, five professorships, and a research fund for areas including the physical sciences, life sciences, social sciences, and humanities.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 2

Office of the President
March 6, 2007

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE DELOITTE PROFESSORSHIP ENDOWMENT

Recommendation: that the Board of Trustees accept gifts of \$57,025 and pledges of \$64,500 from eight partners of Deloitte to add to the existing Deloitte Professorship Endowment in the Gatton College of Business and Economics and submit these gifts and pledges of \$121,525 for matching funds from Kentucky's Endowment Match Program. In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: John Hawkins, BE'73, is a managing partner with Deloitte and a member of the School of Accountancy Advisory Board. He contacted all of the Gatton College alumni who are Deloitte partners to secure these gifts and pledges to augment the Deloitte Professorship.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 3

Office of the President
March 6, 2007

Members, Board of Trustees:

GIFTS AND PLEDGES TO
THE EARTH AND ENVIRONMENTAL SCIENCES ENDOWED ALUMNI
PROFESSORSHIP

Recommendation: that the Board of Trustees accept a gift of \$5,000 and a pledge of \$15,000 from Richard C. Neavel of Austin, Texas, on behalf of Kenneth Neavel of Houston, Texas; a gift of \$2,000 and a pledge of \$8,000 from William Foley of Louisville, Kentucky; a pledge of \$10,000 from Stephen Sullivan of Louisville, Kentucky; a gift of \$2,500 and a pledge of \$7,500 from James Pear of Slidell, Louisiana; and a pledge of \$5,000 from Mike Reed of Louisville, Kentucky, to create and endow the Earth and Environmental Sciences Endowed Alumni Professorship in the College of Arts and Sciences and submit these gifts and pledges of \$55,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The donors are graduates of the College of Arts and Sciences' Geology program. All have been active in the department's Alumni Advisory Board and possess a strong commitment to their alma mater. This professorship will provide salary and resource support for enhancement of earth and environmental science research.

Action taken: ☒ Approved q Disapproved q Other _____

FCR 4

Office of the President
March 6, 2007

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE J. GARY MAYNARD PROFESSORSHIP IN
PERIODONTOLOGY AND ORAL HEALTH RESEARCH

Recommendation: that the Board of Trustees accept gifts of \$40,878 and pledges of \$259,381 from multiple donors to create and endow the J. Gary Maynard Professorship in Periodontology and Oral Health Research in the College of Dentistry and submit these gifts and pledges of \$300,259 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees has primary responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Charles Rolph, a graduate of the Periodontology program and a local practitioner, made the initial gift to honor Dr. J. Gary Maynard, a leader in the field and a graduate of the College of Dentistry's first Periodontics class. Dr. Maynard was also named the 2005 College of Dentistry Alumnus of the Year.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 5

Office of the President
March 6, 2007

Members, Board of Trustees:

PHYSICAL THERAPY RICHARD MCDUGALL ALUMNI PROFESSORSHIP
ENDOWMENT FUND

Recommendation: that the Board of Trustees accept gifts of \$7,055 and pledges of \$67,945 from the family, friends, and colleagues of Richard McDougall to create and endow the Physical Therapy Richard McDougall Alumni Professorship Endowment Fund in the College of Health Sciences and submit these gifts and pledges of \$75,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Richard McDougall was appointed the first chair of the Department of Physical Therapy in the College of Allied Health Professions. The respect held by the alumni and faculty for Richard McDougall is exemplified in the naming of the prestigious McDougall Distinguished Alumni Lecture Award, given annually to a physical therapy alumnus/ae who exemplifies the excellence in service that was embodied in McDougall's life work. The division of Physical Therapy has grown from a bachelor's degree to a clinical doctorate degree program in the last 20 years and is part of the Rehabilitation Sciences Ph.D. program. Richard McDougall was the "founding father" of the Physical Therapy program and a leading contributor to the growth of the profession.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 6

Office of the President
March 6, 2007

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE
JOHN R. MINK CHAIR FOR PEDIATRIC DENTISTRY AND ORAL HEALTH
RESEARCH

Recommendation: that the Board of Trustees accept gifts of \$50,161 and pledges of \$52,179 from multiple donors to add to the existing John R. Mink Chair for Pediatric Dentistry and Oral Health Research in the College of Dentistry and submit these gifts and pledges of \$102,340 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Elizabeth Barr has assumed a leadership role in working with other College of Dentistry graduates to create this chair in honor of Dr. John R. Mink, a pediatric dentist and founding faculty member of the college. Dr. Barr earned her Doctor of Dental Medicine degree in 1974 and completed her residency in pediatric dentistry in 1976. Income from the Endowed Chair is being used to enhance research being conducted in pediatric dentistry within the College of Dentistry.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 7

Office of the President
March 6, 2007

Members, Board of Trustees:

ANONYMOUS PLEDGES TO THE MULTICULTURAL STUDIES ENDOWMENT
IN THE CENTER FOR RESEARCH ON VIOLENCE AGAINST WOMEN

Recommendation: that the Board of Trustees accept pledges of \$250,000 each from two anonymous donors to create and endow the Multicultural Studies Endowment in the Center for Research on Violence Against Women and submit these pledges of \$500,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The Multicultural Studies Endowment will support research on race, ethnicity, and cultural factors that influence the prevalence and impact of violence against women. The initial effort will be establishment of the Multicultural Studies Chair. Professorships, graduate fellowships, and related research activities may also be supported when the Endowment is fully funded. The research will be a joint project with Kentucky State University. The research associated with this endowed chair will focus on the multicultural study of violence against women, specifically focusing on the implications of race and ethnicity in the prevalence, incidence, and manifestations of violence.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 8

Office of the President
March 6, 2007

Members, Board of Trustees:

ANONYMOUS GIFT TO THE
PHARMACEUTICAL SCIENCE PROFESSORSHIP AND RESEARCH
ENDOWMENT

Recommendation: that the Board of Trustees accept a gift of \$50,000 from an anonymous donor to create and endow the Pharmaceutical Science Professorship and Research Endowment in the College of Pharmacy and submit this gift for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The Pharmaceutical Science Professorship and Research Endowment has been established at the request of an anonymous donor.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 9

Office of the President
March 6, 2007

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE MARIE RICH ENDOWED PROFESSORSHIP

Recommendation: that the Board of Trustees accept gifts of \$5,000 from Robert E. Rich of Cincinnati, Ohio, \$5,000 from Elizabeth Rich of Covington, Kentucky, and a pledge of \$40,000 from Mr. Rich to create and endow the Marie Rich Endowed Professorship in the College of Arts and Sciences and submit these gifts and pledges of \$50,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Robert E. Rich earned his bachelor's degree from the University of Kentucky in Pre-Law in 1966. He continued his education at Harvard University where he obtained his Juris Doctor degree. He currently serves as chair of the College of Arts and Sciences Dean's Advisory Board. This gift is in memory of his mother.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 10

Office of the President
March 6, 2007

Members, Board of Trustees:

THE SHUMATE FAMILY FOUNDATION GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$5,000 and a pledge of \$95,000 from The Shumate Family Foundation of Paris, Kentucky to create and endow the Rose Carol Shumate Professorship in Cancer Research in the College of Medicine's Division of Hematology/Oncology and submit this gift and pledge of \$100,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The Shumate family has a long acquaintance with the university and the Chandler Medical Center and is grateful for the care provided by the Markey Cancer Center. Paige Shumate Short and her family wish to honor Rose Carol Shumate, Mrs. Short's aunt, with this professorship.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 11

Office of the President
March 6, 2007

Members, Board of Trustees:

DAVID B. STEVENS, M.D. GIFT

Recommendation: that the Board of Trustees accept a gift of \$50,000 from David B. Stevens, M.D., of Lexington, Kentucky, to create and endow the David B. Stevens, M.D. Medical Fellowship Endowment in the College of Medicine, and submit the gift for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Stevens, a retired orthopedic surgeon, was chief of staff at Shriner's Hospital for Children in Lexington and a member of the College of Medicine's Division of Orthopedic Surgery faculty. He is a member of the Lexington-Fayette Urban County Council. The Fellowship will be awarded to a medical student who is doing research in orthopedic surgery under the direction of the endowed David B. Stevens, M.D Professorship.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 12

Office of the President
March 6, 2007

Members, Board of Trustees:

TOYOTA MOTOR ENGINEERING AND MANUFACTURING NORTH
AMERICA, INC. PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$1,000,000 from Toyota Motor Engineering and Manufacturing North America, Inc. (TEMA) of Erlanger, Kentucky to create and endow the Toyota Institute of Research for Technology Development (IR4TD) Research Fund in the College of Engineering and submit this pledge of \$1,000,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: TEMA and the College of Engineering have a long history of collaboration and partnership. The newest effort is the creation of the Institute of Research for Technology Development (IR4TD). The purpose of the institute is to develop new technologies relating to advanced manufacturing. TEMA's gift will support research activities of endowed professors assigned to the Toyota Institute of Research for Technology Development (IR4TD).

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 13

Office of the President
March 6, 2007

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE EMERY A. WILSON, M.D. DEAN'S CHAIR

Recommendation: that the Board of Trustees accept gifts of \$43,934 and pledges of \$55,103 from classmates, colleagues, and friends of Dr. Emery A. Wilson to add to the existing Emery A. Wilson, M.D. Dean's Chair in the College of Medicine and submit these gifts and pledges of \$99,037 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: This initiative was started as a tribute by College of Medicine classmates to honor Dean Emeritus Dr. Emery Wilson. Dr. Wilson served as Dean of the College of Medicine for more than 16 years. Faculty, colleagues, and friends made gifts in his honor to endow a chair to support college-wide research initiatives.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 14

Office of the President
March 6, 2007

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE SIX MONTHS ENDED
DECEMBER 31, 2006

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the six months ended December 31, 2006.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Central Kentucky Management Services.

As of December 31, 2006, the University had realized income of \$1,001,255,000 representing 53 percent of the 2006-07 estimate of \$1,894,473,000. Expenditures total \$859,125,000 or 45 percent of the approved budget of \$1,894,473,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____



*Consolidated Financial
Statements*

For the six months ended December 31, 2006

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
DECEMBER 31, 2006
with comparative totals for December 31, 2005
(in thousands)

	2006-07					2005-06	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 256,934	\$ 8,999	\$ 8,250	\$ 990	\$ 275,201	\$ 550,374	\$ 559,938
Notes, loans, and A/R (less bad debt allowances of \$28,810)	111,944	65,984	22,913	2,000	690	203,531	176,960
Investments	24,312	92,334		838,951	40,877	996,474	828,107
Property, plant, and equipment, net of depreciation					1,110,860	1,110,860	1,056,600
Inventories and other	26,092	56			1,993	28,141	23,375
Total Assets	\$ 419,282	\$ 167,373	\$ 31,163	\$ 841,941	\$ 1,429,621	\$ 2,889,380	\$ 2,644,980
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 53,086	\$ 6,941	\$ 334		\$ 5,060	\$ 65,421	\$ 60,473
Employee withholdings and deposits		7,492				7,492	6,913
Other liabilities	11,172	625	21,889	5,813	6,933	46,432	42,334
Liability for self insurance	63,566					63,566	64,552
Deferred income	27,641	22,602				50,243	61,115
Bonds payable					385,510	385,510	385,065
Capitalized lease obligation					58,775	58,775	66,077
Total Liabilities	155,465	37,660	22,223	5,813	456,278	677,439	686,529
Interfund Balances	(63,315)	48,711		15,354	(750)		
Net deferred revenues and appropriated fund balances	143,889	(1,759)				142,130	110,338
Fund Balances							
Current unrestricted							
Working capital	180,711					180,711	146,607
Future operating purposes	2,532					2,532	4,499
Current restricted		82,761				82,761	69,959
Loan			8,940			8,940	8,591
True endowments				584,532		584,532	507,304
Term endowments				3,640		3,640	3,247
Quasi endowments				228,513		228,513	67,636
Charitable trusts				3,239		3,239	2,453
Gift annuities				850		850	606
Plant							
Retirement of indebtedness					17,125	17,125	22,709
Renewal and replacement					64,894	64,894	177,400
Allocated for designated projects					139,089	139,089	96,641
Net investment in plant					752,985	752,985	740,461
Total Fund Balances	183,243	82,761	8,940	820,774	974,093	2,069,811	1,848,113
Total Liabilities and Fund Balances	\$ 419,282	\$ 167,373	\$ 31,163	\$ 841,941	\$ 1,429,621	\$ 2,889,380	\$ 2,644,980

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2006
with comparative totals for December 31, 2005
(in thousands)

	2006-07				2005-06	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 318,568	\$ 318,568	\$ 175,912	55	\$ 172,867	55
(2) Student fees	210,659	210,897	114,271	54	103,727	54
(3) County appropriations	12,734	13,597	5,473	40	5,195	41
(4) Endowment and investment income	12,681	12,681	7,029	55	5,295	70
(5) Non governmental grants and contracts	93,572	93,572	50,359	54	46,684	52
(6) Grants, donations, pledges						
Affiliated corporations	27,289	27,361	12,659	46	11,951	47
Other	3,065	4,265	371	9	4,429	276
(7) Sales and services	23,203	23,298	12,007	52	11,228	54
(8) Transfers	16,206	16,286	9,722	60	9,591	53
(9) Fund balance	32,462	85,356	85,356	100	52,122	100
(10) Total General Fund	750,439	805,881	473,160	59	423,089	58
(11) Auxiliary Enterprises	62,742	62,742	32,116	51	31,472	48
Restricted Funds						
(12) Federal appropriations	15,298	15,298	5,888	38	6,375	42
(13) Other	94,858	94,858	39,002	41	34,699	37
(14) Affiliated Corporations	326,959	328,094	171,610	52	158,968	51
(15) Hospital						
Revenues	587,600	587,600	279,480	48	249,231	53
(16) Fund balance						
(17) Total Revenues and Appropriated Fund Balances	<u>\$ 1,837,896</u>	<u>\$ 1,894,473</u>	<u>\$ 1,001,255</u>	53	<u>\$ 903,834</u>	53

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2006

with comparative totals for December 31, 2005

(in thousands)

	2006-07				2005-06	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
(1) Instruction	\$ 252,133	\$ 272,777	\$ 121,809	45	\$ 115,766	44
(2) Research	271,974	276,670	117,899	43	112,493	40
(3) Public service	217,008	232,179	118,706	51	103,835	52
(4) Academic support	90,663	93,753	42,234	45	40,313	45
(5) Student services	22,301	23,347	11,818	51	11,118	50
(6) Institutional support	117,750	129,038	41,364	32	26,843	26
(7) Student financial aid	79,978	80,315	43,363	54	41,136	52
(8) Operation and maintenance	56,436	56,741	25,594	45	23,891	47
(9) Mandatory transfers (debt service)	17,048	17,048	15,750	92	17,863	90
(10) Hospital	588,653	588,653	242,910	41	237,927	51
(11) Auxiliary enterprises	123,952	123,952	77,679	63	62,311	51
(12) Total Expenditure by Program	<u>\$ 1,837,896</u>	<u>\$ 1,894,473</u>	<u>\$ 859,125</u>	45	<u>\$ 793,496</u>	47

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2006

with comparative totals for December 31, 2005

(in thousands)

	2006-07				2005-06	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
(13) Personnel costs	\$ 941,707	\$ 945,104	\$ 497,911	53	\$ 463,756	51
(14) Operating expenses	820,461	865,616	307,835	36	293,029	42
(15) Mandatory transfers (debt service)	28,829	28,829	18,714	65	22,445	68
(16) Capital outlay	46,899	54,924	34,665	63	14,266	27
(17) Total Expenditure by Category	<u>\$ 1,837,896</u>	<u>\$ 1,894,473</u>	<u>\$ 859,125</u>	45	<u>\$ 793,496</u>	47

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2006**

with comparative totals for December 31, 2005

(in thousands)

	2006-07	2005-06
(1) Realized Revenues	\$ 915,899	\$ 851,712
Appropriated Fund Balances		
(2) Current Unrestricted Fund	\$ 85,356	52,122
(3) University Hospital		
(4) Total Appropriated Fund Balances	85,356	52,122
(5) Total Revenues and Appropriated Fund Balances	1,001,255	903,834
(6) Expenditures	859,125	793,496
(7) Net Deferred Revenues and Appropriated Fund Balances	\$ 142,130	\$ 110,338

FCR 15

Office of the President
March 6, 2007

Members, Board of Trustees:

CAPITAL PROJECTS

Recommendation: that the Board of Trustees approve initiation of the following capital projects:

<u>Project Title</u>	<u>Fund Source</u>	<u>Authorized Scope</u>
1. Renovate Central Vivarium	Agency Funds	\$ 1,500,000
2. Equine Isolation Facility	Agency Funds	\$ 394,000
	Private Gifts	<u>706,000</u>
	Total	\$ 1,100,000

Background: The renovation of the Central Vivarium, authorized by the 2006 General Assembly as part of the 2006-2008 Budget of the Commonwealth, is estimated to cost approximately \$650,000, which is within the authorized scope of \$1.5 million. The project will be paid for with Division of Animal Laboratory Resources capital renewal and replacement funds and funds from the University of Kentucky Research Foundation (UKRF).

The Equine Isolation Facility is estimated to cost approximately \$1,100,000, of which \$706,000 will be paid for with private gifts and \$394,000 from the Equine Trust administered by the Council Postsecondary Education (CPE). Contingent upon approval by the Board of Trustees, this project will be submitted for authorization to the General Assembly's Capital Projects and Bond Oversight Committee on March 20, 2007 and to CPE on April 4, 2007.

A brief description of each project is attached.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

University of Kentucky
Capital Project Descriptions
March 6, 2007

1. Renovate Central Vivarium

Agency Funds \$1,500,000

This project will renovate the animal care section of the Vivarium in the Central Division of Animal Laboratory Resources Facility. Animal housing and hygiene locations and equipment will be substantially renovated to improve care and treatment. The work also will upgrade finishes, mechanical and electrical systems, and fire sprinklers, and correct code deficiencies. The renovation of the storage area will allow for more efficient use of space and improve the conditions of animal housing areas, and the change of an access door will make for a more secure area. The current space does not provide sufficient separation of animal housing and regular work areas, resulting in the need for the constant re-arrangement of equipment. The changes will provide more efficient use of human resources throughout the department. The cost is estimated at \$650,000, which is within the authorized scope of \$1,500,000.

2. Equine Isolation Facility

Agency Funds	\$ 394,000
Private Funds	<u>706,000</u>
Total	\$1,100,000

This project will design and construct a new equine isolation facility at the University of Kentucky's Maine Chance Farm. The area of infectious diseases has historically been of considerable importance and strength for the Department of Veterinary Sciences' Gluck Center. The isolation facility is needed by the Gluck Equine Center to enable faculty to perform a range of equine infectious disease research that requires facilities with greater biosecurity. The proposed 12-stall facility will provide accommodations for groups of horses involved in specific experimental studies of the pathogenesis of infective agents or in the evaluation of vaccines. Ultimately, the isolation facility will be expanded to house 24 stalls, feed storage and dispensing facilities, animal handling, and research and support areas. The further expansion of the facility will be pursued as additional funds become available. Contingent upon Board approval, the project will be submitted to the General Assembly's Capital Project and Bond Oversight Committee and the Council on Postsecondary Education for interim authorization.

FCR 16

Office of the President
March 6, 2007

Members, Board of Trustees:

APPOINTMENT OF SUCCESSOR TRUSTEE

Recommendation: that the Board of Trustees appoint U.S. Bank National Association, Atlanta, Georgia, as the successor trustee to Farmers Bank & Capital Trust Company, Frankfort, Kentucky with regard to the University of Kentucky Housing and Dining System bonds.

Background: All outstanding bonds of the University of Kentucky Housing and Dining System were refunded, and funds were escrowed to support full defeasement of the bonds on October 18, 2006. Several series of outstanding bonds were advance refunded and cannot be called until various dates extending to June 1, 2018. Escrow funds have been placed on deposit with U.S. Bank, trustee for the university's General Receipt bonds, to pay all principal and interest as it becomes due on all refunded Housing and Dining System bonds.

Farmers Bank & Capital Trust is the trustee under the 1965 Housing and Dining System trust indenture. Accordingly, U.S. Bank must currently forward monies from the escrow funds to Farmers Bank, which in turn makes payments as due to the bond holders.

As a matter of efficiency with regard to payment of the remaining bond holders, Farmers Bank has agreed to resign as trustee, and U.S. Bank has agreed to be appointed as successor trustee with regard to the remaining refunded Housing and Dining System bonds. Besides simplifying the transfer of funds process, the change in trustees will save the university approximately \$12,000 per year.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 17

Office of the President
March 6, 2007

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period ending January 31, 2007.

Background: At its March 4, 1997 meeting, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD
NOVEMBER 1, 2006 THROUGH JANUARY 31, 2007

Patents

The following assignments have been executed by the University of Kentucky Research Foundation on behalf of the Board of Trustees:

1. U.S. Patent Application Serial Number: (to be assigned)

Filed: November 1, 2006

Title: "Transgenic Mice for Bioassay of Prions from Deer and Elk with Chronic Wasting Disease"

Inventor: Dr. Glenn Telling (Microbiology and Immunology)

Technical Description: The invention relates to the use of transgenic constructs to produce models for the study of chronic wasting disease.

Summary: Chronic Wasting Disease (CWD) resembles Mad Cow Disease, but is found in deer and elk rather than cows. As with Mad Cow Disease, symptoms do not appear until late in the disease. Because healthy animals cannot be distinguished from those with early-stage disease, healthy animals within a diseased population must be destroyed to control the spread of the disease. Dr. Telling has invented a test for earlier detection of CWD. The test uses transgenic mice susceptible to CWD.

2. U.S. Patent Application Serial Number: (to be assigned)

Filed: November 20, 2006

Title: "Hierarchical Quantization within a Vocabulary Tree"

Inventors: Drs. David Nister and Henrik Stewenius (Center for Visualization Science)

Technical Description: The present invention relates to computer vision techniques for object recognition and digital image retrieval using accessible databases of a large number of images to provide a means of lookup and retrieval of 'visual word' matches within one or more of the databases. More particularly, the invention is directed to an improved image retrieval technique employing a novel hierarchical feature/descriptor vector quantizer that effectively partitions feature space in a hierarchical manner, creating a quantized space that is mapped to integer encoding.

Summary: The inventors have developed an efficient means of finding copies of known files on the Internet. This is useful particularly for owners of copyright photographs and music. The owner can search the web for his or her photos and/or music and find examples of possible infringement of the copyright materials.

- 3. U.S. Patent Application Serial Number: (to be assigned)**
Filed: July 21, 2006
Title: “Continuous Production of Aligned Carbon Nanotubes”
Inventors: Drs. David Jacques and Rodney Andrews (Center for Applied Energy Research)
Technical Description: The present invention relates to apparatus for producing aligned carbon nanotubes, and more particularly to an apparatus for continuous production of aligned carbon nanotubes. The invention further relates to an apparatus for continuous production of aligned carbon nanotubes that does not require patterned substrates, and that further produce quantities of high quality, homogenous populations of aligned carbon nanotubes.
Summary: The development of hollow microscopic carbon tubes, or “nanotubes,” has led to research on appropriate uses. Sophisticated filters can be made by creating a fabric of many nanotubes aligned in parallel. The inventors have devised an efficient method of aligning nanotubes.
- 4. U.S. Patent Application Serial Number: (to be assigned)**
Filed: January 4, 2007
Title: “Methods and Compositions for Providing SA-Independent Pathogen Resistance in Plants”
Inventors: Drs. Pradeep Kachroo, Aarda Kachroo (Plant Pathology), and AC Chandra-Shekra (outside inventor)
Technical Description: The present invention relates to methods and compositions for modulating disease resistance in plants and transgenic plants.
Summary: An estimated 30 percent of crops are lost to disease. The inventors have developed a novel method of enhancing the disease resistance of plants. The method involves inhibiting production of fatty acids naturally found in plants.
- 5. U.S. Patent Application Serial Number: (to be assigned)**
Filed: December 13, 2006
Title: “Method for Reducing Nitrosamines in Tobacco”
Inventors: Drs. Terry Thomas, John Brandon, Andrew Bailey and Thomas Losty (Tobacco Research)
Technical Description: The invention relates to a method for reducing nitrosamines in tobacco.
Summary: Nitrosamines are cancer- causing agents in tobacco. While green tobacco does not contain them, nitrosamines form during the tobacco-curing process. The inventors have discovered a way to inhibit the formation of nitrosamines during curing by treating tobacco with ferrulic acid before curing.

6. **U.S. Patent Application Serial Number: (to be assigned)**
Filed: November 22, 2006
Title: “Method of Treating the Chemotoxic Effect on the Brain of Chemotherapeutic Drugs”
Inventors: Drs. Jitbanjong Tangpong, Daret St. Clair and Mary Vore, (Toxicology), Allan Butterfield (Chemistry), Steven Estus (Physiology) and William St. Clair (Radiation Medicine)
Technical Description: This invention relates to a novel method of treating the chemotoxic effects of Adriamycin by administering a therapeutically effective amount of anti-Tumor Necrosis Factor-alpha antibody to a patient.
Summary: Adriamycin is a potent anticancer drug commonly used to treat a variety of cancers, including breast cancer. One unfortunate side effect of the drug is a persistent impairment of memory and concentration in the cancer patient. The inventors have developed a novel treatment to reduce this impairment. The treatment involves the therapeutic administration of anti-Tumor Necrosis Factor-alpha antibody.
7. **U.S. Patent Application Serial Number: (to be assigned)**
Filed: December 29, 2006
Title: “Method for Treating Hypovolemic Shock”
Inventors: Dr. Peter Oeltgen (Pathology)
Technical Description: This invention relates to a method of treating hypovolemic and circulatory shock using Deltorphin-D_{variant}.
Summary: Shock, which refers to a reduction in blood flow and pressure, can deprive vital organs and tissues of oxygen, leading to bodily injury or death. Common causes of shock are blood loss (circulatory) and dehydration (hypovolemic). Dr. Oeltgen has discovered a novel way to prevent shock and its resulting injury by administering a therapeutic dose of the peptide Deltorphin-D_{variant} to an at-risk patient.
8. **U.S. Patent Application Serial Number: (to be assigned)**
Filed: November 3, 2006
Title: “Methods and Compositions for the Treatment of Ocular Neovascularization”
Inventor: Dr. Jayakrishna Amati (Ophthalmology)
Technical Description: The present method relates to compositions and methods for the treatment or prevention of ocular neovascularization by reducing macrophage infiltration into the eye. The compositions of the invention include an antagonist of MCP-1 and/or CCR2 that blocks MCP-1 binding to or activation of CCR2y.
Summary: Age-related macular degeneration (AMD) is one of the leading causes of blindness. Blindness in AMD is caused by an over-growth of blood vessels in the eye, or neovascularization. Dr. Ambati has developed a novel treatment to inhibit or prevent neovascularization, and resulting blindness. The treatment involves the therapeutic application of drugs that block the action of MCP-1 and/or CCR2, two naturally occurring proteins.

9. **U.S. Patent Application Serial Number: (to be assigned)**
(This is a modification of application #3 that includes additional data. This modification is considered a separate application, though UK may receive a single patent.)
Filed: July 21, 2006
Title: “Continuous Production of Aligned Carbon Nanotubes”
Inventors: Drs. David Jacques and Rodney Andrews (Center for Applied Energy Research)
Technical Description: The present invention relates to apparatus for producing aligned carbon nanotubes, and more particularly to an apparatus for continuous production of aligned carbon nanotubes. The invention further relates to an apparatus for continuous production of aligned carbon nanotubes that does not require patterned substrates, and that further produce quantities of high quality, homogenous populations of aligned carbon nanotubes.
Summary: The development of hollow microscopic carbon tubes, or “nanotubes,” has led to research on appropriate uses. Sophisticated filters can be made by creating a fabric of many nanotubes aligned in parallel. The inventors have devised an efficient method of aligning nanotubes.

Patent Activities

Fiscal year to date as of January 31, 2007

Number of Patent Applications	25
Number of Patents Issued	13
Patent Income	\$425,627

FCR 18

Office of the President
March 6, 2007

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the Board of Trustees accept the capital construction report for the three months ending December 31, 2006. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the university is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period October 1, 2006 thru December 31, 2006:

There were four new contracts this quarter:

Project 2239.31	PCF – Hospital – Cooling Plant # 1 Messer Construction Co., \$4,252,000
Project 2248.0	Replace Steam and Condensate Pipe Staggs and Fisher, \$276,000
Project 2253.0	Fit-Up Gill Building Ground Floor - Hospital Champlin/Haupt, \$155,000
Project 2255.0	Renovate 3 rd Floor Little Library Pearson & Peters, \$112,875

Three contracts were completed:

Project 1698.1	KY Swine Development and Training Center Feed Mill (Woodford County) Denham Blythe, \$269,073
Project 2229.0	Expand Cancer Infusion Suites The Wagner Company, \$1,004,478
Project 2233.0	Renovate Vivarium in Central DLAR Facility Woodford Builders, \$819,748

CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of \$600,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

Consultant: This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

Contractor: This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

Glossary

Amendment: refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

Change Order: refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

Consultant: the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

Contract administration: refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

General Contractor: the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

Feasibility Study: refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

Gross square feet: the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

H.B.C.: refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

Penthouse: refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

Percent complete: as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

Net assignable square feet: the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

Phase: The various stages of design and construction of a capital project, including:

- **Programming phase:** refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- **Schematic design (S/D or Phase 1):** refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- **Design development (D/D or Phase 2):** refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- **Construction document phase (C/D or Phase 3):** refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- **Bidding phase:** refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- **Construction phase (or Phase 5):** refers to the actual construction of the project by contractors.

Scope: The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

Substantial Completion: refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

Trade Contractor: Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

Major Projects
Capital Project Management Division
January 31, 2007

Construct Biological Pharmaceutical Complex Building

Project Description:

Phase I of the Biological Sciences/Pharmaceutical Complex, authorized by the 2005 General Assembly, will construct a shelled building at UK's Research Complex. Phase II will complete the 279,000 gross square foot facility that includes "shell space" to allow for the growing research needs. This "shell space" will be fitted out for research laboratories at a later date as university funds and/or grant dollars become available. This new building will provide the college with a state-of-the-art facility that will also allow the college to expand enrollment to address the shortage of pharmacists in Kentucky. The College of Pharmacy will relocate to this new facility and vacate their existing space. In addition to the current Pharmacy Building, college faculty members are housed in 10 buildings on and off campus. Consolidation of the research and teaching expertise of the eighth ranked College of Pharmacy in the U.S. will further strengthen the instruction of students and research collaboration among faculty. The vacated Pharmacy Building will be reassigned to the Department of Biology to provide needed expansion space for classrooms, research, and faculty to meet the needs of the growing student enrollment in UK's largest department. The project is expected to break ground in April 2007 and be complete by October 2009.

Project Status:

Schematics were approved in December. Expanded project scope approved by Legislative Capital Projects and Bond Oversight Committee in December. Design Development began in January and will last approximately four months. Interviews for Construction Manager were held in January.

Construct Student Health Facility

Project Description:

University Health Services will be an outpatient clinic to house University Health Services for students with support spaces and some administrative offices. This project will create additional space by constructing a new building of 72,714 gross square feet. The site will be in the courtyard framed by the Wethington Building, Warren Wright Medical Plaza and the Sanders Brown Center on Aging.

Project Status:

Turner Construction Company is the Construction Manager for the project. Bid Packages #1, 2 & 3 have been received with several contracts awarded. The fence has been erected around the construction site and site excavation has begun. Much of the basement level has been excavated. Excavation work required for the utility tunnel to connect the new building to the Wethington Building is expected to begin soon. The bids for Metal Studs/Drywall, HVAC/Plumbing and Concrete were well above projected cost estimates. Budget adjustments and design changes have been identified to bring the project within budget. Bid Package #4, which includes millwork, flooring, window treatments and landscaping opened on January 26, 2007.

Construct Patient Care Facility

Project Description:

The Hospital's present patient bed tower is approximately 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate present anticipated technology. This project will provide the site location evaluation, building programming, planning, and design services for a new bed tower. The project design team is lead by GBBN with major sub consultants including AM Kinney, Ellerbe-Becket, Staggs and Fisher, Affiliated Engineers, THP Limited as well as a number of specialty consultants. This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. this project also includes the replacement of the hospital parking garage and a connecting bridge to clear the site for the new hospital addition as well as land acquisition and utility relocation costs.

PCF - Huguelet Drive Extension (GMP #1):

Project Description:

This package will link Huguelet Drive to Virginia Avenue at the current Clinic Drive location. The new road will provide an east-west link from University Drive to the west side of campus at Virginia Avenue.

New signalization and bike paths are included to provide additional safety along the route. New entries to existing parking lots will be constructed and perpendicular parking will be removed between University Drive and Rose Street. The entire route will receive new landscaping and way-finding signage that will tie to both the Medical Center and Main Campus standards. Rose Street was closed to through traffic on November 20, 2006. LFUCG city council formally adopted closure ordinance in December 2006.

Project Status:

Huguelet Drive is open to vehicular and pedestrian traffic, all paving is complete, and contractors are completing punch list items.

PCF - Parking Garage (GMP #2):

Project Description:

This package will construct a seven level parking structure that will have an approximate 1,600 car capacity. The new garage will be located on the west side of Limestone Street, between Conn Terrace and Transcript Avenue. This structure will replace the existing Medical Center parking garage that must be demolished in order to tie the new Patient Care Facility to the existing Medical Center. A future pedestrian bridge will connect the new structure to the Patient Care Facility and the design has allowed for the future addition of approximately 15,000 square feet of retail space fronting Limestone. The garage is currently scheduled to open to temporary occupancy in late Fall with full occupancy by March 2008.

Project Status:

The first two elevated slab pours have been made on the parking structure and all major foundation and below grade concrete has been placed. Forming and pouring of remaining slabs on grade and elevated slabs will progress as curing times allow the relocation of forms and mechanical rough-in. During the curing times the next level columns will be formed and poured in anticipation of deck forming. This process will repeat for several months until exterior masonry begins in the Spring.

PCF - Cooling Plant No.1 (BP #3.1):

Project Description:

This package will provide the University the ability to meet the utility requirements of the new Patient Care Facility. The Central Utility Plant on Press Avenue will be expanded with a new boiler; Chiller Plant No. 1 on University Drive will be renovated with new chillers; new emergency generators will be added to Chiller Plant No. 3 to serve the Patient Care Facility; critical steam and chilled water lines will be replaced and upsized in order to provide the capacity that will be required to service Phase 1A of the Patient Bed Tower.

Project Status:

Demolition is complete on the north side of the cooling plant, existing cooling tower sumps and piping has been removed and the existing 1500 ton chillers were removed to make way for the new 2250 ton chillers. The new chillers were moved into the building in late January. Replacement of existing chilled water pumps on the south side chiller units began in January.

PCF - CUP Expansion (BP #3.2):

Project Description:

The project includes an 18,000 square foot addition to the existing CUP on Press Avenue. The expansion will include provisions for two new 125,000 lb/hr boilers and additional chillers. The structure will include an enlarged cold well and basement in the cooling section. The boilers and supporting equipment will be installed under this project.

Project Status:

The Title V Air Quality Permit was obtained in December. In January the designers continued to revise and develop the plans and specifications for the CUP addition and associated equipment. The final production of the Construction Documents will be delivered in early February. University personnel will undertake a final review of the documents before the bidding process begins. The project is scheduled to go out for bid during February. The work is to be bid as a lump sum contract. After the bid opening in February the construction will begin.

PCF - Building (BP #'s 4, 5 & 6):

Project Description:

This project includes:

- (BP #4) The demolition of the existing Parking Structure #4. The work will involve the start of all deep foundations up to the grade of the excavation.
- (BP #5) The construction of the new 1,022,000 gross square feet building's structural frame, fireproofing, exterior wall enclosure for all new building spaces, windows, exterior doors and roofs. Also

included will be all exterior site improvements, civil design for roadways, drainage systems, landscaping, hardscape surfaces and walks.

- (BP #6) The interior fit-out partitions, interior finishes, doors and frames, ceilings, mechanical systems, electrical systems, technology systems, medical equipment and fire protection for approximately 490,000 occupiable square feet; and 532,000 square feet of shell space. The work also will include drawings and specifications for signage, interior furnishings and lighting.

Project Status:

- Mass Excavation and Foundations (BP # 4A and 4)

University written comments for the Design Development level documents were compiled and distributed to the consultants in January. This portion of the project will move into Construction Documents after comments are acknowledged. The current schedule calls for a Design Development estimate for BP #4 in February 2007 with a 50% Construction Documents estimate in April of 2007.

In order to maintain the schedule, a portion of the work has been accelerated. This early bid package, BP # 4A, includes utility work, storm drainage work and minor site work and has been scheduled for March 2007. The publication of Construction Documents for this work has been placed on fast track. Construction Documents for this work will be produced in February 2007, and work will begin in March 2007.

- Core and Shell (BP #5):

University written comments for the Design Development level documents were compiled and distributed to the consultants in January. Meetings will be held during February to address specific issues that remain in question. After all comments have been addressed the production of Construction Documents will begin. The current schedule calls for a Design Development estimate of the Core and Shell for BP #5 in February 2007. The production of Construction Documents will begin after budget reconciliation based on the Design Development estimate. The 50% Construction Documents estimate is scheduled for August 2007. The bidding of the work is currently scheduled for May 2008 with an award of the various packages to occur in the later half of 2008. The work remains on schedule.

- Fit-Up (BP # 6)

The documents for the Fit-Up portion (Bid Package #6) of the Patient Care Facility are now at the 90% level of Design Development. A series of meetings will be held with the users during the second and third week of February to review the final Design Development documents with the user groups. Representatives of user groups including pharmacy, surgery, security, pathology, maintenance, nursing, imaging, public spaces, etc. will meet with the designers to provide input and evaluation on the design to date. The Design Development documents will continue during March to include the incorporation of user input. The 100% Design Development submission for BP #6 is due March 27th, 2008.

Expand Emergency Services - Hospital

Project Description:

This renovation project consists of approximately 18,400 sq. ft. of ground floor space in the Critical Care Center. Materials Management and Purchasing offices will be relocated to expand patient care services in the Emergency Department. The renovation will provide a new and separate Pediatrics Emergency area to include an exterior entrance, waiting room triage and support spaces; additional Emergency Department acute care bays, nursing space and support space; and a new digital radiology room for exclusive use by the Emergency Department.

Project Status:

Due to concealed conditions the project is currently running approximately 45 days behind the original schedule. Ductwork and ceiling grid are complete; electrical work is approximately 95% complete. Mill work, painting and flooring are underway. The temporary air handling unit is connected and in service. Medical gas certifications have been obtained. In the next 30 days the contractors will continue painting and installing the mill work, flooring and ceilings, and the remainder of the conduit for the owner provided equipment is to be installed.

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Description:

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline

hydrolysis digester is needed to replace an aging incinerator. The LDDC is an office and laboratory building with net assignable space of 3,860 square feet for offices and 28,100 square feet of laboratories and support space. It is proposed to add 6,300 and 1,200 square feet (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 square feet net). An aging incinerator is the present means of carcass disposal. An alkaline hydrolysis digester will be installed for carcass disposal after renovating 2,000 square feet (net) of space to contain the digester.

Project Status:

The consultants are currently analyzing pre-treatment alternatives in lieu of discharging tissue digester waste into the Town Branch Waste Water Treatment Plant. We continue to await Legislative action regarding restoration of the veto funds. UK Purchasing is trying to negotiate revised delivery schedule of the tissue digester resulting from financial constraints within the vendor organization.

4th Floor Fit-up BBSRB

Project Description:

This project will fit-up approximately 32,750 square feet in the currently "shelled space" on the fourth floor of the BBSRB Building. The fit-up will include wet bench laboratory space, support space, and dry computational labs. The University has received a federal grant award of \$3,685,000 from the National Center for Research Resources Extramural Research Facilities Improvement Program. The space will primarily be utilized by Pharmacology. The final construction cost estimate is \$7,618,000, and the project scope has been revised to \$9,663,925.

Project Status:

The contractor continues to make progress with interior finishes, mechanical, electrical and casework items. Above ceiling inspections have been performed in offices and support spaces, and will follow in the wet labs when they are completed. Work continues to progress on the Organic Synthesis Lab. The SCoBIRC Labs are scheduled to move from the 3rd floor to the 4th floor. There will be changes necessary to accommodate the SCoBIRC Labs. AM Kinney will meet with the users to coordinate the required changes. Delivery of materials from Superior Labs (SLS) is behind schedule and has impacted related plumbing and electrical work. Lab tops were shipped incorrectly and had to be replaced. SLS is working to ship the corrected tops and additional equipment.

Fit-Up Gill Building Ground Floor - Hospital

Project Description:

Champlin-Haupt/ Kohrs Lonnemann Heil are the consultant's designing to a \$1,550,000 construction budget. This Project encompasses renovations to 5,000 square feet of existing Ground Floor Shell Space within the Gill Heart Institute to accommodate the creation of a new Cardiology Center, including Cardiology Diagnostics and Clinical Research Programs. The scope of work shall include, but not be limited to the following: Cardiology Diagnostic Suite that shall consist of: Waiting room, Interview, Reception, Nurse Station, Prep/Recovery, Patient Toilet/Dress, Soiled Workroom, MRI/CT Control, CT Exam, MRI Exam (1.5T), MRI Equipment (1.5T), Storage, and Cardiology Reading. The Clinical Research Suite shall consist of: Clinical Research Offices, MRI Control, MRI Exam (3.0T), MRI Equipment (3.0T), Clinical Research Workroom, and Staff Toilet.

Project Status:

The University and design consultants have met on a regular basis to refine the Design Development documents and began writing specifications. The University supplied the consultants with vendor drawings for both MRI units and the CT equipment. Final Design Development drawings were transmitted to University staff for review. A phase review meeting is scheduled. Once reviewed and approved, the consultants will begin the Construction Documents.

Memorial Coliseum Expansion

Project Description:

The basketball practice facility consists of the addition of men's and women's basketball offices, practice facility, weight training, medical support, locker/shower, facilities ticket office, administrative offices, and minor renovation of existing locker rooms. The estimated total cost of the facility is \$30 million, consisting of the \$2.5 million for design plus \$27.5 million for construction. This project involves the design of a 92,300 square foot Basketball Practice Facility to enhance the University's ability to attract top student athletes. The new facility will alleviate scheduling problems at Memorial Coliseum and will provide

amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions, and will renovate 7,700 square feet to support volleyball, gymnastics, offices and ticketing. Sherman-Carter-Barnhart, Inc. is the prime architect for the project. They are working in conjunction with HNTB as the design architect, Staggs and Fisher as the M/E/P engineers, and the Structural Design Group as the structural engineer. Fuller, Mossbarger, Scott and May perform geotechnical and survey work for the project.

Project Status:

Architectural punch inspections have been generated for the Court Level and 2nd Level, as well as portions of the 1st Level. A temporary occupancy permit has been obtained for the Court Level, and the contractor has allowed Athletics to use the Court Level for practice. Weight lifting equipment has been moved into the Weight Room. Final adjustments are being made to the hydrotherapy pools to obtain required permits. Finish millwork and flooring are continuing at the Level 1 Main Entrance Lobby and Corridors. Furniture delivery has started at the Court and 2nd Levels. Graphics have been installed by Ze Design on the Court Level, and have begun on the upper levels. XOS has begun installation of the AV system at the Court Level. Beneficial Occupancy of the building is scheduled for February 2, 2007.

Renovate 3rd Floor Lucille Little Library

Project Description:

This project addresses life safety and ADA concerns in the Little Library in addition to providing permanent instructional and research space for Kentucky's only accredited Library and Information Science program which places graduates in industry, research, non-profit, and government organization. This project includes final renovations to provide new classrooms, student facilities, faculty offices, and a student technology center for the School of Library and Information Sciences. Approximately, 20,380 gross square feet is being renovated in all phases.

Project Status:

A coordination meeting was held in mid-January with all groups occupying the building to discuss the needs and impact of a sprinkler system being installed throughout the facility as a part of this project. A follow-up meeting will be held in conjunction with the Phase 2 review meeting in early February. Phase 3 of the design will continue through February.

Renovate - Expand Boone Faculty Center

Project Description:

The Boone Faculty Center was built in 1986 and has only received minor maintenance repairs in the interim. This project will renovate the original 19,561 square feet of the Boone Faculty Center on the Lexington Campus and construct an addition of approximately 3,300 square feet. The center as constructed is able to host small to medium size meetings, functions, luncheons and dinners, but due to space constraints it is unable to accommodate the numerous larger scale conferences and symposia that university departments frequently host. These departments then contract with local hotels and the convention center. Since the center is to operate and be maintained on a self supporting basis the inability to reasonably host the 300 to 400 attendee events causes a loss of potential campus dollars to off campus sites, that if able to be captured could help defray the annual operating expenses of the center. The project is expected to be underway by February 2007 and completed by December 2007.

Project Status:

Bids were received in January, but were not within budget. Negotiations with bidders are in progress. The project is still on schedule.

Renovate Vivarium in Central DLAR Facility

Project Description:

This project will renovate the cage washing area, install a robotic cage washing system, and upgrade spaces to animal procedure rooms in the vivarium in the Combs Building. The building was constructed in 1987 and is in good condition. The facility consists of research labs, offices, lab support areas, animal care areas, and animal care support space.

Project Status:

Ancillary issues outside the construction contract should be resolved in the next 30-60 days.

Replace Steam and Condensate Pipe

Project Description:

This project will replace central steam and condensate piping systems. The Central Utilities Systems of the University of Kentucky have proven to be very economical to maintain and operate. It reduces the construction cost of new building by eliminating redundancy in buildings and reduces the operations cost of new and existing buildings by providing reliable and low cost energy alternatives at central locations. The Central Steam and Condensate system on the University of Kentucky Campus is an extensive layout of underground piping, tunnels, and steam pits. A large portion of this system has deteriorated through corrosion, and much of it is beyond design life expectancy. Condensate returns and steam lines need to be improved. Most of the direct bury steam lines consist of Class A conduit systems, which have deteriorated and corroded to the point that increased loads on the central heating system have been experienced. Repairing these steam and condensate lines will improve the ability of the campus heating system to deliver steam to all campus buildings.

Project Status:

A mid Phase 3 review meeting was held in January. The survey of all existing lines was completed in early January. Construction documents are due in February.

Student Housing - Supporting Utility Plant Upgrades (Boiler/Chilled Water)

Project Description:

This project includes expanding the Virginia Ave. Central Utilities Plant to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Project Status:

The funds from this project are scheduled to be expended for the purchase of the 125,000 lb/hr boiler with an ultra low no_x burner and emission controls for installation within the expanded CUP. Shop drawings for the new boiler were reviewed and approved during January. The purchase order for the production of the boiler is scheduled to be released in February.

Upgrade Surgical Services

Project Description:

Stengel-Hill/CMTA are the consultant's designing to a \$3,369,450 construction budget. This project will renovate existing space to develop a Same Day Surgery Intake Unit; will relocate surgical offices adjacent to the operating rooms in the Critical Care Center to expand the Post Anesthesia Care Unit; will develop a Pre-op, PACU, and waiting space in the main hospital building dedicated to pediatric patients; and will renovate the 1st floor surgical waiting area. The current layout and size of unit causes numerous inefficiencies in the department. The amount of PACU beds is too few based on industry standards. This renovation will allow for improved operational efficiencies of the operating rooms and will provide for an improved patient experience with the development of the same day surgery intake unit. This space is connected to the Hospital's central chilled water and steam systems.

Project Status:

Framing and drywall are complete, painting is underway and ceiling grid is being installed, with electrical rough-in at 80% completion. The contractor will continue to paint, install ceiling grid and complete the electrical rough-in. They will begin installing the ceramic tile, flooring, lighting and millwork. Air Handling Unit #6 is scheduled to be replaced with a new unit.

ARS - Agriculture Project

Project Description:

The Forage Animal Production Research Laboratory will serve the Forage Animal Production Research Unit for the USDA - ARS on the campus of the University of Kentucky. The mission of the FAPRU is to improve productivity, profitability, competitiveness and environmental sustainability of forage based enterprises within the transition zone of the Eastern and Midwestern United States. Multidisciplinary teams to work to identify, evaluate, and manipulate genetic and physiological factors, using for the enhancement of food animal and equine health and performance in forage based enterprises as well as to improve forage plant persistence, quality, and production. Three to four story building with approximately 65,000 gross

square feet for reasearch labs, equipment and support rooms, offices and conferences rooms. The program also includes approximately 12,000 gross square feet for greenhouses and head house.

Project Status:

ARS consultants continue with Schematic design. Schematic design will include utilizing the University's Central Plants for steam and chilled water. No infrastructure for distribution for either of these services was required. A Schematic review meeting is tentatively scheduled for March 2007.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Biological Pharmaceutical Complex Building

Project Number: 2235.00

Project Description:

Scope: \$132,792,000

Phase I of the Biological Sciences/Pharmaceutical Complex, authorized by the 2005 General Assembly, will construct a shelled building at UK's Research Complex. Phase II will complete the 279,000 gross square foot facility that includes "shell space" to allow for the growing research needs. This "shell space" will be fitted out for research laboratories at a later date as university funds and/or grant dollars become available. This new building will provide the college with a state-of-the-art facility that will also allow the college to expand enrollment to address the shortage of pharmacists in Kentucky. The College of Pharmacy will relocate to this new facility and vacate their existing space. In addition to the current Pharmacy Building, college faculty members are housed in 10 buildings on and off campus. Consolidation of the research and teaching expertise of the eighth ranked College of Pharmacy in the U.S. will further strengthen the instruction of students and research collaboration among faculty. The vacated Pharmacy Building will be reassigned to the Department of Biology to provide needed expansion space for classrooms, research, and faculty to meet the needs of the growing student enrollment in UK's largest department. The project is expected to break ground in April 2007 and be complete by October 2009.

Consultant: EOP Architects

Contract # A061150/A071060

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$10,585,020

Cumulative Amendment Amount:

Revised Contract Amount: \$10,585,020

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Horticultural Research and Education Facility

Project Number: 2238.10

Scope: \$860,000

Project Description:

Expanded plant science technology will be a major contribution to economic expansion in the Commonwealth. The Horticultural Research and Education Center at South Farm is the key component in the Statewide plan to develop research/extension infrastructure in support of new and existing horticultural industries. The programs through this facility will explore, develop, evaluate, demonstrate, and communicate horticultural crop opportunities through innovative research and educational programs that enhance diversification, profitability, and sustainability of Kentucky farms and landscapes. It will become UK's most visible commitment to enhancing the viability of non-traditional enterprises and family farms. The scope of this project is not yet clearly defined. It will follow and supplement the intent of Project #2154.0 - South Farm Improvements. These projects will serve to develop a horticulture research facility on the South Farm. Included in the scope are greenhouse and headhouse facilities, research laboratories, storage building for equipment and pesticides, environmental rooms, etc.

Consultant: UK College of Ag In-House Design

Contract #

Total Amendments to Date:

Describe all amendments :

Original Contract Amount:

Cumulative Amendment Amount:

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Student Health Facility

Project Number: 2228.00

Scope: \$24,000,000

Project Description:

University Health Services will be an outpatient clinic with support spaces and some administrative offices. This project will create additional space by constructing a new building of 72,714 gross square feet. The site will be in the courtyard framed by the Wethington Building, Warren Wright Medical Plaza and the Sanders Brown Center on Aging.

Consultant: Omni Architects

Contract # A061070

Total Amendments to Date: 1

Original Contract Amount: \$1,332,600

Cumulative Amendment Amount: \$77,203

Describe all amendments :

Revised Contract Amount: \$1,409,803

Amendment #1, 05/11/2006, an increase of \$77,203.00, was approved for additional programming and design services required for revisions to the BSA study, for adding a high pressure steam line through the Student Health Facility connecting inside the existing AAH Tunnel and to provide more thorough survey and geotechnical reports than originally anticipated. **No amendments this quarter.**

Contractor: Turner Construction Company (Construction Manager-At-Risk)

Note: This is a 'CM-At-Risk' contract. The amount will be increased as sub-contracts are bid and added.

*Turner's contract was awarded by RFP process.

Contract # 4500004856

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$7,023,805

Cumulative Change Order Amount:

Revised Contract Amount: \$7,023,805

Contract Percent Complete:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility

Project Number: 2239.00

Project Description:

Scope: \$450,000,000

This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge as well as land acquisition and utility costs.

Consultant: GBBN, Inc.

Contract # A061080

Total Amendments to Date: 1

Original Contract Amount: \$30,400,226

Cumulative Amendment Amount: \$299,044

Describe all amendments :

Revised Contract Amount: \$30,699,270

Amendment #1, 9/12/06, was approved for \$299,044.00 for additional design services required to design two additional floors for the parking garage. This amendment also provides for additional survey services associated with the rerouting of the ductbank; the addition of 12 receptor sources to be studied as part of the existing wind study; and the need for additional storm water modeling to review the impact on the new Patient Care Facility during heavy rain storm events.

No amendments this quarter.

Contractor: Gilbane (Construction Manager At-Risk)

Note: This is a 'CM-At-Risk' contract for Design Phase services only. The contract increased when GMP#1 & GMP#2 were approved. We are reporting on GMP#1 & GMP#2 separately below.

Contract # 4500001350

Original Contract Amount: \$507,245

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount: \$507,245

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF -Huguelet Drive Extension (GMP #1)

Project Number: 2239.10

Scope: \$4,725,000

Project Description:

GMP#1. This is a portion of the larger Patient Care Facility Project (2239.0). This package will link Huguelet Drive to Virginia Avenue at the current Clinic Drive location. The new road will provide an east-west link from University Drive to the west side of campus at Virginia Avenue. New signalization and bike paths are included to provide additional safety along the route. New entries to existing parking lots will be constructed and perpendicular parking will be removed between University Drive and Rose Street. The entire route will receive new landscaping and way-finding signage that will tie to both the Medical Center and Main Campus standards.

Consultant: Wilbur Smith Associates

Contract # A061050

Total Amendments to Date: 3

Original Contract Amount: \$400,000

Cumulative Amendment Amount: \$66,500

Describe all amendments :

Revised Contract Amount: \$466,500

Amendment #1, 04/11/2006, an increase of \$30,000.00, was approved for additional design services as required for a flashing beacon at the clinic garage entrance and Huguelet Drive as well as street lighting and landscaping for the entire length of Huguelet Drive between Rose Street and University Drive. **Amendment #2**, 06/13/2006, an increase of \$32,000.00, was approved for redesign of utilities in order to leave existing steam lines in place as a cost saving measure. This amendment also provides for the development of a staging plan for the closure of Rose Street thru the final opening of the new hospital to maintain access to the existing entrance to the hospital and the emergency room.

Amendment #3, 11/16/06, an increase of \$4,500 was approved to provide a traffic signal warrant analysis for submission to KYTC with the permit application as required.

Contractor: Gilbane Construction Company (GMP #1)

Contract # 4500001350

Total Change Orders to Date: 17

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$4,442,101

Cumulative Change Order Amount: \$104,342

Revised Contract Amount: \$4,546,443

Contract Percent Complete: 99%

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Parking Garage (GMP #2)

Project Number: 2239.20

Scope: \$32,766,968

Project Description:

GMP#2. This is a portion of the larger Patient Care Facility Project (2239.0). This package will construct a seven level parking structure that will have an approximate 1,600 car capacity. The new garage will be located on the west side of Limestone Street, between Conn Terrace and Transcript Avenue. This structure will replace the existing Med Center parking garage that must be demolished in order to tie the new Patient Care Facility to the existing Medical Center. A future pedestrian bridge will connect the new structure to the Patient Care Facility and the design has allowed for the future addition of approximately 15,000 square feet of retail space fronting Limestone.

Consultant: GBBN, Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Gilbane Building Company (GMP #2)

Contract # 4500001350

Original Contract Amount:

\$32,766,968

Total Change Orders to Date: 2 (time ext. only)

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

\$32,766,968

High Bid:

Contract Percent Complete:

20%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

PCF - Hospital - Cooling Plant #1

Project Number: 2239.31

Scope: \$12,850,000

Project Description:

This is a portion of the larger Patient Care Facility Project (2239.0). This package will provide the University the ability to meet the utility requirements of the new Patient Care Facility. The Central Utility Plant on Press Avenue will be expanded with a new boiler; Chillier Plant No. 1 on University Drive will be renovated with new chillers; new emergency generators will be added to Chillier Plant No. 3 to serve the Patient Care Facility; critical steam and chilled water lines will be replaced and upsized in order to provide the capacity that will be required to service Phase 1A of the Patient Bed Tower.

Consultant: GBBN, Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Messer Construction Co.

Contract # 4500005952

Original Contract Amount:

\$4,252,000

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$4,252,000

Revised Contract Amount:

\$4,252,000

High Bid: \$4,464,000

Contract Percent Complete:

11%

Number of Bids: 3

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Hospital - CUP Expansion

Project Number: 2239.32

Project Description:

Scope: \$13,150,000

This is a portion of the larger Patient Care Facility Project (2239.0). The project includes an 18,000 square foot addition to the existing Central Utilities Plant on Press Avenue. The expansion will include provisions for two new 125,000 lb/hr boilers and additional chillers. The structure will include an enlarged cold well and basement in the cooling section. The boilers and supporting equipment will be installed under this project. Chiller purchases are not contemplated at this time.

Consultant: GBBN Inc

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Building

Project Number: 2239.40

Scope: \$275,841,945

Project Description:

This is a portion of the larger Patient Care Facility Project (2239.0). The package will contain:

- The demolition of the existing Parking Structure #4. The work will involve the start of all deep foundations up to the grade of the excavation.
- The construction of the new 1,022,000 gross square feet building's structural frame, fireproofing, exterior wall enclosure for all new building spaces, windows, exterior doors and roofs. Also included will be all exterior site improvements, civil design for roadways, drainage systems, landscaping, hardscape surfaces and walks.
- The interior fit-out partitions, interior finishes, doors and frames, ceilings, mechanical systems, electrical systems, technology systems, medical equipment and fire protection for approximately 490,000 occupiable square feet; and 532,000 square feet of shell space. The work also will include drawings and specifications for signage, interior furnishings and lighting.

Consultant: GBBN, Inc

See project 2239.0

Contract #

Total Amendments to Date:

Describe all amendments :

Original Contract Amount:

Cumulative Amendment Amount:

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Expand Cancer Infusion Suites - Hospital

Project Number: 2229.00

Scope: \$1,600,000

Project Description:

This project is for the expansion of the chemotherapy infusion program into the 2nd floor of the Davis Millis portion of the Whitney Hendrickson Building. The existing infusion operation is located in the first floor of the same facility directly below the proposed expansion. The demand for chemotherapy infusion services has exceeded the available clinic space capacity causing patients to use inpatient facilities for outpatient services or to wait for needed treatments. The renovation will also provide urgent treatment cancer services that are not currently provided by the cancer center.

Consultant: Stengel-Hill Architects

Contract # A061060

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$84,500

Cumulative Amendment Amount:

Revised Contract Amount:

\$84,500

Contractor: The Wagner Company

Contract # 4500001850

Total Change Orders to Date: 20

Low Bid: \$958,484

High Bid: \$1,242,000

Number of Bids: 6

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:

\$958,484

Cumulative Change Order Amount:

\$45,994

Revised Contract Amount:

\$1,004,478

Contract Percent Complete:

100%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Expand Emergency Services - Hospital

Project Number: 2236.00

Project Description:

Scope: \$4,750,000

This renovation project consists of renovating approximately 18,400 square feet of ground floor space in the Critical Care Center. Materials Management and Purchasing Offices will be relocated to expand patient care services in the Emergency Department. The renovation will provide a new and separate Pediatrics Emergency area to include an exterior entrance, waiting room triage and support spaces; additional Emergency Department acute care bays, nursing space and support space; provide a new digital radiology room for exclusive use by the Emergency Department.

Consultant: GBBN, Inc.

Contract # A061090

Original Contract Amount: \$265,000

Total Amendments to Date: 2

Cumulative Amendment Amount: \$105,452

Describe all amendments :

Revised Contract Amount: \$370,452

Amendment #1, 11/08/05, was approved for \$87,500.00 for additional design services to design replacement air handlers, exhaust fans and return fans on the ground floor. It has been determined that the condition and capacity of the existing fans are inadequate to serve the renovated space. **Amendment #2**, 7/13/06, was approved for \$17,952.00 for additional design services for redesigning the Greeter/Security desk; revised electrical drawings due to additional patient monitoring equipment; revised finishes schedule due to requested changes by the Pediatric Department and commissioning of new Air Handling Units. **No amendments this quarter.**

Contractor: Eubank & Steele Construction

Contract # 4500003852

Original Contract Amount: \$3,594,750

Total Change Orders to Date: 6

Cumulative Change Order Amount: \$12,291

Low Bid: \$3,594,750

Revised Contract Amount: \$3,607,041

High Bid: \$3,821,000

Contract Percent Complete: 37%

Number of Bids: 3

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Number: 2234.00

Project Description:

Scope: \$8,500,000

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The Livestock Disease Diagnostic Lab is an office and laboratory building with net assignable space of 3,860 square feet for offices and 28,100 square feet of laboratories and support space. It is proposed to add 6,300 and 1,200 square feet (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 square feet net).

Consultant: CMW, Inc.

Contract # A061100

Original Contract Amount: \$648,000

Total Amendments to Date: 1

Cumulative Amendment Amount: \$90,000

Describe all amendments :

Revised Contract Amount: \$738,000

Amendment # 1, 12/12/06, was approved for \$90,000 to provide additional design services to accommodate a phased implementation consistent with the legislative budget action. Includes the additional design to study the new tissue digester effluent impact of the LFUCG Town Branch Wastewater Treatment Plant.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Fit-Up 4th Floor in BBSRB

Project Number: 2227.00

Scope: \$9,663,925

Project Description:

This project will fit-up approximately 32,750 square feet in the currently "shelled space" on the fourth floor of the BBSRB Building. The fit-up will include wet bench laboratory space, support space, and dry computational labs. The University has received a federal grant award of \$3,685,000 from the National Center for Research Resources Extramural Research Facilities Improvement Program. The space will primarily be utilized by Pharmacology.

Consultant: A.M. Kinney

Contract # A051120

Total Amendments to Date: 1

Original Contract Amount: \$415,000

Cumulative Amendment Amount: \$24,000

Describe all amendments :

Revised Contract Amount: \$439,000

Amendment #1, 04/11/2006, an increase of \$24,000.00, was approved for additional design services required for development of the Organic Synthesis Laboratory space in the southwest corner of the Fourth Floor Wet Lab area of the BBSRB. **No amendments this quarter.**

Contractor: WS Construction

Contract # 4500001420

Total Change Orders to Date: 16

Low Bid: \$5,342,000

High Bid: \$5,657,000

Number of Bids: 4

Original Contract Amount: \$5,342,000

Cumulative Change Order Amount: \$280,111

Revised Contract Amount: \$5,622,111

Contract Percent Complete: 92%

Describe all change orders greater than \$25,000.00:

Change Order # 11, 10/16/06, was approved for \$27,365.10 for the provision of power for lights and receptacles in the penthouse. The power provisions were not defined in the panel schedules, but should be installed as part of the project scope. Change Order # 12, 11/29/06, was approved for \$206,837.85 for an addition of an organic synthesis laboratory to provide an isolated lab space and control chemical fumes.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Fit-Up Gill Building Ground Floor - Hospital

Project Number: 2253.00

Project Description:

Scope: \$6,500,000

Champlin-Haupt/ Kohrs Lonnemann Heil are the consultant's designing to a \$1,550,000 construction budget. This Project encompasses renovations to 5,000 square feet of existing Ground Floor Shell Space within the Gill Heart Institute to accommodate the creation of a new Cardiology Center, including Cardiology Diagnostics and Clinical Research Programs. The scope of work shall include, but not be limited to the following: Cardiology Diagnostic Suite that shall consist of: Waiting room, Interview, Reception, Nurse Station, Prep/Recovery, Patient Toilet/Dress, Soiled Workroom, MRI/CT Control, CT Exam, MRI Exam (1.5T), MRI Equipment (1.5T), Storage, and Cardiology Reading. The Clinical Research Suite shall consist of: Clinical Research Offices, MRI Control, MRI Exam (3.0T), MRI Equipment (3.0T), Clinical Research Workroom, and Staff Toilet.

Consultant: Champlin/Haupt

Contract # A071100

Original Contract Amount:

\$155,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$155,000

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

KY Swine Development & Training Ctr. Feed Mill (Woodford County)

Project Number: 1698.10

Scope: \$1,014,000

Project Description:

Revised project will construct a feed mill on the Woodford County Animal Research Center within either the sheep or swine unit. AG Engineering will design the mill utilizing new components and some equipment from the Cold Stream Farm feed mill when it is demolished.

Consultant: UK College of Agriculture in-house design.

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Revised Contract Amount:

Describe all Amendments:

Contractor I: Denham Blythe

Contract # X4500002266

Original Contract Amount:

\$267,348

Total Change Orders to Date:

Cumulative Change Order Amount:

\$1,725

Low Bid: \$267,348

Revised Contract Amount:

\$269,073

High Bid: \$361,659

Contract % complete:

100%

Number of Bid 4

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Contractor II: Feed & Grain Systems

Contract # X4500002268

Original Contract Amount:

\$650,000

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$650,000

Revised Contract Amount:

\$650,000

High Bid: -

Contract % complete:

77%

Number of Bid 1

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Memorial Coliseum Expansion

Project Number: 2196.00

Scope: \$30,000,000

Project Description:

This project consists of the addition of men's and women's basketball offices, practice facility, weight training, medical support, locker/shower, facilities ticket office, administrative offices, and minor renovation of existing locker rooms. The estimated total cost of the facility is \$30 million, consisting of the \$2.5 million for design plus \$27.5 million for construction. This project involves the design of a 92,300 square foot Basketball Practice Facility to enhance the University's ability to attract top student athletes. The new facility will alleviate scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions, and will renovate 7,700 square feet to support volleyball, gymnastics, offices and ticketing.

Consultant: Sherman-Carter-Barnhart/HNTB

Contract # A041080

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$2,000,000

Cumulative Amendment Amount:

Revised Contract Amount: \$2,000,000

Contractor: Messer Construction (Construction Manager-At-Risk)

Note: This is a 'CM-At-Risk' contract. The amount will be increased as sub-contracts are bid and added.

*Messer's contract was awarded by RFP process.

Contract # 4500000169

Total Change Orders to Date: 141

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$25,247,691

Cumulative Change Order Amount: \$604,049

Revised Contract Amount: \$25,851,740

Contract Percent Complete: 89%

Describe all change orders greater than \$25,000.00:

Change Order #27, 03/16/06, was approved for \$65,940.07, to adjust contract amount for actual length of drilled piers over the base length included in the base bid. **Change Order #33**, 03/31/06, was approved for -\$25,240.75 to adjust contract amount after receiving donation from Harrod Concrete & Stone. **Change Order #31**, 04/04/2006, was approved for -\$52,052.66, to delete the cost of finish hardware for Aluminum Doors from the Doors, Frames and Hardware bid as it was already included in another trade contractor's scope of work. **Change Order #32**, 04/04/2006, was approved for \$293,576.97, to provide a flexible security control system to facilitate frequent changes due to turnover of student athletes. Revision primarily consists of replacement of conventional mechanical hardware with stand alone electronic access control hardware. **Change order #75**, 08/23/2006, was approved for \$26,773.54 to install additional light fixtures in the men and womens basketball locker rooms to provide even illumination in these locations. **Change order #88**, 09/22/2006, was approved for \$26,384.92, to provide engraved pavers at front entrance. Donor brick pavers will acknowledge donations to the UK MCE Building Fund. **Change Order # 101**, 11/16/06, was approved for a decrease of \$39,115.30 to provide for the deletion of ductwork cleaning. The project work site was kept in such a condition that duct cleaning is unnecessary.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate 3rd Floor Little Library

Project Number: 2255.00

Scope: \$1,900,000

Project Description:

This project addresses life safety and ADA concerns in the Little Library in addition to providing permanent instructional and research space for Kentucky's only accredited Library and Information Science program which places graduates in industry, research, nonprofit, and government organizations. This project includes final renovations to provide new classrooms, student facilities, faculty offices, and a student technology center for the School of Library and Information Sciences. Approximately, 20,380 gross square feet is being renovated in all phases.

Consultant: Pearson & Peters Architects PLC

Contract # A071120

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$112,875

Cumulative Amendment Amount:

Revised Contract Amount:

\$112,875

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Renovate - Expand Boone Faculty Center

Project Number: 2251.00

Scope: \$6,000,000

Project Description:

The Boone Faculty Center was built new in 1986 and has had only minor maintenance repairs in the interim. This project will renovate the original 19,561 square feet of the Boone Faculty Center on the Lexington Campus and construct an addition of approximately 3,300 square feet. The center as constructed is able to host small to medium size meetings, functions, luncheons and dinners, but due to space constraints it is unable to accommodate the numerous larger scale conferences and symposia that university departments frequently host. These departments then contract with local hotels and the convention center. Since the center is to operate and be maintained on a self supporting basis the inability to reasonably host the 300 to 400 attendee events causes a loss of potential campus dollars to off campus sites, that if able to be captured could help defray the annual operating expenses of the center.

Consultant: EOP Architects

Contract # A071070

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$275,000

Cumulative Amendment Amount:

Revised Contract Amount:

\$275,000

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Vivarium in Central DLAR Facility

Project Number: 2233.00

Project Description:

Scope: \$2,250,000

This project will renovate the cage washing area, install a robotic cage washing system, and upgrade spaces to animal procedure rooms in the vivarium in the Combs Building. The Combs Cancer Research building is approximately 75,800 gross square feet. The building was constructed in 1987 and is in good condition. The facility consists of research labs, offices, lab support areas, animal care areas, and animal care support space. The building is heated with steam from the coal fueled central plants and the cooling is supplied from the central, electric generated, chilled water plants.

Consultant: BHDP Architecture, Inc.

Contract # A061110

Original Contract Amount: \$117,458

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$117,458

No amendments this quarter.**Contractor:** Woodford Builders

Contract # 4500002795

Original Contract Amount: \$774,000

Total Change Orders to Date: 18

Cumulative Change Order Amount: \$45,748

Low Bid: \$774,000

Revised Contract Amount: \$819,748

High Bid: \$832,350

Contract Percent Complete: 100%

Number of Bids: 2

Describe all change orders greater than \$25,000.00:**No change orders greater than \$25,000.00 this quarter.**

Replace Steam and Condensate Piping - Multiple Projects**(04-2006)**

Project Number: 2248.00

Project Description:

Scope: \$3,223,900

This project will replace central steam and condensate piping systems. The Central Utilities Systems of the University of Kentucky have proven to be very economical to maintain and operate. It reduces the construction cost of new building by eliminating redundancy in buildings and reduces the operations cost of new and existing buildings by providing reliable and low cost energy alternatives at central locations. The Central Steam and Condensate system on the University of Kentucky Campus is an extensive layout of underground piping, tunnels, and steam pits. A large portion of this system has deteriorated through corrosion, and much of it is beyond design life expectancy. Condensate returns and steam lines need to be improved. Most of the direct bury steam lines consist of Class A conduit systems, which have deteriorated and corroded to the point that increased loads on the central heating system have been experienced. Repairing these steam and condensate lines will improve the ability of the campus heating system to deliver steam to all campus buildings.

Consultant: Staggs & Fisher

Contract # A071080

Original Contract Amount: \$276,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$276,000

No amendments this quarter.**Contractor:** No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Student Housing - Supporting Utility Plant Upgrades

Project Number: 2153.30

Project Description:

Scope: \$1,275,000

This project includes expanding the Virginia Avenue Central Utility Plant to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Consultant: AM Kinney

Contract # A041000GG

Total Amendments to Date: 0

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$26,315

Cumulative Amendment Amount:

Revised Contract Amount: \$26,315

Contractor: English Boiler & Tube Inc.

*English Boiler's contract was awarded by RFP process.

Contract # X500192

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

Original Contract Amount: \$245,155

Cumulative Change Order Amount:

Revised Contract Amount: \$245,155

Contract Percent Complete: 98%

Upgrade Surgical Services

Project Number: 2231.00

Project Description:

Scope: \$4,500,000

This project will renovate existing space to develop a Same Day Surgery Intake Unit; will relocate surgical offices adjacent to the operating rooms in the Critical Care Center to expand the Post Anesthesia Care Unit; will develop a Pre-op, PACU, and waiting space in the main hospital building dedicated to pediatric patients; and will renovate the 1st floor surgical waiting area. The current layout and size of unit causes numerous inefficiencies in the department. The amount of PACU beds is too few based on industry standards. This renovation will allow for improved operational efficiencies of the operating rooms and will provide for an improved patient experience with the development of the same day surgery intake unit. This space is connected to the Hospital's central chilled water and steam systems (coal or gas fired boilers/chillers).

Consultant: Stengel Hill Architects

Contract # A061140

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$324,000

Cumulative Amendment Amount:

Revised Contract Amount: \$324,000

Contractor: Woodford Builders

Contract # 450000004839

Total Change Orders to Date: 4

Low Bid: \$3,280,000

High Bid: \$4,199,700

Number of Bids: 5

Describe all change orders greater than \$25,000.00:

No changes orders greater than \$25,000.00 this quarter.

Original Contract Amount: \$3,328,150

Cumulative Change Order Amount: \$10,078

Revised Contract Amount: \$3,338,228

Contract Percent Complete: 26%

Two amendments as follows:

- Project 2234.0 Expand and Upgrade Livestock Disease Diagnostic Lab
- Redesign/study on Tissue Digester effluent and impact on LFUCG Town Branch Wastewater Treatment Plant. (+) \$90,000.
- Project 2239.1 PCF -Huguelet Drive Extension (GMP #1)
- Addition of traffic signal warrant analysis (+) \$4,500

Three change orders greater than \$25,000 as follows:

- Project 2196.0 Memorial Coliseum Expansion
- Delete ductwork cleaning (-) \$39,115
- Project 2227.0 Fit-Up 4th Floor in BBSRB
- Provide power for lights and receptacles in the Penthouse (+) \$27,365
 - Addition of organic synthesis laboratory (+) \$206,838

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 19

Office of the President
March 6, 2007

Members, Board of Trustees:

PROPOSED AMENDMENTS TO ADMINISTRATIVE REGULATION: POLICY FOR DISPOSITION OF PERSONAL PROPERTY

Recommendation: that the Board of Trustees approve the attached revisions to Administrative Regulation II-1.3-3, Policy for Disposition of Personal Property. The proposed revisions consist of formatting changes and elimination of direct quotes from Kentucky Revised Statutes to make the administrative regulation consistent with other university regulations.

Background: Kentucky Revised Statute 164A.575 requires that the Board of Trustees approve the disposal of any surplus property owned by the University of Kentucky and its affiliated corporations. Administrative Regulation II-1.3-3 sets forth the policies and procedures for the disposal of surplus personal property to conform to the requirements of KRS 164A.575 and to provide guidance to all units within the university and its affiliated corporations for the transfer or other disposition of any such personal property. More detailed procedures for implementation of this regulation are contained in the university's Business Procedures Manual.

A revised copy of AR II-1.3-3 is attached. Language being deleted is marked through and printed in blue and language being inserted is underlined and printed in red. Noted revisions to the regulation are as follows:

- The volume of the administrative regulation is reduced by the elimination of direct quotes from the Kentucky Revised Statutes and repetitive text.
- Formatting changes are needed to update the regulation and make it consistent with other university administrative regulations.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

UNIVERSITY OF KENTUCKY ADMINISTRATIVE REGULATIONS	IDENTIFICATION AR II-1.3-3		PAGE 1
	DATE EFFECTIVE / /07 7-1-04	SUPERSEDES REGULATION DATED 8/16/94, 7-1-04	

POLICY FOR DISPOSITION OF PERSONAL PROPERTY
APPROVED BY THE BOARD OF TRUSTEES~~Approved by Board of Trustees~~

I. Introduction—General

Surplus property of the University of Kentucky and its affiliated corporations may be sold or otherwise disposed of in accordance with the Kentucky Revised Statutes (KRS 164A.575). The relevant sections of the law are stated below:

—"The governing board shall have power to salvage, to exchange, and to condemn supplies, equipment, and real property." [KRS 164A.575(5)]

—"The governing board shall sell or otherwise dispose of all real or personal property of the institution which is not needed or has become unsuitable for public use, or would be more suitable consistent with the public interest for some other use, as determined by the board. The determination of the board shall be set forth in an order and shall be reached only after review of a written request by the institution desiring to dispose of the property. Such request shall describe the property and state the reasons why the institution believes disposal should be effected. All instruments required by law to be recorded which convey any interest in any such real property so disposed of shall be executed and signed by the appropriate officer of the board. Unless the board deems it in the best interest of the institution to proceed otherwise, all such real or personal property shall be sold either by invitation of sealed bids or by public auction; provided, however, that the selling price of any interest in real property shall not be less than the appraised value thereof as determined by the Finance and Administration Cabinet or the Transportation Cabinet for such requirements of that department." [KRS 164A.575(7)]

It is the purpose of this document to set forth the policies and procedures of the University of Kentucky ~~necessary~~necessary to conform to the requirements of this law for the disposition of all personal property and to provide guidance to all units within the University and its affiliated corporations for the transfer or other disposition of any such personal property. Detailed procedures for implementation of this regulation are contained in the University's Business Procedures Manual.

Express approval of the Board of Trustees is required for each property disposition except in those specific cases where the property is acquired with federal or private gifts or grants and where: (1) the University is required to transfer the property by the terms of a sponsor's regulations or conditions, or (2) the grant is contracted or otherwise transferred to another organization under circumstances where the granting agency expects that the personal property ~~will~~shall be transferred with the grant.

II. Definition Personal Property Disposition

“Personal property” ~~means is defined as~~ all items of moveable or fixed equipment and supplies owned by the University of Kentucky and its affiliated corporations (excluding livestock, see part 2.b of this section).

III. Procedures

A. ~~The following procedures will~~shall ~~apply to establish the generally accepted procedures for the disposal, conveyance, or transfer of personal property. In an organization as complex as the University of Kentucky, it is difficult to describe all possible cases. Exceptions to these procedures will~~ shall be granted only at the discretion of the Board of Trustees upon the recommendation of the President and consistent with KRS 164A.575.

B. ~~For purposes of disposition, personal property, regardless of how acquired by the University of Kentucky and its affiliated corporations, will~~shall be classified as: ~~{a} recyclable materials; {b} livestock; {c} unsuitable for public use (for example, hazardous property, equipment that cannot be repaired); {d} trade-ins; {e} property more suitable for some other use consistent with the public interest; or {f} not needed (as a result, for example, of program redirection or termination, replacement by new equipment, duplication as a result of a gift of other equipment).~~

1. Sale of Recyclable Materials

The sale of recyclable materials (i.e., scrap paper, metal, X-ray film, and precious metals) shall be authorized by an order of the Board of Trustees approving in advance an annual plan for expected sales. The Associate Vice President for Auxiliary Services is responsible for the establishment of competitively bid vendor contracts for the disposal of recyclable materials. These contracts are established annually through the Purchasing Division.

2. Livestock Disposition

The Dean of the College of Agriculture is responsible for the administration of sales or other disposition of all livestock with the approval of the Treasurer. Disposition of livestock shall be authorized by an order of the Board of Trustees approving an advance annual plan for livestock sales. Approval of an advance annual plan is necessary due to price fluctuations and problems of anticipating exact dates livestock ~~will~~shall be sold. Livestock ~~will~~shall be sold by one of the following methods:

(a) Disposition by Public Auction or Sealed Bid

Unless it is in the best interest of the ~~University of Kentucky~~ University to proceed otherwise, livestock shall be sold by invitation of sealed bids or by public auction (~~e.g., i.e.~~ local livestock auctions).

(b) Disposition of Superior Breeding Animals by Private Treaty

In order to improve the Commonwealth's livestock economy, superior animals (~~e.g.,~~ cattle, horses, swine, and sheep) may be sold by private treaty by complying with the following guidelines. An estimated number of superior animals by species, sex, age, etc., ~~will~~ shall be advertised ~~by a responsible faculty member~~ through the Cooperative Extension Service, Kentucky Agri-news, or a major newspaper at least one month before sale. Minimum sale prices ~~will~~ shall be established by the College of Agriculture. Sale of animals ~~will~~ shall be to the highest bidder at or above the established sale price. In cases of tie bids, a random draw ~~will~~ shall determine the successful bidder.

(c) Special Cases

Any animal disposals which do not fall within the methods contained in sections 1 and 2 above ~~will~~ shall be handled on a case by case basis. Written justification for the sale and exception to the ordinary methods ~~must~~ shall be approved by the Department Chair, Dean of the College of Agriculture and Treasurer, ~~and accompany the Personal Property Sale Request Form.~~

3. Property Unsuitable for Public Use

(a) Items of personal property that would endanger the public through continued use (~~e.g., i.e.,~~ radioactively contaminated machines, biologically hazardous equipment, equipment that would be harmful even if repaired, etc.) can be declared unsuitable for public use and are to be reported in writing to the Surplus Property Department.

(b) Unless the Board of Trustees deems it in the best interest of the ~~University of Kentucky~~ to proceed otherwise, all items of personal property unsuitable for public use shall be disposed of by the Associate Vice President for Auxiliary Services in a manner in accord with laws governing the disposal of such items. Any hazardous material (e.g., asbestos, chemicals, etc.) ~~must~~ shall first be reported to the Director, Office of Environmental Health and Safety. This office, with assistance of the Surplus Property Department, ~~will~~ shall dispose of such hazardous material in accord with appropriate state and federal regulations.

4. Property Trade-Ins

Items of personal property that ~~will~~shall be replaced by new equipment as part of a trade-in agreement with a vendor ~~will~~shall be reported in writing to the Plant Assets Department, Office of the Treasurer. The Plant Assets Department, in consultation with the appropriate chair or dean and the Director, Purchasing Division, ~~will~~shall approve each request based upon the best interest of the University. The University ~~must~~shall obtain at least market value for property items disposed of as part of a trade-in agreement. It is the responsibility of the department to provide a copy of the purchase order to Plant Assets Department, Office of the Treasurer.

5. More suitable for Some Other Use Consistent with the Public Interest-

Consistent with the best interest of the ~~University of Kentucky~~University and the general public as determined by the Board of Trustees, personal property may be sold by negotiation for a monetary consideration or conveyed without monetary consideration to other Commonwealth of Kentucky agencies, local governmental units in Kentucky (e.g., city, county, public schools, civil defense units); other educational institutions, and non-profit, charitable, civic, or community organizations. Preference ~~will~~shall be given, where appropriate, to those public agencies or institutions within the Commonwealth of Kentucky. Organizational units within the University may recommend the sale or conveyance of personal property to one of the groups listed above.

6. Property Acquired with ~~Gifts or Grants~~

If the University is required or expected to transfer the property by the terms of a sponsor's regulations or conditions, as set forth in Paragraph A above, no action by the Board of Trustees is required. The Executive Vice President for Research shall provide documentation and certification to the Treasurer that such a condition exists. The transfer is subject to approval of the Treasurer who shall maintain the official records of such transfers.

7. Other Property t~~h~~at i~~s~~ Not Needed

(a) Items of personal property that are not needed by any organizational unit ~~will~~shall be reported in writing to the Surplus Property Department. Property may not be needed as a result, for example, of program redirection or termination, replacement by new equipment, or duplication as a result of a gift of other equipment.

(b) Items of personal property that have been reported in the above fashion are available for transfer to other internal organizational units.

Such transfers to internal units do not require action of the Board of Trustees.

(c) Unless the Board of Trustees deems it in the best interest of the University of Kentucky to proceed otherwise, all items of personal property not transferred to an internal organizational unit shall be sold either by invitation of sealed bids or by public auction. Sale by negotiation for monetary consideration or conveyance without charge to other state agencies or state supported institutions of higher education within the Commonwealth of Kentucky ~~will~~shall require action by the Treasurer.

(d) For routine dispositions (e.g., obsolete equipment, surplus office equipment), a plan shall be ~~is~~ submitted to ~~t~~The Board of Trustees on an annual basis setting forth the description of the personal property, reasons for disposition, and the method of disposition. For non-routine dispositions, an order is submitted to ~~t~~The Board of Trustees on an item-by-item basis setting forth the description of the personal property, reasons for disposition, and the method of disposition.

Reference:

KRS 164A.575